	Implementation and evaluation of control
	strategies based on an open controller for a 10 MW
	floating wind turbine
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15 Abstract. The reliability assessment concerning the drivetrain system is important 16 for integrated dynamic analysis of large-scale floating wind turbines (FWTs). An open, 17 modular, and adaptable baseline wind turbine controller is implemented and evaluated in this paper to work with the DTU 10 MW reference wind turbines supported by a 18 proposed Tension Leg Platform (TLP). Higher natural frequency of the controller can 19 20 account for the coupling effects between the blade pitch control and the platform 21 motions that contributing to poor performances of the FWT and negative damped pitch 2.2 motions. Through simulations by FAST code, the baseline controller is evaluated by 23 comparing the conventional pitch-to-feather strategy and the active pitch-to-stall 24 strategy. The controller is detuned with different control frequencies and the active stall 25 control strategy is tailored for the proposed TLPFWT. The results suggests that system instabilities induced by higher control frequency decreases fast as the growth of wind 26 27 speed and the stall controller can lead to around twice platform motions and structure 28 force as large as baseline controller in a wide range of frequency, whereas the rotor 29 performance is fine. The DRC working with FAST proves applicable and different control algorithms and the integrated dynamic effects with other floating foundations 30 can be achieved. 31

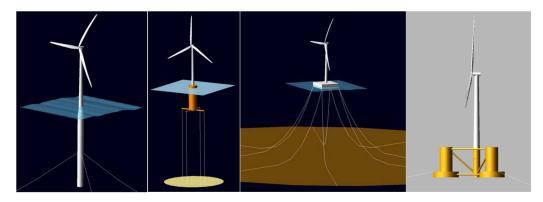
32 **Keywords**: Offshore wind turbine; Blade pitch control; Negative damping; Floating

- 33 foundation; Active stall control
- 34

35 **1. Introduction**

Offshore wind energy took its first steps in the 1990s and has been growing in scale 36 ever since. From being 1% of global wind installations by capacity in 2009, offshore 37 38 wind has grown to over 10% in 2019 [1]. Currently most offshore wind turbines are 39 installed in shallow water with bottom fixed foundations. The floating support 40 platforms are still at an early stage of development. While wind energy on land is cost 41 competitive already, offshore wind power is also forecasted to become competitive in 42 relatively few years [2]. Thus, increased reliability and decreased costs are essential for 43 floating wind turbines especially in deep water. The floating concepts proposed for 44 offshore wind turbines are mainly four categories including the Spar-buoy platform 45 (Spar), Tension-leg platform (TLP), Barge platform (Barge) and Semi-submersible platform (Semi) [3,4,5]. These concepts vary depending on their capability of standing 46 47 stable in the water: stabilized by ballast (Spar), stabilized by ties (TLP), stabilized by 48 buoyancy (Barge and Semi), as shown in Fig. 1.

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Fig. 1. Illustrations of Floating wind turbines on OC3-Hywind spar buoy, MIT/NREL TLP, ITI Energy barge and OC4-DeepCwind.

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54 Offshore wind turbines consist of a rotor, a drivetrain, an electric generator, and a 55 supporting structure to support the tower. The size of wind turbine has been enlarged to 56 megawatts. The detailed information about the blades, tower and support structures are 57 available for realistic research studies such as the NREL 5MW RWT [6] and DTU 10 MW RWT [7]. Upscaling of wind turbines to harness more wind energy and generate 58 59 higher electrical power is necessary but challenging because the mass of the turbine 60 increases with the cube of the rotor radius with linear upscaling [7]. In addition, due to 61 higher wind speed and the increased size of the wind turbine, the structural loads or actions can influence the wind. The wind turbine controller can regulate the generator power in variable wind speed by reducing the rotor speed or by pitching the blades and with the variable speed wind turbines, the torque fluctuations on the drive train can be effectively decreased [1,8]. Therefore, the control strategy should be paid with more attention to regulate the power generation and structure dynamic responses. Inspired by the already widely investigated NREL 5MW RWT, the light rotor DTU 10 MW RWT is chosen for this paper to evaluate the controller coupled effects.

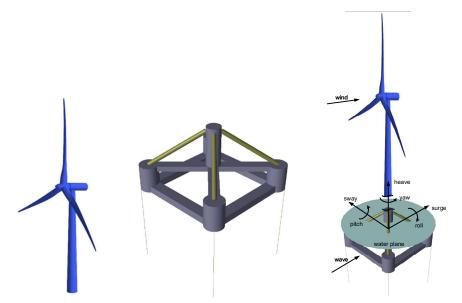
69 The main goal of the controller is to modify the operating states of the turbine to 70 maintain safe operation, maximize power capture, mitigate damaging fatigue loads, and 71 detect fault conditions [9,10]. The control strategies can be categorized as the active 72 control and the passive control. Regarding the active control, pitch variable-speed wind 73 turbine controller has become the dominating type in recent years [10,11]. Typically for 74 the variable-speed pitch control, two controllers are applied including the generator 75 torque control for low wind speed and blade pitch control for overrated wind speed. As 76 for the blade pitch control, variable power collective pitch control and individual pitch 77 control for load mitigation of floating offshore wind turbines are proposed, and applied to the NREL 5 MW RWT models which modifies the rated generator speed to a variable 78 79 depending on the platform pitch velocity [12-17]. The possibility of using individual pitch control was suggested being capable of reducing the dominant load peak on the 80 81 blades better than collective pitch control does, but it has not yet fully commercial 82 accepted [14-17].

83 The main problem associated with the control of floating wind turbines is related 84 to instability of the system in full load [18-20]. The blade pitch angle of active blade pitch controller increases as the wind speed increases in the overrated region to reduce 85 86 rotor speed and rotor thrust force. The drop in steady-state rotor thrust with overrated 87 wind speed would lead to negative damping and contribute to the large system-pitch 88 motions [6,18]. However, these issues involved in large scale floating wind turbines are 89 not clear yet for many reasons. The most important one is that many research groups 90 generally use self-developed control implementations and tunings and some has 91 provided with an open source controller, for instance the NREL for its NREL 5 MW 92 RWT and the DTU for its DTU 10-MW RWT [6,21,22]. However, these controllers 93 either unavailable or limited in functions which makes the extension and estimation 94 more difficult and inconvenient. Therefore, it is important to find an available and 95 modifiable controller to conduct various simulations without computer compiling 96 background.

97 The main contribution of this paper is implementing different control strategies with the DRC (Delft Research Controller) baseline controller to work with the DTU 10 98 99 MW RWT and estimating its dynamic performance by considering a proposed TLP 100 floating foundation. The DRC baseline controller is developed by the research group 101 from Delft University of Technology to provide an open, modular, and fully adaptable 102 baseline wind turbine controller which can be applied to all turbine models if the 103 Bladed-style DISCON controller interface is used [21,23]. The control parameters used 104 in DRC are verified with the descriptions given by basic DTU wind energy controller 105 [22]. Further modifications of the DRC baseline controller are inspired by the negative damping issues discussed in controlling of NREL 5 MW RWT and based on the 106 107 classical proportional-integral (PI) control theory [18,24,25].

108 The organization of this paper is as follows. The introduction of the offshore wind 109 turbines and the control issues for large scaled wind turbines is described at first, which 110 leads to the application of the DRC baseline controller for the following simulations. 111 In Section 2, aerodynamics and dynamic motions of DTU 10 MW RWT and more 112 detailed discussion on the negative damping is given. The geometric parameters of 113 RWT and the proposed TLP platform is also provided. The DRC baseline controller and 114 PI gain schedule are described in Section 3 with the active stall control method which are tested in the following Section. In Section 4, simulation results of the DTU 10 MW 115 116 RWT with TLP platform controlled by the DRC are shown and discussed. Conclusions 117 are drawn at last. The plots of DTU 10 MW RWT and the proposed TLP platform 118 geometry are shown in Fig.2.

119



121 Fig. 2. Plots of the DTU 10MW RWT geometry [17], the TLP platform geometry and the

122	floating wind turbine system.
123	
124	Remark: although this paper focuses on the control of a TLP floating type, the
125	controller and implemented methods are applicable to offshore wind turbines with other
126	floating foundations.

127 2 Numerical Modeling of DTU 10 MW Floating Wind Turbine

128 The FAST (Fatigue, Aerodynamics, Structures and Turbulence) code developed by 129 NREL (National Renewable Energy Laboratory) is applied in this paper to cooperate 130 with the DRC baseline controller and conduct dynamic analyses for 10 MW RWT with 131 TLP platform. The control design is mostly related to the wind turbine dominant 132 dynamics. The aerodynamics denotes the power generation by rotor. The nonlinear 133 aeroelastic motion accounts for the time domain dynamic responses of wind turbine 134 system. The geometric parameters about the wind turbine and the floating foundation 135 are provided here.

136 2.1 DTU 10 MW RWT and TLP platform

137 The DTU 10 MW RWT has been described in details in DTU report [7]. The key parameters of this reference wind turbine are listed in Table 1. The TLP floating 138 foundation is originally proposed for NREL 5 MW RWT which features in large water 139 140 plane area facilitating the towing operation and in tension legs to limit the dynamic 141 motions in operation [30-32]. The platform is dragged downward below the water to 142 reduce the wave effects. The original geometry parameters of the TLP foundation are 143 scaled considering stability requirements for being able to support the large size DTU 144 10 MW RWT. The revised geometric parameters and the system engine frequencies are 145 listed in Table 2. The information on the mooring system is presented in Table 3.

Table 1. Key parameters of the DTU 10 MW RWT [7].

Parameter	DTU 10 MW RWT
Rated power	10 MW
Cut in, Rated, Cut out wind speed	4, 11.4, 25 m/s
Number of blades	3
Rotor Diameter	178.3 m
Hub Diameter, Height, Overhang	5.6 m, 119.0 m, 7.1 m
Minimum, Maximum Rotor speed	6.0 rpm, 9.6 rpm
Maximum Generator Speed	480.0 rpm
Gearbox Ratio	50
Maximum Tip Speed	90.0 m/s

Shaft Tilt Angle	5.0 deg
Rotor Precone Angle	-2.5 deg
Rotor Prebend	3.332 m
Rotor, Nacelle, Tower Mass	227962, 446036, 628442 kg
Blade 1 st flap mode, edge mode	0.61 Hz, 0.93 Hz
1 st Tower bending mode	0.25 Hz
1P, 3P ranges	0.1 Hz-0.16 Hz, 0.3 Hz-0.48 Hz

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Table 2. Key parameters of the TLP platform.

Parameter	Tension Leg Platform
Main Colum Diameter	11 m
Potton Height	4 m
Centre Colum Diameter	8.3 m
Displaced Volume	12516 m ³
Platform Mass (with ballast)	4811.62 t
Platform Inertia	2.31E9, 2.31E9, 4.02E9 kg m ²
Draft / Free board	26 / 11.5 m
Pretension	7111.87 t
Surge / Heave Natural Frequency	0.042 / 0.476 Hz
Pitch / Yaw Natural Frequency	0.243 / 0.062 Hz

150

Table 3. Properties of the TLP mooring system.

Properties	Value
Number of mooring lines	8
Fairlead distance from center	40.855 m
Unstretched mooring-line length	74.0 m
Line diameter	0.143 m
Line mass per unit length	89.2 kg/m
Line extensional stiffness	1.83E9 N
Pretension	6.98E+07 N

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152 2.2 Aerodynamics

Aerodynamics of a wind turbine model comprise the main aerodynamic characteristics of the blades and the control system strategies and parameters. The aerodynamic behavior of wind turbine blades is accounted for by the blade element momentum (BEM) theory which assumes that the blade can be analyzed by a number of independent elements. It combines the momentum theory and the blade-element theory to determine the induced velocity at each element by the momentum balance on a rotating annular stream tube passing through the blade and examine the forces 160 generated at elements along the blade by the airfoil lift and drag coefficients[26].

161 In the variable-speed control system, there are mainly three regions for normal 162 operation. Region 1 is a control region before cut-in wind speed where the wind is used 163 for rotor start-up and the generator toque is zero. Region 2 is a control region between 164 cut-in and rated wind speed for optimizing power capture where a constant tip-speed 165 ratio is maintained and the generator torque control is used. Region 3 is a control region 166 above the rated wind speed where the generator torque or the power is held constant 167 and the blade pitch control is used. There are two more linear transitional regions: 168 Region $1\frac{1}{2}$ and Region $2\frac{1}{2}$ placed between Region 1 and 2 and Region 2 and 3 169 respectively, which allows the machine to reach rated torque at rated speed [27,28].

170 The mechanical power P_a gained by the rotor is given as [29],

171
$$P_a = \frac{1}{2} \rho \pi R^2 V_r^3 C_P(\lambda, \beta)$$
(1)

¹⁷² where ρ is the air density, *R* is the rotor radius, *V_r* is the effective wind speed on ¹⁷³ the rotor, *C_p* is power efficiency coefficient. Similarly, the wind induced thrust fore ¹⁷⁴ acting on the rotor plane causing a fore-aft motion is given as Eq. 2,

175
$$F_t = \frac{1}{2} \rho \pi R^2 V_r^2 C_T(\lambda, \beta)$$
(2)

¹⁷⁶ The coefficients C_{P} and C_{T} are both functions of tip-speed-ratio (TSR) λ and ¹⁷⁷ blade pitch angle β . TRS is defined as the ratio between the rotor speed and wind speed,

178
$$\lambda = \frac{\omega_r R}{v_r}$$
(3)

¹⁷⁹ where ω_r is the rotor angular rotational speed, v_r is the wind speed.

¹⁸⁰ The aerodynamic torque of the rotor T_a can be defined as the ratio between the ¹⁸¹ rotor power P_a and the rotor angular rotational speed ω_r as,

182 $T_a = \frac{P_a}{\omega_r} \tag{4}$

In Region 2, the optimum TSR is maintained, thus Eq.3 is simple. The generatortorque is varied as the square of the rotor speed:

185 $T_{a} = \frac{1}{2} \rho \pi R^{5} \omega_{r}^{2} \frac{C_{p_{max}}}{(\lambda_{opt})^{3}}$ (5)

¹⁸⁶ where, $C_{p_{mx}}$ is the maximum power coefficient, corresponding to optimum TSR λ_{opt} at ¹⁸⁷ a particular blade pitch angle ($\beta = 0^{\circ}$).

The complete nonlinear aeroelastic wind turbine model can be linearized by FAST by developing state matrices of a wind turbine 'plant' to aid in controls design and analysis. The complete nonlinear aeroelastic equations of motion as modeled in FAST is written as follows [28,29]:

192
$$M(q,u,t)\ddot{q} + f(q,\dot{q},u,u_d,t) = 0$$
(6)

¹⁹³ where *M* is the mass matrix, *f* is the nonlinear "forcing function" vector, *q* is the vector ¹⁹⁴ of displacements, \dot{q} and \ddot{q} are the velocities and accelerations, *u* is the vector of ¹⁹⁵ control inputs, u_d is the vector of wind input 'disturbances', and *t* is time.

FAST numerically linearizes the aeroelastic equations of motion by perturbing each of the system variables about their respective operating point values. Expanding the equations of motion as a Taylor series approximation results in the second-order linearized representation of the equations:

$$\tilde{M}\ddot{q} + \tilde{C}\dot{q} + \tilde{K}q = \tilde{F}u + \tilde{F}_{d}u_{d} \tag{7}$$

where $\tilde{M}, \tilde{C}, \tilde{K}$ are the linearized mass matrix, damping matrix and stiffness matrix; \tilde{F} is the control input matrix and \tilde{F}_d is the wind input disturbance matrix.

203 **2.3 Negative damping**

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204 With a conventional variable-speed, variable blade-pitch-to-feather control system, 205 the steady state thrust force is reduced with the increasing wind speed in Region 3 (over 206 rated). This effect may induce negative damping in the system that may lead to large 207 resonant motions of floating wind turbine [6,18-20]. More specifically, the nacelle of 208 the wind turbine in operation will oscillate forward and backward at above rated wind 209 speed. The relative wind speed experienced by the blade is slightly higher when it is 210 moving forward and this leads to a faster rotor speed. As a result, the blade pitch angle 211 will increase to reduce the attack angle and then the rotor speed. The decreased thrust 212 force then causes the nacelle to move more forwards [19]. Similar case be seen when 213 the nacelle moves backwards.

214 This negative damping is less of a problem with fixed foundations because the 215 system lowest eigen frequency is the tower fist fore-aft frequency. While the lowest 216 natural frequencies decrease significantly when turbine is mounted on the floating 217 foundation. Typically, it is an order of magnitude lower than that of the tower [19]. 218 Some stability problems could occur when a turbine with very low natural frequencies 219 is regulated by a normal pitch controller [18]. The structure would vibrate with the 220 controller's frequency especially for a larger size of wind turbine. To improve the 221 response of the floating wind turbine system, it is a possible approach to increase 222 damping in a specific mode to stabilized the motion. This can be achieved by tuning 223 the conventional wind turbine controller with the proportional-integral (PI) gain 224 schedules or applying unconventional control scenario such as the active stall control. 225 It is found that if simply detuning the controller by decreasing the PI gain

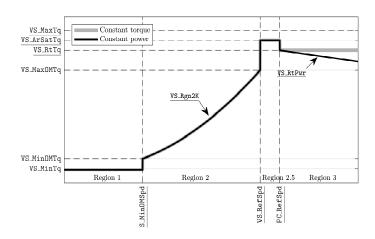
parameters to limit the gains of the blade pitch angle, the generator speed will exceed
the design rated speed causing severe blade damage. Therefore, in this paper, the DRC
baseline controller is modified by keeping the damping ratio constant and changing the
natural frequency of the controller to characterize and account for the response features.
In addition, the active stall control method is tailored and compared with pitch-tofeather controller for this 10MW RWT with the proposed TLP foundation.

232 **3. Control Methodology**

233 **3.1 DRC baseline controller**

234 The Delft Research Controller (DRC) provides an open, modular, and fully 235 adaptable baseline wind turbine controller to the scientific community [21]. This new 236 controller can be applied to the existing reference wind turbines by simulation software 237 such as FAST, Bladed or HAWC which uses the bladed-style DISCON controller 238 interface. In this way, systematic assessments, comparisons, and different control 239 strategies can be realized. The complied controller is configured by a single control 240 setting parameter file which removes the need for repetitive recompilation of the source 241 code under a single change in control settings. Thus, users can collaborate the controller 242 directly in the parameter file for each wind turbine model.

243 The DRC baseline controller implements torque and pitch controllers to enable the 244 variable-speed variable-pitch control strategy. It also provides the individual pitch 245 control (IPC) and the yaw-rate control strategies. The pitch and torque controllers use 246 a generator speed measurement filtered by a second-order low-pass filter to calculate 247 the error from the reference parameter. Both controllers act on individual generator 248 speed set points: VS RefSpd for torque control and PC RefSpd for pitch control, as 249 shown in Fig.3. The controllers continuously calculate the below-rated (GenBrTq) and 250 above-rated (GenArTq) torque references. In Region 3 above rated operation, two 251 strategies can be chosen to be either in constant power mode or constant torque mode. 252 All variables regarding torque control are indicated by their respective names present 253 in the control parameter file.



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Fig. 3. Torque control strategies implemented in the DRC [21]. The gain-scheduled proportional-integral (PI) control system is widely adopted as a baseline controller and is used in Region 3 to compute the collective blade pitch angle. The PI gains are scheduled on the commanded pitch angle of the previous controller iteration. The form of the gain scheduled proportional integral control can be written as follows:

$$\Delta \theta = GS(\theta)(K_P \Delta \omega + K_I \int_0^t \Delta \omega)$$
(8)

where $\Delta \theta$ is the small perturbation of the blade pitch angle around the operation point, $\Delta \omega$ is the error between the measured rotor speed and the rated set point value, and K_P , K_I are the proportional and integral gains tuned at the operating points. The dimensionless gain correction factor $GS(\theta)$ is depended on the blade-pitch angle [25]:

267
$$GS(\theta) = \frac{1}{1 + \theta/\theta_{\nu}}$$
(9)

where θ_{κ} is the blade-pitch angle at which the pitch sensitivity has doubled from its value at the rated operating point.

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271 **3.2 PI gain schedule**

As mentioned, the baseline pitch controller is implemented as a gain-scheduled PIcontroller. The perturbation of the blade pitch angle can be computed by choosing appropriate PI gains (K_P, K_T) which are scheduled on the commanded pitch angle of the previous controller iteration. In Refs. [6, 24], a series of derivations were conducted to compute these object-based gains which can be expressed once the sensitivity of aerodynamic power to rotor collective blade pitch $\partial P / \partial \theta$ is known:

278
$$K_{P} = \frac{2I_{Drivetrain}\Omega_{0}\zeta_{\varphi}\omega_{\varphi n}}{N_{gear}(-\partial P_{\partial \theta})}$$
(10)

279
$$K_{I} = \frac{I_{Drivetrain} \Omega_{0} \omega_{\varphi n}^{2}}{N_{gear} (-\partial P_{\partial \theta})}$$
(11)

where $I_{Driverain}$ is the drivetrain inertia cast to the low-speed shaft, Ω_0 is the rated rotational speed, $\omega_{\varphi n}$ is the natural frequency of the controller, ζ_{φ} is the damping ratio, N_{gear} is the gearbox ratio.

The aerodynamic property of the rotor $\partial P / \partial \theta$ which depends on the wind speed, the rotor speed, and the blade pitch angle. It is obtained by a linearization analysis about the rotor collective pitch angle perturbation at each operating point and calculating the variation in aerodynamic power [24]. This allows to design the control parameters in a way that the total systems behave as desired with input of damped natural frequency and damping ratio [25].

289 The instability problems could occur when turbines with very low natural 290 frequencies and combined with a traditional pitch controller. It is influenced by the 291 thrust force on the tower motion which has been explained as a contribution to the 292 damping [18]. Compared to the motion of the tower, too fast pitch regulation can 293 account for this low damping. Therefore, different natural frequency of the controller 294 $(\omega_{\varphi n})$ is considered based on the gain schedule in DRC baseline controller. The rotor 295 and the platform performances are investigated to access the controller. The revised PI 296 gains are calculated in accordance with the Eqs. (10,11) based on the chosen natural 297 frequencies and a recommended damping ratio of 0.7 [25]. The natural frequency of the 298 DRC baseline controller ω_{qn} (0.06 Hz) is then reduced to ω_{qnb} 0.02 Hz (below) and 299 increased to ω_{qna} 0.10 Hz (above) as comparisons. In this way, the characteristics of 300 the rotor and platform performances of the 10 MW offshore floating wind turbine 301 influence by the control frequency are investigated.

302

303 **3.3 Active stall control**

304 The traditional variable speed controller leads to a reduction in rotor thrust force 305 with increasing wind speed in Region 3, since it applies the blade-pitch-to-feather speed control regulation. However as has illustrated in Refs. [18,20,25,33], it is possible to 306 307 regulate torque (or power) by pitching the blade the other way. This is opposite to the 308 normal pitch control. With a high angle of attack, the drag and thrust force on the turbine 309 increase, while the torque and power become more stable. This active stall control shows a good performance for both rotor and the platform which suggests an alternative 310 311 method to solve the negative damping issue for a floating concept offshore wind turbine. 312 Because of the drag force and thrust force increase as the increasing wind speed in

overrated range, this active pitch-to-stall control may damp the platform motions more effectively. Although this method has been shown to be effective in simulation, it has not been widely pursued and investigated in industry given the uncertainty of the increased dynamic loads on turbine blades which might be a problem for structural design of blades [20,33].

This method has been tested for a 5MW floating barge concept in Refs. [6,24]. As 318 319 suggested, the blade pitch angles are negative over the wind speed in Region 3. 320 Likewise, the gain-scheduled PI control is implemented in the active-pitch-to-stall 321 controller. The gain scheduling is less of a requirement because the variation of 322 $\partial P / \partial \theta$ is less pronounced in Region 3, suggested by Refs. [20,24]. It also suggests 323 that constant gains are possible in this schedule to be developed by using the values of 324 $\partial P / \partial \theta$ in a middle wind speed in Region 3. While these gains applied in the stall 325 controller are not constant but negative-valued gains from the baseline controller.

Apart from revising the PI gain parameters in DISCON.IN file, the maximum pitch angles should be set as 0 degree in the file. The recommended damping ratio (ζ_{φ}) of 0.7 is chosen and the control frequency ($\omega_{\varphi n}$) of 0.06 Hz is tuned. This revised control schedule is tested with the DTU 10 MW RWT and the rotor and platform performances are obtained and discussed in Section 4.

331 4 Results and Discussions

The influence of wind turbine control actions on the 10 MW TLP wind turbine is investigated in terms of the rotor and the platform performances. Different natural frequencies of the controller are considered by revising the gains at the operating points. Finally, the tailored active stall controller is compared with baseline controller and coupled effects are presented with respect to the rotor and platform performances.

The dynamic simulations are performed under two wind regimes. One is the uniform wind speed varying constantly from 4 m/s (cut-in) to 17 m/s (over-rated) with 500 s duration time for every increasement of 1 m/s in wind speed. The other is the turbulent wind speed 17 m/s with 20% turbulence intensity. For the turbulent wind condition, the incident wave is considered and compared with the still water condition.

342 **4.1 DRC baseline controller**

First the performance of the DRC baseline controller (ω_{on} =0.06 Hz) with a landbased foundation and a floating platform foundation is shown in Fig. 4. A wind step case from 4 m/s to 25 m/s is chosen and for each wind step in overrated region, a time duration of 500s is adopted to stabilize the system.

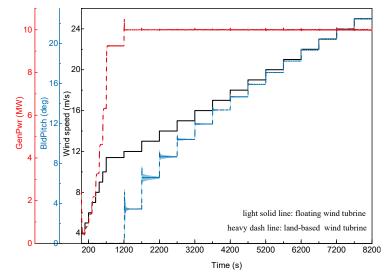


Fig. 4. DRC: black line: wind speed, blue line: blade pitch angle, red line: generator power.

Fig. 4 presents wind steps (black line), blade pitch angle (blue line) and generator 350 351 power (red line) time series under the control frequency of 0.06 Hz by DRC baseline 352 controller. Two boundary conditions are considered: the onshore land-based foundation 353 and the offshore floating foundation. The boundary condition of land-based foundation 354 is achieved by disabling the six degrees of freedom of the TLP platform, and the result is drawn in heavy dash line in Fig. 4. The blade pitch angle and the generator power 355 356 under these two boundary conditions are compared. For the land-based foundation, the 357 controller performs well as the wind speed increases and the rotor speed and the power 358 generation promptly become stable about 9.6 RPM and 10 MW respectively after wind 359 speed reaching the rated wind speed (11.4 m/s). However, the blade pitch angle shows a clear fluctuation for the floating foundation because of the reduction of the system 360 361 stiffness. This also suggests that the PI gains tuned for reference wind turbines should 362 consider the supporting structure to moderate the fluctuation of the blade pitch angle. 363 For instance, the proportional term in the original gain schedule may need to be 364 decreased when it is applied to a floating foundation.

365 **4.2 DRC baseline controller – with different control frequencies**

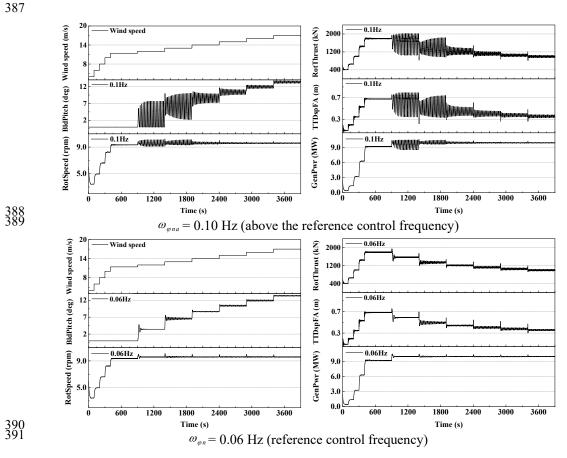
The DRC baseline controller adopts a natural frequency $\omega_{\varphi n}$ of 0.06 Hz and a damping ratio of 0.7 for DTU 10 MW RWT. As has been explained, two more control frequencies ($\omega_{\varphi nb} = 0.02$ Hz and $\omega_{\varphi na} = 0.10$ Hz) are selected as comparisons with the same damping ratio. The lower control frequency $\omega_{\varphi nb}$ is taken considering that the natural frequency of this floating wind turbine system in surge mode is 0.042 Hz. Besides, it is recommended to adopt a control frequency of 0.6 rad/s (about 0.10 Hz) which is also higher than that applied in the baseline controller ($\omega_{\varphi n}$). It is unnecessary to consider even faster control frequencies given that the pitch natural frequency is much larger.

The system responses of floating wind turbine under three control frequencies ($\omega_{\varphi nb}$, $\omega_{\varphi n}, \omega_{\varphi na}$) are presented in terms of a uniform stepwise increasing wind range and a turbulent wind speed shown in Fig. 5 and Fig. 6. The wind step case is from 4 m/s to 17 m/s. The time duration for wind speed below the rated speed is 100s and 500s for these above the rated speed.

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381 4.2.1 Uniform wind speed - Rotor performance

Fig. 5 depicts the rotor performances which include the blade pitch angle (BldPitch), the rotor speed (RotSpeed) and the generator power (GenPwr). The rotor thrust force (RotThrust) and the induced tower top fore-aft motion (TTDspFA) are also depicted.



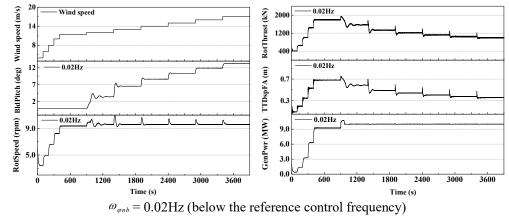




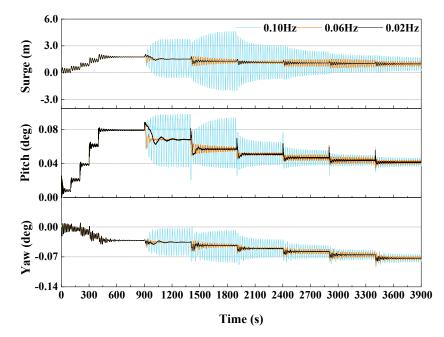
Fig. 5. Different rotor step response depending on the control natural frequencies.

396 It was found that the control frequencies ω_{onb} and ω_{on} both have superior 397 performance. The fastest control frequency corresponds to the most fluctuations in 398 blade pitch and thrust force which further leads to a larger tower top motion especially 399 for these wind speed at the start of the overrated region. With higher wind speed, the 400 control natural frequency induced differences begin to fade. Distinct fluctuation in 401 blade pitch accounts for the variation of the thrust force and the further tower top motion. 402 The top motion can influence the relative wind speed experienced by the wind turbine 403 which in return affect the thrust force. Generally, rotor speed and generator power are 404 less sensitive to the change of control frequency. Even though the 1st tower fore-aft 405 (bending) mode has a natural frequency of 0.25 Hz which is much higher than all of 406 three control frequencies, the control frequency induced effect at tower top motion is 407 still clear.

408

409 4.2.2 Uniform wind speed - TLP Platform performance

The TLP platform performances under different control frequencies are illustrated in Fig. 6 in terms of surge, pitch, and yaw responses. These three modes are chosen because the foundation is symmetric in surge direction and there is no incident wave involved in.



416 Fig. 6. Different platform motion step responses depending on the control natural frequencies 417 $(\omega_{\varphi_{na}}, \omega_{\varphi_{n}}, \omega_{\varphi_{nb}}).$ 418

419 From Fig. 6, it is found that the control frequency ω_{qn} and ω_{qnb} are better in 420 platform motion performances. The gentlest fluctuation occurs with the lowest control 421 frequency ω_{enb} which also takes longer time to stabilize the motion. Clear and 422 dramatic fluctuations can be seen once reaching the rated wind speed especially for the 423 control frequency ω_{qna} (0.10 Hz). The surge, pitch and yaw motions become more 424 stable with higher wind speed, which follows the trend of thrust force. Since there is no 425 wave involved in, the induced platform motions are generally small. The differences 426 between the control frequencies ω_{en} and ω_{enb} are also small. Considering that the 427 platform has a natural frequency of 0.04 Hz in surge mode which is larger than control 428 frequency ω_{onb} (0.02 Hz), the surge motion is more stable with ω_{onb} , which agrees with 429 Ref [18].

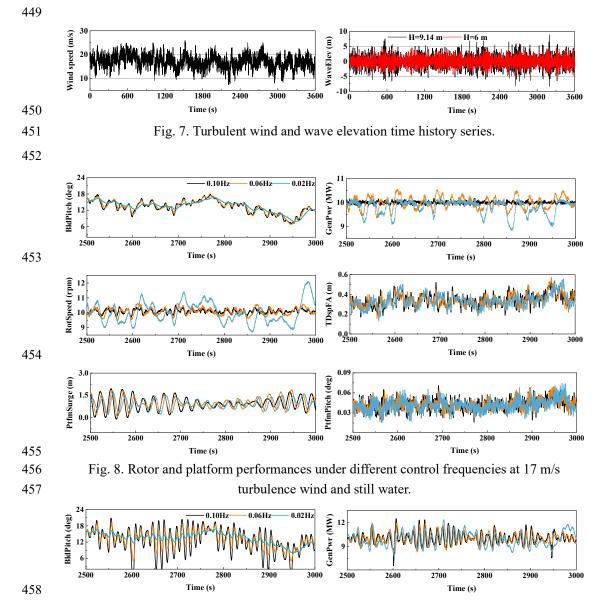
However, it is not the case in pitch mode because the natural frequency in pitch mode is higher than $\omega_{\varphi na}$, $\omega_{\varphi n}$, and $\omega_{\varphi nb}$. Because the pitch motion is coupled with and dominated by the surge motion, as a result, it prompts the low frequency effect. With the baseline control frequency, both pitch and yaw motions can be stabilized swiftly. Overall, the mean values of motions are not influenced by the controller's natural frequency.

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437 4.2.3 Turbulent wind speed

438 The control frequency induced responses for rotor and platform motions are 439 compared under turbulent wind condition. The mean wind speed is 17 m/s with 20% 440 turbulent intensity generated by TurbSim [33]. As mentioned, the hydrodynamic wave 441 loads are considered to illustrate the negative damping issues. Two irregular wave 442 conditions are selected with a significant wave height of 6 m, wave peak period of 10 s 443 and a wave height of 9.14 m, wave peak period of 13.6 s, respectively. The turbulent 444 wind and wave elevations are shown in Fig. 7. The simulations time is 3600 s in total 445 and results in Fig. 8 and 9 are shown within a period from 2500 s to 3000 s for clarity. 446 The still water condition is depicted in Fig.8. The rotor and platform performances 447 under coupled wind and wave conditions are illustrated by the case of 9.14 m wave 448 height only in Fig.9.



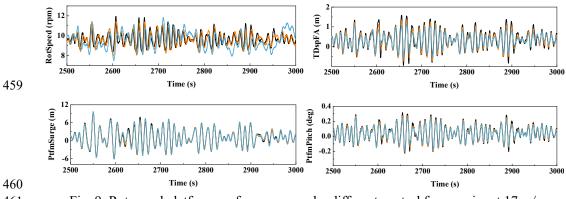




Fig. 9. Rotor and platform performances under different control frequencies at 17 m/s turbulence wind and irregular wave (significant wave height 9.14 m).

- 464 In Fig. 8 and Fig. 9 the blade pitch angle, generator power, rotor speed and tower 465 top motion variations are shown with respect to different control frequencies. The 466 variations of blade pitch angle and rotor speed under control frequency of ω_{qn} (0.06) 467 Hz) and ω_{ena} (0.1 Hz) are quite consistent. While rotor speed with control frequency 468 $\omega_{\varphi nb}$ (0.02 Hz) fluctuates more significantly, which is quite different from the blade 469 pitch angle. Blade pitch angle shows a significant difference resulting from the control 470 frequency with irregular wave. As for the tower top motion, the control frequency 471 induced difference is quite limited in still water but clearer when hydrodynamic wave 472 is involved. The reason for slow variations in blade pitch and rotor speed with faster 473 control frequency is because the blade can response quickly to varying wind speeds and 474 keep the relative wind speed stable. As a result, the power output is more stable. While 475 this change exerts less influence on the tower top motion since the natural frequency of 476 1st tower bending mode is much higher than the control frequency. When the wave load 477 is considered, the overall tower top motion and platform pitch are larger and obvious 478 deviations in amplitude can be seen due to the control frequency.
- 479

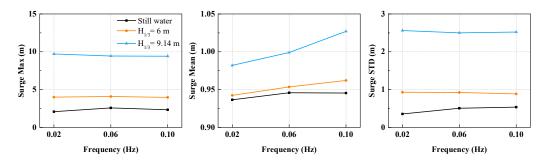


Fig. 10. Maximum values, mean values, and standard deviations of the platform motions in
surge mode vs. different control frequencies at 17 m/s turbulence wind and irregular wave
conditions.

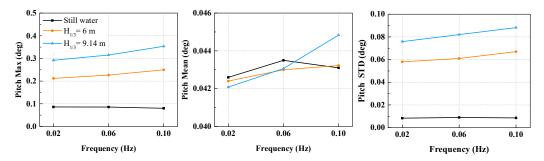


Fig. 11. Maximum values, mean values, and standard deviations of the platform motions in pitch mode vs. different control frequencies at 17 m/s turbulence wind and irregular wave conditions.

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489 The statistic results of the platform surge and pitch motion responses to different 490 control frequencies are compared in Fig.10 and Fig.11. As explained, the wave load 491 case with 6 m wave height is not shown in time series but the motion statistics are 492 categorized here to better illustrate the negative damping issue. It is found that in still 493 water conditions the control frequency induced difference in platform motions are not 494 obvious compared with the cases when wave loads are involved. The mean values of 495 surge and pitch motions are increased by 5-6% with higher control frequency ω_{ena} 496 especially for the pitch motion. Large surge motions occurred in ω_{QR} (0.06 Hz) and 497 ω_{ona} (0.1 Hz) is probably due to the lower surge natural frequency of the floating 498 system (0.042 Hz) which is lower than the control frequencies. As for pitch mode, the 499 natural frequency is higher than ω_{qna} , but the coupled effect of surge and pitch motions 500 influence the pitch motion. Thus, with higher control frequency, pitch motion response 501 is also magnified.

In general, the control frequencies involved with negative damping effects on the platform surge and pitch motions can be observed in coupled wind and wave conditions and it is clearer when the wave height is larger. Higher control frequency can lead to increases in surge and pitch motions.

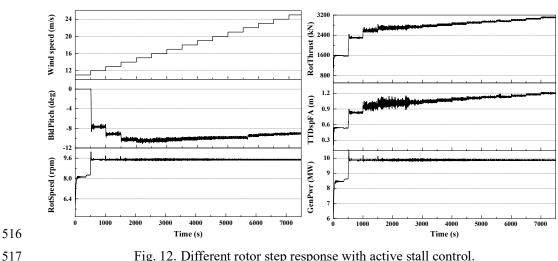
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507 **4.3 Active stall control**

508 **4.3.1 Rotor performance**

Active stall control strategy (pitch-to-stall) is opposite to the normal pitch control for the blade is pitched to a high angle of attack, the stall condition, thus the lift force decreases as well as the rotor speed. The system responses are shown in Fig. 12 and Fig. 13 within Region 3 (overrated wind speed). The simulations are conducted with

513 uniform wind speed varying from 11 m/s to 25 m/s with a duration time of 500 s for



514 every increasement of 1 m/s in wind speed.

515

Fig. 12. Different rotor step response with active stall control.

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519 In Fig. 12, the wind steps, blade pitch angle, rotor speed, thrust force, tower top 520 motion and generator power are depicted. In general, the active stall controller works 521 well and the rotor responses are fine. The blade pitch angle decreases rapidly at first 522 before reaching a value around negative 11 degree and then it basically maintains stable 523 with a slight growth as the wind speed goes up. The rotor speed experiences the most 524 growth early and then it tends to level off at the rated speed (9.6 RPM). The same trend 525 can be seen in the generator power. The rotor thrust force increases in stages at first and 526 then keeps a slow and steady increasing trend. The same trend is observed in tower top 527 motions because it follows the thrust force. This ascending trend is different from that 528 with the pitch-to-feather controller in which the rotor thrust force decreases, and in this 529 way the negative damping can be avoided.

- 530 4.3.2 Platform performance
- 531

The platform performances with the pitch-to-stall controller are shown in Fig. 13.

- 532 Similarly, the surge, pitch and yaw modes are chosen.
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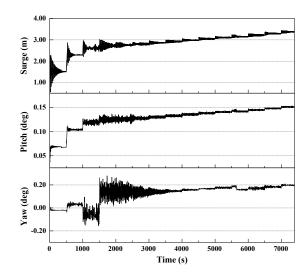




Fig. 13. Different platform step responses with active stall control.

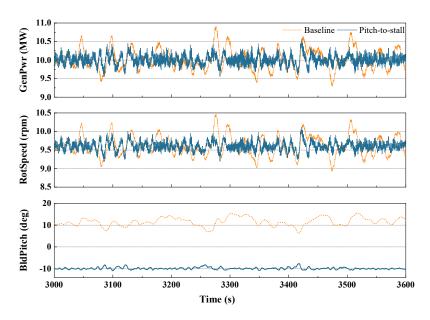
536 As can be seen, the total responses are stable, but significant fluctuation occurs at the first stages of the wind speed growth (below 14 m/s). The platform motions become 537 538 stable and less fluctuating for higher wind speed. The surge and pitch motions are larger 539 compared with these values shown in Fig. 6 with the baseline controller, under the same 540 wind speed especially in pitch mode. This can be accounted for by the increasing thrust 541 force in Region 3 with active stall control method. Unlike surge and pitch motions 542 showing stepwise increases at first, there is a slight growth followed by a clear decrease 543 in yaw mode, before it finally shows a significant increase. The platform has a mean 544 positive yaw motion, which is opposite to the baseline controller where a negative yaw 545 motion occurs. Given that the system is symmetric and the wind speed is uniform in 546 surge direction, the yaw motion should oscillate around the original equilibrium 547 position. However, it fluctuates drastically and reaches to a positive deviation at the 548 start of the Region 3. The reason for these yaw deviations in opposite directions by the 549 baseline controller and the pitch-to-stall controller cannot be explained yet based on 550 above analyses.

551 Overall, the wind turbine system shows reasonable rotor and platform motion 552 responses by the active stall controller especially for power generation. Except that the 553 thrust force is much larger in overrated region which may become a problem for the 554 safety of these long slender blades. Even though, this could very well be a feasible 555 solution for floating wind turbines not only for 5 MW size, but also for 10 MW size 556 [28].

557 4.4 Pitch-to-feather and pitch-to-stall

558 The conventional approach for controlling wind turbines is pitching the blade to 559 feather in high wind speed region. This pitch-to-feather control strategy, applied in DRC baseline controller, is compared with the pitch-to-stall control strategy with respect to the rotor and platform performances under the turbulent wind condition in still water and irregular wave condition as shown in Fig. 14-19. The mean wind speed is 17 m/s with 20% turbulent intensity. The irregular wave condition has a significant wave height of 9.14 m and peak period of 13.6 s. The entire simulation time is 3600 s.

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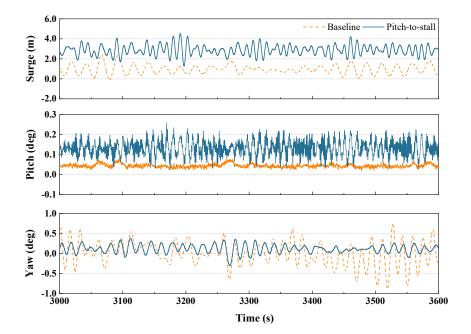


566

567 Fig. 14. Comparison of rotor performances at 17 m/s turbulence wind and still water

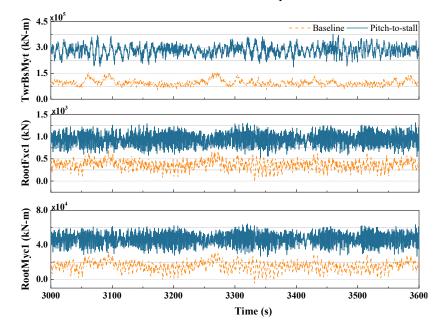
condition with the baseline controller and the pitch-to-stall controller.

568



570 Fig. 15. Comparison of platform performances at 17 m/s turbulence wind and still water

condition with the baseline controller and the pitch-to-stall controller.

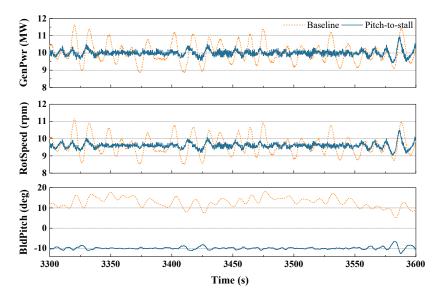


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Fig. 16. Comparison of tower base moment, blade root axial force, and blade root bending
moment at 17 m/s turbulence wind and still water condition with the baseline controller and

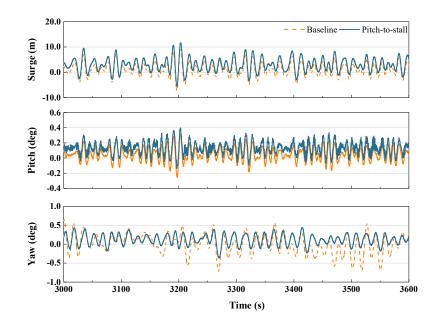
575

the pitch-to-stall controller.



576

Fig. 17. Comparison of rotor performances at 17 m/s turbulence wind and irregular wave
condition with the baseline controller and the pitch-to-stall controller.



580

581 Fig. 18. Comparison of platform performances at 17 m/s turbulence wind and irregular wave

condition with the baseline controller and the pitch-to-stall controller.

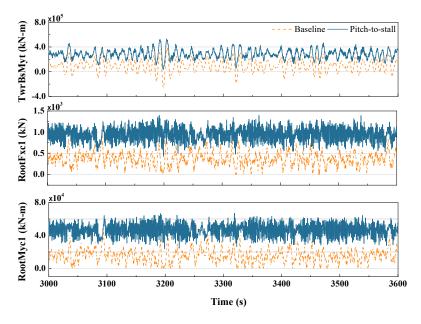




Fig. 19. Comparison of tower base moment, blade root axial force, and blade root bending moment at 17 m/s turbulence wind and irregular wave condition with the baseline controller and the pitch-to-stall controller.

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As shown in Fig. 14 and Fig. 17, the generator power is regulated well by both controllers, and less fluctuations of the rotor speed and the blade pitch angle indicate that active blade-pitch-to-stall controller performs even better than the baseline 591 controller. However, this is not the case when it comes to the platform motions. As 592 depicted in Fig. 15 and Fig. 18, the controller induced effects are more evident. In surge 593 and pitch modes, with the baseline controller, the mean values are smaller and variations 594 are more stable which is much clear in still water condition. But in yaw mode, the mean 595 yaw angle is positive for pitch-to-stall controller and negative for baseline controller 596 which also exerts larger fluctuation.

Figure 16 and 19 depict the tower base bending moment and the blade root force and moment with respect to baseline and active stall controllers. The baseline controller seems more sensitive to the wave load than pitch-to-stall controller based on the obvious fluctuations in Fig. 19. It is also found that these wind-induced forces are much larger in pitch-to-stall controller which is approximately twice higher than baseline controller. These large forces in pitch-to-stall controller may cause severe damage on rotor blades and the tower.

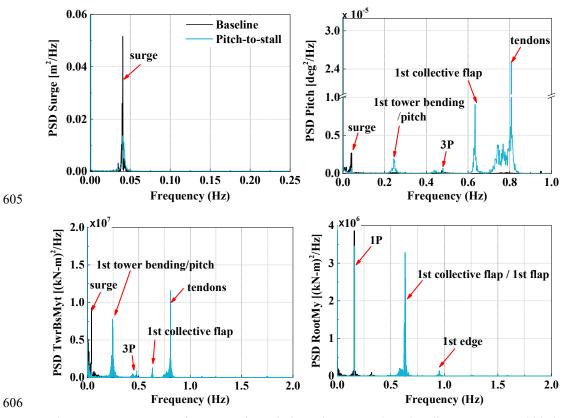


Fig. 20. Power spectra of surge motion, pitch motion, tower base bending moment, and blade
root bending moment at 17 m/s turbulence wind and still water condition with the baseline
controller and the pitch-to-stall controller.

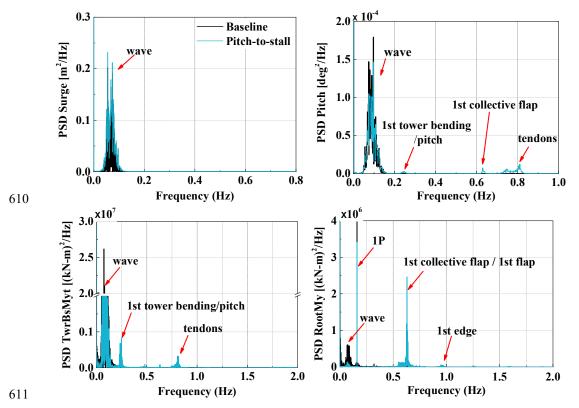


Fig. 21. Power spectra of surge motion, pitch motion, tower base bending moment, and blade
root bending moment at 17 m/s turbulence wind and irregular wave condition with the
baseline controller and the pitch-to-stall controller.

616 The power spectrum analysis is used to illustrate the controller induced effects on 617 the variations of rotor, tower, and platform motions, as demonstrated in Fig. 20 and Fig. 618 21. The baseline controller and the pitch-to-stall controller show significant distinctions 619 for resonant response in pitch motion, tower base and blade root bending moment. The pitch-to-stall controller can induce high frequency resonant responses in pitch mode 620 such as 3P resonance response, 1st collective flap resonance response and even the 621 622 contribution from the TLP tendons, which is obvious in still water condition. Visible 623 high frequency resonance responses also can be seen in irregular wave condition for 624 pitch-to-stall controller. The main reason is that the wind induced thrust force is much larger with pitch-to-stall controller which exaggerates the deformation of the blades and 625 626 tower. The research work of Goupee [35] and Souza et al. [36] provides more various 627 scenarios about the controller induced differences on the dynamic behavior of the 628 floating wind turbine which can be combined with what has been shown in this paper 629 as references.



The active stall controller shows better performances with respect to the blade pitch

631 angle, rotor speed, and power generation; however, the platform motions and wind-632 induced structure forces are exaggerated with it. This agrees with the results in Ref. [30] 633 that the pitch motion for the NREL 5 MW wind turbine is also larger with pitch-to-stall 634 controller. But this does not contradict to the explanation of the negative damping issues 635 by the pitch-to-stall controller. As illustrated in Ref [16, 28, 30], the steady thrust force 636 in Region 3 with a normal controller is reduced as the wind speed increases which may 637 introduce negative damping in the system and further lead to large resonant motion for 638 floating wind turbine. On the contrary, it suggests that the baseline controller has an 639 effective pitch damping ratio higher than that of the pitch-to-stall controller. Given that 640 the control frequency adopted in the baseline and the active stall controllers is ω_{qn} 641 (0.06 Hz), this effective pitch damping effect is not prominent in Fig. 18.

642 **5 Conclusions**

643 This paper introduces the DRC baseline controller to evaluate the control strategies 644 induced effects on the DTU 10 MW RWT with a floating TLP foundation. This open 645 and adaptable baseline controller is implemented by using the FAST simulator to 646 perform fully coupled dynamic analyses. The full-field wind flow is provided by 647 TurbSim. Control frequency induced effects and dynamic responses of the DTU 10 MW 648 floating wind turbine system are analyzed with the conventional blade-pitch-to-feather 649 and active pitch-to-stall strategies considered. The baseline controller is tailored based 650 on the PI gain schedule to obtain different control frequencies and to achieve the active 651 stall control. This DRC baseline controller proves to be adaptable for large scale 652 offshore wind turbines and can be collaborated and detuned by new control algorithms 653 for further improvement.

654 The baseline controller regulates the 10 MW wind turbine well with control 655 frequency ω_{qnb} and ω_{qn} for all wind speed conditions. While the control frequency 656 can induce instability of the system within wind speeds below 15 m/s. The rotor 657 responses perform better with and since the blade pitch angle changes slowly, 658 which further leads to dramatically less fluctuations in thrust force and tower top motion, 659 whereas the maximum rotor speed can be 10% larger due to this slow change. Generator 660 power shows the least sensitivity to the control frequencies under uniform wind speed. 661 The higher control frequency induced instability decreases rapidly with the increase of 662 wind speed between 12m/s and 15m/s.

⁶⁶³ For turbulent wind speed, the blade pitch angle shows a slow variation with lower ⁶⁶⁴ control frequency, which further leads to drastic fluctuations in rotor speed and generator power. Since high turbulent wind speed is taken, the generator power, rotor speed, and tower top motion are more stable with $\omega_{\varphi n}$ and $\omega_{\varphi na}$. Obvious deviations in motion response due to the control frequency can be seen when hydrodynamic wave load is considered. The fast control frequency involved with negative damping effect on platform surge and pitch motions are found in coupled wind and wave conditions.

670 As for the comparison of the active stall controller with the baseline controller, 671 within uniform wind field, the rotor behavior with active stall controller is fine but the 672 maximum platform motions are about twice as that of the baseline controller. With the 673 same control frequency and turbulence wind condition, pitch-to-stall controller is better 674 than baseline controller given the blade performances. However, in views of platform 675 motions and wind-induced structural forces, the baseline controller is much better. The 676 baseline controller seems more sensitive to the wave load than pitch-to-stall controller. 677 Moreover, the power spectral analyses of motions and structural forces suggest that 678 pitch-to-stall controller can lead to resonance responses of motion and forces in a wide 679 range of frequency.

680 The control strategies investigated in this paper are based on DTU 10MW TLP 681 floating foundation by using the DRC in corporation with FAST code, which provides a convenient method to modify and assess the control algorithms. It is also applicable 682 to offshore wind turbines with other types of floating foundations. The research work 683 684 proves the possibility of controlling a baseline wind turbine through different strategies 685 by DRC. Further investigations should be done to better understand the control 686 frequency induced dynamic effects on the floating wind turbine structures and system 687 motions for the purpose of practical application. 688

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