

# Making sense of transdisciplinarity: Interpreting science policy in a biotechnology centre

Maria Bårdsen Hesjedal <sup>1,\*</sup> and Heidrun Åm<sup>2</sup>

<sup>1</sup>Department of Public Health and Nursing, Norwegian University of Science and Technology, Håkon Jarls gate 11, Trondheim NO-7491, Norway and <sup>2</sup>Department of Sociology and Political Science, Norwegian University of Science and Technology, Edvard Bulls veg 1, Trondheim NO-7491, Norway

\*Corresponding author. E-mail: [maria.b.hesjedal@ntnu.no](mailto:maria.b.hesjedal@ntnu.no)

## Abstract

Transdisciplinarity is a much-used concept in research policy to emphasize a need for new collaborations beyond scientific disciplines to solve societal challenges. However, how do scientists interpret transdisciplinarity and what do transdisciplinarity policies mean for their work? This paper focuses on researchers' definitions of transdisciplinarity. It is based on an empirical study of a Norwegian biotechnology centre founded to stimulate a transition in biotechnology research towards transdisciplinarity. Drawing on interpretive methods, we identify three interpretations of transdisciplinarity. In our case, the science policy idea of 'transdisciplinarity' faded away in practice in terms of collaboration with non-academic actors, but boosted the establishment of new interdisciplinary teams. By pointing to the multiplicity of ways in which policy recipients can interpret science policy, this study contributes to scholarship analysing the relation between transdisciplinarity in policy and practice.

**Key words:** scientific collaboration; interdisciplinary; transdisciplinarity; research policy; biotechnology; sensemaking.

## 1. Introduction

Transdisciplinarity is an increasingly used concept in research policy to demand new ways of research collaboration with non-academic actors to address complex societal challenges. Since the 19th century, modern science has continuously split into many specialized disciplines and sub-disciplines. In parallel, concerns about the fragmentation of knowledge have emerged: are departmentalized knowledge regimes able to cope with big, complex, societal challenges? (Brown et al. 2010). At universities, the critique of scientific specialization has led to a variety of initiatives and approaches promoting disciplinary transgression, including the concept of transdisciplinarity. This concept calls not only for collaboration across scientific disciplines but also for joint problem-solving, including with actors outside of research communities. In this manner, social relevance and public trust are supposed to be achieved (Lieven and Maasen 2007; OECD 2020). However, definitions and theoretical emphases of 'transdisciplinarity' vary (Bernstein 2015; Jahn et al. 2012; Klein 2015; Mobjörk 2010), and the concept has proved difficult to implement (Felt et al. 2016; Schikowitz 2020; Zscheischler and Rogga 2015).

Nevertheless, research policy conceives of transdisciplinarity as a crucial tool for solving societal problems. For example, in a 2020 report, the Organization for Economic Cooperation and Development (OECD) argues that transdisciplinary research is a 'necessary complement' to traditional research practices. According to the report, solutions to complex societal challenges 'cannot be generated solely on disciplinary research', and furthermore, transdisciplinarity is needed in order to create value and to 'transform scientific insights for

the good of society' (OECD 2020: 9). The OECD report is but one recent example of transdisciplinarity being mobilized in research policy to argue that increased collaboration between different actors is the solution to the many complex challenges in the world. The report promotes 'effectively implementing transdisciplinary research' (OECD 2020: 3) and draws on language emphasizing acceleration, effectiveness, and upscaling. In this context, transdisciplinarity becomes a co-production of science and relevance and is, in short, seen as a tool for accelerating the take-up of research 'for the good of society' (OECD 2020: 9). The report, however, is largely silent on how to achieve transdisciplinary research in practice. Policies on transdisciplinarity rarely provide guidance on what transdisciplinarity should mean concretely, despite it being an ambitious concept referring to problem-solving in context through a combination of research and experience-based knowledge, with interdisciplinary practices at the core of such efforts (Gibbons et al. 1994; Nowotny et al. 2001).

How then do policy recipients, such as scientists, understand and interpret transdisciplinarity? Despite considerable policy efforts, scholars question inter- and transdisciplinarity outcomes in terms of the envisioned transformation of knowledge production (Frickel et al. 2017; Weingart 1997). Previous scholarship on transformative policies has given attention, on the one hand, to policy documents and policy discourses (see, e.g. Blümel 2017; Flink and Kaldewey 2018; Borrás and Serger 2022) and, on the other hand, to research practice (Brouwer et al. 2018; Felt et al. 2016; Maasen and Lieven 2006; Morris and Rip 2006; Schikowitz 2020; Simons et al. 2020). A common finding in this scholarship



'meanings' derive from actors' subjective experiences, but they are also bound to concrete situations expressing larger, sedimented meaning structures (Wagenaar 2011: 53). Examples of such larger discursive configurations are what it means to be an academic and what conditions of possibility configure scientists' epistemic living space (Felt 2009). Thus, when we explore the meanings of transdisciplinarity below, we investigate scientists' contextual understandings of what transdisciplinarity signifies for their research in the context of their work conditions in a biotechnology centre in Norway.

## 2. Method

Methods for the interpretive approach outlined above are based on 'the presupposition that we live in a social world characterized by the possibilities of multiple interpretations', as 'living requires sensemaking, and sensemaking entails interpretation' (Yanow 2000: 5). The methodological question, thus, is: how best to explore the multiple meanings of transdisciplinarity?

This paper is based on a case study of the biotechnology centre DLN. Employing a method assemblage (Law 2004), the first author conducted an in-depth study at multiple sites in the centre from 2017 to 2021. The fieldwork consisted of participatory observations (of meetings, events, courses, workshops, organizing committees and groups, conferences, etc.), an ethnographic study in a laboratory in a selected research project, and two rounds of interviews. The first round of interviews encompassed twenty-two semi-structured interviews, starting with the scientists (at all career levels) from the selected laboratory study and then extending the interviews to two additional DLN research projects to gain a better understanding of questions of inter- and transdisciplinarity at several sites. From the preliminary analysis of these interviews and from an action research conference within the centre (in which both authors participated, the first as a notetaker and the second as an initiator), the multiplicity of meanings and unclarity connected to the concept 'transdisciplinarity' emerged. This was followed by a second round of interviews consisting of two focus group interviews and four semi-structured interviews (the latter representing a work around to COVID-19 pandemic restrictions that precluded further focus groups). In the second round of interviews, scientists (both senior/principal investigator level and early/mid-career) were invited to reflect on their experiences with forms of collaboration, including inter- and transdisciplinarity. This round was also part of writing DLN's transdisciplinarity strategy, which emerged as an action point from the action research conference and in which Maria B. Hesjedal became the lead author.

Focus groups are a common approach in the social sciences to generate information on collective views, as they can 'yield data on the *meanings* that lie behind...group assessments' (Bloor et al. 2001). Focus groups have also been used as a method for public engagement, e.g. to involve citizens in the deliberation of public policy issues (see, e.g. Macnaghten 2021) or to make scientists reflect on topics such as societal relevance and research integrity (see, e.g. Felt et al. 2018; Felt and Frantz 2022). The extent of the participant's knowledge and prior reflections on the topic of the focus group can differ substantially. In our case, in asking how the scientists understand transdisciplinarity in the focus group

interviews, we create a space where the scientists can make sense of their research processes and enable 'the communication of and reflection on complex and rather unfamiliar issues' (Sigl et al. 2020: 1574). This process must thus also be seen as a part of the sensemaking process generating the three meanings of transdisciplinarity that we present below. In the analysis, we started from the scientists' accounts of their understandings and practices of transdisciplinarity and generated the three meanings as composites made up by our combination and integration of accounts consisting of both diversity and consistency.

In addition to the two rounds of interviews, we descriptively reviewed transdisciplinary centre initiatives, including funding calls (for cross-project collaborations), prize announcements (for the best transdisciplinary paper), conference programmes, and central documents dealing with transdisciplinarity, such as the DLN 'Digital Life – Convergence for Innovation' (RCN 2014) strategy.

We used the concept of 'transdisciplinarity' as the criterion for selecting empirical data and in interview questions to operationalize our research questions. In a way, we essentialized the concept of 'transdisciplinary' to be able to search for relevant sources. We could as well have chosen a focus on 'RRI' or 'innovation' to learn more about how scientists dealt with the demands for including other kinds of knowledge and for solving social concerns. As mentioned in the Introduction, these three concepts are highly related in their demands to accelerate the translation of scientific knowledge by including non-academic actors. Nevertheless, selection criteria are necessary to make a research project feasible, and, as we show below, we still achieved access to broader discussions of transdisciplinarity because of the concerns that interviewees raised.

Data analysis was inspired by a grounded approach (Charmaz 2006). A preliminary analysis of field notes indicated that scientists ascribed multiple meanings to transdisciplinarity. This observation was integrated in the interview guide and led the focus of analysis towards exploring the specific meanings being communicated through the scientists' accounts. By processing, reading, and re-reading transcripts and field notes while taking preliminary analytic memos on comparisons, contexts, and other ideas about the data, we also identified other areas to explore further in the interviews, for example, how the scientists saw the relations between transdisciplinarity, innovation, and RRI. In this way, the analysis process involved moving back and forth between data and analysis. In this manner, we followed a stepwise approach in which the sampling and questions were informed by 'empirical analytical reference points' (Tjora 2017) identified in earlier research steps. The interviews were analysed inductively, noting who said what and when, identifying words that seemed to carry significant meaning, noting the meanings' relationships to other ideas, and identifying conflicting interpretations (Yanow 2000: 30). Our own interpretations appear in the categories we formed by gathering different codes under one umbrella (as opposed to another), thereby deciding which characteristics interviewees' accounts, text parts, or observed practices share.

When the analysis below mainly illustrates categories with quotes from interviews, this is because interpretations of and attitudes to transdisciplinarity are most clearly expressed in interviews. At the same time, the identified meanings below

















## Appendix 1. Overview of interviews and interview participants

	Disciplinary background	Position
<b>Phase I: 2018/2019</b>		
<i>Project A</i>		
Individual face-to-face interviews	Biology/physiology	Associate professor
	Physics	Professor
	Mathematics	Postdoc
	Physics	PhD
	Cell biology	PhD
	Neuroscience	PhD
<i>Project B</i>		
Individual face-to-face interviews	Biotechnology	Professor
	Bioengineer	Lab technician
	Biotechnology	Postdoc
	Cell biology	Researcher
	Bioengineer	Lab technician
	Biomedicine	Researcher
	Chemistry	PhD
<i>Project C</i>		
Individual face-to-face interviews and one digital interview	Environmental toxicology	Postdoc
	Biology/toxicology	Professor
	Toxicology	PhD
	Biology	PhD
	Bioinformatics	PhD
	Bioinformatics	Professor
	Mathematics	Professor
	Mathematics	PhD
	Mathematics	PhD
<b>Phase II: 2020</b>		
<i>Focus group interview 1</i> (face-to-face)		
Molecular biology	Professor	
Cell biology	Postdoc	
Neuroscience	Researcher	
Medical doctor	PhD	
Cardiology	Postdoc	