1 Echoes from intrinsic connectivity networks in

2 the subcortex

3	Abbreviated title: Echoes in the subcortex					
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23	1 0	-				
24						
25	Number of pages:	33				
26	Number of figures/tables:	4/1				
27	Words abstract:	229				
28	Words Introduction:	658				
29	Words Discussion:	1637				
30						
31						
32						
33	Funding					
3/	This work was financially supported by the Netherlands Organization of Scientific Pessarch					
<u>э</u> г	(NWO) grant number 016 Viei 195 052 to DUE)					
35	(NWO; grant number 016.VICI.185.052 to BUF).					

Abstract

37 Decades of research have greatly improved our understanding of intrinsic human brain organization 38 in terms of functional networks and the transmodal hubs within the cortex at which they converge. 39 However, substrates of multi-network integration in the human subcortex are relatively uncharted. 40 Here, we leveraged recent advances in subcortical atlasing and ultra-high field (7T) imaging optimized 41 for the subcortex to investigate the functional architecture of fourteen individual structures in healthy 42 adult males and females with a fully data-driven approach. We revealed that spontaneous neural 43 activity in subcortical regions can be decomposed into multiple independent subsignals that correlate 44 with, or 'echo', the activity in functional networks across the cortex. Distinct subregions of the 45 thalamus, striatum, claustrum, and hippocampus showed a varied pattern of echoes from attention, 46 control, visual, somatomotor, and default mode networks, demonstrating evidence for a 47 heterogeneous organization supportive of functional integration. Multiple network activity 48 furthermore converged within the globus pallidus externa, substantia nigra, and ventral tegmental 49 area but was specific to one subregion, while the amygdala and pedunculopontine nucleus 50 preferentially affiliated with a single network, showing a more homogeneous topography. Subregional 51 connectivity of the globus pallidus interna, subthalamic nucleus, red nucleus, periaqueductal grey, and 52 locus coeruleus did not resemble patterns of cortical network activity. Together, these finding describe 53 potential mechanisms through which the subcortex participates in integrated and segregated 54 information processing and shapes the spontaneous cognitive dynamics during rest.

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56 Keywords: resting-state, 7 Tesla, functional connectivity, dual regression, network integration

58 Despite the impact of subcortical dysfunction on brain health and cognition, large-scale functional 59 mapping of subcortical structures severely lags behind that of the cortex. Recent developments in 60 subcortical atlasing and imaging at ultra-high field provide new avenues for studying the intricate 61 functional architecture of the human subcortex. With a fully data-driven analysis, we reveal 62 subregional connectivity profiles of a large set of non-cortical structures, including those rarely studied 63 in fMRI research. The results have implications for understanding how the functional organization of 64 the subcortex facilitates integrative processing through cross-network information convergence, 65 paving the way for future work aimed at improving our knowledge of subcortical contributions to 66 intrinsic brain dynamics and spontaneous cognition.

Significance statement

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Introduction

A large body of research in the past decades has focused on descriptions of the macroscopic 68 organization of the human brain in terms of intrinsic functional connectivity (FC) and its role in 69 70 orchestrating cognition and behavior (Damoiseaux et al 2006; Liégeois et al 2019; Lee et al 2019). The 71 integration of distributed, functionally specialized brain networks is thought to be essential, especially 72 for higher-level cognition and consciousness (Senden et al 2014; Bell and Shine 2016). With a variety 73 of methods, specific sites for network convergence have been identified in the posterior cingulate 74 cortex (PCC), anterior cingulate cortex (ACC), and the posterior parietal cortices (Tomasi and Volkow 75 2011; Bell and Shine 2015; Lyu et al 2021), revealing an ensemble of transmodal regions in the cortex 76 that enable efficient global communication (Van der Heuvel and Sporns 2011; Grayson et al 2014). 77 With a novel multivariate approach, it was revealed that subtle signals from functionally specialized 78 subdivisions within these regions have connectivity profiles that mirror, or 'echo', the activity of 79 different networks, potentially indicating a mechanism through which they facilitate cross-network 80 information integration (Leech et al 2012; Braga et al 2013; Braga & Leech 2015).

81 Although this work has provided important insights, the dominating corticocentric view overlooks 82 potential contributions from the highly diverse and interconnected structures in the subcortex (Bell 83 and Shine 2016; Forstmann et al 2017; Tian et al 2020). This knowledge gap is likely related to the 84 challenges associated with visualizing the subcortex using conventional MRI due to the varied magnetic 85 tissue properties and generally weaker signal-to-noise ratio (SNR) compared to the cortex (De 86 Hollander et al 2017; Keuken et al 2018). Nonetheless, many subcortical structures are part of 87 extensive cortico-subcortical circuitry and demonstrate widespread FC to networks including the 88 default mode network (Haber 2003; Bär et al 2016; Lee et al 2018; Ji et al 2019; Li et al 2021). Compared 89 to the smaller subcortical nuclei in the deep brain, larger structures such as the thalamus and striatum 90 have received a relatively high amount of attention, establishing their hub-like properties and roles in 91 integrative processing (Choi et al 2012; Jarbo and Verstynen 2015; Hwang et al 2017; Seitzman et al 92 2020; Greene et al 2020; Cheng and Liu 2021). However, most of the subcortex remains

underrepresented in human functional MRI (fMRI) studies and the majority of available evidence is
based on lower field strength (3 Tesla), often combined with extensive spatial smoothing, both of
which limit the spatial resolution needed to resolve smaller nuclei and increase the risk for signal
blurring (De Hollander et al 2015; Forstmann et al 2017).

97 Due to these shortcomings, the functional architecture of the subcortex and its role in integrative 98 processing remains poorly understood. Given that subcortical dysfunction is heavily implicated in a 99 wide range of neuropsychiatric diseases, advancing this knowledge may be vital for our understanding 100 of healthy cognitive functioning as well as improving disease models. Charting the topography of 101 network echoes within the subcortex provides a compelling approach to accomplish new insights into 102 the subcortical contributions to whole-brain communication and higher-level cognition. Following 103 previous work (Leech et al 2012; Braga et al 2013), we define an echo as a unique subregional 104 connectivity profile that traces the activity pattern of a functional network. By leveraging recent 105 advances in automated parcellation algorithms and sensitive fMRI protocols for the subcortex at ultra-106 high field (Bazin et al 2020; Miletic et al 2020), we aim to extend the previously established multivariate 107 echo analysis to a large set of subcortical structures, including those rarely studied with human fMRI: 108 the thalamus, striatum, globus pallidus externa, globus pallidus interna, subthalamic nucleus, 109 claustrum, hippocampus, amygdala, substantia nigra, red nucleus, ventral tegmental area, locus 110 coeruleus, periaqueductal grey, and pedunculopontine nucleus. Similar to findings for the cortex, we 111 expect that subcortical structures organized to facilitate multi-network integration demonstrate a 112 heterogeneous subregional topography of intrinsic echoes from separate functional networks, which 113 are likely hidden with previous univariate connectivity analyses.

Methods

115 Participants

116 The study was approved by the Ethics Review Board of the University of Amsterdam and the 117 Regional Committees for Medical and Health Research Ethics in Norway. Forty healthy adults between 118 19 and 39 years old (21 female, mean age=26.5, SD=5.5 years) were recruited from the general 119 population in Norway and screened for MRI compatibility. Exclusion criteria were self-reported (history 120 of) neurological or psychiatric disease, impaired vision, or any contra-indications for MRI such as metal 121 implants. Written informed consent was obtained from all participants prior to data collection. All 122 materials, code, and unthresholded group-level statistical maps from multivariate as well as 123 (supplementary) univariate connectivity analyses are publicly available in an Open Science Framework 124 repository at https://osf.io/wt3uc.

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126 fMRI acquisition and preprocessing

127 Neuroimaging data were collected with a Siemens MAGNETOM Terra 7 Tesla (7T) system with a 32-128 channel phased-array head coil. Structural images were obtained with a MP2RAGE sequence (Marques 129 et al 2010) in 224 sagittal slices at 0.75mm isotropic voxel resolution (TR=4300ms; Tl₁₂=840, 2370ms; 130 flip-angles_{1,2}=5, 6°; TE=1.99ms; FOV=240×240×168mm). Functional images were acquired using a 131 gradient echo echo-planar imaging (EPI) sequence with a voxel resolution of 1.5mm isotropic (82 132 transverse slices per volume; TR=1380ms; TE=14ms; flip-angle=60°; in-plane acceleration factor 133 (GRAPPA)=3; multiband acceleration factor=2; partial Fourier=6/8). An additional EPI sequence with 134 opposite phase-encoding direction was performed for susceptibility distortion correction purposes. 135 Heart rate and respiratory data were acquired with a fingerclip and waistband, respectively, to correct 136 for physiological noise, which is especially prominent in the subcortex.

137 MR images were preprocessed with fMRIPrep (v20.2.6; Esteban et al 2018) in the Nipype 138 framework (Gorgolewski et al 2011). The structural (T1-weighted) scan was corrected for intensity non-139 uniformity with N4BiasFieldCorrection (ANTs v2.3.3; Tustison et al 2010) and skull-stripped with

140 antsBrainExtraction using the OASIS30ANTs target template. Brain tissue segmentation of 141 cerebrospinal fluid (CSF), white matter (WM), and gray matter (GM) was performed with FAST (FSL 142 v5.0.9; Zhang et al 2001). For each of the two resting-state runs, a reference volume and its skull-143 stripped version were generated. A fieldmap based on the EPI references with opposing phase-144 encoding directions was calculated with 3dQwarp (AFNI; Cox 1996) and susceptibility distortion 145 correction was applied to the EPI reference prior to co-registration to the T1-weighted reference using 146 the boundary-based registration cost-function in bbregister with 6 degrees of freedom (FreeSurfer; 147 Greve and Fischl 2009). Head-motion parameters (rotation and translation) were estimated with 148 MCFLIRT (FSL v5.0.9; Jenkinson et al 2002) and slice-time correction to half of the acquisition range 149 (0.674s) was performed with AFNI's 3dTshift. Following fMRIPrep, data were spatially smoothed with 150 a full-width half-maximum Gaussian kernel of 1.5mm using SUSAN (Smith and Brady 1997) and 151 denoised with a first-level general linear model in FEAT (Woolrich et al 2001) that included fMRIPrep-152 derived confound regressors, including: mean signal in CSF and WM, framewise displacement (FD), six 153 rotation and translation parameters, and discrete-cosine transform (DCT) basis functions to model low-154 frequency scanner drifts. In addition, cardiac and respiratory sources of nuisance were based on 155 acquired physiological data and modeled with RETROICOR (Glover et al 2000) using the Matlab PhysiO 156 toolbox (Kasper et al 2017) in TAPAS (Frässle et al 2021). For one subject with missing physiological 157 data, the same number of fMRIPRrep's anatomical component-based noise correction (aCompCor; 158 Behzadi et al 2007) regressors were entered in the model instead. The modeled data were obtained 159 via linear regression and normalized. Finally, the two residual runs were concatenated and registered 160 to the ICBM 152 Nonlinear Assymetrical template version 2009c (MNI152Nlin2009cAsym; Fonov et al 161 2009) using the nonlinear registration tool in antsRegistration (Avants et al 2008) with the 162 transformation parameters provided by fMRIPrep.

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Table 1. Parcellation details for regions of interest (ROIs)

		N voxels	Mean (SD) tSNR	Source
Forebrain		-		
Thalamus	Tha	6130	47.94 (6.31)	MASSP
Striatum	Str	8552	52.17 (8.11)	MASSP
Globus pallidus externa	GPe	1241	35.44 (5.58)	MASSP
Globus pallidus interna	GPi	453	34.18 (4.39)	MASSP
Subthalamic nucleus	STN	93	32.30 (4.25)	MASSP
Claustrum	Cl	683	59.12 (4.71)	MASSP
Hippocampus	HPC	2894	37.84 (10.44)	17-network cortical parcellation
Amygdala	Amg	1063	39.89 (7.22)	MASSP
Midbrain				
Substantia nigra	SN	481	31.51 (5.32)	MASSP
Red nucleus	RN	232	33.75 (3.05)	MASSP
Ventral tegmental area	VTA	220	37.68 (3.05)	MASSP
Periaqueductal grey	PAG	198	32.37 (10.56)	MASSP
Brainstem				
Locus coeruleus	LC	98	39.01 (7.31)	7T Probabilistic LC Atlas
Pedunculopontine nucleus	PPN	135	40.00 (3.29)	MASSP

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168 **Experimental design and regions of interest**

169 Two runs of 15 minutes eyes-open wakeful rest (fixation on centered cross) were collected together 170 with anatomical scans during the first of four sessions that were part of a larger multi-session 7T study. 171 The anatomical and experimental data acquired during the other sessions are not part of this study. 172 Figure 1 provides an overview of the analysis, extending the data-driven echo approach (Leech et al 173 2012; Braga et al 2013) to the subcortex. With this multivariate technique, unique FC patterns are 174 estimated while controlling for other subsignals within a region, revealing a more subtle subregional 175 functional organization beyond a region's global connectivity profile that remains concealed with 176 univariate analyses (Leech et al 2012).

Fourteen subcortical regions of interest (ROIs) were defined based on open-source parcellations (Table 1, Figure 2a). Binary ROI masks were computed from the Multi-contrast Anatomical Subcortical Parcellation algorithm (MASSP; Bazin et al 2020) that is based on quantitative MRI data (*N*=105, ages 18-80) from the 7T Amsterdam ultra-high field adult lifespan database (AHEAD; Alkemade et al 2020) in high-resolution MNI space (MNI152Nlin2009bAsym; Fonov et al 2009). The MASSP parcellations include the thalamus (Tha), striatum (Str), claustrum (Cl), globus pallidus externa (GPe), globus pallidus

183 interna (GPi), substantia nigra (SN), subthalamic nucleus (STN), ventral tegmental area (VTA), red 184 nucleus (RN), amygdala (Amg), periaqueductal grey (PAG), and pedunculopontine nucleus (PPN). The 185 locus coeruleus (LC) was defined with the 7T Probabilistic LC Atlas based on 53 healthy adults aged 52-186 84 years (Ye et al 2021). In addition, the 17-network cortical parcellation (Yeo et al 2011) was used for 187 extracting a mask of the hippocampus (HPC), which was taken from the Default C network. To validate 188 the results for non-cortical structures, we also assessed if we could reproduce the pattern of echoes 189 within various cortical regions, including the PCC, medial prefrontal cortex (mPFC), and visual cortex 190 (Braga et al 2013). We used the same cortical network parcellation to derive masks for the striate and 191 extrastriate cortex (Visual Central network) and the PCC and mPFC (Default A network). For bilateral 192 ROIs, left and right hemispheres were combined into a single binary mask and all masks were 193 resampled to the resolution of the functional data with FLIRT using nearest-neighbor interpolation 194 (v6.0; Jenkinson and Smith 2001). The probabilistic LC mask was thresholded liberally so that voxels 195 that overlapped 1% or more were included in the resampled mask.

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197 Statistical analysis

198 The individual preprocessed resting-state timeseries were masked with each of the binary ROIs and 199 decomposed into 10 spatiotemporal independent subregions with a spatially-restricted group 200 canonical independent component analysis (canICA) as implemented in Nilearn. Although the 201 temporal concatenation ICA approach is a popular technique in combination with dual regression, 202 biases in the estimation of group-level networks may arise with varying degrees of inter-individual 203 variability (Hu and Yang 2021). Instead, canICA applies a hierarchical approach in which individual data 204 is decomposed prior to canonical correlation analysis to identify group commonalities (Varoquaux et 205 al 2010). The ROI-wise canICA's were restricted to find 10 independent components. Model order 206 selection constitutes a main challenge in ICA, and the exact number of underlying signals in the diverse 207 subcortical structures remains unknown. While prior analyses on the PCC demonstrated qualitatively 208 similar outcomes for various model orders (Leech et al 2012), conducting such comprehensive

comparisons for all included structures was beyond the scope of this study. Instead, we opted to follow
 previous approaches and fix the number of components, addressing interregional differences in
 network echoes rather than precise dimensionality of individual structures.

212 Following spatiotemporal decomposition, the unique whole-brain FC of each independent 213 component (subregion) was then investigated with dual regression (Beckmann et al 2009; Zuo et al 214 2010). First, the 10 spatial maps from the canICA were regressed onto every individual's whole-brain 215 resting-state data to estimate the subject-specific timecourse for each subregion. By simultaneously 216 entering all 10 spatial maps as design matrix, the timecourse for each subregion was estimated while 217 statistically controlling for the variance in the other subregions' timecourses. Second, the 10 subject-218 specific independent timecourses were regressed onto the subject's resting-state data to obtain spatial 219 maps corresponding to the whole-brain, voxel-wise unique FC of each subregion. These subject-level 220 FC maps were then combined in a non-parametric group-level analysis using random permutation 221 testing (5000 permutations) with threshold-free cluster enhancement (TFCE). This resulted in one 222 group-level t-statistical map for each of the 10 subregions within each individual ROI that was 223 thresholded with family-wise error (FWE) correction at p<.05.

224 To quantify the presence of echoes from canonical resting-state networks within subcortical 225 regions, the thresholded group-level FC maps were spatially correlated with data-driven reference 226 networks obtained from a canICA on the whole-brain timeseries restricted to find 20 independent 227 components. Based on visual inspection and low spatial Pearson product-moment correlation 228 coefficients with an established 17-network cortical parcellation (Yeo et al 2011), four independent 229 components (r=.05, r=.04, r=.13, r=.04) were identified as artifactual and removed from further 230 analysis. The resulting 16 reference networks were masked with the cortical network parcellation to 231 remove any voxels located outside cortical grey matter (e.g., cerebral white matter, subcortex, CSF).

The extent of the spatial correlation between the FC map for each subregion and the reference networks was used to identify whether patterns of cortical network activity were mirrored, or echoed, in the unique subregional timecourses.

235

Results

236 Data-driven networks correspond to existing cortical network parcellations

237 The 16 data-driven reference networks were labeled automatically according to their maximum 238 spatial correlation with the well-established 17-network cortical parcellation (Yeo et al 2011; Figure 239 2b), which is based on rs-fMRI data from 1000 individuals. Despite large differences in field strength, 240 data resolution, and parcellation method, we found correlation coefficients ranging from 0.21 to 0.67 241 (mean r=.44, SD=.14), generally indicating moderate to good spatial overlap with their reference 242 network counterparts (Figure 2b, lower right): Somatomotor A (r=.66), Somatomotor B (r=.30), Control 243 A (r=.46), Control B (r=.51), Control C (r=.57), Salience/Ventral Attention A (r=.34), Salience/Ventral 244 Attention B (r=.54), Temporal Parietal (r=.21), Dorsal Attention A (r=.46), Dorsal Attention B (r=.25), 245 Default A (r=.42), Default B (r=.47), Limbic A (r=.30), Limbic B (r=.41), Visual Central (r=.49), and Visual 246 Peripheral (r=.67). The data-driven Temporal Parietal network also partially overlapped with the 247 Control A network parcellation (r=.15).

248 Together, the reference networks covered 66% of cortical grey matter defined in the parcellation 249 by Yeo et al (2011). The strongest deviation was observed in the anterior temporal cortex, which was 250 not remedied by increasing model order (40 or 100 independent components) or a cortically-restricted 251 canICA. To assess corresponding variations in temporal SNR (tSNR), we calculated voxel-wise tSNR 252 values as the ratio of the mean and standard deviation of the resting-state timeseries after temporal 253 high-pass filtering (1/128s). Individual tSNR maps were registered to standard MNI space and averaged 254 (voxel-wise) across subjects and runs. Compared to other cortical areas, reduced tSNR in the temporal 255 lobe was observed, and as a consequence, temporal networks were underrepresented in the analysis 256 (Figure 2-1).

257

258 Subcortical structures echo signals from different resting-state networks

The 10 thresholded FC maps for each ROI, representing the unique whole-brain FC of each subregion at the group-level, were spatially correlated with the 16 unthresholded spatial maps of the

261 data-driven reference networks. Figure 3a summarizes the degree of network echoes for the nine ROIs 262 that demonstrated at least one spatial correlation with any reference network above a threshold that was arbitrarily set at the 97^{th} percentile of all spatial correlations (*r*=0.16). Echoes were summarized 263 264 by counting above-threshold spatial correlations in terms of (1) the number of reference networks 265 represented in each ROI and (2) the number of subregions that echoed a reference network. For 266 example, six distinct striatal subregions displayed FC profiles that spatially correlated above-threshold 267 with in total 10 different resting-state networks. Figure 3b presents the actual maximum spatial 268 correlations between each ROI and each reference network, independent of subregion. The reference 269 network that was represented most often was the Salience B network, correlating above-threshold 270 with seven ROIs, followed by Default A, Control C, and Visual Peripheral, each with at least one above-271 threshold spatial correlation with six different ROIs.

272 Seven subcortical ROIs echoed signals from more than one network, including: the thalamus (Tha), 273 striatum (Str), hippocampus (HPC), claustrum (Cl), globus pallidus externa (GPe), substantia nigra (SN), 274 and ventral tegmental area (VTA). The former four ROIs furthermore showed that the echoes from 275 different reference networks were distributed among multiple subregions, indicating evidence for a 276 heterogeneous functional organization. In contrast, both the amygdala (Amg) and pedunculopontine 277 nucleus (PPN) showed medium and small spatial correlations, respectively, with only one reference 278 network (Amg: r=.37 [DefA]; PPN: r=.19 [SalB]). The globus pallidus interna (GPi), subthalamic nucleus 279 (STN), red nucleus (RN), periaqueductal grey (PAG), and locus coeruleus (LC) failed to show evidence 280 of echoes as none of their subregions demonstrated a connectivity pattern that resembled the pattern 281 of an intrinsic connectivity network. In some cases, a subregion's FC profile was widespread and shared 282 spatial similarity with more than one reference network. Figure 3-1 presents a few FC maps to illustrate 283 the diversity and similarity in connectivity profiles to different reference networks across a subset of 284 subcortical structures.

The FC maps of each subregion were also spatially correlated with the 17-network cortical parcellation (Yeo et al 2011), which yielded generally lower spatial correlations but a qualitatively

similar pattern of results (Figure 3-2). To validate these novel results for the subcortex, we repeated the analyses for three cortical regions that were previously investigated. Results for the PCC, mPFC, and visual cortex are presented in Figure 3-3 and are largely consistent with previous findings (Leech et al 2012; Braga et al 2013).

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292 Topographic organization of functionally heterogeneous subcortical structures

Figure 4 shows the topographic pattern of network echoes in the subregions of the seven ROIs with more than one above-threshold spatial correlation. Subregions are color coded according to the reference network they echoed most strongly, whereas subregions with a maximum spatial correlation below threshold (*r*<0.16) are translucent. For every ROI, there were several subregions that did not mirror the activity in any intrinsic connectivity network, because they were predominantly functionally connected to other subcortical structures or because their signal largely reflected noise upon visual inspection.

300 Five thalamic subregions echoed signals from various reference networks, demonstrating a 301 heterogeneous organization that was mostly symmetrically distributed in bilateral subdivisions. Left 302 and right ventromedial subregions were both most strongly correlated to the Somatomotor A network 303 (left: r=.26, right: r=.20), although the right subregion's connectivity profile also spatially overlapped 304 with Salience B (r=.20). A more dorsomedial bilateral subregion displayed a connectivity pattern that 305 correlated with the pattern of multiple reference networks, including Default A (r=.38), Default B 306 (r=.32), and Control A (r=.25). Another bilateral subregion, more dorsolaterally located, correlated 307 most strongly with the Dorsal Attention A network (r=.31), although there was also spatial overlap with 308 Somatomotor A (r=.29), Dorsal Attention B (r=.25), and Visual Peripheral (r=.24) networks. Finally, the 309 Default B network was represented in the posterior part of the left-sided thalamus (r=.22).

Within the striatum, there were six different subregions that echoed one or more reference networks, located mostly within the caudate nucleus. A subregion primarily in the left tail of the caudate nucleus spatially correlated with the Default B network (*r*=.21), whereas a subregion covering more of the right tail of caudate nucleus most strongly echoed Control B (r=.26), although its widespread connectivity pattern also overlapped with Temporal Parietal (r=.23) and Salience A (r=.22) networks. A bilateral subregion covering the nucleus accumbens correlated most strongly with Default A (r=.40), whereas another bilateral subregion in the mediodorsal part of the caudate head was functionally connected with Control A (r=.26) and Default B (r=.21) networks. Subregions that most strongly echoed the Salience A network included a division in the posterior parts of the left caudate tail and left putamen (r=.20) as well as a bilateral region in the lateral nucleus accumbens (r=.19).

For the hippocampus, we observed that different intrinsic connectivity networks were echoed within four different subregions. In the left hemisphere, a posterior dorsal subregion correlated most strongly with Default A (r=.34), whereas a more ventrally located subregion correlated exclusively with the Limbic A network (r=.24). A bilateral anteromedial subregion was functionally connected to the Visual Central network (r=.21), whereas a posterior dorsal subregion in the right hemisphere echoed the Visual Peripheral (r=.30) as well as the Dorsal Attention networks (DorA: r=.28, DorB: r=.30).

326 Five subregions of the claustrum showed an FC profile that correlated with different reference 327 networks. A small, bilateral subregion in the ventral claustrum had a widespread cortical connectivity 328 that had the strongest spatial similarity with Dorsal Attention A (r=.23), but also Somatomotor A 329 (r=.20), Dorsal attention B (r=.19), and Salience B (r=.19) networks. Left and right subdivisions in the 330 posterior part both echoed the Salience A network (r=.26 and r=.21, respectively). In addition, an 331 exclusive functional connection with the Default B network was observed in an anterior subregion of 332 the left claustrum (r=.32) and with the Somatomotor A network in a more posterior subregion of the 333 right claustrum (*r*=.35).

The GPe and SN each had one subregion with a widespread connectivity profile comprising seven and three reference networks, respectively (Figure 3b). In the GPe, a bilateral dorsolateral subdivision most strongly echoed the Somatomotor A network (r=.26), but its signal also correlated with activity in Dorsal Attention A (r=.23) and Control networks A and B (r=.20 and r=.22, respectively). The most pronounced network echo within the SN was from Default A (r=.24) and came from a bilateral

- subregion in the medial anterior SN. The same subregion also showed traces from Salience B (r=.22)
- and Control C (*r*=.16) networks. For the VTA, a large inferomedial subdivision in the right hemisphere
- 341 was most strongly connected to Salience B (*r*=.19) and just below threshold to Visual Peripheral (*r*=.15)
- 342 networks. Echoes from the Default A network were furthermore present in two other subregions of
- the VTA, but spatial correlations were weaker (*r*=.15 and *r*=.13).

Discussion

Despite accumulating insights into the mechanisms of functional integration within the cortex, subcortical substrates of cross-network convergence are largely unexplored. Nonetheless, the subcortex is embedded within an extensive cortico-subcortical architecture that is thought to serve integrative rather than purely segregated functions (Haber 2003). Here, we aimed to more closely examine the underlying functional organization of subcortical nuclei and their subregional connectivity to functional networks across the cortex.

351 Consistent with our expectations, we show that individual subcortical structures contain a 352 composite of neural signals that can be decomposed into activity traces of intrinsic network activity. 353 In their study, Braga et al (2013) showed that activity in multiple networks converges at specific 354 transmodal zones in the cortex, as reflected in a mixture of signals that partially correlate with different 355 networks. We demonstrate that this property is not limited to cortical regions by revealing potential 356 mechanisms for multi-network integration in the subcortex. The results provide the strongest evidence 357 for functional heterogeneity within the thalamus, striatum, claustrum, and hippocampus, for which we 358 observed a complex pattern of subregional whole-brain FC that resembled spontaneous activity in 359 distinct functional networks. Subregions in left and right hemispheres had similar spatiotemporal 360 signatures that echoed the same functional networks, showing a symmetrical bilateral topography that 361 is consistent with prior work (Cheng and Liu 2021).

362 The thalamus and striatum are the most commonly represented non-cortical structures in studies of global brain connectivity, providing support for their putative role as hub regions (Bell and Shine 363 364 2015, 2016; Van der Heuvel and Sporns 2011). Whereas several studies report an amalgamation of 365 primarily sensory information within thalamic subregions consistent with its gating function (Tomasi 366 and Volkow 2011; Ji et al 2019), we observed traces of somatomotor as well as default mode and dorsal 367 attention networks. The somatomotor subdivisions also spatially overlapped with cingulo-opercular 368 regions of the salience network, which aligns with findings of a 'motor integration zone' within ventral 369 thalamic nuclei (Greene et al 2020). Additionally, dorsal attention, somatomotor, and visual networks

converged in a dorsolateral subregion, similar albeit slightly less posterior to the 'visual integration
zone' in the pulvinar nucleus reported earlier (Greene et al 2020). For the striatum, we observed signal
echoes from default mode, control, and salience networks predominantly within the caudate head and
left tail, right tail, and left putamen, respectively. Despite large methodological differences across
studies, these findings are consistent with prior evidence for 'cognitive' integration within the striatum
(Choi et al 2012; Greene et al 2020; Seitzman et al 2020) and supports thalamic and striatal roles in
information integration and higher-level cognitive functioning (Haber, 2003; Hwang et al 2017).

377 Although organizational principles may broadly concur, precise functional boundaries and network 378 connections diverge across studies. For example, the subregional profiles identified here partially 379 deviate from another data-driven co-partitioning (Cheng and Liu 2021) and a voxel-wise winner-take-380 all approach (Seitzman et al 2020) for the thalamus, as well as the from the striatal architecture 381 reported by Choi et al (2012). Additionally, we found inter-hemispheric differences in the hippocampus 382 - i.e., visual and dorsal attention network echoes in the right and default mode and limbic in the left 383 side - that are inconsistent with reports of lateralized subdivisions along an anterior-posterior axis, as 384 well as the location along this axis of the preferential connection to the default mode network (Blessing 385 et al 2016; Cheng et al 2020; Ezama et al 2021). Given differences in connectivity with entorhinal and 386 parahippocampal cortex (Qin et al 2016; Seoane et al 2018), it is possible that the extent of 387 hippocampal and surrounding voxels included in the analysis explains some of the discrepancies across 388 studies, which might be further exacerbated by the effects of spatial smoothing. Furthermore, high 389 degrees of individual variability in subcortical anatomy and functional connectivity may result in 390 distortions of group-level estimations (De Hollander et al 2015; Sylvester et al 2020; Greene et al 2020; 391 Tian et al 2020; Marek and Greene 2021).

Similar to previous observations for the cortex (Braga et al 2013), we demonstrate that functional heterogeneity is not ubiquitously present throughout the subcortex. Within the GPe, SN, and VTA, only one subregion's connectivity profile resembled patterns of functional network activity. A region in the dorsolateral GPe echoed somatomotor as well as dorsal attention and control networks, indicating an

396 integrative site that may support its known role in voluntary, planned movement. Both the SN and VTA 397 showed a pattern of converging signals from default mode and salience networks, although less 398 evident in the VTA. Whereas this association with the default mode network is more established (Bär 399 et al 2016; Edlow 2021; Zhang et al 2016; Li et al 2021), connectivity to the salience network is less 400 known and may indicate involvement in attention and spontaneous cognition (O'Callaghan et al 2020). 401 No clear evidence for functional integration was observed for the amygdala and PPN. Whereas the 402 PPN likely takes part in more specialized subcortical circuitry involved in arousal and locomotion 403 (Martinez-Gonzales et al 2011; Bennarroch 2013), the amygdala was previously proposed as hub 404 structure (Tomasi and Volkow 2011) and showed dissociable FC profiles from its separate nuclei 405 (Kerestes et al 2017). Although we did not find evidence for such heterogeneity when controlling for 406 other subregional timecourses, we observed an intact connection with the default mode network, 407 which is supported by other work (Kerestes et al 2017; Sylvester et al 2020; Harrison et al 2021). For 408 the remaining structures – i.e., GPi, STN, RN, PAG, and LC – we failed to find network echoes. Although 409 previous univariate FC studies have indicated correlations with widespread cortical activity for some 410 of these structures (e.g., Zhang et al 2016; Anteraper et al 2018), the multivariate analysis here did not 411 result in a clear group-level pattern of cortical connectivity. Similar to the PPN, these structures may 412 be less involved in integrating spontaneous signals from distributed functional processes across the 413 cortex, but are likely more strongly embedded in local networks to support segregated functional 414 processing (Singh et al 2022). Recent findings suggest that neuromodulatory nuclei for dopaminergic 415 and noradrenergic systems are driving systems-level integration and cognition (Liu et al 2017; De Gee 416 2017; Zhang et al 2016). However, not all findings converge. For example, Bär et al (2016) showed that 417 LC connectivity to the default mode network disappeared when controlling for adjacent neural signals 418 and that hub-like features of midbrain nuclei were not supported by a graph theory analysis. The 419 results presented here align with this observation and emphasize that integrative properties of these 420 structures, among which the LC, remain somewhat elusive. Given proposed roles of the LC in mediating 421 the dynamics of cortical connectivity and neural gain (Aston-Jones & Cohen 2005; Munn et al 2021), it

is perhaps not surprising that no dissociable traces of functional network activity are observed. That
is, the LC may drive global states of network integration and segregation rather than serving as a
convergence zone in itself.

425 In summary, our results suggest that subcortical structures exhibit varying degrees of functional 426 heterogeneity. This characteristic might be expressed along a gradient, where structures adjacent to 427 the cortex seem more likely to support multi-network integration compared to deep brain nuclei. 428 However, several factors may confound interpretations of interregional differences in the subcortex. 429 For example, deep brain nuclei are generally smaller in size and have weaker SNR, while subcortex 430 near the cortex is susceptible to signal bleeding from adjacent cortical voxels, to which they are also 431 reciprocally connected (Choi et al 2012). This issue might be especially prominent in the claustrum, 432 which is a thin sheet-like structure situated directly between the striatum and insula. In a recent study, 433 Krimmel et al (2019) used a novel regression technique on similar high-resolution fMRI data (1.5mm 434 isotropic voxels) to isolate the signal in the claustrum from nearby cortical and striatal voxels, which 435 preserved the widespread FC with cortical networks involved in attention and cognitive control. Even 436 though we did not correct for potential signal bleeding beyond limiting the amount of spatial 437 smoothing, our finding of functionally heterogeneous network echoes within the claustrum's 438 subdivisions coincides with this work and its postulated role in attention and cognition (Bell and Shine 439 2015; Krimmel et al 2019; Smith et al 2020).

440 It should be noted that recent work highlights the difference in FC between eyes-open and eyes-441 closed resting-state conditions, particularly with regard to internetwork connectivity of visual and 442 sensorimotor networks to default mode and salience networks (Agcaoglu et al 2019; Costumero et al 443 2020; Han et al 2023). While a large portion of studies on subcortical connectivity cited here are 444 correspondingly based on eyes-open resting-state fMRI (e.g., Greene et al 2020; Choi et al 2012; 445 Seitzman et al 2020; Hwang et al 2017; Blessing et al 2016; Sylvester et al 2020), future efforts could 446 contrast our results to potential reconfigurations during other resting-state and experimental 447 conditions. Investigating changes in the pattern of echoes according to external factors, such as

448 cognitive demand, and internal state are likely necessary to illuminate their functional relevance (e.g.,
449 Leech et al 2012).

450 Although the precise significance of network echoes for cognition and behavior is not resolved, we 451 strengthen the evidence that the subcortex participates in cross-network integration through echoing 452 intrinsic network activity. These results may ignite new intriguing hypotheses on the mechanisms of 453 spontaneous cognitive processes such as mind wandering (Mittner et al 2016; Zuberer et al 2021). 454 Previous work has shown that mind wandering correlates with activity and connectivity in the default 455 mode and frontoparietal control networks as well as the subcortex (Mittner et al 2014; Kucyi et al 456 2017; Groot et al 2022). Given that both subtle and pronounced reorganizations in FC occur with 457 changes in task demand (Leech et al 2012; Braga et al 2013; Tian et al 2020), investigations of how the 458 complex pattern of echoes in the subcortex is perturbed by attentional changes may reveal novel 459 insights into the mechanisms that drive mind wandering.

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700 Figure/Table legends

701

702 **Figure 1.** Overview of the data analysis.

703 Figure 2. Parcellations of subcortical regions of interest and reference networks. (a) Subcortical regions of 704 interest defined with open-source atlases and (b) data-driven reference networks from a whole-brain canonical 705 ICA on the resting-state timeseries, labeled according to their maximum spatial correlation with a 17-network 706 cortical parcellation. Corresponding whole-brain tSNR maps are shown in Figure 2-1. Labels: thalamus (Tha), 707 striatum (Str), globus pallidus externa (GPe), globus pallidus interna (GPi), claustrum (Cl), hippocampus (HPC), 708 amyadala (Ama), substantia nigra (SN), subthalamic nucleus (STN), ventral tegmental area (VTA), red nucleus 709 (RN), periaqueductal grey (PAG), pedunculopontine nucleus (PPN), locus coeruleus (LC), Somatomotor A/B 710 (SomA/B), Control A/B/C (ConA/B/C), Temporal Parietal (TemPar), Dorsal Attention A/B (DorA/B), Default A/B 711 (DefA/B), Visual Central (VisC), Visual Peripheral (VisP), Limbic A/B (LimA/B), Salience/Ventral Attention A/B 712 (SaIA/B).

713 Figure 3. Echoes of intrinsic connectivity networks in the subcortex. (a) The number of distinct subregions within 714 a ROI with a functional connectivity profile that resembled a reference network ('Subregions') and the number 715 of different reference networks that were echoed within a region ('Networks') both defined by counting above-716 threshold spatial correlations. (b) The maximum spatial correlation between each ROI and each reference 717 network, independent of subregion, for nine ROIs that demonstrated at least one above-threshold spatial 718 correlation to any reference network. Subregional connectivity profiles for a subset of structures and their spatial 719 correlation with reference networks are illustrated in Figure 3-1. The same analysis was repeated with reference 720 networks taken from the 17-network cortical parcellation (Yeo et al 2011) shown in Figure 3-2 as well as for three 721 cortical ROIs (Figure 3-3). Labels: thalamus (Tha), striatum (Str), globus pallidus externa (GPe), claustrum (Cl), 722 hippocampus (HPC), amyqdala (Amq), substantia niqra (SN), ventral teqmental area (VTA), pedunculopontine 723 nucleus (PPN), Somatomotor A (SomA), Somatomotor B (SomB), Control A (ConA), Control B (ConB), Control C 724 (ConC), Temporal Parietal (TemPar), Dorsal Attention A (DorA), Dorsal Attention B (DorB), Default A (DefA), 725 Default B (DefB), Visual Central (VisC), Visual Peripheral (VisP), Limbic A (LimA), Limbic B (LimB), Salience/Ventral 726 Attention A (SalA), Salience/Ventral Attention B (SalB).

727 Figure 4. Topography of network echoes within heteromodal subcortical structures. Spatiotemporal 728 decomposition of subcortical structures into independent subregions, color coded according to their strongest 729 network echo or made translucent if their maximum spatial correlation with any reference network did not reach 730 threshold. Labels: thalamus (Tha), striatum (Str), globus pallidus externa (GPe), claustrum (Cl), hippocampus 731 (HPC), substantia nigra (SN), ventral tegmental area (VTA), Somatomotor A (SomA), Somatomotor B (SomB), 732 Control A (ConA), Control B (ConB), Control C (ConC), Temporal Parietal (TemPar), Dorsal Attention A (DorA), 733 Dorsal Attention B (DorB), Default A (DefA), Default B (DefB), Visual Central (VisC), Visual Peripheral (VisP), Limbic 734 A (LimA), Limbic B (LimB), Salience/Ventral Attention A (SalA), Salience/Ventral Attention B (SalB).

Figure 2-1. Whole-brain temporal signal to noise ratio (tSNR). For each of the two fMRI runs, voxel-wise tSNR values were calculated as the ratio of the mean and standard deviation of the resting-state timeseries after temporal high-pass filtering (1/128s) to remove low-frequency signal drifts. Individual tSNR maps (*n*=40) were registered to standard MNI space (MNI152Nlin2009cAsym) with ANTs before voxel-wise tSNR values were averaged across subjects and runs to create the group-level map. The black contours outline the regions of interest that were included in the study.

741 Figure 3-1. Functional connectivity patterns of subcortical subregions and their spatial overlap with intrinsic 742 connectivity networks. Diversity in whole-brain functional connectivity (FC) of distinct subregions of subcortical 743 structures plotted on cortical surface meshes and the maximum spatial correlation with data-driven reference 744 networks (four out of sixteen networks shown for illustration). Although the spatial correlations are calculated from the unthresholded spatial maps, the reference networks were thresholded by assigning each voxel to its most strongly associated network based on the group canICA (i.e., every voxel is assigned to only one network and networks are non-overlapping) for illustration purposes. The subregion-specific FC maps are the group-level results of a dual regression analysis on the timecourse for each subregion while controlling for the variance in the other subregions, statistically tested with random permutation testing and thresholded at *p*<.05. *Labels: thalamus (Tha), striatum (Str), claustrum (Cl), hippocampus (HPC), subsantia nigra (SN), globus pallidus externa* (*GPe), Default A (DefA), Default B (DefB), Somatomotor A (SomA), Salience/Ventral Attention A (SalA).*

752 Figure 3-2. Echoes of well-established cortical intrinsic connectivity networks in the subcortex. (a) The number
 753 of dictingt subragions within a region of interest (20) with a functional connectivity profile that recombled a

753 of distinct subregions within a region of interest (ROI) with a functional connectivity profile that resembled a 754 reference network ('Subregions') and the number of different reference networks that were echoed within a 755 region ('Networks') both counted as the number of above-threshold spatial correlations. Reference networks 756 were taken from the 17-network cortical parcellation (Yeo et al 2011). (b) The maximum spatial correlation 757 between each ROI and each reference network, independent of subregion, for nine ROIs that demonstrated at 758 least one above-threshold spatial correlation. Labels: thalamus (Tha), striatum (Str), globus pallidus externa 759 (GPe), claustrum (Cl), hippocampus (HPC), amygdala (Amg), substantia nigra (SN), ventral tegmental area (VTA), 760 pedunculopontine nucleus (PPN), Somatomotor A (SomA), Somatomotor B (SomB), Control A (ConA), Control B 761 (ConB), Control C (ConC), Temporal Parietal (TemPar), Dorsal Attention A (DorA), Dorsal Attention B (DorB), 762 Default A (DefA), Default B (DefB), Default C (DefC), Visual Central (VisC), Visual Peripheral (VisP), Limbic A (LimA), 763 Limbic B (LimB), Salience/Ventral Attention A (SalA), Salience/Ventral Attention B (SalB).

764 Figure 3-3. Echoes of intrinsic connectivity networks in cortical regions of interest. (a) The maximum spatial 765 correlation between the whole-brain functional connectivity (FC) of each cortical ROI with data-driven reference 766 networks. The results demonstrate greater functional heterogeneity within posterior cingulate cortex (PCC) and 767 medial prefrontal cortex (mPFC), as evident in more distributed patterns of FC with default mode, control, and 768 salience networks compared to the visual cortex (VC), which showed a more uniform organization dominated by 769 a preferential connection with the visual peripheral network. This is consistent with previous work (Braga et al 770 2013) and provides a validation for our novel application of the multivariate analysis within subcortical regions 771 of interest. (b) The results of an identical analysis but with the 17-network cortical parcellation (Yeo et al 2011) 772 as reference networks, revealing a less pronounced but qualitatively similar pattern of results compared to the 773 data-driven networks. Labels: Somatomotor A (SomA), Somatomotor B (SomB), Control A (ConA), Control B 774 (ConB), Control C (ConC), Temporal Parietal (TemPar), Dorsal Attention A (DorA), Dorsal Attention B (DorB), 775 Default A (DefA), Default B (DefB), Default C (DefC), Visual Central (VisC), Visual Peripheral (VisP), Limbic A (LimA), 776 Limbic B (LimB), Salience/Ventral Attention A (SalA), Salience/Ventral Attention B (SalB).

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Table 1. Parcellationd details for regions of interest. Number of voxels (*N* voxels) in functional space (1.5mm
isotropic voxel size) and mean and standard deviation (SD) of ROI-wise temporal signal-to-noise ratio (tSNR)
values. *Source: Multi-contrast Anatomical Subcortical Parcellation (MASSP, Bazin et al 2020); 17-network
cortical parcellation (Yeo et al 2011); 7T Probabilistic LC Atlas (Ye et al 2021).