

Impact of heat loss from storage tank with phase change material on the performance of a solar-assisted heat pump system

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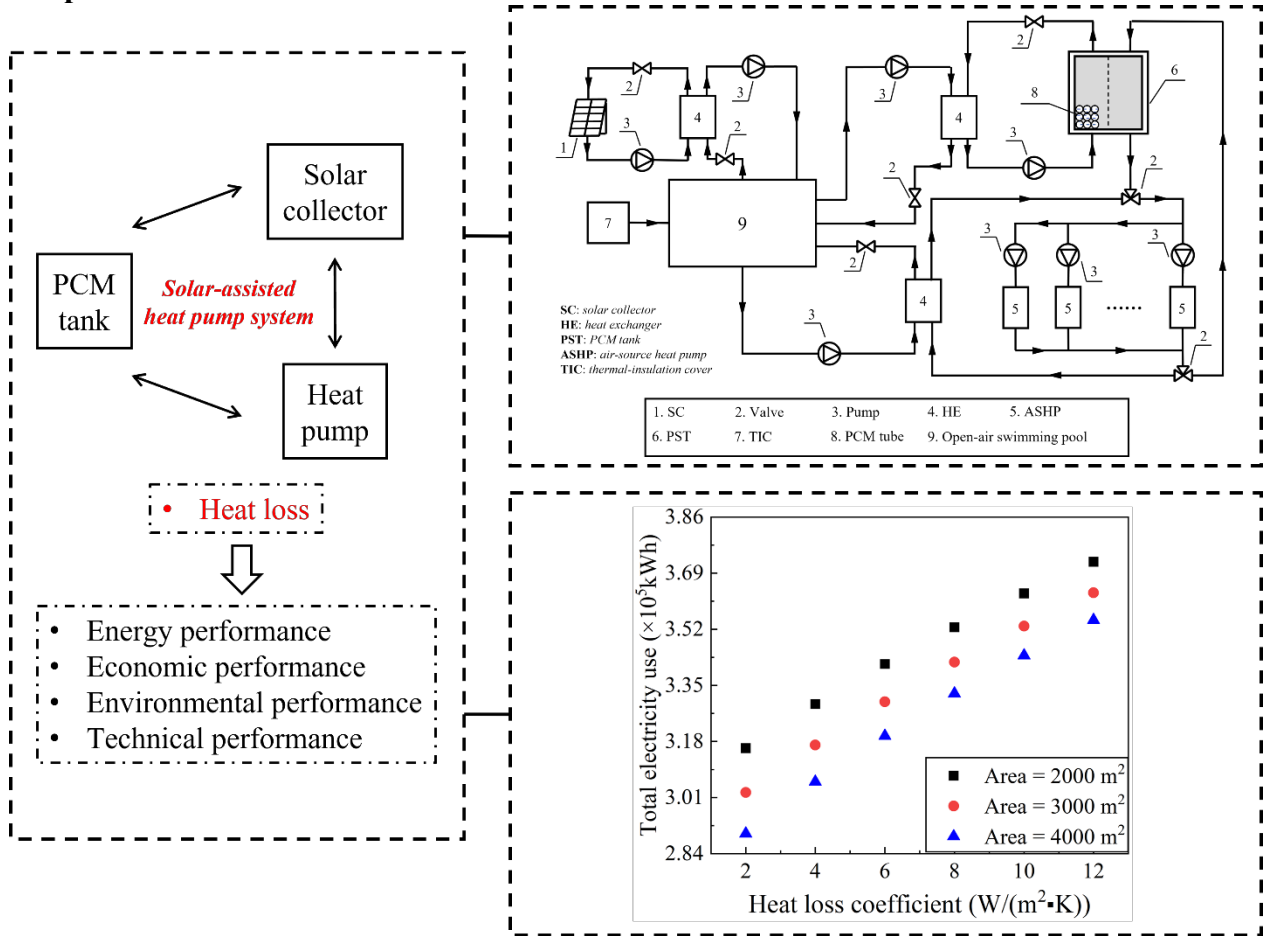
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Abstract

Solar-assisted heat pump systems can address the drawbacks of traditional heat pumps, which have high operating costs, and solar collectors, which cannot provide continuous heat throughout the day. A storage tank is a common device in solar-assisted heat pumps. However, the impact of heat loss from a storage tank with phase change material on the performance of solar-assisted heat pump system is still unknown. Therefore, this study investigated the impact of heat loss from a storage tank with phase change material on the energy, economic, environmental, and technical performance of a solar-assisted heat pump system. A case study for swimming pool application was conducted. The simulation platform was built using TRNSYS and MATLAB. Performance comparison between systems with and without heat loss from a storage tank with phase change material was conducted. Results indicated that when the heat loss coefficient varied from $2 \text{ W}/(\text{m}^2 \cdot \text{K})$ to $12 \text{ W}/(\text{m}^2 \cdot \text{K})$, total electricity use, operating cost, thermal uncomfortable ratio, and CO_2 emission increased by up to 22.3%, 16.8%, 242.9%, and 22.3%, respectively. This study helped scholars understand the importance of considering heat loss from storage tanks with phase change material in assessing the performance of solar-assisted heat pump systems.

Keywords: Latent heat; Energy storage; Solar Energy; Air source

1 Graphical Abstract



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PCM	Phase change material	τ	Time (s)
PST	PCM tank		

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1 **1. Introduction**

2 Due to the carbon neutrality goals set by many countries, a wide range of renewable energy
3 technologies, including photovoltaic plant [1], energy storage [2], wind turbine [3], biomass energy
4 [4], hydrogen vehicle [5], and tidal power [6], are being further promoted. As a renewable energy
5 technology, heat pumps acquire heat from natural sources such as ground [7], air [8], and water [9],
6 attracting scholars' attention. Thorsteinsson et al. [10] proposed a predictive control approach for
7 a heat pump system, reporting that the system expense could be reduced by up to 17%. Hao et al.
8 [11] investigated a heat pump system utilizing solar energy spectral beam splitting and concluded
9 that the system's energy efficiency could reach up to 81.7%. Chen et al. [12] found that the
10 operating cost (*ot*) of the system could be reduced by 30.9% when a thermal energy discretization
11 and matching approach was applied in heat pump systems. Pimm et al. [13] reported that the
12 coefficient of performance (COP) of a high-temperature heat pump could reach up to 1.7 for
13 industrial hydrogen demand reduction. Lee et al. [14] proposed a waste heat recovery strategy for
14 a heat pump system, and found that the power consumption could be reduced by up to 13% when
15 the proposed strategy was implemented. Gao et al. [15] conducted an investigation of an
16 absorption-compression heat pump, and found that the COP decreased from 1.4 to 1.2 when the
17 temperature increased from 70°C to 110°C.

18
19 Solar energy is clean and sustainable [16] and has been extensively utilized in heating systems.
20 However, because solar irradiance is intermittent, simple heating systems with solar collectors
21 (SCs) cannot fully satisfy the heating demand. Heat pumps can supply heat at any time of the day,
22 but their operating costs are significantly higher than those of SCs. Solar-assisted heat pumps can
23 address the limitations of both SCs and heat pumps. Consequently, solar-assisted heat pump
24 systems have been widely studied. For example, Yu et al. [17] found that the COP of a solar-
25 assisted heat pump system could be enhanced by 8.81% when the SC surface area (A_{SC}) increased
26 from 1.5 m² to 3 m². Zhang et al. [18] found that the energy efficiency of a photovoltaic solar-
27 assisted heat pump system could reach 51.39%. Gu et al. [19] conducted an experimental study of
28 a solar-assisted heat pump system and reported that the SC efficiency and COP for the heat pump
29 were 63% and 5.03, respectively. Song et al. [20] proposed a solar-assisted heat pump with a hybrid
30 evaporator, finding that the system COP could reach 4.08 when there was not solar irradiance.
31 Wang et al. [21] concluded that the payback period of a solar-assisted heat pump system was 3.86

1 years in comparison with the heat pump system. Chow et al. [22] investigated a solar-assisted heat
2 pump for indoor swimming applications, and concluded that the system COP could reach 4.5, with
3 a payback period of less than 5 years.

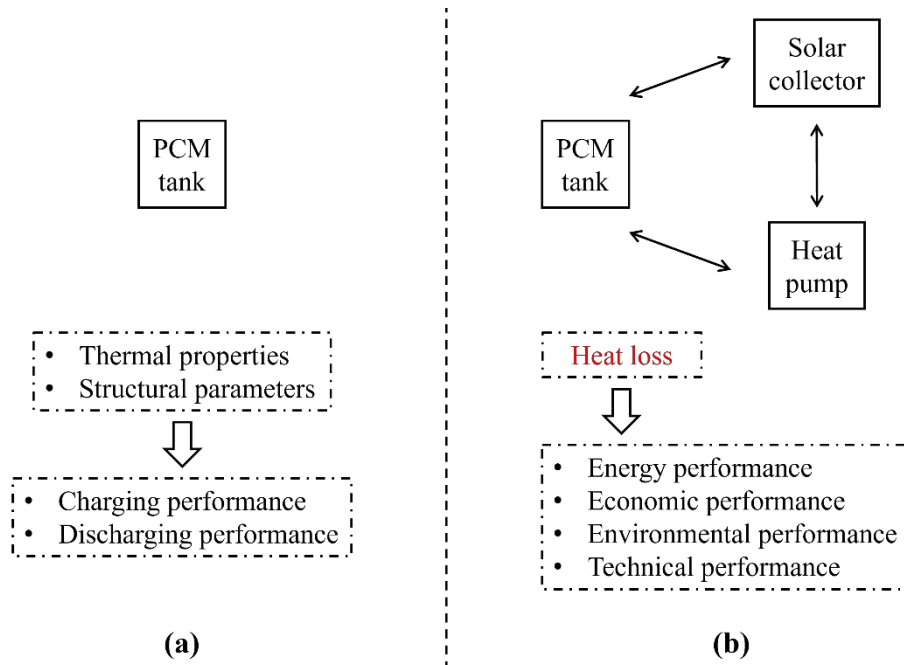
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5 A water tank is an essential device in solar-assisted heat pump systems. To enhance its thermal
6 capacity and reduce its required volume, phase change material (PCM) is usually incorporated due
7 to its high latent heat [23]. Rahmanian et al. [24] reported that the heat transfer rate and melting
8 rate of the PCM tank (PST) could be improved by 64.2% and 39%, respectively, when transversal
9 oscillation was applied. Sayehvand et al. [25] concluded that the melting time of the PCM could
10 be reduced by up to 33.8% with the use of fins in the PST. Zhang et al. [26] designed a PST with
11 a flow channel and found that the temperature control efficiency was 47.6%. Kong et al. [27]
12 developed a numerical model for the PST, reporting a relative error of 10.4%. Li et al. [28] analyzed
13 the influence of different metal foam inclinations on the melting time of PST, finding that the
14 melting time was reduced by 18.8% when the optimal metal foam inclination was applied. Meng
15 and Zhang [29] conducted an experimental investigation of a PST, showing that with a heat transfer
16 fluid inlet temperature at 80°C, the charging completion time was 2,340 s and 2,220 s at flow speed
17 of 0.15 m/s and 0.2 m/s, respectively. Liang et al. [30] concluded that the maximum effective
18 energy efficiency was 1.3 when baffle plates were applied in the PST.

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20 Heat loss between PST and ambient environment affects performance indicators such as the heat
21 transfer rate, melting rate, and melting time. Therefore, it is valuable to investigate the effect of
22 heat loss on PST performance. However, few studies have focused on the influence of heat loss on
23 PST performance. At the system level, heat loss from PST will result in insufficient thermal energy
24 stored to meet building heating demands, requiring additional electricity to maintain the necessary
25 thermal energy in the PST. This, in turn, impacts the economic and environmental performance of
26 the systems. Thus, research on the influence of heat loss from PST on energy system performance
27 is significant. In solar-assisted heat pump systems, the PST can store thermal energy from the SC
28 during the day and release it if needed, enhancing energy efficiency. The PST can also be used to
29 store thermal energy from heat pumps during off-peak period and release it during on-peak period,
30 thereby reducing *ot*. Thus, the PST is a crucial component in solar-assisted heat pump systems. As
31 mentioned, the heat loss affects the performance of the PST, which in turn may impact the

1 performance of solar-assisted heat pump systems. However, few studies have examined the impact
2 of heat loss from PST on the performance of the solar-assisted heat pump systems.

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4 As shown in Fig. 1 (a), traditional studies primarily focused on the investigation of a single PST,
5 often considering the influence of thermal properties and structural parameters on charging and
6 discharging performance. Although a few studies have examined the performance of solar-assisted
7 heat pump systems, the impact of heat loss from the PST on system performance has been neglected.
8 This means that the extent of the impact of the heat loss from PST on the performance of the solar-
9 assisted heat pump system remains unclear. As shown in Fig. 1 (b), the aim of this paper was to
10 investigate the impact of heat loss from PST on the energy, economic, environmental, and technical
11 performance. In this study, the simulation platform for the system was constructed using TRNSYS
12 and MATLAB. The system was simulated over a period of nine years from 2003 to 2011. The
13 comparison of the energy, economic, environmental, and technical performance between the
14 system with and without heat loss from PST was conducted. The total electricity use (es_t), ot ,
15 carbon dioxide (CO_2) emission (cn), and thermal uncomfortable ratio (tur) were considered as
16 performance indicators for the energy, economic, environmental, and technical performance,
17 respectively. Finally, the impact of the heat loss coefficient (h_{hl}) on the system's energy, economic,
18 environmental, and technical performance was analyzed.

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1 **Fig. 1.** Schematics for research strategies of (a) traditional studies and (b) this study

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3 The novelties of this study were shown below: a) The influence of heat loss from PST on the
4 performance of solar-assisted heat pump system was analyzed, overcoming the limitation of
5 traditional studies that only consider effects of thermal properties and structural parameters on the
6 performance of a single PST; b) The investigated system was applied to an open-air swimming
7 pool, providing valuable guidance for engineers designing solar-assisted heat pump systems for
8 swimming pool application; c) es_t , ot , cn , and tur were selected as indicators for performance
9 analysis of investigated system, establishing a new method for analyzing system performance
10 across different aspects, including energy, economic, environmental, and technical performance;
11 d) A nine-years simulation of investigated system with varying A_{SC} and h_{hl} was conducted,
12 overcoming the limitation of traditional studies where performance analysis was usually carried
13 out over a short period.

14
15 The rest of this paper is organized as the following. The methodology is presented in Section 2.
16 Section 3 gives case study. The performance comparison between the solar-assisted heat pump
17 systems with and without heat loss from PST is introduced in Section 4. Section 5 depicts the effect
18 of h_{hl} on the system performance. Section 6 presents the conclusions.

19 20 **2. Methodology**

21 Fig. 2 depicts the methodology of this study, which consists of five steps. First, a case study was
22 selected to investigate the solar-assisted heat pump system, specifically focusing on the impact of
23 heat loss from PST on the performance. Second, the simulation platform for the solar-assisted heat
24 pump system was established using TRNSYS and MATLAB. Third, the performance indicators
25 for the system analysis were identified, including energy, economic, environmental, and technical
26 performance. Fourth, the performance of systems with and without heat loss from PST was
27 compared. Finally, the influence of h_{hl} on system performance was analyzed. The indicators
28 identified in the third step were applied in the performance analysis during the fourth and fifth steps.

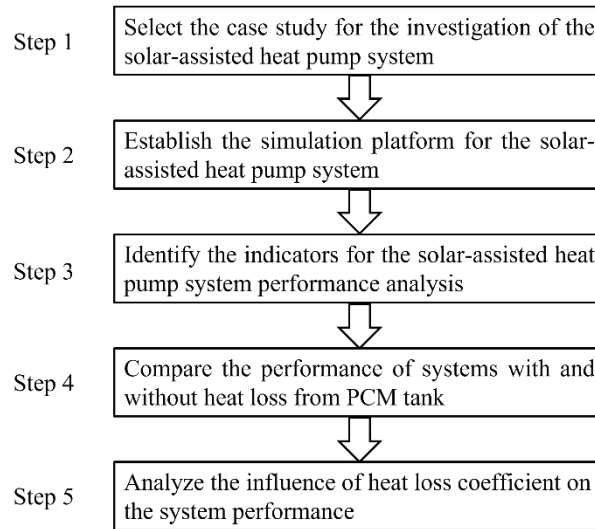


Fig. 2. Methodology of this study

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3. Case study

3.1. Swimming pool heating application

The solar-assisted heat pump system for swimming pool heating application was selected as the case study in this research. As shown in Fig. 3, the system mainly consisted of SCs, heat exchangers (HEs), pumps, a PST, ASHPs, and a thermal-insulation cover (TIC). A detailed description of this system was provided in the study of Li et al. [2]. SCs were used to directly supply heat for the pool, while ASHPs were used to preheat the pool water and charge the PST during the electric off-peak period. The PST was used to heat the pool water during the electric on-peak period. The TIC was applied to reduce the heat loss during the closed period of the pool. The rated schedule for the pool water temperature (T_{pw}) is shown in Fig. 4. Detailed explanations for $T_1, T_2, T_3, t_1, t_2, t_3, t_4, t_5, t_6,$ and t_7 were presented in the study of Li et al. [2]. $T_1, T_2,$ and T_3 were set to 28.5°C, 28°C, and 26.6°C, respectively. $t_1, t_2, t_3, t_4, t_5, t_6,$ and t_7 corresponded to 21:00, 5:00, 6:00, 9:00, 12:00, 18:00, and 20:00, respectively. The swimming pool where the investigated system was applied in had a surface area and volume of 1,100 m² and 1963.5 m³, respectively. The system was designed to provide heat to the swimming pool during the winter season, i.e., from December 1st to April 30th.

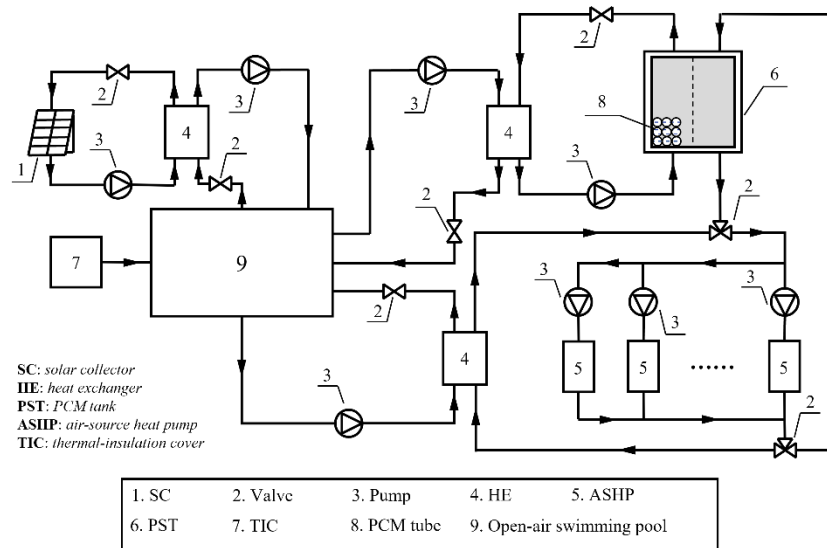


Fig. 3. Schematic of the solar-assisted heat pump system

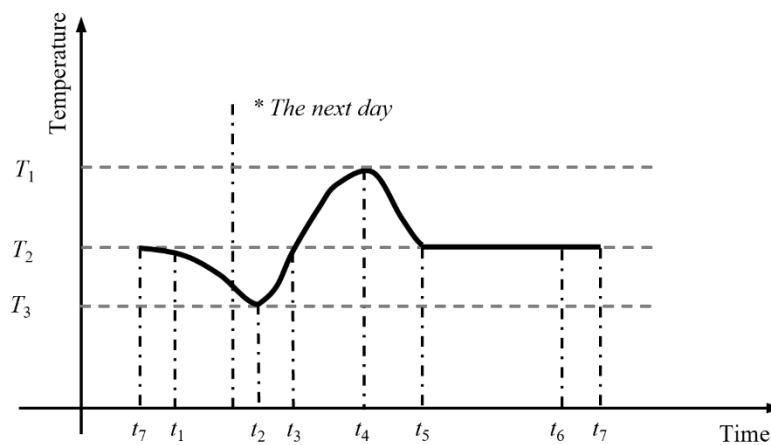


Fig. 4. Rated schedule of the pool water

3.2. System platform

TRNSYS and MATLAB were used to construct the simulation platform for the solar-assisted heat pump system. Fig. 5 depicts the simulation platform of the system. Type 941, Type 3b, Type 91, Type 649, Type 647, Type 23, and Type 71 from the TRNSYS library were used for the ASHP, pump, heat exchanger, mixing valve, diverting valve, PID controller, SC models, respectively. The experimentally validated PST and swimming pool models were developed using MATLAB program, and these models were integrated into TRNSYS using Type 155. Governing equations in water and PCM sides of PST model were respectively presented as Eqns. (1) and (2):

$$\rho_{wp}c_{wp}\epsilon\frac{\partial T_{wp}}{\partial\tau} + \rho_{wp}c_{wp}\epsilon v_{wp}\frac{\partial T_{wp}}{\partial x} = k_{wp}\epsilon\frac{\partial^2 T_{wp}}{\partial^2 x} + hv(T_{PCM} - T_{wp}) \quad (1)$$

$$\rho_{PCM}(1 - \epsilon)\frac{\partial H_{PCM}}{\partial\tau} = hv(T_{wp} - T_{PCM}) \quad (2)$$

where ρ , k , c , v , ϵ , and H represent the density, thermal conductivity, specific heat, velocity, water fraction, and enthalpy, respectively. wp represents the water in PST. x and τ are the distance and time, respectively. hv is the volumetric heat transfer coefficient. T_{pw} during the open period could be determined by Eqn. (3):

$$\rho_{pw}c_{pw}V_{pw}\frac{dT_{pw}}{d\tau} = q_{HE} + q_{so} - q_{rs} - q_{er} - q_{cd} - q_{ra} - q_{co} \quad (3)$$

where V_{pw} is the pool volume. q_{HE} and q_{so} are the heat from HE and solar, respectively. q_{rs} , q_{er} , q_{cd} , q_{ra} , and q_{co} are the heat loss due to refilling fresh water, evaporation, conduction, radiation, convection, respectively.

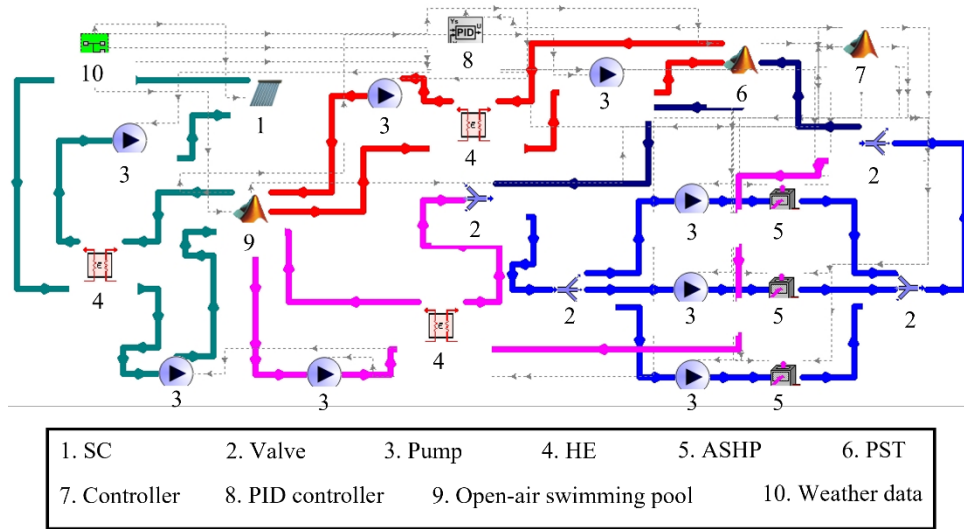


Fig. 5. Simulation platform of the system

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The relative errors for the PST and swimming pool models were respectively 3.97% [23] and 0.5% [31], indicating that these models were accurate. Detailed information about the simulation platform was provided in the study of Li et al. [2]. Note that T_{pw} varied with τ , making simulation process dynamic.

3.3. Performance indicators

1 es_t , ot , cn , and tur were chosen as the performance indicators for the energy, economic,
2 environmental, and technical performance, respectively. es_t was calculated by Eqn. (4):

$$3 \quad es_t = es_a + es_p \quad (4)$$

4 where a and p denotes ASHPs and pumps, respectively. ot was calculated by Eqn. (5):

$$5 \quad ot = ce_{dm,ok} + ce_{ec,ok} + ce_{dm,fk} + ce_{ec,fk} \quad (5)$$

6 where ce is the cost of electricity. dm , ec , ok , and fk represents the demand, energy, on-peak, and
7 off-peak periods, respectively. cn was calculated by Eqn. (6):

$$8 \quad cn = es_t \cdot oy \quad (6)$$

9 where oy denotes the CO₂ emission coefficient for electricity. tur was calculated by Eqn. (7):

$$10 \quad tur = \frac{1}{t_{tl}} \int_0^{t_{tl}} um \, d\tau \quad (7)$$

11 where t_{tl} represents the total pool open time. um is a variable that was calculated by Eqn. (8):

$$12 \quad um = \begin{cases} 0, & T_{pw} \geq T_s \\ 1, & T_{pw} < T_s \end{cases} \quad (8)$$

13 where pw represents the pool water. s represents the setting value. The overall performance score
14 (S_o) applied in the multi-criteria optimization approach was calculated by Eqn. (9):

$$15 \quad S_o = b_{est} \cdot S_{est} + b_{ot} \cdot S_{ot} + b_{ct} \cdot S_{ct} + b_{tur} \cdot S_{tur} \quad (9)$$

16 where b represents the weight factor. ct is the capital cost, which is calculated by unit cost of main
17 components in Table 1.

18 **Table 1** Unit cost of main components

Name	Unit	Cost (€/unit)
PST	m ³	289
ASHP	kW	148
SC	m ²	94
TIC	m ²	4
HE	-	702
Pump	-	597
Controller	-	2,998

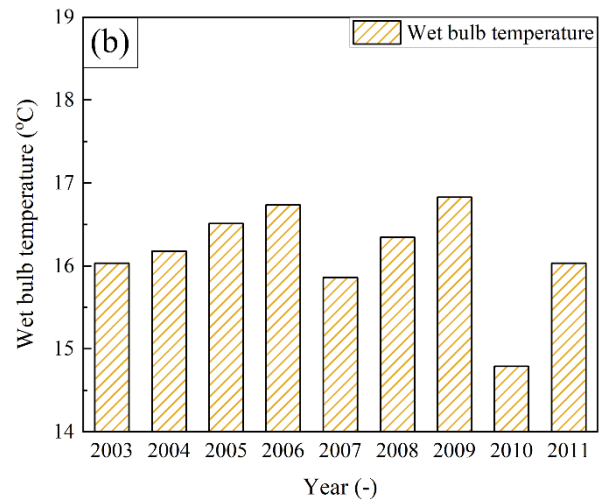
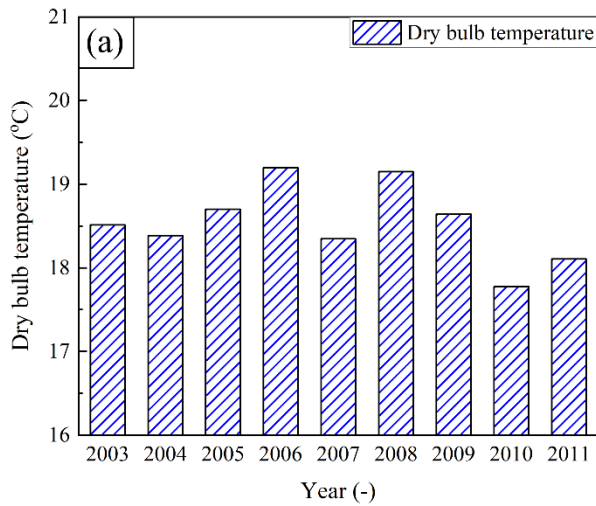
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20 **4. Performance comparison between systems with and without heat loss from PST**

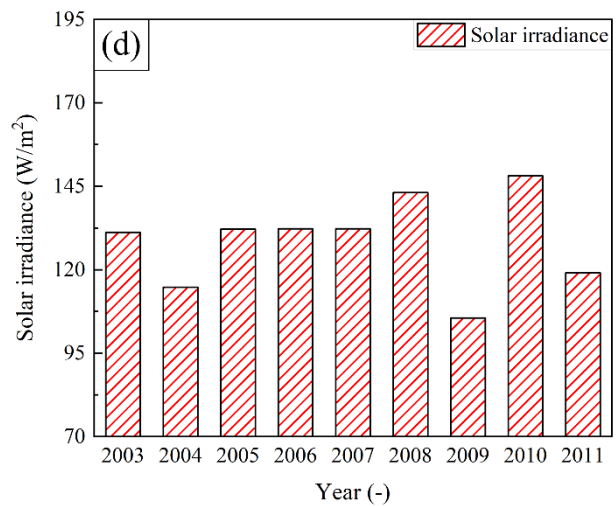
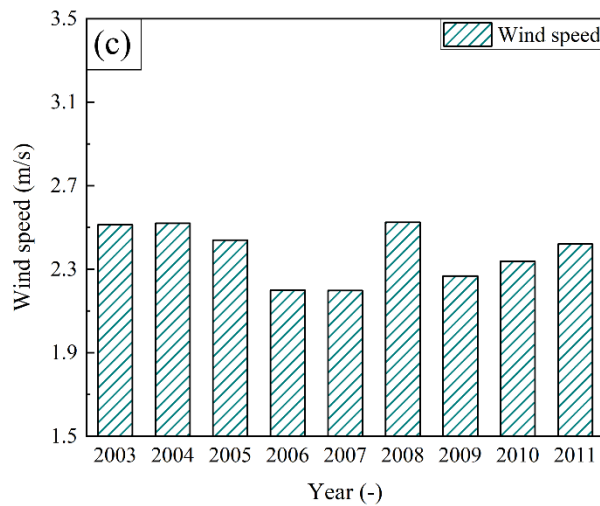
21 This section presents the performance comparison between the solar-assisted heat pump systems
22 with and without heat loss from PCM. h_{hl} of PST was set as 4.55 W/(m²·K) [32]. The energy,
23 economic, technical, and environmental performance of the systems with different A_{SC} were
24 analyzed. The performance of the solar-assisted heat pump system was directly related to the

1 weather data including dry bulb temperature, wet bulb temperature, wind speed and solar irradiance.
 2 In this study, weather data from nine different years, i.e., 2003 to 2011, were considered. These
 3 weather data were collected by Hong Kong Observatory [33]. Fig. 6 depicts the average (a) dry
 4 bulb temperature, (b) wet bulb temperature, (c) wind speed, and (d) solar irradiance in winter
 5 season from 2003 to 2011. The maximum average dry bulb temperature, wet bulb temperature,
 6 wind speed, and solar irradiance were 19.2°C, 16.8°C, 2.52 m/s, and 148 W/m², respectively. The
 7 minimum average dry bulb temperature, wet bulb temperature, wind speed, and solar irradiance
 8 were 17.8°C, 14.8°C, 2.2 m/s, and 106 W/m², respectively. The annual average system performance
 9 was also analyzed. Finally, the multi-criteria method considering energy, economic, and technical
 10 performance was applied to determine the optimal A_{SC} for the systems with and without heat loss
 11 from PST.

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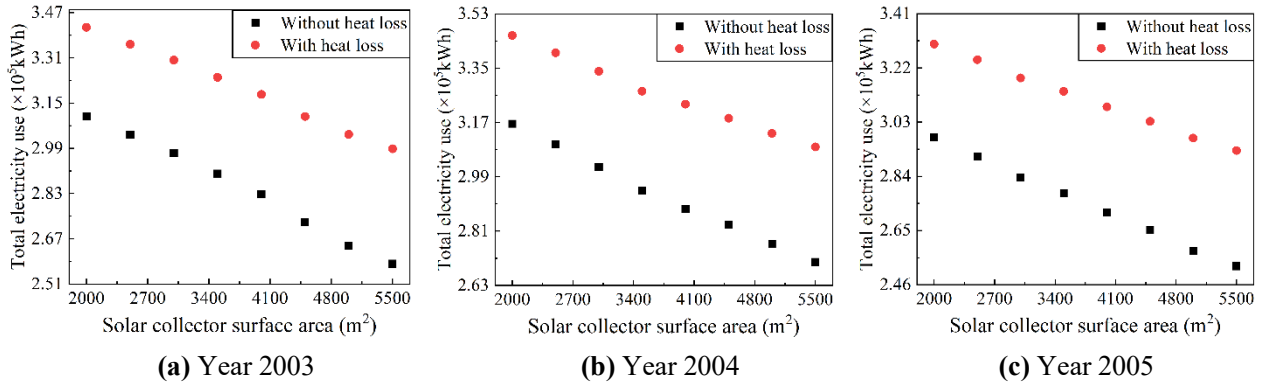
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Fig. 6. The average (a) dry bulb temperature, (b) wet bulb temperature, (c) wind speed and (d) solar irradiance from 2003 to 2011

Fig. 7 depicts the es_t comparison between the systems with and without heat loss from PST in different years. In all the years, i.e., from 2003 to 2011, the es_t of the system with heat loss from PST was higher than that without heat loss from PST. In every year, es_t decreased as the A_{SC} increased. Increasing A_{SC} allowed the SCs to adsorb more solar irradiance, leading to more heat energy supply. Consequently, the heat energy supplied by PST might be decreased, reducing the energy use of the heat pump compressor. When heat loss from PST was considered, es_t in 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, and 2011 was increased by 12.5%, 11.6%, 13.4%, 15.2%, 14.4%, 14.9%, 13.2%, 13.3%, and 12.4%, respectively. The average increase across these years was 13.4%, indicating that heat loss from PST caused a 13.4% higher energy use. As mentioned earlier, h_{hl} of PST was set as $4.55 \text{ W}/(\text{m}^2 \cdot \text{K})$. This value depended on various factors, including the material and thickness of the PST's outer wall. Utilizing materials with low thermal conductivity or increasing the thickness of the wall would effectively reduce h_{hl} of PST, leading to a reduction of es_t . Note that A_{SC} ranging from $2,000 \text{ m}^2$ to $5,500 \text{ m}^2$, was applied to analyze the effect of A_{SC} on system performance. An A_{SC} of $5,500 \text{ m}^2$ was referenced from the study of Bawazir and Friedrich [34]. There was a case in which A_{SC} was $2,500 \text{ m}^2$ and an interval of 500 m^2 in the study of Lisauskas [35]. Therefore, an A_{SC} of $2,000 \text{ m}^2$ was chosen to represent the difference between $2,500 \text{ m}^2$ and 500 m^2 .



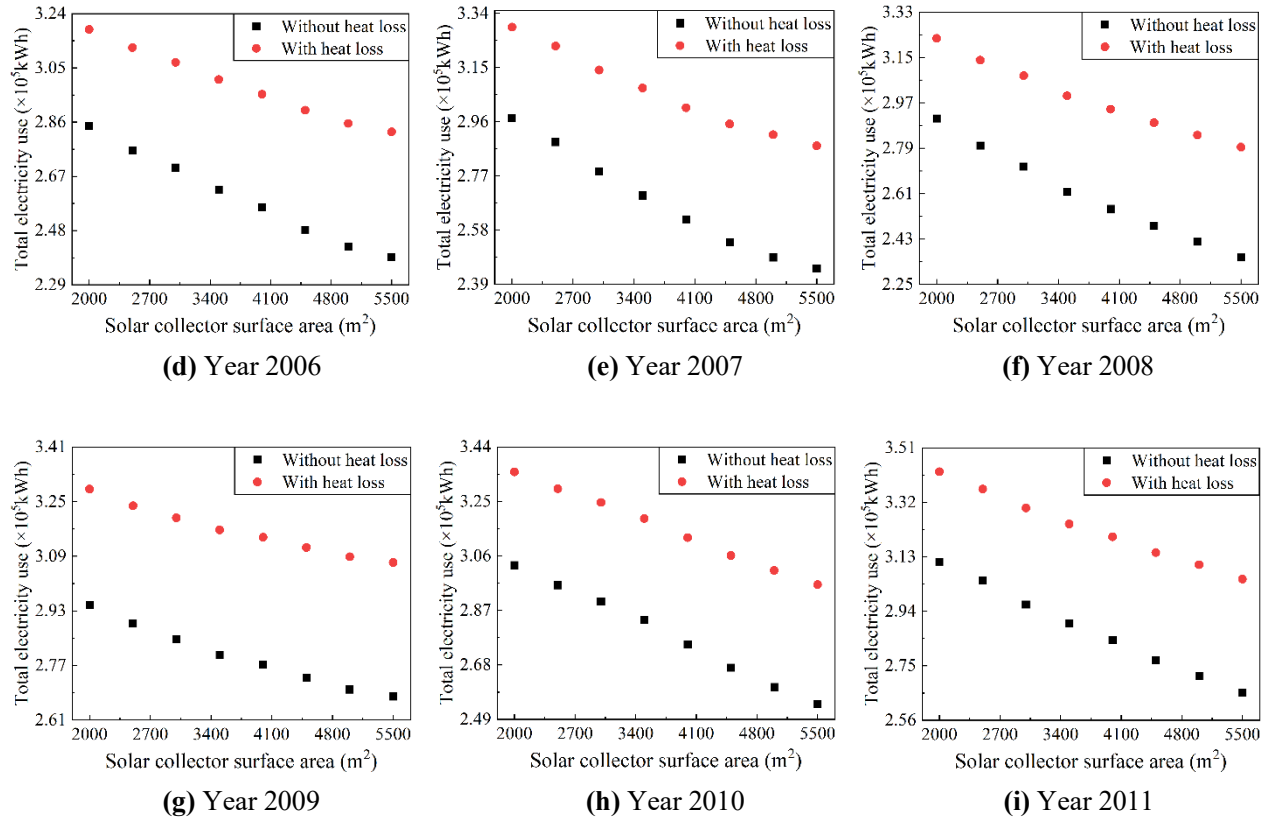


Fig. 7. Total electricity use comparison between the systems with and without heat loss from PST in different years

Fig. 8 compares the operating cost (ot) between systems with and without heat loss from the PST across different years, i.e., from 2003 to 2011. In each year, the ot of the system with heat loss from PST was higher than that of the system without heat loss from PST. The ot decreased across all years with an increase in A_{SC} . As explained in Fig. 7, increasing A_{SC} reduced the energy consumption of the compressor, leading to a reduction of ot . When accounting for heat loss from the PCM, ot was increased by 9.4%, 8.7%, 9.9%, 11.2%, 10.6%, 10.9%, 9.8%, 9.9%, and 9.3% in the years 2003 through 2011. The average increase was 10%, indicating that heat loss from PST resulted in a 10% higher ot . Therefore, analyzing the impact of h_{hl} on the economic performance of the solar-assisted heat pump system was essential, as presented in Section 5. Additionally, exploring effective measurement to enhance the economic performance of the solar-assisted heat pump system was crucial.

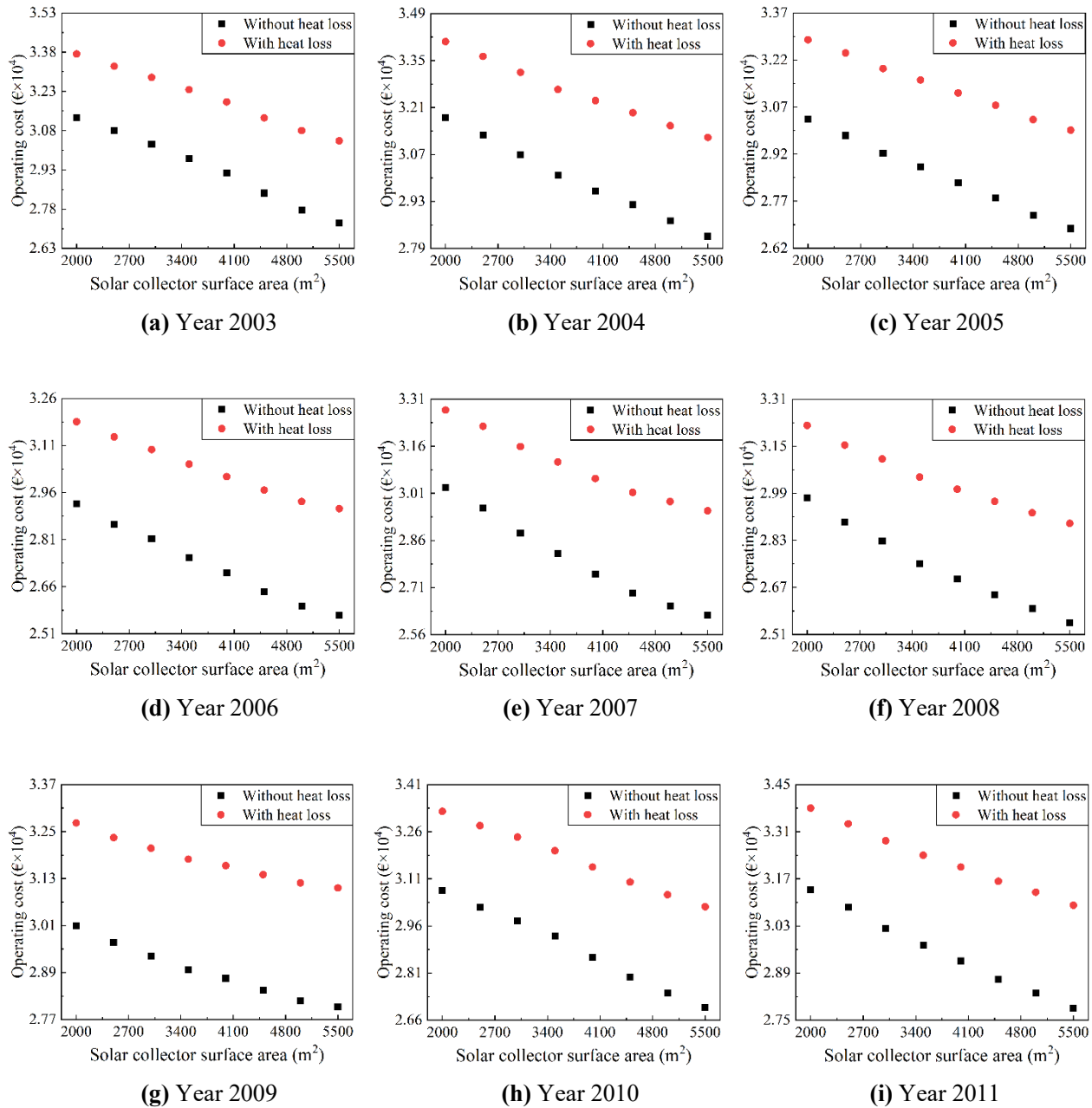
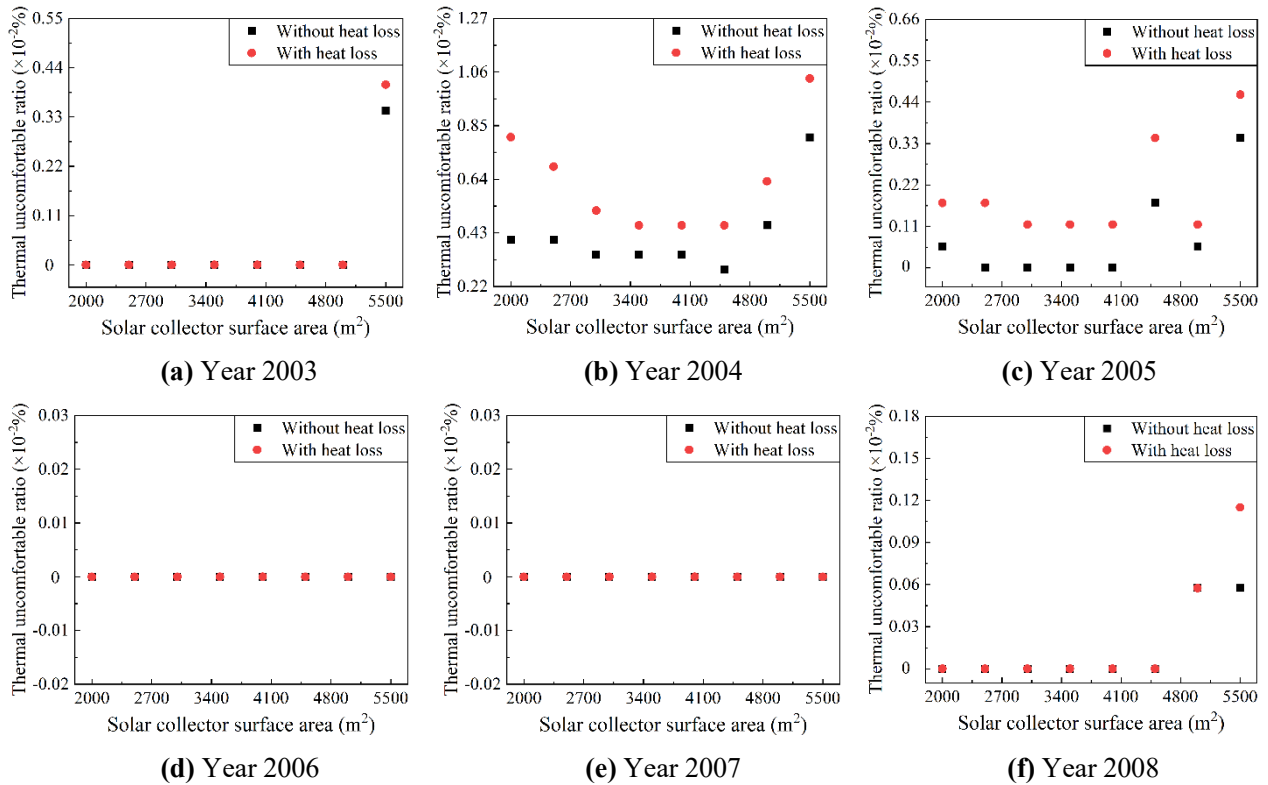


Fig. 8. Operating cost comparison between the systems with and without heat loss from PST in different years

Fig. 9 depicts the *tur* comparison between systems with and without heat loss from PST across different years. In 2006, 2007, 2009, and 2010, the *tur* for both systems, with and without heat loss from PST, remain 0 across various A_{SC} , indicating that the thermal comfort could be adequately achieved in these cases. In 2003, 2004, 2005, 2008, and 2011, however, the *tur* of the system with heat loss from PST was higher than that of system without heat loss. The variation of *tur* across different years may be attributed to differences in weather data across these years, as

1 shown in Fig. 6. Specifically, the maximum dry bulb temperature, wet bulb temperature, wind
 2 speed and solar irradiance occurred in 2006, 2006, 2008, and 2010, respectively, while the
 3 minimum dry bulb temperature, wet bulb temperature, wind speed and solar irradiance occurred in
 4 2010, 2010, 2007, and 2009, respectively. These distinct weather features from 2003 to 2011
 5 resulted in varying simulation outcomes. When considering heat loss from PST, heat was lost after
 6 the PST reached full capacity and before it could be utilized for heating, leading to situations where
 7 thermal comfort was not fully achieved. The variations of tur with A_{SC} were irregular. Generally,
 8 increasing A_{SC} enhanced heat gain of the pool water, raising its temperature. However, in some
 9 days with low solar irradiance, the SC could not supply heat to the pool, and heat loss between the
 10 pool water and ambient temperature increased with the rising T_{pw} . The variability contributed to
 11 the irregular pattern of tur with changes in A_{SC} .

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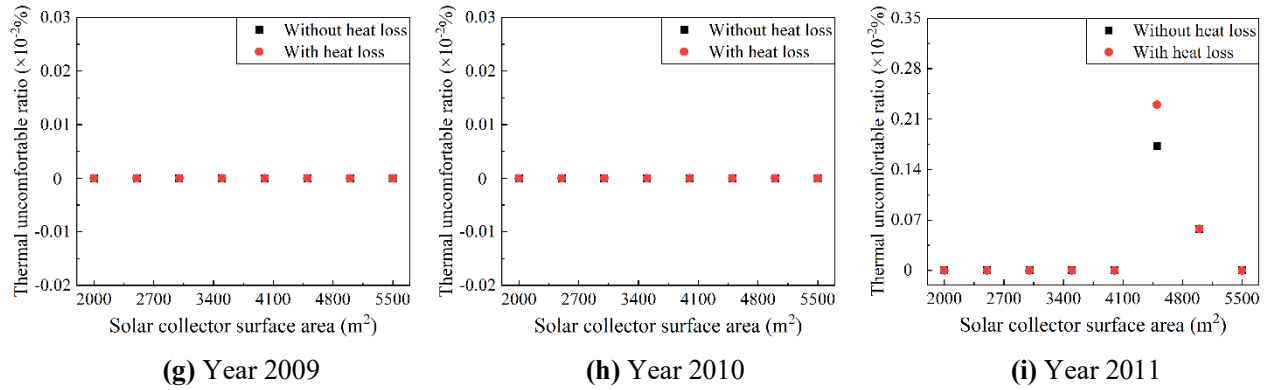
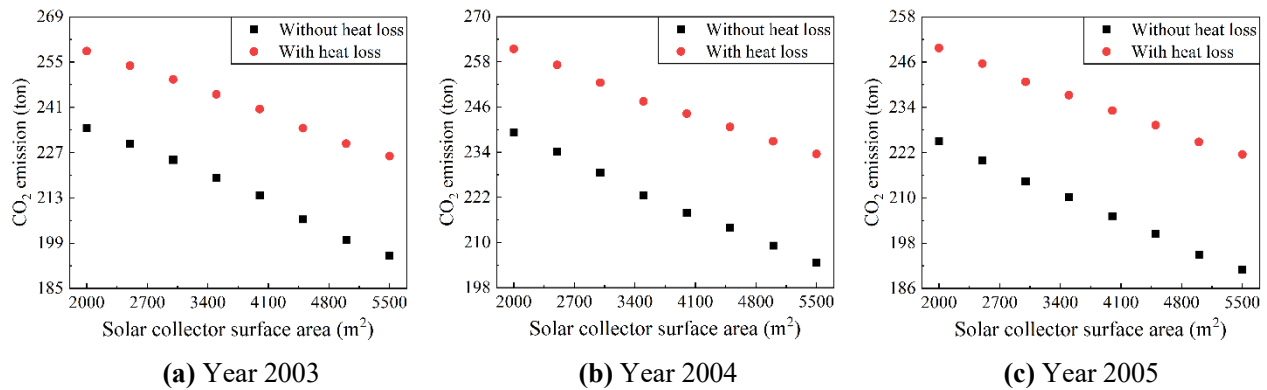


Fig. 9. Thermal uncomfortable ratio comparison between the systems with and without heat loss from PST in different years

Fig. 10 depicts the cn comparison between systems with and without heat loss from PST across different years. In each year, the cn of the system with heat loss from PST was higher than that of system without heat loss from PST, indicating that cn could be effectively reduced when the thermal insulation of the PST was maintained. cn decreased across all years as A_{ST} increased because cn was directly related to es_t . As shown in Fig. 7, es_t decreased as A_{SC} increased, causing a reduction in cn with the increase of A_{SC} . When heat loss from PCM was considered, the cn increased by 12.5%, 11.6%, 13.4%, 15.2%, 14.4%, 14.9%, 13.2%, 13.3%, and 12.4% in the years 2003 through 2011, respectively. The average increase rate was 13.4%, meaning that heat loss from PST results in a 13.4% higher cn . This demonstrated that heat loss from PST significantly impacted the environmental performance of the solar-assisted heat pump system. Therefore, these results indicated that the influence of the heat loss from PST on the cn of the solar-assisted heat pump system was substantial and should not be overlooked.



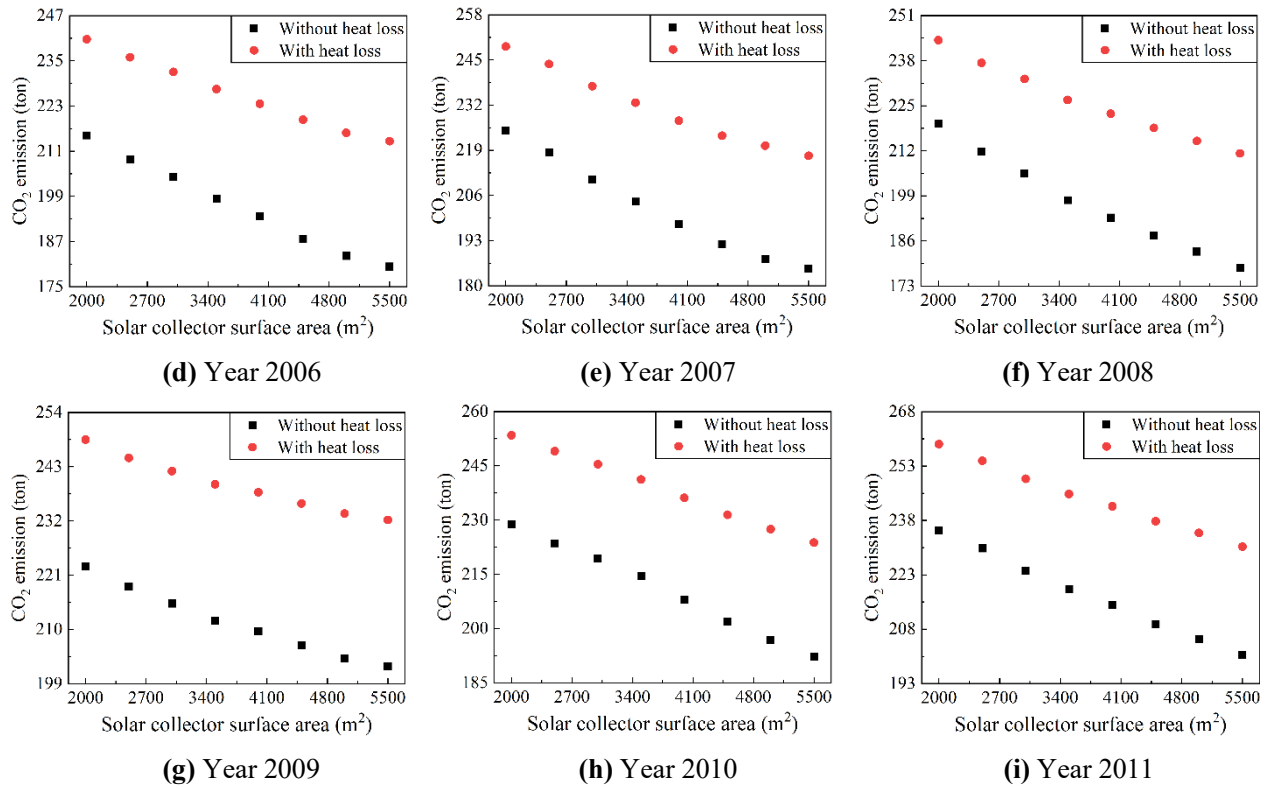
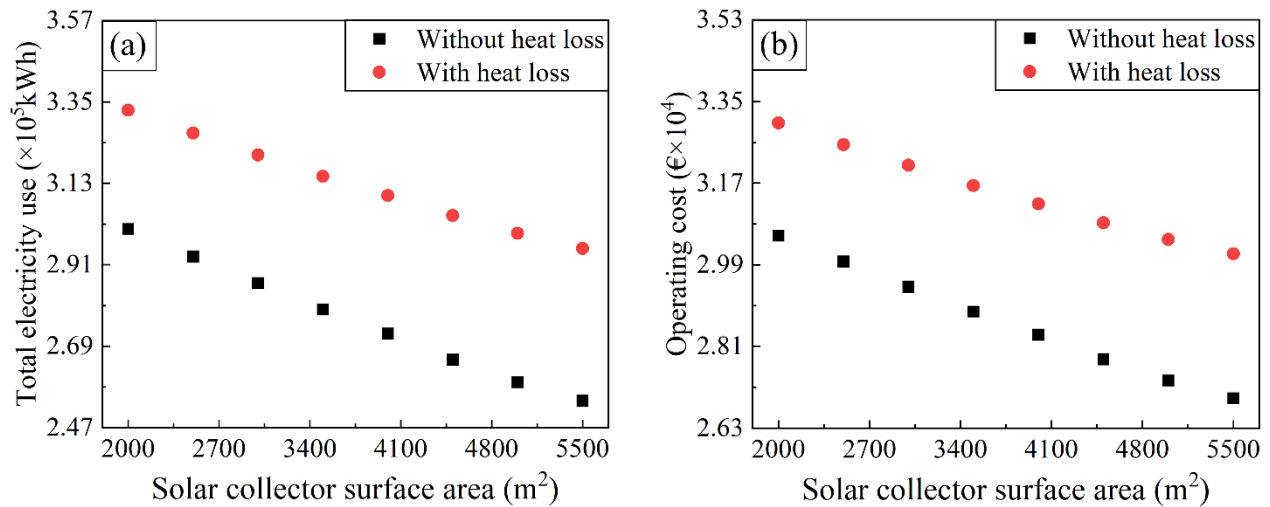


Fig. 10. CO₂ emission comparison between the systems with and without heat loss from PST in different years

Fig. 11 presents the annual average (a) es_t , (b) ot , (c) tur , (d) cn , and (e) ct for systems with and without heat loss from PST. The annual average es_t , ot , tur , and cn of the system with heat loss from PST were higher than those of the system without heat loss from PST. The explanations for the trend in the annual average es_t , ot , tur , and cn with A_{SC} were similar to those given in Figs. 7, 8, 9 and Fig. 10, respectively. The maximum annual average es_t for systems with and without PST heat loss from PST were 3.33×10^5 kWh and 3.01×10^5 kWh, while the minimum values were 2.95×10^5 kWh and 2.54×10^5 kWh, respectively. The average annual average es_t in the systems with and without heat loss from PST were 2.78×10^5 kWh and 2.46×10^5 kWh, respectively, meaning the former was 1.13 times larger than the latter. The maximum annual average ot for systems with and without heat loss from PST were € 3.3×10^4 and € 3.05×10^4 , while the minimums were € 3.01×10^4 and € 2.7×10^4 , respectively. The average annual average ot in the systems with and without heat loss from PST were € 2.8×10^4 and € 2.55×10^4 , respectively, showing a 1.1 times difference. For tur , the maximum annual averages with and without heat loss from PST were 2.24×10^{-5} and 1.72×10^{-5} , while the minimums were 6.39×10^{-6} and 3.83×10^{-6} , respectively. The average annual average tur in the systems with and without heat loss from PST were 9.3×10^{-6} and

1 5.82×10^{-6} , respectively, meaning the former was 1.6 times larger than the latter. The maximum
 2 annual average cn with and without heat loss from PST were 251.55 tons and 227.3 tons, while the
 3 minimums were 223.33 tons and 192.22 tons, respectively. The average annual average cn in the
 4 systems with and without heat loss from PST were 210.32 tons and 185.66 tons, indicating a 1.13
 5 times difference. In Fig. 11 (e), for both the systems, ct increased with A_{SC} , with a maximum of €
 6 7.7×10^5 when A_{SC} was 5,500 m^2 and a minimum of € 4.43×10^5 when A_{SC} was 2,000 m^2 . The
 7 optimal A_{SC} for ct differs from that of es_t , ot , tur , and cn . Note that only the impact of heat loss
 8 from PST on the system performance was analyzed in this study, and system sizing did not consider
 9 PST heat loss. Thus, in Fig. 11 (e), ct remained the same whether heat loss from PST was
 10 considered or not. Identifying the optimal SC when considering SC when ct , es_t , ot , tur , and cn
 11 were simultaneously considered was challenging. Multi-criteria optimization was urgently needed
 12 to address this issue.
 13



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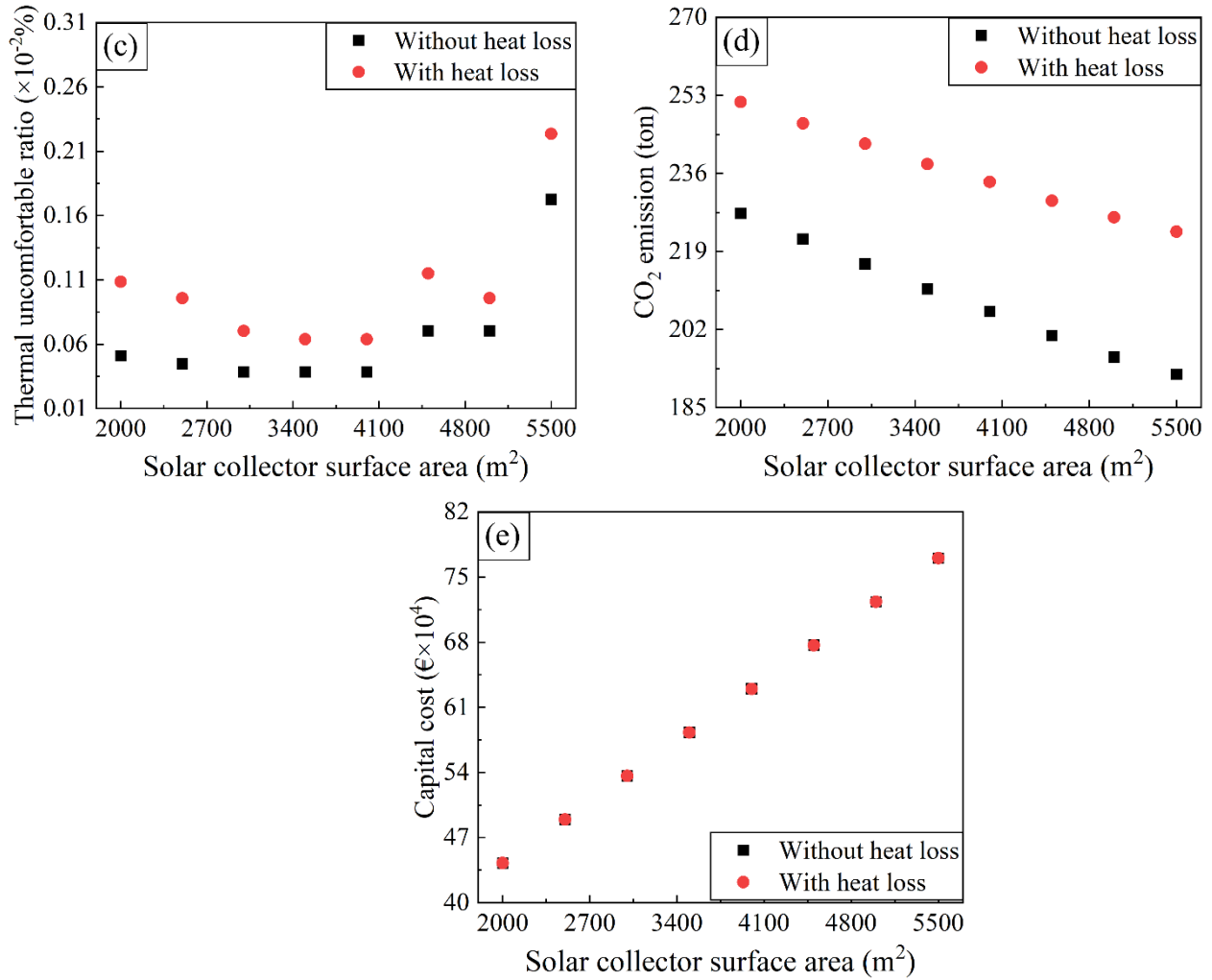
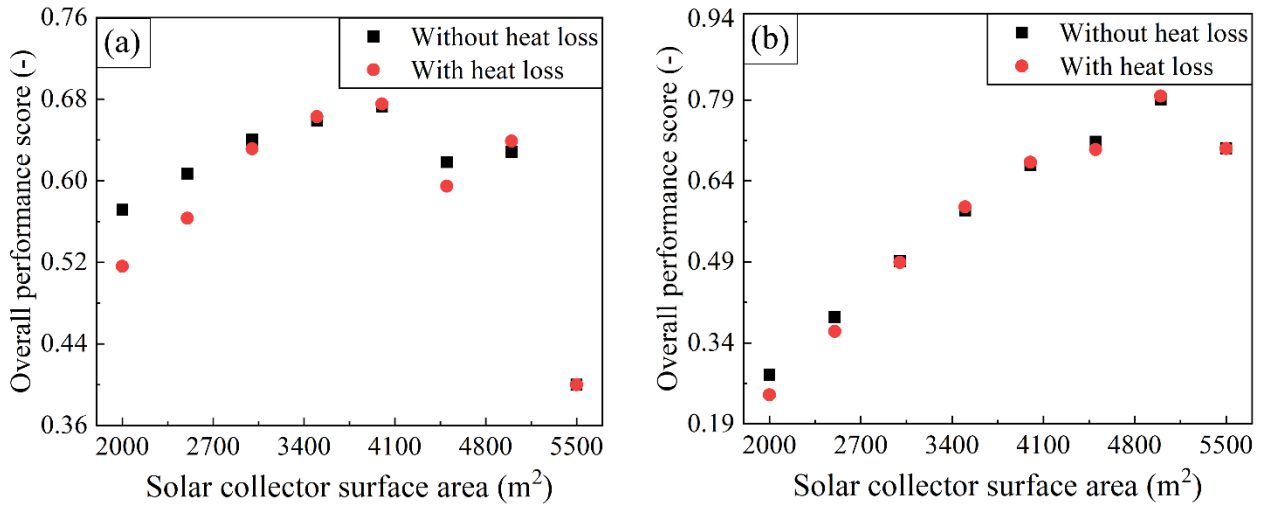


Fig. 11. The annual average (a) total electricity use, (b) operating cost, (c) thermal uncomfortable ratio, (d) CO₂ emission, and (e) capital cost of systems with and without heat loss from PST

The multi-criteria optimization approach used in the study by Li et al. [2] was applied to identify the optimal SC for solar-assisted heat pumps, both with and without the heat loss from the PST. Since cn was directly related to es_t , cn was not included in the multi-criteria optimization. The results of the optimization were influenced by the choice of the weight factors. Two scenarios with different weight factors were presented here. In the first scenario, the significance of tur was equal to that of ct , and the significance of ot was equal to that of es_t . The importance of the former pair was greater than that of the latter. The values of b_{ot} and b_{es_t} were based on the study by Li et al. [2], and thus b_{ot} , b_{es_t} , b_{tur} , and b_{ct} were 0.2, 0.2, 0.3, and 0.3, respectively. In the second scenario, the significance of ot was greater than that of es_t , which in turn was greater than that of tur . ct has the smallest significance. The value of b_{ot} and b_{tur} were based on the study by Li et al. [2];

1 thus b_{ot} , b_{es_t} , b_{tur} , and b_{ct} were 0.4, 0.3, 0.2, and 0.1, respectively. The overall performance score
 2 (S_o) was calculated using Eqn. (9). In Fig. 12 (a), the trend of the variation of S_o with A_{SC} in the
 3 system with heat loss from PST differed from that in the system without heat loss from PST.
 4 However, the difference was not significant. The optimal SC was 4,000 m² for both the systems,
 5 with and without heat loss from PST. In this case, S_o was 0.68 and 0.67 for the systems with and
 6 without heat loss from PST, respectively. In Fig. 12 (b), the trend for the variation of S_o with A_{SC}
 7 in the system with heat loss from PST differed from that without heat loss from PST. However, the
 8 difference remained minor. The optimal SC was 5,000 m² for both the systems with and without
 9 heat loss from PST. In this case, S_o was 0.8 and 0.79 for the systems with and without heat loss
 10 from PST, respectively. The difference of S_o between the systems with and without heat loss from
 11 PST in Fig. 12 (a) was larger than that in Fig. 12 (b), indicating that this difference was related to
 12 the weight factors of ot , es_t , tur , and ct . In some cases, specific weight factors might result in a
 13 greater difference in S_o , which might cause the optimal A_{SC} to vary between systems with and
 14 without heat loss from PST.

15



16

17 **Fig. 12.** Variations of overall performance score with SC surface area when weighting factors of ot , es_t , tur ,
 18 and ct were (a) 0.2, 0.2, 0.3, and 0.3; (b) 0.4, 0.3, 0.2, and 0.1

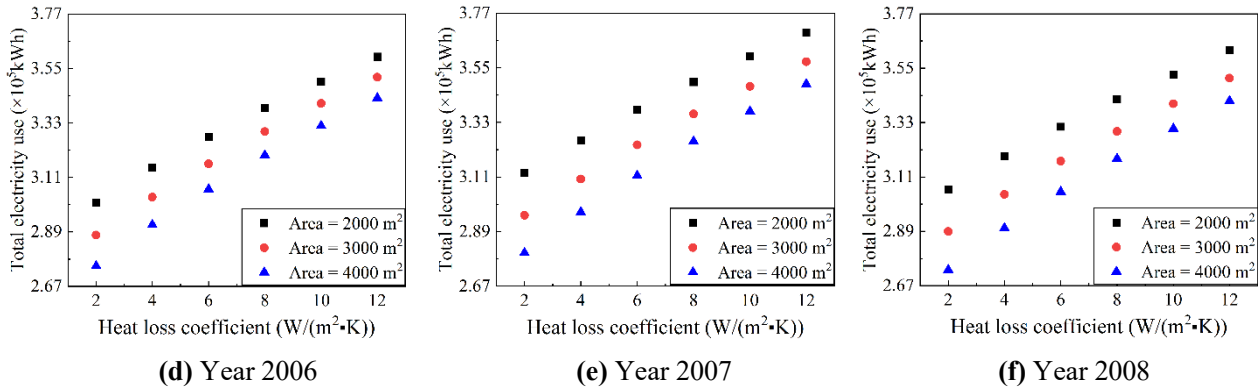
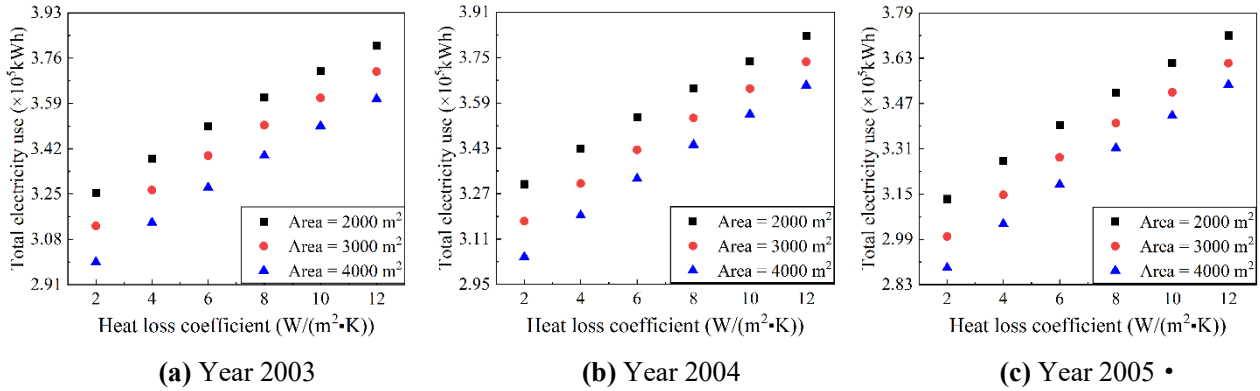
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20 5. Effect of heat loss coefficient

21 This section presents the effect of different h_{hl} on system performance, including energy,
 22 economic, technical, and environmental aspects. The system performance over nine years, i.e.,
 23 from 2003 to 2011, was considered. The annual average system performance was also analyzed.

1
 2 Fig. 13 depicts the variations of es_t with different h_{hl} across various years. In every year, es_t
 3 increased as h_{hl} increased, regardless of whether A_{SC} was 2,000 m², 3,000 m², or 4,000 m²,
 4 indicating that more electricity would be consumed when a higher h_{hl} was considered. The trend
 5 for the variation of es_t with h_{hl} was linear. The results in Fig. 13 showed that approximately 20%
 6 more energy would be utilized when h_{hl} increased from 2 W/(m²·K) to 12 W/(m²·K). Note that
 7 the range of h_{hl} , from 2 W/(m²·K) to 12 W/(m²·K), was chosen to analyze its effect on system
 8 performance. The value of 2 W/(m²·K) referred to the study of Melo and Lamberts [36], while 12
 9 W/(m²·K) referred to the study of Jin et al. [37].

10



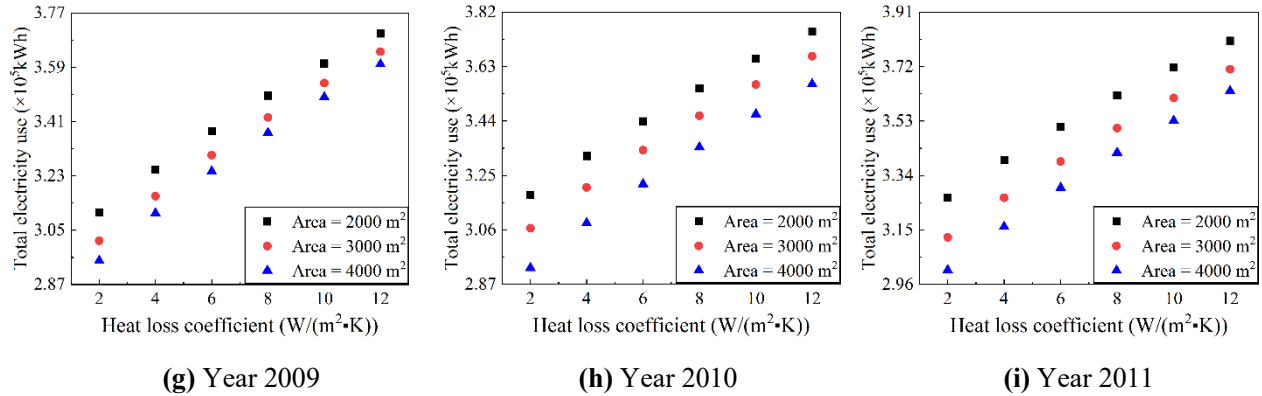
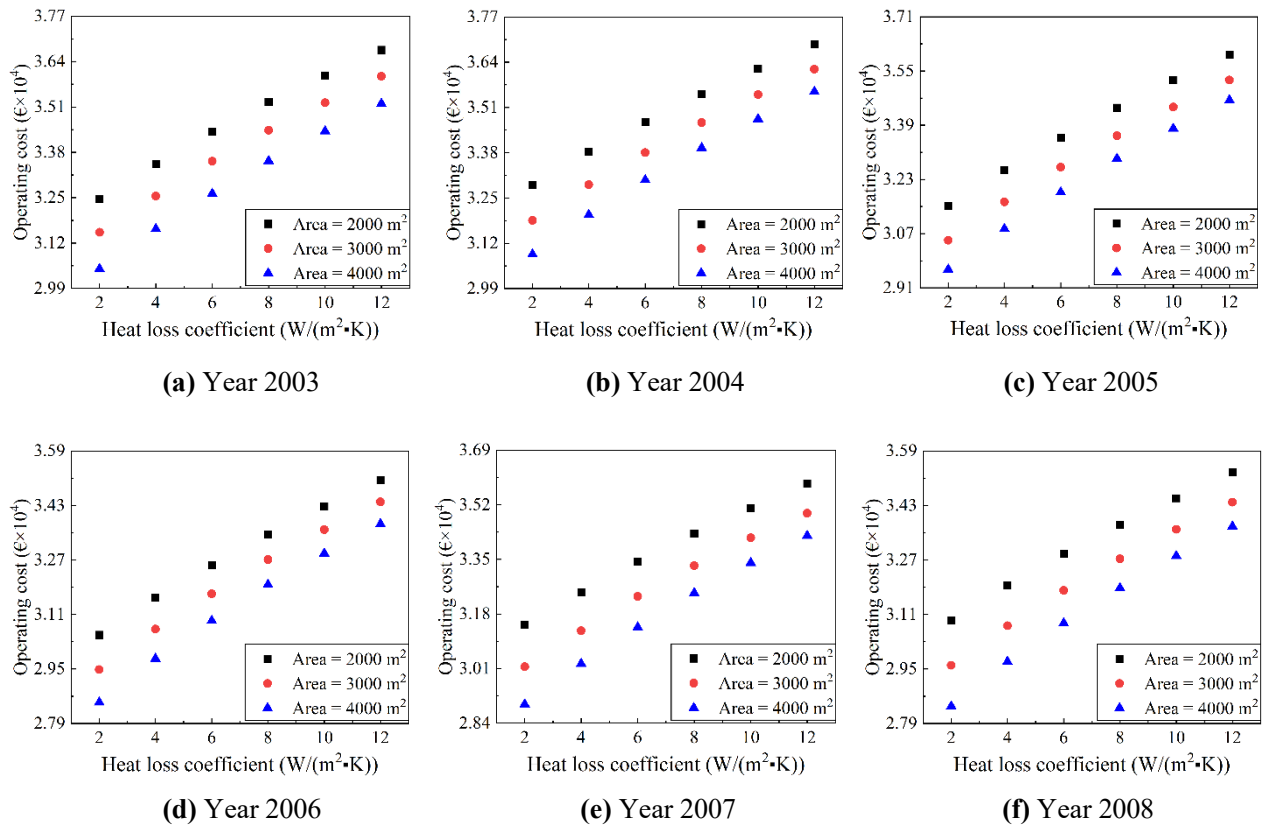


Fig. 13. Variations of total electricity use with different heat loss coefficients in different years

Fig. 14 depicts the variations of ot with different h_{hl} across various years. In each year, ot increased as h_{hl} increased, regardless of whether A_{SC} was 2,000 m², 3,000 m², or 4,000 m², indicating that higher ot was incurred when a higher h_{hl} was considered. The trend for the variation of ot with h_{hl} was linear. The results in Fig. 14 showed that ot would be approximately 15% higher when h_{hl} increased from 2 W/(m²·K) to 12 W/(m²·K).



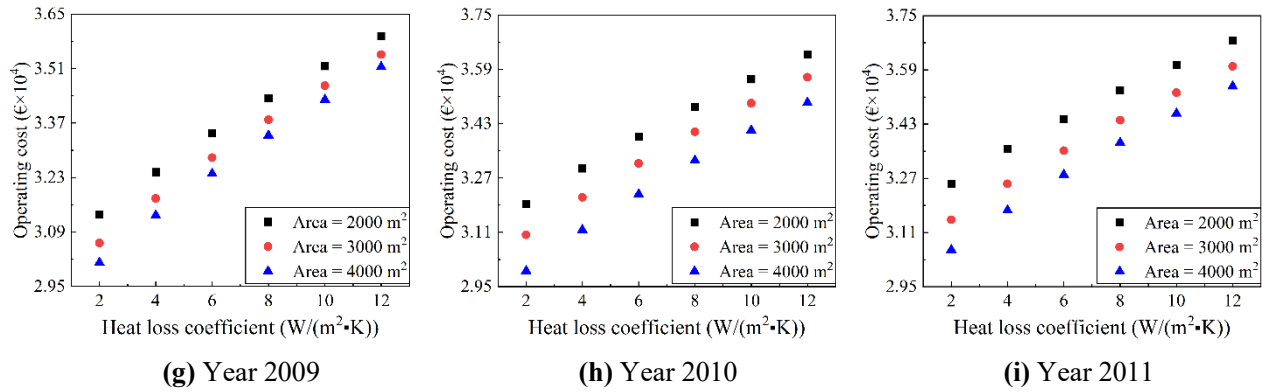
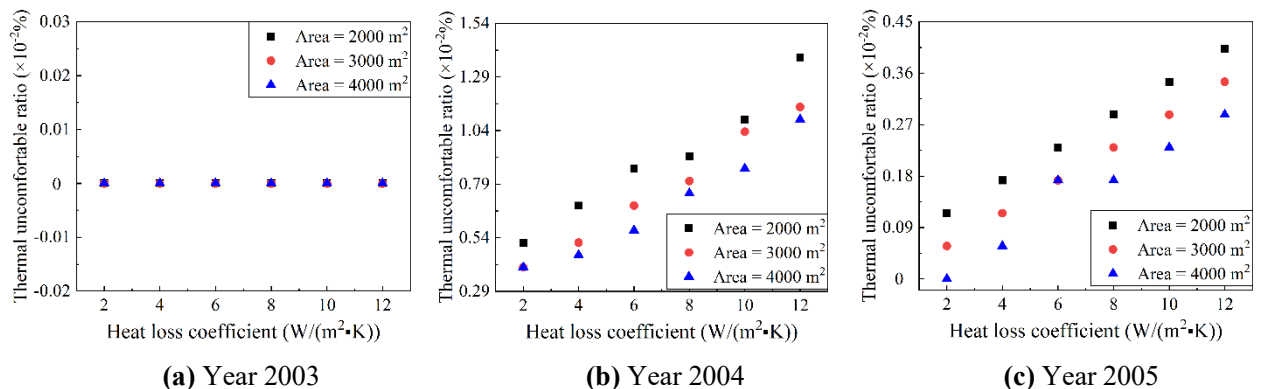
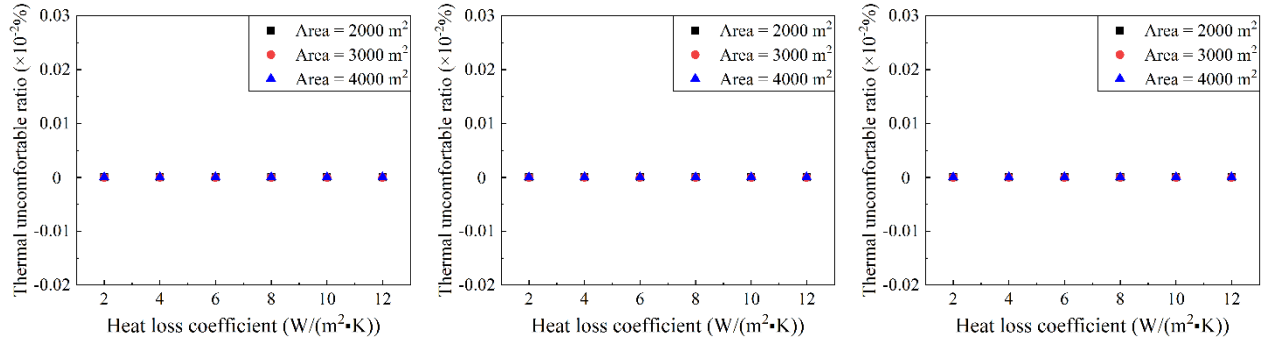


Fig. 14. Variations of operating cost with different heat loss coefficients in different years

Fig. 15 depicts the variations of t_{ur} with different h_{hl} across various years. In 2003, 2006, 2007, 2008, 2009, 2010, and 2011, t_{ur} remained 0 as h_{hl} increased from 2 W/(m²·K) to 12 W/(m²·K). However, in 2004 and 2005, t_{ur} increased as h_{hl} increased, regardless of whether A_{SC} was 2,000 m², 3,000 m², or 4,000 m², meaning that the stored heat might not be sufficient to meet heating demands with the increase of heat loss from PST. For an A_{SC} of 2,000 m², the maximum t_{ur} in 2004 and 2005 were 1.4×10^{-4} and 4.02×10^{-5} , respectively, occurring when h_{hl} was 12 W/(m²·K). The minimum t_{ur} in these years were 5.17×10^{-5} and 1.15×10^{-5} , respectively, occurring when h_{hl} was 2 W/(m²·K). For an A_{SC} of 3,000 m², the maximum t_{ur} in 2004 and 2005 were 1.15×10^{-4} and 3.4×10^{-5} , respectively, occurring when h_{hl} was 12 W/(m²·K). The minimum t_{ur} in these years were 4×10^{-5} and 6×10^{-6} , respectively, occurring when the heat loss was 2 W/(m²·K). For an A_{SC} of 4,000 m², the maximum t_{ur} in 2004 and 2005 were 1.09×10^{-4} and 2.9×10^{-5} , respectively, occurring when h_{hl} was 12 W/(m²·K). The minimum t_{ur} in these years were 4×10^{-5} and 0, respectively, occurring when the heat loss was 2 W/(m²·K). These results indicated that thermal comfort requirements were significantly compromised as h_{hl} increased from 2 W/(m²·K) to 12 W/(m²·K).



1



(d) Year 2006

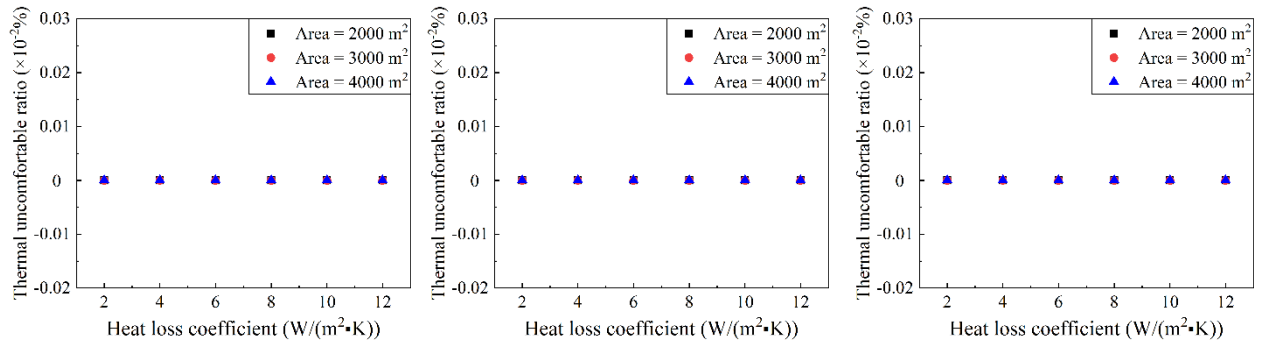
(e) Year 2007

(f) Year 2008

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(g) Year 2009

(h) Year 2010

(i) Year 2011

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Fig. 15. Variations of thermal uncomfortable ratio with different heat loss coefficients in different years

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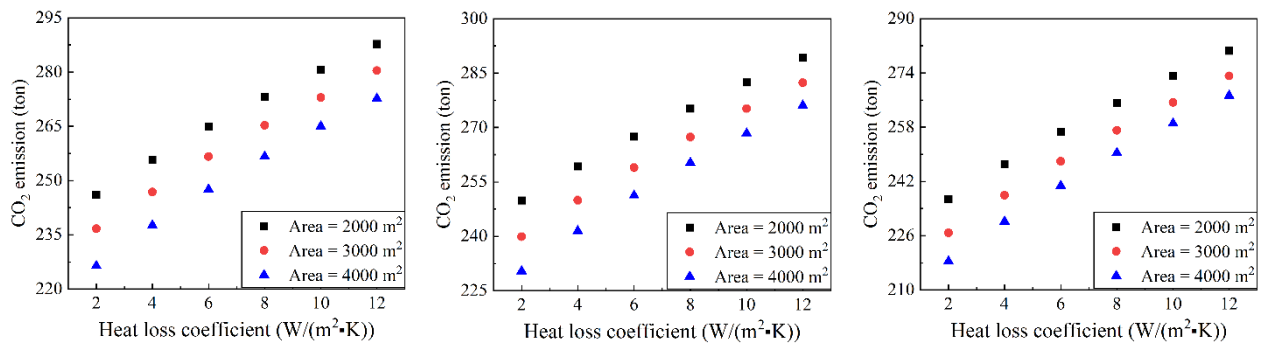
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(a) Year 2003

(b) Year 2004

(c) Year 2005

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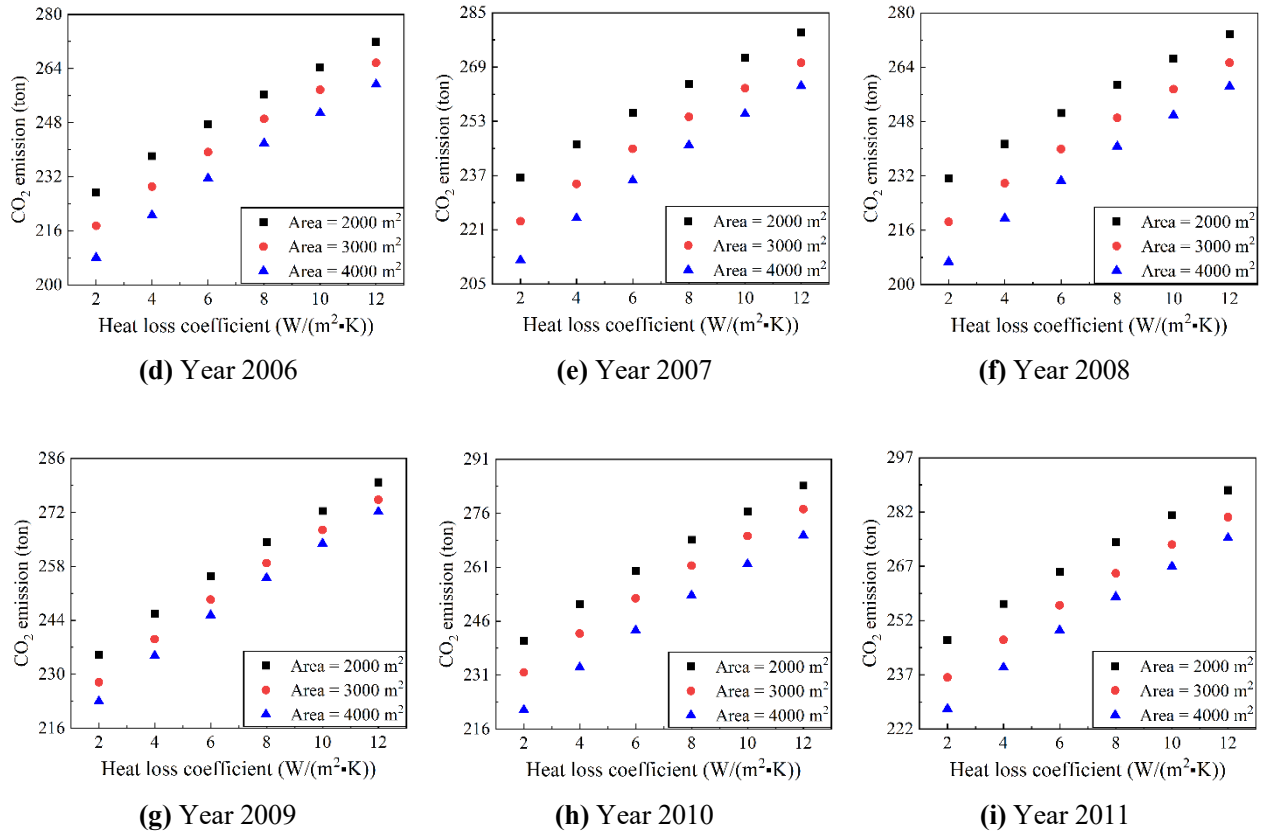


Fig. 16. Variations of CO₂ emission with different heat loss coefficients in different years

Fig. 17 depicts the variations of the annual average (a) es_t , (b) ot , (c) tur , and (d) cn for systems with different h_{hl} . The annual average es_t , ot , tur , and cn increased as the h_{hl} rose. The analysis of these variations aligned with the analysis for the Figs. 13, 14, 15, and 16. For the case where A_{SC} was 2,000 m², es_t was 3.16×10^5 kWh when h_{hl} was 2 W/(m²·K) and increased to 3.72×10^5 kWh when h_{hl} reached 12 W/(m²·K), representing a 17.9% increase. The ot was € 3.17×10^4 at h_{hl} of 2 W/(m²·K) and rose to € 3.61×10^4 at h_{hl} of 12 W/(m²·K), representing a 13.7% increase. The tur was 7×10^{-6} at h_{hl} of 2 W/(m²·K) and rose to 1.98×10^{-5} at h_{hl} of 12 W/(m²·K), representing a 181.8% increase. The cn was 238.9 tons at h_{hl} of 2 W/(m²·K) and rose to 281.6 tons at h_{hl} of 12 W/(m²·K), representing a 17.9% increase.

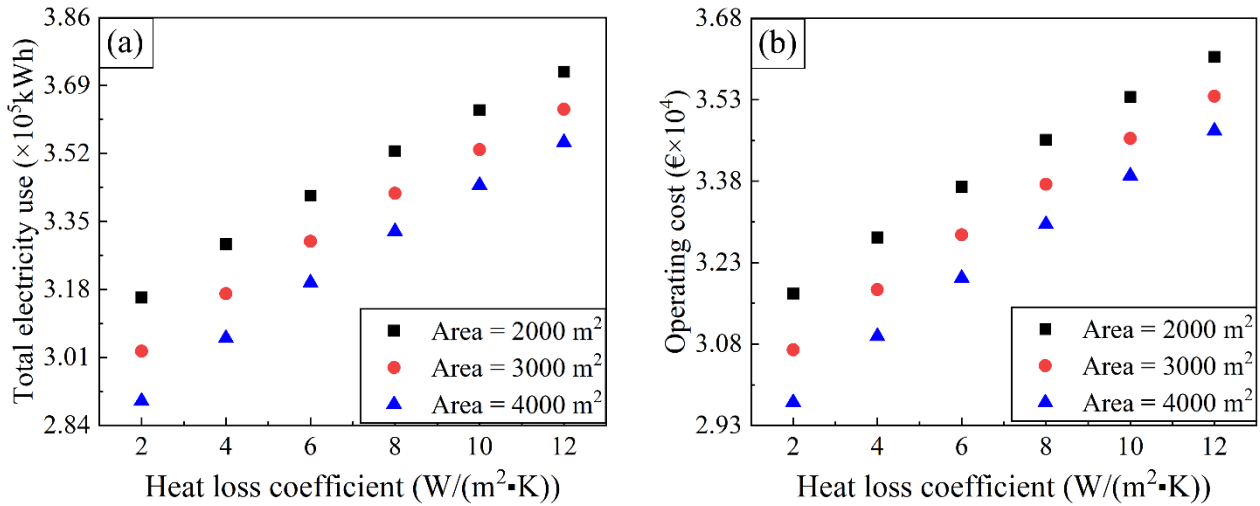
For the case where A_{SC} was 3,000 m², es_t was 3.03×10^5 kWh at h_{hl} of 2 W/(m²·K) and rose to 3.63×10^5 kWh at h_{hl} of 12 W/(m²·K), representing a 20% increase. The ot was € 3.07×10^4 at h_{hl} of 2 W/(m²·K) and rose to € 3.54×10^4 at h_{hl} of 12 W/(m²·K), representing a 15.2% increase. The tur was 5.1×10^{-6} at h_{hl} of 2 W/(m²·K) and rose to 1.66×10^{-5} at h_{hl} of 12 W/(m²·K), representing

1 a 225% increase. The cn was 228.7 tons at h_{hl} of 2 W/(m²·K) and rose to 274.5 tons at h_{hl} of 12
 2 W/(m²·K), representing a 20% increase.

3
 4 For the case where A_{SC} was 4,000 m², es_t was 2.9×10⁵ kWh at h_{hl} of 2 W/(m²·K) and rose to
 5 3.55×10⁵ kWh at h_{hl} of 12 W/(m²·K), representing a 22.3% increase. The ot was € 2.97×10⁴ at
 6 h_{hl} of 2 W/(m²·K) and rose to € 3.47×10⁴ at h_{hl} of 12 W/(m²·K), representing a 16.8% increase.
 7 The tur was 4.5×10⁻⁶ at h_{hl} of 2 W/(m²·K) and rose to 1.53×10⁻⁵ at h_{hl} of 12 W/(m²·K),
 8 representing a 242.9% increase. The cn was 219.3 tons at h_{hl} of 2 W/(m²·K) and rose to 268.2 tons
 9 at h_{hl} of 12 W/(m²·K), representing a 22.3% increase.

10
 11 When h_{hl} increased from 2 W/(m²·K) to 12 W/(m²·K), the increase of es_t , ot , tur , and cn reached
 12 up to 22.3%, 16.8%, 242.9%, and 22.3%, respectively. This indicated that each additional 1
 13 W/(m²·K) would lead to increases of 2.23%, 1.68%, 24.3%, and 2.23% for es_t , ot , tur , and cn ,
 14 respectively. These values highlighted the significance of h_{hl} for maintaining optimal performance
 15 in solar-assisted heat pump systems. Improved thermal-insulation of the PST could reduce h_{hl} ,
 16 thereby enhancing the energy, economic, environmental, and technical performance.

17



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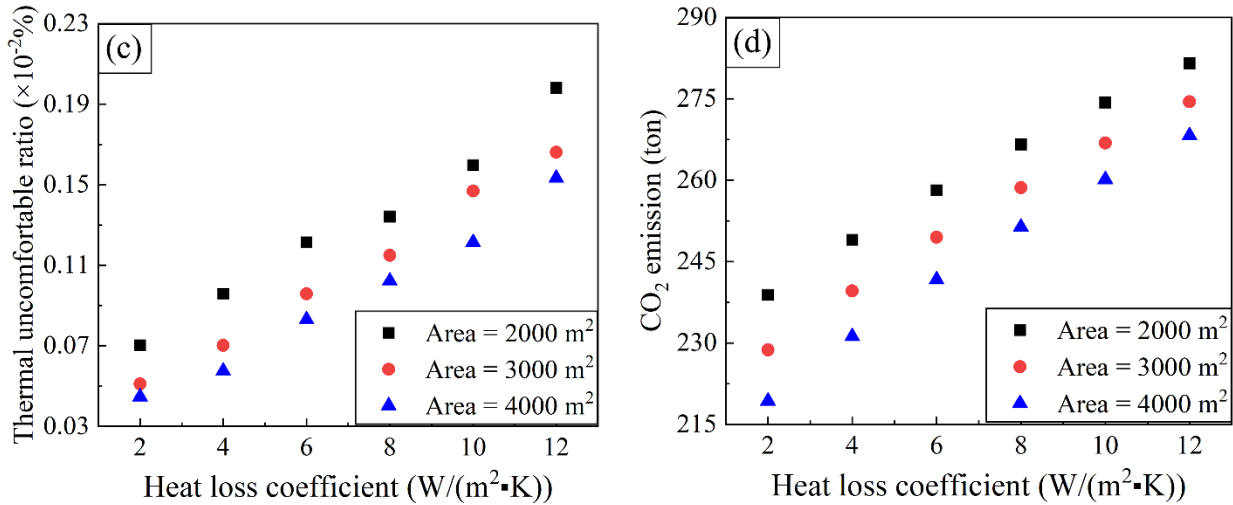


Fig. 17. The variations of the average (a) total electricity use, (b) operating cost, (c) thermal uncomfortable ratio, and (d) CO₂ emission with different heat loss coefficients

6. Conclusions

This study examined the impact of heat loss from PST on the performance of a solar-assisted heat pump system. A case study was conducted where the solar-assisted heat pump system with the PST was used to supply heat to a swimming pool. TRNSYS and MATLAB were used to construct the system's simulation platform. es_t , ot , cn , and tur served as the performance indicators for energy, economic, environmental, and technical performance, respectively.

The study compared system performance with and without heat loss from PST and analyzed the influence of h_{hl} on system performance. The average annual average es_t for systems with and without heat loss from PST was 2.78×10^5 kWh and 2.46×10^5 kWh, respectively. Correspondingly, the energy use per area was 252.9 kWh/m² with heat loss from PST and 223.6 kWh/m² without heat loss from PST, indicating a 13.3% higher energy consumption due to heat loss from PST. The average annual average ot for systems with and without heat loss from PST was $\text{€ } 2.8 \times 10^4$ and $\text{€ } 2.55 \times 10^4$, respectively, resulting in a 9.9% higher cost with heat loss from PST. The average annual average tur for systems with and without heat loss from PST was 9.3×10^{-6} and 5.82×10^{-6} , respectively, meaning the former was 1.6 times larger than the latter. The optimal A_{SC} , identified via multi-criteria optimization approach, was the same for systems with and without PST heat loss, though variations in weight factors could lead to different optimal SC values. As h_{hl} increased from 2 W/(m²·K) to 12 W/(m²·K), the increases of es_t , ot , tur , and cn could reach 22.3%, 16.8%,

1 242.9%, and 22.3%, respectively. These results highlighted the significance of the thermal
2 insulation for the PST. This study addressed the extent of the influence of heat loss from PST on
3 the performance of solar-assisted heat pump system.

4
5 The key limitations of this study were as follows: a) A photovoltaic/thermal collector could be used
6 instead of a solar collector, potentially enhancing the energy efficiency. Therefore, a feasibility
7 study on using PV/T collectors for swimming pool applications should be conducted.; b) Currently
8 the investigated system was utilized to heat the swimming pool during winter season, meaning it
9 remained idle during other seasons. Extending the system's application to other uses, such as
10 providing domestic hot water, and evaluating its year-round performance would be beneficial; c)
11 The use of SC could effectively increase energy efficiency but also raise system's *ct*. Therefore, it
12 was necessary to compare the performance between systems with and without SC comprehensively;
13 d) During the system's operation, temperature setpoints T_1 and T_2 were controlled to maintain
14 desired levels. However, the effects of T_1 and T_2 on the energy, economic, and technical
15 performance remained unclear. Future studies should analyze how T_1 and T_2 impacted system
16 performance.

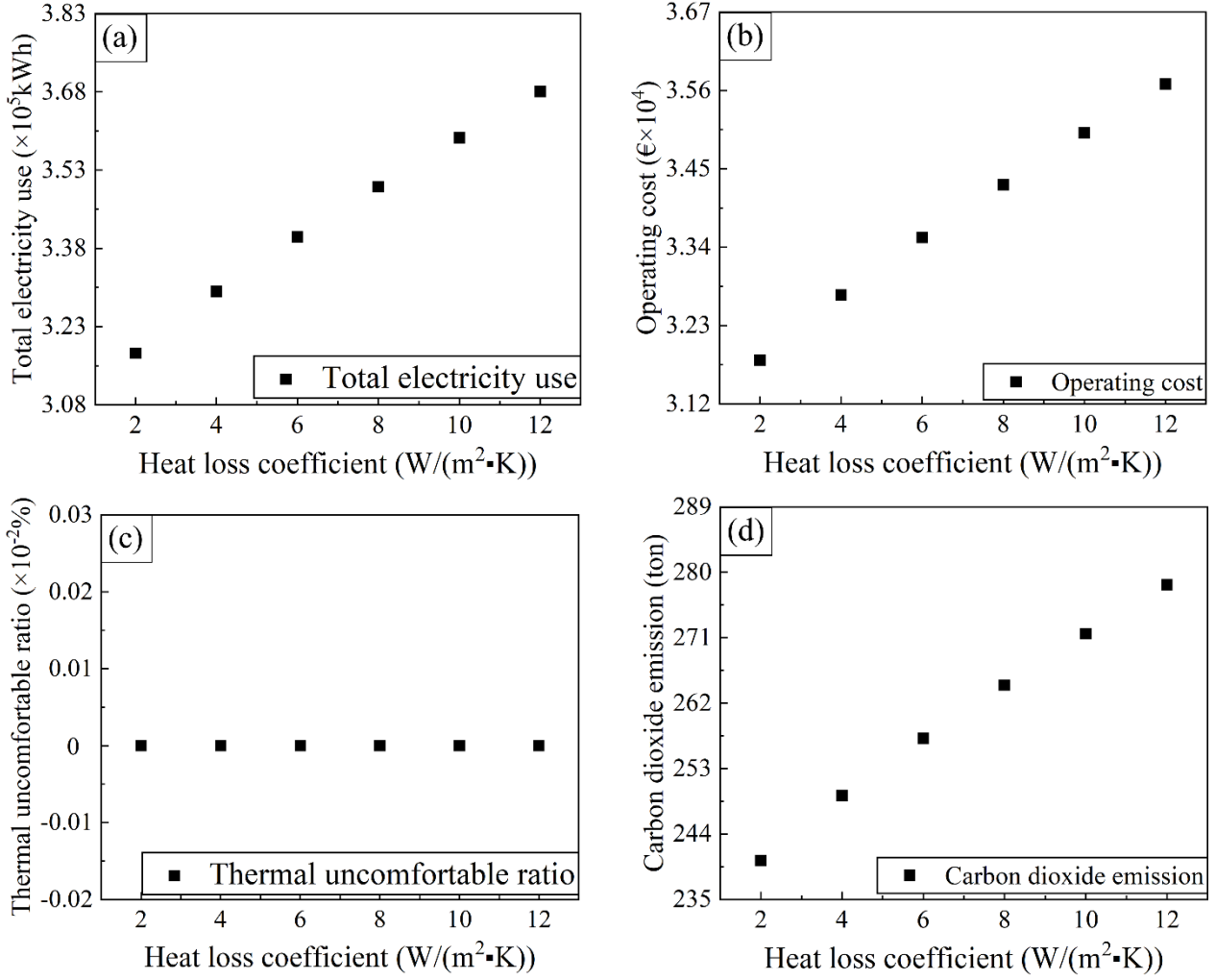
17 18 **Acknowledgements**

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20 (2022A1515140105) and Guangdong Provincial University Youth Innovative Talent Project
21 (2024KQNCX154). This work is also supported by Guangdong Provincial University Innovation
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25 26 **Appendix A**

27 Fig. A1 depicts the variations of (a) es_t , (b) ot , (c) tur , and cn with different heat loss coefficient
28 (h_{hl}) when nine-years average meteorological data was used. In Fig. A1 (a), es_t increased with the
29 increase of h_{hl} . es_t was 3.18×10^5 kWh and 3.68×10^5 kWh when h_{hl} was 2 W/(m²·K) and 12
30 W/(m²·K), respectively. This meant that es_t was increased by 15.8% when h_{hl} varied from 2
31 W/(m²·K) to 12 W/(m²·K). In Fig. A1 (b), ot increased with the increase of h_{hl} . ot was € 3.18×10^4
32 and € 3.57×10^4 when h_{hl} was 2 W/(m²·K) and 12 W/(m²·K), respectively. This meant that ot was

1 increased by 12.2% when h_{hl} varied from 2 W/(m²·K) to 12 W/(m²·K). In Fig. A1 (c), tur was
 2 still 0 when h_{hl} varied from 2 W/(m²·K) to 12 W/(m²·K). In Fig. A1 (d), cn increased with the
 3 increase of h_{hl} . cn was 240.3 tons and 278.3 tons when h_{hl} was 2 W/(m²·K) and 12 W/(m²·K),
 4 respectively.
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11 Appendix B

12 The aim of the optimization was to maximize S_o by identifying optimal A_{SC} and h_{hl} , and thus the
 13 optimization problem could be expressed as Eqn. (B1):

14
$$(A_{SC}^*, h_{hl}^*) = \operatorname{argmax}_{A_{SC}, h_{hl}} S_o \quad (B1)$$

1 The maximum and minimum values of A_{SC} were 5,500 m² and 2,000 m², respectively. The
 2 maximum and minimum values of h_{hl} were 12 W/(m²·K) and 2 W/(m²·K), respectively. DESIGN
 3 EXPERT was applied to construct cubic models for es_t , ot , and tur . The cubic models could be
 4 expressed as Eqn. (B2):

$$5 \quad U = h_0 + h_1 \cdot A_{SC,cx} + h_2 \cdot h_{hl,cx} + h_3 \cdot A_{SC,cx} \cdot h_{hl,cx} + h_4 \cdot A_{SC,cx}^2 + h_5 \cdot h_{hl,cx}^2 + h_6 \cdot A_{SC,cx} \cdot$$

$$6 \quad \quad \quad h_{hl,cx} + h_7 \cdot h_{hl,cx}^2 \cdot A_{SC,cx} \quad (B2)$$

7 where U denotes the response parameter. $h_0, h_1, h_2, h_3, h_4, h_5, h_6,$ and h_7 are the coefficients for
 8 cubic model. $A_{SC,cx}$ and $h_{hl,cx}$ that are respectively the coded A_{ST} and h_{hl} , are calculated by Eqns.
 9 (B3) and (B4):

$$10 \quad A_{SC,cx} = \frac{2(A_{SC} - A_{SC,mn})}{A_{SC,mx} - A_{SC,mn}} - 1 \quad (B3)$$

$$11 \quad h_{hl,cx} = \frac{2(h_{hl} - h_{hl,mn})}{h_{hl,mx} - h_{hl,mn}} - 1 \quad (B4)$$

12 where mx and mn denote the maximum and minimum values, respectively. Table B1 depicts the
 13 coefficients in cubic models for es_t , ot , and tur .

14

15

Table B1 Coefficients in cubic models for es_t , ot , and tur

	es_t	ot	tur
h_0	3.40	3.36	0.6898
h_1	-0.1667	-0.1287	0.1437
h_2	0.2994	0.2312	0.3162
h_3	0.0343	0.0265	-0.0575
h_4	0.0209	0.0162	0.3737
h_5	-0.0320	-0.0246	0.0287
h_6	-0.0033	-0.0026	0.0575
h_7	-0.0076	-0.0059	0

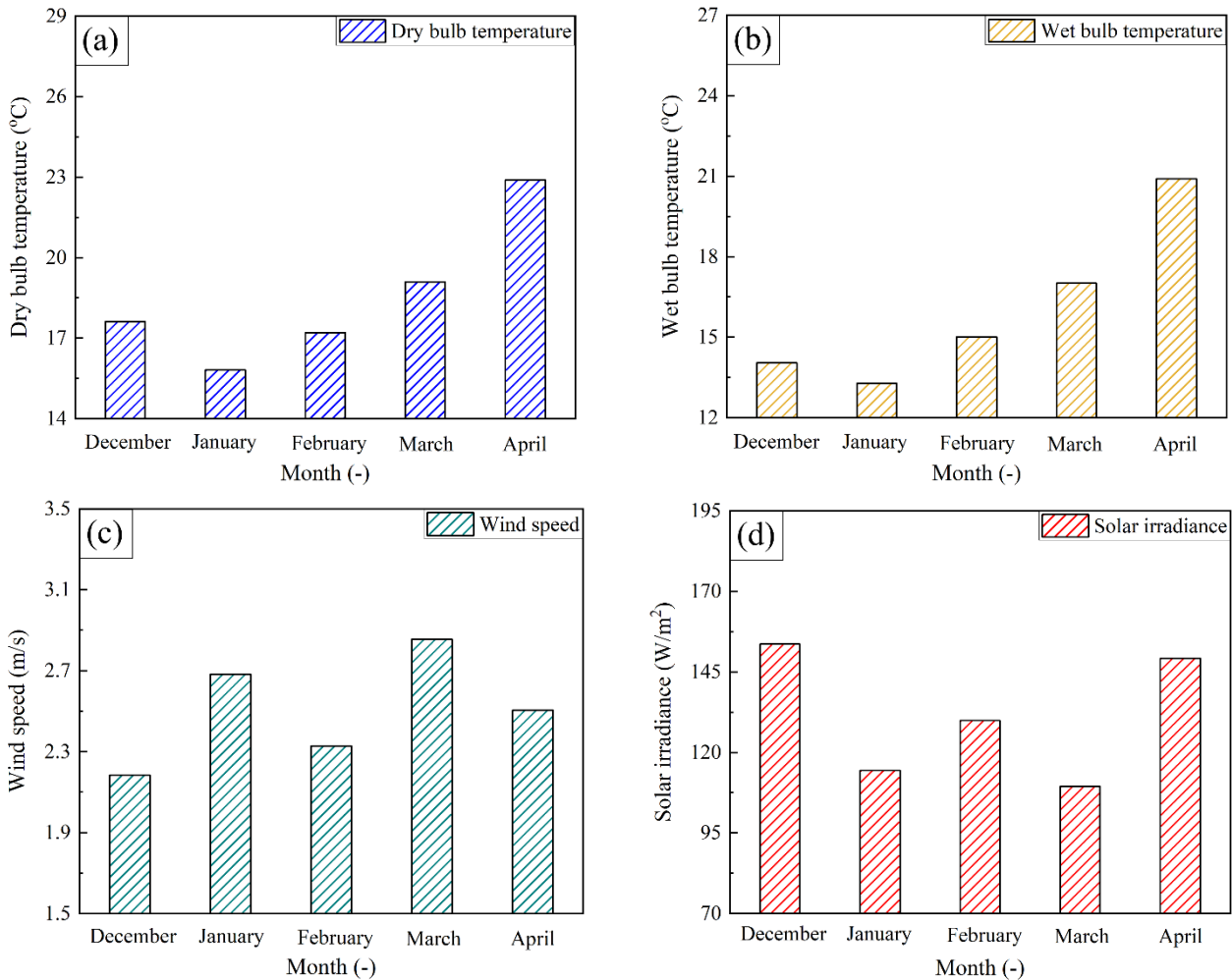
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17 In the case when $b_{ot}, b_{es_t}, b_{tur}$, and b_{ct} were respectively 0.2, 0.2, 0.3, and 0.3, the maximum S_o
 18 was 0.8, and the corresponding optimal A_{SC} and h_{hl} were 2,561.8 m² and 2 W/(m²·K), respectively.
 19 In the case when $b_{ot}, b_{es_t}, b_{tur}$, and b_{ct} were respectively 0.4, 0.3, 0.2, and 0.1, the maximum S_o
 20 was 0.83, and the corresponding optimal A_{SC} and h_{hl} were 4,632.1 m² and 2 W/(m²·K),
 21 respectively.

1

2 Appendix C

3 Fig. C1 depicts the monthly average (a) dry bulb temperature, (b) wet bulb temperature, (c) wind
4 speed and (d) solar irradiance. Note that the period for offering heat to the swimming pool was a
5 winter season, i.e., from December 1st to April 30th. Thus, the weather data of December, January,
6 February, March, and April were applied in this section. The maximum monthly average dry bulb
7 temperature, wet bulb temperature, wind speed, and solar irradiance were 22.9°C, 21°C, 2.86 m/s,
8 and 154 W/m², respectively. The minimum monthly average dry bulb temperature, wet bulb
9 temperature, wind speed, and solar irradiance were 15.8°C, 13.3°C, 2.18 m/s, and 109 W/m²,
10 respectively.



11

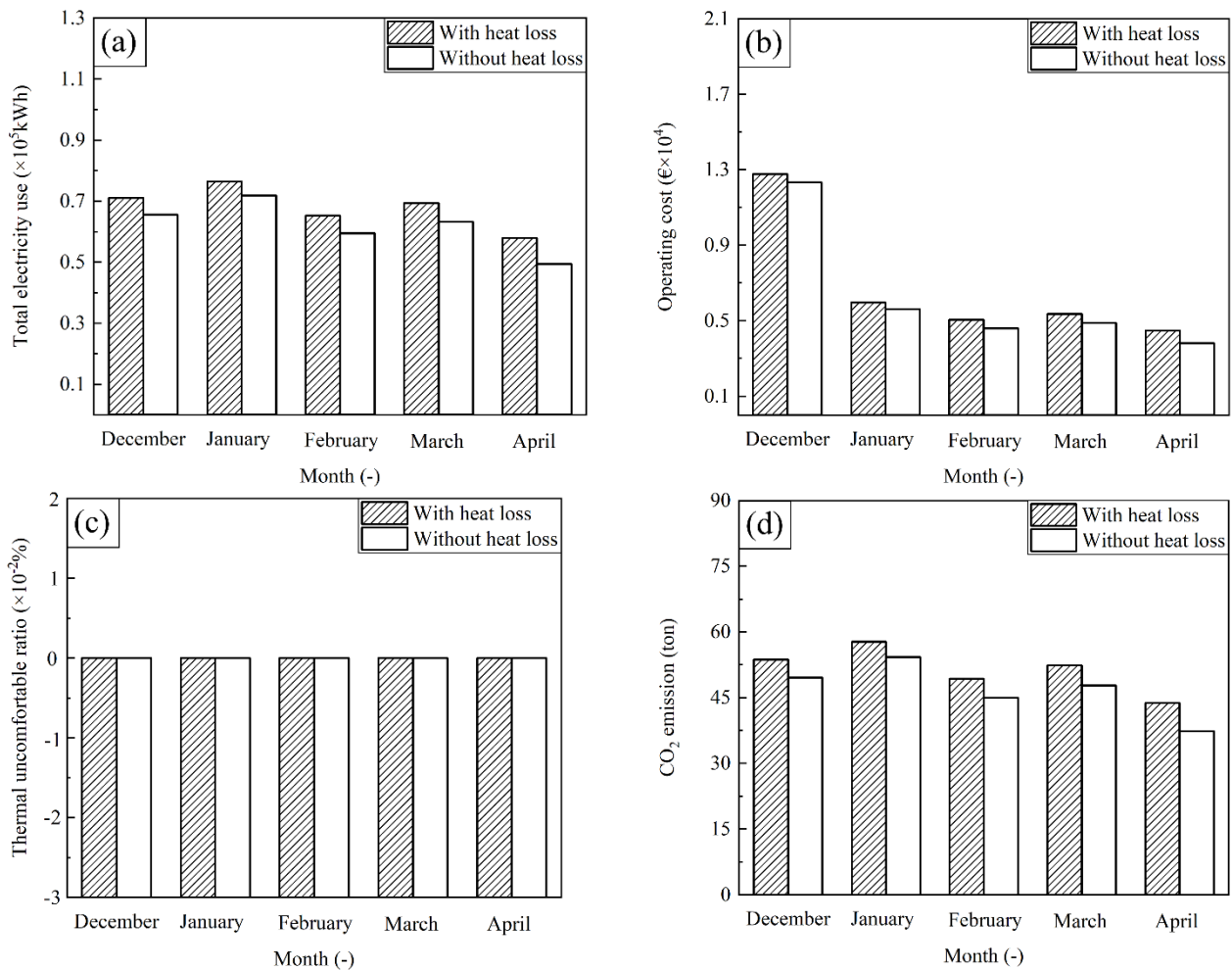
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Fig. C1. The monthly average (a) dry bulb temperature, (b) wet bulb temperature, (c) wind speed and (d) solar irradiance

1 Fig. C2 depicts the variations of (a) es_t , (b) ot , (c) tur , and cn in different months. In all the
 2 months, i.e., from December to April, es_t , ot , and cn of the system with heat loss from PST were
 3 higher than those without heat loss from PST. The maximum es_t , ot , and cn of the system with
 4 heat loss from PST were 7.64×10^4 kWh, $\text{€}1.28 \times 10^4$, and 57.8 ton, occurring at January, December,
 5 and January, respectively. The minimum es_t , ot , and cn of the system with heat loss from PST
 6 were 5.79×10^4 kWh, $\text{€}4.48 \times 10^3$, and 43.8 ton. All these cases occurred at April. The maximum
 7 es_t , ot , and cn of the system without heat loss from PST were 7.18×10^4 kWh, $\text{€}1.23 \times 10^4$, and
 8 54.3 ton, occurring at January, December, and January, respectively. The minimum es_t , ot , and
 9 cn of the system without heat loss from PST were 4.94×10^4 kWh, $\text{€}3.81 \times 10^3$, and 37.3 ton. All
 10 these cases occurred at April. tur of the systems with and without heat loss from PST were still 0
 11 in different months.
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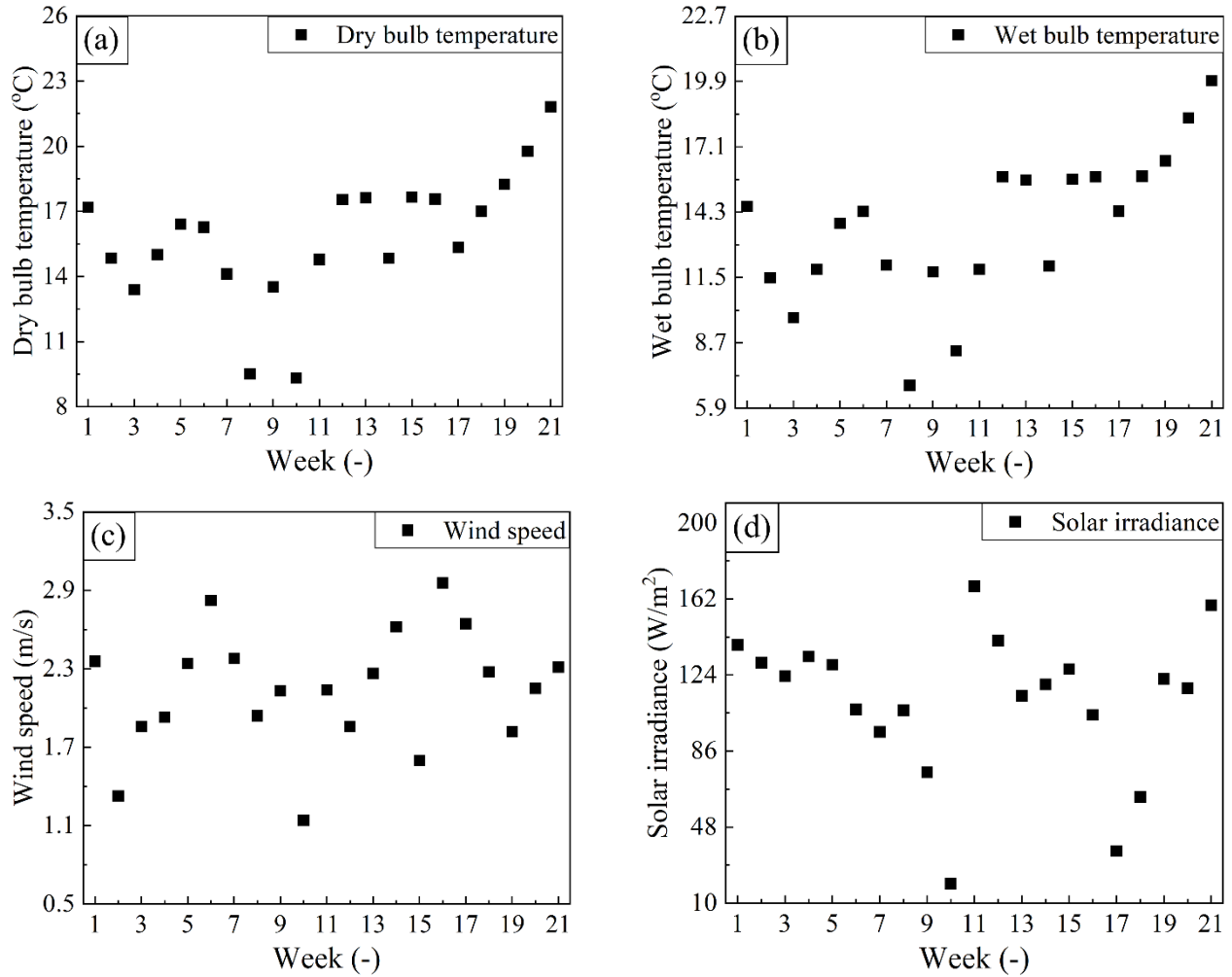


14 **Fig. C2.** (a) Total electricity use, (b) operating cost, (c) thermal uncomfortable ratio, and (d) CO₂ emission in
 15 different months
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1 **Appendix D**

2 Fig. D1 depicts the weekly average (a) dry bulb temperature, (b) wet bulb temperature, (c) wind
 3 speed, and (d) solar irradiance. The maximum weekly average dry bulb temperature, wet bulb
 4 temperature, wind speed, and solar irradiance were 21.8°C, 19.9°C, 2.96 m/s, and 168 W/m²,
 5 respectively. The minimum weekly average dry bulb temperature, wet bulb temperature, wind
 6 speed, and solar irradiance were 9.3°C, 6.9°C, 1.14 m/s, and 20 W/m², respectively.

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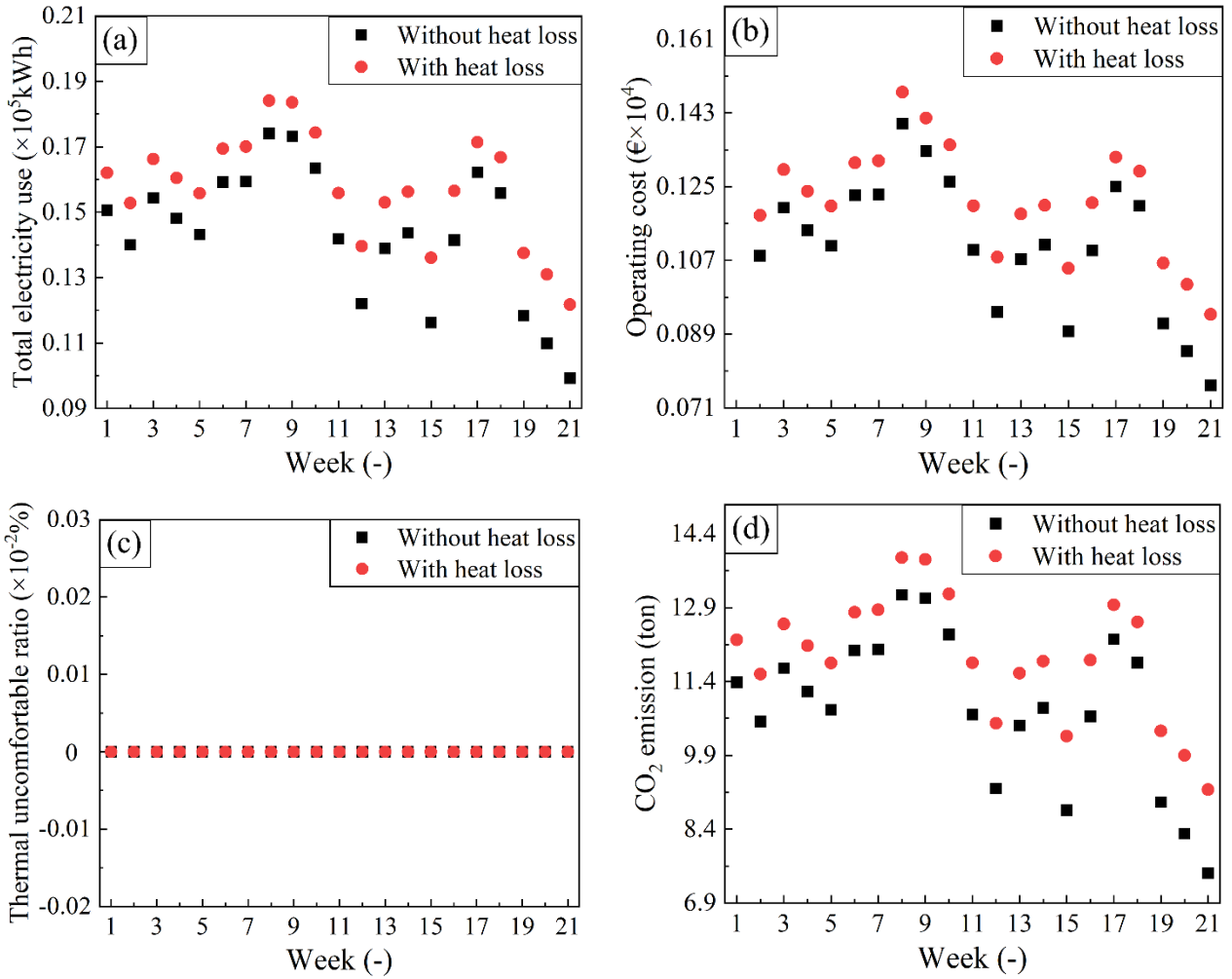
10 **Fig. D1.** The weekly average (a) dry bulb temperature, (b) wet bulb temperature, (c) wind speed and (d) solar
 11 irradiance

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13 Fig. D2 depicts the variations of (a) es_t , (b) ot , (c) tur , and cn in different months. In all the
 14 months, i.e., from the 1st week to 21st week, es_t , ot , and cn of the system with heat loss from PST
 15 were higher than those without heat loss from PST. The maximum es_t , ot , and cn of the system

1 with heat loss from PST were 1.84×10^4 kWh, $\text{€}8.52 \times 10^3$, and 13.9 ton, occurring at the 8th, 1st,
 2 and 8th weeks, respectively. Note that ot for the 1st week was much larger than ot for other weeks.
 3 Thus, ot for the 1st week was not presented for better visual impression. The minimum es_t , ot , and
 4 cn of the system with heat loss from PST were 1.22×10^4 kWh, $\text{€}9.38 \times 10^2$, and 9.2 ton. All these
 5 cases occurred at the 21st week. The maximum es_t , ot , and cn of the system without heat loss from
 6 PST were 1.74×10^4 kWh, $\text{€}8.43 \times 10^3$, and 13.2 ton, occurring at the 8th, 1st, and 8th weeks. The
 7 minimum es_t , ot , and cn of the system without heat loss from PST were 9.92×10^3 kWh,
 8 $\text{€}7.65 \times 10^2$, and 7.5 ton. All these cases occurred at the 21st week. tur of the systems with and
 9 without heat loss from PST were still 0 in different weeks.

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Fig. D2. (a) Total electricity use, (b) operating cost, (c) thermal uncomfortable ratio, and (d) CO₂ emission in different weeks

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