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# The ileal fungal microbiota is altered in Crohn's disease and is associated with the disease course

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**Introduction:** Fungal microbiota's involvement in the pathogenesis of Crohn's disease (CD) is incompletely understood. The terminal ileum is a predilection site both for primary involvement and recurrences of CD. We, therefore, assessed the mucosa-associated mycobiota in the inflamed and non-inflamed ileum in patients with CD.

**Methods:** The mucosa-associated mycobiota was assessed by ITS2 sequencing in a total of 168 biopsies sampled 5 and 15 cm proximal of the ileocecal valve or ileocolic anastomosis in 44 CD patients and 40 healthy controls (HC). CD patients with terminal ileitis, with endoscopic inflammation at 5 cm and normal mucosa at 15 cm and no history of upper CD involvement, were analyzed separately. The need for additional CD treatment the year following biopsy collection was recorded.

Results: CD patients had reduced mycobiota evenness, increased Basidiomycota/Ascomycota ratio, and reduced abundance Chytridiomycota compared to HC. The mycobiota of CD patients were characterized by an expansion of Malassezia and a depletion of Saccharomyces, along with increased abundances of Candida albicans and Malassezia restricta. Malassezia was associated with the need for treatment escalation during follow-up. Current anti-TNF treatment was associated with lower abundances of Basidiomycota. The alpha diversity of the inflamed and proximal non-inflamed mucosa within the same patients was similar. However, the inflamed mucosa had a more dysbiotic composition with increased abundances of Candida sake and reduced abundances of Exophiala equina and Debaryomyces hansenii.

**Conclusions:** The ileal mucosa-associated mycobiota in CD patients is altered compared to HC. The mycobiota in the inflamed and proximal non-inflamed ileum within the same patients harbor structural differences which may play a role in the CD pathogenesis. Increased abundance of *Malassezia* was associated with an unfavorable disease course.

KEYWORDS

Crohn's disease, inflammatory bowel disease, mycobiota, fungal microbiota, fungi

#### Introduction

Crohn's disease (CD) is a chronic inflammatory bowel disease (IBD) characterized by transmural and segmental inflammation of the gastrointestinal tract. Currently, CD is thought to develop in genetically susceptible individuals exposed to environmental factors and gut microbiota, causing an aberrant immune response that leads to inflammation and subsequent tissue damage (1). The presence of a luminal factor causing inflammation was early demonstrated as an ileostomy diverting the intestinal contents has a well-known protective effect, whereas reestablishment of bowel continuity or infusion of fecal content triggers recurrence (2, 3). Disease recurrence typically manifests at and immediately proximal to an anastomosis (4, 5) and studies of the terminal and neo-terminal ileum are therefore of particular interest to understand the pathogenesis of CD. The ileal bacterial mucosaassociated microbiota at the time of ileocecal resection (ICR) and postoperatively has been associated with the risk of disease recurrence (6-8).

Several findings also suggest that the mycobiota is involved in CD pathogenesis (9). Anti-Saccharomyces cerevisiae antibodies (ASCA) were early proposed as a diagnostic biomarker of CD (10). Genome-wide association studies (GWAS) have later identified CARD9 single-nucleotide polymorphism (rs4077515 creating substitution p.S12N) to be associated with CD (11, 12). Identification of intestinal fungi through C-lectin receptors depends on CARD9 in the signaling pathway to stimulate a pro-inflammatory response to commensal fungi (13, 14), and a defect in CARD9 is associated with susceptibility to fungal infections and a lower number of Th-17 cells in humans (13). In addition, Toll-like receptor 4 polymorphisms associated with both CD and UC also predispose to systemic Candida infections in humans (15). A proportion of IBD patients have genetic polymorphisms that increase susceptibility to fungal infections, also the fungal load and richness are elevated in CD patients (16, 17).

Although the majority of studies have analyzed the fecal mycobiota, the mucosa-associated and fecal bacterial microbiotas are different (18–20), and the mucosa-associated microbiota is by many considered more relevant to the

pathogenesis of CD (21). Only a few studies have described the mucosa-associated mycobiota in CD patients (14, 16, 22). The mucosa-associated mycobiota in CD is characterized by a skewed Ascomycota to Basidiomycota ratio, increased abundances of Basidiomycota, and decreased abundances of Ascomycota phyla in CD compared to controls (14, 22). Inflamed tissue in CD patients has a 40-fold higher load of fungi compared to healthy controls (HC) and increased abundances of Xylariales order and Sordariomycetes class (16). Waterlavage samples obtained from CD patients during colonoscopy have increased abundances of Malassezia, Cladosporium, and Aureobasidium and decreased abundances of Fusarium compared to HC (14). Notably, Malassezia was found to be overrepresented in patients carrying a CARD9 allele which is associated with an increased risk of CD (14). CD has also been associated with increased abundance of Psathyrellaceae and Cortinariaceae families and Psathyrella and Gymnopilus genera (22) in a cohort of teenagers in Saudi Arabia. More recently, Debaromyces have been reported to be abundant in CD ulcerations and could be of importance in the pathogenesis of CD (23). However, the prognostic value of the mycobiota has to the best of our knowledge not been evaluated before.

In the current study, we have assessed the mycobiota of patients with CD and HC, focusing on differences between inflamed and proximal non-inflamed ileal mucosa within CD patients and the association between mycobiota and the clinical course during follow-up.

#### Materials and methods

#### Patients and control subjects

We have previously assessed the bacterial ileal microbiota of the same patient cohort (24). Study participants were recruited from the Department of Gastroenterology, St. Olav's Hospital, Trondheim, Norway between 2017 and 2019. Patients 18–70 years of age with Norwegian ethnicity and referred to ileocolonoscopy were invited to participate if they were eligible. Inclusion criteria were an established diagnosis of CD based on clinical, endoscopic, and histological criteria or patients with CD symptoms where the diagnosis was

confirmed after both endoscopic and histologic evaluation. CD characteristics were registered according to the Montreal classification (25). Age- and sex-matched subjects referred to colonoscopy due to rectal bleeding or screening for the disease were included as healthy controls (HC) if the ileocolonoscopy and histologic evaluation of biopsies were normal. Exclusion criteria were as described by Olaisen et al. (24), that is, use of antibacterial or antifungal treatment for the past 2 months or comorbidity with diabetes mellitus, celiac disease, or liver diseases including primary sclerosing cholangitis and primary biliary cholangitis. Additional exclusion criteria for HCs were previous gastrointestinal surgery, gastrointestinal polyps, cancer, diverticulitis, or irritable bowel disease fulfilling the ROME IV criteria (26). Information about the initiation of treatment escalation against CD the following year after biopsy collection was extracted from the medical records and electronic registry of prescriptions. CD treatment escalation was defined as the initiation of new medication, change within drug class or increased dose of systemic glucocorticoids (including budesonide), immunomodulators (azathioprine and methotrexate), biologics, or surgery, whichever occurred first.

#### Endoscopic procedure

The ileum was reached during endoscopy using either a colonoscope (Olympus Exera II GIF HQ190 or PH190L, Olympus Europa GmbH, Hamburg, Germany) or a singleballoon enteroscope (Olympus SIF-Q180). A total of six ileal pinch biopsies were collected from each study participant, three biopsies from approximately 5 and 15 cm proximal of the ileocecal valve or ileocolic anastomosis, respectively. In CD patients with terminal ileitis, the 5-cm samples were taken from an endoscopically inflamed area and 15-cm samples from normal-appearing mucosa. In CD patients categorized as having active disease, both biopsy locations (5 and 15 cm) were endoscopically inflamed. For CD patients in remission and the HC group, both biopsy locations (5 and 15 cm) appeared endoscopically normal. Endoscopic inflammation was evaluated using Rutgeerts score (27), whether the patients had been operated on by ICR or not, with inflammation defined as Rutgeerts score ≥1. One pair of mucosal pinch biopsies from the 5- and 15-cm locations were put on formalin for histological grading of inflammation. The two remaining biopsy pairs were put directly on liquid N2 and stored on N2 until subsequent bacterial or fungal DNA isolation and sequencing of the bacterial (24) and fungal microbiota, respectively.

#### Histological evaluation of biopsies

Formalin-fixed biopsies were stained with hematoxylin and eosin (H&E). Histological examination was performed

blinded for phenotype by an experienced pathologist and scored according to the Global Histologic Disease Activity Score (GHAS) and Robarts score (3, 28, 29). A validated histological scoring index for the evaluation of disease activity in CD is lacking, and the reciprocity between histological scoring and disease activity measures is poor (29, 30). However, histological evaluation blinded for phenotype verified all biopsies from HC as histologically normal.

#### **DNA** isolation

The fungal cell wall is particularly robust and is known to be hard to lyse (31, 32). A DNA isolation protocol specially designed to lyse the fungal cell wall, with both a chemical and mechanical lysis step, was therefore chosen. DNA from two mucosal biopsies (at 5 and 15 cm locations) was isolated according to a previously described protocol (33) with the following adjustments; bead beating was performed with Precellys 24 tissue homogenizer (Bertin Technologies, Montigny-le-Bretonneux, France) at 6,500 rpm for 60 s two times. Centrifugation steps were performed at 21,000 g, otherwise, the original protocol was followed (33). The DNA samples were quantified using Qubit (Thermo Fisher Scientific, Waltham, MA).

#### ITS2 sequencing

ITS2 metagenomic sequencing libraries were prepared according to the "Illumina Metagenomics Sequencing Demonstrated Protocol" (34) with minor adjustments. In brief, 200 ng genomic DNA (extracted from biopsy samples) was used as a template for PCR amplification of the ITS2 region (98°C at 30 s, followed by 34 cycles with 15 s at 98°C, 53°C for 30 s, and 72°C for 45 s, followed by 7 min at 72°C). The ITS2 PCR primers were based on sequences first published by Liguori et al. (16). Illumina adaptorcompatible overhang nucleotide sequences were added to the gene/locus-specific sequences (ITS2 Amplicon PCR Forward Primer = 5' TCGTCGGCAGCGTCAGATGTGTATAAGA-GACAGGTGARTCATCGAATCTTT and ITS2 Amplicon PCR Reverse Primer = 5' GTCTCGTGGGCTCGGAGATGTG-TATAAGAGACAGGATATGCTTAAGTTCAGCGGGT). The PCR products were then cleaned up by using AMPure XP beads (Beckman Coulter, Woerden, Netherlands) to purify ITS2 amplicons away from free primers and primer dimer species. In a second PCR amplification step (9 cycles), dual indices and Illumina sequencing adaptors were added by using the Nextera XT indexing kit (Illumina Inc., San Diego, CA) according to the manufacturer's instructions. A second PCR clean-up step was performed using AMPure XP beads (Beckman Coulter), before the validation of the library by a LabChip GX DNA

high sensitivity assay (PerkinElmer, Inc., Waltham, MA). Libraries were normalized and pooled to 12 pM and subjected to clustering on two MiSeq V3 flowcells. Finally, paired-end read sequencing was performed for 2 x 300 cycles on a MiSeq instrument (Illumina, Inc.), according to the manufacturer's instructions. Base calling was done on the MiSeq instrument by RTA v1.18.54. FASTQ files were generated using bcl2fastq2 conversion software v2.17 (Illumina, Inc.).

#### **Bioinformatics**

Sequencing data were processed using the FROGS pipeline (35, 36) for sequence quality control, filtering, and affiliation of taxa with the UNITE ITS database (version 8\_2) (37), using the FROGS guidelines for ITS data (http://frogs.toulouse.inra. fr/). Five biopsy samples were removed from the study due to a low number of sequences. This included two 5-cm samples from HC and three 5-cm samples from CD patients. Phyloseq Package for R analysis was used for alpha and beta diversity analyses as well as illustration. Deseq2 package for R analysis was used for differential analysis of OTUs with respect to the different phenotypes (38). The linear discriminant analysis (LDA) effect size (LEfSe) algorithm (39) was used to identify taxa that were specific to phenotype or inflamed vs. proximal non-inflamed mucosa.

#### **Statistics**

IBM SPSS Statistics version 25.0 (IBM Corp., Armonk, NY) was used for statistical analysis apart from analyses of sequencing data. Demographic and clinical characteristics are presented as % (n) for categorical variables, median [interquartile range (IQR)] for skewed distributed variables, and mean value [standard deviation (SD)] for normally distributed variables. Accordingly, the chi-squared test, Mann–Whitney U test, or independent t-test were used for comparing CD patients with HC. For all statistical analyses, a p-value < 0.05 was considered statistically significant.

#### **Ethical considerations**

The study was approved by the Regional Committee for Medical and Health Research Ethics, Central Norway (approval reference, 2016/2164). All study participants provided written informed consent.

TABLE 1 Demographic and clinical characteristics of Crohn's disease (CD) patients and healthy controls (HC).

	CD	HC	p-value
Number of patients, n	44	40	
Male gender, n (%)	24 (54.5%)	19 (47.5%)	0.52
Age, years, mean (SD)	42.2 (14.4)	36.6 (12.9)	0.07
BMI, mean (SD)	25.8 (4.8)	26.6 (4.7)	0.40
Acid reflux medication, $n$ (%)			0.72
PPI	5 (11.4%)	2 (5%)	
H <sub>2</sub> blockers	0	0	
PPI on demand	0	0	
H <sub>2</sub> blockers on demand	1 (2.3%)	1 (2.5%)	
Smoking, n (%)			0.57
Never smoker	23 (52.3%)	25 (62.5%)	
Active smoker	5 (11.4%)	5 (12.5%)	
Snuff	10 (22.7%)	8 (20%)	
Ex-smoker	6 (13.6%) %)	2 (5%)	
Laboratory values			
Hb (g/dL), mean (SD)	14.1 (1.5)	14.5 (1.7)	0.197
Leukocytes (x10 <sup>9</sup> /L), median (IQR)	6.4 (2.3)	6.5 (2.3)	0.50
CRP (mg/L), median (IQR)	<5 (4)	<5 (0)	0.017

<sup>&</sup>lt;sup>a</sup>Comparing CD (n=44) with HC (n=40) using Mann–Whitney U-test for skewed distributed continuous variables, independent t-test for normal distributed continuous variables, and Chi-square/Fisher exact test for categorical variables.

#### Results

#### **Patients**

Forty-four CD patients and 40 HC were included. Demographic and clinical characteristics are presented in Table 1. CD patients had higher CRP levels compared to HC (p=0.017). The groups were otherwise similar. The bacterial microbiota characteristics in this cohort have been described previously (24). CD characteristics are provided in Table 2. Twenty-two CD patients had terminal ileitis with endoscopic inflammation at the 5-cm location and normal mucosa at the 15-cm location, of which 20 had no history of upper gastrointestinal CD involvement. Of the remaining CD patients, 10 had active disease and 12 were in remission.

#### Ileal mycobiota in CD patients vs. HC

CD patients had a lower fungal alpha diversity compared to HC based on the Simpson diversity index (p=0.025), whereas the observed numbers of operational taxonomic units (OTUs) were similar (p=0.21). This implies that the fungal species richness was similar, but that the evenness

TABLE 2 Crohn's disease (CD) characteristics, medical treatment, endoscopic evaluation, and surgical history.

CD characteristics	CD $(n = 44)$
Disease duration, years (median, IQR)	10.0 (19.8)
Subclassification of patients, n (%) <sup>a</sup>	
Terminal ileitis (Inflamed 5-cm + normal 15-cm)	22 (50.0%)
Active disease (Inflamed 5-cm + 15-cm)	10 (22.7%)
Remission (Normal 5 + 15 cm)	12 (27.3%)
Montreal location, $n$ (%)	
Terminal ileum (L1)	23 (52.3%)
Ileocolonic (L3)	16 (36.4%)
Ileocolonic + Upper GI (L3 + L4)	5 (11.4%)
Montreal behavior, $n$ (%)	
Non-stricturing, non-penetrating (B1)	8 (18.2%)
Non-stricturing, non-penetrating + perianal (B1p)	2 (4.5%)
Stricturing (B2)	15 (34.1%)
Stricturing + perianal (B2p)	6 (13.6%)
Penetrating (B3)	11 (25%)
Penetrating + perianal (B3p)	2 (4.5%)
Montreal age (age at diagnosis), n (%)	
16 years or younger (A1)	12 (27.3%)
17–40 years (A2)	22 (50%)
Over 40 years (A3)	10 (22.7%)
CD-medication, n (%) <sup>b</sup>	
No medical therapy for CD	18 (40.9%)
Budesonide	7 (15.9%)
Prednisolone	4 (9.1%)
5-ASA	3 (6.8%)
Azathioprine	6 (13.6%)
Methotrexate	3 (6.8%)
Adalimumab	4 (9.1%)
Infliximab	7 (15.9%)
Vedolizumab	1 (2.3%)
Treatment naïve, n (%)	6 (13.6%)
Anti-TNF treatment naïve, n (%)	23 (52.3%)
Rutgeerts score, n (%)	
i0	12 (27.3%)
i1	12 (27.3%)
i2	5 (11.4%)
i3	6 (13.6%)
i4	9 (20.5%)
Ileocecal resection	28 (63.6%)

<sup>&</sup>lt;sup>a</sup>Based on endoscopic evaluation of inflammation.

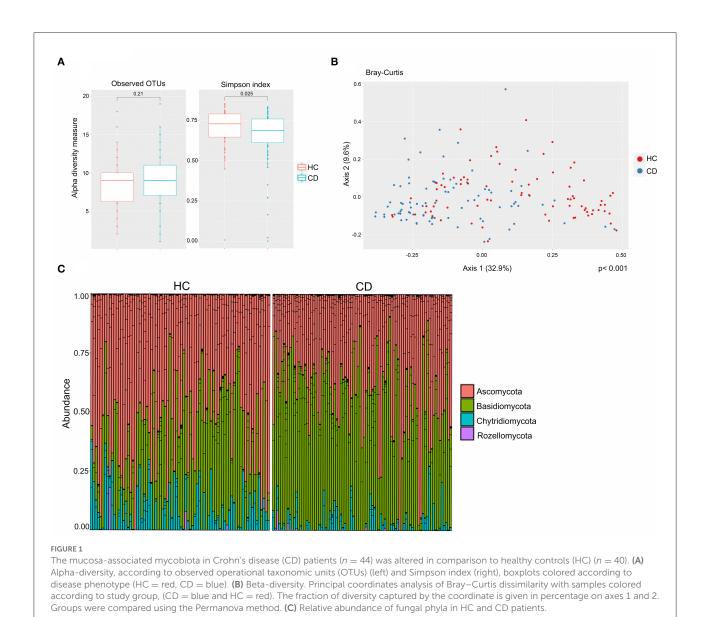
of fungi was reduced within the CD group compared to HC (Figure 1A). The most prevalent phyla in the samples overall were Ascomycota, Basidiomycota, and Chytridiomycota, and some Rozellomycota were also detected (Figure 1C).

In CD patients, the Basidiomycota-to-Ascomycota ratio was increased compared to HC (Supplementary Figure 1A). CD patients also had lower abundances of Chytridiomycota phyla (Supplementary Figure 1A). Beta diversity analysis assessed by Bray-Curtis dissimilarity showed a clustering of the samples according to the disease status (p < 0.001), confirming structural differences in the mycobiota composition between CD patients and HC (Figure 1B). Using LEfSe (39), fungal composition in CD patients and HC were compared and differentially abundant fungi were identified (Figure 2). Malassezia and Vishniacozyma genera were increased in CD patients, while Saccharomyces, Paludomyces, and Oculimacula were depleted in comparison to HC (Figure 2A and Supplementary Figure 1B). When the comparison was performed at the species level, CD patients had increased abundances of Malassezia restricta as well as Malassezia sympodialis and two other Malassezia species (Figure 2B). Candida albicans and Vishniacozyma victoriae were also increased in CD patients (Figure 2B). In HC, Trichosporon asahii, Paludomyces mangrovei, and a species from the Chaetomiaceae family were overrepresented compared to CD patients.

# Mycobiota in the inflamed and proximal non-inflamed ileum in CD patients (n = 20)

Twenty CD patients had terminal ileitis with an inflamed 5-cm location and a non-inflamed 15-cm location, and no history of upper CD involvement. These patients were analyzed separately. Fungal alpha diversity did not differ between the distal inflamed 5-cm and proximal non-inflamed 15cm locations in CD patients with terminal ileitis, based on observed OTUs and Simpson index (Figure 3A). Interestingly, on the beta diversity plot assessed by the Jaccard index, which focuses more on low abundant OTUs in comparison to Bray-Curtis dissimilarity, inflamed 5-cm samples clustered furthest away from HC with non-inflamed CD 15-cm samples in an intermediate location (Figure 3B), suggesting a more dysbiotic fungal composition in the distal inflamed ileum. In a beta diversity plot including only CD patients with terminal ileitis, 5- and 15-cm samples were separated clearly (p < 0.05) according to the Jaccard index (Figure 3C). When we compared the fungal composition in inflamed 5cm samples with non-inflamed 15-cm samples using LEfSe, we identified six taxa that were increased at the 5-cm location and four taxa that were increased at the 15-cm location (Figure 4). Cordycipitaceae and Sporidiobolaceae families and Lecanicillium genus were overrepresented at the inflamed 5cm location, whereas Exophiala and Debaryomyces genera were overrepresented at the non-inflamed 15-cm location. Differentially abundant species were identified using LEfSe

 $<sup>^{\</sup>mathrm{b}}$ Co-medication: n=8 (18.2) used two CD medications, n=1 (2.3%) used three CD medications.

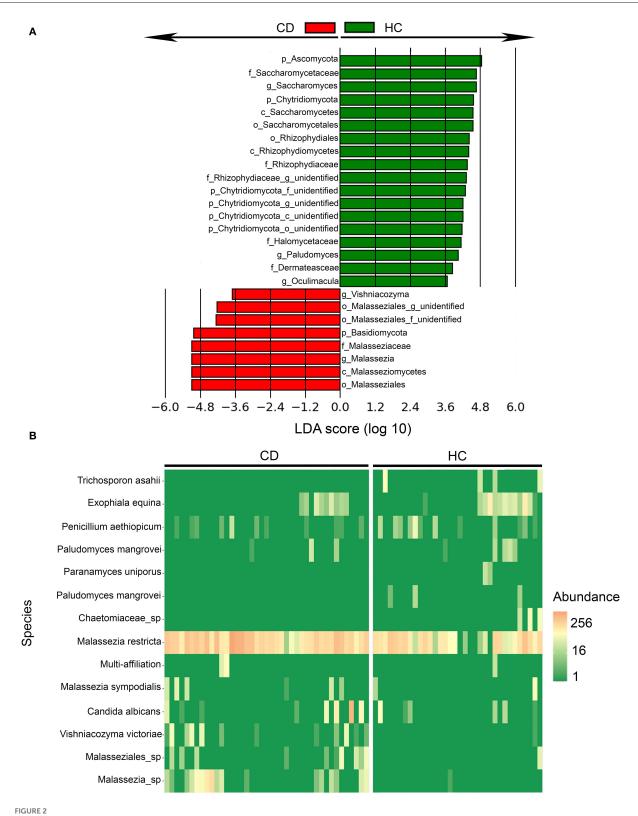


are presented in a heatmap (Figure 4B). Candida sake was overrepresented at the inflamed 5-cm location. The Exophiala and Debaryomyces genera, which were increased at the non-inflamed 15-cm location, were identified as Exophiala equina and Debaryomyces hansenii (Figure 4B).

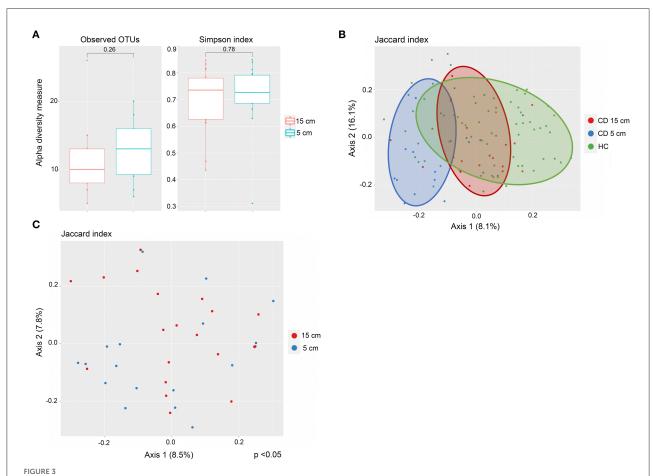
### Mycobiota associated with the need for escalation of CD treatment

CD patients were stratified by their need for treatment escalation within the first year after biopsy collection and the mycobiota was compared across this variable. Seventeen of 44 patients received additional anti-inflammatory

treatment. Of those 17 patients, 15 received escalation of anti-inflammatory medical treatment, while two patients underwent surgery (Supplementary Table 1). There was no difference in alpha diversity based on observed OTUs and Simpson index (Figure 5A). Beta diversity assessed by Bray–Curtis dissimilarity (p=0.082) and Jaccard index (p=0.051) did not differ significantly between CD patients with and without the need for treatment escalation (Figures 5B,C). In a differential analysis using LefSE, we found fungi at several taxonomic levels to be more abundant in CD patients needing treatment escalation within the first year after sampling (Figure 5D). These fungal taxa can possibly be predictive of poor prognosis in CD patients. In particular, the Malasseziaceae family and



Finded Laxa were differentially abundant in Crohn's disease (CD) patients (n = 44) in comparison to healthy controls (HC) (n = 40) identified by linear discriminant analysis effect size (LEfSe). (A) Fungal taxa overrepresented in CD patients (red) and HC (green) with Linear Discriminant Analysis (LDA) score for differentially abundant fungal taxa. (B) Heatmap of differentially abundant fungal species between CD and HC mucosal pinch biopsies sampled 5-cm proximal of the ileocecal valve or ileocolic anastomosis.

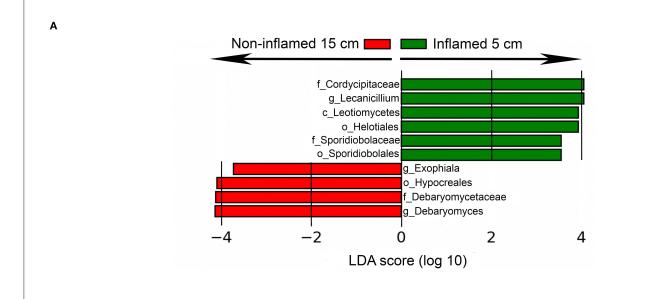


Fungal mycobiota in inflamed and proximally non-inflamed ileal mucosa in Crohn's disease (CD) patients without upper CD involvement (n = 20). Biopsies sampled at inflamed 5-cm and non-inflamed 15-cm proximal to the ileocecal valve or ileocolic anastomosis. (A) No differences in alpha diversity, according to observed operational taxonomic units (OTUs) (left) and Simpson index (right), boxplots colored according to biopsy location (inflamed 5 cm = blue, non-inflamed 15 cm = red). (B) Mycobiota composition in inflamed terminal ileum (blue) and proximally non-inflamed ileum (red) of CD patients (n = 20) and in healthy controls (HC) (green) (n = 40). Principal coordinates analysis of Jaccard index with samples colored according to disease status (CD and HC) and ileal location. The fraction of diversity captured by the coordinate is given in percentage on axes 1 and 2. (C) Different mycobiota composition in inflamed (blue) and proximally non-inflamed ileum (red) according to beta diversity. Principal coordinates analysis of Jaccard index with samples colored according to ileal location. The fraction of diversity captured by the coordinate is given in percentage on axes 1 and 2. Groups were compared using the Permanova method.

*Malassezia* genus were more abundant in CD patients needing treatment escalation.

## Effect of anti-TNF treatment on mycobiota

Eleven patients under current treatment with anti-TNF agents were compared to 18 patients with no current medical treatment for CD (Table 2). We found no differences in alpha- or beta diversities between these groups (Supplementary Figure 2). Interestingly, differential analysis with LefSE identified anti-TNF users to have higher abundances of Ascomycota and correspondingly lower abundances of Basidiomycota (Figure 6A), whereas in CD patients with no current treatment, the abundances of Basidiomycota (Figures 6A,B) was high. Correspondingly, the whole CD cohort had increased levels of Basidiomycota and reduced levels of Ascomycota compared to HC, as described earlier. When comparing anti-TNF naïve patients (neverusers), n=23, with ever-users (historically) of anti-TNF treatment, n=21 (Table 2), we found a similar mycobiota composition according to both alpha diversity and beta diversity (Supplementary Figures 3A–C).



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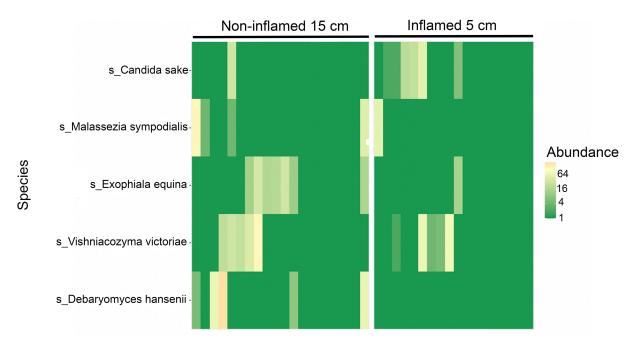
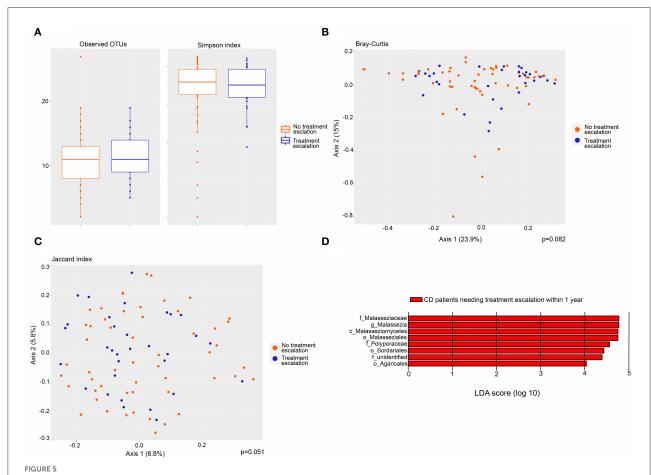


FIGURE 4

Differentially abundant fungal taxa between inflamed 5-cm vs. proximal non-inflamed 15-cm ileum of Crohn's disease (CD) patients (n=20) with terminal ileitis and no history of upper CD involvement. Biopsies sampled from 5- and 15 cm proximal of the ileocecal valve or ileocolic anastomosis within the same patients. (A) Fungal taxa overrepresented in inflamed 5-cm biopsies (green) and non-inflamed 15-cm biopsies (red) in a histogram with Linear Discriminant Analysis (LDA) score computed using linear discriminant analysis effect size (LEfSe). (B) Heatmap showing the distribution of differentially abundant fungal species in inflamed 5-cm samples (right) and non-inflamed 15-cm samples (left) identified using LEfSe.



Fungal microbiota in CD patients needing treatment escalation (n=17) within the first year after biopsy sampling compared to CD patients not needing treatment escalation (n=27). (A) Fungal alpha-diversity, according to observed operational taxonomic units (OTUs) (left) and Simpson index (right), boxplots colored according to need for treatment escalation (blue) and no need for treatment escalation (red). (B) Beta-diversity. Principal coordinates analysis of Bray-Curtis dissimilarity with samples colored according to the need for treatment escalation (blue) and no need for treatment escalation (red). The fraction of diversity captured by the coordinate is given in percentage on axes 1 and 2. Groups were compared using the Permanova method. (C) Principal coordinates analysis of Jaccard index with samples colored according to need for treatment escalation (yes = blue, no = red). The fraction of diversity captured by the coordinate is given in percentage on axes 1 and 2. Groups were compared using the Permanova method. (D) Fungal taxa overrepresented in CD patients needing treatment escalation within 1 year compared to CD patients not needing treatment escalation, illustrated in a histogram with Linear Discriminant Analysis (LDA) score computed using linear discriminant analysis effect size (LEfSe).

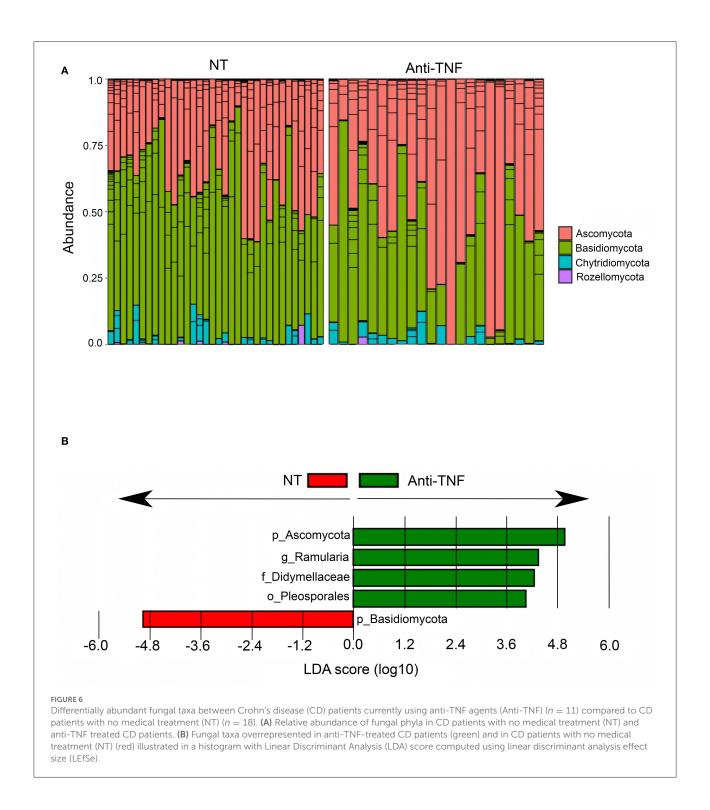
## Mycobiota according to ileal inflammation and sub-location in CD patients overall

Within the whole CD cohort (n=44), inflammation (both endoscopic and histologic) was not associated with an altered mycobiota diversity or composition, based on observed OTUs, Simpson index, and Bray-Curtis dissimilarity (Supplementary Figure 4). This argues that endoscopic and histologic inflammation *per se* does not dominantly alter the fungal microbiota. Similarly, ileal location (5 vs. 15 cm) was not associated with an altered fungal microbiota diversity or composition in the CD cohort (n=44) (Supplementary Figure 5). These findings imply that the altered fungal microbiota in CD terminal ileitis could not be

explained by the effect of location or inflammation alone and is in accordance with our previous findings suggesting that mucosa-associated bacterial alterations in CD are also present across locations and independent of inflammation (24).

#### Discussion

This study investigated the mucosa-associated fungal microbiota in CD and compared the inflamed and proximal non-inflamed ileum within CD patients. Previous reports have found altered fungal composition in CD compared to HC (14, 16, 22, 23, 40). We found that the mucosa-associated mycobiota in the ileum of CD patients had reduced alpha diversity based on the Simpson index, but a similar number of observed OTUs compared to HC, implicating similar species



richness, but reduced evenness in CD patients. CD patients had an increased Basidiomycota-to-Ascomycota ratio as reported by others (14, 40), but also an altered mycobiota composition characterized by a significant gain of *Malassezia* and loss of *Saccharomyces*. At species level, *Malassezia* was identified as

Malassezia restricta and Malassezia sympodialis. The expansion of Malassezia restricta in CD has been reported previously (14, 41), particularly in CD patients carrying the CARD9 risk allele (14). Depletion of Saccharomyces has been described in feces from IBD patients, where Saccharomyces was positively

correlated with abundances of bacteria depleted in IBD, such as the butyrate-producing *Roseburia*, *Blautia*, and *Ruminococcus* genera (40, 42). Several *Saccharomyces* spp. have been suggested to have anti-inflammatory effects (40, 43–45).

We found increased abundance of C. albicans in the ileal mucosa of CD patients. C. albicans has been proposed to promote IBD by increasing the inflammatory response, and due to its increased abundance during inflammation, a vicious circle is created (45, 46). The fecal abundance of Candida before fecal microbiota transplantation (FMT) in UC patients has been associated with therapeutic response, and effects of FMT may be mediated by a reduction in Candida abundance (47). Increased abundances of Candida albicans and Candida glabrata have previously been found in fecal and colonic samples, respectively, from CD patients (16, 40, 48). To the best of our knowledge, this is the first study to confirm increased Candida in the ileal mucosa, thus supporting a clinical relevance. Interestingly, increased abundances of Candida tropicalis in fecal samples from CD patients have been positively correlated to ASCA concentrations (49). Candida is also extensively involved in bacterial interactions, demonstrating a significant influence on microbiome composition (45, 49). However, the reports show opposite effects depending on the experimental setup. Studies following the bacterial community reassembly after antibiotic treatment showed the influence of C. albicans on the bacterial diversity levels and possible influence on Lachnospiraceae colonization, a family with recognized positive effects on gut health (50, 51). In a mucosal model evaluating mouth and gut colonization, C. albicans triggered a dysbiosis characterized by a bloom of Enterococcus strains associated with increased epithelial permeability and susceptibility to invasive infections (52).

The terminal ileum is the predilection site for primary and recurrent CD and we, therefore, specifically analyzed the mucosa-associated mycobiota in the inflamed and proximal non-inflamed mucosa in patients with terminal ileitis. The alpha diversity in inflamed and proximal non-inflamed mucosa did not differ; however, a separation on beta diversity plots suggested an altered and more dysbiotic fungal composition in the inflamed ileum compared to proximal non-inflamed ileum and healthy mucosa of controls. Lecanicillium genera and Candida sake sp. were increased in the inflamed mucosa, whereas Exophiala equina and Debaryomyces hansenii were increased in the proximal non-inflamed mucosa. C. sake is frequently found in the feces of healthy humans (31), it can cause rare invasive candidemia (53) but have, however, not been associated with IBD to our knowledge. Indeed, C. sake is used as a biocontrol agent in the food industry to limit the decay of apples due to mold (54, 55). The literature on E. equina is scarce, but E. equina has been identified in subcutaneous abscesses with histologically granulomatous inflammation (56) and Exophiala has been associated with primary sclerosing cholangitis (57). D. hansenii is a commensal gut fungus that is found in feces of healthy adults and reported to be increased in feces of infants (31, 58), it is also frequently found in foods such as meat, fruit, cheese, beer, and wine (59). Jain et al. have recently reported D. hansenii to be enriched and completely dominant in inflamed compared to the non-inflamed ileum in 16 CD patients from two different cohorts (23), which is the opposite of our and Liguori et al. (16) findings. Jain et al. did not consider the relative location of inflamed and non-inflamed samples, and slight differences in DNA isolation protocols between studies could also affect results. However, D. hansenii was found to impair tissue healing in mice models, but intestinal damage was required for D. hansenii to have detrimental effect (23). The mentioned differences between studies could hypothetically be explained by the transfer of D. hansenii from the proximal non-inflamed mucosa to the ileum downstream and reduce wound healing, consistent with early descriptions of a beneficial effect of fecal stream diversion (2, 3).

Interestingly, we found that increased abundance of *Malassezia* genus with corresponding increases of Malasseziaceae at the family level, Malasseziomycetes class, and Malasseziales order were associated with the need for treatment escalation within 1-year follow-up. The association suggests that *Malassezia* does not only characterize CD in our and other patient cohorts (14) but it may also affect the disease course and represent a poor prognostic factor. Larger prospective studies to examine this observation would be of great interest.

Anti-TNF treatment was also associated with alterations of the fungal microbiota. CD patients on current anti-TNF treatment had lower abundances of Basidiomycota compared to CD patients not receiving medical treatment. CD patients in general have an increased Basidiomycota-to-Ascomycota ratio compared to HC, as reported in this cohort as well as by others (14, 40). A recent study investigating the bacterial and fungal communities in fecal samples before and after initiation of anti-TNF treatment found that both fungal and bacterial microbiota composition differed between anti-TNF-responders and nonresponders (60), arguing that the microbiome composition is relevant for pharmacological therapy. Anti-TNF treatment could modulate the mycobiota in a potentially beneficial direction since it reversed the fungal community toward a lower Basidiomycota-to-Ascomycota ratio which is found in healthy subjects. However, the finding should be verified in larger cohorts, and the mechanisms by which this occurs need to be evaluated.

Neither endoscopic nor histologic inflammation was associated with an increased number of OTUs or altered mycobiota according to other alpha- or beta-diversity measures. On the contrary, fungal richness and diversity have previously been found to be increased in inflamed vs. non-inflamed mucosa assessed by PCR and Denaturing

Gel Gradient Electrophoresis; however, the method is less sensitive in terms of taxa identification and diversity measures compared to ITS-sequencing (61). We found that ileal sub-location seems to neither impact mycobiota diversity nor its composition. Finally, patients using anti-TNF agents did not have a mycobiome that differed from CD patients not receiving any anti-inflammatory treatment. This observation strengthens the hypothesis that altered ileal mycobiome in CD patients is related to the disease per se.

The role of fungi in IBD has been described and acknowledged (62), consequently the fungal microbiome is a potential therapeutic target. Factors known to affect the mycobiome include diet, antibacterial and antifungal agents, and gut bacteria (9, 45, 49, 63, 64). The risk of CD was associated with cumulative antibiotic exposure in a Swedish national cohort (65). Antibacterial therapy increases fungal abundances in fecal samples (64, 66), suggesting that fungi could mediate the increased risk of CD after exposure to antibacterial agents (46). Ingestion of meat, eggs, and cheeses seems to increase the fecal fungal load compared to vegetarian food (67), and correspondingly, fiber and fruit reduce the risk of CD in epidemiological studies (68). It has recently been found that a proportion of secretory IgA (sIgA), which have an important gut barrier function, is induced by and directed toward intestinal fungi (69). The production of sIgAs that target and coat certain fungi may be dysregulated in CD. The previously recognized risk factors and prognostic factors for IBD could be mediated by alterations of intestinal fungi. Food that either contains fungi or otherwise alters the intestinal composition of fungi could be of importance (63, 67), but considering the complex interactions between bacteria and fungi, drugs that alter microbial composition including anti-bacterial agents and proton-pump inhibitors could also be implicated (70). Before establishing treatment strategies aiming to maintain or restore a health-promoting mycobiome, prospective and interventional studies with careful monitoring of intestinal fungi are needed. Given the widespread interest in microbiota research, it would also be valuable if the numerous studies of fecal microbiota transplantation also included sequencing of not only bacteria but also fungi and viruses. Oral anti-fungal agents have the potential to reduce inflammation in IBD, and this approach should be explored further (71).

There are several challenges within the field of mycobiota research. Several methodological steps harbor a potential for variation which can impact reported mycobiota composition. This includes the method of sample collection which varies from endoscopic biopsies (22), endoscopic water-lavage samples (14), surgical samples (23), or even a combination (16). Furthermore, the storage of samples, DNA isolation protocol, choice of primer (ITS1 or ITS2), sequencing protocol, and bioinformatic pipeline vary.

Strengths of the study include analysis of the mucosaassociated microbiota, which represent only a section of the entire gut microbiota, but due to its location interacts with the host cells and the immune system, which provides original data compared to the most common studies on fecal composition. Additionally, the mucosa-associated mycobiota was assessed in the highest number of CD patients to date (14, 16, 22, 23), and the sequencing analysis of high quality and the majority of sequences were taxonomically classified. Furthermore, the clinical course was followed for 1 year after biopsy collection. Limitations include the observational study design, heterogeneity of the CD duration, and previous and current medical and surgical treatment that may affect the mycobiota. We have not correlated the bacterial and fungal microbiota, and interactions between bacteria and fungi occurring have not been assessed.

In conclusion, this relatively large study describes the mucosa-associated mycobiota in the inflamed and proximally non-inflamed ileum in CD patients and confirms several alterations found in other cohorts. We have also identified fungal taxa which are associated with the need for treatment escalation in CD. The mycobiota composition in the inflamed ileum and proximal non-inflamed ileum differ and may play a role in CD pathogenesis.

#### Data availability statement

The sequencing data underlying this article are available at NCBI with accession number PRINA850908.

#### **Ethics statement**

The studies involving human participants were reviewed and approved by Regional Committee for Medical and Health Research Ethics, Central Norway (approval reference, 2016/2164). The patients/participants provided their written informed consent to participate in this study.

#### **Author contributions**

MO, TM, and RF were responsible for the study design. MO and RF were responsible for data acquisition. MO, MR, OR, VB, AG, and ER were responsible for analyses of biological material and data analysis. MO, MR, and RF interpreted the results and drafted the manuscript. MO, MR, VB, AG, ER, TM, AS, HS, and RF contributed to the critical revision of the manuscript. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmed.2022.868812/full#supplementary-material

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