

1 **Influence of perfluoroalkyl acids and other parameters on circulating thyroid hormones and**
2 **immune-related microRNA expression in free-ranging nestling peregrine falcons.**

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6 **Keywords:** Birds; PFAS; Immunomodulation; Thyroid function; Biomarker; Epigenetics

7 **Abstract**

8 Exposure to certain perfluoroalkyl acids (PFAAs) can have considerable effects on the endocrine and
9 immune systems, although such effects remain largely uncharacterized in wildlife. Using an apex avian
10 predator, we investigated possible relationships of thyroid hormones (THs), specifically free (F) and
11 total (T) thyroxine (FT4; TT4) and triiodothyronine (FT3; TT3), and the expression of an immune-related
12 microRNA biomarker (i.e., miR-155), with the concentrations of 11 PFAAs in nestling peregrine falcons
13 (*Falco peregrinus*). Nestling peregrines ($n = 56$; usually two chicks of each sex per nest) were blood
14 sampled when 23 ± 4 days old in urban and rural regions of the Laurentian Great Lakes Basin (Ontario,
15 Canada) in 2016 and 2018. The circulating concentrations of several PFAAs were significantly associated
16 with THs and estimated thyroid gland activity (TT3:TT4; FT3:FT4), including PFHxS (FT3; FT3:FT4), PFDS
17 (TT3; TT3:TT4), PFOA (TT4; FT3:FT4), PFTeDA (TT4; FT3:FT4), PFHxDA (TT4; TT3:TT4) and Σ PFCAs (TT4).
18 Our novel evaluation of miR-155 in peregrine nestlings identified significantly negative relationships of
19 plasma miR-155 counts with PFHxS and PFOA concentrations, indicating potential down-regulation of
20 miR-155 expression and impaired immunity. Several PFAA homologues significantly predicted the
21 variation in THs and miR-155 in conjunction with year (e.g., inter-annual differences in weather,
22 ambient temperature, rainfall), region (urban/rural), nestling age, and/or diet (trophic position; $\delta^{15}\text{N}$),
23 which suggests that multiple environmental and biological stressors, including PFAA-exposure,
24 influenced thyroid activity and immune function in these nestlings. Further research is warranted to
25 identify the mechanisms and additional impacts of PFAA-related thyroid and immune disruption on
26 the growth, development, and health risks in developing birds.

27 **1. Introduction**

28 Appropriate thyroid function, including the thyroid hormones (THs) thyroxine (T4) and
29 triiodothyronine (T3), are crucial for growth, development, reproduction, metabolism and
30 thermoregulation (McNabb, 2007), and consequently, is highly conserved across vertebrates. Given
31 their endocrine-disrupting potential, organohalogen compounds are likely to alter thyroid function,
32 including circulating T4 and T3 (Brouwer et al., 1998; McNabb, 2007). In birds, polychlorinated
33 biphenyls (PCBs), and polybrominated diphenyl ethers (PBDEs) and other flame retardants, have
34 reportedly disrupted thyroid function (e.g., Fernie et al., 2005; Ucán-Marín et al., 2008; Guigueno and
35 Fernie, 2017). Similarly, studies have observed associations between exposure to perfluoroalkyl acids
36 (PFAAs), specifically perfluorinated carboxylic and sulfonic acids, and disrupted thyroid function
37 and/or thyroid hormones (TH) in rodents (Thibodeaux et al., 2003; Yu et al., 2009), birds (Nøst et al.,
38 2012; Løseth et al., 2019), and humans (Wen et al., 2013; Li et al., 2017; Preston et al., 2020). The role
39 of THs differs in developing and adult individuals and is especially important during the developmental
40 stage, when perturbation in thyroid function can have long-lasting effects (Bernal and Nunez, 1995;
41 Zoeller et al., 2002).

42 MicroRNAs (miRNAs) are short non-coding RNA sequences (22–23 nucleotides) controlling
43 post-transcriptional gene regulation (Rodriguez et al., 2004), and are involved in a range of
44 physiological and pathophysiological processes (Bushati and Cohen, 2007; Ha and Kim, 2014). For
45 example, the miRNA, miR-155, has been identified as a key regulator in the homeostasis and function
46 of the immune system (Rodriguez et al., 2007). There is increasing evidence that exposure to
47 environmental contaminants induces alterations in miRNA expression (Avisar-Whiting et al., 2010;
48 Wang et al., 2012; Wang et al., 2015; Waugh et al., 2018; Badry et al., 2020). By extension, miRNAs
49 have been suggested as promising potential biomarkers of environmental exposure (Vrijens et al.,
50 2015), although to date, studies have predominantly focused on disease-related miRNA signatures
51 (Etheridge et al., 2011; Vrijens et al., 2015). Moreover, it has been suggested that THs are involved in
52 the regulation of miRNAs relevant to diseases and oxidative stress (Forini et al., 2019; Huang et al.,
53 2019). It is thus timely to investigate the suitability of miRNAs as biomarkers for environmental
54 exposure, as well as the relationships among exposure to environmental contaminants, miRNAs,
55 immune function and thyroid activity in wildlife.

56 PFAAs and their precursors are widely used in industrial, commercial and consumer products,
57 and some have been shown to be ubiquitous and persistent in the environment. For example, the
58 highly bioaccumulative perfluorooctane sulfonic acid (PFOS; Houde et al., 2006) was listed under
59 Annex B in 2009 of the U.N. Stockholm Convention on Persistent Organic Pollutants (SC-POPs), along

60 with its salts and related substances (Wang et al., 2009). Another prevalent PFAA, perfluorooctanoic
61 acid (PFOA), its salts and perfluorooctane sulfonyl fluoride (PFOSF), were also listed under the SC-POPs
62 in 2019 (Annex A; UNEP, 2019), with on-going assessments of other PFAAs, such as perfluorohexane
63 sulfonic acid (PFHxS) for listing under the SC-POPs (POPRC, 2019). Since PFAAs tend to have higher
64 bioaccumulative potential with longer fluorinated carbon chains (e.g., Conder et al., 2008), there has
65 been increasing production and use of the short-chain PFAAs as replacements (Ritter, 2010), yet little
66 is known about the toxicity of these replacement PFAAs to wildlife to date.

67 The peregrine falcon (*Falco peregrinus*) is an apex avian predator of the terrestrial food web
68 and a well-established sentinel species for characterizing environmental contaminants and potential
69 adverse effects on birds and potentially other wildlife (Ferne and Letcher, 2010; Smits and Ferne,
70 2013). The species is considered endangered under the Convention on International Trade in
71 Endangered Species of Wild Fauna and Flora (CITES). Previously, the exposure of nestling peregrine
72 falcons to various environmental contaminants in the Laurentian Great Lakes Basin of Canada was
73 associated with altered circulating THs, retinol, hepatic function, bone growth and associated
74 biochemistry measures (Smits and Ferne, 2013; Ferne et al., 2017).

75 In the present study, we examined regional differences and possible changes in circulating
76 THs and miR-155 in association with PFAA exposure of nestling peregrine falcons from rural and urban
77 regions across the Laurentian Great Lakes Basin (Ontario, Canada). The goal of the present study was
78 to provide a novel evaluation of the relationships of PFAA exposure, thyroid activity and immune-
79 related miRNA expression (i.e., miR-155). We present an integrated approach to assess such
80 relationships incorporating the spatiotemporal variations in these measures, diet (inferred from the
81 stable isotopes of carbon, nitrogen and sulfur), and biological factors (age, sex and body condition) of
82 this apex predator of the terrestrial food web.

83

84 **2. Material and Methods**

85 **2.1. Study species and sampling**

86 Peregrine falcon nestlings (23 ± 4 days old) were banded, blood sampled, and measured in
87 compliance with the guidelines of the Canadian Council of Animal Care and with all required scientific
88 permits. Blood samples were collected from sibling nestlings (usually one male and one female; $n =$
89 56) from 25 active nests across the Laurentian Great Lakes Basin in 2016 and 2018. The sampling
90 protocols and locations are described in detail elsewhere (Ferne et al., 2017; Sun et al., 2020). Briefly,
91 blood samples (≤ 1.1 mL per chick) were collected, centrifuged, and immediately stored in liquid
92 nitrogen until transferred and stored at -80 °C at the National Wildlife Research Centre (NWRC;

93 Ottawa, ON, Canada) or the Norwegian University of Science and Technology (miR-155 analysis only)
94 (CITES permit: 16NO-052-IM).

95 **2.2. Thyroid hormone analysis**

96 Free (F) and total (T) thyroxine (T4) and triiodothyronine (T3) were analyzed in the plasma of
97 each nestling at NWRC. Sample preparation has been described previously (Fernie et al., 2017). The
98 hormone concentrations were determined using commercially available enzyme immunoassay (EIA)
99 kits following the manufacturer's instructions (Diagnostics Biochem Canada Inc.). The concentrations
100 were quantified using standard curves constructed from serial dilutions of the calibration standard.
101 The method detection limits for the 2016 samples were 0.30 pg/mL, 0.08 ng/mL, 0.50 pg/mL and 3.00
102 ng/mL for FT3, TT3, FT4 and TT4, respectively, while for the 2018 samples, the limits were 0.15 pg/mL,
103 0.08 ng/mL, 0.50 pg/mL and 3.00 ng/mL, respectively. Results with a high coefficient of variability
104 (%CV > 20) were excluded. The method accuracy and analytical precision were assessed by the analysis
105 of standard reference material (SRM; human serum-based matrix samples provided by Diagnostics
106 Biochem Canada Inc.) and duplicated samples. Recoveries of SRMs ranged from 85.4% to 114%, and
107 relative percent differences (RPD) of duplicated samples were 9.8%, 7.9%, 5.3% and 15% for FT3, TT3,
108 FT4 and TT4, respectively. Concentrations are expressed in ng/mL (TT3 and TT4) and pg/mL (FT3 and
109 FT4).

110 **2.3. MicroRNA-155 analysis**

111 The analysis of miR-155 in nestling plasma was performed at the Norwegian University of
112 Science and Technology using previously established methods (Matz et al., 2013; Waugh et al., 2018),
113 and is described briefly here and reported in detail in the Supporting Information (SI). Sufficient
114 plasma for the miR-155 analysis was only available for nestlings that were sampled in 2016 ($n = 25$)
115 and not those sampled in 2018. Following manufacturer protocols, miRNA was extracted using a
116 miRNeasy Mini Kit (Qiagen, Oslo, Norway). RNA concentrations (ng/ μ L) were quantified using a
117 nanodrop spectrophotometer. Reverse transcription (RT), for synthesis of cDNA from the RNA samples,
118 was performed using the miScript II RT kit (Qiagen, Oslo, Norway). qPCR was conducted using a
119 miScript SYBR Green PCR kit (Qiagen, Oslo, Norway) together with a gga-mir-155miScript custom assay
120 (MSC0003997, Qiagen). qPCR was run in a LightCycler[®] 96 Instrument with the following: 15 min at
121 95 °C, three-step cycling at 15 s at 94 °C, 30 s at 55 °C and 30 s at 70 °C for 45 cycles. Analysis of data
122 was performed using R 3.4.3 (R Core Team, 2017). We used an analysis that transformed raw Cq values
123 from qPCR into molecule counts, a method explained in Matz et al., 2013.

124 2.4. PFAA analysis

125 PFAA analysis in plasma was conducted in Letcher's Organic Contaminants Research
126 Laboratory (OCRL; NWRC), and the analytical and quality assurance/quality control (QA/QC) details
127 are reported in [Sun et al., 2020](#). Briefly, target compounds (mainly PFAAs) including five
128 perfluoroalkane sulfonic acids (PFASs): PFBS, PFHxS, PFEtCHxS, PFOS and PFDS, and 13 perfluoroalkyl
129 carboxylic acids (PFCAs; C₄–C₁₄, C₁₆ and C₁₈): PFBA, PFPeA, PFHxA, PFHpA, PFOA, PFNA, PFDA, PFUdA,
130 PFDoA, PFTTrDA, PFTeDA, PFHxDA and PFODA (full names are provided in Table S1), were quantified
131 using UPLC-MS/MS operated in negative electrospray ionization (ESI) mode. Recoveries for internal
132 standards ranged 73%–100% and RPDs of duplicates ranged 4%–17% for PFOS and C₉–C₁₄ PFCAs.
133 Concentrations are expressed in ng/g (*ww*; wet weight).

134 2.5. Stable isotope analysis

135 The analysis of stable carbon (¹³C and ¹²C), nitrogen (¹⁵N and ¹⁴N) and sulfur (³⁴S and ³²S)
136 isotopes as proxies of trophic position (N) and food source (marine/freshwater-terrestrial gradient; C
137 and S; [Hobson, 1999](#)) was conducted at the Ján Veizer Stable Isotope Laboratory (Ottawa, ON,
138 Canada). Detailed analytical procedures and QA/QC results are reported elsewhere ([Fernie et al.,](#)
139 [2017](#); [Sun et al., 2020](#)). Briefly, nestling red blood cells were lipid-extracted and freeze-dried, and the
140 stable isotopes were determined using an isotope ratio mass spectrometer coupled to an elemental
141 analyzer. The ratios for C, N and S are expressed as δ values (‰) relative to their respective
142 international standards Vienna Pee Dee Belemnite, atmospheric N₂ and Vienna Cañon Diablo Troilite,
143 and normalized to calibrated internal standards. The analyses of internal standards revealed an
144 imprecision of $\leq 0.2\%$ for $\delta^{13}\text{C}$, $\delta^{15}\text{N}$ and $\delta^{34}\text{S}$.

145 2.6. Data analysis

146 All statistical analyses and plotting of the results were performed using R 4.0.2 ([R Core Team,](#)
147 [2020](#)). Analysis of variance (ANOVA) and Tukey HSD tests were used to determine the temporal (2016
148 vs. 2018) and regional (rural vs. urban) differences in TH concentrations. Shapiro-Wilk test was used
149 to examine the normality of THs, and log transformation was performed to achieve the ANOVA
150 assumption of normality when necessary. The non-parametric Kruskal-Wallis test was used to
151 evaluate the regional and sex differences in miR-155 counts. Only PFAAs detected in more than 90%
152 of the samples, i.e., three PFASs (PFHxS, PFOS and PFDS) and eight PFCAs (C₈–C₁₄ and C₁₆; Table S1),
153 were included in further analysis. Non-detects were replaced with half of the detection limits, except
154 when calculating sums at which time non-detects were set to zero. Pearson product moment

155 correlations were performed prior to modeling in order to assess the correlations among individual
156 PFAAs.

157 To investigate the relationships between PFAA exposure and THs, we fitted linear mixed-
158 effect models (LMMs; [Bates et al., 2015](#)) for each of the circulating THs and TH ratios (i.e., TT3:TT4
159 and FT3:FT4), the latter used to estimate thyroid gland activity ([McNabb, 2007](#)). Because of the strong
160 correlations among PFAA homologues and groups, each PFAA, Σ_3 PFSAs and Σ_8 PFCAs were included
161 individually in the LMMs. Covariates included year (potential inter-annual differences in, e.g., weather,
162 ambient temperatures, rainfall), region (rural and urban), nestling age, sex and dietary factors ($\delta^{13}\text{C}$,
163 $\delta^{15}\text{N}$, $\delta^{34}\text{S}$) were incorporated into the models to adjust for potential confounding effects. Nest site
164 (i.e., the identity of each individual nest) was included as a random effect to control for possible
165 correlations among siblings. Normality of the response variables was identified using the Shapiro-
166 Wilks test and log-transformation of the data was performed when necessary. We compared the
167 regression coefficients and 95% confidence intervals among PFAAs to determine possible associations
168 with THs and TH ratios.

169 Potential relationships between PFAA exposure and miR-155 were evaluated using
170 generalized linear mixed-effect models (GLMMs) for the negative binomial family ([Bates et al., 2015](#)).
171 Negative binomial distribution was selected due to the over-dispersion of miR-155 count data. PFAAs
172 were added individually to the models, with region (rural and urban), nestling age, and $\delta^{15}\text{N}$ as
173 covariates, and individual nest site included as a random effect. Possibly because sampling occurred
174 in one year only (2016), $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ were highly correlated with each other and with $\delta^{15}\text{N}$, and
175 therefore were not included as covariates in the GLMMs to avoid multicollinearity. Because of the
176 smaller sample size ($n = 25$) and the lack of a statistically significant difference in the miR-155 counts
177 between the sexes ($P = 0.83$), we did not include sex in the GLMMs to avoid over-fitting. Regression
178 coefficients and 95% confidence intervals were calculated and compared.

179 In addition, we evaluated the predictive abilities of PFAAs and covariates including year and/or
180 region, sex and/or age, and dietary tracers, for circulating THs and miR-155 employing a backward
181 [elimination](#) model selection procedure. The final models and predictors were selected based on AICc
182 – Akaike information criterion corrected for small sample size values. Furthermore, the potential
183 relationships between body condition index (calculated as body weight:tarsus length) and THs or miR-
184 155, as well as between THs and miR-155, were investigated by fitting LMMs with estimated body
185 condition indices and THs as outcomes, and THs and miR-155 as primary predictors, respectively, while
186 including potential confounding covariates as mentioned above. Finally, we checked the variance
187 inflation factors (VIFs, high VIFs indicate multicollinearity), potential outliers (using the Bonferroni
188 outlier test of studentized residuals), as well as the residual diagnostics for all models. The resulting

189 VIFs were within an acceptable range (< 5 ; Gareth et al., 2013), no outliers were detected in the tested
190 observations (all Bonferroni adjusted P -values ≥ 0.17), and model assumptions were validated.

191

192 3. Results and Discussion

193 3.1. PFAA exposure and circulating THs

194 Circulating concentrations of THs are closely regulated because of their importance to
195 physiology, growth and survival. The thyroid gland produces and stores T4 and some T3, that then
196 with appropriate stimulation, is released into circulation, with T4 deiodinated to T3, the more
197 biologically active TH (Yen, 2001). Total measures of circulating T3 and T4 include the free and bound
198 forms of each hormone, while the free or unbound forms, FT4 and especially FT3, are more biologically
199 meaningful measures. Circulating FT3 is notably important physiologically since it enters cells and
200 initiates related responses and actions (Abdalla and Bianco, 2014). When histological assessments are
201 not possible, the ratios of circulating THs provide an approximation of the activity of the thyroid gland
202 (McNabb, 2007). In the present study, the circulating TH concentrations in rural (TT4: 7–27 ng/mL;
203 TT3: 1–3 ng/mL) and urban nestlings (TT4: 4–25 ng/mL; TT3: 1–3 ng/mL) were comparable to
204 concentrations previously reported in peregrine nestlings from the same populations (Smits and
205 Fernie, 2013; Fernie et al., 2017). The present study also measured circulating FT4 and the biologically
206 active, FT3, in the same rural (6–14 and 2–10 pg/mL) and urban nestlings (4–12 and 2–7 pg/mL; Table
207 S2), a first for peregrine falcons to the best of our knowledge.

208 Organohalogen compounds are known to induce changes in circulating THs through multiple
209 mechanisms, including directly disrupting the activity of the thyroid gland and/or deiodinases and
210 subsequent activation and/or inactivation of THs, interference of the synthesis and metabolism of
211 transport proteins, and/or competitive binding to TH receptors (Brouwer et al., 1998; Gould et al.,
212 1999; Ucán-Marín et al., 2008; Miller et al., 2009; Long et al., 2013; Ren et al., 2015; Fernie and
213 Marteinson, 2016). Previous studies have reported significant correlations between circulating THs
214 and various legacy contaminants such as PCBs, PBDEs, and alternative flame retardants such as
215 octabromotrimethylphenyllindane (OBIND), in nestling peregrine falcons from the same populations
216 (Smits and Fernie, 2013; Fernie et al., 2017).

217 In peregrine nestlings of the present study, we found significant associations of PFAA exposure
218 with all of the measured circulating THs, except FT4, and estimated thyroid gland activity (TT3:TT4 and
219 FT3:FT4; all $P \leq 0.04$). In addition, all significant relationships were positive, i.e., TT4 with PFOA,
220 PFTeDA, PFHxDA and Σ PFCA; FT3 with PFHxS; TT3 with PFDS; TT3:TT4 with PFDS; and FT3:FT4 with
221 PFHxS, PFOA and PFTeDA, with one exception, the negative relationship of PFHxDA with TT3:TT4 (Fig.

222 1). Several studies have found significant relationships between THs and various PFAAs in nestlings of
223 predatory birds: circulating T4 was significantly associated with Σ PFAAs in nestling white-tailed eagles
224 from Norway (Løseth et al., 2019), and in seabirds, circulating T4 was significantly associated with
225 PFHpS, PFOS and PFNA in nestling black-legged kittiwakes (*Rissa tridactyla*) and northern fulmars
226 (*Fulmarus glacialis*) from Svalbard (Nøst et al., 2012).

227 In the present study, five of the 18 measured PFAA homologues, PFHxS, PFDS, PFOA, PFTeDA
228 and PFHxDA, were repeatedly and positively related with measurements of thyroid activity in the
229 nestling peregrines, that suggests probable disruption of the thyroid system of the peregrine falcon
230 nestlings. In the peregrine nestlings, estimated thyroid gland activity (T3:T4) was related to circulating
231 PFHxS, PFDS, PFOA, PFTeDA, and PFHxDA, and there was an observed increase in circulating T4 (TT4)
232 in relation to three of these same PFAA homologues, specifically PFOA, PFTeDA, and PFHxDA. Since
233 the thyroid gland produces and releases mostly T4 into circulation, we suggest that PFOA, PFTeDA and
234 PFHxDA may alter T4-related activity in the thyroid gland thereby contributing to changes in circulating
235 T4 concentrations. The positive relationships of PFHxS with FT3, and PFDS with TT3, suggest an
236 increase in circulating T3 concentrations in association with the exposure of the peregrine falcons to
237 these particular PFAAs, perhaps in relation to increasing circulating T4 and/or altered T4 deiodination
238 to T3. If sufficiently disrupted, increased T3 concentrations may interfere with the negative feedback
239 mechanisms of the hypothalamic-pituitary-thyroid axis including thyroid gland activity, disruption of
240 TH homeostasis, and/or TH dependent processes (Abdalla and Bianco, 2014), potentially leading to
241 fitness consequences for developing nestlings (see Section 3.4). Certainly in these same peregrine
242 nestlings, there were significant associations among PFAAs, THs and/or body condition (see also Sun
243 et al., 2020) that warrant further research, particularly to identify the various mechanisms involved in
244 these changes.

245 In comparison with the more prevalent and/or well-studied PFAAs, such as PFOS and PFOA,
246 our findings highlight the potential thyroid disruptive effects of shorter-chain PFSA (PFHxS) and long-
247 chain PFAAs (PFDS, PFTeDA and PFHxDA) in peregrine falcon nestlings. Although epidemiological
248 studies have reported associations of the comparatively less studied PFAAs such as PFHxS with altered
249 circulating THs in adult and infant humans (Wen et al., 2013; Preston et al., 2020), such potential
250 effects have received less attention in research with wildlife. Nevertheless, mechanistic studies have
251 suggested several potential disruptive pathways of PFHxS and PFHxDA. For example, exposure to
252 PFHxS (and other short-chain PFAAs) can induce downregulation of mRNA expression of transthyretin
253 (a major TH binding protein in the bloodstream of birds) in herring gull embryonic neuronal cells
254 (Vongphachan et al., 2011). In addition, exposure to high concentrations of PFHxS were found to
255 increase rat pituitary T3-dependent cell growth, likely due to the similar modes of action shared by T3

256 and PFHxS (Long et al., 2013), while PFHxDA was found to significantly stimulate TH-responsive cell
257 growth by activating the TH receptor-mediated pathway (Ren et al., 2015). Here, the observed
258 significant associations of PFHxDA with TT4 and/or apparent decreased glandular activity (TT3:TT4),
259 as well as of PFHxS with the biologically active FT3 and/or apparent increased glandular activity
260 (FT3:FT4), are supportive of potential disruptive effects of these compounds *in vivo* on thyroid activity
261 in developing birds. Further investigations are needed in order to elucidate the mechanisms involved
262 in these relationships. It is also interesting that we did not observe a comparable association of PFOS
263 and alterations in THs as was reported for humans (Wen et al., 2013; Preston et al., 2020), rat pups
264 (Yu et al., 2009), and seabirds (Nøst et al., 2012), which may indicate possible species-specific effects
265 of PFOS exposure that warrant further investigation.

266 Shorter-chain PFAAs such as PFHxS may have greater bioavailability compared to longer-chain
267 PFAAs, as the stronger binding-potential to extracellular proteins of long-chain PFAAs may reduce
268 their uptake into neuronal cells (Vongphachan et al., 2011). We also observed significant associations
269 with PFHxS and THs, highlighting the need for further PFAA research with these birds and other
270 wildlife, especially since global manufacturers have been replacing long-chain PFAAs with short-chain
271 PFAAs in the past decade (Wang et al., 2014). Indeed, there appears to be a widespread presence of
272 PFHxS in Canadian waters, given that its precursor perfluorohexane sulphonamide (FHxSA) has been
273 detected in all urban sites and sites impacted by aqueous film forming foams (D'Agostino and Mabury,
274 2017). The results of the current study contribute to the growing evidence that PFAAs, here PFHxS,
275 can modulate circulating THs, particularly the biologically active FT3, in growing birds. We further
276 hypothesize that this occurs through PFAAs altering thyroid function including thyroid gland activity,
277 and recommend future research investigate this hypothesis including possible modifications of the
278 deiodination of T4 to T3 and changes to transport proteins.

279 **3.2. PFAA exposure and plasma miR-155 count**

280 In the (avian) immune system, miR-155 regulates cytokine production, T-cell differentiation,
281 T-cell antibody responses, and B-cell proliferation (Rodriguez et al., 2007; Thai et al., 2007), and is
282 likely a sensitive pathway for chemically-induced immunomodulation (Badry et al., 2020). To our
283 knowledge, this is the first study that investigated potential relationships between miR-155 and PFAA
284 exposure in a free-ranging raptor species. We observed significantly negative relationships of plasma
285 miR-155 counts and concentrations of PFHxS ($P = 0.01$) and PFOA ($P < 0.001$; Fig. 2), suggesting that
286 as concentrations of PFHxS and PFOA increased, there was an associated decline in miR-155 counts in
287 the nestling peregrines.

288 Previous studies have reported potential adverse effects of various miRNAs from PFAA
289 exposure, such as the significant positive associations of circulating PFOA with miR-26b and miR-199a-
290 3p in humans (Wang et al., 2012), and significant alterations induced by PFOS on the expression of
291 multiple miRNAs in livers of developing rats (Wang et al., 2015). Broadly consistent with these previous
292 findings, our results suggest the potential inhibition and deregulation of PFHxS and PFOA exposure on
293 miR-155 expression in nestling peregrine falcons. Our results, therefore, suggest that miR-155 may
294 function as a marker for PFAA exposure in raptors and as an indicator of possible immunomodulation
295 induced by exposure to environmental contaminants. The lack of a significant association between
296 PFOS and miR-155 in the present study may nonetheless warrant further assessment in this and other
297 species. miR-155 is essential for maintaining normal immune function, as it is involved in multiple core
298 processes, such as the regulation of dendritic cells and T and B lymphocytes, which are required for
299 protective immunity (Rodriguez et al., 2007; O'Connell et al., 2010). miR-155 deficiency may lead to
300 profound disruption of the immune system and consequently impairment in antibody responses to
301 disease and infection (Thai et al., 2007; Dudda et al., 2013). Increased susceptibility to disease through
302 exposure to environmental contaminants has also been suggested, as significant downregulation of
303 miR-155 was found in primary chicken fibroblasts after exposure to a commercial PCB mixture (Vaughn
304 et al., 2018). Consequently, we recommend that future studies investigating PFAA-related changes in
305 avian immune function should include concurrent assessments of miR-155 and additional *in vivo*
306 immune markers (e.g., immune-related blood cell counts). Furthermore, epigenetic mechanisms,
307 including miRNAs, are linked to the regulation of synthesis and/or action of multiple hormones (Zhang
308 and Ho, 2011). Thus, the significant negative relationships of miR-155 with PFHxS and PFOA observed
309 in the present peregrine falcons, may have important implications for immune and potentially thyroid
310 function of peregrine falcon nestlings in this study.

311 3.3. Optimal predictors for THs and miR-155

312 In the present study, we examined a suite of variables including PFAAs, biological factors (i.e.,
313 year and/or region, age, sex) and dietary tracers (i.e., $\delta^{15}\text{N}$ (trophic position), $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ (foraging
314 location)), in predicting circulating THs, estimated glandular activity (T3:T4 ratios), and possible
315 immunomodulation (miR-155). Consistent with our results (Figs. 1 and 2), several PFAAs were included
316 as significant predictors in the most parsimonious model for all outcomes except FT4 (Table 1 and Fig.
317 3). In particular, PFHxDA significantly predicted circulating TT4 and TT3:TT4 ($P < 0.001$ and $P = 0.002$,
318 respectively). In terms of T3, PFHxS significantly predicted circulating FT3 and FT3:FT4 ($P = 0.017$ and
319 $P = 0.007$, respectively), and PFDS significantly predicted circulating TT3 ($P = 0.004$). Moreover, in
320 terms of immune-related miRNA expression, PFOA significantly predicted miR-155 counts ($P = 0.001$).

321 Our results clearly demonstrate strong associations between the exposure of the peregrine nestlings
322 to environmental concentrations of several PFAAs and variations in markers of thyroid and immune
323 function in the nestlings, thereby providing novel insights in the endocrine and immune disruptive
324 potential of these PFAAs. Future studies on the toxicity thresholds of these particular PFAAs are
325 recommended.

326 Among various cues, environmental factors (e.g., weather, ambient temperature, rainfall)
327 mediate avian thyroid gland activity and circulating THs (e.g., [Ferne et al., 2019](#)), and likely (partially)
328 explain the between-year patterns in thyroid parameters observed in the present peregrine nestlings
329 (Table 1). The year in which the birds were sampled significantly explained the variation in the
330 concentrations of the circulating THs and thyroid gland activity (TT3:TT4) (all $P < 0.001$), consistent
331 with the significant differences observed in circulating THs between 2016 and 2018 in both rural and
332 urban nestlings (Fig. 4A–D). In addition, region was also a significant predictor of circulating TT4 and
333 approximate glandular activity (TT3:TT4) in the most parsimonious models (Table 1), identifying
334 thyroidal differences between urban and rural nestlings. We observed significantly higher
335 concentrations of FT3 ($P = 0.03$) and TT4 ($P = 0.01$) in the rural nestlings compared to the urban chicks
336 in 2016 (Fig. 4A and D), reflecting similar findings from the same peregrine populations sampled in the
337 mid-2000s and 2010 ([Smits and Fernie, 2013](#); [Ferne et al., 2017](#)). Furthermore, we found significantly
338 different plasma miR-155 counts ($P = 0.005$) between rural (mean = 14; $n = 12$) and urban (mean = 67;
339 $n = 13$) nestling peregrines in 2016 in the present study (Fig. 4E). Accordingly, region significantly
340 predicted miR-155 counts ($P < 0.001$; Table 1).

341 To further elucidate any potential regional differences in the influence of PFAA exposure on
342 THs and miR-155 expression, we fitted regression lines of the PFAAs included in the final models (Table
343 1) separately for rural and urban nestlings (Figs. S2 and S3). The pattern of circulating THs and ratios,
344 as well as miR-155 counts, in relation to PFAAs appeared to be largely homogeneous in nestlings
345 between the two regions, suggesting the likely consistent influence of PFAA exposure on thyroid
346 activity and immune function in the present peregrine falcon nestlings irrespective of the regional
347 populations. Nevertheless, potential divergent effects may exist between regions, as the relationships
348 of FT3 and FT3:FT4 with PFHxS were more evident in urban nestlings compared to rural nestlings,
349 while for the latter nestlings, the relationship of TT3 and PFDS was more evident. It may therefore be
350 beneficial to further assess the regionally-specific effects of individual PFAAs (e.g., PFHxS, PFOA) on
351 thyroid activity, immune function, and other physiological responses, especially in the context of
352 multiple stressors to which birds are often exposed, in particular in urban environments ([Suri et al.,](#)
353 [2017](#); [Isaksson, 2018](#); [Marteinson and Verreault, 2020](#)).

354 Consistent with the role of the thyroid system in regulating growth and development of young
355 animals, we observed significantly positive relationships with nestling age, circulating TT3 and
356 estimated thyroid gland activity (TT3:TT4) (both $P < 0.001$) in the present peregrine nestlings (Table
357 1). Likewise, significant associations with THs and nestling age have been observed in other raptor
358 species (Fernie and Marteinson, 2016; Løseth et al., 2019). However, we did not observe an
359 association between age and miR-155 in the studied nestlings.

360 In the present study, we also observed a significant relationship of trophic position, i.e.,
361 proxied by $\delta^{15}\text{N}$, and estimated thyroid gland activity (FT3:FT4) ($\beta = -0.20$, $P = 0.04$). Such associations
362 suggest a possible suppression of thyroid gland activity in peregrine falcon nestlings feeding on higher
363 trophic level prey, and the contribution of other ecological factors, e.g., weather, should be
364 investigated in further studies. Neither $\delta^{13}\text{C}$ nor $\delta^{34}\text{S}$ was included in the most parsimonious models
365 for any of the circulating THs or estimated measures of thyroid gland activity, suggesting that trophic
366 position rather than foraging location is likely a more suitable dietary predictor of thyroid gland
367 activity in peregrine falcon nestlings. This is perhaps not surprising since nestlings, especially those at
368 17–26 days of age such as those in this study, are fed avian prey from within the breeding territory,
369 and some breeding territories will have a greater selection of prey from a broader range of trophic
370 positions (e.g., rural, northern birds) compared to urban birds that may predominantly consume rock
371 doves (*Columba livia*).

372 **3.4. Inter-relationships of PFAAs, THs, miR-155 and body condition**

373 Alterations in thyroid function have been shown to affect avian metabolism and growth
374 (McNabb, 2007). In the present study, the body condition of nestling peregrine falcons was best
375 predicted by $\delta^{34}\text{S}$, FT3, TT3 and sex (Fig. S4). Although epigenetic mechanisms such as miRNAs may be
376 closely linked with endocrine function, including TH regulation, through the activation or repression
377 of the expression of nuclear receptors (Zhang and Ho, 2011), in the present study we did not observe
378 any relationship between miR-155 and THs, or between body condition and miR-155 (all $P > 0.12$).

379 We previously reported that a higher body condition index (i.e., better body condition) and
380 significantly depleted $\delta^{34}\text{S}$ occurred in the urban nestlings compared to rural nestlings (Sun et al.,
381 2020). This, in conjunction with the significant associations of body condition, $\delta^{34}\text{S}$ and T3 observed
382 here, may suggest potential dietary mediation of body condition through modulation of circulating TH
383 concentrations, in addition to the influence of other factors such as trophic level, food availability, and
384 weather. Furthermore, we also found that nestling body condition was significantly and negatively
385 associated with ΣPFCA burden in peregrine falcon nestlings (Sun et al., 2020). Thus, the significant
386 relationships of estimated thyroid gland activity (FT3:FT4) with PFOA and PFTeDA that we observed

387 here, may imply that such associations could be through the mechanism of PFCA-related thyroid
388 disruption. In addition, several PFAAs have been observed to be associated with telomere length or
389 survival rates in glaucous gulls (*Larus hyperboreus*), further suggesting the effect of PFAA exposure on
390 epigenetic mechanisms and ultimately and potentially, demographic responses (Sebastiano et al.,
391 2020).

392 In summary, the present study characterized the relationships of a suite of biomarkers of
393 thyroid activity (circulating THs, estimated thyroid gland activity) and immune-related factors (i.e.,
394 miR-155), with exposure to individual PFAAs in nestlings of an apex avian predator – the peregrine
395 falcon. The significant relationships we identified among the birds' exposure to several PFAAs with
396 most of these biomarkers, highlight the role of PFAAs in a complex network encompassing epigenetics,
397 physiology and the environment. Our results thus provide novel evidence and insight into the effects
398 on wildlife associated with their exposure to PFAAs, and we recommend further studies to elucidate
399 the related mechanisms and to assess ultimate fitness consequences. Further research that
400 concurrently assess miR-155 and other *in vivo* immune endpoints (e.g., immune-related blood cell
401 counts) would be beneficial to further characterize PFAA-related changes in avian immune function.
402 Additional associations among these biomarkers with other ecological and biological factors including
403 year, region (rural/urban), age and/or diet were also observed. Such an integrated approach is thus
404 encouraged for future studies to assess the toxicological impacts of PFAA exposure and accumulation
405 in peregrine falcons and other wildlife, and that concurrently assess the potential influence of other
406 ecological variables, e.g., weather, and environmental contaminants in mediating thyroid function and
407 activity.

408

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556

557 Table legends

558 **Table 1** Model output for plasma free and total thyroxine (FT4 and TT4) and triiodothyronine (FT3 and TT3),
559 ratios of TT3:TT4 and FT3:FT4 (2016 and 2018) and miR-155 (2016) in peregrine falcon nestlings from the
560 Laurentian Great Lakes Basin, Canada. Results are from the most parsimonious models (lowest AICc value, see
561 Table S3 and S4 for full models). FT3, TT3, TT3:TT4 and FT3:FT4 were log-normalized to meet model assumptions.
562 Nest identity was included as a random effect in all models. The categorical variables of year and region
563 represent 2018 and urban, respectively. Significant *P* values are bolded. R^2_m : marginal pseudo R^2 .

564

565 Figure legends

566 **Figure 1** Associations between PFAA exposure and circulating free and total thyroxine (FT4 and TT4) and
567 triiodothyronine (FT3 and TT3) and ratios of TT3:TT4 and FT3:FT4 in nestling peregrine falcons sampled in 2016
568 and 2018 from the Laurentian Great Lakes Basin, Canada. Regression coefficients/estimates (β) and 95%
569 confidence intervals are obtained from linear mixed-effect models with year, region (rural/urban), age, sex,
570 dietary factors ($\delta^{13}C$, $\delta^{15}N$ and $\delta^{34}S$) and nest identity (random factor) adjusted. FT3, TT3, TT3:TT4 and FT3:FT4
571 were log-transformed to meet model assumptions. Asterisks indicate significant associations (* $P < 0.05$, ** $P <$
572 0.01 , *** $P < 0.001$) between PFAA exposure and THs. *P* values for all PFAAs are given in the figure, model output
573 details are given in Table S3.

574

575 **Figure 2** Associations between PFAA exposure and plasma miRNA-155 counts in nestling peregrine falcons
576 sampled in 2016 from the Laurentian Great Lakes Basin, Canada. Regression coefficients/estimates (β) and 95%
577 confidence intervals are obtained from generalized linear mixed-effect models for the negative binomial family.
578 Covariates including region (rural/urban), age, dietary factor ($\delta^{15}N$) and nest identity (random factor) were
579 adjusted. Asterisks indicate significant associations. *P* values for all PFAAs are given in the figure, model output
580 details are given in Table S4. PFTeDA is not shown due to failed model convergence, nevertheless, the model
581 included only region and PFTeDA showed an insignificant relationship between PFTeDA and miR-155 ($P = 0.969$).

582

583 **Figure 3** Relationships of PFAAs with total thyroxine (TT4), free and total triiodothyronines (FT3 and TT3), ratios
584 of TT3:TT4 and FT3:FT4 (2016 and 2018), and miR-155 (2016) in nestling peregrine falcons from the Laurentian
585 Great Lakes Basin. Regression lines were fitted using model effect output and adjusted for covariates, e.g., year,
586 region, and/or age (see Table 1 for further details). For better visualization, three data points with the highest
587 concentration of PFHxDA (3 ng/g; TT4 effect dataset), PFDS (8 ng/g; TT3 effect dataset) and PFHxDA (0.9 ng/g;

588 TT3:TT4 effect dataset) are not shown (i.e., there are no statistical outliers: Bonferroni *P* values for studentized
589 residuals are 0.17, 0.28 and 0.45, respectively), and plots with the highest values are presented in Figure S1.

590

591 **Figure 4** Comparisons of plasma thyroid hormone concentrations (sampled in 2016 and 2018; *A–D*) and miR-155
592 counts (sampled in 2016; *E*) between rural and urban nestling peregrine falcons from the Laurentian Great Lakes
593 Basin. Significant differences in thyroid hormones were identified using ANOVA and Tukey tests, FT3 and TT3
594 were log-normalized and here are shown in original scales back-transformed using “emmeans” package. For
595 miR-155 counts we used the Kruskal-Wallis test ($\chi^2 = 8.03$, $P = 0.005$). Significant differences are shown as: * P
596 < 0.05 , ** $P < 0.01$, *** $P < 0.001$.

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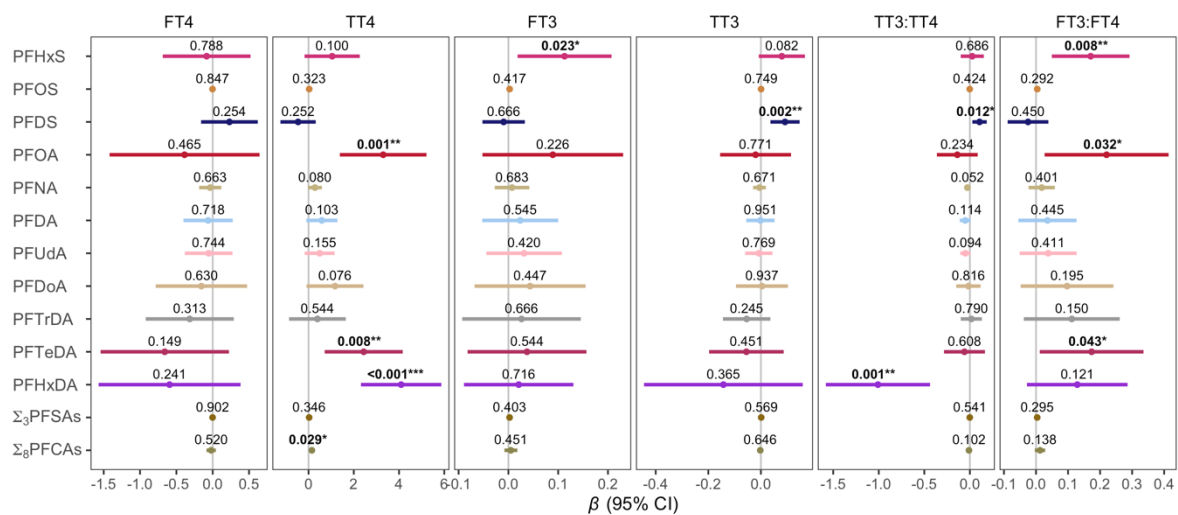
598 **Table 1**

	<i>n</i>	fixed effect	intercept	estimate, <i>P</i> -value	<i>R</i> ² _m
FT4	55	Year	10.5	-3.03, <0.001	0.47
TT4	55	Year + Region + PFHxDA	14.7	5.21, <0.001; -3.19, 0.002; 4.44, <0.001	0.56
FT3	55	Year + PFHxS	1.45	-0.60, <0.001; 0.12, 0.017	0.51
TT3	52	Year + Age + PFDS	-0.41	-0.46, <0.001; 0.05, <0.001; 0.08, 0.004	0.67
TT3:TT4	51	Year + Region + Age + PFHxDA	-3.04	-0.89, <0.001; 0.40, <0.001; 0.05, 0.001; -0.94, 0.002	0.78
FT3:FT4	54	δ ¹⁵ N + PFHxS	0.61	-0.20, 0.036; 0.17, 0.007	0.17
miR-155	25	Region + PFOA	3.35	2.54, <0.001; -1.73, 0.001	0.50

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601 **Figure 1**

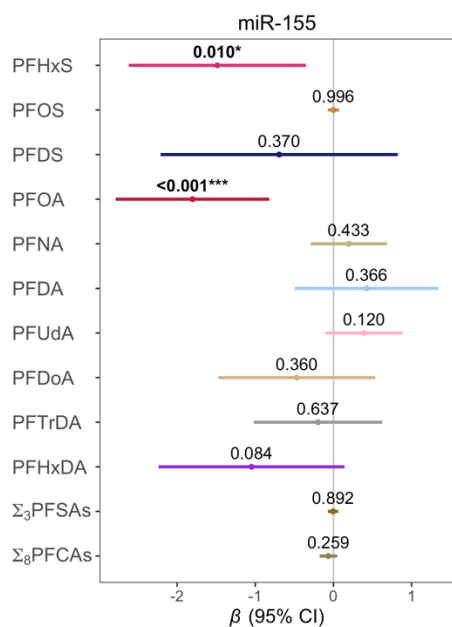


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605 **Figure 2**



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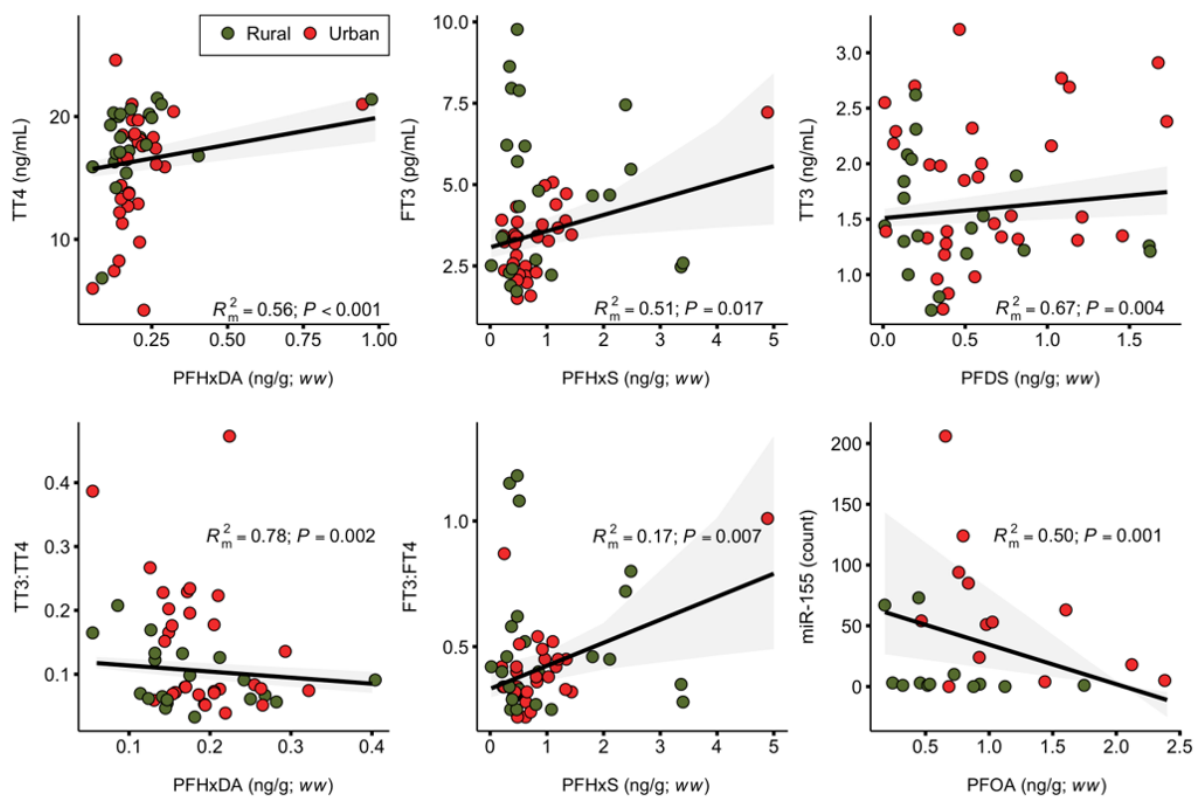
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Figure 3

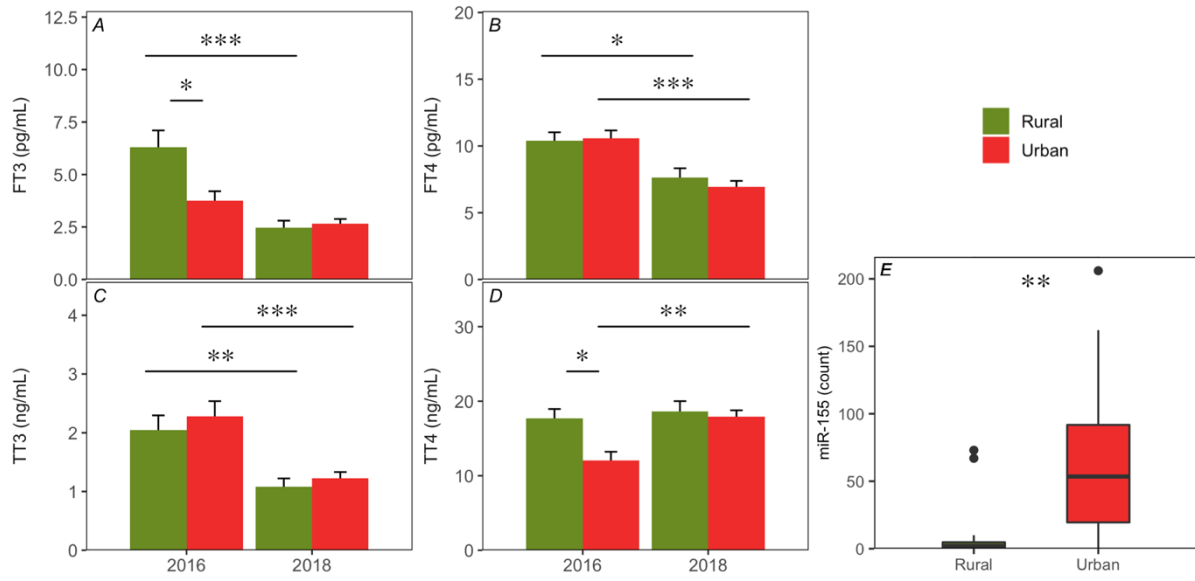


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627 **Figure 4**

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