

# A Reformed Empirical Equation for the Discharge Coefficient of Free-Flowing Type-A Piano Key Weirs

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## Abstract

The existing equations for the discharge coefficient of Piano key weirs (PKWs) used a limited range of experimental data, which means, that they are inappropriate for wide parametric ranges, that might lead to significant errors. This study aimed to propose a reformed empirical equation using a wide range of data points gathered from previous experimental studies. Further, the appropriateness to use the existing equations for the collected data points, and the related errors, were investigated in detail using graphical and statistical analyses. The proposed equation predicted the discharge coefficients with < 5% absolute

24 errors for 83.5% data points and with  $< 10\%$  absolute errors for 100% data points, and the mean absolute  
25 error was 2.9%. Such variations may be attributed to the differences in experimental conditions that  
26 exist among the previous studies. The correlation indices were higher for the proposed equation as  
27 compared to the same for the existing equations, whereas the error indices were lowest for the proposed  
28 one. For some very specific parametric ranges, the existing equations still hold better accuracy. Overall,  
29 the proposed equation can precisely estimate the discharge coefficient of the basic geometry of Type-  
30 A PKWs for a wide parametric range and shall be handy in the hydraulic design of such PKWs.

31 *Keywords:* Piano key weir; Discharge coefficient; Empirical equation; Graphical analysis; Statistical  
32 analysis.

### 33 **Introduction**

34 One of the most crucial components of water resources projects is the hydraulic structures:  
35 dams, barrages, and weirs, which are built either to store or to divert the flow. These structures  
36 are safeguarded by passing the design flood through the spilling arrangements. Basically, the  
37 weirs are used for discharge control and measurement, channel stabilization, water level  
38 moderation, etc. According to Erpicum et al. (2021), the designs in hydraulic structures  
39 engineering are evolving continuously with innovations and new strategies to handle the  
40 problems related to human evolution. The consequences of global warming and cloud burst  
41 events are imposing upward revision of design flood discharge requirements and rehabilitation  
42 of many existing dams and diversion structures may be required for future sustainability.  
43 Traditionally, linear weirs, especially the ogee-crested weirs have been build and the  
44 application of non-linear weirs was limited till around 1970 (Crookston et al. 2019; Erpicum  
45 et al. 2021). In the first half of twentieth century, the labyrinth weir was proposed to counter  
46 the site or the project limitations and to increase the crest length (Crookston et al. 2019). Steady  
47 growth in the construction of labyrinth weirs have been observed over the past five decades.  
48 At the beginning of twenty-first century, another innovative solution – Piano key weir (PKW)  
49 was introduced by Lempérière and Ouamane (2003) to further enhance the specific discharge

50 *q*. PKWs have capability to pass higher specific discharges under lower water heads as  
51 compared to the other weirs. These novel type of modified labyrinth weirs have been used in  
52 the recent two decades in many dam rehabilitation projects, especially in France and Vietnam  
53 (Ho Ta Khanh 2017; Laugier et al. 2017). Although PKWs have been built mainly with low to  
54 medium sized dams, they have also been used with larger diversion discharge applications like  
55 Van Phong in Vietnam and Sawra Kuddu in India (Ho Ta Khanh 2017; Kumar et al. 2021a; b;  
56 Nosedo et al. 2019). Crookston et al. (2019) identified thirty-four PKW constructions  
57 completed through 2019. Over the past two decades, the foremost research topic on PKWs has  
58 been the discharge capacity and the influence of related parameters as elaborated later. The  
59 current study proposes an analytical solution for the discharge coefficient  $C_{PK}$  of Type-A  
60 PKWs, which can be used precisely for a wide range of parameters than the existing equations.

### 61 *Basic configuration*

62 The basic parameters of PKWs are presented in Fig. 1, where  $P$  = weir height,  $W_i$  = inlet key  
63 width,  $W_o$  = outlet key width,  $B_i$  = inlet key overhang,  $B_o$  = outlet key overhang,  $B_b$  = base or  
64 footprint length,  $B$  = sidewall crest length,  $T_s$  = sidewall thickness,  $T_i$  = inlet crest thickness,  $T_o$   
65 = outlet crest thickness,  $B_h$  = sidewall crest length measured between the outlet and the inlet  
66 crest axes,  $W$  = total width of the channel or the weir,  $P_d$  = dam height below the weir keys. In  
67 case of Type-A PKWs,  $B_i = B_o$ . In addition, the width of a PKW unit (or cycle)  $W_u = W_i + W_o +$   
68  $2T_s$  and the developed crest length of a PKW unit  $L_u = W_u + 2B_h$ . If  $N_u$  is the number of PKW  
69 units, then  $W = N_u \times W_u$  and the total developed crest length  $L = N_u \times L_u$ . A naming convention  
70 for different parameters of PKW was suggested by Pralong et al. (2011) and it has been widely  
71 used. However, review of literature reveals that a total of three different crest planforms were  
72 used previously which affect the axes' locations and the design of the parameter  $B_h$  as shown  
73 in Figs. 1(a–c). Therefore, correct estimation of  $B_h$  is crucial to evaluate the discharge  
74 coefficient precisely as  $B_h$  directly affects  $L$  and eventually the  $L/W$  ratio. Furthermore, rounded

75 values of some parameters (especially  $L$ ,  $W$ , and  $L/W$ ) are reported sometimes in the literature  
76 which can lead to some errors while formulating and evaluating the discharge coefficient  
77 equations.

### 78 *Studies on the discharge capacity of Piano Key Weirs*

79 The previous studies on the discharge capacity of PKWs were focused on (i) the basic geometry  
80 of PKWs, (ii) the influence of several parameters: weir height, crest length magnification ratio,  
81 key widths ratio, dam height, wall thickness, key overhangs ratio, submergence, and similar,  
82 and (iii) the effect of the crest shape and the addition of parapet walls and noses into the basic  
83 configuration. Anderson (2011); Anderson and Tullis (2013) studied the effect of  $W_i/W_o$  by  
84 varying it from 0.67 to 1.5 and found that PKW with  $W_i/W_o = 1.5$  and 1.25 had higher  $C_{PK}$  than  
85 the other models and mentioned that a further increase in  $W_i/W_o$  can eventually decrease  $C_{PK}$ ,  
86 especially for high heads. This finding is consistent with the observations of Machiels (2012);  
87 Machiels et al. (2014); Li et al. (2019) ; Shen and Oertel (2021). Machiels (2012); Machiels et  
88 al. (2014) observed an increase in the specific discharge with an increase in the weir height up  
89 to  $P/W_u = 1.3$ . A gain in  $C_{PK}$  with an increase in  $L/W$  was reported in several studies like Kabiri-  
90 Samani and Javaheri (2012); Leite Ribeiro et al. (2011); Noui and Ouamane (2011); Kumar et  
91 al. (2021a). The effect of  $L/W$  is significant at low to medium heads, but not so substantial at  
92 high heads when the effective crest length of PKW reduces due to the local submergence. The  
93  $H/P$ , where  $H$  = total head over the weir crest (head above the weir crest  $h$  + velocity head), has  
94 been the most studied parameter and it can influence the effect of other geometric parameters:  
95  $L/W$ ,  $W_i/W_o$ ,  $P/W_u$  etc., especially at high heads for which the local submergence has a greater  
96 influence. In addition, the effect of some modifications to the basic geometry have been studied  
97 previously. A parapet wall above the weir keys increases the weir height, helps to reduce the  
98 local submergence and the inlet loss (Anderson and Tullis 2013), and can enhance the discharge  
99 capacity of a basic PKW geometry as found by Anderson (2011); Anderson and Tullis (2013);

100 Karimi Chahartaghi et al. (2019); Machiels et al. (2013). However, such addition can only be  
101 effective up to a certain optimal height of the weir (Machiels et al. 2013). Furthermore,  
102 placement of noses below the upstream apexes can provide a smooth transition for the  
103 incoming flow and can reduce the inlet energy loss, and thus can improve the weir discharge  
104 capacity as reported by Anderson and Tullis (2013). Although Leite Ribeiro et al. (2007)  
105 observed no noticeable increase in the maximum discharge capacity (at the design head) by  
106 altering the crest shape, Anderson and Tullis (2013) detected noticeable enhancement in the  
107 discharge coefficient for a model with  $W_i/W_o = 1.25$ , especially at lower heads, when the flat  
108 top crest was replaced by the half-round crest. Unlike the flat top crest, the nappe clung to the  
109 upstream apex crest for the entire tested  $H$  in case of the half-round crest. However, the  
110 effectiveness of such nappe condition was gradually reduced with an increase in  $H$  which alters  
111 the local submergence in the outlet key. In addition, narrowing the sidewalls widen the keys  
112 and eventually increases the discharge capacity. Laugier et al. (2011) performed Computational  
113 Fluid Dynamics (CFD) simulations on PKW configurations with constant  $W_u$  while varying  $T_s$   
114 from 0.02 m to 0.5 m and found a reduction in the discharge capacity with thickening of the  
115 sidewalls. However, the loss was generally reduced for higher heads as discussed by Laugier  
116 et al. (2011). PKWs have been built using concrete considering cost, maintenance,  
117 hydrodynamic vibration, and other related issues (Denys and Basson 2020; Laugier et al. 2011),  
118 except the Oule dam in France in which stainless steel was used (Crookston et al. 2019;  
119 Erpicum et al. 2017).

#### 120 *Existing equations and their limitations*

121 As used by Kabiri-Samani and Javaheri (2012); Kumar et al. (2019, 2021a), the discharge  
122 capacity of a free-flowing PKW can be represented as:

$$123 \quad Q = \frac{2}{3} C_{PK} W \sqrt{2gH^3} \quad (1)$$

124 where  $Q$  = discharge and  $g$  = acceleration due to gravity. Anderson and Tullis (2012a; b, 2013);  
 125 Crookston et al. (2018) expressed the discharge capacity using the commonly used weir head-  
 126 discharge relationship (Henderson 1966) which can be obtained by replacing  $C_{PK}W$  with  $C_dL$   
 127 in Eq. (1), where  $C_d$  = dimensionless discharge coefficient. Both the approaches are useful.  
 128 However, in the present study, all data points were transformed in terms of  $W$  using Eq. (1).  
 129 Kumar et al. (2019) previously evaluated the performance of the existing four equations  
 130 suggested by Cicero and Delisle (2013); Crookston et al. (2018); Kabiri-Samani and Javaheri  
 131 (2012) and Leite Ribeiro et al. (2012b), respectively and found that the equations proposed by  
 132 Cicero and Delisle (2013) and Crookston et al. (2018) predicted the discharge coefficient with  
 133 higher accuracies than the other two for a tested data range. Therefore, the appropriateness to  
 134 use the equations suggested by Cicero and Delisle (2013) and Crookston et al. (2018) were  
 135 only evaluated in the present study. Cicero and Delisle (2013) proposed the following equation  
 136 for Type-A PKWs based on a polynomial fitting (written in terms of Eq. (1))

$$137 \quad C_{PK} = \frac{3}{2} \left[ 1.63 + 0.59 \left( \frac{H}{P} \right) - 11.56 \left( \frac{H}{P} \right)^2 + 21.72 \left( \frac{H}{P} \right)^3 - 12.46 \left( \frac{H}{P} \right)^4 \right] \quad (2)$$

138 Equation (2) is applicable for  $0.1 < H/P < 0.72$ . Later, Crookston et al. (2018) proposed the  
 139 following equation for the discharge coefficient of nine PKW configurations based upon the  
 140 data from Anderson (2011); Anderson and Tullis (2013) (written in terms of Eq. (1))

$$141 \quad C_{PK} = \frac{L}{W} \left[ 1 / \left\{ a + b \left( \frac{H}{P} \right) + c / \left( \frac{H}{P} \right) \right\} + d \right] \quad (3)$$

142 Where  $a$ ,  $b$ ,  $c$ , and  $d$  are coefficients which vary depending on the PKW configuration. For the  
 143 basic configuration of Type-A PKWs, the corresponding coefficient values and the  
 144 applicability of Eq. (3) are provided in Table 1. Equation (2) was formulated using a particular  
 145 set of data points and lacks in accounting for the influence of  $L/W$  which is one of the most  
 146 important parameters for PKWs, especially for low to medium  $H/P$ . It is also unable to reflect

147 the effects of  $W_i/W_o$  and  $P/W_u$ . Therefore, this equation cannot precisely predict  $C_{PK}$  for a wide  
148 range of parameters. In view of this, the suitability of Eq. (2) was analyzed for  $0.1 < H/P <$   
149  $0.72$  and  $4.3 \leq L/W \leq 5.08$  only. Whereas Eq. (3), proposed by Crookston et al. (2018), was  
150 formulated using experimental results collected for very specific values of  $L/W$ ,  $P/W_u$ , and  
151  $W_i/W_o$  and it lacks in considering the influences of  $L/W$  and  $P/W_u$ . The values of such  
152 parameters depend on the actual project site and can vary from one to another. For example,  
153  $P/W_u = 1.3$  and  $0.5$  were suggested for new and rehabilitation projects (Ercicum et al. 2017;  
154 Machiels 2012; Machiels et al. 2014). Similarly, based on the experience from 11 projects  
155 undertaken in France, Laugier et al. (2017) suggested  $L/W$  from 4 to 6 for new projects and  
156 even higher value of  $L/W$  (up to 7) for rehabilitation works. Furthermore, the prototype data  
157 obtained from thirty-four PKW prototypes (Crookston et al. 2019) indicate the requirement of  
158 analytical solutions which can be applied for wide parametric ranges.

159 The present study reformulates an empirical equation for the discharge coefficient of Type-  
160 A PKWs using data points which cover wide ranges of the main governing parameters  $H/P$ ,  
161  $L/W$ ,  $P/W_u$ , and  $W_i/W_o$ . Thorough survey on the available data was carried out and eventually  
162 a total of 395 data points were collected from previous experimental studies. The proposed  
163 equation overcomes the limitations of the existing equations, does not limit its applicability for  
164 specific parametric values, and can be used efficiently in the planning and hydraulic design of  
165 the basic Type-A PKW geometry. However, the influence of parapet walls, noses below the  
166 upstream apexes, sidewall thickness, and crest shape are beyond the scope of this study.

### 167 **Non-dimensional parameters**

168 The discharge passing over a PKW under free-flow condition depends on flow, fluid, and weir  
169 geometry parameters. In case of a free-flowing PKW, the discharge capacity can be represented  
170 as:

171 
$$Q = f(L_u, W_u, W_i, W_o, P, B_i, B_o, H, P_d, g, \rho, \sigma, \mu) \quad (4)$$

172 where  $f$  is a functional symbol,  $\rho$  = density of fluid,  $\sigma$  = surface tension of fluid, and  $\mu$  = dynamic  
 173 viscosity of fluid. Equation (4) can be represented in a non-dimensional form as:

174 
$$C_{PK} = \phi\left(\frac{L}{W}, \frac{H}{P}, \frac{W_i}{W_o}, \frac{P}{W_u}, \frac{B_i}{B_o}, \frac{P_d}{P}, \text{We}, \text{Re}\right) \quad (5)$$

175 where  $\phi$  denotes another functional symbol, basically  $L_u/W_u = L/W$ , We is the Weber number =  
 176  $\rho V^2 L_l / \sigma$ , Re is the Reynolds number =  $\rho V L_l / \mu$ ,  $V$  = characteristics velocity or reference velocity,  
 177 and  $L_l$  = characteristic length or reference length which can be considered as a function of  $H$   
 178 (Erpicum et al. 2016; Tullis et al. 2020). All data points, except the ones from Kabiri-Samani  
 179 and Javaheri (2012) with  $B_o/B_i = 1.6$ , have equal overhangs, i.e.  $B_o/B_i = 1$ . Additionally,  $P_d/P$   
 180 values for the collected data points are either nil or small. Therefore,  $B_o/B_i$  and  $P_d/P$  were  
 181 omitted from the analysis. Generally, a minimum  $H$  of 0.03 m is considered to be the criteria  
 182 to eliminate the size scale effects related to viscous and surface tension forces (Erpicum et al.  
 183 2016; Kabiri-Samani and Javaheri 2012; Pfister et al. 2013). However, a recent comprehensive  
 184 study carried out by Tullis et al. (2020) on a total of five PKW models of different prototype-  
 185 to-model length ratios showed that there is no fixed value of either  $H$  or  $H/P$  above which the  
 186 size-scale effects are negligible. In fact, the limiting value of  $H$  is lower for a smaller model  
 187 than that for a bigger model, but  $H/P$  is higher for the smaller model than that for the bigger  
 188 one. Considering the recommendations of Tullis et al. (2020), a minimum  $H/P$  of 0.15  
 189 (consistent with Shen and Oertel (2021)) and a minimum  $H$  of 0.02 m were found to be the  
 190 suitable criteria for negligible size scale effects for the used data points. Furthermore, the  
 191 studies dealt with turbulent flow and the viscous effect is small as compared to the gravity.  
 192 Therefore, We and Re were also removed from the analysis. Finally, Eq. (5) simplifies to

193 
$$C_{PK} = \phi\left(\frac{L}{W}, \frac{H}{P}, \frac{W_i}{W_o}, \frac{P}{W_u}\right) \quad (6)$$



194 **Elucidation of the Collected Data**

195 A total of 395 data points were congregated from previous studies. The basic geometric  
196 parameters and the ranges of non-dimensional parameters are provided in Tables 2 and 3,  
197 respectively. Anderson (2011); Anderson and Tullis (2013) conducted a series of experiments  
198 on nine PKW configurations in a 7.32 m long, 0.933 m wide, and 0.61 m deep flume using  
199 calibrated orifice meters (accuracy of  $\pm 0.2\%$ ) and a stilling well assembly (with readability  $\approx$   
200 0.15 mm). Out of those nine configurations, three (with  $W_i/W_o = 1.0, 1.25,$  and  $1.5$ ) were used  
201 in the present study. Cicero and Delisle (2013) studied the discharge capacities of different  
202 PKW types under both free and submerged flow conditions in a 25 m long flume using an  
203 electromagnetic flow meter (accuracy of  $\pm 1\%$ ) and the piezometric head measurements  
204 (accuracy of  $\pm 0.18$  mm). The data points from the case of free-flowing Type-A PKW were  
205 used here. Denys and Basson (2020) conducted experiments in a 1.5 m wide and 20 m long  
206 flume using electromagnetic flow meter (accuracy of  $\pm 0.5\%$ ), point gauge (precision of 0.1  
207 mm), and pitot tube (precision of 1 mm). Kabiri-Samani and Javaheri (2012) carried out  
208 experiments on thirty PKW configurations in a 12 m long, 0.4 m wide, and 0.7 m deep flume  
209 using a point gauge (precision of 0.1 mm) and the discharge measured with precision of 0.1 l/s.  
210 The results of two relevant configurations were used in this investigation. Kumar et al. (2019)  
211 conducted experiments in a 15 m long, 0.39 m wide, and 0.5 m deep tilting flume using  
212 ultrasonic flowmeter (accuracy of  $\pm 1\%$ ) and point gauge (least count of 0.1 mm). Leite Ribeiro  
213 et al. (2011) investigated the influence of dam height ( $P_d/P$ ) on the variation in discharge  
214 enhancement ratio against  $H/P$  in a 2 m wide channel having a 0.5 m wide PKW section within  
215 it. They reported a few results for the case with no dam height ( $P_d/P = 0$ ) which were used in  
216 the present study. Li et al. (2020) studied the flow characteristics of PKW by performing CFD  
217 simulations in Ansys-Fluent and by conducting experiments in a 16 m long, 0.5 m wide, and  
218 0.75 m deep flume using electromagnetic flow meter (accuracy of  $\pm 1\%$ ), water level gauge

219 (accuracy of  $\pm 0.1$  mm), and Vernier gauge (accuracy of  $\pm 0.5$  mm). Machiels (2012); Machiels  
220 et al. (2011, 2014) conducted studies in a 7.2 m long, 1.2 m wide, and 1.2 m deep flume using  
221 electromagnetic flow meter (accuracy of  $\pm 1 \times 10^{-3}$  m<sup>3</sup>/s) and gauge or ultrasonic probe  
222 (accuracy of  $\pm 0.5$  mm). In these studies, the PKW sections were either 0.6 m or 0.75 m wide.  
223 Noui and Ouamane (2011) conducted experiments on PKWs by placing the models at a  
224 location that had an upstream basin of 1.1 m deep and 3m  $\times$  3m plan section and a downstream  
225 channel of 1 m width. Tullis et al. (2020) carried out experiments on five PKW models in  
226 different flumes using magnetic or orifice plate flow meters (accuracy of  $\pm 0.25\%$ ), point  
227 gauges (accuracy of  $\pm 0.15$  mm), and stilling wells. Shen and Oertel (2021) conducted a series  
228 of experiments on twenty PKW configurations in a 10 m long, 0.3 m wide, and 0.5 m deep  
229 glass walled flume using calibrated magnetic flowmeters (accuracy of  $\pm 0.3\%$ ) and point gauge  
230 (accuracy of  $\pm 0.1$  mm). The datapoints from two of the tested configurations with  $W_i/W_o = 1.5$   
231 were used in the present analysis. There are more studies available on Type-A PKWs in Leite  
232 Ribeiro et al. (2012a; b); Machiels et al. (2014). However, either the head-discharge data or  
233 some of the required parameters are not present in those cases, and therefore, no useful data  
234 points could be collected. Moreover, the collected datapoints belong to the range:  $L/W \sim 4$  to 6  
235 with average = 4.948,  $H/P \sim 0.15$  to 1.6 (and  $H > 0.02$  m) with average = 0.503,  $W_i/W_o \sim 1$  to  
236 1.57 with average = 1.312, and  $P/W_u \sim 0.5$  to 1.333 with average = 0.868. These parametric  
237 values are mostly comparable to the prototype data (especially from the new projects) obtained  
238 by Crookston et al. (2019) from thirty-four PKW prototypes. In addition, all the collected data  
239 points, except the ones from Leite Ribeiro et al. (2011) (with semi-circular crest), were  
240 apparently recorded for flat top crest PKW models. Besides, 353 data points out of the total  
241 395 were within a narrow range of  $W_u/T_s$ , from 15.3 to 21.67. Therefore, the effect of  $T_s$  seems  
242 insignificant for the tested data. The remaining 42 data points were collected from Kabiri-

243 Samani and Javaheri (2012) with  $W_u/T_s = 200$ , Noui and Ouamane (2011) with  $W_u/T_s = 196.1$ ,  
244 and Li et al. (2020) with  $W_u/T_s = 50$ .

## 245 **Results and discussion**

### 246 *Reformed equation*

247 The collected 395 data points were utilized and the following equation was formulated using  
248 the non-linear regression approach

$$249 \quad C_{PK} = 0.327 \left( \frac{L}{W} \right)^{0.669} \left( \frac{H}{P} \right)^{-0.487} \left( \frac{W_i}{W_o} \right)^{0.276} \left( \frac{P}{W_u} \right)^{-0.171} \quad (R^2 = 0.979) \quad (7)$$

250 Equation (7) is applicable for a wide range of data points:  $L/W \sim 4$  to  $6$ ,  $H/P \sim 0.15$  to  $1.6$  (and  
251  $H > 0.02$  m),  $W_i/W_o \sim 1$  to  $1.57$ , and  $P/W_u \sim 0.5$  to  $1.333$ .

### 252 *Graphical analysis for the proposed and the available equations*

253 Out of the total 395 data points, 256 data points (for  $0.15 < H/P < 0.72$  and  $4.3 \leq L/W \leq 5.08$ )  
254 were used to check the appropriateness of Eq. (2) and 368 data points (for  $0.15 \leq H/P \leq 0.9$  or  
255  $1.0$  depending on the value of  $W_i/W_o$ ) were used in case of Eq. (3), respectively. For  $W_i/W_o$   
256 values up to  $1.5$ , the coefficients in Eq. (3) were obtained by linear interpolation using the  
257 coefficients provided for  $W_i/W_o = 1.0$ ,  $1.25$ , and  $1.5$  in Table 1. Whereas for  $W_i/W_o = 1.57$ , the  
258 coefficients were approximated to be the same as those for  $W_i/W_o = 1.5$ . Figures 2(a-b) and Fig.  
259 3 show variations in the calculated  $C_{PK}$  against the observed  $C_{PK}$  for the existing equations,  
260 Eqs. (2) and (3), and for the proposed Eq. (7), respectively. It was found that Eq. (3) could  
261 predict  $C_{PK}$  with relatively smaller errors (mean absolute percentage error, MAPE = 2.64%) for  
262 the data points with  $L/W$  around  $5.0$  (also see Table 3), excluding the data points from Machiels  
263 et al. (2014) for which the MAPE was 8.64%. This observation is attributed to the effect of  
264 parameter  $P/W_u$  which is absent in Crookston et al. (2018). Besides, Fig. 2(a) shows that for  
265 most of the data points with  $L/W$  values other than  $\sim 5$  (also see Table 3), Eq. (3) could still

266 estimate the higher  $C_{PK}$  values, i.e., at lower  $H/P$ , with lower errors (within  $\pm 10\%$ ) than the  
267 lower  $C_{PK}$  values at higher heads (errors within about  $\pm 15\%$ ). However, an opposite scenario  
268 was observed for the data points from Machiels et al. (2011) with  $P/W_u = 1.313$  which again  
269 indicates the effect of  $P/W_u$ . Furthermore,  $C_{PK}$  was overestimated for higher  $L/W$  values and  
270 was underestimated for lower  $L/W$  values, except the data points from Machiels et al. (2011)  
271 with  $L/W = 4.03$ . The observations reflect that the rate of reduction in the effective crest length  
272 with an increase in  $H/P$  due to the local submergence is dissimilar for different  $L/W$ , and thus,  
273  $C_{PK}$  could not be estimated accurately while considering a linear variation with  $L/W$ , especially  
274 for higher heads. Therefore, the equation proposed by Crookston et al. (2018) is appropriate  
275 for  $L/W$  around 5.0 when  $P/W_u$  is around 0.9, and may also be suitable for other  $L/W$  values  
276 when  $H/P$  is lower. Figure 2(b) shows that Eq. (2) could still predict  $C_{PK}$  with lower errors  
277 (within  $\pm 10\%$ ) for most of the data points (74.2% as discussed later) in the range  $4.3 \leq L/W \leq$   
278  $5.08$ , even though the equation was proposed based on  $L/W = 4.61$ . However,  $C_{PK}$  was  
279 underestimated for higher  $C_{PK}$  values, i.e., at lower heads, at which the influence of  $L/W$   
280 dominates that of  $H/P$ . Furthermore, for the data points from Machiels et al. (2014), higher  
281 errors (MAPE = 9.27% and maximum error = 15.58%) were observed which is primarily due  
282 to the influence of  $P/W_u$ . In contrast, Fig. 3 shows that the proposed Eq. (7) predicted  $C_{PK}$  with  
283 comparatively lower errors than the available equations (from Fig. 2) and most of the data  
284 points (83.5% as discussed later) were within  $\pm 5\%$  error range. Such improvements were  
285 achieved by the presence of  $P/W_u$  together with the other basic non-dimensional parameters.  
286 Still, at lower  $H/P$ , especially for  $0.15 < H/P < 0.16$ , some of the predictions had an error close  
287 to 10% which can be partly caused by the higher measurement uncertainties at lower heads and  
288 partly due to the inability of the proposed power function to represent the change in the  
289 curvature of  $C_{PK}$  at  $H/P$  around that range which was observed previously (Anderson and Tullis  
290 2013; Crookston et al. 2018; Tullis et al. 2020). At such lower  $H/P$  values, the equation

291 proposed by Crookston et al. (2018), Eq. (3), can be a suitable choice. In addition, for the data  
292 points from Leite Ribeiro et al. (2011) with semi-circular crest, it was found that the existing  
293 equations and the proposed equation predicted  $C_{PK}$  with lower absolute errors, < 3.7% only.  
294 All other data points apparently belong to flat top crest PKW models. Therefore, the semi-  
295 circular crest had insignificant effect on the present study.

296 A closer comparison between the predicted  $C_{PK}$  and the observed  $C_{PK}$  for the data points  
297 from Cicero and Delisle (2013); Anderson and Tullis (2013), which were used to formulate the  
298 existing equations, Eqs. (2) and (3), respectively, is shown in Fig. 4. Both the existing, Eq. (3),  
299 and the proposed, Eq. (7), equations predicted  $C_{PK}$  with marginal errors (within about  $\pm 6\%$ )  
300 for the data points from Anderson and Tullis (2013). Furthermore, the MAPE values for Eq.  
301 (7) and Eq. (3) were 2.4% and < 1%, respectively. However, for the data points from Cicero  
302 and Delisle (2013), Eq. (7) estimated  $C_{PK}$  with comparatively higher errors (mostly from 8%  
303 to 9%), and the MAPE values for Eq. (7) and Eq. (2) were 7.1% and < 1%, respectively. For  
304 the same data points, Eq. (3) also estimated  $C_{PK}$  with a similar error pattern (mostly from 8%  
305 to 10%) than that of Eq. (7) as can be seen in Fig. 2(a). Since the proposed Eq. (7) was expressed  
306 based on a wide parametric range taken from several studies with different experimental  
307 conditions and different measurement uncertainties, the observed MAPE values are likely.

308 The used 256 data points for Eq. (2), 368 data points for Eq. (3), and 395 data points for  
309 Eq. (7) were sorted separately by ascending orders of the absolute percent errors. Then the  
310 percentile score of the number of data points were plotted (see Fig. 5) against the sorted  
311 absolute percentage errors for further graphical inspection on the performance of these  
312 equations in addition to what was observed from Figs. 2 – 3. It was found that the proposed  
313 Eq. (7) estimated 83.5% data points with < 5% absolute error, whereas the same was 48.4%  
314 and 50.5% for Eqs. (2) and (3), respectively. Similarly,  $C_{PK}$  values for 100%, 74.2%, and 76.1%

315 data points were predicted within  $\pm 10\%$  error range by Eqs. (7), (2), and (3), respectively.  
 316 Furthermore, the maximum error values were found to be 9.97%, 15.58%, and 16.37% for  
 317 these three equations, respectively. These observations also indicate that Eq. (7) is preferred  
 318 over Eqs. (2) and (3) for a wide parametric range.

319 *Statistical analysis for the proposed and the available equations*

320 The accuracy of the proposed equation and the usability of the existing equations for the tested  
 321 parametric ranges (as discussed in the graphical analysis) were further analyzed using several  
 322 statistical parameters: mean absolute error (MAE), MAPE, mean square error (MSE), root  
 323 mean square error (RMSE), percentage sum of the squares of the error (SSE%), coefficient of  
 324 correlation (CC), and efficiency of correlation ( $E^2$ ) (Ahmad 2013; Kadia et al. 2020; Kumar et  
 325 al. 2019; Maier and Dandy 1996; Pandey et al. 2015; Rajurkar et al. 2004; Sheppard et al.  
 326 2014). Equations (8) – (14) show the expressions of these statistical parameters, where  $Y =$   
 327 observed  $C_{PK}$ ,  $\bar{Y} =$  mean of the observed  $C_{PK}$ , and  $Y' =$  calculated  $C_{PK}$  (Ahmad 2013; Pandey et  
 328 al. 2015; Rajurkar et al. 2004; Sheppard et al. 2014). The calculated values are provided in  
 329 Table 4. It was found that the correlation indices (CC and  $E^2$ ) are higher and the error indices  
 330 (MAE, MAPE, MSE, RMSE, and SSE%) are lower for the proposed Eq. (7) as compared to  
 331 the other two. For example, the RMSE and the MAPE values for the proposed Eq. (7) were  
 332 0.062 and 2.9%, respectively which are comparatively lower than the same for Eq. (2) – 0.137  
 333 and 6.12%, respectively, and for Eq. (3) – 0.118 and 5.89%, respectively. The MAPE of 2.9%  
 334 seems reasonable considering the difference in the experimental conditions among the previous  
 335 studies.

336 
$$\text{MAE} = \frac{1}{n} \sum_{i=1}^n |Y_i - Y'_i| \quad (8)$$

337 
$$\text{MAPE} = \frac{100}{n} \sum_{i=1}^n \frac{|Y_i - Y'_i|}{|Y_i|} \quad (9)$$

338 
$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n (Y_i - Y'_i)^2 \quad (10)$$

339 
$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (Y_i - Y'_i)^2}{n}} \quad (11)$$

340 
$$\text{SSE\%} = 100 \frac{\sum_{i=1}^n (Y_i - Y'_i)^2}{\sum_{i=1}^n (Y_i)^2} \quad (12)$$

341 
$$\text{CC} = \frac{n \sum_{i=1}^n Y_i Y'_i - \sum_{i=1}^n Y_i \sum_{i=1}^n Y'_i}{\sqrt{n \sum_{i=1}^n Y_i^2 - \left( \sum_{i=1}^n Y_i \right)^2} \sqrt{n \sum_{i=1}^n Y_i'^2 - \left( \sum_{i=1}^n Y'_i \right)^2}} \quad (13)$$

342 
$$E^2 = \frac{\sum_{i=1}^n (Y_i - \bar{Y})^2 - \sum_{i=1}^n (Y_i - Y'_i)^2}{\sum_{i=1}^n (Y_i - \bar{Y})^2} \quad (14)$$

343 *Performance of the equations for different ranges of the non-dimensional parameters*

344 For the tested overall parametric ranges, both the graphical and the statistical analyses showed  
 345 precise estimation of  $C_{PK}$  using the proposed Eq. (7) and presented the appropriateness of the  
 346 other two equations. However, the analysis was not limited only to the overall data points but  
 347 also extended to different ranges of the non-dimensional parameters:  $L/W$ ,  $H/P$ ,  $W_i/W_o$ , and  
 348  $P/W_u$  to check the usability of equations for different parametric ranges. Firstly, for  $L/W$ , the  
 349 used data points: 256 for Eq. (2), 368 for Eq. (3) and 395 for Eq. (7) were split separately into  
 350 three ranges:  $L/W < 4.9$ ,  $4.9 \leq L/W < 5.1$ , and  $L/W \geq 5.1$ , respectively. Figure 6(a) shows that  
 351 the proposed Eq. (7) performed reasonably well for all  $L/W$  ranges as the corresponding MAPE  
 352 values were from 2.7% to 4.4% only. Still, Eq. (2) appears to be suitable for  $4.3 \leq L/W < 4.9$ ,  
 353 provided that the data has a  $P/W_u$  value close to that of Cicero and Delisle (2013) (see Table  
 354 3). Application of Eq. (2) to the data from Machiels et al. (2011) with  $L/W = 4.03$  and  $P/W_u =$

355 1.313 would lead to an overestimation of  $C_{PK}$  by about 25% to 30%, primarily due to  $P/W_u$   
356 followed by  $L/W$ . Furthermore, it was found that Eq. (3) is suitable for  $4.9 \leq L/W < 5.1$  when  
357  $P/W_u$  is around 0.9. Similarly, the data points were split into five ranges of  $H/P$  as indicated in  
358 Fig. 6(b). It was found that Eq. (7) predicted  $C_{PK}$  with marginal MAPE (around 3% only) for  
359 the used ranges. Whereas the tested data points for the existing equations showed MAPE values  
360 from 4.4% to 8.8% for Eq. (3) and 4.9% to 6.6% for Eq. (2), respectively, which are higher  
361 primarily due to the absence of  $P/W_u$  in those equations. Furthermore, at lower  $H/P$ , especially  
362 for  $0.15 < H/P < 0.16$ , some data scatter was observed for Eq. (7), and the equation proposed  
363 by Crookston et al. (2018), Eq. (3), is a suitable choice for such range of  $H/P$ . In addition, Figs.  
364 6(c–d) show the prediction accuracies for different ranges of  $W_i/W_o$  and  $P/W_u$ . It was found that  
365 the proposed Eq. (7) predicted  $C_{PK}$  with lowest MAPE for all ranges of  $W_i/W_o$  and  $P/W_u$ . For  
366  $W_i/W_o \sim 1.25$ , all three equations predicted  $C_{PK}$  with lower errors ( $MAPE \leq 5\%$ ) than the other  
367  $W_i/W_o$  ranges. It happened primarily because the variation in  $P/W_u$  was lower for the data points  
368 with  $W_i/W_o \sim 1.25$  than the other two ranges as can be observed from Table 3. Therefore, the  
369 inclusion of  $P/W_u$  in Eq. (7) improved the prediction precisions. Furthermore, Fig. 6(d) shows  
370 that Eq. (3) could still predict  $C_{PK}$  with marginal errors ( $MAPE = 4.1\%$ ) for  $0.7 \leq P/W_u < 0.9$ .  
371 A further inspection revealed that Eq. (3), proposed by Crookston et al. (2018), is appropriate  
372 for  $P/W_u$  around 0.9, especially if  $L/W$  is around 5.0 – also discussed in the graphical analysis.

### 373 *Sensitivity analysis for the non-dimensional parameters*

374 The sensitivity analysis for the proposed Eq. (7) was performed for the four input parameters:  
375  $L/W$ ,  $H/P$ ,  $W_i/W_o$ , and  $P/W_u$  using the collected 395 data points to recognize the most influential  
376 parameter. The sensitivity and the error analyses were executed using the average values of the  
377 input parameters, using the corresponding value of  $C_{PK}$ , and considering the errors in each input  
378 parameter to be independent as suggested by Ahmad (2013). For the collected data points, the  
379 computed average values of the input parameters ( $X$ ):  $L/W$ ,  $H/P$ ,  $W_i/W_o$ , and  $P/W_u$  were 4.948,



380 0.503, 1.312, and 0.868, respectively and the corresponding  $C_{PK}$  was 1.471. During the  
381 sensitivity analysis, each of those four average input values were varied individually by  $\pm 10\%$   
382 (marked as  $\Delta X$ ) and the corresponding changes in the discharge coefficient ( $\Delta C_{PK}$ ) were  
383 determined as provided in Table 5.

384 For all eight cases, the sensitivity was determined using three indices: absolute sensitivity  
385  $AS = \Delta C_{PK}/\Delta X$ , relative error  $RE = \Delta C_{PK}/C_{PK}$ , and relative sensitivity  $RS = (X \times \Delta C_{PK})/(C_{PK} \times$   
386  $\Delta X)$  as used by Ahmad (2013). Table 5 shows the values obtained from different cases. The  
387 obtained  $\Delta C_{PK}$ , RE, and RS values indicate that  $L/W$  is the most important and sensitive input  
388 parameter amongst the four, followed by  $H/P$ ,  $W_i/W_o$ , and  $P/W_u$ , respectively. The relative  
389 sensitivity of  $L/W$  was about 1.29 to 1.45 times that of  $H/P$ , 2.37 to 2.47 times that of  $W_i/W_o$ ,  
390 and 3.74 to 4.07 times that of  $P/W_u$ , respectively. However, the absolute sensitivity was highest  
391 for  $H/P$ , which indicates that only a slight absolute variation in  $H/P$  can cause a large deviation  
392 in  $C_{PK}$ , and therefore, precise measurement of the head over the weir crest is crucial.

### 393 **Conclusions**

394 Previously, researchers proposed equations for the estimation of  $C_{PK}$  based on limited  
395 experimental data. Those equations are suitable for specific parametric ranges and would lead  
396 to significant errors if applied to wide parametric ranges, as observed. Furthermore, previously  
397 used three different crest planforms can affect the  $L/W$  ratio. Sometimes, rounded values of  
398 certain parameters (especially  $L/W$ ) are reported in the literature. Therefore, careful  
399 observations on the selection of crest configuration and  $L/W$  value are crucial in a comparison  
400 study and in studies which deal with equations and data from other studies. This study aimed  
401 to formulate a precise empirical equation for the discharge coefficient of Type-A PKWs using  
402 available data which cover a wide range of parameters to overcome the limitations of the  
403 existing equations. A total of 395 data points were gathered, and Eq. (7) was formulated.

404 Besides, the possibility to apply the existing equations to the parametric ranges beyond their  
405 own data was analyzed in detail. Both graphical and statistical analyses (using 256 data points  
406 for Eq. (2), 368 for Eq. (3), and 395 for Eq. (7)) were performed to check the precision in  $C_{PK}$   
407 predictions. Equation (7) predicted 83.5% and 100% data points with  $< 5\%$  and  $< 10\%$  absolute  
408 error values, respectively. The statistical analysis showed that the correlation indices were  
409 highest for Eq. (7) and the error indices were lowest. For example, the RMSE and MAPE values  
410 for Eq. (7) were 0.062 and 2.9% only, but the same values for Eq. (2) were 0.137 and 6.12%  
411 and for Eq. (3) were 0.118 and 5.89%, respectively. Mainly, the inclusion of  $P/W_u$  in the  
412 reformed Eq. (7) improved the predictions significantly. The MAPE of 2.9% is reasonable  
413 considering the differences in the experimental conditions present among the previous studies.  
414 Furthermore,  $L/W$  was found to be the most sensitive input parameter for  $C_{PK}$  followed by  $H/P$ ,  
415  $W_i/W_o$ , and  $P/W_u$ , respectively. The relative sensitivity of  $L/W$  was about 1.29 to 1.45 times that  
416 of  $H/P$  and was even higher for the other two parameters  $W_i/W_o$  and  $P/W_u$ . Additionally, for  
417 different parametric ranges of the data, the proposed equation estimated  $C_{PK}$  with marginal  
418 errors (maximum MAPE was 4.4%). Yet, Eq. (2) proposed by Cicero and Delisle (2013) was  
419 found appropriate for  $4.3 \leq L/W < 4.9$ , when  $P/W_u \sim 0.7$ ; and the equation suggested by  
420 Crookston et al. (2018), Eq. (3), was found appropriate for  $L/W \sim 5.0$  and  $H/P < 1.0$ , when  
421  $P/W_u \sim 0.9$ . Moreover, the proposed Eq. (7) is suitable for a wide range of parameters:  $L/W \sim$   
422 4 to 6,  $H/P \sim 0.15$  to 1.6,  $W_i/W_o \sim 1$  to 1.57, and  $P/W_u \sim 0.5$  to 1.333 which are comparable to  
423 the prototype data provided in Crookston et al. (2019), especially to the data from new projects.  
424 Therefore, the reformed equation shall be advantageous in planning and hydraulic design of  
425 the basic geometry of Type-A PKWs placed at diversion works and at low dams. However, the  
426 effects of parapet walls, noses beneath the upstream apexes, crest shape, wall thickness, and  
427 dam height (for high  $P_d/P$ ) were not in the scope of the proposed equation; and it is further  
428 recommended to use the proposed equation for flat top crest configurations and for sidewall

429 thickness in the range of  $15.3 \leq W_u/T_s \leq 21.67$ . Meanwhile, these effects can be evaluated  
430 numerically for the basic geometry and the possibility of further improvements on the proposed  
431 equation can be explored.

#### 432 **Data Availability Statement**

433 The data points collected from Kumar et al. (2019) are available from the corresponding author  
434 upon reasonable request. All other data points were obtained from the published manuscripts.

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#### 438 **Conflicts of interests**

439 The authors have no conflicts of interests.

#### 440 **Notations**

441  $B$  = Sidewall crest length (m);

442  $B_b$  = Base or footprint length (m);

443  $B_i$  = Inlet key overhang (m);

444  $B_o$  = Outlet key overhang (m);

445  $B_h$  = Sidewall crest length measured between the outlet and the inlet crest axes (m);

446  $C_d$  = Dimensionless discharge coefficient (-);

447  $C_{PK}$  = Discharge coefficient of PKW in terms of channel width (-);

448  $H$  = Total upstream head over the weir crest including the velocity head (m);

449  $L$  = Total developed crest length of the weir (m);

450  $L_u$  = Total developed crest length of a PKW unit or cycle (m);

451  $N_u$  = Number of PKW units or cycles (-);  
452  $P$  = Weir height (m);  
453  $P_d$  = Dam height below the weir keys (m);  
454  $Q$  = Discharge ( $\text{m}^3/\text{s}$ );  
455  $q$  = Specific discharge ( $\text{m}^2/\text{s}$ );  
456  $T_i$  = Inlet crest thickness (m);  
457  $T_o$  = Outlet crest thickness (m);  
458  $T_s$  = Sidewall thickness (m);  
459  $W$  = Total width of the channel or the weir (m);  
460  $W_i$  = Inlet key width (m);  
461  $W_o$  = Outlet key width (m);  
462  $W_u$  = Width of a PKW unit or cycle (m);

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585

586 **Tables**587 **Table 1.** Coefficients and applicability of Eq. (3) proposed by Crookston et al. (2018) [with

588 permission from Elsevier]

For $W_i/W_o$	$a$	$b$	$c$	$d$	Applicability
1.0	0.5091	10.29	0.09712	0.1164	$0.1 \leq H/P \leq 0.9$
1.25	0.4216	9.412	0.1027	0.1114	$0.1 \leq H/P \leq 0.9$
1.5	0.4895	8.4	0.09448	0.09608	$0.1 \leq H/P \leq 1.0$

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**Table 2.** Summary of basic geometric parameters and specific discharge for the collected data points

Investigators	$^c q$ ( $\times 10^{-2}$ m <sup>2</sup> /s)	$P$ (m)	$W_i$ (m)	$W_o$ (m)	$T_s$ (m)	$T_i = T_o$	$B_o = B_i$ (m)	$B$ (m)	$B_h$ (m)	$W_u$ (m)	$L_u$ (m)	$N_u$ (-)
(Anderson 2011; Anderson and Tullis 2012a, 2013)	3.9 – 25.5	0.1969	0.1247, 0.1156, 0.1039	0.0833, 0.0925, 0.1039	0.0127		0.1214	0.489	0.4763	0.2334, 0.2335, 0.2332	1.186	4
Cicero and Delisle (2013)	5.1 – 23.4	0.222	0.133	0.133	0.02		0.154	0.573	0.553	0.306	1.412	6.5
Denys (2019); Denys and Basson (2020)	12.4 – 41.5	0.4	0.3	0.24	0.03		0.27	1.02	0.99	0.6	2.58	2.5
Kabiri-Samani and Javaheri (2012)	7.5 – 21.3	0.25	0.099, 0.109	0.099, 0.089	<sup>a</sup> 0.001	*	*	0.5	0.499	0.2	1.198	2
Kumar et al. (2019)	1.9 – 6.8	0.105	0.059	0.059	0.006	0	0.064	0.254	0.254	0.13	0.638	3
Leite Ribeiro et al. (2011)	8.6 – 30.4	0.217	0.163	0.13	<sup>a</sup> 0.02		0.23	0.67	0.65	0.333	1.633	1.5
Li et al. (2020)	3 – 22	0.125	0.1333	0.1067	0.005	0	0.125	0.5	0.5	0.25	1.25	2
Machiels et al. (2011); Machiels (2012)	12.7 – 49.2	0.525	0.18	0.18	0.02	0.024	0.184	0.63	0.606	0.4	1.612	1.5
Machiels (2012); Machiels et al. (2014)	4 – 41.5	0.4, 0.3, 0.24, 0.2, 0.15	0.165	0.105	0.015	0	0.2	0.6	0.6	0.3	1.5	2.5
Noui and Ouamane (2011)	3.9 – 16.2	0.15	0.09	0.075	<sup>a</sup> 0.00085		0.103	0.41	0.40915	0.1667	0.985	6
Shen and Oertel (2021)	2.3 – 10	0.1, 0.2	0.054, 0.108	0.036, 0.072	0.005, 0.01		na	0.2, 0.4	0.195, 0.39	0.1, 0.2	0.49, 0.98	3, 1.5
Tullis et al. (2020); Young (2018)	1.8 – 126.1	0.8372, 0.4186, 0.2791, 0.1395, 0.0698	0.4968, 0.2484, 0.1656, 0.0828, 0.0414	0.3866, 0.1933, 0.1289, 0.0644, 0.0322	0.0539, 0.027, 0.018, 0.009, 0.0045		0.5156, 0.2578, 0.1719, 0.086, 0.043	2.0766, 1.0383, 0.6922, 0.3461, 0.1731	2.0226, 1.0113, 0.6742, 0.3305, 0.1686	0.9913, 0.4957, 0.3305, 0.1652, 0.0826	5.0366, 2.5183, 1.6789, 0.8394, 0.4197	2

a: considering  $T_i = T_o$ , b: calculated from the reported  $W_i$ ,  $W_o$ , and  $W_u$  values, c: calculated in most cases, na: not available, \*:  $B_o = 0.08$  m and  $B_i = 0.05$  m

590 **Table 3.** Range of non-dimensional parameters for the collected data points

Investigators	$L/W$	$H/P$	$W_i/W_o$	$P/W_u$
Anderson and Tullis (2013)	5.08	0.152 – 0.927	1.0, 1.25, 1.5	0.844
Cicero and Delisle (2013)	4.61	0.175 – 0.719	1.0	0.725
Denys and Basson (2020)	4.3	0.174 – 0.548	1.25	0.667
Kabiri-Samani and Javaheri (2012)	5.99	0.169 – 0.526	1.0, 1.225	1.25
Kumar et al. (2019)	4.9	0.197 – 0.704	1.0	0.808
Leite Ribeiro et al. (2011)	4.9	0.271 – 0.973	1.254	0.652
Li et al. (2020)	5.0	0.21 – 1.558	1.25	0.5
Machiels et al. (2011)	4.03	0.16 – 0.541	1.0	1.313
Machiels et al. (2014)	5.0	0.152 – 1.602	1.571	0.5 – 1.333
Noui and Ouamane (2011)	5.91	0.195 – 0.878	1.2	0.9
Shen and Oertel (2021)	4.9	0.15 – 1.021	1.5	1.0
Tullis et al. (2020)	5.08	0.152 – 0.999	1.285	0.845

591

592 **Table 4.** Performance of equations

Equation	MAE	MAPE	MSE	RMSE	SSE	CC	$E^2$
		(%)			(%)		
Eq. (2) (Cicero and Delisle 2013)	0.11	6.12	0.0186	0.137	0.576	0.942	0.856
Eq. (3) (Crookston et al. 2018)	0.095	5.89	0.0139	0.118	0.473	0.96	0.91
Eq. (7) (Proposed)	0.047	2.9	0.0039	0.062	0.139	0.988	0.977

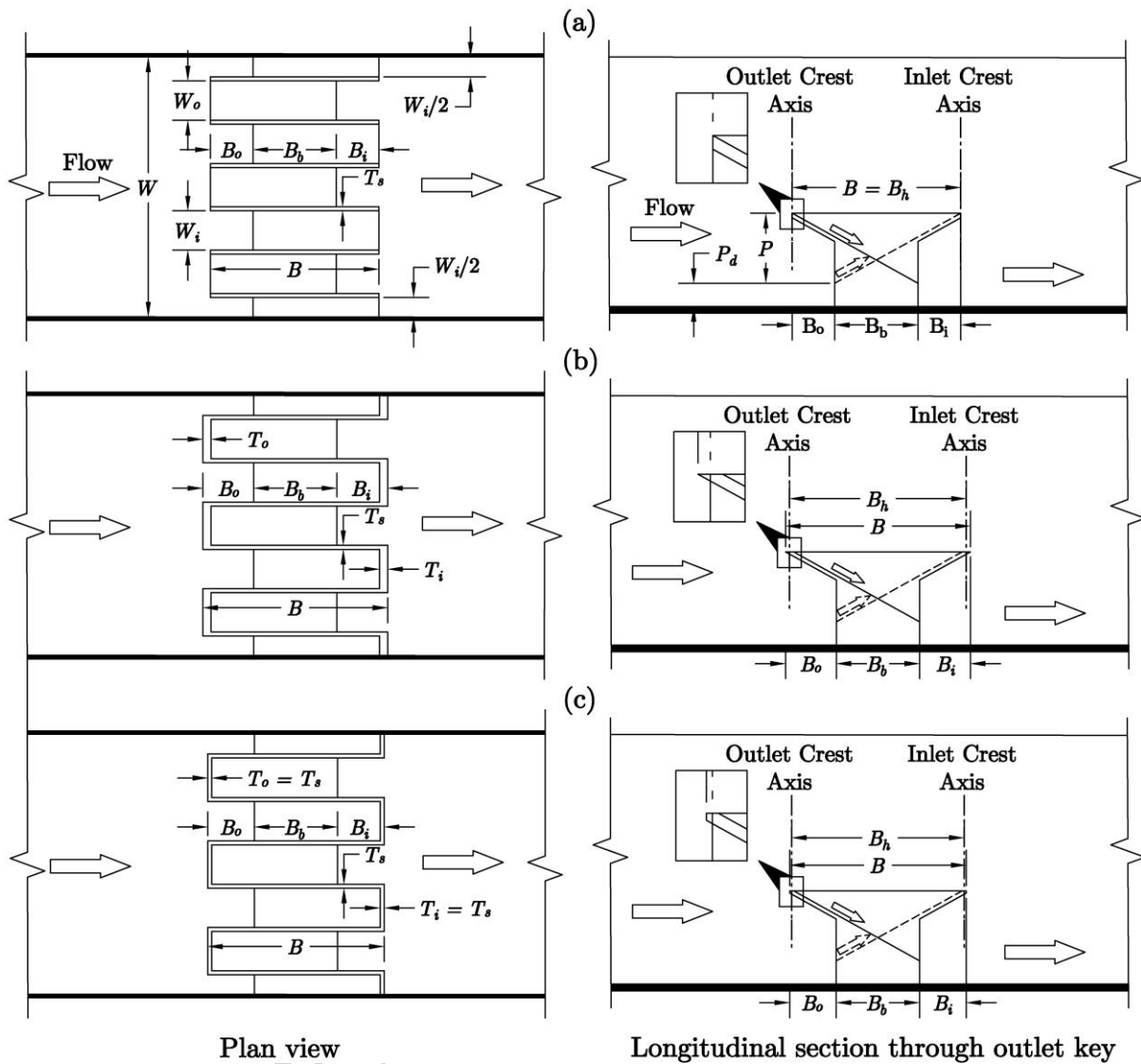
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594 **Table 5.** Sensitivity and error analysis for different input parameters affecting the proposed  
 595 equation, Eq. (7)

$X$	$\Delta X$	For 10% increment in $X$				For 10% reduction in $X$			
		$\Delta C_{PK}$	AS	RE	RS	$\Delta C_{PK}$	AS	RE	RS
$L/W$	0.4948	0.0968	0.196	0.0658	0.658	-0.1001	-0.202	-0.0681	-0.681
$H/P$	0.0503	-0.0667	-1.326	-0.0454	-0.454	0.0774	1.539	0.0526	0.526
$W_i/W_o$	0.1312	0.0392	0.299	0.0267	0.267	-0.0422	-0.321	-0.0287	-0.287
$P/W_u$	0.0868	-0.0238	-0.274	-0.0162	-0.162	0.0267	0.308	0.0182	0.182

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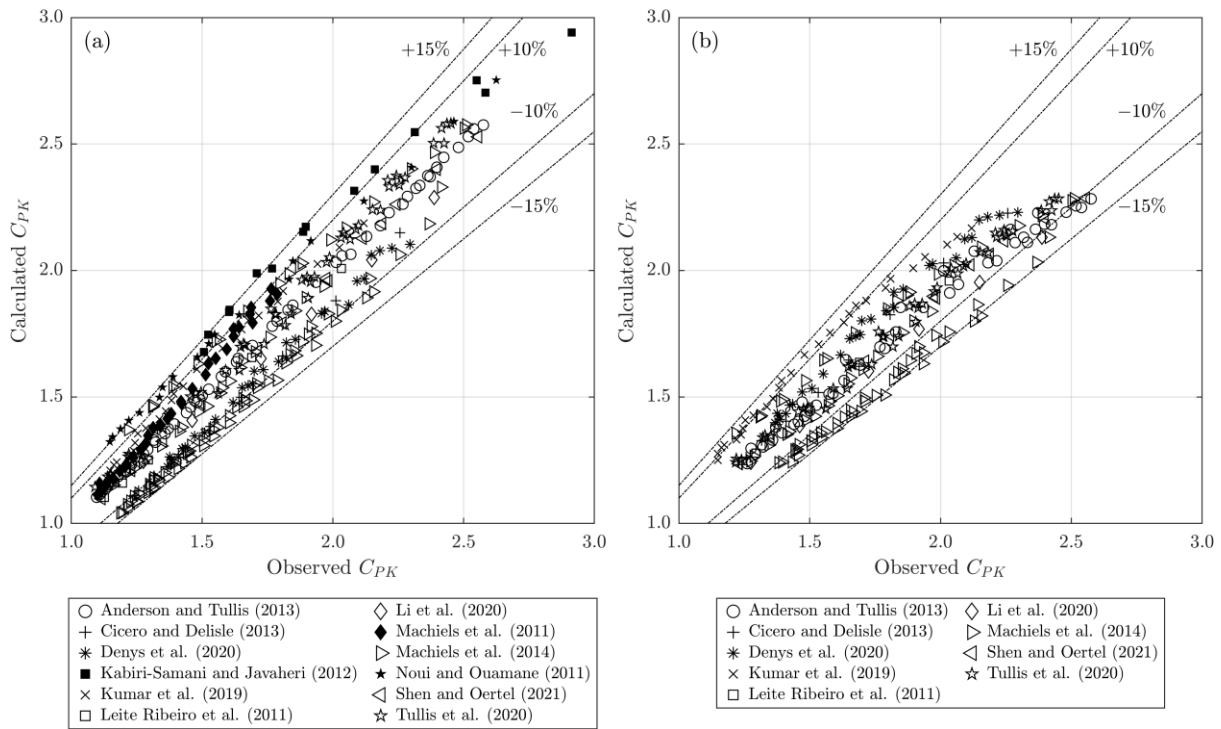


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Plan view

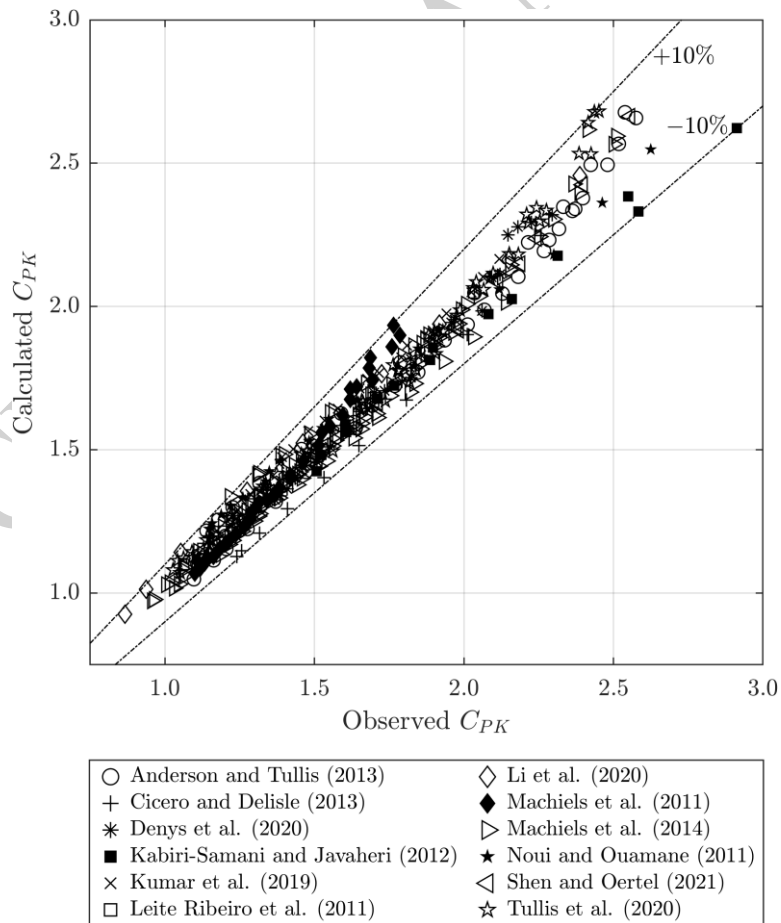
Longitudinal section through outlet key

599 **Fig. 1.** Different components of a PKW with: (a)  $B_h = B$  (Kumar et al. 2019, 2021a; b; Li et al.  
 600 2020; Machiels et al. 2011; Machiels 2012); (b)  $B_h = B - 0.5 (T_i + T_o)$  (Machiels et al. 2011;  
 601 Machiels 2012); (c)  $B_h = B - T_s$  (Anderson and Tullis 2012a, 2013; Denys and Basson 2020;  
 602 Shen and Oertel 2021; Tullis et al. 2020)



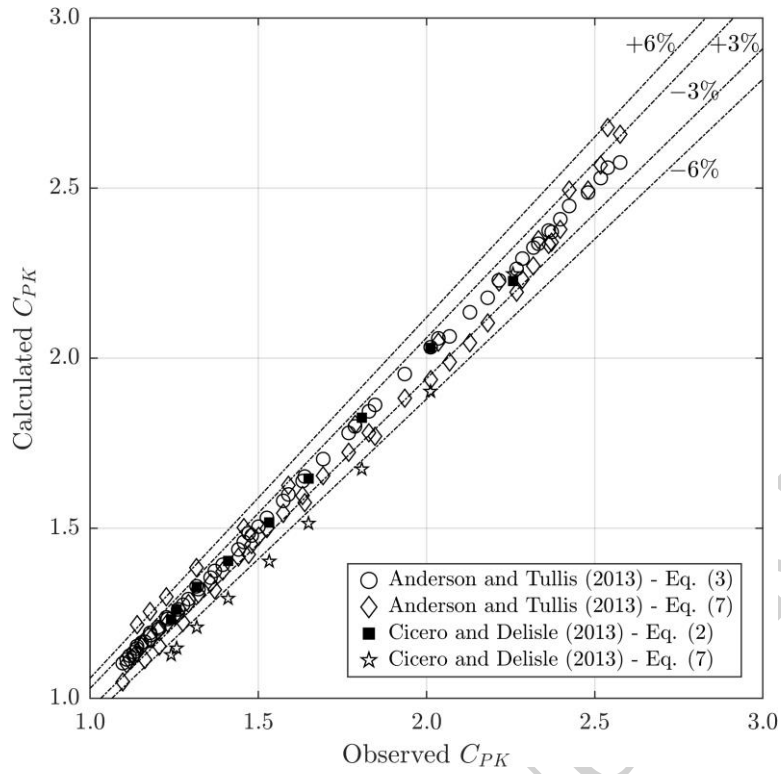
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604 **Fig. 2.** Calculated  $C_{PK}$  versus Observed  $C_{PK}$  for the existing equations: (a) Eq. (3); (b) Eq. (2)



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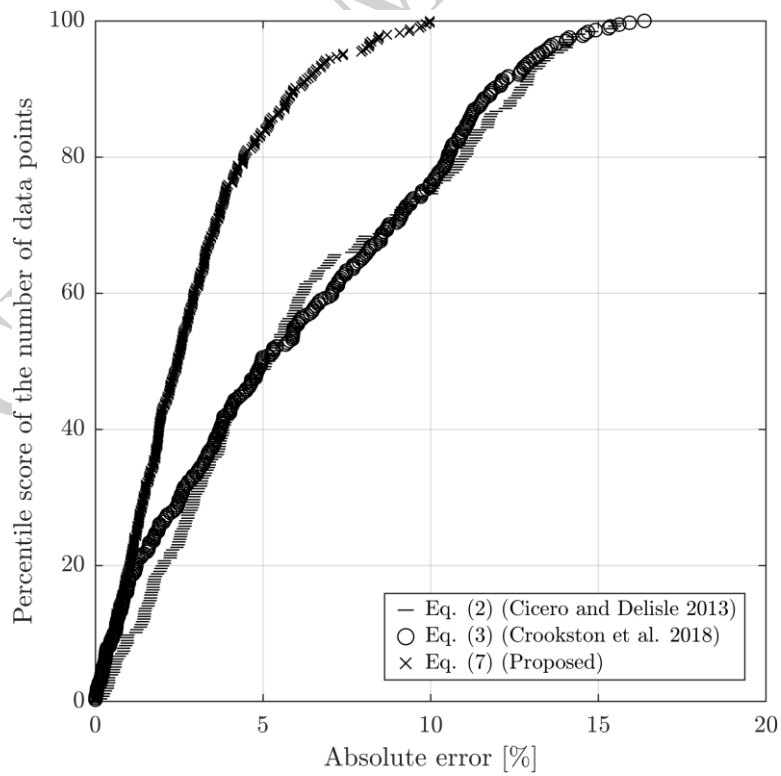
606 **Fig. 3.** Calculated  $C_{PK}$  versus Observed  $C_{PK}$  for the proposed Eq. (7)



607

608 **Fig. 4.** Calculated  $C_{PK}$  versus Observed  $C_{PK}$  for the data points used to formulate the existing

609 equations, Eq. (2) and (3) (see also Table 3)

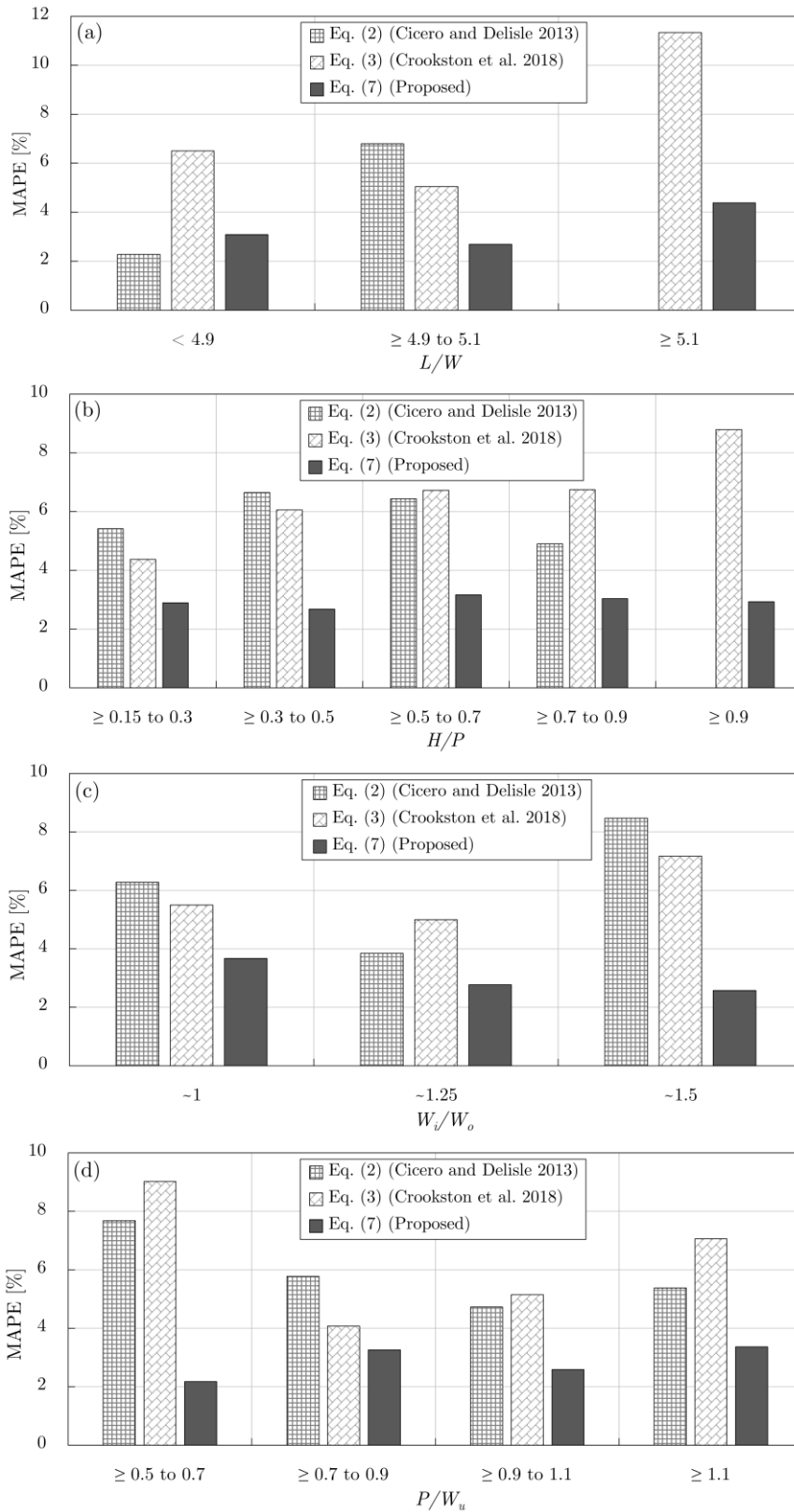


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611 **Fig. 5.** Variation in the percentile score of the number of data points against the absolute

612 percentage error for the proposed and the exiting equations





613

614 **Fig. 6.** Efficiency of proposed and existing equations for different ranges of: (a)  $L/W$ ; (b)  $H/P$ ;

615 (c)  $W_i/W_o$ ; (d)  $P/W_u$