

1 *Running title:*

2 Olfaction in COPD

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7 Olfaction in COPD

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24 SUMMARY

25 **Background:** *Olfaction is poorly characterized in COPD. To test the hypothesis that*
26 *olfaction is reduced in COPD, we assessed olfaction with the “Sniffin’ Sticks” test*
27 *and a questionnaire addressing olfaction in COPD and a corresponding control*
28 *group in respect to age and sex. We also explored whether there is an association*
29 *between COPD, chronic rhinosinusitis without nasal polyps (CRSsNP), and other*
30 *predefined covariates with olfactory function.*

31 **Methodology:** *Olfactory function was assessed by the score for threshold (T),*
32 *discrimination (D) and identification (I), and the composite TDI score in the “Sniffin’*
33 *Sticks” test and by self-reported evaluation of impaired olfaction and of “decreased*
34 *sense of smell and taste” in the 22-item Sino-Nasal Outcome Test (SNOT-22) in 90*
35 *COPD patients and 93 controls. A clinical interview and ENT-examination with nasal*
36 *endoscopy, skin prick test and spirometry with reversibility were performed.*

37 **Results:** *The TDI, D and I scores were significantly lower in the COPD group than in*
38 *the control group. The T score was not significantly different between the two groups.*
39 *Hyposmia and anosmia were present in up to 79% of patients with COPD. The*
40 *prevalence of self-reported impaired olfactory function and for “decreased sense of*
41 *smell and taste” - was more than two-fold greater in the COPD than in the control*
42 *group. COPD, higher age, male sex, and allergy were associated with a lower TDI*

43 *score, while CRSsNP was not associated with the TDI score.*

44 ***Conclusions:*** *COPD is associated with olfactory dysfunction and the underlying*
45 *mechanisms for this dysfunction should be elucidated.*

46 *(Word count 250)*

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48 *Key words: Olfaction Disorders, Respiratory System, Rhinitis, Sinusitis, Smell*

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77 INTRODUCTION

78 Chronic obstructive pulmonary disease (COPD) is a major cause of morbidity
79 and mortality in modern society, and the burden of COPD is increasing globally ⁽¹⁾.
80 Tobacco smoking is the primary cause, and other causes could be occupational
81 exposure to smog and gases, household exposure to biomass smoke in developing
82 countries and alpha1-antitrypsin deficiency. The lung function impairment in COPD
83 patients is due to small airways constriction and parenchymal destruction ⁽²⁾.

84 The concept of united airway diseases is based on the reciprocal association of
85 disease processes in the upper and lower airways and considers the upper and lower
86 airways as one entity ⁽³⁾. Associations of sinonasal symptoms and chronic
87 rhinosinusitis with (CRSwNP) and without nasal polyps (CRSsNP) with COPD have
88 been reported in observational ^(4, 5) and epidemiological studies ⁽⁶⁾, and nasal
89 symptoms are increased progressively over time ⁽⁷⁾.

90 The nose is the sensory organ for olfaction, and olfactory dysfunction is
91 prevalent in smokers, chronic rhinosinusitis (CRS), and neurodegenerative diseases.
92 Although tobacco smoking is associated with COPD, there are, to date, few studies of
93 olfactory dysfunction in COPD. In one study, the odds ratio for self-reported anosmia
94 increases by 1.19 % per year in these patients ⁽⁷⁾. Of the other two studies ^(8, 9),
95 different psychophysical tests are used to assess olfaction. The University of
96 Pennsylvania Smell Identification Test (UPSIT) ⁽¹⁰⁾, which was used in the Dewan et
97 al study ⁽⁸⁾ limits olfactory assessment to odour identification. On the other hand, the
98 Caglar et al study ⁽⁹⁾ used the “Sniffin’ Sticks” test, which also allows the assessment
99 of odour threshold and discrimination, and the composite score of threshold,
100 discrimination, and identification (TDI score) is a better assessment of olfactory
101 function ⁽¹¹⁾. However, both studies lack self-reported assessment of olfaction and
102 investigated groups that were predominantly male and small, with 40 subjects in the
103 COPD group and between 20 to 33 subjects in the control group.

104

105 To better our understanding of olfactory function in COPD and for counselling this
106 large group of patients, further studies with the use of validated tools in larger study
107 groups are needed. We have recently reported a prevalence of 51% of CRSsNP in
108 COPD in an observational study of a larger sample of COPD and control subjects ⁽⁴⁾.
109 To test the hypothesis that olfaction is reduced in COPD, we assessed olfaction with
110 the “Sniffin’ Sticks” test and a self-administered questionnaire addressing olfaction in

111 COPD and a corresponding control group in respect to age and sex. We also explored
112 whether there is an association between COPD, CRSsNP, and other predefined
113 covariates with olfactory function.

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116 MATERIALS AND METHODS

117 *Study design and setting*

118 This cross-sectional study was conducted between February 2016 and December
119 2017. The study sample has been previously described ⁽⁴⁾. All subjects gave written
120 informed consent, and all examinations and questionnaires were completed on the
121 same day.

122 The study was approved by the Regional Committee for Medical and Health
123 Research Ethics, Central Norway, REC (reference number 2015/2017), and
124 investigations were performed in accordance with the principles of the Declaration of
125 Helsinki/Hong Kong.

126

127 *Subjects*

128 *COPD patients:*

129 Ninety COPD patients were recruited from the hospital respiratory outpatient
130 and physical therapy clinics, general practitioner offices and a private pulmonology
131 practice.

132 Inclusion criteria:

- 133 • Age 40-80 years.
- 134 • Diagnosis of COPD confirmed by a post-bronchodilator forced expiratory
135 volume in 1 s (FEV1) to forced vital capacity (FVC) ratio of <0.7 and a
136 negative bronchodilator reversibility test.

137

138 *Controls*

139 Ninety-three controls were recruited locally from nearby businesses, multiple
140 retirement associations and via the hospital's social media page.

141 Inclusion criteria:

- 142 • Age 40-80 years.
- 143 • No known disease of the upper and lower airways.

144

145 Exclusion criteria for both groups:

- 146 • Asthma (including Asthma on COPD overlap (ACO)).
- 147 • Pregnancy or breast feeding.
- 148 • Upper- and lower respiratory tract infection within the previous two weeks.
- 149 • Exacerbation within previous six weeks and use of long-term oxygen therapy.
- 150 • Previous sinonasal surgery or nasal polyposis.
- 151 • Cystic fibrosis.
- 152 • Parkinson disease or Alzheimer disease.
- 153 • Ongoing radio-chemotherapy or use of long-term oxygen therapy.

154

155 All subjects were instructed to discontinue the use of systemic corticosteroids and
156 antihistamines 4 days and nasal decongestants 12 hours prior to the inclusion visit.
157 Nasal corticosteroids were continued. COPD patients were instructed not to take their
158 morning inhaled medication because we wanted to determine whether there was any
159 evidence of reversible airflow obstruction and in accordance with the standardized
160 procedure for spirometry with reversibility testing ⁽¹²⁾.

161

162 *Variables*

163 Questionnaires on olfactory symptoms, subjective evaluation of olfaction,
164 symptoms of allergy affecting the airways and smoking habits were self-administered.
165 Subjects were categorized into current, former, and never smokers. Pack-year
166 exposure and body mass index (BMI) were calculated.

167 All subjects underwent an interview and a clinical ENT-examination with
168 nasal endoscopy (2.7mm, 0° True View II endoscope, Olympus, Japan) of the
169 olfactory cleft was performed by one of three otolaryngologists committed to the
170 study (WMT, MRØ, SBD) to exclude anatomical abnormalities, tumours, nasal
171 polyps and other pathologies that may affect olfaction. The endoscopic appearance of
172 the nasal cavity was graded using the modified Lund-Kennedy endoscopy score
173 (MLK) ⁽¹³⁾ based on oedema (0: absent; 1: mild; 2: severe), and discharge (0: none; 1:
174 clear; 2: thick and purulent).

175 Flow volume spirometry (Medikro Pro spirometer, Kuopio, Finland) with
176 reversibility testing ⁽¹²⁾, using reference values from Crapo et al ⁽¹⁴⁾ was performed to

177 confirm the presence of irreversible airflow obstruction. The severity of airflow
178 obstruction was graded according to the GOLD 2014 criteria ⁽²⁾.

179 CRS symptoms were detected from the responses to the SNOT-22
180 questionnaire and were defined as (a) nasal blockage/obstruction/congestion, (b) nasal
181 discharge (anterior/posterior nasal drip), (c) facial pain/pressure and (d) reduction or
182 loss of smell. The first two symptoms were defined as cardinal symptoms ⁽⁶⁾. The
183 EPOS 2020 criteria for CRS requires the presence of at least two of the four
184 symptoms, of which one symptom is a cardinal symptom and a positive nasal
185 endoscopy ⁽¹⁵⁾. A positive nasal endoscopy was defined as unilateral or bilateral
186 presence of oedema and/or mucopurulent discharge in the middle meatus ⁽¹⁵⁾.

187 Subjects were asked the following specific questions about allergy: “Have you
188 ever had hay fever or nasal allergies?”, “Have you had hay fever or nasal allergies
189 during the last 12 months”, “Do you have symptoms from the nose or eyes when
190 exposed for pets, pollen or house dust mite?” and “Which of the following allergens
191 do you think you are allergic to?” with the possibility to answer yes or no to birch,
192 grass, mugwort, house dust mite, horse, dog and cat. A skin prick test (SPT) with an
193 allergen panel consisting of birch, grass and mugwort pollen, cladosporium, house
194 dust mite (*Dermatophagoides pteronyssinus*), and horse, dog and cat epithelia,
195 together with positive and negative controls (Soluprick SQ, ALK-Abello, Horsholm,
196 Denmark) was performed. A diagnosis of allergic rhinitis was based on an affirmative
197 answer to all the above questions and a positive SPT to the allergen(s) specified by
198 the subject ⁽¹⁶⁾.

199

200

201 *Olfactory function with “Sniffin’ Sticks”*

202 Odour threshold (T), odour discrimination (D) and odour identification (I)
203 were sequentially assessed with the extended “Sniffin’ Sticks” test-kit (Burghart
204 Messtechnik, Wedel, Germany) ⁽¹⁷⁻¹⁹⁾ and in accordance with the instructions in the
205 manufacturer’s test manual. Pens from each pen triplet were presented to both nostrils
206 and in a randomized order that was concealed from the subject.

207 The threshold for n-butanol was determined by a single staircase method of
208 presentation of triplets of pens containing ascending concentrations of n-butanol from
209 triplet 16 to triplet 1. The subject was tasked to identify the n-butanol containing pen
210 in each triplet. At the turning point, defined as two consecutive correct responses, the

211 staircase was reversed, with presentation of descending concentrations until the first
212 error. This again triggered a reversal of the staircase, and the test was stopped after a
213 total of 7 reversals. The T score is the mean value of the last four reversals.

214 Discrimination was assessed by presentation of 16 triplets of pens. For each
215 triplet, the subject was tasked with identifying the pen that had a different smell than
216 the other two pens. The D score is the number of times that the different smell was
217 correctly identified.

218 Identification was assessed by presenting pens containing one of the following
219 16 odours: orange, peppermint, turpentine, clove, leather, banana, garlic, rose, fish,
220 lemon, coffee, cinnamon, liquorice, apple, pineapple, and aniseed. The subject was
221 tasked to identify the item that best describes the presented odour from a list of four
222 items. The I score is the number of odours that were correctly identified.

223 Olfactory function was classified by the TDI score, which is the summation of
224 the T, D, and I score. A TDI score ≤ 16 indicates anosmia, a score between 16.25 and
225 30.5 is hyposmia and a score ≥ 30.75 is normosmia ⁽²⁰⁾.

226

227 *Subjective evaluation of olfaction*

228 Subjects were asked to answer questions whether their olfaction was “normal”
229 or “reduced”. A question on “decreased sense of smell and taste ” in the 22-item Sino-
230 Nasal Outcome Test (SNOT-22) ⁽²¹⁾ was answered on a Likert scale with a response
231 range from 0-5, where 0 equals no problem and 5 equals problem as bad as it could
232 be. The response was dichotomized by defining a response of 0-1 as “no decreased
233 sense of smell and taste” and of 2-5 as “decreased sense of smell and taste” ⁽⁶⁾.

234 The presence of impaired olfaction was assessed on a 100 mm Visual
235 Analogue Scale (VAS), with 0 mm as not present and 100 mm as troublesome as
236 possible.

237 Moreover, subjects were asked questions about phantosmia (“Do you smell
238 odours in absence of an apparent source?”) and parosmia (“Do you smell odours
239 differently compared to previous experiences?”) based on a binary outcome of “yes”
240 and “no”.

241

242 *Sample size*

243 A sample size analysis showed that 63 subjects were needed in each group to
244 detect a difference of 2.5 in mean TDI between the groups with a significance level of

245 0.05 and a power of 80%.

246

247 *Statistical Analysis*

248 For the statistical analysis, the IBM SPSS 25.0 was used. Continuous variables
249 are presented as means and standard deviations (SD). Categorical variables are
250 presented as frequencies and percentages (%). For group comparisons, independent t-
251 test was used for normally distributed data and the Mann–Whitney U test was used for
252 non-normally distributed data, while categorical data were analysed using Chi-Square
253 tests or Fisher’s Exact Test when appropriate. After checking that the assumption of
254 normality was fulfilled, multiple linear regression analysis was undertaken to
255 investigate variables associated with TDI and the results are presented with β and
256 95% confidence intervals (CI). A difference was considered significant at a p value of
257 < 0.05 .

258

259 RESULTS

260 *Characteristics of the study population*

261 Ninety and 93 subjects were enrolled in the COPD and control groups,
262 respectively. Age, sex, smoking status, BMI, CRSsNP, allergic rhinitis and nasal
263 corticosteroid use, together with lung function are summarized in Table 1. Current
264 smokers and CRSsNP were two- and three-fold greater in the COPD group and
265 allergic rhinitis was three-fold greater in the control group.

266 The MLK assessing oedema and discharge was significantly higher in COPD
267 than in the control group [mean (SD) 2.8 (2.0) vs 1.4 (1.8), $p < 0.01$].

268 Of the COPD patients, airflow limitation was categorized as GOLD 1 in 7.8 %
269 (n=7), GOLD 2 in 44.4 % (n=40), GOLD 3 in 36.7 % (n= 33) and GOLD 4 in 11.1 %
270 (n=10).

271

272 *Primary outcome data and main results*

273 The TDI score was significantly lower in COPD than in the control group
274 [mean (SD) 25.7 (5.7) vs 28.1 (5.6), $p = 0.005$]. The T score was not significantly
275 different between the COPD and control groups [mean (SD) 4.7 (2.0) vs 5.0 (2.3),
276 $p = 0.31$]; D and I scores were significantly lower in COPD than in the control group
277 [mean (SD) 10.2 (2.6) vs 11.3 (2.5), $p = 0.006$ and 10.8 (2.7) vs 11.8 (2.4), $p = 0.006$],
278 respectively (Figure 1).

279 On subgroup analysis, the TDI, T, D and I scores were significantly lower in
 280 former smokers in the COPD than in the control group. In the absence of allergic
 281 rhinitis, the TDI, D and I scores were significantly lower in the COPD than in the
 282 control group; the T score was not significantly different between the groups (Table
 283 2).

284 In the COPD group, the TDI, T, D and I scores were not significantly different
 285 between subjects with and without CRSsNP, respectively; TDI [mean (SD) 25.9 (5.7)
 286 vs 26.0 (5.9), $p=0.5$], T [mean (SD) 4.8 (2.1) vs 4.6 (1.9), $p=0.7$], D [mean (SD) 10.2
 287 (2.5) vs 10.3 (2.7), $p=0.7$], and I [mean (SD) 10.5 (2.5) vs 11.2 (2.8) $p=0.7$].

288 In the adjusted linear regression analysis (Table 3), CRSsNP was not
 289 associated with a lower TDI, T, D, or I score. COPD, higher age, male sex and allergy
 290 were associated with a lower TDI score. These 5 variables accounted for 21% of the
 291 variance for the TDI score. Of these variables, COPD was not associated with a lower
 292 T score and was associated with a lower D and I score. Higher age was associated
 293 with a lower T, D and I score. Male sex and allergy were associated with a lower T
 294 and I score and were not associated with a lower D score.

295 Normosmia was almost two- fold more prevalent in the control group than in
 296 the COPD group. Olfactory dysfunction with either anosmia or hyposmia was present
 297 in 79% and 61% in the COPD and control groups ($p=0.01$), respectively (Figure 2a).

298

299 *Secondary outcome data*

300 The prevalence was more than two-fold greater in the COPD than in the
 301 control group for self-reported impaired olfactory function (30 % vs 14%, $p=0.02$)
 302 and for decreased sense of smell and taste by SNOT-22 (36.7% vs 15.1%, $p<0.01$;
 303 Figure 2b).

304 In the COPD group, the TDI score was significantly lower in subjects
 305 reporting a decrease than in those reporting no decrease in sense of smell and taste by
 306 SNOT-22 [mean (SD) 23.8 (6.9) vs 26.9 (4.6), $p=0.03$]. In the control group, there
 307 was no significant difference in the TDI score in subjects with or without a decrease
 308 in sense of smell and taste [mean (SD) 27.7 (5.9) vs 28.2 (5.6), $p=0.7$]. For both
 309 groups, the mean scores of the subjects who reported no decrease in smell and taste
 310 were within the range for hyposmia (Figure 3).

311 Of those who reported no decrease in smell and taste, the TDI score was in the
 312 normosmia range in 23% and 39% in the COPD and control group, respectively

313 (p=0.04). For those who reported a decrease in smell and taste, the TDI score was in
314 the normosmia range in 18% and 35% in the COPD and control group, respectively
315 (p=0.2).

316 The VAS score of impaired olfaction was significantly greater in the COPD
317 group than in the control group [mean (SD) 16.2 (25.4) vs 6.9 (15.4) p=0.02].

318 The prevalence of parosmia and phantosmia was not significantly different in
319 the COPD and control groups (11.1% vs 10.8 %, p = 0.96 and 22.2% vs 20.9%, p =
320 0.78), respectively.

321

322 DISCUSSION

323 *Key results*

324 In this study, we have demonstrated that olfactory function assessed by the
325 TDI score from the “Sniffin’ Sticks” test was poorer in the COPD than in the control
326 group. D and I scores were significantly lower in the COPD group, while there was no
327 significant difference in the T score between the two groups. In regression analysis,
328 COPD was associated with TDI, D and I scores, but was not associated with the T
329 score. Higher age was associated with lower TDI and all 3 component scores, and
330 male sex and allergy were associated with lower TDI and T scores. However,
331 CRSSNP was not associated with TDI or any of the 3 component scores. Olfactory
332 dysfunction was underreported in both groups and many subjects had TDI scores in
333 the range for hyposmia. Underreporting was more frequent in the control than in the
334 COPD group.

335

336

337 *Interpretation*

338 Our finding of reduced olfactory function in COPD extends the finding of
339 reduced identification using the UPSIT test in the study by Dewan et al ⁽⁸⁾ and
340 complements those of reduced TDI, D and I scores to “Sniffin’ Sticks” in the Caglar
341 et al ⁽⁹⁾ study. However, the present study diverges from the latter study with respect
342 to the T score. The T score in that study was significantly lower in the COPD
343 compared to the control group. In the present study, there was no significant
344 difference in this score between the two groups. A possible explanation could be that
345 the T-score in the control group in our study is <6, which is defined as olfactory
346 dysfunction by Kohli et al ⁽²²⁾. Further, regression analysis showed that male sex was

347 associated with a lower T score than female sex. Compared to our study, the T score
348 in the COPD group in the Caglar et al ⁽⁹⁾ study is lower than that in the COPD group
349 in our study. This may be due to the greater preponderance of males in that study.
350 The combination of these factors may explain why there is no significant difference in
351 the T-score in the two groups in the present study.

352 It is possible that the lower D and I scores in COPD may be due to depression
353 and cognitive impairment. The suprathreshold tests of D and I are suggested to
354 preferentially assess central or cognitive causes of olfactory loss ⁽²³⁾. Olfactory
355 performance, with decreased scores for D and I, has been reported to be reduced in
356 patients with depression ⁽²⁴⁾. Moreover, cognitive impairment is also associated with
357 decline of olfactory function ⁽²⁵⁾. Although we did not assess depression and cognitive
358 impairment, the estimated prevalence of depression in COPD is 80% ⁽²⁶⁾ and patients
359 with severe COPD are at greater risk for developing cognitive impairment ⁽²⁷⁾.

360 In the present study, the prevalence of CRSsNP was 51% in COPD and 16%
361 in controls, and CRSsNP was not associated with a lower TDI, T, D or I score in the
362 regression analysis. The prevalence of olfactory dysfunction is sub-group dependent,
363 being higher in CRSwNP than in CRS mixed populations ⁽²⁸⁾. In a recent meta-
364 analysis ⁽²²⁾ nasal polyps, inflammatory changes apparent on CT scans and higher age
365 were the factors that were most frequently associated with olfactory dysfunction.
366 However, CRSsNP was not reported as a distinct subgroup in the studies that were
367 included in the meta-analysis. As CRSwNP were excluded in our study, it is possible
368 that an association with the “Sniffin’ Sticks” could be present in a larger study
369 population and with CRSwNP included.

370 Our findings that being male, older age and having allergy was associated with
371 a lower TDI score are supported by other studies. The association of the first 2
372 variables with poorer performance in olfactory tests has been reported by other studies
373 ^(20, 29), and allergy is known to affect the olfactory function likely due to a mechanical
374 and inflammatory component ⁽³⁰⁾. In the present study 5.6% of COPD patients and
375 15.1% of controls had seasonal allergic rhinitis examined outside of the allergy
376 season. When these individuals were excluded from our subanalysis, the TDI, D and I
377 were still significantly different between COPD and controls. Despite this, both
378 allergy and olfaction should be addressed in patients with COPD, as olfactory
379 dysfunction has been reported in allergic individuals ⁽³¹⁾.

380 The effect of smoking on the olfactory function is controversial. Some studies

381 report impaired olfactory function in smokers ^(32, 33) and a meta-analysis from 2017
382 concludes that current smoking, but not former smoking, is associated with
383 significantly increased risk of olfactory dysfunction, and that the effects of smoking
384 on olfaction may be reversible ⁽³⁴⁾. Other studies report that smoking has no major
385 effect on the olfaction ⁽³⁵⁻³⁷⁾. In our study, the number of non-smokers (n=5) in the
386 COPD group and current smokers (n=7) in the control group were low, and we could
387 not perform reliable statistical computations on such numbers. Among the former
388 smokers, we found that TDI, T, D and I were significantly lower in COPD compared
389 to controls. Dinc et al found ⁽³⁸⁾ a significant improvement in D, I, and TDI scores
390 after smoking cessation. However, this improvement was inversely associated with
391 the duration of smoking, indicating that a longer duration of smoking may result in an
392 insufficient improvement after smoking cessation.

393 This study shows that patients with COPD have a limited subjective awareness
394 of the sense of smell. Whereas 79% had hyposmia or anosmia by the “Sniffin’ Sticks”
395 test, only 30% of patients reported impaired olfactory function (figure 2b). In COPD,
396 nasal symptoms are underestimated, and sometimes they are neglected, as the disease
397 is thought to be limited to the lungs ^(2, 7) and other and more prominent symptoms of
398 the disease, like cough and dyspnoea, demand more attention in everyday life.
399 However, there is clinical- and epidemiological evidence that the united airways
400 disease concept also applies in COPD ^(4, 5, 7, 39, 40). It is therefore important that
401 otolaryngologists and pulmonologists are aware of upper airways symptoms and
402 olfactory dysfunction in COPD patients.

403 One unanticipated finding in our study was that the prevalence of parosmia
404 and phantosmia in the control group was not significantly different from the COPD
405 group. The prevalence of parosmia and phantosmia as stand-alone symptom in
406 population studies are both estimated at ~ 4% ^(41, 42), and with higher estimates up to
407 32% in patients with different clinical conditions ⁽⁴²⁾. In this study, the diagnosis of
408 parosmia and phantosmia was question based, and the high prevalence of parosmia
409 and phantosmia in both the COPD and control groups emphasizes the importance of
410 measuring hedonic olfactory perception using validated tools and not only patient
411 reported outcome.

412 The prevalence of anosmia and hyposmia in the control group in the present
413 study was higher than the prevalence reported in a similar age span in a normal
414 population ⁽²⁰⁾. Nevertheless, the results of our study show that patients with COPD

415 suffer from reduced olfactory function, and this should be taken into consideration
416 when evaluating the upper airways in patients with COPD.

417

418 *Strengths and limitations*

419 The present study has many strengths. Confirmation of the COPD diagnosis
420 excludes the inclusion of asthma and ACO. Secondly, obvious pathology and
421 anatomical abnormalities that could affect the ability to smell were excluded by nasal
422 endoscopy. Thirdly, the large sample size of both groups and age- and sex adjusted
423 controls give statistical strength to the results. Finally, the “Sniffin’ Sticks” panel
424 evaluates different aspects of the olfactory processing and function, whereas the
425 UPSIT is restricted to evaluation of identification.

426 The study also has limitations. Firstly, we were unable to investigate the interaction
427 between smoking, CRSsNP and olfactory function due to the low number of non-
428 smokers in the COPD group and current smokers in the control group. Smoking is the
429 leading cause of COPD ⁽⁴³⁾ and may affect olfaction ⁽⁴⁴⁾, thus it would have been
430 desirable to have had statistical strength to include an interaction term. Secondly, CT
431 of the sinuses was not performed, and a CRSsNP diagnosis could have been missed in
432 symptomatic cases with a normal endoscopy. However, there is no clear consensus
433 that a sinus CT examination is essential for a diagnosis of CRS in these subjects ⁽¹⁵⁾.
434 Thirdly, the absence of an association between CRSsNP and the TDI score may be
435 due to a type 2 error, as the prevalence of CRSsNP in the control group was 16%.
436 Finally, a validated self-reported olfactory questionnaire was not used, and the use of
437 such a questionnaire would have strengthened the findings of the study ⁽⁴⁵⁾.

438

439 *Generalisability:*

440 COPD is associated with olfactory dysfunction. The underlying mechanisms
441 for this dysfunction in COPD should be elucidated to give a better understanding of
442 the clinical significance for this large group of patients.

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444

445

446 **CONCLUSION**

447 In this study, we found that the olfactory function (TDI) assessed with the
448 “Sniffin’ Sticks” was significantly lower in COPD compared to a control group. Of

449 the odour subtests, discrimination and identification were lower in COPD than in
450 controls, while the threshold subtest did not differ between the groups. CRSsNP was
451 not associated with TDI or any of the 3 component scores.

452

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457

458 AUTHORSHIP CONTRIBUTION

459 WMT: Study design, data collection, statistical analysis, paper drafting

460 SBD: Study design, data collection, paper drafting

461 MRØ: Study design, data collection, paper drafting

462 MSC: Study design, paper drafting

463 SKS: Study design, paper drafting

464 ASH: Study design, statistical analysis, paper drafting

465

466 CONFLICT OF INTEREST

467 None declared

468

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662 FIGURES

663 Legends for illustration

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665 Figure 1. TDI (panel a), T (panel b), D (panel c) and I (panel d) scores in COPD and
666 control groups.

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668 Data presented as means and SD and individual values.

669 Abbreviations: TDI= sum of the T, D, and I scores, T= threshold, D= discrimination,

670 I= identification. COPD: chronic obstructive pulmonary disease

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672 Figure 2. Prevalence of anosmia, hyposmia and normosmia (panel a) and of self-
673 reported decreased sense of smell and taste in SNOT-22 (panel b) in COPD and
674 control groups.

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676 Abbreviations: COPD: chronic obstructive pulmonary disease; SNOT-22: Sino-Nasal

677 Outcome-Test 22

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679 Figure 3. TDI scores in COPD and control groups categorized by self-reported “No
680 decrease” and “Decrease” smell and taste in SNOT-22.

681 Data presented as mean (SD) and individual values.

682 A TDI score ≤ 16 indicates anosmia, a score between 16.25 and 30,5 is hyposmia and
683 a score ≥ 30.75 is normosmia.

684 Abbreviations: COPD: chronic obstructive pulmonary disease; TDI= sum of the T, D,

685 and I scores; SNOT-22: Sino-Nasal Outcome-Test 22

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695 TABLES

696 Legends for table

697

698 Table 1.

699 Subject characteristics

700 Data presented as n (%) or mean (SD) unless otherwise stated. P-values refer to data

701 comparison between COPD and controls. #missing data in 4 controls and 3 COPD;

702 *pre-bronchodilator values in 2 controls and 1 COPD. Otherwise, pulmonary function

703 parameters are based on post-bronchodilator measurements.

704 Abbreviations: COPD: chronic obstructive pulmonary disease; BMI: body mass

705 index; CRSsNP: chronic rhinosinusitis without nasal polyps; MLK: modified Lund

706 Kennedy endoscopy score; FEV1: forced expiratory volume in 1s; FVC: forced vital

707 capacity.

708

709 Table 2.

710 Olfactory scores in former smokers and without allergic rhinitis in COPD and control

711 groups.

712 Data presented as mean (SD). Abbreviations: TDI= sum of the T, D, and I scores; T=

713 threshold; D= discrimination; I= identification; COPD: chronic obstructive pulmonary

714 disease.

715

716 Table 3.

717 Adjusted linear regression for psychophysical scores of olfactory function

718 Number of subjects in analysis=183; Adjusted R² for TDI, T, D and I was 21%, 11%,

719 11% and 16%, respectively.

720 Abbreviations: TDI= sum of the T, D, and I scores; T= threshold; D= discrimination;

721 I= identification; β =unstandardized coefficient; CI=confidence interval; COPD:

722 chronic obstructive pulmonary disease; CRSsNP: chronic rhinosinusitis without nasal

723 polyps.

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730 Table 1. Subject characteristics

	COPD		Control		P value
N	90		93		
Age years	66.2	(8.7)	63.7	(8.7)	0.051
Female	41	(45.6)	42	(45.2)	0.96
Smoking information					
Current smokers	17	(18.9)	7	(7.5)	<0.001
Former smokers	68	(75.6)	47	(50.5)	
Non-smokers	5	(5.5)	39	(42)	
Pack-years [#]	28.6	(20.9)	6.6	(10.8)	<0.001
BMI	27.0	(5.4)	27.3	(4.7)	0.7
CRSsNP	46	(51.1)	15	(16.1)	<0.001
MLK	2.8	(2.0)	1.4	(1.8)	<0.01
Allergic rhinitis	5	(5.6)	14	(15.1)	0.035
Nasal corticosteroids	4	(4.4)	4	(4.3)	1.0
Lung function*					
FEV ₁ (l)	1.6	(0.7)	3.0	(0.9)	< 0.001
FEV ₁ (% predicted)	53.1	(18.7)	94.6	(12.2)	< 0.001
FVC (l)	3.0	(1.0)	3.7	(1.0)	< 0.001
FVC (% predicted)	75.8	(18.0)	93.8	(13.0)	< 0.001
FEV ₁ /FVC	0.53	(0.12)	0.78	(0.05)	< 0.001

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732

733 Data presented as n (%) or mean (SD) unless otherwise stated. P-values refer to

734 data comparison between COPD and controls. [#]missing data in 4 controls and 3

735 COPD; *pre-bronchodilator values in 2 controls and 1 COPD. Otherwise,
736 pulmonary function parameters are based on post-bronchodilator measurements.
737 Abbreviations: COPD: chronic obstructive pulmonary disease; BMI: body mass
738 index; CRSsNP: chronic rhinosinusitis without nasal polyps; MLK: modified Lund
739 Kennedy endoscopy score; FEV₁: forced expiratory volume in 1s; FVC: forced vital
740 capacity.

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771 Table 2. Sub-group analysis of olfactory scores in former smokers and without

772 allergic rhinitis in COPD and control groups.

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Olfactory score	Former smokers			Without allergic rhinitis		
	COPD (n=68)	Control (n=47)	P value	COPD (n=85)	Control (n=79)	P value
TDI	25.3 (6.1)	28.9 (6.0)	<0.01	25.9 (5.8)	28.5 (5.3)	0.003
T	4.5 (1.9)	5.4 (2.3)	0.04	4.7 (1.9)	5.2 (2.2)	0.2
D	10.2 (2.7)	11.5 (2.5)	<0.01	10.2 (2.6)	11.3 (2.5)	0.005
I	10.6 (2.7)	12.1 (2.6)	<0.01	10.9 (2.7)	12.0 (2.2)	0.006

774 Data presented as mean (SD). Abbreviations: TDI= sum of the T, D, and I scores;

775 T= threshold; D= discrimination; I= identification; COPD: chronic obstructive

776 pulmonary disease.

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797 Table 3. Adjusted linear regression for psychophysical scores of olfactory function

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Variable	Estimate of β	95% CI	P value
TDI			
COPD	-2.3	-3.9 to -0.6	<0.01
Age [years]	-0.2	-0.3 to -0.2	<0.01
Sex [male]	-1.8	-3.4 to -0.3	0.02
Allergy	-2.8	-5.4 to -0.4	0.03
CRSsNP	0.7	-1.1 to 2.4	0.4
T			
COPD	-0.4	-1.1 to 0.3	0.2
Age [years]	-0.6	-0.1 to -0.03	<0.01
Sex [male]	-0.8	-1.3 to -0.2	0.01
Allergy	-1.1	-2.2 to -0.1	0.03
CRSsNP	0.3	-0.4 to 1.1	0.4
D			
COPD	-0.9	-1.7 to -0.1	0.02
Age [years]	-0.1	-0.1 to -0.05	<0.01
Sex [male]	-0.3	-0.5 to 0.9	0.5
Allergy	-0.5	-1.7 to 0.7	0.4
CRSsNP	0.13	-0.7 to 1.0	0.7
I			
COPD	-2.0	-3.6 to -0.5	0.01
Age [years]	-0.2	-0.1 to -0.05	<0.01
Sex [male]	-1.9	-3.4 to -0.4	0.02
Allergy	-2.8	-2.3 to -0.8	0.04
CRSsNP	0.2	-0.6 to 1.0	0.6

799

800 Number of subjects in analysis=183; Adjusted R² for TDI, T, D and I was 21%, 11%,

801 11% and 16%, respectively.

802 Abbreviations: TDI= sum of the T, D, and I scores; T= threshold; D= discrimination;

803 I= identification; β =unstandardized coefficient; CI=confidence interval; COPD:
804 chronic obstructive pulmonary disease; CRSsNP: chronic rhinosinusitis without nasal
805 polyps.