

# **Microstructure and properties of nano-C and in-situ Al<sub>2</sub>O<sub>3</sub> reinforced aluminum matrix composites processed by High-Pressure Torsion**

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# Microstructure and properties of nano-C and in-situ Al<sub>2</sub>O<sub>3</sub> reinforced aluminum matrix composites processed by high-pressure torsion

In this study, Al-Si matrix composites reinforced with In situ Al<sub>2</sub>O<sub>3</sub>, C nanotubes (CNTs), and graphene nanoplatelets (GNPs) were prepared by ball milling, hot-isostatic pressing (HIP), and subsequent high-pressure torsion (HPT). Microstructures, interfacial bonding, and electrical and mechanical properties of the composites were analysed. In situ Al<sub>2</sub>O<sub>3</sub> particles and whiskers were formed via reaction between Al powder and SiO<sub>2</sub> powder. Grains of the composites were significantly refined and reinforcements were well-dispersed in the matrix by HPT. A sub-micron equiaxed grain structure with an average grain size of 0.60 μm was obtained. Interface between the CNTs and the matrix was narrow and had no brittle phase. With an increase in the number of HPT cycles, microhardness and electrical conductivity of the composites increased. Strengthening mechanism of the Al matrix composites was mainly fine-grain strengthening. Dislocation accumulation and grain boundary evolution caused by HPT were examined.

Keywords: Al-Si matrix composites; interface; high-pressure torsion; electrical property; mechanical property; strengthening mechanism

## 1 Introduction

Al matrix nanocomposites possess high specific strength, high specific stiffness, and light weight and are widely used in the aerospace, modern transportation, and electronic fields [1, 2]. Nano-C materials, including CNTs and GNPs, are ideal reinforcements for metal materials and are extensively used to improve the mechanical and electrical properties of these materials [3-5]. GNP is a two-dimensional material with sp<sup>2</sup> hybridization, which has excellent mechanical and physical properties, for instance, a Young's modulus of 1 TPa, a tensile strength of 130 GPa, a conductivity of  $1.5 \times 10^4 \text{ cmV}^{-2}$ , and a thermal conductivity of  $3 \times 10^3 \text{ W(m}\cdot\text{K)}^{-1}$  [3, 4]. CNTs have a unique tubular structure with a high elastic modulus and tensile strength of 1 TPa and 30 GPa, respectively [5].

However, owing to their large van der Waals forces, GNPs and CNTs easily agglomerate [6, 7]. To improve the dispersion of nano-C in the matrix and enhance its binding to the matrix material, presently, the following methods are mainly used: (1) In situ method [8-10]: He et al. [10] used an in situ method to generate CNTs in Al powder, which increased the interfacial bonding force between CNTs and Al powder. (2) Medium method [11, 12]: a medium is added or generated such that it tightly combines with both nano-C and the matrix. (3) Surface modification [13, 14], including ultrasonic treatment of CNTs in an acidic mixture ( $\text{H}_2\text{SO}_4:\text{HNO}_3 = 3:1$ ), which improves the dispersion of CNTs in the matrix [9, 14].

Methods for the preparation of metal matrix composites primarily comprise liquid-and solid-state syntheses. However, the density of nano-C is quite different from that of the Al matrix; thus, the liquid-state synthesis easily causes the agglomeration of nano-C, resulting in microcracks and hole [15]. Solid-state preparation mainly adopts powder metallurgy methods, including conventional sintering [16, 17], spark plasma sintering [18, 19], hot pressing [20, 21], and hot extrusion [22-24]. These methods require high temperatures, which lead to grain coarsening and deteriorate the properties of the material.

High-pressure torsion (HPT) is one of the most commonly used severe plastic deformation technologies. The large deformation strain produced by HPT can significantly refine the grains of metals and alloys down to nanoscale [25, 26]. When HPT was applied to fabricate metal matrix composite materials, it also promoted the dispersion of reinforcements in the matrix and their binding to the matrix materials. Huang et al. [15] demonstrated that the high shear strain of HPT disintegrated the agglomerated GNPs in the Al matrix, overcame the problem of low wettability between GNPs and the Al matrix, and dispersed the reinforcements in the matrix.

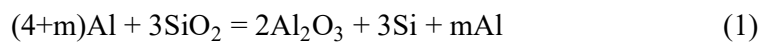
Al matrix composites synergistically reinforced by nano-C and  $\text{Al}_2\text{O}_3$  have shown excellent properties as reported in our previous study [27]. Nevertheless, the agglomeration of

nano-C and its inadequate interfacial bonding with the metal matrix limit the preparation and application of these composites [27]. Herein, surface-modified GNPs, CNTs, and in situ  $\text{Al}_2\text{O}_3$  were used as reinforcements to prepare Al-Si matrix composites by hot-isostatic pressing (HIP). The as-prepared composites were further processed by HPT. Microstructures of the composites were systematically characterised, their hardness and electrical properties were measured, and interfacial bonding and strengthening mechanisms were comprehensively discussed based on the experimental results.

## 2 Materials and methods

### 2.1 Materials and preparation

In this study, Al matrix composites separately reinforced with 1.0%CNT, 0.5%GNP, 0.75%CNT+0.25%GNP, and hybrid  $\text{Al}_2\text{O}_3$  were fabricated, the detailed process of which is reported in a previous study [27]. Al powder (diameter: 26-30  $\mu\text{m}$ , XFNANO China),  $\text{SiO}_2$  powder (diameter: 13  $\mu\text{m}$ , XFNANO China), CNTs (diameter: 20-30 nm, length: 10-30  $\mu\text{m}$ , XFNANO China), and GNPs ( $\leq 10$  layers, XFNANO China) were used as raw materials. In situ  $\text{Al}_2\text{O}_3$  was synthesised by reacting  $\text{SiO}_2$  powder with Al powder, as shown in formula (1). Gallic acid and rutin were employed to modify the CNTs and GNPs respectively. Powdered raw materials were mechanically alloyed using a planetary ball mill (WL-1, DECO). The ball-milled slurry was vacuum freeze-dried (FD-A-50, Biocool). The composite powder was pre-densified using a cold isostatic pressing machine (KJY c200, Shanxi Jinkaiyuan Industrial Co., Ltd, China). Sintering of the powders was performed in a HIP furnace (QIH-15, ABB USA) at 800  $^\circ\text{C}$  under a pressure of 70 MPa for 0.5 h [27].



To reduce the grain size of the sintered specimens, disintegrate the nano-C aggregates, and improve the dispersion of reinforcements in the matrix [28], HPT was used to further process the as-prepared composites. HPT machine (MTS 311) employed herein comprised an HPT-4 system of the MTS. The maximum pressure and torque were 200 tons and 1.5 Nm, respectively. Disc-shaped HPT specimens with a diameter of 10 mm and a height of 1.5 mm were transversely cut from the Al matrix composite ingots after HIP. The specimens were consolidated by HPT at 6 GPa and room temperature, and the rotational speeds were 0.5, 2, and 5 rpm (HPT 0.5, 2, and 5 N), respectively. The preparation process of the composites is shown in Figure 1.

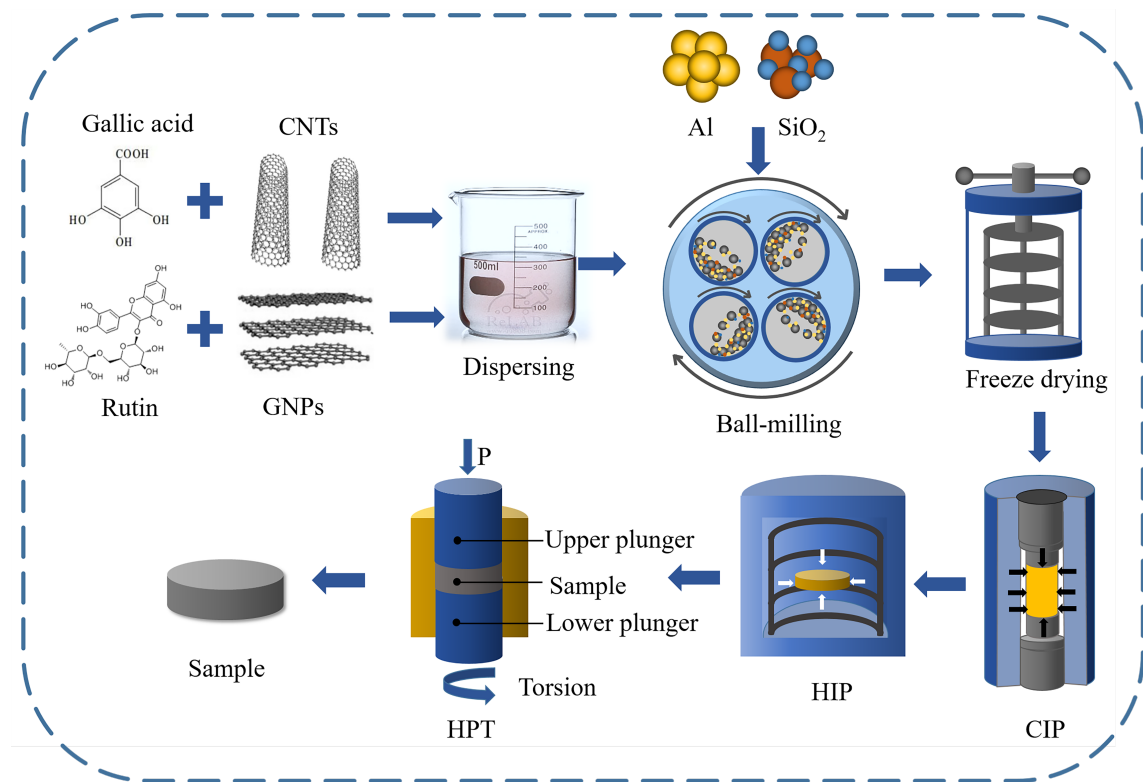


Figure 1. Schematic of the preparation of Al-Si-Al<sub>2</sub>O<sub>3</sub>-nano-C composites

## 2.2 Characterisation

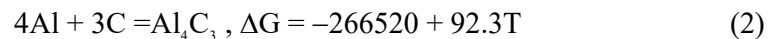
Phases of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-nano-C composites during different HPT cycles were analysed by X-ray diffraction (XRD, Panalytical X'Pert Pro-MPD). Microstructures and chemical

compositions of the composites were studied by scanning electron microscopy (SEM, JEOL JSM-7001F). Grain orientations, grain sizes, and misorientation angles of the grain boundaries of the composites were examined by electron backscattering diffraction (EBSD, Oxford NordlysMax3). Microstructures of the HPT-treated Al matrix composites were further studied by transmission electron microscopy (TEM, JEOL JM-2100F). Resistivities of the composites were evaluated by a conductivity tester (Foerster Sigmatest 2.069). A microhardness tester (HXD-1000TM, Shanghai Optical Instrument Co., Ltd) was employed to measure the hardness of the HPT-treated composites at different positions of the cross section.

### 3 Results

#### 3.1 Material phase analysis

Figure 2 shows the XRD patterns of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composite achieved after different HPT cycles. The composite is mainly composed of an Al-Si matrix and small amounts of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> and Al<sub>4</sub>C<sub>3</sub>. There was no new phase in the composite after HPT treatment, only the peak strength changed. No diffraction peaks of CNTs were detected because C is a light element and is present in low concentration. The diffraction peak of SiO<sub>2</sub> was also not observed, indicating that the in situ reaction between SiO<sub>2</sub> and Al powders was completed. Moreover, a small amount of Al<sub>4</sub>C<sub>3</sub> was found in the composite. The transition-state C on the surface of CNTs reacted with the Al matrix during HIP [29] as follows:



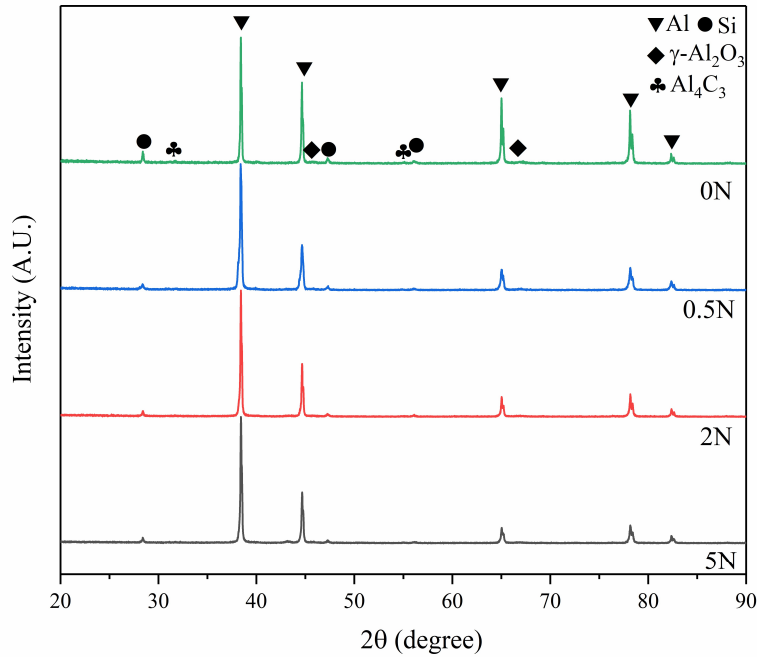


Figure 2. XRD patterns of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites obtained after different cycles of HPT

### 3.2 Microstructure and interface analysis

SEM images of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites are shown in Figure 3. The Al-Si alloy matrix shows a grey contrast. Due to the high content of C at point 2, it was concluded that the pits were generated by the falling off of the CNT aggregates during polishing. The sizes of the pits decreased with an increase in the number of HPT cycles, which implied that the agglomeration of CNTs decreased. This was because the shear strain and turbulence produced by HPT broke the CNT aggregates and improved the dispersion of CNTs in the matrix. The existence of local turbulence has been demonstrated in duplex stainless steel [30, 31] and Al matrix composites [32] fabricated by HPT. Furthermore, the white particles at point 3 were determined to be Al<sub>2</sub>O<sub>3</sub> according to the EDS results.

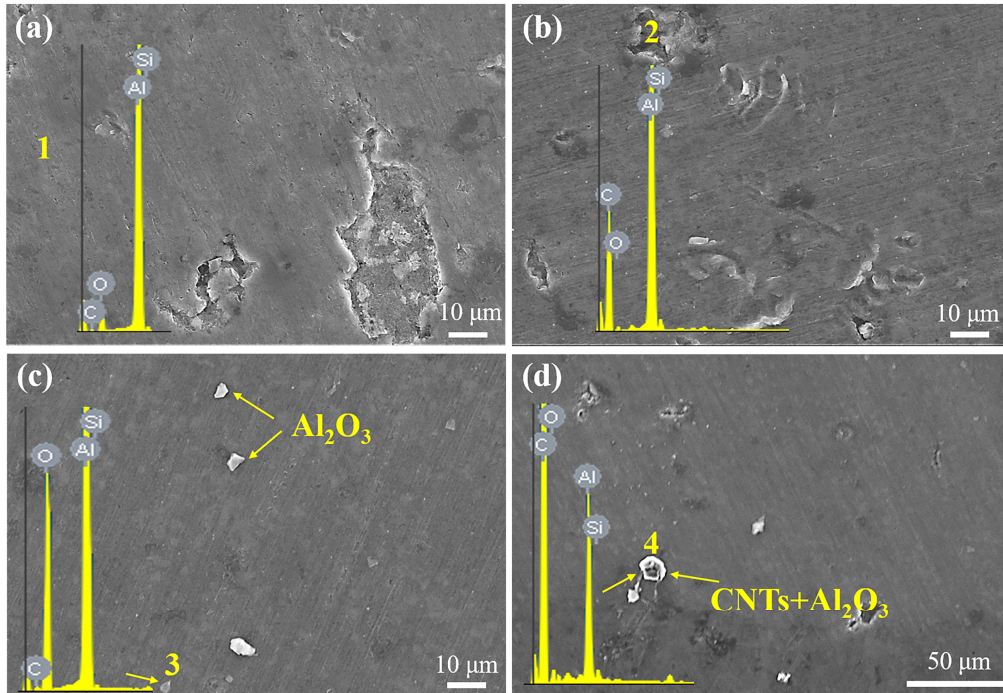


Figure 3. SEM and EDS images of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites obtained after different cycles of HPT: (a) 0, (b) 0.5, (c) 2, and (d) 5 N

Figure 4 shows the EBSD results obtained for the edge position of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite processed by HPT 5 N. The matrix has an ultrafine equiaxed grain structure with uniform grain size (Figure 4(a)). Figure 4(b) indicates that phase 1 comprises Al<sub>2</sub>O<sub>3</sub> particles. There are white fragments on the matrix, and their distribution location is consistent with that of phase 2 in Figure 4(a). Residual stress in the black phase 2 is large, and stress is concentrated. According to the morphology and composition analysis, phase 2 is composed of nano-C, which are distributed along the grain boundary of the matrix.

Grain orientation of the composite was random (Figure 4(c)). There was no clear preferred orientation, and the microstructure distribution was uniform. The sizes of different grains (>2°) were calculated (Figure 4(d)), and the average grain size of the composite was 0.6 μm, which was smaller than those of the Al matrix composites prepared by other methods [22, 33, 34]. Therefore, the composite fabricated herein exhibited significant grain refinement



after HPT. Figure 4(e) shows the distribution of small-angle grain boundaries (LAGBs) and large-angle grain boundaries (HAGBs). LAGBs were distributed around the HAGBs. Figure 4(f) depicts a histogram of the grain boundaries, where the LAGBs account for 42.7%. The presence of a large number of LAGBs can improve the toughness of the composites because the difference between the orientations of adjacent grains is small, which can reduce the resistance of dislocation motion, and dislocations can more easily pass through the grains [35].

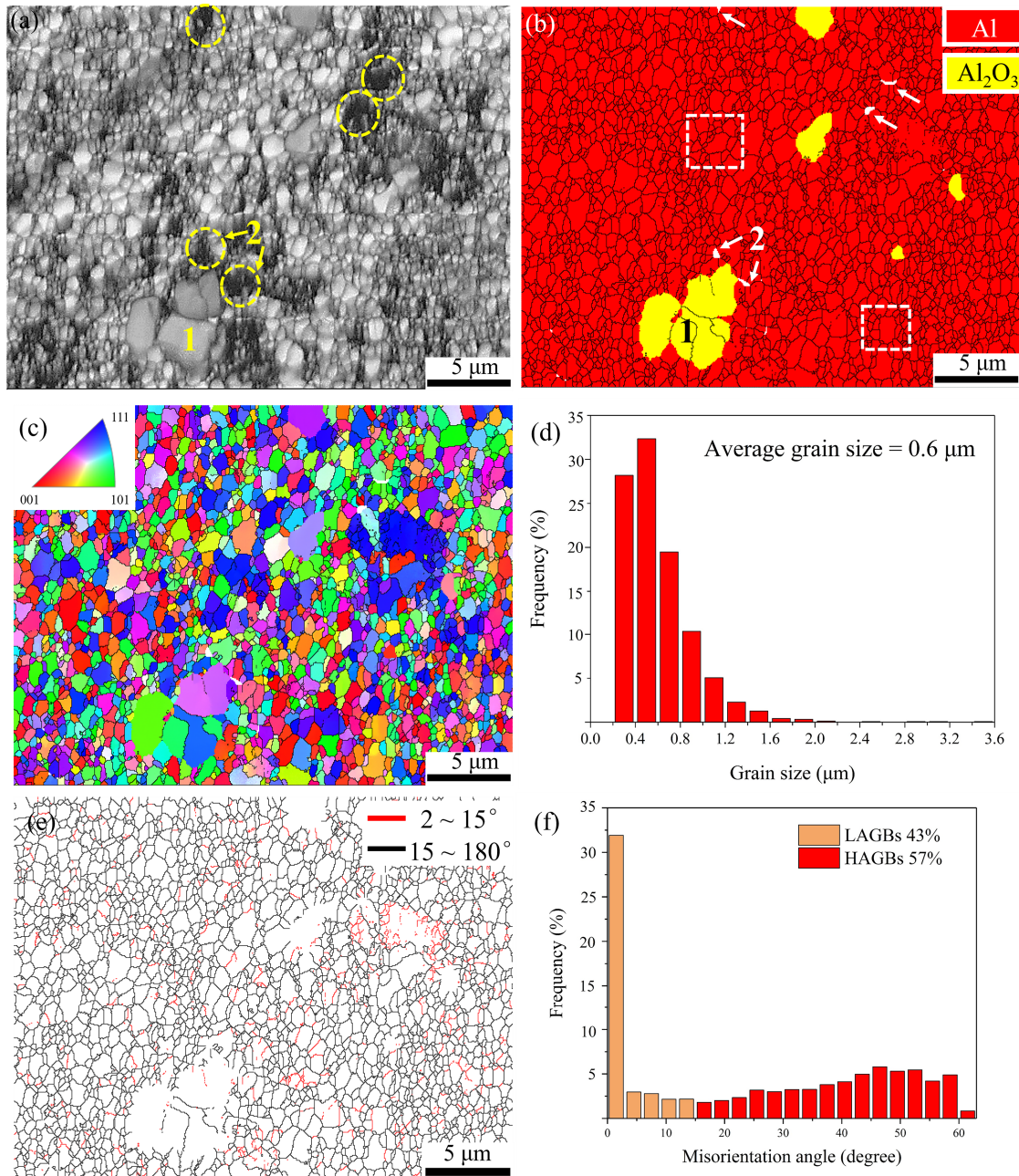


Figure 4. EBSD results achieved for the edge position of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite treated by HPT 5 N, (a) band contrast image, (b) phase hue image, (c) inverse pole figure, (d) histogram of grain size, (e) grain boundary image, and (f) histogram of the misorientation angles of grain boundaries

Figure 5 shows the TEM images of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite treated by HPT 5 N. Al<sub>2</sub>O<sub>3</sub> is distributed at the grain boundary of Al (Figure 5(a)). Figure 5(b) shows a block-shaped particle of approximately 1 μm in size. Indexing of the SAED pattern confirmed that this particle was a single-crystal γ-Al<sub>2</sub>O<sub>3</sub> particle formed in situ reaction. To characterise the structure of the interface between Al<sub>2</sub>O<sub>3</sub> particles and Al matrix, a magnified image of the boxed area in Figure 5(b) is shown in Figure 5(c). The interface was rather clean without any voids or inclusions, indicating that the in situ method improved the interfacial adhesion between Al<sub>2</sub>O<sub>3</sub> particles and Al matrix.

Large number of interlaced fibrous substances can be observed in Figure 5(d). Figure 5(e) depicts a magnified image of the block area shown in Figure 5(d), and the EDS results demonstrate that the fibrous material is Al<sub>2</sub>O<sub>3</sub>. The length and diameter of the fibres were approximately 200 and 15 nm, respectively, which indicate that the fibre was an Al<sub>2</sub>O<sub>3</sub> whisker. Qu et al. [36] synthesised Al matrix composites reinforced with in situ Al<sub>2</sub>O<sub>3</sub> whiskers. The whisker diameter was approximately 20 nm, and the aspect ratio was 10-15, which are similar to the results reported herein. As shown in Figure 5(d) and (e), the surface of the Al<sub>2</sub>O<sub>3</sub> whisker is smooth without bending and breakage, which suggests that HPT does not break the structural integrity of the whisker. Figure 5(f) shows a high-resolution image of the boxed area depicted in Figure 5(e), indicating that the interface between Al<sub>2</sub>O<sub>3</sub> and Al matrix is narrow and clean, proving the superiority of the in situ reinforcements.

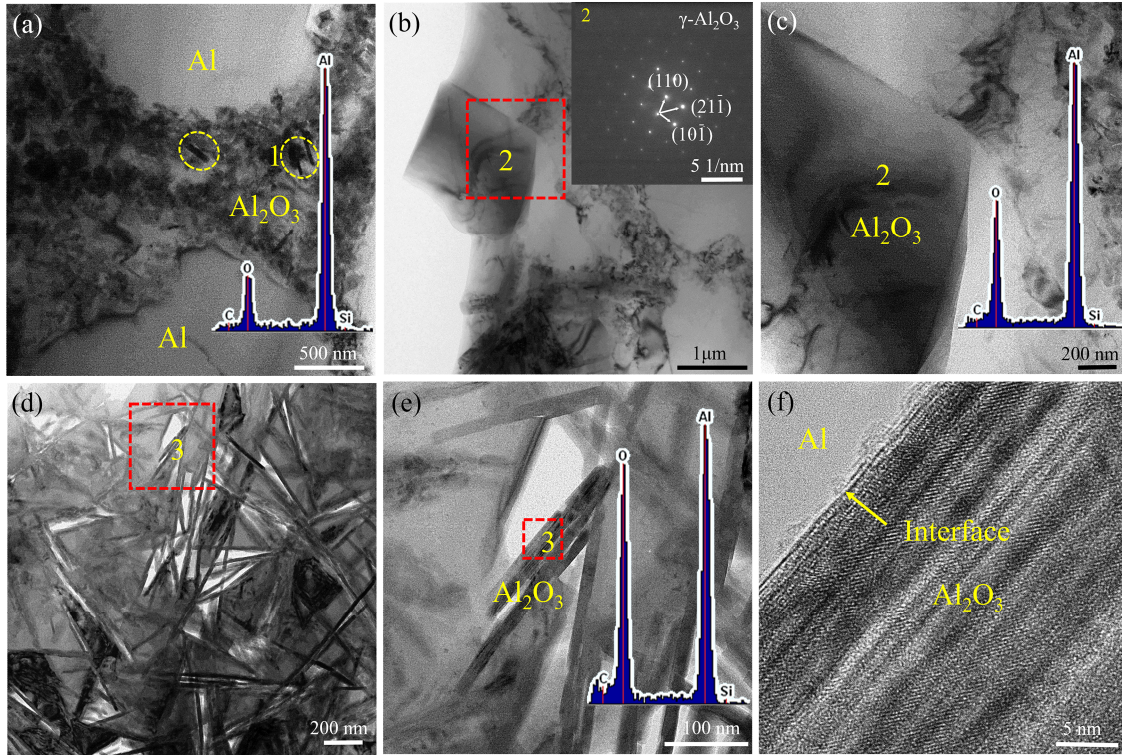


Figure 5. TEM images of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite treated by HPT

5 N

Figure 6 shows the distribution and microstructure of CNTs in the composite processed by HPT 5 N. Large number of nano-C aggregates are distributed at the grain boundaries of the Al matrix (Figure 6(a)). EDS results show that the light grey phase is mainly nano-C (Figure 6(b)). Figure 6(c) presents that CNTs have a root-like structure, which is translucent, with flake GNPs attached to the surface of CNTs. SAED pattern further proves that the phase is CNTs (Figure 6(d)). Because of the agglomeration of the CNTs and GNPs, the diffraction pattern shows concentric rings. Figure 6(e) shows a high-resolution TEM image. The planar spacing of the lattice fringe of  $\{111\}$ Al was 0.23 nm, and the layer spacing of CNTs was 0.35 nm, which was consistent with the findings of previous studies [26, 37, 38]. The interface between the CNTs and the matrix was narrow, with close bonding and no brittle phase.

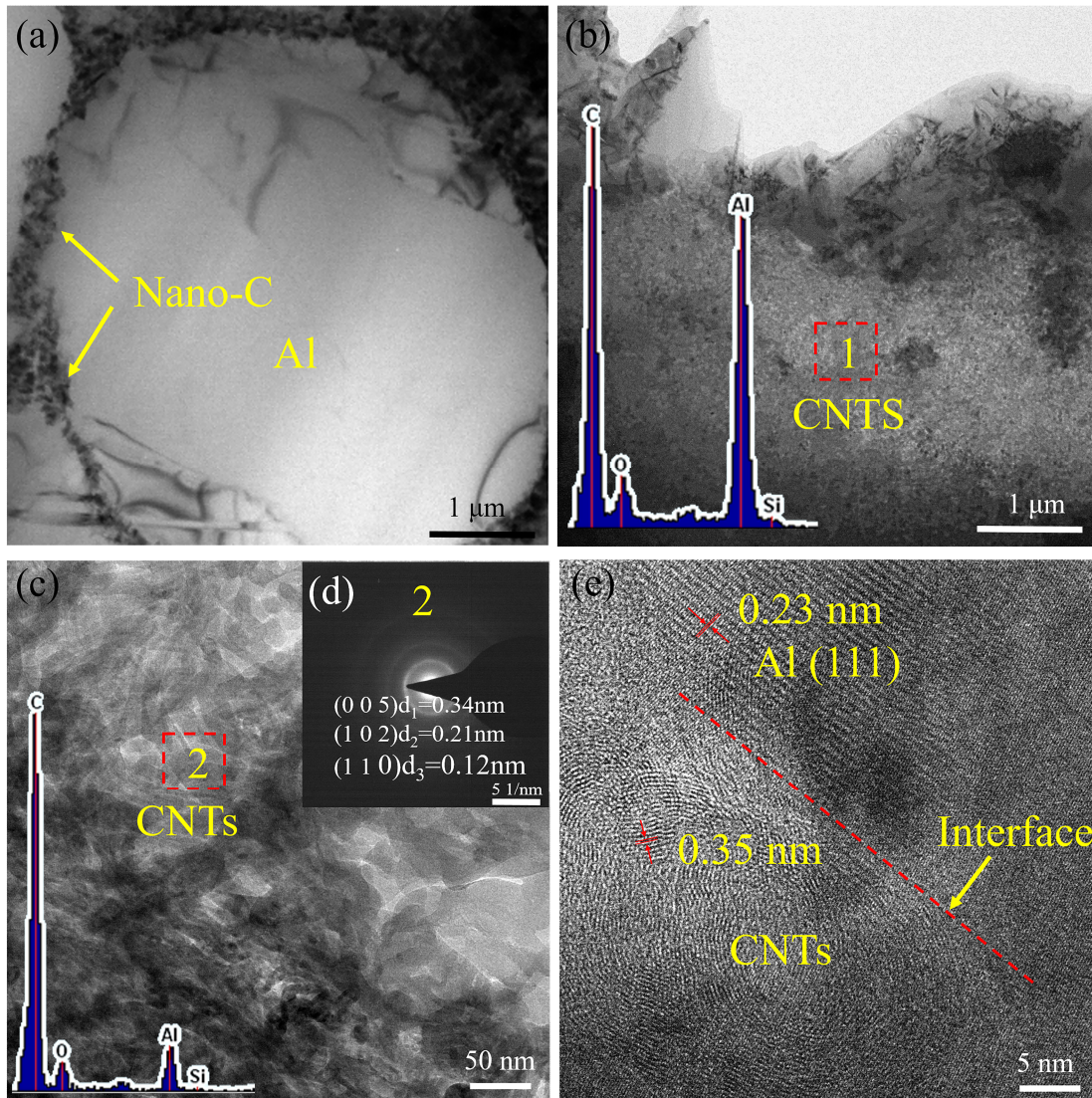


Figure 6. TEM images of nano-C in the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite treated by HPT 5 N

### 3.3 Analysis of the mechanical and electrical properties

Figure 7 shows the evolution of the resistivity of composites with different HPT cycles. The resistivity of the composites decreased with an increase in the number of HPT cycles. After HPT, the wettability between nano-C and Al significantly improved, and dislocations, voids, and microcracks at the grain boundary of the composite substantially reduced, the density

increased, and the path of electronic circulation became smoother. Moreover, the uniform structure reduced the electron scattering effect, lengthened the average free path of electrons, and improved the conductivity. The resistivity of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites was the highest, whereas that of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.5%GNP composites was the lowest, that is,  $4.18 \times 10^{-8} \Omega \text{ m}$  after HPT 5 N. The excellent electrical properties of CNTs were evident only along the axial direction. In contrast, as GNPs have a two-dimensional structure with high electron mobility, extremely low electron scattering rate, and excellent electrical properties [4].

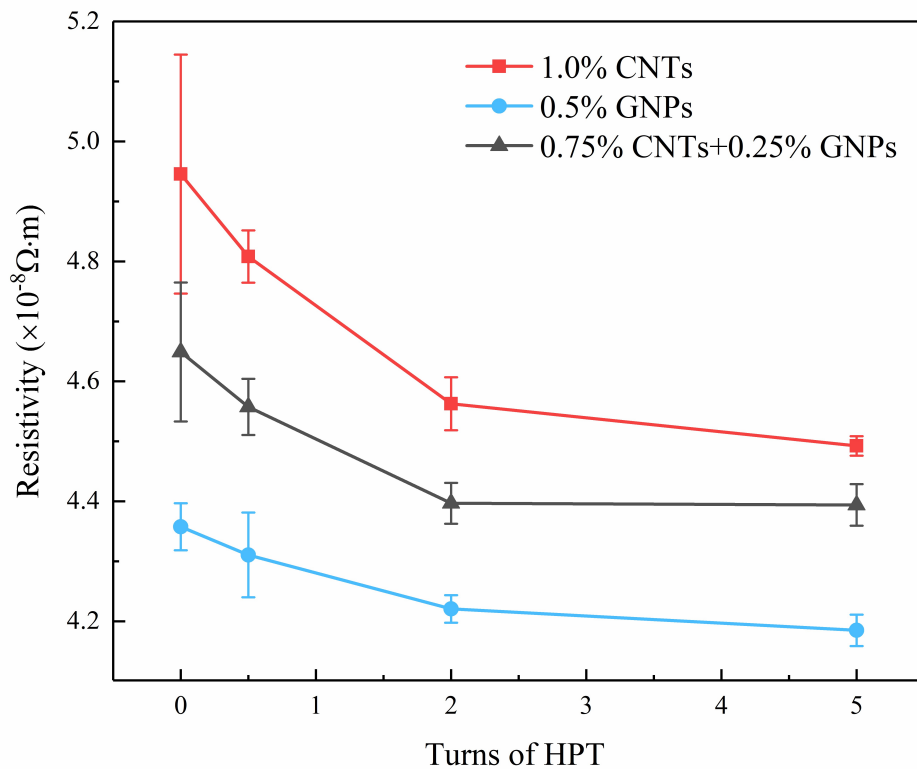


Figure 7. Variation in the resistivity of the composites with different HPT cycles

Figure 8 shows the microhardness distribution of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites after HPT 0 N, 0.5 N, 2 N, and 5 N. The microhardness distribution of the composite after HPT was very uneven; that is, the hardness at the edge was the largest,

whereas that at the centre was the smallest. This is similar to the findings reported in other studies [26, 39]. Because the shear strain at the centre was small during HPT and was large at the edge. The more the number of HPT cycles, the stronger the shear effect. Matrix grains were broken and recrystallized, and the grain size decreased, causing fine-grain strengthening. Simultaneously, the CNTs were more evenly dispersed in the matrix, which hindered the movement of dislocations.

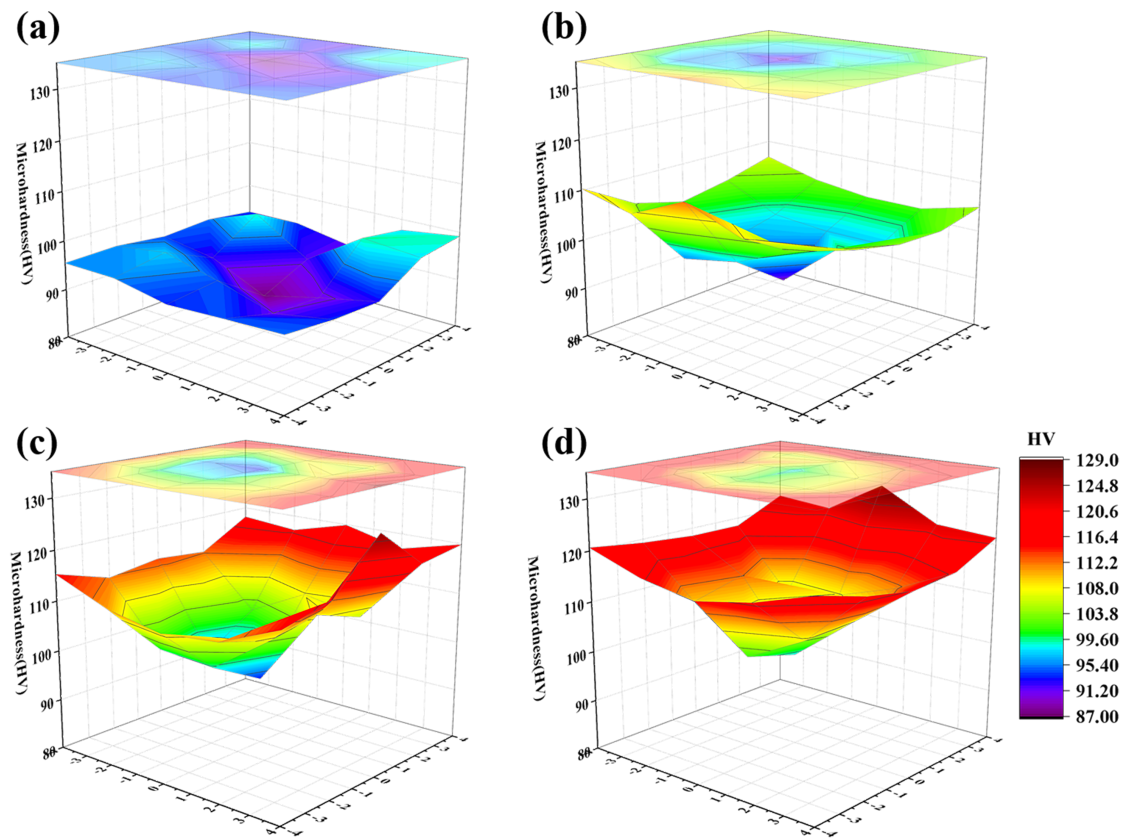


Figure 8. Microhardness of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites with variation in the distance from centre: (a) HPT 0 N, (b) HPT 0.5 N, (c) HPT 2 N, and (d) HPT 5 N

Figure 9 shows the microhardness of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composites. The difference between the hardness values of the core and the edge of the composite after HPT 5 N was still very large because nano-C acted as a lubricant and

hindered stress-strain conduction. Furthermore, the difference between the hardness values of the composite after HPT 2 N and HPT 5 N was very small. This is because a certain deformation strain level is reached during HPT, dislocation absorption and dislocation proliferation attain equilibrium, forming a stable state [40].

Additionally, average hardness values of the as-prepared composites reinforced by 1.0%CNT, 0.5%GNP, and 0.75%CNT+0.25%GNP were 94.8, 87.8, and 77.5 HV, respectively. Aqeel et al. [41] suggested that the hardness of Al-Si-0.5%CNT composite obtained by HIP was approximately 58 HV, and Yazdani et al. [42] prepared a CNT- and GNP-reinforced Al matrix composite by hot pressing sintering, and the hardness was approximately 37 HV, which were lower than that of the composites fabricated herein. The preparation method and strengthening effect of the reinforcement were better in this study.

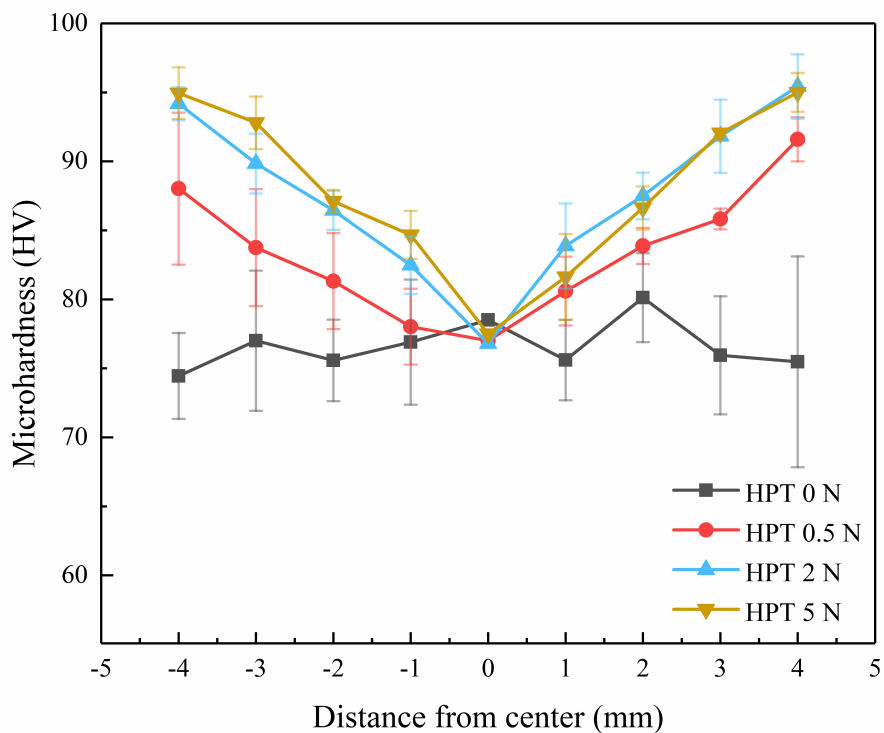




Figure 9. Microhardness of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composites as a function of the distance from centre

## 4 Discussion

### *4.1 Influence of interface characteristics on the performance of composite*

As mentioned above, the hardness of the as-prepared Al matrix composites prepared herein was higher than those of the composites reported in previous studies [41, 42], which was related to the improved interfacial wetting between the reinforcements and the matrix. The in situ-synthesised Al<sub>2</sub>O<sub>3</sub> particles and whiskers were closely bound to the matrix without any interstice or inclusions at the interface (Figure 5), which significantly increased the resistance to the initiation and propagation of cracks along the interfaces. The interface between nano-C and Al matrix was clean and free of impurities (Figure 6).

Generally, Al and nano-C easily produce Al<sub>4</sub>C<sub>3</sub> at the interface during sintering at high temperatures [29, 43]. Herein, the XRD pattern of Al<sub>4</sub>C<sub>3</sub> demonstrated the diffraction peak of Al<sub>4</sub>C<sub>3</sub>, and Shu et al. [27] also observed the formation of Al<sub>4</sub>C<sub>3</sub> on the CNTs of Al matrix composites without HPT. However, the TEM results obtained in this study indicated the absence of Al<sub>4</sub>C<sub>3</sub> at the interface between nano-C and the matrix, which was attributed to HPT. For a better understanding, a schematic of Al<sub>4</sub>C<sub>3</sub> evolution is shown in Figure 10.

A transition carbon layer was formed on the surface of the CNTs during ball milling, and the free C atoms and Al matrix diffused to form a transition layer (Figure 10(a)). During HIP, Al reacted with free C, and continuous Al<sub>4</sub>C<sub>3</sub> layers were produced on the surface of the CNTs (Figure 10(b)). The integrity of the Al<sub>4</sub>C<sub>3</sub> layer was lost owing to the large strain produced during HPT (Figure 10(c)). With an increase in the number of HPT cycles, the strain increased, and Al<sub>4</sub>C<sub>3</sub> was broken and dispersed in the matrix (Figure 10(d)). Al<sub>4</sub>C<sub>3</sub> is a

brittle phase, and HPT results in a cleaner interface, promotes interfacial bonding, and is beneficial to the mechanical properties of the composite.

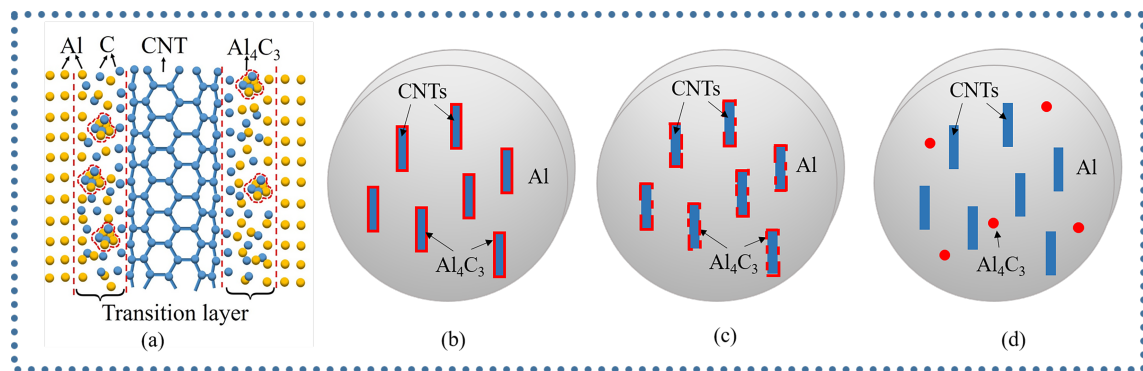


Figure 10. Schematic of interface structure and Al<sub>4</sub>C<sub>3</sub> evolution

#### 4.2 Strengthening mechanism

Hardness of the as-prepared composite was 76 HV (Figure 9), which was substantially higher than those of Al-Si alloys [44]. This can be attributed to the strengthening of the composite by the reinforcements including CNTs, GNPs, Al<sub>2</sub>O<sub>3</sub> whiskers, and Al<sub>2</sub>O<sub>3</sub> particles.

Reinforcements play the role of pinning the grain boundary migration and inhibiting the grain growth, which ensure that the grains in the composite remain fine and uniform even after high-temperature sintering [45].

After HPT, an increase as high as 20 HV was achieved in the hardness of the edge of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite. During large plastic deformation by HPT, the grains of the composite were further refined. Because of the impingement effect of reinforcements on dislocation migration and the formation of dislocation walls, causing a small difference in the orientation of grains, which promotes the formation of subgrain boundaries. The migration of subgrain boundaries and the rotation of subgrains increase the difference in the orientation of grains, which facilitates the transformation of subgrain boundaries to LAGBs and then to HAGBs, and their evolution into grain boundaries, which

subdivide coarse grains into ultrafine grains [26, 32, 37, 46]. Figure 11 shows a schematic of the grain refinement during HPT.

When a certain deformation strain level is reached during HPT, a balance between dislocation generation and dislocation annihilation (dynamic recovery) is achieved, and the hardness no longer increase [40, 47]. The hardness attained an equilibrium state in Figure 9. Therefore, the entire large plastic deformation process can be divided into three stages: strain hardening dominated by dislocation proliferation, fine grain strengthening caused by the formation and evolution of subgrain boundaries, and attainment of a stable state of equilibrium between dislocation proliferation and absorption.

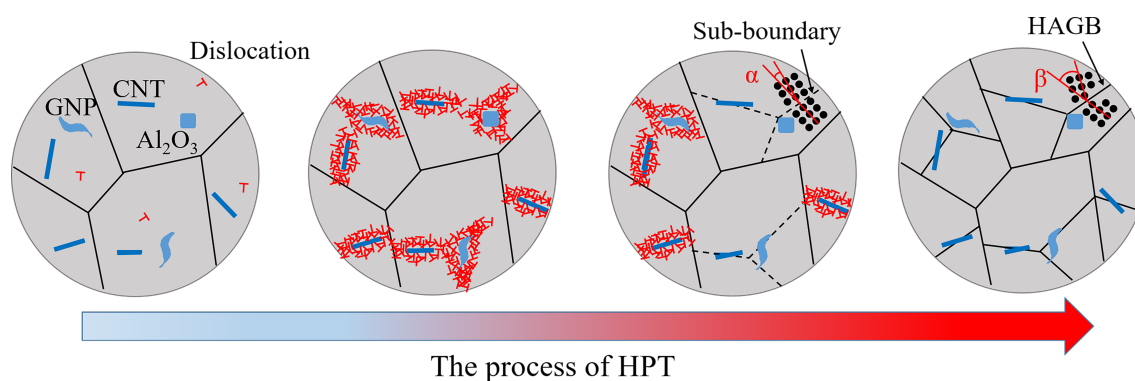


Figure 11. Schematic of grain refinement during HPT

$\text{Al}_2\text{O}_3$  particles hinder the movement of dislocations, and the dislocations cannot pass through the strong  $\text{Al}_2\text{O}_3$  particles and only bend around the particles, leaving the dislocation ring, thus improving hardness of the material. CNTs can also play a role in dispersion strengthening. Chen et al. [48] reported that the reaction between CNTs and dislocations was related to their respective lengths. When the length of CNTs is less than that of the dislocation, dislocations bypass the CNTs and leave the dislocation loops. Because GNPs are two-dimensional materials, their dispersion strengthening can be ignored.

For fibre reinforcements, load transfer is an essential strengthening mechanism [49]. The  $\text{Al}_2\text{O}_3$  whiskers, CNTs, and GNPs employed herein are all fibre reinforcements. The

shear lag model demonstrates that the interface bonding must be sufficiently strong to transfer the load from the matrix to the fibre through interfacial shear stress along the fibre surface during plastic deformation [50, 51]. Herein, the in situ-synthesised  $\text{Al}_2\text{O}_3$  whiskers were closely bonded to the Al matrix. HPT broke the  $\text{Al}_4\text{C}_3$  brittle phase produced on the surface of the CNTs and dispersed it, resulting in a clean interface between the CNTs and the matrix.

## 5 Conclusion

In this study, Al matrix composites reinforced with CNTs and GNPs at different concentrations were fabricated by powder metallurgy, and the dispersion of the reinforcements and grain refinement were improved by HPT. The results obtained herein are as follows:

- (1) The higher the number of HPT cycles, the less the aggregation of nano-C. Average grain size of the HPT-treated Al-Si- $\text{Al}_2\text{O}_3$ -0.75%CNT+0.25%GNP composites was 0.60  $\mu\text{m}$ , and the grain orientation was randomly distributed.
- (2) In situ  $\text{Al}_2\text{O}_3$  particles and whiskers were simultaneously generated, and the grain size of the particles was approximately 1  $\mu\text{m}$ . The interface between  $\text{Al}_2\text{O}_3$  and matrix was compact. The interface between the CNTs and the matrix was narrow and had no brittle phase.
- (3) Hardness values of the as-prepared Al matrix composites reinforced by 1.0%CNT, 0.5%GNP, and 0.75%CNT+0.25%GNPs were 94.8, 87.8, and 77.5 HV, respectively. With an increase in the number of HPT cycles, the microhardness and electrical conductivity of the composites increased. Hardness of the HPT-treated Al-Si- $\text{Al}_2\text{O}_3$ -0.75%CNT+0.25%GNP composite remained stable.
- (4) Large plastic deformation of the composites was divided into three stages: strain hardening dominated by dislocation proliferation, fine grain strengthening caused by

the formation and evolution of subgrain boundaries, and attainment of a stable state of equilibrium between dislocation proliferation and absorption. The strengthening mechanism of the composite was primarily fine-grain strengthening.

#### Acknowledgements

This work was supported by the Key Laboratory of Infrared Imaging Materials and Detectors, Shanghai Institute of Technical Physics, Chinese Academy of Sciences (No. IIMDKFJJ-19-08), R&D Projects Funding from the Research Council of Norway (No. 263875/H30), and the China Postdoctoral Science Foundation (Nos. 2015M570794 and 2018T110993).

#### Declaration of interest statement

There are no conflicts of interest.

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Figure captions

Figure 1. Schematic of the preparation of Al-Si-Al<sub>2</sub>O<sub>3</sub>-nano-C composites

Figure 2. XRD patterns of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites obtained after different cycles of HPT

Figure 3. SEM and EDS images of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites obtained after different cycles of HPT: (a) 0, (b) 0.5, (c) 2, and (d) 5 N

Figure 4. EBSD results achieved for the edge position of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite treated by HPT 5 N, (a) band contrast image, (b) phase hue image, (c) inverse pole figure, (d) histogram of grain size, (e) grain boundary image, and (f) histogram of the misorientation angles of grain boundaries

Figure 5. TEM images of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite treated by HPT 5 N

Figure 6. TEM images of nano-C in the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composite treated by HPT 5 N

Figure 7. Variation in the resistivity of the composites with different HPT cycles

Figure 8. Microhardness of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-1.0%CNT composites with variation in the distance from centre: (a) HPT 0 N, (b) HPT 0.5 N, (c) HPT 2 N, and (d) HPT 5 N

Figure 9. Microhardness of the Al-Si-Al<sub>2</sub>O<sub>3</sub>-0.75%CNT+0.25%GNP composites as a function of the distance from centre

Figure 10. Schematic of interface structure and Al<sub>4</sub>C<sub>3</sub> evolution

Figure 11. Schematic of grain refinement during HPT