1 Power absorption of a two-body heaving wave energy converter considering

2 3

different control and power take-off systems

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14 Abstract

15 This study proposed a wave power system with two coaxial floating cylinders of different diameters and drafts. 16 Wavebob's conceptual design has been adopted in the wave power system. In this study, a basic analysis of the wave 17 energy extraction by the relative motion between two floats is presented. The maximum power absorption was studied 18 theoretically under regular wave conditions, and the effects of both linear and constant damping forces on the power 19 take-off (PTO) were investigated. A set of dynamic equations describing the floats' displacement under regular waves 20 and different PTOs are established. A time-domain numerical model is developed, considering the PTO parameter 21 and viscous damping, and the optimal PTO damping and output power are obtained. With the analysis of estimating 22 the maximum power absorption, a new estimation method called Power Capture Function (PCF) is proposed and 23 constructed, which can be used to predict the power capture under both linear and constant PTO forces. Based on 24 this, energy extraction is analyzed and optimized. Finally, the performance characteristics of the two-body power 25 system are concluded.

26 Key words: two-body heaving wave energy converter, power capture function, power take-off

27 1 Introduction

28 Marine renewable energy is a promising alternative to fossil fuels because of its broad distribution and high 29 density. Wave energy, as one of the marine renewables, plays an important role because of its huge reserves. Among 30 the various wave energy converters (WECs), point absorbers are widely used for their adaptability to wave conditions. 31 The basic topological model of these WECs lies in a two-body device, which utilizes the relative heave motion 32 between two floats or with a fixed reference to capture energy through a power take-off (PTO) system. It has only 33 one direction in the movement (i.e., the heave direction), which could be considered as the axial motion and along 34 which the wave energy is extracted. Consequently, the study on the two-body heaving system is very important, and 35 the results obtained would have a wide impact on the design of WECs that harness wave energy by relative motions. 36 To date, some designs fix one of the floats by a mooring system and cause the other float to oscillate with waves; 37 therefore, only one degree of freedom (DOF) works indeed (Son et al., 2016), while others allow both floats to move 38 independently, using the reaction of one float against the other (Beatty et al., 2019). Because the double-float system 39 is adaptable to deep water, it has the potential for commercialization, while the hydrodynamic interference between 40 the moving bodies must be well studied beforehand. As some studies have examined the difference between one and 41 two DOF systems (Wang et al., 2016), this study focuses on the two-DOF system, and the one-DOF system is used 42 for comparison.

43 First, the hydrodynamic coefficients, namely, the added mass, radiation damping, and excitation force, are 44 solved in many ways. The validity of these coefficients is the foundation of the hydrodynamic analysis. The methods 45 of separation of variables and matched eigenfunction expansion are always used to compute the coefficients (Chau 46 and Yeung, 2012). Since the small gap between floats greatly affects the solution, a semi-analytical solution is 47 proposed to solve the hydrodynamic radiation problem (Mavrakos, 2004). Researchers have used the boundary element method (Zhang et al., 2019), the finite element method (Yang et al., 2018), and other numerical methods to 48 49 solve hydrodynamic issues. Commercial software such as Ansys-Aqwa (Al Shami et al., 2019), WAMIT (Kalidoss 50 and Banerjee, 2019), and OrcaFlex (Lewis et al., 2012) are also used to provide a fine description of the WEC 51 performance. To overcome the shortcomings of the ideal fluid hypothesis and to improve the accuracy of the results, 52 the nonlinear factor and viscous effect are considered. The nonlinear effects of the hydrostatic force (Ji et al., 2020), 53 drag force (Xu et al., 2019), and mooring force (Amann et al., 2015) will suppress the motion of the WEC, making 54 the results closer to reality. With respect to viscous fluid, a computational fluid dynamics (CFD) method is proposed. 55 One of the CFD methods, the Reynolds-averaged Navier-Stokes (RANS) method is wildly used to simulate the 56 performance of the WEC (Yu and Li, 2013). It can model complex hydrodynamic interactions, such as wave breaking 57 and overtopping. Furthermore, the overset mesh has been shown to better describe a moving object (Chen et al., 58 2019). On the other hand, some nonlinear terms are not so crucial that they can be linearized to improve the simulation 59 efficiency (Tan et al., 2020). In this paper, as the configuration of the WEC, the viscous effect is considered but 60 linearized to make the result convincing. The reliability and accuracy of linearization have also been proven.

61 The power absorption of the WEC is the key indicator, but it is affected by many factors. A range of efforts has 62 been made to optimize the power capture of the two-body heaving WEC. The geometrical parameters of the float, 63 which influence the hydrodynamic performance and efficiency, have been studied (Berenjkoob et al., 2019a). It was 64 found that the device with a conical tube can improve the power absorption (Kurniawan et al. 2019). Moreover, the 65 optimization algorithm is also applied in dimensioning the WEC, such as the differential evolutionary algorithms 66 (Blanco et al., 2019). The configuration of the mooring system also plays an important role in the performance of 67 WECs (Berenjkoob et al., 2019b). In addition, arranging a wave farm is a necessary way to harness wave energy 68 eventually (Ji et al., 2019). In this paper, a basic coaxial structure based on Wavebob's conceptual design is proposed 69 to expose the power absorption of a two-body device. Furthermore, a new estimation method is proposed to obtain 70 the optimal power absorption in a simple and effective manner. Compared with the existing methods, the new method 71 saves time and effort.

72 When evaluating the performance of a WEC, not only the peak capture is concerned, but also the capture width 73 matters; however, the two-body heaving WEC has the advantage of a wider capture frequency domain. Normally, the 74 natural frequency of the WEC should be determined in accordance with the objective sea conditions. Furthermore, 75 the power capture can be improved productively by adjusting the PTO damping force. There are some typical PTOs 76 employed in two-body heaving WECs, such as linear generators (Elwood et al., 2010), hydraulic systems (Negandari 77 et al., 2018), and electromechanical systems (Dai et al., 2017). A linear generator has a simple structure that can 78 minimize mechanical loss and provide a linear damping force (Phung et al., 2019). A primary excitation fully 79 superconducting linear generator provides a larger output power (Huang et al., 2019). For a hydraulic system, the 80 piston area, volume flow rate, and rotation velocity of the motor affect the power capture efficiency (Kalidoss and 81 Banerjee, 2019). In an electromechanical system, the gearbox and resistance of the generator determine the power 82 capture (Castro and Chiang, 2020). In addition, the control strategy plays an important role in the energy extraction. 83 The active control of the generator damping and stiffness is an effective way to achieve a wider frequency range (Jin 84 et al., 2019). Most studies consider one certain PTO damping force, which are predominantly linear, while, in this

85 paper, the optimal conditions are addressed in both linear and constant PTO systems, and a new estimation formula which is suitable for both systems is explored. The theoretical model has been validated via a physical test from the 86 87 reference laboratory (Dong et al., 2021), and the results are of general importance to all the WECs that utilize the 88 relative motion. The passive control has only a PTO damping term, whereas the active control has both a PTO 89 stiffness term and a PTO damping term. The passive control is the optimal control when only the PTO damping is 90 considered. It is the sub-domain optimal control. In terms of the active control, the stiffness and damping coefficients 91 can be adjusted to be consistent with the external environment. Hence, the optimal absorbed power with active control 92 is always larger than that of the passive control. In addition, the optimal power under constant PTO, which depends 93 on the existence of the accumulator, is also discussed. Different control strategies and PTO systems increase the 94 diversity of the power absorption. In the theoretical analysis, the optimal condition is explored by derivation, whereas 95 the optimal damping is determined through parameter sweeping in the numerical simulation. The credibility of the 96 results is validated through comparison.

97 The remainder of this paper is organized as follows. Section 2 illustrates the dynamic analysis of the two-body 98 heaving WEC, considering both linear and constant PTO damping forces. The analysis models are validated against 99 the published research results. Section 3 discusses the power capture characteristics of the system, including the 100 relations between the key parameters and the power capture. Section 4 proposes a new estimation formula of the 101 power capture function (PCF), which can be used to predict the optimal PTO and power absorption of the WEC. The

102 final section presents the conclusions of this study.

103 2 Dynamic analysis of a two-body heaving wave energy converter

104 2.1 Theoretical model setup

105 To describe the relative motion and power capture of the floats under waves, a system that includes two coaxial 106 cylinders that heave along the same vertical axis is considered. The outer float is an annular rigid body, whereas the 107 inner float is a rigid cylinder, as shown in Fig. 1. The outer and inner radii of the outer float are R_1 and r_1 , respectively. 108 The draft of the outer float is D_1 . The radius of the inner float is R_2 , and the draft is D_2 . These two floats are connected 109 through a PTO system, and energy is captured by the relative motion with the water depth d. A Cartesian coordinate 110 system O-xyz is defined with the origin at the still water level and the z-axis positive upward. The fluid is 111 incompressible, and the movement of water particles is irrotational. Compared with the incident wave length, the 112 diameters of the floats are sufficiently small. Therefore, the linear wave theory is applied here. Based on the above assumption, the governing equation of the two-body heaving WEC is described as follows. 113

114
$$\begin{cases} \left[m_{1} + A_{11}(\infty) \right] \ddot{z}_{1}(t) + A_{12}(\infty) \ddot{z}_{2}(t) + k_{11}(t) * \dot{z}_{1}(t) + k_{12}(t) * \dot{z}_{2}(t) + B_{vis1} \dot{z}_{1}(t) + C_{1}z_{1}(t) = f_{e1}(t) + f_{PTO}(t) \\ \left[m_{2} + A_{22}(\infty) \right] \ddot{z}_{2}(t) + A_{21}(\infty) \ddot{z}_{1}(t) + k_{22}(t) * \dot{z}_{2}(t) + k_{21}(t) * \dot{z}_{1}(t) + B_{vis2} \dot{z}_{2}(t) + (C_{2} + K_{m})z_{2}(t) = f_{e2}(t) - f_{PTO}(t) \end{cases}$$
(1)

115 where, subscript 1 denotes the outer float, and 2 represents the inner one, respectively; m_i stands for the mass of a

116 float; C_i is the restoring force coefficient; K_m is the mooring stiffness; B_{visi} is the linearized viscous damping

117 coefficient; f_{ei} is the excitation force on the float; z_i is the float's displacement, where \dot{z}_i and \ddot{z}_i are the velocity

- and the acceleration; and the symbol (*) denotes the operation of convolution. Furthermore, $k_{iq}(t)$ is the radiation-
- 119 force impulse-response function, which is the inverse Fourier transform of

120
$$K_{iq}(\omega) = j\omega[A_{iq}(\omega) - A_{iq}(\infty)] + B_{iq}(\omega)$$
(2)

121 where $B_{iq}(\omega)$ is the radiation damping coefficient, $A_{iq}(\omega)$ is the added mass, and $A_{iq}(\infty)$ is the added mass

- 122 when $\omega = \infty$.
- 123 The incident wave power per unit width is expressed as

$$P_{wave} = \frac{\rho g H^2 L}{16T} \left(1 + \frac{2kd}{\sinh(2kd)}\right) \tag{3}$$

125 The capture width ratio (CWR) is

126

124

$$CWR = \frac{P}{2R_1 P_{wave}} \tag{4}$$

- ¹²⁷ where ρ is the water density, g depicts the gravitational acceleration, H is the wave height, L represents wave length,
- 128 T is the wave period, k denotes the wave number, and P is the power captured by the WEC.



129 130

Fig. 1. Schematic of the two-body heaving WEC.

131 2.2 Power absorption under the linear PTO damping

As one of the descriptions of Eq. (1), the PTO force is assumed to be linear and written as $f_{PTO}(t) = -K(z_1 - z_2) - B(\dot{z}_1 - \dot{z}_2)$, where *B* is the linear PTO damping coefficient, and *K* is the stiffness coefficient. Because the system is suspended in water, *K* should be positive or equal to zero (Liang and Zuo, 2017). The incident wave is defined as the frequency ω_0 and the unit wave amplitude. Assuming that the phase angles between the incident wave and body motion, the excitation force are $\varphi_1, \varphi_2, \varphi_3$, and φ_4 . The heave motions and excitation forces in equation

137 (1) can be expressed as,
$$z_1(t) = \operatorname{Re}(Z_1 e^{j\varphi_1} e^{j\omega_0 t}) = \operatorname{Re}(\hat{Z}_1 e^{j\omega_0 t})$$
, $z_2(t) = \operatorname{Re}(Z_2 e^{j\varphi_2} e^{j\omega_0 t}) = \operatorname{Re}(\hat{Z}_2 e^{j\omega_0 t})$

138
$$f_{e1}(t) = \operatorname{Re}(F_{e1}e^{j\varphi_3}e^{j\varphi_b t}) = \operatorname{Re}(\hat{F}_{e1}e^{j\varphi_b t})$$
 and $f_{e2}(t) = \operatorname{Re}(F_{e2}e^{j\varphi_4}e^{j\varphi_b t}) = \operatorname{Re}(\hat{F}_{e2}e^{j\varphi_b t})$, where Z_i is the displacement

amplitude, and the symbol [^] denotes the complex number.

140 According to the assumptions above, taking the Fourier transform of Eq. (1), we obtain

$$141 \qquad \qquad -\omega_{0}^{2}\pi[m_{1} + A_{11}(\infty)]Z_{1}[e^{-j\varphi_{1}}\delta(\omega + \omega_{0}) + e^{j\varphi_{1}}\delta(\omega - \omega_{0})] - \omega_{0}^{2}\pi A_{12}(\infty)Z_{2}[e^{-j\varphi_{2}}\delta(\omega + \omega_{0}) + e^{j\varphi_{2}}\delta(\omega - \omega_{0})] \\ -j\omega_{0}\pi K_{11}(\omega)Z_{1}[e^{-j\varphi_{1}}\delta(\omega + \omega_{0}) - e^{j\varphi_{1}}\delta(\omega - \omega_{0})] - j\omega_{0}\pi K_{12}(\omega)Z_{2}[e^{-j\varphi_{2}}\delta(\omega + \omega_{0}) - e^{j\varphi_{2}}\delta(\omega - \omega_{0})] \\ -j\omega_{0}\pi B_{vis1}(\omega)Z_{1}[e^{-j\varphi_{1}}\delta(\omega + \omega_{0}) - e^{j\varphi_{1}}\delta(\omega - \omega_{0})] + C_{1}\pi Z_{1}[e^{-j\varphi_{1}}\delta(\omega + \omega_{0}) + e^{j\varphi_{1}}\delta(\omega - \omega_{0})] = \\ F_{e1}\pi[e^{-j\varphi_{3}}\delta(\omega + \omega_{0}) + e^{j\varphi_{3}}\delta(\omega - \omega_{0})] + F_{PTO}(\omega) \qquad (5)$$

$$-\omega_{0}^{2}\pi[m_{2} + A_{22}(\infty)]Z_{2}[e^{-j\varphi_{2}}\delta(\omega + \omega_{0}) + e^{j\varphi_{2}}\delta(\omega - \omega_{0})] - \omega_{0}^{2}\pi A_{21}(\infty)Z_{1}[e^{-j\varphi_{1}}\delta(\omega + \omega_{0}) + e^{j\varphi_{1}}\delta(\omega - \omega_{0})]$$

$$-j\omega_{0}\pi K_{22}(\omega)Z_{2}[e^{-j\varphi_{2}}\delta(\omega + \omega_{0}) - e^{j\varphi_{2}}\delta(\omega - \omega_{0})] - j\omega_{0}\pi K_{21}(\omega)Z_{1}[e^{-j\varphi_{1}}\delta(\omega + \omega_{0}) - e^{j\varphi_{1}}\delta(\omega - \omega_{0})]$$

$$-j\omega_{0}\pi B_{vis2}(\omega)Z_{2}[e^{-j\varphi_{2}}\delta(\omega + \omega_{0}) - e^{j\varphi_{2}}\delta(\omega - \omega_{0})] + (C_{2} + K_{m})\pi Z_{2}[e^{-j\varphi_{2}}\delta(\omega + \omega_{0}) + e^{j\varphi_{2}}\delta(\omega - \omega_{0})]$$

$$= F_{e2}\pi[e^{-j\varphi_{4}}\delta(\omega + \omega_{0}) + e^{j\varphi_{4}}\delta(\omega - \omega_{0})] - F_{PTO}(\omega)$$
(6)

143 where

144
$$F_{PTO}(\omega) = -K\pi \{ Z_1[e^{-j\varphi_1}\delta(\omega + \omega_0) + e^{j\varphi_1}\delta(\omega - \omega_0)] - Z_2[e^{-j\varphi_2}\delta(\omega + \omega_0) + e^{j\varphi_2}\delta(\omega - \omega_0)] \} + B\omega_0\pi \{ jZ_1[e^{-j\varphi_1}\delta(\omega + \omega_0) - e^{j\varphi_1}\delta(\omega - \omega_0)] - jZ_2[e^{-j\varphi_2}\delta(\omega + \omega_0) - e^{j\varphi_2}\delta(\omega - \omega_0)] \}$$
(7)

145 Eqs (5), (6), and (7) have the physical meaning only when $\omega = \omega_0$; thus, the hydrodynamic function set is rewritten 146 as

147

$$-\omega_{0}^{2}[m_{1} + A_{11}(\omega_{0})]\hat{Z}_{1} + j\omega_{0}B_{11}(\omega_{0})\hat{Z}_{1} + [-\omega_{0}^{2}A_{12}(\omega_{0}) + j\omega_{0}B_{12}(\omega)]\hat{Z}_{2} + j\omega_{0}B_{visl}\hat{Z}_{1} + C_{1}\hat{Z}_{1} = \hat{F}_{el} - K(\hat{Z}_{1} - \hat{Z}_{2}) - j\omega_{0}B(\hat{Z}_{1} - \hat{Z}_{2})$$
(8)

148

$$-\omega_{0}^{2}[m_{2} + A_{22}(\omega_{0})]\hat{Z}_{2} + j\omega_{0}B_{22}(\omega_{0})\hat{Z}_{2} + [-\omega_{0}^{2}A_{21}(\omega_{0}) + j\omega_{0}B_{21}(\omega)]\hat{Z}_{1} + j\omega_{0}B_{vis2}\hat{Z}_{2} + (C_{2} + K_{m})\hat{Z}_{2} = \hat{F}_{e2} + K(\hat{Z}_{1} - \hat{Z}_{2}) + j\omega_{0}B(\hat{Z}_{1} - \hat{Z}_{2})$$
(9)

149 The relative displacement of the floats is expressed as $\xi(t) = z_1(t) - z_2(t)$, and its time differential is $d\xi = -\omega_0 \left(u \sin \omega_0 t + v \cos \omega_0 t \right) dt ,$ 150

151 where $u=Z_1\cos\varphi_1-Z_2\cos\varphi_2$ and $v=Z_1\sin\varphi_1-Z_2\sin\varphi_2$. Within the time interval [0, T], the average power absorption is 152

153
$$P = -\frac{1}{T} \int_{0}^{T} f_{PTO}(t) d\xi = \frac{1}{2} B \omega_{0}^{2} \left| \hat{Z}_{1} - \hat{Z}_{2} \right|^{2}$$
(10)

154 Further, Eqs (5) and (6) can be written as

155
$$\begin{pmatrix} E_1 + j\omega_0(B + B_{11} + B_{vis1}) + K & -\omega_0^2 A_{12} - j\omega_0(B - B_{12}) - K \\ -\omega_0^2 A_{21} - j\omega_0(B - B_{21}) - K & E_2 + j\omega_0(B + B_{22} + B_{vis2}) + K \end{pmatrix} \cdot \begin{pmatrix} \hat{Z}_1 \\ \hat{Z}_2 \end{pmatrix} = \begin{pmatrix} \hat{F}_{e1} \\ \hat{F}_{e2} \end{pmatrix}$$
(11)

The relative motion is calculated as 156

157
$$\hat{Z}_{1} - \hat{Z}_{2} = \frac{1}{|D|} \left\{ \left[-\omega_{0}^{2}A_{21} + j\omega_{0} \left(B_{22} + B_{21} + B_{vis2} \right) + E_{2} \right] \hat{F}_{e1} + \left[\omega_{0}^{2}A_{12} - j\omega_{0} \left(B_{11} + B_{12} + B_{vis1} \right) - E_{1} \right] \hat{F}_{e2} \right\}$$
(12)

158 By substituting the items in Eq. (10) with the parameters defined below, the capture power can be expressed as:

159
$$P = \frac{1}{2} B \omega_0^2 \frac{X_1^2 + Y_1^2}{\left(P - BM\right)^2 + \left(Q - BN\right)^2}$$
(13)

160 where

161
$$D = \begin{pmatrix} E_1 + j\omega_0(B + B_{11} + B_{vis1}) + K & -\omega_0^2 A_{12} - j\omega_0(B - B_{12}) - K \\ -\omega_0^2 A_{21} - j\omega_0(B - B_{21}) - K & E_2 + j\omega_0(B + B_{22} + B_{vis2}) + K \end{pmatrix}$$

162
$$E_1 = -\omega_0^2 (m_1 + A_{11}) + C_1$$

103
$$E_2 = -\omega_0^2 (m_2 + A_{22}) + C_2 + K_m$$

$$164 \qquad \hat{F}_{ei} = F_{eiR} + jF_{eiI}$$

165
$$M = \omega_0 Q_K$$

$$\begin{array}{ll}
166 & N = -\omega_0 P_K \\
167 & P = P_0 + K P_K \\
168 & P_0 = E_1 E_2 - \omega_0^2 (B_{11} B_{22} + \omega_0^2 A_{12} A_{21} - B_{12} B_{21} + B_{11} B_{vis2} + B_{22} B_{vis1} + B_{vis1} B_{vis2}) \\
169 & P_K = -\omega_0^2 A_{12} - \omega_0^2 A_{21} + E_1 + E_2 \\
170 & Q = Q_0 + K Q_K \\
171 & Q_0 = \omega_0 (B_{22} E_1 + B_{11} E_2 + B_{vis2} E_1 + B_{vis1} E_2 + \omega_0^2 A_{12} B_{21} + \omega_0^2 A_{21} B_{12}) \\
172 & Q_K = \omega_0 (B_{11} + B_{22} + B_{12} + B_{21} + B_{vis2}) \\
173 & X_1 = (E_2 - \omega_0^2 A_{21}) F_{e1R} - \omega_0 (B_{22} + B_{21} + B_{vis2}) F_{e11} - (E_1 - \omega_0^2 A_{12}) F_{e2R} + \omega_0 (B_{11} + B_{12} + B_{vis1}) F_{e21} \\
174 & Y_1 = (E_2 - \omega_0^2 A_{21}) F_{e11} + \omega_0 (B_{22} + B_{21} + B_{vis2}) F_{e1R} - (E_1 - \omega_0^2 A_{12}) F_{e21} - \omega_0 (B_{11} + B_{12} + B_{vis1}) F_{e2R} \\
175 & \text{There are some notable aspects,} \\
176 & (1) \text{ If } K = 0, \text{ the PTO force is } f_{PTO}(t) = -B(\dot{z}_1 - \dot{z}_2), \text{ as shown in Fig. 2. Eq. (13) is a function}
\end{array}$$

176 (1) If K=0, the PTO force is $f_{PTO}(t) = -B(\dot{z}_1 - \dot{z}_2)$, as shown in Fig. 2. Eq. (13) is a function of only *B*, and the 177 condition is called the *passive control* (Wang and Isberg, 2015). By taking the derivative of Eq. (13) with respect to 178 *B*, when $\frac{dP}{dB} = 0$, the optimal damping coefficient is obtained, where

179
$$B = \sqrt{\frac{P_0^2 + Q_0^2}{M^2 + N^2}}$$
(14)

180 By substituting Eq. (14) into Eq. (13), the corresponding maximum absorption power is

181
$$P = \frac{1}{4} \omega_0^2 \frac{X_1^2 + Y_1^2}{\sqrt{\left(P_0^2 + Q_0^2\right)\left(M^2 + N^2\right)} - \left(P_0M + Q_0N\right)}$$
(15)

182 (2) If $K \neq 0$, the PTO force is determined by both the damping and stiffness coefficients, and the condition is 183 called the *active control* (Wang and Isberg, 2015). By taking the partial differential of Eq. (13) with respect to *K* and 184 *B*, the optimal coefficients are obtained as

185
$$K = -\frac{P_0 P_K + Q_0 Q_K}{P_K^2 + Q_K^2}$$
(16)

186
$$B = \sqrt{\frac{P_0^2 + Q_0^2 + (P_K^2 + Q_K^2)K^2 + 2(P_0P_K + Q_0Q_K)K}{M^2 + N^2}}$$
(17)

187 The maximal power capture is

188
$$P = -\frac{1}{8}\omega_0^2 \frac{X_1^2 + Y_1^2}{P_0 M + Q_0 N}$$
(18)

189 (3) If K in Eq. (16) is negative, it must be reset to K=0, because the negative value of K implies the use of the 190 mechanism that is not implementable in the proposed PTO configuration (Castro and Chiang, 2020).

191 Fig. 2 shows the PTO force to the relative velocity of the floats under the *passive* and *active* controls.



192 193

203

207

Fig. 2. Schematic of linear PTO force.

194 2.3 Power absorpti

2.3 Power absorption under the constant PTO damping

When the accumulator is used in the PTO system, a constant PTO force is considered, which makes the dynamic 195 function nonlinear. The PTO damping here can be expressed as $f_{PTO}(t) = -B \operatorname{sgn}(\dot{z}_1(t) - \dot{z}_2(t))$. Under the sinusoidal 196 excitation force, the floats also respond sinusoidally with a period of $\frac{2\pi}{\omega}$, and when $\dot{z}_1(t) - \dot{z}_2(t) = 0$, the direction 197 198 of the PTO force changes, as shown in Fig. 3. According to Fig. 3, the changing point can be expressed as: $t_m = -\frac{1}{\omega} \operatorname{arctg} \frac{v}{u} + \frac{m\pi}{\omega} \quad (m = 0, 1, 2...).$ 199 200 In this case, the two-body heaving WEC can be analyzed numerically using the time-domain model. In contrast 201 to the linear system, the added mass with infinite frequency, the radiation-force impulse-response function, and the 202 excitation force in Eq. (1) can be calculated as

$$A_{iq}(\infty) = A_{iq}(\omega) + \frac{1}{\omega} \int_0^\infty k_{iq}(t) \sin \omega t dt$$
(19)

204
$$k_{iq}(t) = \frac{2}{\pi} \int_0^\infty B_{iq}(\omega) \cos \omega t d\omega \qquad (20)$$

205
$$f_{ei}(t) = \int_{-\infty}^{\infty} k_{ei}(\tau) \eta(t-\tau) d\tau$$
(21)

206 Furthermore,

$$k_{ei}(t) = \frac{1}{\pi} \int_{-\infty}^{\infty} F_{ei}(\omega) e^{j\omega t} d\omega$$
(22)

208 The average power absorption can be calculated by the integration,

209
$$P = -\frac{1}{T} \int_{0}^{T} f_{PTO}(t) [\dot{z}_{1}(t) - \dot{z}_{2}(t)] dt$$
(23)



210 211

Fig. 3. Schematic of the constant PTO damping.

212 2.4 Model validation

213 To validate the analysis of both linear and constant PTO damping forces in this study, the results are compared 214 with those obtained in other research. For the linear PTO condition, the configuration of the model-scaled two-body 215 WEC is cited from Wang et al. (2016), as shown in Fig. 4, and the specific parameters are listed in Table 1. Viscous 216 damping was considered in reference. For the outer float, viscous damping was determined by the experiment 217 conducted by Son and Yeung (2014). For the inner float, Wang et al. (2016) referred to the data of Tom and Yeung 218 (2013) to investigate the viscous effects. Two cases were computed and compared for regular waves. One is 1 DOF, 219 which means that only the outer float can move and the inner float is a fixed cylinder. The other is 2 DOF, which 220 allows both floats to move independently and simultaneously. The hydrodynamic coefficients are solved by the 221 method of matched eigenfunction expansions, and the results of Wang et al. (2016) are derived in the frequency 222 domain. A comparison of the CWR with viscous damping between the reference and present studies under different 223 DOFs is shown in Fig. 5. It can be seen from Fig. 5 that the dynamic analysis in this study can be validated by Wang's 224 results. For the constant PTO, the verified full-scaled WEC is Wavebob, as shown in Fig. 6, with the parameters listed 225 in Table 2. Kalidoss and Banerjee (2019) calculated the power absorption of Wavebob with different piston areas. 226 The PTO force is defined as the product of the piston area of the hydraulic cylinder and the pressure difference 227 between the high-pressure and low-pressure accumulators. The hydrodynamic coefficients of Wavabob were 228 calculated using WAMIT. When modeling the power absorption of the WEC, SIMULINK was used, and the multi-229 body interaction of the WEC was solved in WEC-Sim. The wave condition of the simulation is a regular wave with 230 a wave height of 5.5 m and wave period of 7.0 s. The simulation was run for approximately 72 wave periods to obtain 231 a steady-state solution. Fig. 7 shows a comparison between the results of Kalidoss and Banerjee (2019) and those of

this study. Thus, the present study could be validated.



| Property | | Value |
|--------------------|----------|-------|
| Inner cylinder rad | dius (m) | 0.152 |
| Outer cylinder ra | dius (m) | 0.254 |
| Inner cylinder d | raft (m) | 1.062 |
| Outer cylinder d | raft (m) | 0.632 |
| Water depth | (m) | 1.524 |

Table 1 Geometric parameters of the verified WEC





Fig. 5. Comparison of Wang et al.'s and the present study on the CWR.

| Table 2 Main parameters of the verified WEC | | |
|---|-------|----------------------------------|
| Property | Torus | Spar |
| Mass (t) | 278 | 4680 |
| Radius (m) | 5/10 | 8 (at the mean water lever, MWL) |
| Length (m) | 8 | 56 |
| Draft (m) | 2 | 50 |
| Centre of mass (m) | 0 | -35 |
| Ixx (t • m^2) | 12400 | 1740000 |
| Iyy $(t \cdot m^2)$ | 12400 | 1740000 |
| Izz (t • m^2) | 16500 | 1510000 |

Fig. 6. WEC for validation of the mode with constant PTO damping force (Kalidoss and Banerjee, 2019).

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Fig. 7. Validation of the present model for power absorption by the PTO system.

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237 **3 Power capture performance**

A specified two-body heaving WEC with the geometric parameters listed in Table 3 is used in the model analysis.

All the variables used in this paper are nondimensionalized in Table 4. The definitions of the parameters used in Tables 3 and 4 can be found in Section 2.

 Table 3 Geometric parameters of the model

| Variable | Syr | nbol | Quantity | Unit | |
|----------------------------------|-----------------------------------|---------------------------------|---|--|---|
| Outer radius of outer floa | at I | R ₁ | 40.00 | cm | |
| Inner radius of outer floa | at <i>i</i> | r1 | 20.00 | cm | |
| Radius of inner float | 1 | R ₂ | 18.00 | cm | |
| Draft of the outer float | I | \mathcal{D}_1 | 15.50 | cm | |
| Draft of the inner float | I | D_2 | 70.00 | cm | |
| | Table | e 4 List of non-dime | nsional variables | | |
| Variable | Dimensional variable symbol | Dimensional variable unit | Non-dimensional variable symbol | Definition of the non- dimensional variabe | |
| Wave frequency | ω | rad/s | $\overline{\omega}$ | $\overline{\omega} = \omega / \sqrt{g/R_1}$ | - |
| Added mass | A_{iq} | kg | \overline{A}_{iq} | $\overline{A}_{iq} = A_{iq} / \pi \rho R_1^3$ | |
| Radiation damping | B_{iq} | kg/s | \overline{B}_{iq} | $\overline{B}_{iq} = B_{iq} / \pi \rho \omega R_1^3$ | |
| Linear PTO damping coefficient | В | kg/s | \overline{B} | $\overline{B} = B / \pi \rho g^{1/2} R_1^{5/2}$ | |
| Linear PTO stiffness coefficient | K | kg/s^2 | \overline{K} | $\overline{K} = K / \pi \rho g R_1^2$ | |
| Constant PTO damping force | F_{PTO} | Ν | $\overline{F}_{\scriptscriptstyle PTO}$ | $\overline{F}_{PTO} = F_{PTO} / \rho \omega^2 R_1^3 H$ | |

243 3.1 Power absorption under different PTOs

Three different PTO damping forces are considered, namely, the aforementioned passive and active-controlled linear PTOs, and the constant PTO. The heave motion response amplitude operator (RAO) of the WEC's free

oscillation is discussed in advance. The heave motion RAO, which is defined as the amplitude of the body's heave

247 displacement, normalized by the wave amplitude, is used to evaluate the hydrodynamic performance of the two-body

- heaving WEC. The RAOs of the floats without PTO and the incoming wave power per unit width of the wave front
- 249 are shown in Fig. 8. As shown in Fig. 8, the heave motion RAO of the relative motion has two distinct peaks, which
- are located around the natural frequencies of the outer ($\overline{\omega} = 1.14$) and inner ($\overline{\omega} = 0.70$) floats. In addition, the curve
- 251 implies a better capture performance of the two-body system than the single-body system, because of the wider
- resonance range.



253 254

Fig. 8. Heaving motion RAO and wave power of the two-body heaving WEC.

255 During the numerical modeling of the WEC with the passive-controlled linear PTO, $\bar{\omega}$ takes the value of 256 $0.60 \sim 1.40$ with an interval of 0.20. In particular, as shown in the curve of RAO, a local refinement is performed at 257 around 0.70, adding three frequencies of 0.65, 0.70, and 0.75. The trends of the CWR and heave motion RAO against 258 the damping coefficient \overline{B} are shown in Fig. 9. This shows that the CWR increases first and then decreases with an 259 increase in \overline{B} . The optimal CWR and corresponding \overline{B} values vary with $\overline{\omega}$. When $\overline{\omega}=0.70$, the CWR of the 260 WEC reaches a peak value at a small damping, and the curve is narrow. However, when $\overline{\omega}=1.00$, the WEC performs 261 better at most of the damping force. The relative heave motion RAO keeps dropping down against \overline{B} , which is well 262 understood because the larger the damping is, the more the relative motion between the two floats tends to be together. 263 The rate of decline is the largest when $\overline{\omega}=0.70$, which indicates that the relative motion of the device is most 264 sensitive to the influence of \overline{B} at this frequency.

265 When the active-controlled linear PTO is applied, the damping coefficient \overline{B} is fixed at 0.098. Fig. 10 shows 266 the trends of the CWR and heave motion RAO with the non-dimensional stiffness coefficient \overline{K} . Evidently, the CWR increases up to a peak value with \overline{K} and then decreases. The heave motion RAO is almost the same as that 267 268 of CWR, with one exception when $\overline{\omega} < 0.70$, and the reason is explained in Section 3.2. It is easier to adjust the 269 stiffness coefficient than the damping coefficient of the device, making it well responsive to the incident wave 270 frequency; however, the damping part of the PTO is still a dominant factor in the power absorption. To explore the relationship between the stiffness and damping coefficients, Fig. 11 shows the features of the CWR and RAO with 271 272 respect to the stiffness coefficient under different damping forces. Here, $\overline{\omega}$ is 0.8 and 1.0. This reveals that the 273 trends of CWR and RAO with the consideration of stiffness coefficient do not change with the damping coefficient. 274 Hence, the optimal values can be obtained separately. Furthermore, the optimal stiffness coefficient remains

275 unchanged against different damping coefficients; therefore, it only depends on the wave frequency.

The CWR and RAO of the WEC for a constant PTO damping force are plotted in Fig. 12. It shows that the CWR increases first, then keeps a downward tendency, and approaches zero until the PTO force makes the two floats move

together. In terms of the RAO, the motion response of the WEC declines with an increase in the PTO force.







Fig. 11. CWR and RAO of the WEC against \overline{K} with different B when $\overline{\omega}$ is (a), (b) 0.8, and (c), (d) 1.0.



286 287

Fig. 12. CWR (a) and RAO (b) of the WEC under the constant PTO.

288 3.2 Optimal PTO parameters

Four cases are presented here to show the optimal PTO parameters and power absorption performance of the two-body heaving WEC. The model provides one *comparison case*, where the inner float is fixed to unmovable, and under which the mooring stiffness is infinite. The comparison case is the baseline case, and the remaining cases correspond to the three PTO forces in Section 2. Descriptions of the four cases are listed in Table 5. The optimal nondimensional PTO parameter as a function of the non-dimensional frequency is shown in Fig. 13a, while the corresponding optimal CWR is shown in Fig. 13b.

295 The optimal coefficients are determined in two different ways. One is to apply Eqs (14), (16), and (17). The 296 other is to sweep through these coefficients from zero to a large value. As shown in Fig. 13a, the damping coefficient 297 has two overlapping parts under passive and active control. One occurs when the wave frequency is smaller than the 298 natural frequency of the inner float, while the other occurs at the natural frequency of the outer float. The reason for 299 the former is the manual zeroing of the stiffness coefficient to avoid a negative value. The latter is because the WEC 300 has already resonated with waves, and the stiffness coefficient is sufficiently small. This also explains why the CWR 301 monotonically decreases with \overline{K} when $\overline{\omega} < 0.7$, as in that case, the optimal stiffness coefficient is modulated to 302 zero by artificial correction. The optimal constant PTO force exhibits a trend similar to the damping coefficient of 303 the passive control. Evidently, the WEC responds strongly when the incident wave frequency is between the natural 304 frequencies of the two floats, and conquers as large PTO damping force as possible.

For the passive control linear PTO and constant PTO conditions, two well-separated peaks in the CWR are observed, as shown in Fig. 13b. The low-frequency peak is near the natural frequency of the inner float, and the highfrequency peak is near that of the outer float, whereas in the active control PTO condition and the comparison case, only one peak appears. In fact, the peak under the active control condition could be treated as the merging of peaks in the passive condition, because it is located between the natural frequencies of the floats. This also implies that the WEC can be in tune with the wave by adjusting the stiffness part of the PTO system. In the comparison case, only one peak is observed at the natural frequency of the outer float. In other words, the two-body heaving WEC always

- 312 has more opportunities than a single float.
- 313

| Table 5 Description of rout eases | | | |
|-----------------------------------|--------------------|-----------|----------------------------|
| Case | DOF of inner float | PTO force | Composition of PTO force |
| Passive control | Free | Linear | Damping part |
| Active control | Free | Linear | Damping and stiffness part |
| Comparison case | Fixed | Linear | Damping part |
| Constant PTO force | Free | Constant | Damping part |

Table 5 Description of four asso



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Fig. 13. Optimal PTO parameters (a) and the CWR (b) against non-dimensional wave frequency.

316 4 Estimation of the optimal power absorption

317 For a WEC with certain geometric parameters, the optimal power absorption is determined by the PTO damping 318 when the wave condition is given. Viscous effects are not included in the above discussion. Therefore, smaller 319 dynamic response and power absorption should be expected in a real case when viscous damping is considered. The 320 viscous damping coefficient can be used and obtained by the physical model test; here, the data of Dong et al. (2021) 321 are used to verify the correction of the heave motion RAO and power absorption. Four different cases are shown in 322 Fig. 14, and the differences of the four cases are listed in Table 6. When there is no viscous damping applied on the 323 WEC, the heave motion RAO and CWR has two distinct peaks, which are discussed in Section 3. In this situation, the high heave motion RAO and CWR attract attention. Consequently, the viscous damping is considered in the 324 325 computation. The ratio of the linearized viscous damping to the radiation damping is determined by free decay test. 326 It is observed that the peak value around the inner float decreases sharply when the viscous damping of the inner 327 float applied, but the heave motion RAO still has two peaks. Furthermore, when both floats are applied viscous 328 damping, only one peak occurs in heave motion RAO and CWR, which proves the expected point. The numerical 329 results are also compared with the experimental results, and the results are in good agreement. This shows that the 330 existence of the viscosity makes one of the peaks disappear, which is compared to the two peak values in the absence 331 of viscous damping in Section 3, and the power absorption reduces sharply.





332

334 Fig. 14. Comparison of the heave motion RAO (a) and CWR (b) with different viscous damping conditions. 335 The derivation of the optimal power absorption of the device is complex and time-consuming, especially in 336 reality. Here, a new estimation formula called the PCF is constructed to provide an efficient and convenient way of 337 predicting power absorption. Obviously, when the PTO damping force is 0, the power capture of the WEC is 0. When 338 the PTO damping force increases to a value that makes the floats with NO relative motion, the power capture is also 339 0. In addition, the higher the incident wave amplitude is, the more power the WEC captures. Therefore, inspired by 340 Eq. (13), a function such as Eq. (24) is constructed to estimate the average power absorption of the two-body heaving 341 WEC.

342

$$P = \alpha \omega_0^2 A^2 \frac{B}{B^2 + \beta B + \gamma}$$
(24)

343 where α , β , and γ are the unknown coefficients related to the WEC feature, *B* is the linear PTO damping coefficient 344 or the constant PTO damping force, ω_0 is the incident wave frequency, and *A* is the incident wave amplitude.

From Eq. (24), it can be seen that the power absorption of the WEC first increases and then decreases with an increase in B (as shown in Fig. 15), which is in line with the above analysis results. The optimal PTO damping

347 coefficient or force occurs when $B = \sqrt{\gamma}$, and the optimal power of the WEC can be predicted as

$$P = \alpha \omega_0^2 A^2 \frac{1}{\beta + 2\sqrt{\gamma}}$$
(25)

Eq. (25) is a general expression of the absorption power, which considers all aspects, such as viscosity and friction. It is suitable for different kinds of PTOs whatever linear or constant. The unknown coefficients can be obtained by a model test or numerical simulation in a few cases. The specific method is described below.

Three different values of PTO damping forces are chosen in the model test or numerical simulation under the same incident wave condition; thus, three sets of PTO damping coefficients or forces $(B_1, B_2, \text{ and } B_3)$ and the

- 354 corresponding captured power $(P_1, P_2, \text{ and } P_3)$ can be derived. The above three sets of data are introduced into Eq.
- 355 (26) to obtain the unknown coefficients α , β , and γ . Then, these three unknown coefficients are substituted into Eq.

356 (25) to obtain the optimal power absorption of the WEC under wave conditions.



357

358

359 Fig. 15. Power absorption against PTO damping coefficient (for linear) or force (for constant) in estimation formula. 360 To validate the above method, a model test of the WEC with the parameters listed in Table 3 and constant PTO 361 damping was conducted. The model test was set up at the Shandong Provincial Key Laboratory of Ocean Engineering. 362 The wave tank is 60 m long, 36 m wide, and 1.5 m deep. A piston-type wave maker is featured in the front of the 363 wave tank, which can generate waves with heights ranging from 0.05 m to 0.25 m, and periods ranging from 0.5 s to 364 2.5 s in both regular and irregular wave conditions. The water depth of the model test was 1.1 m, and the model WEC 365 was placed 30 m from the wave maker and 7 m from one side of the flanks to diminish the wall effect. By considering 366 the capacity of the wave tank, the inherent performance of the WEC, and the wave condition of the target sea area, 367 the model test selects the wave conditions with a wave height range between 7.5 and 20.0 cm, and a wave period 368 range between 1.05 and 2.30 s (Dong et al., 2021). In the model test, a specific hydraulic system was applied to 369 provide a constant PTO damping force by adjusting the pressure. The outer float is connected to the piston rod of the 370 hydraulic cylinder, and the inner float is connected to the hydraulic cylinder body. The volumes of the upper and 371 lower chambers of the hydraulic cylinder varied with the relative motion of the two floats. When the piston is upward 372 relative to the initial position, the upper chamber pressure is larger than the lower one, and the outer float is subjected 373 to a downward damping force, whereas the inner float is subjected to a force in the opposite direction, and vice versa. 374 The hydraulic system controls the pressure difference between the chambers by adjusting the proportional solenoid 375 relief valve so that the PTO damping force acting on the floats can be controlled. Through the closed-loop system, 376 the PTO force provided by the hydraulic system can meet the requirement. To compare the optimal power absorption 377 between the estimated and experimental values under different wave conditions, five typical cases are listed in Table 378 7, which cover different wave heights, wave periods, and mass ratios. The data are compared with the estimated 379 values as shown in Fig. 16a, and the average error is 3%. Furthermore, the numerical simulation data from Dong et

al. (2021) are also used for the comparison with the estimated values, under the incident wave conditions of wave

- amplitude A=0.05 m, period $T=1.0\sim2.0$ s in a group interval of 0.2 s, as shown in Fig. 16b. The numerical model has
- already been validated by the model test, and the linear PTO damping force is applied to the two-body WEC instead
- 383 of the constant PTO damping force. The simulation data were obtained by using the boundary element method, and
- the optimal values were obtained by sweeping. The average error between the numerical simulation and PCF is
- approximately zero. Therefore, the validations can prove that the PCF is convincing in predicting the WEC's power
- absorption with different kinds of PTO damping forces.

387 To further verify the correctness of the PCF, the data from Tan et al. (2020) were selected for reference. The 388 subject to be studied is a two-body WEC with a damping plate connected to the inner float, and the energy capture 389 was simulated by introducing a linearized force in the frequency domain. Tan et al. (2020) employed a slotless 390 Halbach linear generator as the PTO system and linearized the nonlinear time domain into a frequency domain to 391 simulate the linear PTO damping force on the WEC. The PCF selects three sets of simulation results to estimate the 392 optimal power absorption at each wave frequency. Fig. 17a shows that the estimated optimal capture power is in good 393 agreement with the reference value at different wave frequencies (from 1 to 5 rad/s) with an average error of 5%. Jin 394 et al. (2019) proposed a coaxial-cylinder WEC, and the optimization of a coaxial-cylinder WEC through actively 395 controlled generator damping and stiffness was studied numerically. The radii of the outer and inner cylinders are 396 0.25 m and 0.15 m, respectively, and the drafts of the outer and inner cylinders are 1.0 m and 0.6 m, respectively. The 397 water depth was set as 2.0 m. The results of the PCF are compared with the data presented in the reference, as shown 398 in Fig. 17b. Here, consistent with the reference, the CWR is illustrated instead of the optimal power. The average 399 error of these six cases is 9%. Based on the above study, the capability of the PCF has been proved. The PCF can 400 quickly estimate the optimal power of the WEC under various conditions, covering different PTO schemes and 401 research methods.

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|-----|--------|----|
| 4 | | / |
| _ | U2 | _ |

| Case | Incident wave height (cm) | Incident wave period (s) | Mass ratio between the outer and |
|------|---------------------------|--------------------------|----------------------------------|
| | | | inner floats |
| 1 | 17.5 | 1.30 | 0.84 |
| 2 | 17.5 | 1.55 | 0.84 |
| 3 | 17.5 | 1.80 | 0.84 |
| 4 | 20.0 | 1.80 | 0.84 |
| 5 | 20.0 | 1.80 | 1.17 |

Table 7 Compared case conditions



Fig. 16. Comparisons of the optimal power absorption between the PCF and the experimental results (a) and the

numerical results (b).



407 **Fig. 17.** Comparison of the optimal power absorption between the PCF and Tan et al.'s (a) and Jin et al.'s (b) studies.

408 5 Conclusions

Based on the classical potential flow theory, this study establishes a hydrodynamic analysis model of a twobody heaving WEC, describing the relative motion of the floats, through which the conversion mechanism is revealed analytically and numerically. According to the control function and the necessary conditions, the optimal PTO damping coefficient and maximum power absorption are solved under the linear and constant PTO hypotheses. A semi-experienced formula (PCF) is given to estimate the maximum power absorption under the optimum PTO damping force. The results presented are important in WEC design.

(1) The two-body heaving WEC typically has two distinct resonant frequencies; therefore, a proper design with
 optimal PTO damping can achieve a better power capture performance than the single-body WEC.

(2) The PTO scheme of the active control can enhance the poorly performing part of the passive control, and
makes the WEC be in tune with the incident wave to obtain the optimal power. The stiffness and damping coefficients
of the PTO damping force are decoupled and can be optimized separately.

420 (3) The new PCF formula, which is proposed for estimating optimal power, is reasonable and easy to use. Only
421 a few sets of tests are required to solve the parameters and adapt to different PTO damping forces, so that the actual
422 optimal power absorption of the device can be estimated efficiently.

In this study, inviscid conditions are to reveal the characteristics of the motion response and power absorption of the two-body heaving WEC under different PTO systems, which is the main purpose. Viscid conditions are discussed to verify the correctness of the analysis and show the effect of viscous damping on the performance of the WEC. In the future, the study will focus on the optimization of the WEC under the effect of viscous damping and mooring system.

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