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Traveling waves for the nonlinear variational wave equation

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Abstract

We study traveling wave solutions of the nonlinear variational wave equation. In particular, we show how to obtain global, bounded, weak traveling wave solutions from local, classical ones. The resulting waves consist of monotone and constant segments, glued together at points where at least one one-sided derivative is unbounded. Applying the method of proof to the Camassa–Holm equation, we recover some well-known results on its traveling wave solutions.

Keywords Nonlinear variational wave equation · Traveling waves · Composite waves

Mathematics Subject Classification Primary 35C07 · 35L70; Secondary 35B60

1 Introduction

We consider the nonlinear variational wave (NVW) equation

$$u_{tt} - c(u)(c(u)u_x)_x = 0, (1.1)$$

with initial data

$$u|_{t=0} = u_0$$
 and $u_t|_{t=0} = u_1$. (1.2)

Here, u = u(t, x) where $t \ge 0$ and $x \in \mathbb{R}$.

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The NVW equation was introduced by Saxton in [17], where it is derived by applying the variational principle to the functional

$$\int_0^\infty \int_{-\infty}^\infty (u_t^2 - c^2(u)u_x^2) \, dx \, dt$$

The equation appears in the study of liquid crystals, where it describes the director field of a nematic liquid crystal, and where the function c is given by

$$c^{2}(u) = \lambda_{1} \sin^{2}(u) + \lambda_{2} \cos^{2}(u),$$
 (1.3)

where λ_1 and λ_2 are positive physical constants. We refer to [14,17] for information about liquid crystals, and the derivation of the equation.

It is well known that derivatives of solutions of the NVW equation can develop singularities in finite time even for smooth initial data, see [8]. A singularity means that either u_x or u_t becomes unbounded pointwise while u remains continuous. The continuation past singularities is highly nontrivial, and allows for various distinct solutions. The most common way of continuing the solution is to require that the energy is non-increasing, which naturally leads to the following two notions of solutions: Dissipative solutions for which the energy is decreasing in time, see [1,18–20], and conservative solutions for which the energy is constant in time. In the latter case a semigroup of solutions has been constructed in [2,12].

We are interested in traveling wave solutions of (1.1) with wave speed $s \in \mathbb{R}$, i.e., solutions of the form u(t, x) = w(x - st) for some bounded and continuous function w.

A bounded traveling wave was constructed in [8], corresponding to the function c given in (1.3). The constructed wave is a weak solution, which is continuous and piecewise smooth. In particular, the smooth parts are monotone and at their endpoints cusp singularities might turn up. By the latter we mean points where the derivative is unbounded while the solution itself is bounded and continuous.

In this paper we consider local, classical traveling wave solutions of (1.1), i.e., solutions of the form u(t, x) = w(x - st), where $w \in C^2(I)$ for some interval I and solves (2.3), and study whether these can be glued together to produce globally bounded traveling waves. The approach we use is similar to the derivation of the Rankine–Hugoniot condition for hyperbolic conservation laws, see e.g. [13] and hence requires a minimal positive distance between any two gluing points.

We assume that the function *c* belongs to $C^2(\mathbb{R})$ and that there exists $0 < \alpha < \beta < \infty$, such that

$$\alpha = \min_{u \in \mathbb{R}} c(u) \quad \text{and} \quad \beta = \max_{u \in \mathbb{R}} c(u). \tag{1.4}$$

Moreover, we assume that

$$\max_{u \in \mathbb{R}} |c'(u)| \le K_1 \quad \text{and} \quad \max_{u \in \mathbb{R}} |c''(u)| \le K_2$$
(1.5)

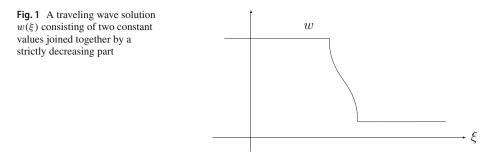
for positive constants K_1 and K_2 .

The following theorem is our main result, and will be proved in the next section.

Theorem 1.1 Let $c \in C^2(\mathbb{R})$ such that α and β defined in (1.4) satisfy $0 < \alpha < \beta < \infty$. Consider the continuous function $w : \mathbb{R} \mapsto \mathbb{R}$ composed of local, classical traveling wave solutions of (1.1) with wave speed $s \in \mathbb{R}$. If w is a global traveling wave to (1.1), then the following holds:

If $|s| \notin [\alpha, \beta]$, then w is a monotone, classical solution, which is globally unbounded.

If $|s| \in (\alpha, \beta)$, two local, classical traveling wave solutions can only be glued together at points ξ such that $|s| = c(w(\xi))$ and we have the following three possibilities:



- 1. If for some ξ , $|s| \neq c(w(\xi))$ and c has a local maximum or minimum at $w(\xi)$, then the wave w is a monotone, classical solution near ξ , which has an inflection point at ξ .
- 2. If for some ξ , $|s| = c(w(\xi))$ and $c'(w(\xi)) \neq 0$, then the wave w is either constant or has a singularity at ξ , meaning that the derivative is unbounded at ξ while w is continuous. Near the singularity, the wave is a monotone, classical solution on both sides of ξ . The following scenarios are possible:
 - (i) The derivative has the same sign (nonzero) on both sides of ξ, and the wave has an inflection point at ξ.
 - (ii) The derivative has opposite sign (nonzero) on each side of ξ. Then, the wave is either convex or concave on both sides, and the singularity is a cusp.
 - (iii) The wave can be constant on one side of the singularity and strictly monotone on the other side.
- 3. If for some ξ , $|s| = c(w(\xi))$ and $c'(w(\xi)) = 0$, then the wave w is constant.

For $|s| \in [\alpha, \beta]$, a weak bounded traveling wave solution of (1.1) can be constructed.

We observe that case 2 of Theorem 1.1 allows for globally bounded waves w. Excluding the trivial case of w constant on the whole real line, we then see that the wave consists of increasing, constant, and decreasing parts, and that it has at least two singularities. The simplest nontrivial traveling wave consists of two constant values joined together by a monotone segment, which has two singularities, see Fig. 1. This requires that c(u) satisfies $c^2(u) = s^2$ for at least two values of u and is illustrated in the following example.

Let $s \in \mathbb{R}$ such that $s^2 > 1$. Consider the periodic function

$$c(u) = \sqrt{\sin(u) + s^2},\tag{1.6}$$

which belongs to $C^2(\mathbb{R})$ and satisfies

$$0 < \sqrt{s^2 - 1} \le c(u) \le \sqrt{s^2 + 1}$$
 for all $u \in \mathbb{R}$.

According to Theorem 1.1, possible gluing points can be identified by finding all points such that $c^2(u) = s^2$, which holds if and only if $u = n\pi$ ($n \in \mathbb{Z}$). Direct calculations yield that

$$c'(u) = \frac{\cos(u)}{2c(u)} = \pm \frac{1}{2|s|} \neq 0 \text{ for all } u = n\pi \quad (n \in \mathbb{Z}).$$

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Thus Theorem 1.1, case 2 states that it is possible to construct a global traveling wave solution u(t, x) = w(x - st) of the form

$$w(\xi) = \begin{cases} \pi, & \xi \le -\bar{\xi}, \\ \tilde{w}(\xi), & -\bar{\xi} \le \xi \le \bar{\xi}, \\ 0, & \bar{\xi} < \xi, \end{cases}$$
(1.7)

if there exists a local, classical traveling wave solution \tilde{w} connecting 0 and π . That such a function \tilde{w} exists will be shown next. Let $k \in \mathbb{R} \setminus \{0\}$ and assume that $\tilde{w}(0) = \frac{\pi}{2}$, then, cf. (2.3), \tilde{w} must be a local solution to

$$\tilde{w}_{\xi}(\xi) = -\frac{\sqrt{|k|}}{\sqrt{|s^2 - c^2(\tilde{w}(\xi))|}} = -\frac{\sqrt{|k|}}{\sqrt{\sin(\tilde{w}(\xi))}}.$$
(1.8)

Furthermore, the differential equation implies that $\tilde{w}_{\xi}(\xi) = \tilde{w}_{\xi}(-\xi)$ for all ξ and hence $\tilde{w}(\xi)$ has an inflection point at $\xi = 0$. This is as expected by Theorem 1.1, case 1, since c(u) attains a local maximum at $u = \frac{\pi}{2} = \tilde{w}(0)$. Moreover, one has

$$\tilde{w}(\xi) - \tilde{w}(0) = -(\tilde{w}(-\xi) - \tilde{w}(0)).$$
(1.9)

Instead of computing the exact solution to (1.8), we show that there exists $\bar{\xi} > 0$ dependent on |k| such that

$$\tilde{w}(-\bar{\xi}) = \pi$$
 and $\tilde{w}(\bar{\xi}) = 0$.

Due to (1.9) it suffices to show that there exists $\bar{\xi} > 0$ such that $\tilde{w}(\bar{\xi}) = 0$. From (1.8), we get

$$-\int_{0}^{\frac{\pi}{2}} \sqrt{\sin(x)} dx = \int_{0}^{\bar{\xi}} \sqrt{\sin(\tilde{w}(l))} w_{\xi}(l) dl = -\sqrt{|k|} \bar{\xi} < 0.$$
(1.10)

Since sin(x) is positive on $[0, \pi]$, one has

$$\sin(x) \le \sqrt{\sin(x)} \le 1$$
 for all $x \in [0, \pi]$,

which implies

$$-\frac{\pi}{2} = -\int_0^{\frac{\pi}{2}} dx \le -\int_0^{\frac{\pi}{2}} \sqrt{\sin(x)} dx \le -\int_0^{\frac{\pi}{2}} \sin(x) dx = -1.$$
(1.11)

Combining (1.10) and (1.11), one ends up with

$$\frac{1}{\sqrt{|k|}} \le \bar{\xi} \le \frac{\pi}{2\sqrt{|k|}}$$

which proves the existence of a local, classical traveling wave \tilde{w} connection 0 and π .

In Sect. 3, we consider the Camassa-Holm (CH) equation

$$u_t - u_{txx} + 3uu_x - 2u_x u_{xx} - uu_{xxx} = 0, (1.12)$$

which was introduced in [5]. The CH equation has been studied intensively within the last three decades. There are too many interesting results to mention here, and we refer to [3-7,9-11] and the citations therein for more information. We point out that the peakon solution, which was already observed in [5], is a weak traveling wave solution of (1.12). This is in contrast to the NVW equation, where there are no known non-constant explicit weak

solutions. Moreover, like the NVW equation, singularity formation in the derivatives of solutions to (1.12) may occur, see [7].

In [15], Lenells derives criteria for gluing together local, classical traveling wave solutions of (1.12) to obtain global, bounded traveling waves, see also [16]. By doing so, all weak, bounded traveling wave solutions of the CH equation are classified. Some of these traveling waves have discontinuous derivatives, such as peakons, cuspons, stumpons, and composite waves. These waves have, except for the peakons, singularities in their derivatives.

We apply the aforementioned method to the CH equation and reproduce the criteria derived by Lenells.

2 Proof of Theorem 1.1

Let $\xi = x - st$ and denote the derivative of w with respect to ξ by w_{ξ} . Assume for the moment that $w \in C^2(\mathbb{R})$. Inserting the derivatives of w into (1.1) yields

$$[s^{2} - c^{2}(w)]w_{\xi\xi} - c(w)c'(w)w_{\xi}^{2} = 0.$$
(2.1)

Observe that (2.1) is satisfied at all points ξ such that $|s| = c(w(\xi))$, at which either $w_{\xi}(\xi) = 0$, leading to constant solutions, or $c'(w(\xi)) = 0$. We multiply (2.1) by $2w_{\xi}$ and get

$$\frac{d}{d\xi} \left(w_{\xi}^2 \left[s^2 - c^2(w) \right] \right) = 0$$

Integration leads to

$$w_{\xi}^{2}[s^{2} - c^{2}(w)] = k \tag{2.2}$$

for some integration constant k. Observe that we derived (2.2) assuming that $w \in C^2(\mathbb{R})$, but for (2.2) to make sense it suffices that w is in $C^1(\mathbb{R})$.

We say that *u* is a local, classical traveling wave solution of (1.1) if u(t, x) = w(x - st), for some *w* in $C^2(I)$, where *I* denotes some interval, and satisfies (2.1).

If $|s| \notin [\alpha, \beta]$, then $|s| \neq c(w(\xi))$ for all ξ and we have

$$w_{\xi}(\xi) = \pm \frac{\sqrt{|k|}}{\sqrt{|s^2 - c^2(w(\xi))|}}.$$
(2.3)

The right-hand side of (2.3) is Lipschitz continuous with respect to w and there exists a unique local solution w which is continuously differentiable and monotone. For these solutions we see from (2.3) that the derivatives are bounded. In particular, the solutions are bounded locally, but not globally.

In the case $|s| \in [\alpha, \beta]$, Lipschitz continuity fails, and the standard existence and uniqueness result for ordinary differential equations does not apply. In this case we show, under some specific conditions, that if there is a local solution, it is Hölder continuous. Let w be a bounded and strictly monotone solution of (2.3) on an interval $[\xi_0, \xi_1]$ such that $c(w(\xi_0)) \neq |s|$, $c(w(\xi_1)) \neq |s|$, and $|s| = c(w(\eta))$ for some $\eta \in (\xi_0, \xi_1)$. Then, by assumption, the derivative w_{ξ} is bounded at ξ_0 and ξ_1 . We claim that the solution is Hölder continuous on $[\xi_0, \xi_1]$ if $c'(w(\eta)) \neq 0$. From (2.3) and a change of variables we get

$$\int_{\xi_0}^{\xi_1} w_{\xi}^2(\xi) \, d\xi = \sqrt{|k|} \left| \int_{w(\xi_0)}^{w(\xi_1)} \frac{1}{\sqrt{|s^2 - c^2(z)|}} \, dz \right|,\tag{2.4}$$

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and $c(w(\eta)) = |s|$ yields

$$\int_{\xi_0}^{\xi_1} w_{\xi}^2(\xi) \, d\xi = \sqrt{|k|} \left| \int_{w(\xi_0)}^{w(\xi_1)} \frac{1}{\sqrt{|c^2(w(\eta)) - c^2(z)|}} \, dz \right|$$

The integrand is finite everywhere except at $z = w(\eta)$. For z near $w(\eta)$ we replace c(z) by its Taylor approximation and get

$$\begin{aligned} |c^{2}(w(\eta)) - c^{2}(z)| &= |c(w(\eta)) + c(z)| \cdot |c(w(\eta)) - c(z)| \\ &\geq 2\alpha |c(w(\eta)) - c(z)| = 2\alpha |c'(w(\eta))(z - w(\eta)) + \frac{1}{2}c''(p)(z - w(\eta))^{2}|, \end{aligned}$$

for some p between z and $w(\eta)$. The expression

$$\left|c'(w(\eta))(z-w(\eta)) + \frac{1}{2}c''(p)(z-w(\eta))^2\right|^{-\frac{1}{2}}$$

is integrable if $c'(w(\eta)) \neq 0$ and not integrable if $c'(w(\eta)) = 0$. Therefore, the integral $\int_{\xi_0}^{\xi_1} w_{\xi}^2(\xi) d\xi$ is finite if for all $\xi \in (\xi_0, \xi_1)$ such that $|s| = c(w(\xi))$, we have $c'(w(\xi)) \neq 0$. In particular, by the Cauchy–Schwarz inequality we have

$$|w(\xi_1) - w(\xi_0)| \le \|w_{\xi}\|_{L^2([\xi_0,\xi_1])} |\xi_1 - \xi_0|^{\frac{1}{2}}$$

and w is Hölder continuous with exponent $\frac{1}{2}$. This continuity will be important later in the text when we discuss which traveling waves can be glued together.

We illustrate the above result with an example.

Example 2.1 Let $A = \frac{\beta - \alpha}{\pi}$ and $B = \alpha + \beta$, where $0 < \alpha < \beta < \infty$. Consider the function

$$c(u) = A \arctan(u) + \frac{B}{2}, \qquad (2.5)$$

1

which is strictly increasing and satisfies $\lim_{u \to -\infty} c(u) = \alpha$ and $\lim_{u \to +\infty} c(u) = \beta$. Consider the wave speed $s = \frac{B}{2}$, where we have $\alpha < s < \beta$. Let $f(u) = s^2 - c^2(u)$. We have $f(u) = -A \arctan(u)(A \arctan(u) + B)$. We compute the derivative and get $f'(u) = -\frac{A}{1+u^2}(2A \arctan(u) + B)$, and since $2A \arctan(u) + B \ge 2\alpha > 0$ for all u we have f'(u) < 0. The only point satisfying f(u) = 0 is u = 0. In other words, s = c(0).

Denote by w the strictly increasing solution to (2.3) and (2.5). We assume that $w(\xi_0) < 0 < w(\xi_1)$, so that $c(w(\xi_0)) \neq s$ and $c(w(\xi_1)) \neq s$, which implies that the derivative w_{ξ} is bounded at ξ_0 and ξ_1 . From (2.4) we get

$$\int_{\xi_0}^{\xi_1} w_{\xi}^2(\xi) d\xi = \sqrt{|k|} \int_{w(\xi_0)}^0 \frac{1}{\sqrt{-A \arctan(z)(A \arctan(z) + B)}} dz + \sqrt{|k|} \int_0^{w(\xi_1)} \frac{1}{\sqrt{A \arctan(z)(A \arctan(z) + B)}} dz.$$
(2.6)

By a change of variables we have

$$\int_{0}^{w(\xi_{1})} \frac{1}{\sqrt{A \arctan(z)(A \arctan(z) + B)}} dz \leq \int_{0}^{w(\xi_{1})} \frac{1}{\sqrt{AB \arctan(z)}} dz$$
$$\leq (1 + w^{2}(\xi_{1})) \int_{0}^{w(\xi_{1})} \frac{1}{\sqrt{AB} \arctan(z)} \frac{1}{1 + z^{2}} dz = 2(1 + w^{2}(\xi_{1})) \frac{1}{\sqrt{AB}} \sqrt{\arctan(w(\xi_{1}))}$$

Let us focus on weak traveling wave solutions. To derive the weak form of (1.1) we first assume that we have a bounded solution $u \in C^2((0, \infty) \times \mathbb{R})$. We multiply (1.1) by a smooth test function $\phi \in C_c^{\infty}((0, \infty) \times \mathbb{R})$ and integrate by parts, which yields

$$\int_0^\infty \int_{-\infty}^\infty \left[-u_t \phi_t + c(u)c'(u)u_x^2 \phi + c^2(u)u_x \phi_x \right] dx \, dt = 0.$$
 (2.7)

We say that a function u satisfying $u(t, \cdot) \in L^{\infty}(\mathbb{R})$ and $u_t(t, \cdot), u_x(t, \cdot) \in L^2(\mathbb{R})$ for all $t \ge 0$ is a weak solution of (1.1) if (2.7) holds for all test functions ϕ in $C_c^{\infty}((0, \infty) \times \mathbb{R})$. We observe that if there exists a piecewise smooth traveling wave solution satisfying these conditions, it is Hölder continuous with exponent $\frac{1}{2}$.

In the case of a traveling wave u(t, x) = w(x - st), (2.7) reads

$$\int_0^\infty \int_{-\infty}^\infty \left[sw_\xi \phi_t + c(w)c'(w)w_\xi^2 \phi + c^2(w)w_\xi \phi_x \right] dx \, dt = 0.$$

Now we want to glue together two local, classical solutions to produce a weak traveling wave solution. At the points where we glue them together the derivatives may not exist. Thus, we consider the following situation: assume that u_t and u_x have discontinuities that move along a smooth curve Γ : $x = \gamma(t)$, where we assume that γ is a smooth and strictly increasing function. Moreover, we assume that there exists a sufficiently small neighborhood of $\gamma(t)$ such that u is a classical solution of (1.1) on each side of $\gamma(t)$.

Lemma 2.2 Given a curve $\Gamma : x = \gamma(t) = st + \gamma_0$, where γ_0 is a constant, denote by D a neighborhood of $(\bar{t}, \gamma(\bar{t})) \in \Gamma$. Furthermore, let $D = D_1 \cup \Gamma|_D \cup D_2$, where D_1 and D_2 are the parts of D to the left and to the right of Γ , respectively, see Fig. 2. Consider two local, classical traveling wave solutions u_1 and u_2 of (1.1) in D_1 and D_2 , respectively. Assume that we glue these waves at Γ to obtain a continuous traveling wave u(t, x) = w(x - st) in D, which satisfies

$$\iint_D \left[sw_{\xi}\phi_t + c(w)c'(w)w_{\xi}^2\phi + c^2(w)w_{\xi}\phi_x \right] dx \, dt = 0 \quad \text{for any } \phi \in C_c^{\infty}(D).$$

If $|s| \notin [\alpha, \beta]$, then

$$w_{\xi}(\gamma_0 -) = w_{\xi}(\gamma_0 +).$$
 (2.8a)

If $|s| \in (\alpha, \beta)$ and $c'(w(\xi)) \neq 0$ for all $\xi = x - st$, such that $(t, x) \in D$ and $|s| = c(w(\xi))$, then

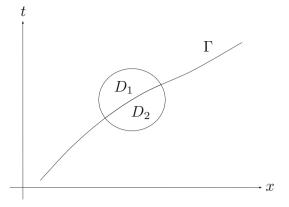
$$\left[\sqrt{|k_1|} \operatorname{sign}\left(\left((c^2(w(\gamma_0)) - s^2) w_{\xi}(\gamma_0) \right) - \right) - \sqrt{|k_2|} \operatorname{sign}\left(\left((c^2(w(\gamma_0)) - s^2) w_{\xi}(\gamma_0) \right) + \right) \right] \sqrt{|c^2(w(\gamma_0)) - s^2|} = 0, \quad (2.8b)$$

where k_1 and k_2 denote the constants in (2.2) corresponding to the local, classical traveling wave solutions u_1 and u_2 in D_1 and D_2 , respectively.

Proof Let

$$I = \{ t \in [0, \infty) \mid (t, \gamma(t)) \in D \}.$$

Fig. 2 Some strictly increasing curve Γ : $x = \gamma(t)$ and the neighborhoods D_1 and D_2



For any $\varepsilon > 0$ consider

$$D_i^{\varepsilon} = \{(t, x) \in D_i \mid \operatorname{dist}((t, x), \Gamma) > \varepsilon\}$$

for i = 1, 2. We have

$$\iint_{D} \left[-u_{t}\phi_{t} + c(u)c'(u)u_{x}^{2}\phi + c^{2}(u)u_{x}\phi_{x} \right] dx dt$$

$$= \lim_{\varepsilon \to 0} \left(\sum_{i=1}^{2} \iint_{D_{i}^{\varepsilon}} \left[-u_{t}\phi_{t} + c(u)c'(u)u_{x}^{2}\phi + c^{2}(u)u_{x}\phi_{x} \right] dx dt \right).$$
(2.9)

Since *u* is a classical solution in D_1^{ε} , we have

$$\iint_{D_1^{\varepsilon}} \left[-u_t \phi_t + c(u)c'(u)u_x^2 \phi + c^2(u)u_x \phi_x \right] dx \, dt = \iint_{D_1^{\varepsilon}} \left[(c^2(u)u_x \phi)_x - (u_t \phi)_t \right] dx \, dt.$$

By Green's theorem we get

$$\iint_{D_1^\varepsilon} \left[(c^2(u)u_x\phi)_x - (u_t\phi)_t \right] dx dt$$
$$= \int_{\partial D_1^\varepsilon} \left[u_t\phi \, dx + c^2(u)u_x\phi \, dt \right] = \int_{\Gamma_1^\varepsilon} \left[u_t\phi \, dx + c^2(u)u_x\phi \, dt \right]$$

where the last equality follows since ϕ is zero everywhere on $\partial D_1^{\varepsilon}$ except on Γ_1^{ε} , where Γ_1^{ε} is the part of $\partial D_1^{\varepsilon}$ which does not coincide with the boundary of *D*. We can parametrize the curve by $\Gamma_1^{\varepsilon} : x = \gamma_1^{\varepsilon}(t)$ for $t \in I_1^{\varepsilon}$, where γ_1^{ε} is a smooth and strictly increasing function and I_1^{ε} is an interval. Now we have

$$\iint_{D_{1}^{\varepsilon}} \left[-u_{t}\phi_{t} + c(u)c'(u)u_{x}^{2}\phi + c^{2}(u)u_{x}\phi_{x} \right] dx dt = \int_{I_{1}^{\varepsilon}} \left[(u_{t}\phi)(t,\gamma_{1}^{\varepsilon}(t))(\gamma_{1}^{\varepsilon})'(t) + (c^{2}(u)u_{x}\phi)(t,\gamma_{1}^{\varepsilon}(t)) \right] dt.$$
(2.10)

By assumption u(t, x) = w(x - st) is a classical traveling wave solution in D_1^{ε} . It follows that $\gamma_1^{\varepsilon}(t) = \gamma(t) - \varepsilon \sqrt{s^2 + 1}$,

$$I_1^{\varepsilon} = \{t \in [0,\infty) \mid (t,\gamma_1^{\varepsilon}(t)) \in D_1\}, \text{ and } \Gamma_1^{\varepsilon} = \{(t,\gamma_1^{\varepsilon}(t)) \mid t \in I_1^{\varepsilon}\}.$$

From (2.10) we get

$$\iint_{D_1^{\varepsilon}} \left[sw_{\xi}\phi_t + c(w)c'(w)w_{\xi}^2\phi + c^2(w)w_{\xi}\phi_x \right] dx dt$$
$$= \int_{I_1^{\varepsilon}} \left[c^2(w(\gamma_1^{\varepsilon}(t) - st)) - s^2 \right] w_{\xi}(\gamma_1^{\varepsilon}(t) - st)\phi(t, \gamma_1^{\varepsilon}(t)) dt.$$
(2.11)

By similar computations, as above, for D_2^{ε} we get

$$\iint_{D_2^{\varepsilon}} \left[-u_t \phi_t + c(u)c'(u)u_x^2 \phi + c^2(u)u_x \phi_x \right] dx \, dt = \int_{\Gamma_2^{\varepsilon}} \left[u_t \phi \, dx + c^2(u)u_x \phi \, dt \right],$$

where Γ_2^{ε} is the part of $\partial D_2^{\varepsilon}$ which does not coincide with the boundary ∂D . We parametrize the curve by $\Gamma_2^{\varepsilon} : x = \gamma_2^{\varepsilon}(t)$ for $t \in I_2^{\varepsilon}$, where γ_2^{ε} is a smooth and strictly increasing function and I_2^{ε} is an interval. We obtain

$$\iint_{D_{2}^{\varepsilon}} \left[-u_{t}\phi_{t} + c(u)c'(u)u_{x}^{2}\phi + c^{2}(u)u_{x}\phi_{x} \right] dx dt$$

= $-\int_{I_{2}^{\varepsilon}} \left[(u_{t}\phi)(t, \gamma_{2}^{\varepsilon}(t))(\gamma_{2}^{\varepsilon})'(t) + (c^{2}(u)u_{x}\phi)(t, \gamma_{2}^{\varepsilon}(t)) \right] dt$ (2.12)

where the negative sign comes from the fact that we are integrating counterclockwise around the boundary in Green's theorem. Inserting u(t, x) = w(x - st) yields

$$\iint_{D_{2}^{\varepsilon}} \left[sw_{\xi}\phi_{t} + c(w)c'(w)w_{\xi}^{2}\phi + c^{2}(w)w_{\xi}\phi_{x} \right] dx dt = -\int_{I_{2}^{\varepsilon}} \left[c^{2}(w(\gamma_{2}^{\varepsilon}(t) - st)) - s^{2} \right] w_{\xi}(\gamma_{2}^{\varepsilon}(t) - st)\phi(t, \gamma_{2}^{\varepsilon}(t)) dt,$$
(2.13)

where $\gamma_2^{\varepsilon}(t) = \gamma(t) + \varepsilon \sqrt{s^2 + 1}$,

$$I_2^{\varepsilon} = \{t \in [0, \infty) \mid (t, \gamma_2^{\varepsilon}(t)) \in D_2\}, \text{ and } \Gamma_2^{\varepsilon} = \{(t, \gamma_2^{\varepsilon}(t)) \mid t \in I_2^{\varepsilon}\}.$$

Consider $|s| \notin [\alpha, \beta]$. Then $|s| \neq c(w(\xi))$ for all ξ , and by (2.2) the derivative w_{ξ} is bounded at all points in *D*. From (2.11) and (2.13) we have

$$\begin{split} &\lim_{\varepsilon \to 0} \iint_{D_1^{\varepsilon}} \left[s w_{\xi} \phi_t + c(w) c'(w) w_{\xi}^2 \phi + c^2(w) w_{\xi} \phi_x \right] dx \, dt \\ &= \int_I \left[c^2(w(\gamma_0)) - s^2 \right] w_{\xi}(\gamma_0 -) \phi(t, \gamma(t)) \, dt \end{split}$$

and

$$\begin{split} \lim_{\varepsilon \to 0} \iint_{D_2^{\varepsilon}} \left[s w_{\xi} \phi_t + c(w) c'(w) w_{\xi}^2 \phi + c^2(w) w_{\xi} \phi_x \right] dx \, dt \\ &= - \int_I \left[c^2(w(\gamma_0)) - s^2 \right] w_{\xi}(\gamma_0 +) \phi(t, \gamma(t)) \, dt, \end{split}$$

respectively. Here, $w_{\xi}(\gamma_0 -)$ and $w_{\xi}(\gamma_0 +)$ denote the left and right limit of w_{ξ} at γ_0 , respectively. We insert these expressions in (2.9), and get

$$\begin{split} &\iint_{D} \left[sw_{\xi}\phi_{t} + c(w)c'(w)w_{\xi}^{2}\phi + c^{2}(w)w_{\xi}\phi_{x} \right] dx \, dt \\ &= \left[c^{2}(w(\gamma_{0})) - s^{2} \right] \left[w_{\xi}(\gamma_{0}-) - w_{\xi}(\gamma_{0}+) \right] \int_{I} \phi(t,\gamma(t)) \, dt. \end{split}$$

For w to be a weak solution this expression has to be zero for every test function ϕ , and we must have

$$[c^{2}(w(\gamma_{0})) - s^{2}][w_{\xi}(\gamma_{0}-) - w_{\xi}(\gamma_{0}+)] = 0,$$

which implies $w_{\xi}(\gamma_0 -) = w_{\xi}(\gamma_0 +)$. This proves (2.8a).

Now we consider $|s| \in [\alpha, \beta]$. In this case w_{ξ} may be unbounded on the curve Γ , and we have to eliminate the derivatives from (2.11) and (2.13). Recall that we only consider continuous waves.

Since w is a classical solution in $\overline{D_1^{\varepsilon}}$ we get from (2.2),

$$w_{\xi}^{2}(\xi) \left[s^{2} - c^{2}(w(\xi)) \right] = k_{1}$$
(2.14)

where k_1 is a constant. Thus, we have

$$(c^{2}(w) - s^{2})w_{\xi} = \operatorname{sign}((c^{2}(w) - s^{2})w_{\xi})\sqrt{|c^{2}(w) - s^{2}|}\sqrt{|k_{1}|}.$$

In (2.11) we now get

$$\begin{split} &\iint_{D_{1}^{\varepsilon}} \left[sw_{\xi}\phi_{t} + c(w)c'(w)w_{\xi}^{2}\phi + c^{2}(w)w_{\xi}\phi_{x} \right] dx \, dt \\ &= \int_{I_{1}^{\varepsilon}} \sqrt{|k_{1}|} \Big(\text{sign} \big((c^{2}(w) - s^{2})w_{\xi} \big) \sqrt{|c^{2}(w) - s^{2}|} \Big) (\gamma_{1}^{\varepsilon}(t) - st)\phi(t, \gamma_{1}^{\varepsilon}(t)) \, dt, \end{split}$$

and we obtain

$$\lim_{\varepsilon \to 0} \iint_{D_1^{\varepsilon}} \left[s w_{\xi} \phi_t + c(w) c'(w) w_{\xi}^2 \phi + c^2(w) w_{\xi} \phi_x \right] dx \, dt$$

=
$$\int_I \sqrt{|k_1|} \operatorname{sign} \left(\left((c^2(w(\gamma_0)) - s^2) w_{\xi}(\gamma_0) \right) - \right) \sqrt{|c^2(w(\gamma_0)) - s^2|} \phi(t, \gamma(t)) \, dt. \quad (2.15)$$

In a similar way, we get by using (2.2) in $\overline{D_2^{\varepsilon}}$,

$$\lim_{\varepsilon \to 0} \iint_{D_{2}^{\varepsilon}} \left[sw_{\xi}\phi_{t} + c(w)c'(w)w_{\xi}^{2}\phi + c^{2}(w)w_{\xi}\phi_{x} \right] dx dt$$

$$= -\int_{I} \sqrt{|k_{2}|} \operatorname{sign}\left(\left((c^{2}(w(\gamma_{0})) - s^{2})w_{\xi}(\gamma_{0}) \right) + \right) \sqrt{|c^{2}(w(\gamma_{0})) - s^{2}|} \phi(t, \gamma(t)) dt,$$

(2.16)

for some constant k_2 . Combining (2.15) and (2.16) in (2.9), we get

$$\begin{split} &\iint_{D} \left[sw_{\xi}\phi_{t} + c(w)c'(w)w_{\xi}^{2}\phi + c^{2}(w)w_{\xi}\phi_{x} \right] dx \, dt \\ &= \int_{I} \left[\sqrt{|k_{1}|} \operatorname{sign} \left(\left((c^{2}(w(\gamma_{0})) - s^{2})w_{\xi}(\gamma_{0}) \right) - \right) \right. \\ &- \sqrt{|k_{2}|} \operatorname{sign} \left(\left((c^{2}(w(\gamma_{0})) - s^{2})w_{\xi}(\gamma_{0}) \right) + \right) \right] \sqrt{|c^{2}(w(\gamma_{0})) - s^{2}|} \phi(t, \gamma(t)) \, dt. \end{split}$$

For w to be a weak solution this expression has to be zero for every test function, and we must have

$$\begin{split} & \left[\sqrt{|k_1|}\operatorname{sign}\left(\left((c^2(w(\gamma_0)) - s^2)w_{\xi}(\gamma_0)\right) - \right) \\ & -\sqrt{|k_2|}\operatorname{sign}\left(\left((c^2(w(\gamma_0)) - s^2)w_{\xi}(\gamma_0)\right) + \right)\right] \sqrt{|c^2(w(\gamma_0)) - s^2|} = 0. \end{split}$$

This concludes the proof of (2.8b).

Remark 2.3 Note that (2.10) and (2.12) hold for any solution u and curve $x = \gamma(t)$ as described before the lemma, not just for traveling wave solutions, where $\gamma(t) = st + \gamma_0$.

Using Lemma 2.2 we prove Theorem 1.1.

Proof of Theorem 1.1 Consider $|s| \notin [\alpha, \beta]$. From (2.8a), w and its derivative w_{ξ} are continuous at γ_0 . In particular, w is monotone and coincides with the global solution for (2.3) for a fixed k. Thus, the resulting wave will be unbounded and hence not a weak solution to the NVW equation. We will not discuss this case further.

Now consider $|s| \in [\alpha, \beta]$. First we study the case $|s| \neq c(w(\gamma_0))$. For (2.8b) to be satisfied we must have

$$\sqrt{|k_1|}\operatorname{sign}(w_{\xi}(\gamma_0) -) - \sqrt{|k_2|}\operatorname{sign}(w_{\xi}(\gamma_0) +) = 0.$$

If sign $(w_{\xi}(\gamma_0) -)$ and sign $(w_{\xi}(\gamma_0) +)$ have opposite sign we get $k_1 = k_2 = 0$ and w is constant in D.

If sign $(w_{\xi}(\gamma_0) -)$ and sign $(w_{\xi}(\gamma_0) +)$ have the same sign then $|k_1| = |k_2|$. Then the solution w is monotone in D and is given by (2.3), where the constant k is replaced by k_1 . Since w is a classical solution in D_1 and D_2 , and $|s| \neq c(w(\gamma_0))$, we have $|s| \neq c(w(\xi))$ in D. Both w and its derivative w_{ξ} are continuous at γ_0 . In particular, w is monotone and coincides with the local solution in D of the above differential equation for a fixed k_1 .

Thus, we showed that gluing solutions at points γ_0 so that $c(w(\gamma_0)) \neq |s|$, does not yield a new solution. In particular, one can possibly only glue two solutions with different k together at a point γ_0 to obtain a new solution, if $c(w(\gamma_0)) = |s|$. This means in particular, for bounded, non-constant waves, that c must have at least one extremal point and hence w must have at least one inflection point by (2.1).

Next we consider $|s| \in [\alpha, \beta]$ such that $|s| = c(w(\gamma_0))$. As discussed before, at points ξ where $|s| = c(w(\xi))$ and $c'(w(\xi)) = 0$, $w_{\xi}(\xi)$ is unbounded and w_{ξ} does not belong to $L^2_{loc}(\mathbb{R})$. Therefore, by the definition of a weak solution, we cannot use such waves as building blocks. This immediately excludes the cases $|s| = \alpha$ and $|s| = \beta$. Thus, we consider $|s| \in (\alpha, \beta)$ and assume that all points ξ such that $|s| = c(w(\xi))$ satisfy $c'(w(\xi)) \neq 0$.

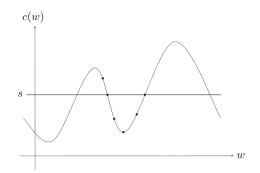
The remaining case to be treated is $|s| \in (\alpha, \beta)$ such that $|s| = c(w(\gamma_0))$ and $c'(w(\gamma_0)) \neq 0$. Using the same notation as in the proof of Lemma 2.2, denote by $u_1(t, x) = w_1(x-st)$ and $u_2(t, x) = w_2(x-st)$ the classical solutions to (1.1) in D_1 and D_2 , respectively. Then w_1 and w_2 are locally Hölder continuous. Furthermore, we see from (2.14) and the corresponding equation for D_2 , that k_1 and k_2 are finite and (2.8b) is satisfied for any values of k_1 and k_2 . In particular, the functions w_1 and w_2 satisfy

$$w_{1,\xi}(\xi) = \pm \frac{\sqrt{|k_1|}}{\sqrt{|s^2 - c^2(w_1(\xi))|}} \quad \text{and} \quad w_{2,\xi}(\xi) = \pm \frac{\sqrt{|k_2|}}{\sqrt{|s^2 - c^2(w_2(\xi))|}}$$
(2.17)

in D_1 and D_2 , respectively.

We study the case $w_{1,\xi}(\xi) \to \pm \infty$ as $\xi \to \gamma_0 -$ and $w_{2,\xi}(\xi) \to \pm \infty$ as $\xi \to \gamma_0 +$. It remains to show which solutions, if any, can be glued together.

Fig. 3 The points are from left to right: $w_1(\xi)$ for $\xi < \gamma_0$, $w_1(\gamma_0) = w_2(\gamma_0), w_2(\xi)$ for $\gamma_0 < \xi < E_1, w_2(E_1), w_2(\xi_1)$ and $w_2(\gamma_1)$



Let s > 0. Assume that $s = c(w_1(\gamma_0)) = c(w_2(\gamma_0))$ and $c'(w_1(\gamma_0)) = c'(w_2(\gamma_0)) < 0$. Since c', w_1 and w_2 are continuous we have $c'(w_1(\xi)) < 0$ for $\xi < \gamma_0$ near γ_0 and $c'(w_2(\xi)) < 0$ for $\xi > \gamma_0$ near γ_0 . We have the following four possibilities:

- 1. If $w_{1,\xi}(\xi) > 0$ for $\xi < \gamma_0$ near γ_0 then $c(w_1(\xi)) > s$ and from (2.1) we get $w_{1,\xi\xi}(\xi) > 0$.
- 2. If $w_{1,\xi}(\xi) < 0$ for $\xi < \gamma_0$ near γ_0 then $c(w_1(\xi)) < s$ and (2.1) implies that $w_{1,\xi\xi}(\xi) < 0$.
- 3. If $w_{2,\xi}(\xi) > 0$ for $\xi > \gamma_0$ near γ_0 then $c(w_2(\xi)) < s$ and by (2.1) we have $w_{2,\xi\xi}(\xi) < 0$.
- 4. If $w_{2,\xi}(\xi) < 0$ for $\xi > \gamma_0$ near γ_0 then $c(w_2(\xi)) > s$ and by (2.1) we have $w_{2,\xi\xi}(\xi) > 0$.

We have now 4 possibilities for gluing waves at γ_0 : 1. and 4., 1. and 3., 2. and 3., and 2. and 4. In all cases the derivatives are unbounded at the gluing point. For instance, combining 1. and 4. results in a wave with a cusp at γ_0 . Since the constants k_1 and k_2 may differ, w_1 and w_2 may have different slope away from γ_0 .

Another possibility, due to (2.1), is that either w_1 or w_2 is constant. We can combine constant solutions with singular waves. For instance, let $w_1(\xi) = w_2(\gamma_0)$ for $\xi \leq \gamma_0$, and w_2 be as in 3.

We can also combine the wave in 1. with the constant solution where $w_2(\xi) = w_1(\gamma_0)$ for $\xi \ge \gamma_0$.

A similar analysis can be done in the case $c'(w_1(\gamma_0)) = c'(w_2(\gamma_0)) > 0$.

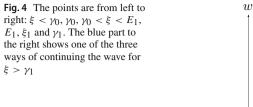
Note that the resulting waves may be unbounded. This is for example the case if c(u) = |s| for exactly one $u \in \mathbb{R}$. On the other hand, the resulting waves belong to $L^2(D)$ and are locally Hölder continuous.

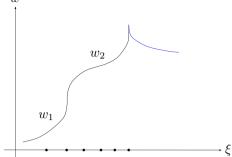
Finally we study an example to illustrate how we can glue local waves to get a bounded traveling wave. Let us consider a function *c* as depicted in Fig. 3 and the wave composed of 1. and 3. For $\xi < \gamma_0$ near γ_0 , it is given by $w_1(\xi)$ which is strictly increasing and convex. For $\xi > \gamma_0$ near γ_0 , it is given by $w_2(\xi)$ which is strictly increasing and concave. In this case we assumed that the function *c* is strictly decreasing at the point $w_1(\gamma_0) = w_2(\gamma_0)$. Now we assume that *c* has a local minimum to the right of this point. More precisely, we assume that there exists $E_1 > \gamma_0$ such that $c'(w_2(E_1)) = 0$, $c'(w_2(\xi)) < 0$ for all $\gamma_0 \le \xi < E_1$, and $c'(w_2(\xi)) > 0$ for all $E_1 < \xi < \xi_1$ for ξ_1 near E_1 , so that $c(w_2(\xi)) < s$ for all $E_1 < \xi \le \xi_1$.

The function $w_2(\xi)$ is a strictly increasing classical solution for all $\gamma_0 < \xi < \xi_1$. Furthermore, $w_2(\xi)$ has an inflection point at $\xi = E_1$ and is concave for $\gamma_0 \le \xi < E_1$ and convex for $E_1 < \xi \le \xi_1$.

If $c'(w_2(\xi)) > 0$ for all $\xi_1 \le \xi \le \gamma_1$ where γ_1 satisfies $c(w_2(\gamma_1)) = s$, we can continue the wave after γ_1 either by a singular wave or by setting w equal to $w(\gamma_1)$ for $\gamma_1 < \xi$. The situation is illustrated in Fig. 4.

Depending on the function c, we can continue this gluing procedure to produce a wave w consisting of decreasing, increasing, and constant segments. Note that to get a non-constant





bounded traveling wave we have to use increasing or decreasing parts. The derivative of the composite wave belongs to $L^2_{loc}(\mathbb{R})$. If $w_{\xi} \in L^2(\mathbb{R})$, then w is not only a global traveling wave solution, but also a weak solution.

3 The Camassa–Holm equation

Now we study weak traveling wave solutions of the CH equation (1.12). We insert for a traveling wave solution u(t, x) = w(x - st), and get

$$-sw_{\xi} + sw_{\xi\xi\xi} + 3ww_{\xi} - 2w_{\xi}w_{\xi\xi} - ww_{\xi\xi\xi} = 0.$$
(3.1)

We say that *u* is a local, classical traveling wave solution of (1.12) if u(t, x) = w(x - st) for some *w* in $C^3(I)$, where *I* denotes some interval, and satisfies (3.1).

Integrating (3.1) yields

$$-sw + sw_{\xi\xi} + \frac{3}{2}w^2 - \frac{1}{2}w_{\xi}^2 - ww_{\xi\xi} = a, \qquad (3.2)$$

where a is some integration constant. We multiply with $2w_{\xi}$, integrate once more and get

$$-sw^{2} + w^{3} + w_{\xi}^{2}(s - w) = 2aw + b, \qquad (3.3)$$

where b is some integration constant.

We study if we can glue together local, classical wave solutions like we did in the previous section for the NVW equation. We are interested in the situation where the composite wave has a discontinuous derivative at the gluing points.

First we derive a weak form of the CH equation. Assume that we have a bounded solution $u \in C^3((0, \infty) \times \mathbb{R})$. We multiply (1.12) by a smooth test function $\phi \in C_c^{\infty}((0, \infty) \times \mathbb{R})$ and integrate by parts. This yields

$$\int_{0}^{\infty} \int_{-\infty}^{\infty} \left(u\phi_t - u\phi_{txx} + \frac{3}{2}u^2\phi_x + uu_x\phi_{xx} + \frac{1}{2}u_x^2\phi_x \right) dx \, dt = 0, \tag{3.4}$$

which serves as a basis for defining weak solutions.

A function u satisfying $u(t, \cdot) \in L^{\infty}(\mathbb{R}) \cap H^1_{loc}(\mathbb{R})$ for all $t \ge 0$ is said to be a weak solution of (1.12) if (3.4) holds for all test functions ϕ in $C_c^{\infty}((0, \infty) \times \mathbb{R})$. In the case of a traveling wave solution, u(t, x) = w(x - st), (3.4) reads

$$\int_0^\infty \int_{-\infty}^\infty \left(w\phi_t - w\phi_{txx} + \frac{3}{2}w^2\phi_x + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^2\phi_x \right) dx dt.$$

Assume that u_t and u_x have discontinuities that move along a smooth curve $\Gamma : x = \gamma(t)$, where γ is a strictly increasing function, and that u is a local, classical solution of (1.12) on each side of $\gamma(t)$.

Lemma 3.1 Given a curve $\Gamma : x = \gamma(t) = st + \gamma_0$, where γ_0 is a constant, denote by D a neighborhood of $(\bar{t}, \gamma(\bar{t})) \in \Gamma$. Furthermore, let $D = D_1 \cup \Gamma|_D \cup D_2$, where D_1 and D_2 are the parts of D to the left and to the right of Γ , respectively, see Fig. 2. Consider two local, classical traveling wave solution u_1 and u_2 of (1.12) in D_1 and D_2 , respectively. Assume that we glue these waves at Γ to obtain a continuous traveling wave u(t, x) = w(x - st) in D, which satisfies

$$\iint_{D} \left[w\phi_t - w\phi_{txx} + \frac{3}{2}w^2\phi_x + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^2\phi_x \right] dx dt = 0 \quad \text{for any } \phi \in C_c^{\infty}(D).$$

$$(3.5)$$

Then we have $a_1 = a_2$, where a_1 and a_2 denote the constants in (3.2) corresponding to the local, classical solutions $u_1(t, x) = w_1(x - st)$ and $u_2(t, x) = w_2(x - st)$ in D_1 and D_2 , respectively.

If w_{ξ} and $w_{\xi\xi}$ are bounded on the curve $x = \gamma(t)$, then

$$(w(\gamma_0) - s)(w_{\xi}(\gamma_0 -) - w_{\xi}(\gamma_0 +)) = 0.$$
(3.6a)

If w_{ξ} and $w_{\xi\xi}$ may be unbounded on the curve $x = \gamma(t)$, then

$$sign(((w(\gamma_0) - s)w_{\xi}(\gamma_0)) -)\sqrt{(w^2(\gamma_0)(w(\gamma_0) - s) - 2a_1w(\gamma_0) - b_1)(w(\gamma_0) - s)} - sign(((w(\gamma_0) - s)w_{\xi}(\gamma_0)) +)\sqrt{(w^2(\gamma_0)(w(\gamma_0) - s) - 2a_1w(\gamma_0) - b_2)(w(\gamma_0) - s)} = 0,$$
(3.6b)

where b_1 and b_2 are the constants in (3.3) corresponding to the local, classical solution $u_1(t, x) = w_1(x - st)$ and $u_2(t, x) = w_2(x - st)$ in D_1 and D_2 , respectively.

Proof We use the same notation as in the proof of Lemma 2.2. Moreover, many of the calculations are similar to the ones in the previous proof, and we leave out the details. Using that u is a classical solution in D_1^{ε} and integration by parts, leads to

$$\iint_{D_{1}^{\varepsilon}} \left(u\phi_{t} - u\phi_{txx} + \frac{3}{2}u^{2}\phi_{x} + uu_{x}\phi_{xx} + \frac{1}{2}u_{x}^{2}\phi_{x} \right) dx dt$$

$$= \iint_{D_{1}^{\varepsilon}} \left[\left(\frac{3}{2}u^{2}\phi - \frac{1}{2}u_{x}^{2}\phi + uu_{x}\phi_{x} \right)_{x} + (u\phi + u_{x}\phi_{x})_{t} \right] dx dt$$

$$- \int_{I_{1}^{\varepsilon}} (uu_{xx}\phi + u\phi_{tx} + u_{tx}\phi)(t, \gamma_{1}^{\varepsilon}(t)) dt.$$
(3.7)

Now we apply Green's theorem, and use that the integrand is zero everywhere on $\partial D_1^{\varepsilon}$ except on the part corresponding to $\gamma_1^{\varepsilon}(t)$. We assume that u(t, x) = w(x-st) is a classical traveling wave solution of (1.12). Then

$$\begin{aligned} \iint_{D_{1}^{\varepsilon}} \left(w\phi_{t} - w\phi_{txx} + \frac{3}{2}w^{2}\phi_{x} + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^{2}\phi_{x} \right) dx dt \\ &= \int_{I_{1}^{\varepsilon}} \left(\left[-ws + \frac{3}{2}w^{2} - \frac{1}{2}w_{\xi}^{2} - (w - s)w_{\xi\xi} \right] (\gamma_{1}^{\varepsilon}(t) - st)\phi(t, \gamma_{1}^{\varepsilon}(t)) \right. \\ &+ \left[(w - s)w_{\xi} \right] (\gamma_{1}^{\varepsilon}(t) - st)\phi_{x}(t, \gamma_{1}^{\varepsilon}(t)) - w(\gamma_{1}^{\varepsilon}(t) - st)\phi_{tx}(t, \gamma_{1}^{\varepsilon}(t)) \right) dt. \end{aligned}$$
(3.8)

Similarly, we get

$$\iint_{D_{2}^{\varepsilon}} \left(w\phi_{t} - w\phi_{txx} + \frac{3}{2}w^{2}\phi_{x} + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^{2}\phi_{x} \right) dx dt$$

$$= \int_{I_{2}^{\varepsilon}} \left(-\left[-ws + \frac{3}{2}w^{2} - \frac{1}{2}w_{\xi}^{2} - (w - s)w_{\xi\xi} \right] (\gamma_{2}^{\varepsilon}(t) - st)\phi(t, \gamma_{2}^{\varepsilon}(t)) - \left[(w - s)w_{\xi} \right] (\gamma_{2}^{\varepsilon}(t) - st)\phi_{x}(t, \gamma_{2}^{\varepsilon}(t)) + w(\gamma_{2}^{\varepsilon}(t) - st)\phi_{tx}(t, \gamma_{2}^{\varepsilon}(t)) \right) dt. \quad (3.9)$$

From (3.2) and (3.3) we have

$$-sw + sw_{\xi\xi} + \frac{3}{2}w^2 - \frac{1}{2}w_{\xi}^2 - ww_{\xi\xi} = a_i$$
(3.10)

and

$$-sw^{2} + w^{3} + w_{\xi}^{2}(s - w) = 2a_{i}w + b_{i}$$
(3.11)

in $\overline{D_i^{\varepsilon}}$, i = 1, 2. Assume that w_{ξ} and $w_{\xi\xi}$ are bounded on the curve $x = \gamma(t)$. We insert (3.10) in (3.8), and get since w, ϕ and the derivatives of ϕ are continuous,

$$\begin{split} \lim_{\varepsilon \to 0} \iint_{D_{1}^{\varepsilon}} \left(w\phi_{t} - w\phi_{txx} + \frac{3}{2}w^{2}\phi_{x} + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^{2}\phi_{x} \right) dx dt \\ &= \int_{I} (a_{1}\phi(t,\gamma(t)) + [(w(\gamma_{0}) - s)w_{\xi}(\gamma_{0} -)]\phi_{x}(t,\gamma(t)) - w(\gamma_{0})\phi_{tx}(t,\gamma(t))) dt. \end{split}$$
(3.12)

Combining this with the limit corresponding to (3.9) yields

$$\iint_{D} \left(w\phi_{t} - w\phi_{txx} + \frac{3}{2}w^{2}\phi_{x} + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^{2}\phi_{x} \right) dx dt$$

=
$$\int_{I} ((a_{1} - a_{2})\phi(t, \gamma(t)) + (w(\gamma_{0}) - s)(w_{\xi}(\gamma_{0} -) - w_{\xi}(\gamma_{0} +))\phi_{x}(t, \gamma(t))) dt, \quad (3.13)$$

for all $\phi \in C_c^{\infty}(D)$. Now we derive conditions that ensure that the integral in (3.13) is equal to zero for all test functions $\phi \in C_c^{\infty}(D)$. For a positive number *d* and constants *k* and *l* satisfying k < l, consider the domain $\tilde{D} \subset D$ bounded by the lines t = k, t = l and $x = \gamma(t) \pm d$. We define the test function $\tilde{\phi} \in C_c^{\infty}(D)$ by

$$\tilde{\phi}(t,x) = \exp\left\{-\frac{1}{d - (x - \gamma(t))^2} - \frac{1}{\frac{1}{4}(l - k)^2 - (t - \frac{k+l}{2})^2}\right\},\tag{3.14}$$

which is positive and smooth in \tilde{D} and equals zero on the boundary $\partial \tilde{D}$. In particular, $\tilde{\phi}_x(t, \gamma(t)) = 0$ for all $t \in [k, l]$. From (3.5) and (3.13), we then get

$$\iint_{\tilde{D}} \left(w \tilde{\phi}_t - w \tilde{\phi}_{txx} + \frac{3}{2} w^2 \tilde{\phi}_x + w w_{\xi} \tilde{\phi}_{xx} + \frac{1}{2} w_{\xi}^2 \tilde{\phi}_x \right) dx dt = \int_a^b \left[a_1 - a_2 \right] \tilde{\phi}(t, \gamma(t)) dt = 0,$$

and since $\tilde{\phi}$ is positive in \tilde{D} this implies that $a_1 = a_2$. Furthermore, since (3.13) should be equal to zero for all test functions ϕ , we must have

$$\iint_{D} \left(w\phi_{t} - w\phi_{txx} + \frac{3}{2}w^{2}\phi_{x} + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^{2}\phi_{x} \right) dx dt$$
$$= \int_{I} (w(\gamma_{0}) - s)(w_{\xi}(\gamma_{0}) - w_{\xi}(\gamma_{0}))\phi_{x}(t,\gamma(t)) dt = 0$$
(3.15)

for all $\phi \in C_c^{\infty}(D)$, which implies $(w(\gamma_0) - s)(w_{\xi}(\gamma_0 -) - w_{\xi}(\gamma_0 +)) = 0$. This proves (3.6a).

Now assume that w_{ξ} and $w_{\xi\xi}$ may be unbounded on the curve $x = \gamma(t)$. Recall that w is a classical solution in D_i^{ε} , i = 1, 2.

Using (3.11) we write

$$(w-s)w_{\xi} = \operatorname{sign}((w-s)w_{\xi})\sqrt{(w^{2}(w-s) - 2a_{1}w - b_{1})(w-s)}$$

in $\overline{D_1^{\varepsilon}}$, which together with (3.8) and (3.10) yields

$$\begin{split} &\lim_{\varepsilon \to 0} \iint_{D_{1}^{\varepsilon}} \left(w\phi_{t} - w\phi_{txx} + \frac{3}{2}w^{2}\phi_{x} + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^{2}\phi_{x} \right) dx \, dt \\ &= \int_{I} \left(a_{1}\phi(t,\gamma(t)) - w(\gamma_{0})\phi_{tx}(t,\gamma(t)) + \text{sign}\left(\left((w(\gamma_{0}) - s)w_{\xi}(\gamma_{0}) \right) - \right) \right. \\ & \times \sqrt{(w^{2}(\gamma_{0})(w(\gamma_{0}) - s) - 2a_{1}w(\gamma_{0}) - b_{1})(w(\gamma_{0}) - s)}\phi_{x}(t,\gamma(t)) \right) dt. \end{split}$$

Combining this with the limit corresponding to (3.9) yields

$$\begin{aligned} \iint_{D} \left(w\phi_{t} - w\phi_{txx} + \frac{3}{2}w^{2}\phi_{x} + ww_{\xi}\phi_{xx} + \frac{1}{2}w_{\xi}^{2}\phi_{x} \right) dx dt &= \int_{I} \left((a_{1} - a_{2})\phi(t, \gamma(t)) + \left(\text{sign}\left((w(\gamma_{0}) - s)w_{\xi}(\gamma_{0}) \right) - \right) \sqrt{(w^{2}(\gamma_{0})(w(\gamma_{0}) - s) - 2a_{1}w(\gamma_{0}) - b_{1})(w(\gamma_{0}) - s)} \\ &- \text{sign}\left(((w(\gamma_{0}) - s)w_{\xi}(\gamma_{0})) + \right) \\ &\times \sqrt{(w^{2}(\gamma_{0})(w(\gamma_{0}) - s) - 2a_{2}w(\gamma_{0}) - b_{2})(w(\gamma_{0}) - s)} \right) \phi_{x}(t, \gamma(t)) \right) dt \end{aligned}$$

for all $\phi \in C_c^{\infty}(D)$.

As before, we choose the test function $\tilde{\phi} \in C_c^{\infty}(D)$ given by (3.14) to obtain $a_1 = a_2$. Thus, the first term in the above integral drops out, and for the remaining integral to be equal to zero for all test functions, we must have

$$sign(((w(\gamma_0) - s)w_{\xi}(\gamma_0)) -)\sqrt{(w^2(\gamma_0)(w(\gamma_0) - s) - 2a_1w(\gamma_0) - b_1)(w(\gamma_0) - s)} - sign(((w(\gamma_0) - s)w_{\xi}(\gamma_0)) +)\sqrt{(w^2(\gamma_0)(w(\gamma_0) - s) - 2a_1w(\gamma_0) - b_2)(w(\gamma_0) - s)} = 0.$$

This concludes the proof of (3.6b).

We apply Lemma 3.1 to study which local, classical traveling waves we can glue together. **Bounded derivatives**

Case 1. Consider $s \neq w(\gamma_0)$. For (3.6a) to be satisfied we must have $w_{\xi}(\gamma_0 -) = w_{\xi}(\gamma_0 +)$, i.e., the derivative is continuous at γ_0 . From (3.11) we then get that $b_1 = b_2$, and w coincides with the local solution in D of the differential equation (3.11).

Case 2. If $s = w(\gamma_0)$, (3.6a) is satisfied. Since $u(t, \gamma(t)) = w(\gamma(t) - st) = w(\gamma_0)$ we get $u(t, \gamma(t)) = s$ for all $t \ge 0$. We denote the solution in D_1 and D_2 by w_1 and w_2 , respectively. Then $w_1(\gamma_0) = w_2(\gamma_0) = s$. We let ξ tend to γ_0 in (3.10) and obtain $a_1 = \frac{1}{2}w_1^2(\gamma_0) - \frac{1}{2}w_{1,\xi}^2(\gamma_0)$ and $a_2 = \frac{1}{2}w_2^2(\gamma_0) - \frac{1}{2}w_{2,\xi}^2(\gamma_0)$. Since $a_1 = a_2$ this implies that $w_{1,\xi}^2(\gamma_0) = w_{2,\xi}^2(\gamma_0)$.

If $w_{1,\xi}(\gamma_0) = w_{2,\xi}(\gamma_0)$, one has $b_1 = b_2$ and as in Case 1 w coincides with the local solution in D of the differential equation (3.11).

If $w_{1,\xi}(\gamma_0) = -w_{2,\xi}(\gamma_0)$, we get from (3.11), that $2a_1s + b_1 = 0$ and $2a_1s + b_2 = 0$, which implies $b_1 = b_2$. Furthermore, (3.11) takes the form

$$(w_{1,\xi}^2(\xi) - w_1^2(\xi) + 2a_1)(s - w_1(\xi)) = 0$$
 and $(w_{2,\xi}^2(\xi) - w_2^2(\xi) + 2a_1)(s - w_2(\xi)) = 0$

in $\overline{D_1^{\varepsilon}}$ and $\overline{D_2^{\varepsilon}}$, respectively. Assuming that w_1 and w_2 are not constant and equal to s near γ_0 , we have

$$w_{1,\xi}^2 - w_1^2 + 2a_1 = 0$$
 and $w_{2,\xi}^2 - w_2^2 + 2a_1 = 0.$ (3.16)

For this to be well-defined we require $w_1^2 - 2a_1 \ge 0$ and $w_2^2 - 2a_1 \ge 0$, and in particular,

$$w_{1,\xi} = \pm \sqrt{w_1^2 - 2a_1}$$
 and $w_{2,\xi} = \pm \sqrt{w_2^2 - 2a_1}$. (3.17)

Note that w_1 and w_2 can only change from increasing to decreasing or the other way round if $w_1^2 = 2a_1$. We differentiate (3.16) and get

$$w_{1,\xi}(w_{1,\xi\xi} - w_1) = 0$$
 and $w_{2,\xi}(w_{2,\xi\xi} - w_2) = 0$,

and since the solutions are not constant we have

$$w_{1,\xi\xi} = w_1$$
 and $w_{2,\xi\xi} = w_2$. (3.18)

Letting ξ tend to γ_0 in (3.18) yields $w_{1,\xi\xi}(\gamma_0) = w_1(\gamma_0)$ and $w_{2,\xi\xi}(\gamma_0) = w_2(\gamma_0)$, so that if s is positive then w_1 and w_2 are convex. Since the functions are not constant and $w_{1,\xi}(\gamma_0) = -w_{2,\xi}(\gamma_0)$, this implies that w_1 is increasing and w_2 is decreasing near γ_0 . Otherwise the resulting function w would be globally unbounded. Thus, the maximum value of w_1 and w_2 near γ_0 is attained at γ_0 where $w_1(\gamma_0) = w_2(\gamma_0) = s$.

If s is negative, w_1 and w_2 are concave, and w_1 is decreasing and w_2 is increasing. Otherwise the resulting function w would be globally unbounded. The minimum value of w_1 and w_2 near γ_0 is attained at γ_0 where $w_1(\gamma_0) = w_2(\gamma_0) = s$.

Example 3.2 Let $\gamma_0 = 0$. Then

$$w_1(\xi) = c_1 e^{\xi} + c_2 e^{-\xi}$$
 and $w_2(\xi) = c_2 e^{\xi} + c_1 e^{-\xi}$,

where c_1 and c_2 are constants satisfying $\frac{c_1}{c_2} > e^2$, solve the differential equations (3.18) in [-1, 0] and [0, 1], respectively. Observe that w_1 is increasing in [-1, 0], w_2 is decreasing in [0, 1], $w_1(0) = w_2(0)$ and $w_{1,\xi}(0) = -w_{2,\xi}(0)$.

Remark 3.3 Note that the so-called multipeakon solutions are of the form $u(t, x) = \sum_{i=1}^{n} p_i(t)e^{-|x-q_i(t)|}$. Thus if one only glues together local, traveling waves, which have bounded derivatives, one ends up with a multipeakon solution due to (3.18).

Unbounded derivatives

Since we have from (3.11), $w_{\xi}^2 = w^2 - \frac{2a_1w+b_i}{w-s}$ in $\overline{D_i^{\varepsilon}}$, it follows that $s = w(\gamma_0)$ at the possible glueing point. Furthermore, due to (3.6b) the constants b_1 and b_2 do not have to be identical.

Note that (3.6b) implies that it is possible to glue together both constant and non-constant local, classical solutions as long as $s = w(\gamma_0)$. This means in particular that one can insert constant parts by gluing.

In [15], Lenells presents a complete classification of weak, bounded traveling waves for the CH equation. He shows that there exists a wide range of waves, such as smooth waves, but also peakons, cuspons, stumpons, and composite waves which might have singularities.

Lenells proves that two traveling waves w_1 and w_2 can only be glued together at a point ξ if the wave height equals the wave speed, i.e., $w_1(\xi) = w_2(\xi) = s$, and if the constants a_1 and a_2 from (3.11) are equal. We remark that the constants a and c in [15] corresponds to 2a and s here, respectively, and that we assume k = 0.

Our main objective was to recover these conditions by using the method presented above. Showing other important features of traveling wave solutions of the CH equation requires the machinery used by Lenells, which we outline next. For a detailed description we refer to [15]. A key property is that the maximum value of the wave equals *s* for s > 0 and the minimum value equals *s* for s < 0.

In particular, we highlight the role the constant b_i plays in obtaining a bounded wave. Assume that we are in our usual setting where we have classical solutions w_1 and w_2 in D_1^{ε} and D_2^{ε} , respectively. We want to glue these waves together. Thus, we must have $w_1(\gamma_0) = w_2(\gamma_0) = s$ and $a_1 = a_2$. Hence, by introducing $f(w) = -w^3 + sw^2 + 2a_1w$, we can write (3.11) as

$$w_{\xi}^{2}(s-w) = f(w) + b_{i} = g_{i}(w)$$
(3.19)

in $\overline{D_i^{\varepsilon}}$, i = 1, 2. Note that $g'_1(w) = g'_2(w) = f'(w) = -3w^2 + 2sw + 2a_1$. In what follows we assume that s > 0.

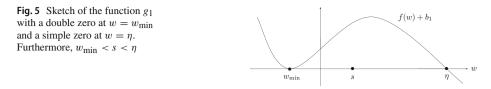
If $s^2 + 6a_1 \le 0$, then $g'_1(w) \le -3w^2 + 2sw - \frac{s^2}{3} = -3(w - \frac{s}{3})^2$, which is strictly negative provided that w is not identically equal to $\frac{s}{3}$. This means that $g_1(w)$ is strictly decreasing and $f(w) + b_1$ has exactly one zero. Assume that b_1 is such that $f(s) + b_1 < 0$. By continuity we have $f(w) + b_1 < 0$ for w near s. Then (3.19) implies that w > s. Since $f(w) + b_1 < 0$ for all w > s, (3.19) shows that $w_{\xi} \ne 0$ for all w > s. Thus, w is strictly monotone and unbounded. Next, let us set b_1 larger so that $f(s) + b_1 > 0$. Then $f(w) + b_1 > 0$ for w near s and from (3.19) we get w < s. We have $f(w) + b_1 > 0$ for all w < s, so (3.19) implies that $w_{\xi} \ne 0$ for all w < s. Hence, w is strictly monotone and unbounded. The situation $f(s) + b_1 = 0$ can be treated similarly, showing that there are no bounded solutions. Thus, if $s^2 + 6a_1 \le 0$, $f(w) + b_1$ has one zero and there exist no bounded solutions to (3.19).

If $s^2 + 6a_1 > 0$, then $g_1(w) = f(w) + b_1$ has at least one zero, but the number of zeros is dependent on the choice of b_1 . To be more precise the function $g_1(w)$ has a local minimum and maximum at $w_{\min} = \frac{s - \sqrt{s^2 + 6a_1}}{3}$ and $w_{\max} = \frac{s + \sqrt{s^2 + 6a_1}}{3}$, respectively. It is strictly decreasing for $w < w_{\min}$ and $w > w_{\max}$.

If g_1 has only one zero, we can show as before (i.e. in the case $s^2 + 6a_1 \le 0$), that there only exist unbounded solutions.

Consider the case where g_1 has three zeros. Moreover, we consider s between two of the zeros of g_1 , since any other case yields unbounded solutions.

First we treat the case where g_1 has a double zero and a simple zero. The double zero is either the local minimum or maximum of g_1 . We only consider the case where the double zero



is the local minimum of g_1 , see Fig. 5, since the other one follows the same lines. Denoting the simple zero by η , we write

$$g_1(w) = -(w - w_{\min})^2 (w - \eta).$$
(3.20)

Expanding this expression and comparing it with $g_1(w) = f(w) + b_1$ we get the relations $\eta + 2w_{\min} = s, -2\eta w_{\min} - w_{\min}^2 = 2a_1$, and $\eta w_{\min}^2 = b_1$.

By assumption $w_{\min} < s < \eta$. Then $g_1(s) > 0$, so that $g_1(w) > 0$ for w near s. By (3.19), w < s. Note that $w_{\xi} = 0$ for the value $w = w_{\min}$. We have

$$w_{\xi} = \pm (w - w_{\min}) \sqrt{\frac{\eta - w}{s - w}}$$
(3.21)

and w exponentially decays to w_{\min} as $\xi \to \pm \infty$. With the notation above, we see that one possibility is to choose w_1 and w_2 to be solutions to (3.21), which yields the cuspon with decay. In particular, w_1 is the strictly increasing part, and w_2 the strictly decreasing part. Note that the derivatives are unbounded at $w_1 = w_2 = s$.

Let us investigate if we can glue waves w_i , i = 1, 2 given by (3.21) to constant solutions. Consider w_1 given by (3.21). From (3.19), we have $w_{2,\xi}^2 = \frac{g_2(w_2)}{s-w_2}$. We are looking for solutions satisfying $w_{2,\xi} = 0$. Since at the gluing point we have $w_1 = w_2 = s$, we require that $g_2(w) = (d - w)(s - w)^2$ for some constant *d*. Comparing the coefficients, yields the relations d = -s, $2a_1 = s^2$, and $b_2 = -s^3$. Hence, if $s^2 = 2a_1$ we can glue w_i as given by (3.21) with the constant solution $w_{i\pm 1} = s$, which are the building blocks for so-called stumpons.

Remark 3.4 Note that the condition $s^2 = 2a_1$ is related to (3.17), which describes all local, classical traveling waves that have a bounded derivative at points where w = s. In particular, (3.17) implies that peakons can only turn up in bounded, composite waves such that $s^2 \ge 2a_1$ and the case $s^2 = 2a_1$ corresponds to the constant solution.

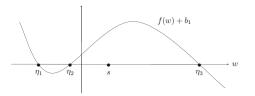
Let us turn back to (3.20). If $s = \eta$, then

$$w_{\xi} = \pm (w - w_{\min}).$$
 (3.22)

In particular, w is monotone and decays to w_{\min} as $\xi \to \pm \infty$. Choosing w_1 and w_2 to be solutions to (3.22) yields the peakon with decay. In particular, w_1 is the strictly increasing part and w_2 the strictly decreasing one.

Let us see if we can glue waves given by (3.22) to constant solutions. Let w_1 be the strictly increasing function given by (3.22). The graph of the function $g_2(w) = f(w) + b_2$ is equal to the one for g_1 up to a vertical shift, i.e., there is a constant α such that $g_2(w) = -(w - w_{\min})^2(w - s) + \alpha$. From (3.19), we get $w_{2,\xi}^2 = (w_2 - w_{\min})^2 + \frac{\alpha}{s-w}$. Observe that the only choice of the constant α which gives a solution with bounded derivative is $\alpha = 0$. Then we get $w_{2,\xi}^2 = (w_2 - w_{\min})^2$, and the only possibility for $w_{2,\xi} = 0$ is if $w_2 = w_{\min}$. But then w_2 can not be glued to w_1 , as $w_{\min} \neq s$. We conclude that waves given by (3.22) cannot be glued to constant solutions.

Fig. 6 Sketch of the function g_1 with three simple zeros $\eta_1 < \eta_2 < \eta_3$. Furthermore, $\eta_2 < s < \eta_3$



Next we treat the case where g_1 has three simple zeros $\eta_1 < \eta_2 < \eta_3$, i.e., $g_1(w) = -(w - \eta_1)(w - \eta_2)(w - \eta_3)$, see Fig. 6.

Let s be such that $\eta_2 < s < \eta_3$. Then $g_1(s) > 0$, so that $g_1(w) > 0$ for w near s. By (3.19), w < s. Observe that $w_{\xi} = 0$ at $w = \eta_2$. We have

$$w_{\xi} = \pm \sqrt{w - \eta_2} h(w), \qquad (3.23)$$

where $h(w) = \sqrt{-\frac{(w-\eta_1)(w-\eta_3)}{s-w}} > 0$ for all $\eta_2 < w < s$, so that w attains the value η_2 at some finite point $\overline{\xi}$. Note that the solution to (3.23) is not unique. Thus, $w \in C^1$ can be defined in such a way that w attains its minimum at $\overline{\xi}$, is strictly decreasing to the left of $\overline{\xi}$, and strictly increasing to the right of $\overline{\xi}$. Gluing countably many of these waves together yields a periodic cuspon.

If $s = \eta_3$, then

$$w_{\xi} = \pm \sqrt{w - \eta_1} \sqrt{w - \eta_2},\tag{3.24}$$

whose solutions, following the same lines as above, serves as building blocks for a periodic peakon.

In a similar way as above, we can study if the waves given by (3.23) and (3.24) can be glued to constant solutions. We find that we can only glue waves given by (3.23) to constant solutions which are equal to *s*. Then we obtain stumpons, which consist of monotone segments glued at points where the derivative is unbounded to piecewise constants parts.

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