### Mitigating life cycle GHG emissions of roads to be built through 1

#### 2030: case study of a Chinese province 2

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- 4 Journal of Environmental Management 5
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32

#### 31 Abstract

33 The expansion of road networks in emerging economies such as China causes 34 significant greenhouse gas (GHG) emissions. This development is conflicting with 35 China's commitment to achieve carbon neutrality. Thus, there is a need to better 36 understand life cycle emissions of road infrastructure and opportunities to mitigate 37 these emissions. Existing impact studies of roads in developing countries do not address 38 recycled materials, improved pavement maintenance, or pavement-vehicle interaction 39 and electric vehicle (EV) adoption. Combining firsthand information from Chinese 40 road construction engineers with publicly available data, this paper estimates a 41 comprehensive account of GHG emissions of the road pavement network to be 42 constructed in the next ten years in the Shandong province in Northern China. Further, 43 we estimate the potential of GHG emission reductions achievable under three scenario 44 sets: maintenance optimization, alternative pavement material replacement, and EV 45 adoption. Results show that the life cycle GHG emissions of highways and Class 1-4 46 roads to be constructed in the next 10 years amount to 147 Mt CO<sub>2</sub>-eq. Considering the 47 use phase in our model reveals that it is the dominant stage in terms of emissions, 48 largely due to pavement-vehicle interaction. Vehicle electrification can only

49 moderately mitigate these emissions. Other stages, such as materials production and 50 road maintenance and rehabilitation, contribute substantially to GHG emissions as well, 51 highlighting the importance of optimizing the management of these stages. Surprisingly, longer, not shorter maintenance intervals, yield significant emission reductions. 52 Another counter-intuitive finding is that thicker and more material-intensive pavement 53 54 surfaces cause lower emissions overall. Taken together, optimal maintenance and 55 rehabilitation schedules, alternative material use, and vehicle electrification provide 56 GHG reduction potentials of 11%, 4%-16% and 2%-6%, respectively.

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# 58 1. Introduction

59 Roads are one of the dominant forms of transportation infrastructure globally, along 60 with rail lines, shipping ports, and airports. Roads can accelerate economic development and improve quality of life by providing connections between regions, 61 and thus enabling the circulation of products, technologies, and knowledge (Banister, 62 2012; Yu and Lu, 2012; Yu et al., 2012). However, road construction leads to 63 greenhouse gas (GHG) emissions both because pavement construction causes high 64 65 emissions and because the increased availability of roads leads to induced traffic which 66 is predominantly carried out with polluting fossil-fuel powered vehicles (Yu and Lu, 2012). Road infrastructure is still unevenly distributed at a global level with limited 67 68 road access in low-income countries (Meijer et al., 2018; Weiss et al., 2018). An 69 estimated 800,000 km of roads will be needed to provide the vast majority of the global 70 population with quasi-universal road access (Wenz et al., 2020). In order to achieve 71 this, an estimated 2 Gt of CO<sub>2</sub> would be emitted, representing around 0.25% of the 72 carbon budget still available for compliance with the 2°C target (Wenz et al., 2020).

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74 China's scale of infrastructure construction is unprecedented among all developing 75 countries. The expected pavement construction, upgrade and expansion in the coming 76 decades will make it more difficult for China to meet its goal of carbon neutrality. 77 According to forecasts by the China Highway Construction Industry Association and 78 the Ministry of Transport, the expected total investment for road construction in 2021-2030 is around \$2.94 trillion. This encompasses 3,365,000 km of pavement of all 79 80 pavement levels (Yicai, 2018). Further, maintenance and rehabilitation (M&R) of the existing short-lived network of pavements adds to the impact of new construction 81 82 (Wang, 2013). To achieve the recently proposed target of carbon neutrality (Wang and 83 Zhang, 2020), an environmentally preferable system of road construction and 84 management is needed.

85

86 China is currently piloting and promoting alternative materials and engineering 87 methods in order to improve the quality and energy efficiency of pavement construction. 88 In addition to commonly promoted materials such as recycled asphalt and pavement, 89 China is also experimenting with the use of recycled materials for in-situ hot or cold 90 recycling, in-plant cold recycling, and full-depth cold recycling (Ministry of Transport, 91 2019). However, these materials and technologies are not currently implemented at a 92 large scale in the country, and their life cycle environmental impact and the 93 corresponding potential for GHG mitigation remain unclear. Moreover, current road 94 construction and M&R decisions rarely consider the full life cycle impact of roads 95 reasonably and comprehensively.

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97 Established pavement LCA models for high-income countries are inadequate for China
 98 or other developing countries. Pavement characteristics depend on countries' individual

- 99 design and construction standards and practices, which change with economic 100 development (Liu et al., 2020). For example, China's pavement has been found to have 101 a notably shorter design life compared to developed countries, which is caused by a 102 combination of engineering and social factors such as overloaded traffic (Gao and Cao, 103 2001; Huang, 2000), insufficient structures such as thin pavement layers (Liu et al., 104 2020), and poor construction quality.
- 105

106 This paper thus estimates the GHG emissions of the pavements to be built in the next 107 ten years 2021-2030 in a selected province of China: Shandong province. Firsthand 108 data from Chinese road engineers and publicly available data were combined for the 109 research.

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### 111 1.1 Pavement life cycle assessment

Life cycle environmental assessment of pavement quantifying the impacts of (1) 112 113 material production, (2) transportation, (3) construction, (4) use, (5) M&R, and (6) end-114 of-life, is well established in the literature (Jiang and Wu, 2019). The product system 'pavement' is defined in such a way that the use-phase addresses additional energy use 115 116 and emissions of vehicles caused by sub-optimal road conditions, e.g. roughness and 117 deflection on the road surface. There are only a limited number of LCA studies 118 addressing pavement in China and these studies are limited in scope. For example, Chen 119 et al. (2017) find that emissions of new road construction vary widely between 10.6 and 120 823 t CO<sub>2</sub>-eq/km<sup>2</sup> among China's provinces but limit their analysis to the construction 121 phase only. Guo et al. (2014) also include the maintenance stage using a simplified 122 approach. They conclude that the production and M&R stages emit 1.85 kt CO<sub>2</sub>-eq/km 123 and 1.76 kt CO<sub>2</sub>-eq/km, respectively. Guo et al. (2017) find that the production stage 124 contributes most to the life cycle environmental impacts but do not consider M&R and 125 use stages appropriately. In addition, none of the mentioned studies use primary data 126 from Chinese road construction engineers.

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128 The use phase can significantly change the life cycle emissions of pavements, especially due to pavement-vehicle interaction (PVI). PVI focuses on the excess energy 129 130 consumption of vehicles caused by road roughness, texture, and deflection, and can 131 account for a significant percentage of the total vehicle energy consumption (Beuving, 132 2004). Two of the major models of PVI are roughness-induced PVI and deflection-133 induced PVI (Louhghalam et al., 2017; Louhghalam et al., 2014; Louhghalam et al., 134 2015; Pouget et al., 2012). Deflection-induced PVI is primarily influenced by pavement 135 structure with stiffer pavements having less deflection, while roughness-induced PVI 136 can significantly increase with time due to damages to the road surface. In existing 137 studies, the PVI emissions often accounted for more than 50% of the total life cycle 138 GHG emissions of pavements (Araújo et al., 2014; Noshadravan et al., 2013; Xu et al., 139 2019; Yu and Lu, 2012; Zhang et al., 2010). However, the majority of previous studies 140 on PVI did not consider the mitigation potential from vehicle electrification.

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### 142 1.2 GHG emission reduction strategies

Previous research has investigated improvement strategies across all life cycle stages. Here we adopt three of these. M&R equipment and schedules depend on pavement structure (Yu and Lu, 2012; Yu et al., 2013), traffic volume (Wang et al., 2012), and soil conditions (Thenoux et al., 2007) among others and significantly impact the magnitude and variability of pavement life cycle emissions. Optimization of M&R can therefore contribute to significant life cycle emission reductions (alongside cost reductions) (Chan et al., 2008; Labi and Sinha Kumares, 2005; Mandapaka et al., 2012;
Praticò et al., 2011; Zhang et al., 2010).

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152 The use of novel materials for road engineering shows potential for reduction of environmental impacts (and cost), with RAP, fly ash and polymer identified as the most 153 popular new materials (Balaguera et al., 2018). Some of the novel materials, such as 154 155 RAP and recycled concrete aggregates (RCA), have a significantly lower environmental impact compared to hot mix asphalt (HMA) (Chiu et al., 2008; Kucukvar 156 157 and Tatari, 2012; Vidal et al., 2013) in addition to a cost advantage (Ponte, 2016). Other materials, such as glass (Chiu et al., 2008; Huang et al., 2009), ash from the incineration 158 159 of municipal solid waste (Olsson et al., 2006), and industrial waste (Schwab et al., 2014) 160 do not show a significant advantage over traditional HMA pavement due to leachate 161 and a reduced life cycle performance of the resulting roads. The potentially reduced performance of pavements, which can lead to more use-phase vehicle emissions, have 162 163 been studied for multiple materials (Araújo et al., 2014) and production methods such as warm mix asphalt (WMA) (Anthonissen et al., 2015). However, the available studies 164 165 on new paving materials focus on roads in North America and Europe. The 166 environmental, social, and economic implications discussed in these studies are therefore not applicable to China or other developing countries (Balaguera et al., 2018). 167

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169 In summary, existing studies have demonstrated that pavement GHG emissions can be 170 quantified for specific contexts. However, the complete life cycle pavement GHG 171 emissions including the stages of use or M&R have not been quantified for China. In 172 addition, the life cycle mitigation potential of alternative materials, optimized M&R 173 schedule, and EV adoption have not been captured in this context. Finally, we are not 174 aware of existing studies using primary data from Chinese road construction engineers.

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# 176 1.3 Study objective

Hence, the objectives of the current study are to develop a representative LCA model
for pavements in one of China's Northern provinces utilizing firsthand data, and to
explore the GHG emission reduction possibilities for these pavements. The sets of
scenarios explored are 1) M&R schedule, 2) alternative low carbon materials, 3) and
EV adoption. The knowledge gained can be used to provide environmental information
and insights for policy makers and practitioners in decisions regarding pavement
structure and M&R.

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# 185 2. Methodology

### 186 2.1. Goal and scope

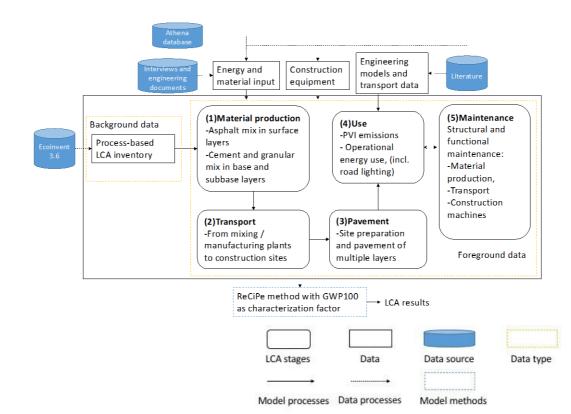
The goal of the study is to assess the life cycle global warming potential of five classes of asphalt pavement in the Shandong Province as shown in Table 1. The Shandong Province is selected to represent the typical pavement situation in Northern China. The functional unit is one lane-km (1 km) over the entire pavement lifetime. The scope of this study includes the stages of material production, transportation, construction, use, and M&R. The projected length of the newly constructed road network pavement is reported in Table S1, while the system boundary of this analysis is shown in Figure 1.

### 196 Table 1 The five technical classes of asphalt pavement analyzed in this study

	Highway	Class I	Class II	Class III	Class IV
Speed (km/h)	80-120	60-100	60-80	30-40	20-30
Average daily traffic (PCU, passenger car unit)	>15,000	>15,000	5,000-15,000	2,000-6,000	<2,000
Number of lanes	≥4	≥4	2	2	2
Life span assumed (years)	20	20	15	15	15
Percentage of heavy trucks	14%	14%	7%	7%	7%

197 Source: National standards JTG F10-2006 and JTG B01-2014

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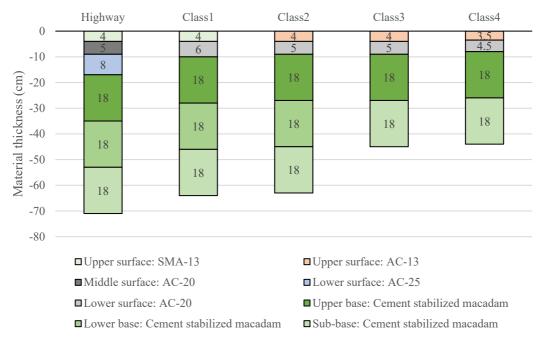


200 201 Figure 1. Data input and system boundary of pavement LCA.

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Data for pavement structure and materials is collected from national standards (Li and Li, 2006; Ministry of Transport, 2004, 2006a, b, 2008, 2014, 2015; Yao, 2006), engineering papers, and interviews with Chinese engineers. LCA calculations are conducted with OpenLCA utilizing background data from the ecoinvent database 3.6. We assume that all production and use stages are typical for the context of the Shandong Province. For details on additional methods and data please see section 1 and 5 in the SI. Emission factors of materials and data quality are listed in Table S15 and S16.



- 210 211 Figure 2: Material thickness of 5 classes of asphalt pavement, where 0 represents the surface. 212 SMA: stone-matrix asphalt. AC: asphalt concrete. Number (e.g. 13 in SMA-13) refers to 213 nominal maximum aggregate size (mm). See Table S2.
- 214

#### 215 2.2 Scenarios sets and Sensitivity

216 This study explores the uncertainty of both pavement-related GHG emissions as well 217 as the potential for their mitigation. Three sets of scenarios are summarized in Table 3. 218 They consider the key factors defined for this study including: M&R schedule, 219 alternative materials, and vehicle electrification. The study also varies parameters of 220 binder and moisture content, energy consumption in manufacturing and construction, 221 transport distance, and traffic growth rate covering the range of reported values in 222 various reports and peer-reviewed papers (Tables S7, S8).

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#### 224 Scenario 1: Maintenance and Rehabilitation schedule

M&R schedules can have a significant impact on the full life cycle GHG emissions of 225 226 pavement by consuming materials and energy while influencing pavement

- 227 performance in the use phase. Studies have been conducted on optimizing the total
- cost of M&R considering the user cost and agency cost throughout the life cycle 228
- 229 (Chan et al., 2008; Guo et al., 2019; Guo et al., 2020; Mandapaka et al., 2012; Pratico
- 230 et al., 2011) while the discussion on carbon optimization is relatively limited (Pellicer
- 231 et al., 2016; Renard et al., 2021; Torres-Machi et al., 2014). The central question to be
- 232 answered in this optimization problem is which M&R option to choose at each stage
- 233 to achieve a minimization of GHG emissions over the entire life cycle. The level of
- 234 roughness and deflection were restricted in the optimization model in a way that met
- 235 the requirements of pavement service performance and standards (Ministry of
- 236 Tranpsort, P., 2018). The detailed description of the optimization model is
- 237 summarized in the SI (Table S9 and Eq S5-S19). 238

#### 239 Scenario 2: Alternative low carbon material

- 240 Materials can directly and indirectly contribute to a significant percentage of life cycle
- GHG emissions of pavement. Among many recycled materials discussed, RAP and 241

242 RCA show considerable advantages in availability and relatively satisfactory road 243 performance. However, it is still challenging to use 100% of these recycled materials 244 as they might not provide the same function as traditional materials. Therefore, the 245 percentage of recycled materials should be decided on in tandem with the production 246 method and the use of additives (e.g. sasobit), considering the required pavement 247 quality and energy input. The change in road features can have important impacts on 248 use-phase emissions. See Table S8 in the SI for detailed assumptions and discussions 249 on material content, energy consumption, density, and stiffness changes.

250

### 251 Scenario 3: Adoption of EVs

252 The promotion of EVs can potentially reduce GHG emissions of the use phase. This 253 section discusses the use-phase GHG emissions under different scenarios with EV 254 adoption rate, EV on-board energy consumption, and carbon intensity of electric 255 charging. For simplicity and considering the low average age (3.3 years) of passenger 256 cars in China (Launch Tech and DataEye, 2015), we use projected EV sales percentages 257 in 2030 as a replacement percentage. Detailed assumptions on EV adoption rates, future 258 EV energy use improvements, and carbon intensity of electricity can be found in Table 259 S11, Table S12, and Table S13. The most optimistic scenario, for example, assumes very high adoption rates and energy use improvements of EVs, and an electricity mix 260 261 consistent with the international 2°C target. While the High EV scenario considers a 262 substantial uptake of EVs, no change in the pavement design code is reported by the 263 respective authorities as a result of fleet electrification (Ministry of Transport). While 264 the increasing trend in the use of EVs can substantially reduce the use-phase impact of 265 the pavement life cycle, their effect on pavement design and future code adoption 266 remains an open question.

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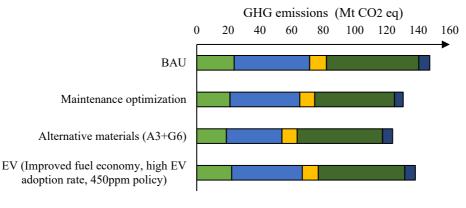
269 Table 2 Summary of the factors and scenarios for emission red
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Scenario Set	Scenarios	
M&R schedule	- Fixed schedule	
	- Maintenance optimization	
Alternative materials	For surface asphalt (A) layer:	
<ul> <li>Key parameters varied:</li> <li>Material composition</li> <li>Energy consumption</li> <li>Stiffness</li> <li>Density</li> <li>Cement content</li> <li>Moisture content</li> </ul>	<ul> <li>A1: Typical materials and method (HMA)</li> <li>A2: 20% RAP, with 30% of asphalt binder in RAP function as binder</li> <li>A3: 40% RAP + 3% Sasobit, with 50% of asphalt binder in RAP function as binder</li> <li>A4: WMA (3% Sasobit)</li> <li>For base granular (G) layers:</li> <li>G1: Traditional materials and method with 4.8% cement</li> <li>G2: 40% RAP with 4.5% cement</li> <li>G3: 42% RCA with 4.3% cement</li> <li>G4: 40% RAP + 60% milled granular layer with 4.5% cement</li> <li>G5: Traditional materials for production + Cold-in-place recycle for structural M&amp;R with 4.5 cement</li> <li>G6: 40% RAP + 60% milled granular layer for production + Cold-in-place recycle for structural M&amp;R with 4.5 cement</li> </ul>	
EV adoption	EV adoption rate:	
<ul> <li>Key parameters varied:</li> <li>Fuel economy</li> <li>Electricity GHG emission factor</li> <li>EV adoption rate</li> </ul>	<ul> <li>None</li> <li>Low (L): 8.5-37% passenger, 0.5% truck</li> <li>Medium (M): 11.7-44.5% passenger, 1.3% truck</li> <li>High (H): 14.8-52% passenger, 2.0% long-haul truck</li> <li>On-board energy consumption:</li> <li>Current</li> <li>2030 prediction</li> <li>Carbon intensity of the electricity mix:</li> <li>2018 electricity</li> <li>2030 BAU</li> <li>2030 New policy</li> <li>2030 450 ppm policy (2°C target)</li> </ul>	

270 3. Results

## 271 3.1 LCA results at provincial level

Figure 3 shows the life cycle GHG results of the newly constructed road network in the 272 province of Shandong through 2030. The life cycle GHG emissions of highway and 273 274 Class 1-4 roads to be constructed in the next 10 years are about 24, 48, 11, 58, and 7 275 Mt CO<sub>2</sub>-eq, respectively, with a total of 147 Mt CO<sub>2</sub>-eq under the business as usual 276 (BAU) scenario. Estimated impacts under various alternative scenarios are shown in 277 Figure 3. Material replacement has a major influence on the results, with a potential 278 reduction of up to 16% reduction or 24 Mt CO2-eq. Similarly, M&R optimization and 279 EV adoption could reduce life cycle GHG emissions by about 17 Mt (11%) and by up 280 to 9 Mt (6%), respectively. 281



■Highway ■Class1 ■Class2 ■Class3 ■Class4

Figure 3. Life cycle GHG emissions of newly built roads in the Shandong Province through
2030 and across selected scenarios. The expansion of the road network is by 2287, 5317,
4560, 22998, and 6145 km for highways and class 1-4 roads, respectively (Table S1).

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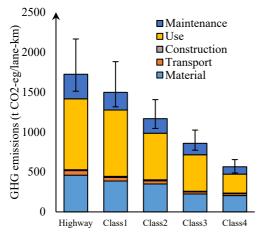
### 287 3.2 Life cycle GHG emissions of pavement per functional unit

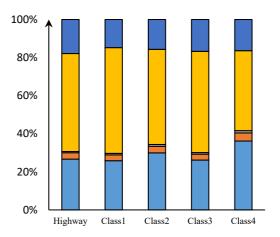
Pavement design and assumed traffic volume have a large influence on life cycle GHG
emissions. The life cycle GHG emissions (see Table S5) for Highway, Class 1, Class
2, Class 3, Class 4 are on average 1,720, 1,500, 1,170, 860 and 570 tons CO<sub>2</sub>-eq/lanekm as shown in Figure 4. Pavements experiencing higher traffic volumes require more
material and energy inputs during the manufacturing, construction, and transport stages.
The results for each class of pavement generally vary from around -15% to +25%,
depending on parameter selection, as shown by the error bars in Figure 4(a).

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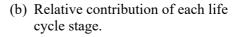
296 A large share of impacts are due to the PVI effect in the use phase. As shown in Figure 297 4(b), the use phase emissions of all roads (except for Class 4) account for about 50-55% 298 of per-unit GHG emissions, while production emissions represent around 25-30% of 299 life cycle impacts per lane-km. The M&R phase, which includes the production and 300 transport of materials, and fuel consumption for on-site construction, contributes about 301 15-18% to specific GHG emissions. For the lower-traffic Class 4 roads, M&R has a 302 significantly higher impact share. Transport of materials and operation of on-site 303 machinery jointly accounts for less than 5% of emissions. Deflection-induced PVI, 304 which primarily impacts truck, dominates use-phase emissions (over 80%, Figure 4(c)).

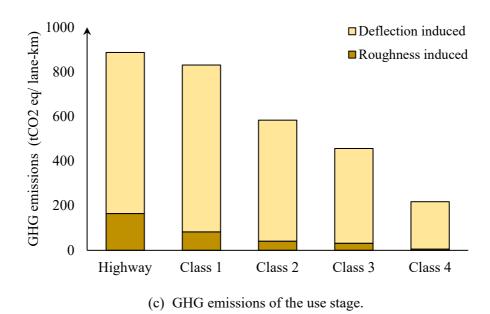
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(a) Life-cycle emissions with error bars illustrating the sensitivity of results.





- 312 Figure 4 GHG emissions by pavement class and life cycle stage.
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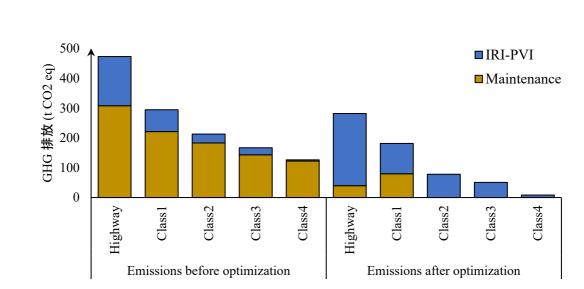
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314 3.3 Scenarios Analysis

### 315 3.3.1 Life cycle GHG emissions under M&R schedule

316 Optimization of the M&R schedule can achieve reductions in GHG emissions, as shown in Figure 5. Surprisingly, the optimization procedure identified longer 317 318 maintenance intervals than current practice to reap maximum emissions reductions. 319 Taking highways as an example, an optimal maintenance schedule would foresee a 320 functional maintenance in year 15, whereas current practice is to perform a functional 321 maintenance in year 7 and a structural maintenance in year 14, reducing M&R-related 322 emissions by 87%, while roughness-induced emissions increase by 46%. Overall, a 323 combined reduction in emissions of approximately 40% is achieved for highways and Class 1 pavements. Reductions for Class 2-4 pavements are even higher, at 63%, 70%, 324 325 and 93%. Corresponding absolute emission reductions are 191 t, 113 t, 135 t, 116 t and 326 118 t CO2-eq per lane-km. In relative terms, the reduction in emissions for class 2-4 is 327 around 10%.



330 331 Figure 5. Maintenance and roughness-induced PVI emissions under non-optimized and 332 optimized conditions.

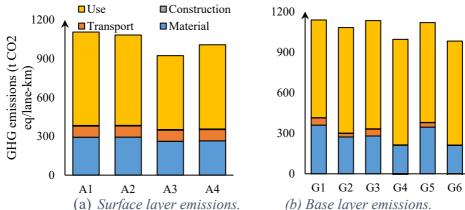
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### 3.3.2 Life cycle GHG emissions under scenarios of alternative low carbon materials

Low-carbon surface and base materials can realize a combined reduction of specific 335 life cycle emissions of up to 16% for highway pavements. The life cycle impacts of 336 337 replacing surface materials and base materials for highways are shown separately in Figure 6, where the categories "material" and "transport" indicate the total production 338 339 and transport of materials for both the construction and maintenance stages. For 340 highways, scenarios A2, A3 and A4 can reduce emissions by 2.1%, 16.5%, and 8.9%. 341 Material input, energy demand and stiffness changes are the underlying factors of 342 overall GHG emissions. For example, A3 shows an 11% emissions reduction in the 343 material production stage, whereas A2 achieves only a 0.3% reduction. This is due to 344 the fact that A2 requires lower material inputs but increased energy demand for heating 345 RAP. By contrast, the significantly reduced energy consumption of WMA combined with reduced heating energy requirements for fewer raw materials is responsible for the 346 fact that the largest mitigation potential is achieved in scenario A3. Stiffness change, as 347 348 shown in table S10, leads to use-phase GHG reductions of 3%, 21% and 10% in the scenarios A2, A3 and A4 respectively. In addition, emissions due to hauling RAP from 349 350 the demolition site to the plant are offset by its avoided transport emissions from the 351 demolition site to the landfill.





356 Figure 6. Highway pavement emissions by life-cycle stage with alternative low carbon 357 materials. A1: Traditional materials and method (HMA); A2: 20% RAP, with 30% of asphalt 358 binder in RAP function as binder; A3: 40% RAP + 3% Sasobit, with 50% of asphalt binder in 359 RAP function as binder; A4: WMA (3%Sasobit). G1: Traditional materials and method with 360 4.8% cement; G2: 40% RAP with 4.5% cement; G3: 42% RCA with 4.3% cement; G4: 40% 361 RAP + 60% milled granular layer with 4.5% cement; G5: Traditional materials for

362 production + Cold-in-place recycle for structural M&R with 4.5% cement; G6: 40% RAP +

363 60% milled granular layer for production + Cold-in-place recycle for structural M&R with

364 4.5% cement.

365 For highways, the total life cycle GHG reduction under the scenarios G2, G3, G4, G5 366 and G6 in all stages are 4.9%, 0.4%, 13.4%, 1.7%, and 15.2%. The decline in GHG 367 emissions in base layers mainly stems from the reduction in cement content. Cement production accounts for 71% of the GHG emissions in the original base material 368 369 production stage. With RAP and RCA, the cement content is reduced from 4.8% to 4.5% 370 and 4.3%, respectively, resulting in a notable decline in GHG emissions. In addition, 371 production of crushed aggregates and gravel represents 14% and 7% of specific GHG 372 emissions, providing carbon mitigation potential for the replacement with RAP or RCA. 373 On the contrary, reduced base layer stiffness increases GHG emissions during the use 374 phase. The other road classes exhibit the same pattern as discussed for highways, see 375 Figure S1 and Figure S2. For Class 1-4 pavements, the G6 scenario achieves the largest 376 reductions in all stages combined, on the order of 19%, 23%, 15%, and 25%, 377 respectively.

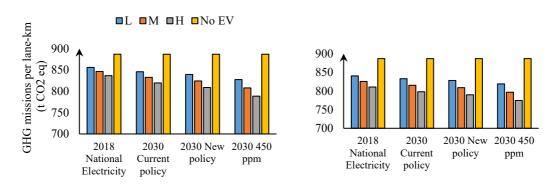
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#### 379 3.3.3 Life cycle GHG emission under scenarios of EV adoption

380 EV adoption only has a modest effect on emission reductions. Figure 7 illustrates the 381 use phase GHG emissions of each highway class with varying assumptions on EV 382 adoption rate, on-board energy use, and carbon intensity of electricity, as specified in 383 Table S11, S12 and S13. Assuming the current electricity mix and average EV energy consumption, use-phase GHG emissions could be reduced by 31, 41 and 50 t CO2-384 385 eq/lane-km under scenarios of low, medium and high EV adoption compared to the 386 100% ICEV scenario, which is labeled as 'No EV'. The ideal scenario combination of 387 high EV adoption rate, low carbon electricity and reduced energy consumption would 388 yield a 13% use phase emissions reduction. Note that even in the high EV adoption 389 scenario, only 2% of long-haul trucks are assumed to be EV, which partly explains 390 the modest results. While EV replacement would contribute 6%, energy efficiency 391 and electricity de-carbonization would contribute 3% and 4%. For road classes 1-4,

#### 392 use-phase emission reductions are on the order of 11% under the ideal scenario

393 (Figure S3).



and (right)

- 394 395

396 Figure 7. Use-phase emissions under various scenarios of vehicle electrification and electricity-decarbonization, assuming (left) current EV on-board energy use

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improved EV energy efficiency. L: low adoption rate of EVs; M: medium adoption rate 399 of EVs; H: high adoption of EVs; No EV: No adoption of EVs.

#### 400 4. Discussion

401 This work identified a potential for considerable GHG reductions in the life cycle of 402 pavements in China. The total emissions from new pavement to be constructed in the 403 Shandong province is roughly equivalent to the combustion emissions of 6.5 million of 404 China's most popular vehicle, the VW Jetta, traveling for 15,000 km each year for 10 years (assuming an emissions factor of 151g CO<sub>2</sub>/km (DOE, 2020)). Considering that 405 406 Shandong is already one of the leading provinces in terms of transport infrastructure 407 maturity, there is an urgent need to mitigate these emissions. We find that not only the 408 construction of new pavements is carbon-intensive but also the rehabilitation of the 409 existing pavement stock. In our calculations and projections, the use-phase and M&R stages, which have been commonly neglected in previous research, have the largest 410 411 contribution to life-cycle emissions per lane-km (more than 60%). Managing these 412 phases in an optimal way can therefore provide a considerable carbon reduction 413 opportunity for the transport sector. The use of alternative materials has a large potential 414 for emission reductions as well, albeit to a lesser degree.

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416 Our results indicate that pavement construction in China is more carbon-intensive per 417 lane-km compared to developed countries. For example, despite the fact that our 418 assumptions on lifespan are much shorter than those of Xu et al., 2019, who study life 419 cycle emissions of pavement construction in the US, we find comparable life cycle 420 emission results for pavements with similar assumptions (i.e. Road 4 in Xu's study vs 421 our Class 1, Road 5 vs. our Class 4), as shown in Figure S5 and Table S14.

422

423 The thinner surface layer used for Shandong's pavements and the resulting high 424 deflection-induced PVI indicate an important opportunity for low-carbon pavement 425 design. Assuming a 30% increase in surface layer height, which would be in accordance 426 with the design code and practice of developed countries, would lead to a 23% 427 reduction of deflection-induced PVI emissions. After taking into account the increased 428 material and construction emissions, the net reduction in life cycle pavement emissions 429 are still in the range of around 5 -8%. The findings of this paper support 430 recommendations for further improvements in road quality in China from the 431 perspective of life cycle carbon management (cf. Liu et al., 2020; Wang, 2013).

433 The substantial carbon reduction potential of the M&R optimization scenario supports 434 the need for further quality assessment of pavements and M&R planning. However, 435 there are still factors that deserve further consideration. One possible criticism of our 436 work is the simplified assumption of constant layer height, which allows for unchanged deflection-induced PVI in our model. In reality, popular M&R methods like overlays 437 438 can influence deflection-induced PVI with altered layer height. Another potential 439 drawback is the insufficient consideration of the cost of road users, i.e. drivers. Given 440 that user cost is an important factor affecting the economics of road M&R schedule 441 (Chan et al., 2008; Mandapaka et al., 2012; Praticò et al., 2011), the current model may 442 overestimate the potential cost effectiveness. In addition, M&R decisions in China 443 require comprehensive consideration of several indicators(Ministry of Transport, 2018) 444 in addition to life cycle carbon emissions (Figure S6). Finally, although we carefully 445 selected data appropriate for the context of the Shandong province, whenever possible, 446 further context-specific data may be needed in the future, such as emission factors for 447 asphalt materials, sales scenarios for electric vehicles, as well as pavement deterioration 448 curves.

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450 Specific emissions of each stage calculated in this study are generally consistent with 451 existing research, except for a remarkably large gap between deflection-induced PVI 452 and roughness-induced PVI (Akbarian, 2012; Akbarian, 2015; Louhghalam et al., 2017; 453 Xu et al., 2019). The disproportionately high emissions from deflection-induced PVI 454 can be explained by two main factors: (1) the notably thin pavement surface, and (2) 455 the high truck traffic in China. The slow growth of IRI as calculated by the selected IRI model further contributed to the disproportionally high use-phase emissions. However, 456 457 the academic discussion of IRI prediction models for roads in China is scarce (Wu and 458 Zhou, 2009; Wang and Han, 2007; Zhao et al., 2018).

459

460 In practice, pavement design and M&R frequency largely depend on various factors, 461 including local geography, traffic volume, and available construction funds. In this 462 work, simplified assumptions for these factors were necessary due to limited data. 463 Changes in pavement stiffness due to the use of alternative materials should ideally be 464 based on real-world experimental data, which was not available for this work. However, for this study input data was selected with great care based on a synthesis of a large 465 466 number of mutually independent studies, interviews with engineers, and official 467 government documents. Future research should include albedo effects, which can 468 further influence use phase emissions.

469

# 470 5. Conclusion

471 The goal of this study was to provide a systematic environmental management 472 perspective on road construction in a Chinese province that can be replicated and 473 widely applied. Pavements cause significant GHG emissions throughout their life cycle. 474 While previous work omitted the use phase, this work demonstrates that it contributes 475 the most to total emissions, followed by material production, and M&R. Optimized 476 pavement structure designs and M&R schedules can substantially reduce life cycle GHG emissions by up to 16% and 11% respectively. Vehicle electrification has a 477 478 negligible impact on the reduction of use-phase emissions (up to 6%). Carbon 479 management should be incorporated into decision-making for new road planning and 480 M&R.

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