

# Nanocomposites

## On the low reinforcing efficiency of carbon nanotubes in high-performance polymer fibres

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<b>Order of Authors:</b>	Ton Peijs Stergios Goutianos
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# On the low reinforcing efficiency of carbon nanotubes in high performance polymer fibres

Stegios Goutianos<sup>a</sup>, Ton Peijs<sup>b,\*</sup>

<sup>a</sup>*Department of Manufacturing and Civil Engineering, Norwegian University of Science and Technology, Teknologivegen 22, 2815 Gjøvik, Norway*

<sup>b</sup>*University of Warwick, WMG, Materials Engineering Centre, CV4 7AL, Coventry, UK.*

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## Abstract

Driven by the exceptionally high mechanical properties of carbon nanotubes (CNTs), over the years an extensive research effort has been devoted to the reinforcement of high-performance polymer fibres with CNTs. However, to date, improvements in the strength of these fibres have been rather modest even for relatively high CNT contents. After a brief review of CNT reinforced polymer fibres, here, analytical and numerical models will be used to show that these experimental findings are to be expected based on the intrinsic mechanical properties of these polymer fibres and CNTs, their aspect ratio and interfacial characteristics. Results show that for realistic CNT contents and aspect ratios, the extraordinary strength of CNTs cannot be fully exploited in high-performance polymer fibres like Dyneema<sup>®</sup> or Kevlar<sup>®</sup>, even if these CNTs are perfectly aligned and homogeneously dispersed, due to the low intrinsic shear strength of these highly anisotropic fibres.

*Keywords:* Carbon nanotubes; nanocomposite; polymer fibre; polyethylene; aramid; fibre anisotropy; interface; stress transfer; micromechanics; finite element modelling

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## 1. Introduction

Carbon nanomaterials, in particular carbon nanotubes (CNTs), have been extensively studied as a reinforcement to produce high strength, low density and highly conductive composites, owing to their exceptional mechanical and

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\*Corresponding author: Ton Peijs (t.peijs@warwick.ac.uk)

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9 electrical properties [1–4]. Since their discovery [5], these materials have been  
10 considered as ideal reinforcements for a wide range of new multifunctional  
11 composites [6]. CNTs have often been considered as the next generation high  
12 performance carbon fibres due to their ultra-high strength [4, 7, 8]. While the  
13 modulus of individual CNTs ( $\sim 1$  TPa) is still rather close to the modulus of  
14 some high modulus carbon fibres (600–800 GPa), their tensile strength ( $\sim 100$   
15 GPa), is some order of magnitude greater than that of the strongest carbon  
16 fibre ( $\sim 7$  GPa). Despite their promise as the next generation reinforcement  
17 for polymer composites, even after several decades of exhaustive research,  
18 these high expectations have not been met yet [8, 9]. Next to the direct  
19 reinforcement of polymer matrices, several groups aimed to develop macro-  
20 scopic yarns as direct replacement for carbon fibre yarns by direct spinning  
21 of aligned arrays of nanotubes [10–14]. However, unless tested at ultra-short  
22 gauge lengths, these macroscopic yarns possess mostly modest strength val-  
23 ues, which are typically well below the strength of commercially available  
24 carbon fibre.  
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30 Also as reinforcement in polymer matrices the high expectations of CNTs  
31 have not always been met. Poor interfacial adhesion to polymer resins, their  
32 tendency to agglomerate in bundles, and their entangled nature and random  
33 organisation in polymer matrices are some of the reasons for not fully exploit-  
34 ing their intrinsic properties [9, 15, 16]. As with most nanocomposite studies,  
35 initial attempts to optimize properties focussed on improving the dispersion  
36 of CNTs in polymer matrices through surface modifications or the use of sur-  
37 factants [17, 18]. Moreover, it was shown that effective mechanical reinforce-  
38 ment is only achieved with single-walled carbon nanotubes (SWCNT) rather  
39 than the more commonly used multi-walled carbon nanotubes (MWCNT)  
40 [19, 20], and only for nanotubes of high aspect ratio ( $> 1000$ ) [4, 8]. Even  
41 from the early introduction of CNTs it was recognised that a key aspect to  
42 the exploitation of the intrinsic properties of CNTs in polymer composites  
43 was to orient them in the matrix and to create one dimensional assemblies  
44 [21, 22]. Nanotubes can be aligned after embedding them in liquid resins by  
45 applying an electric or magnetic field [23, 24]. Alternatively, they can be ori-  
46 ented by flow during polymer processing [25, 26]. Particularly, fibre spinning  
47 has proven itself as a methodology that can successfully align nanotubes at  
48 relatively low loadings ( $< 5$  wt%), and a wide variety of techniques, including  
49 melt-, solution-, gel- or electrospinning [4, 15, 27–32] have all been used.  
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55 Classified by their performance, synthetic fibres can be divided into con-  
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9  $\sim 15$  GPa, and high performance fibres with tensile strengths of  $\sim 3$  GPa and  
10 moduli of  $\sim 100$  GPa. To achieve a high modulus and tensile strength, poly-  
11 mer molecules need to exhibit an extended chain conformation. In the case  
12 of flexible chain polymers like nylon and polyester this is (partly) achieved  
13 by solid-state drawing at elevated temperatures below the melting temper-  
14 ature. However, effective chain alignment requires chain disentanglement,  
15 which means that typically properties of melt-spun fibres based on flexi-  
16 ble chain polymers are far from optimal, viz. well below their theoretical  
17 or crystal modulus [33, 34]. Until the late-1960s, nylon and polyester rep-  
18 resented the state-of-the-art in man-made fibres, while the development of  
19 high-performance polymeric fibres started only in the early 1970s. Since  
20 then significant progress has been made in exploiting the intrinsic mechanical  
21 properties of macromolecular chains for the development of high performance  
22 fibres and two major routes were developed which differ with respect to the  
23 base material, namely, rigid versus flexible polymer chains [35].

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28 A major breakthrough in the production of high modulus and high strength  
29 polymer fibres based on flexible chain polymers was achieved by the solution  
30 (or gel) spinning process developed at DSM in the Netherlands at the end of  
31 the 1970s [36–39]. Smith and Lemstra [36] discovered that as-spun ultra-high  
32 molecular weight polyethylene (UHMWPE) filaments from solution could be  
33 hot-stretched in the solid-state below the melting temperature to very high  
34 draw ratios. In this so-called gel-spinning process, a morphology with a very  
35 low entanglement density in the as-spun gel-like fibre is obtained, which ren-  
36 ders them ultra-drawable. Dyneema<sup>®</sup> by DSM (Netherlands) and Spectra<sup>®</sup>  
37 by its licensee Allied Signal (now Honeywell, USA) are two commercially  
38 available high performance UHMWPE fibres. These fibres have Young’s  
39 moduli that range from 80 to 150 GPa and tensile strengths of 2.5 to 4 GPa,  
40 i.e., 100 times that of bulk polyethylene [39]. In combination with their low  
41 density ( $< 1$  g/cm<sup>3</sup>), this leads to exceptionally high specific mechanical prop-  
42 erties (properties per unit weight), making these UHMWPE fibres of interest  
43 for a wide range of applications ranging from maritime ropes to protective  
44 gloves, bullet-proof vests, and other advanced composites applications.

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49 The prime example of a rigid chain polymer fibre is aromatic polyamide  
50 (aramid) or poly(p-phenylene terephthalamide) (PPTA) [40]. In the late  
51 1960s, DuPont’ scientists developed para-aramid fibres that were three times  
52 as strong as nylon ( $\sim 2.5$  GPa) and possessed a far higher modulus (60-120  
53 GPa). Here, orientation with an extended chain configuration is achieved  
54 through a liquid crystalline phase spinning process. As chain extension in  
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9 these rigid rods is already built in, it is not essential to highly post-draw the  
10 as-spun filaments. Para-aramid fibres are manufactured under the trademark  
11 of Kevlar<sup>®</sup> (Du Pont, USA) and Twaron<sup>®</sup> (Teijin Aramid, Netherlands).  
12 More recent developments in the area of (semi-) rigid rod fibres includes the  
13 poly(p-phenylene-2,6-benzobisoxazole) (PBO) fibre from Toyobo (Zylon<sup>®</sup>),  
14 polyimide (PI), and aromatic polyester (Vectran<sup>®</sup>).  
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17 Over the last two decades, significant efforts were made to produce CNT  
18 reinforced polymer fibres with superior mechanical and functional properties  
19 for a wide range of polymers [27–29]. The majority of these studies involved  
20 melt- or solution spun nanotube enhanced fibres with rather modest me-  
21 chanical properties based on polymers such as polypropylene (PP) [41–43],  
22 poly(ethylene terephthalate) (PET) [44, 45], polyamide (PA) [46–48], polyacry-  
23 lonitrile (PAN) [49, 50], poly(vinyl alcohol) (PVA) [15, 51–53], poly(lactic  
24 acid) (PLA) [54], and poly(ether ether keton) (PEEK) [55]. Although many  
25 of these studies reported increased fibre properties, only few studies achieved  
26 effective nanotube reinforcement, while none of these nanotube enhanced fi-  
27 bres possessed mechanical properties that are competitive with commercial  
28 high performance fibres. For the majority of these nanocomposite fibres, the  
29 effective stress carried by the CNTs, as back-calculated by a rule of mixtures  
30 relationship  $\sigma_c = \sigma_f V_f + \sigma_m(1 - V_f)$ , was well below 7 GPa [4], i.e. the  
31 strength of the strongest carbon fibre. In fact, for a number of nanocompos-  
32 ite fibres the stress carried by the CNTs did not even exceed 2-3 GPa, i.e.  
33 the strength of a baseline carbon fibre [4]. Only few nanocomposite fibres  
34 attained a reinforcing efficiency in the order of tens of GPa e.g. [41, 43, 47],  
35 albeit still well below the ultimate strength of CNTs ( $\sim 100$  GPa). However,  
36 a very high effective reinforcement level was achieved by Wang et al. [53]  
37 who reported a threefold increase in strength, from 400 to 1200 MPa, with  
38 the addition of 1 wt% SWCNT in oriented PVA. Evaluating the data using  
39 the rule of mixtures resulted here in an effective nanotube stress contribution  
40 of 88 GPa, viz. approaching the ultimate tensile strength of SWCNTs.  
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43 As mentioned earlier, while some of above studies reported nanotube re-  
44 inforcement, the majority of these fibres possessed overall mechanical prop-  
45 erties that were well below those of commercial high performance polymer  
46 fibres with typical tensile strengths in the order of 2-3 GPa. However, given  
47 their ultra-high tensile strength potential, the prospect of a substantial in-  
48 crease in tensile strength of high performance fibres through the introduction  
49 of CNTs is still very intriguing [27, 56–60]. Especially their introduction in fi-  
50 bres based on rigid rod polymers seemed appropriate since CNTs themselves  
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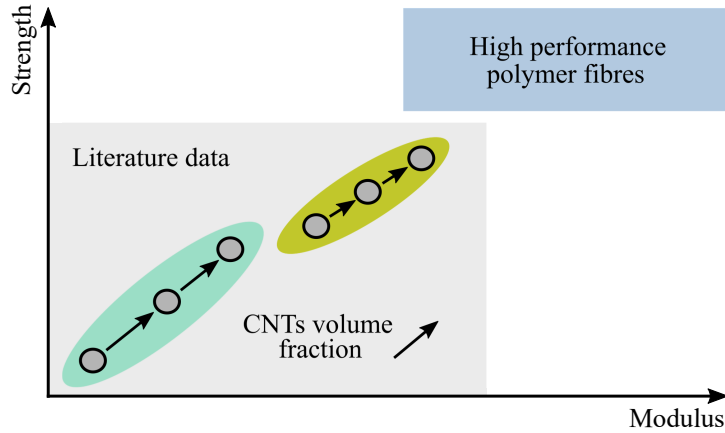


Figure 1: Typical reinforcing effect of CNTs on the modulus and strength of polymer fibres with low or medium properties as compared to commercial high performance fibres.

can exhibit liquid-crystalline behaviour [61, 62]. Current achievements in reinforcing polymer fibres with CNTs are shown schematically in Fig. 1: efficient reinforcement is typically reported for polymer fibres with relatively modest strength and stiffness, whereas little data exists that demonstrates major improvements in strength and stiffness of high performance polymer fibres. Kumar et al. [56] showed that the strength of PBO fibres increased by 60% with a SWCNT volume fraction of 10%. However, although interesting and significant, even at a 10 times higher CNT content, this increase was still considerably less pronounced as the earlier mentioned PVA fibre [53] with an effective nanotube stress contribution of 19 GPa for PBO as compared to 88 GPa for PVA [4, 27]. Moreover, it should also be noted that the Young's modulus of the PBO fibres used by Kumar et al. [63] possessed only half the modulus of commercial PBO fibres (270 GPa), meaning that the effective reinforcement effect in such higher modulus fibres would probably be less. Similar results were reported by Ruan et al. [57, 58] for MWCNT reinforced UHMWPE fibres. By adding 5 wt% MWCNTs, the strength of UHMWPE fibres, with a Young's modulus of around 120 GPa, increased by approximately 20%. Although this study also reported a significant increase in strength (4.2 GPa as compared to 3.5 GPa for neat fibre), the question remains in this and many other related studies if this increase in strength is the direct result of reinforcement by the CNTs or merely the result of a more favourable morphology for subsequent ultra-drawing. In other words, did the improvements in fibre properties come directly from the nanofiller

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9 or indirectly from a modification of the polymer matrix by the nanofiller,  
10 leading to higher draw ratios and improved mechanical properties [53, 64]?  
11 Moreover, since the dimensions of CNTs are comparable to the unit cell of  
12 polyethylene, their presence may affect the packing density of these chains  
13 and as a result ultimate mechanical properties [65]. Nanotube reinforcement  
14 in aramid fibres has also been investigated [44, 66]. Deng et al. [66] prepared  
15 PPTA/SWNT nanocomposite fibres by a dry-jet wet spinning process and  
16 different draw ratios. As the presence of nanotubes affected the polymer  
17 chain orientation in the nanocomposite fibres, particularly at higher draw  
18 ratios, mechanical properties were mostly reduced. Although efficient stress  
19 transfer was observed through in-situ Raman spectroscopy, a breakdown of  
20 the interface above 0.35% strain ( $\sim 35$  GPa) occurred [66], making these nan-  
21 otubes basically ineffective at higher strains and stresses.

22 Hence, given the limited success of CNTs to reinforce high performance  
23 polymer fibres, the question arises if CNTs - even in theory - can effectively  
24 reinforce such fibres e.g. significantly improve their modulus and strength  
25 even further (see Fig. 1). To answer this, here, both analytical and finite  
26 element models will be employed to study the reinforcing potential of CNTs  
27 in high performance polymer fibres as a function of fibre properties, CNT  
28 content and interface conditions, while assuming that the CNTs are perfectly  
29 aligned and homogeneously dispersed within the polymer fibre.  
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## 37 **2. Analytical Modelling**

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39 Among an extensive number of analytical micromechanical composite  
40 models available, the models of Hale and Kelly [67] and Sørensen [68] will  
41 be used in the present work. The first model is intended to examine the  
42 maximum possible reinforcing effect of CNTs in oriented polymer fibres e.g.  
43 infinitely long and well aligned CNTs, which are also perfectly bonded to  
44 the polymer matrix, i.e. polymer fibre. The second model, which includes  
45 interfacial debonding, frictional sliding and residual stresses, will be used to  
46 investigate the efficiency of CNTs of finite length under more realistic condi-  
47 tions. Both analytical models allow us to study the effect of a wide range of  
48 material parameters on the strength improvement of high performance poly-  
49 mer fibres by CNTs. For completeness, the two analytical models are briefly  
50 discussed below.  
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### 2.1. Infinitely long aligned CNTs in a polymer fibre without debonding

For infinitely long, perfectly aligned CNTs of equal strength, the minimum CNT volume fracture,  $V_{min}$ , which increases the strength of a polymer fibre,  $\sigma_f^u$ , depends on the failure strain of the CNTs compared to the failure strain of the polymer fibre [67]. When the CNTs are brittle relative to the polymer fibre ( $\epsilon_{CNT}^u < \epsilon_f^u$ ), then  $V_{min}$ , is:

$$V_{min} = \frac{\sigma_f^u - \sigma'_f}{\sigma_{CNT}^u + (\sigma_f^u - \sigma'_f)}, \quad \text{for } \epsilon_{CNT}^u \leq \epsilon_f^u \quad (1)$$

where  $\sigma_f^u$  is the strength of the polymer fibre,  $\sigma_{CNT}^u$  the CNTs strength and  $\sigma'_f$  is the stress in the polymer fibre required to induce a strain equal to the failure strain of the CNTs. SWCNTs, with strengths of around 100 GPa, in most cases will have a higher failure strain than a typical high performance polymer fibre,  $\epsilon_{CNT}^u > \epsilon_f^u$ , [69]. Hence, the minimum CNTs volume fracture,  $V_{min}$ , is [67]:

$$V_{min} = \frac{\sigma_f^u}{\sigma_{CNT}^u - (\sigma'_{CNT} - \sigma_f^u)}, \quad \text{for } \epsilon_{CNT}^u > \epsilon_f^u \quad (2)$$

where  $\sigma'_{CNT}$  is equal to  $E_{CNT}\epsilon_f^u$  and  $E_{CNT}$  is the effective Young's modulus of the CNTs. The underlying assumption, that the CNTs and polymer fibre are linear elastic, is reasonable for highly oriented high performance polymer fibres within the context of the present work.

Eqs. 1 and 2 should be considered as the lower limit of  $V_{min}$  since, apart from the conditions mentioned above, it is also assumed that the CNTs are perfectly bonded to the polymer fibre.

### 2.2. Infinitely long aligned CNTs in a polymer fibre with debonding

A more realistic model, compared to the previous model, should consider debonding of the infinitely long CNTs from the polymer fibre as shown in Fig. 2. At a certain applied stress, a CNT will debond from the polymer fibre at the CNT ends. This stress, denoted as debonding initiation stress,  $\sigma_c^i$ , is given by [68]:

$$\frac{\sigma_c^i}{E_c} = \frac{(1 - V_{CNT})E_f}{E_c} \Delta\epsilon^T + 2\sqrt{\frac{(1 - V_{CNT})E_f}{E_c} \left( \frac{\mathcal{G}_{c,t}^i}{E_{CNT}r_{CNT}} \right)} \quad (3)$$



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where  $E_c$  and  $E_f$  are the moduli of the reinforced and unreinforced polymer fibre. The CNTs, with radius  $r_{CNT}$ , have a volume fraction  $V_{CNT}$ . The misfit strain,  $\Delta\epsilon^T$ , is due to the difference in thermal expansion of the CNTs and the polymer fibre. The interface properties expressed by the mode II (shear) fracture energy,  $\mathcal{G}_{c,t}^i$ , affects also the debonding initiation stress.

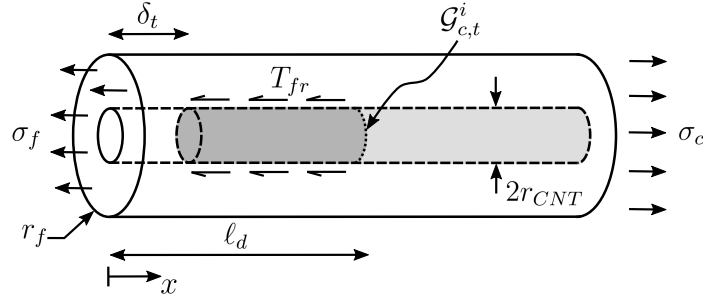


Figure 2: A single infinitely long CNT embedded in a polymer fibre cylinder. Upon loading, the CNT debonds at applied stress  $\sigma_c^i$  (debonding initiation stress). After debonding initiation, the CNT slides in the debonded zone. The frictional stress is denoted as  $T_{fr}$ .

If there is no friction, then Eq. 3 gives the stress level at which the debond crack will propagate along the entire CNT/polymer fibre interface, thus giving a lower bound strength. The difference in strain and surface roughness may induce an interface frictional stress,  $T_{fr}$ . This frictional stress results in an increase in applied stress,  $\sigma_c$ , as the debond length,  $\ell_d$ , increases [68]:

$$\frac{\sigma_c}{E_c} = \frac{\sigma_c^i}{E_c} + 2 \left( \frac{T_{fr}}{E_{CNT}} \right) \left( \frac{\ell_d}{r_{CNT}} \right) \quad (4)$$

It is clear that the applied stress,  $\sigma_c$ , is linearly related to the debond length and higher than the debonding initiation stress  $\sigma_c^i$ . The debond length is:

$$\frac{\ell_d}{r_{CNT}} = \frac{E_{CNT}}{2T_{fr}} \left( \frac{\sigma_c}{E_c} - \frac{(1 - V_{CNT})E_f}{E_c} \Delta\epsilon^T \right) - \frac{E_{CNT}}{T_{fr}} \sqrt{\frac{(1 - V_{CNT})E_f}{E_c} \left( \frac{\mathcal{G}_{c,t}^i}{E_{CNT}r_{CNT}} \right)} \quad (5)$$

It should be noted that the axisymmetric micromechanical model of

Sørensen [68] is originally developed to study debonding initiation and growth from a fibre break location for an infinitely long fibre.

### 2.3. Interface cohesive law

The mode II (shear) interface fracture energy,  $\mathcal{G}_{c,t}^i$ , in Eq. 3 can be regarded as a property of the CNT/fibre interface cohesive law e.g. a relation between the normal and shear tractions at the interface and the corresponding opening and tangential displacements [70, 71]. The traction in the cohesive zone may originate from Van der Waals forces, chemical bonding or interface friction or a combination of these [1, 72, 73]. Fig. 3 shows such a cohesive law, the mode II (shear) component, which in its simplest form shows a linear softening behaviour. The mode II (shear) traction decreases from its peak value,  $\hat{T}_t$ , to zero when the interface tangential crack opening reaches the critical mode II tangential (or sliding) opening  $\delta_t^f$ . The area under the traction-separation curve equals the mode II (shear) interface fracture energy,  $\mathcal{G}_{c,t}^i$ . If friction exists at the interface, then the mode II traction decreases to the frictional stress,  $T_{fr}$ , and then remains constant as the tangential opening further increases. In Fig. 3 it is assumed that the mode II traction becomes equal to  $T_{fr}$  when  $\delta_t = \delta_t^f$ . The area under the traction-separation curves for crack openings larger than  $\delta_t^f$  is equal to the dissipated energy due to friction.

It should be noted that the cohesive law of Fig. 3 is a phenomenological cohesive law commonly used in solid mechanics for modelling crack initiation and propagation along interfaces or material planes [74, 75]. The cohesive law concept (Fig. 3) allows in the present work to relate the analytical predictions using the model of Section 2.2 to the numerical predictions using the finite element models introduced in the next section.

## 3. Finite element modelling

The finite element model (FE) used here is based on the CNT distribution shown in Fig. 4a, where it can be seen that the CNTs have a finite length,  $2\ell_{CNT}$ , and there is a partial overlap between neighbouring CNTs. The distance between lines passing through the CNTs centers is  $\ell_c$ .

Fig. 4b shows a cross-section normal to the CNTs (only half of the CNTs are cut), assuming a square array of CNTs of a rectangular cross-section and with length  $r_{CNT}$ . A more natural way would be to assume circular CNTs with a radius  $r_{CNT}$  surrounded by a fibre of radius  $r_c$  (Fig. 4b). This would

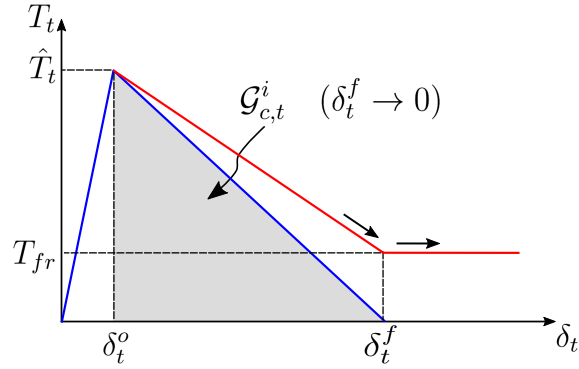


Figure 3: Schematic illustration of the mode II interface cohesive law with linear softening. When the interface friction is zero the shear traction,  $T_t$ , decreases to zero when  $\delta_t = \delta_t^f$  and the area under the traction-separation curve is the mode II interface fracture energy,  $\mathcal{G}_{c,t}^i$ . When interface friction is implemented, the shear traction is reduced to the frictional stress,  $T_{fr}$ , when  $\delta_t = \delta_t^f$  and then it remains constant. For the mode I (normal) interface cohesive law,  $T_n$  decreases to zero when the normal crack opening equals the normal critical opening  $\delta_n^f$ .

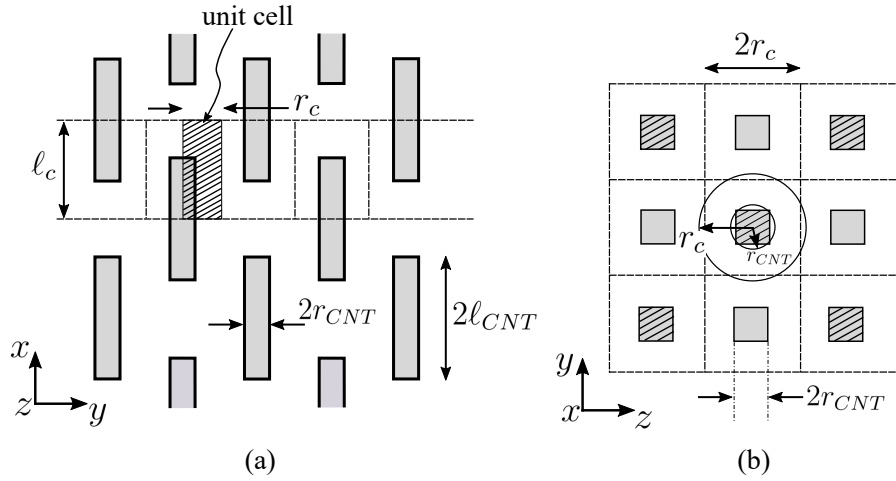


Figure 4: Periodic array of aligned CNT of finite length: a) Cross-section parallel to CNTs and b) cross-section normal to CNTs.

allow to approximate the 3D problem of Fig. 4 by an axisymmetric model indicated by the hatched region and shown in more detail in Fig. 5 [76]. A critical parameter for achieving a high reinforcing efficiency of CNTs is a high interface shear peak traction,  $\hat{T}_t$ , defined in the previous section. A drawback

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of the analytical model of Section 2.2 is that failure of the polymer fibre itself is not considered. By assuming a rectangular cross-section for the CNTs, the composite damage model [77, 78], available in the Abaqus commercial finite element code for plane stress problems, can be applied to allow failure of the polymer fibre. Numerical tests, without polymer fibre damage and failure, have shown that the plane stress models yield very similar results to the corresponding axisymmetric models for a wide range of parameters examined. Thus, the assumption of plane stress conditions is considered reasonable and will be used in the present work.

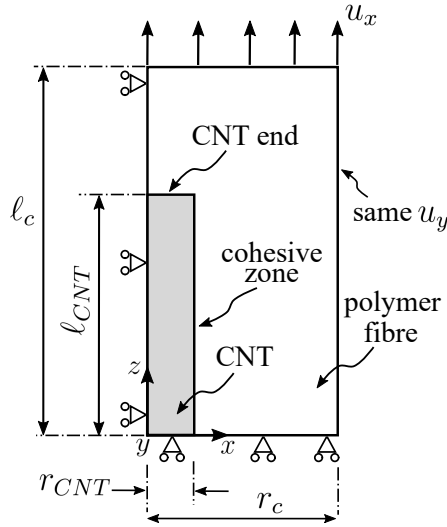


Figure 5: 2D finite element unit cell model: materials, geometry and boundary conditions and symmetry planes.

Fig. 5 shows the geometry and boundary conditions of the unit cell analysed. Due to symmetry, only a quarter of the geometry is modelled. The radius of the CNTs,  $r_{CNT}$ , is equal to 1.5 nm and the length,  $l_{CNT}$ , is equal to 3 mm, i.e. an aspect ratio is assumed of 2000. The total length of the model is  $l_c$  and therefore  $l_c - l_{CNT} = (3/8)l_{CNT}$  (see Fig. 4a). It should be noted that the overlap length of the CNTs has a negligible effect on the results. The width of the model,  $r_c$ , is varied depending on the CNT volume fraction. The CNT end is assumed to be fully debonded from the polymer matrix or fibre, an assumption which has also a negligible effect on the results. The CNT/polymer fibre interface is modelled with cohesive elements. The constitutive law (cohesive law) is given in Fig. 3. The cohesive elements

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9 have a length of 0.2 nm and a finite thickness equal to 0.05 nm. With this  
10 cohesive element length, the number of cohesive elements within the fracture  
11 process zone,  $\delta_t^o \rightarrow \delta_t^f$ , is in the order of 300, much larger than the minimum  
12 required number of cohesive elements which is 4 to 7 [79] in order to get  
13 accurate results. The Abaqus explicit solver is used to solve the problem of  
14 Fig. 5 under quasi-static conditions using mass-scaling. An explicit solver  
15 is preferred to avoid convergence difficulties, which are commonly observed  
16 when modelling crack growth with implicit finite element methods [80, 81].  
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#### 21 4. Materials properties

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23 The properties of CNTs have been investigated experimentally, numeri-  
24 cally and theoretically. Krishnan et al. [82] have experimentally estimated  
25 the Young’s modulus of SWCNTs to be about 1.25 TPa. A similar value,  
26 1.28 TPa, was experimentally obtained by Wong et al. [83]. Jin et al. [84],  
27 using molecular dynamics, calculated the effective Young’s modulus between  
28 1.23 to 1.35 TPa. The Young’s modulus, based on finite element analysis,  
29 was calculated between 1.1 and 1.32 TPa [85] and equal to 0.7 or 1 TPa  
30 based on micromechanical analysis [86, 87]. In the present work, for the an-  
31 lytical models, the CNTs are assumed isotropic linear elastic with  $E_{CNT} = 1$   
32 TPa. An exact value for  $E_{CNT}$  it is not crucial for the current work. In the  
33 finite element analysis, the CNTs are modelled as anisotropic linear elastic  
34 solids. The elastic properties are given in Table 1, which are based on theo-  
35 retical and numerical analyses [84, 85, 88]. The polymer fibres are modelled  
36 as isotropic linear solids in the analytical models. In specific cases where an  
37 UHMWPE fibre is assumed, the fibre’s Young’s modulus,  $E_f$ , is set equal to  
38 80 GPa [39, 89]. The anisotropic UHMWPE fibre elastic properties as used  
39 for the finite element analysis, are listed in Table 1 and they are based on  
40 the experimental work of Peijs et al. [89].  
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48 Table 1: Elastic properties of CNTs and UHMWPE fibre.

	$E_{11}$ (GPa)	$E_{22}$ (GPa)	$E_{33}$ (GPa)	$\nu_{12}$ (-)	$\nu_{13}$ (-)	$\nu_{23}$ (-)	$G_{12}$ (GPa)	$G_{13}$ (GPa)	$G_{23}$ (GPa)
CNT	1000	60	60	0.25	0.05	0.05	500	25	25
UHMWPE	80	2	2	0.3	0.01	0.01	0.8	0.3	0.3

54  $1 \rightarrow x, 2 \rightarrow y, 3 \rightarrow z$ .  $E_{11} = E_{CNT}$  for CNTs and  $E_{11} = E_f$  for UHMWPE  
55 fibre.  
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Table 2: Strength properties of UHMWPE fibre [89].

$\sigma_f^u = \sigma_{11}^u$ (MPa)	$\sigma_{22}^u$ (MPa)	$\sigma_{33}^u$ (MPa)	$\sigma_{12}^u$ (MPa)	$\sigma_{13}^u$ (MPa)	$\sigma_{23}^u$ (MPa)
3600	14	14	10	10	10

Predictions of the intrinsic tensile strength of SWCNTs are in the order of 100 GPa and higher: 117 GPa [90], 110 GPa [91], and 93 GPa [69]. A wide range of experimental strength values were reported: 150 GPa [92], 25 to 75 GPa [93], and 13 to 52 GPa [94]. In the present work, the tensile strength of CNTs is taken as equal to 140 GPa, i.e. close to the theoretical and numerical predictions. In the finite element analyses, it is assumed that the CNTs do not fail. The strength of the UHMWPE fibre is taken as equal to 3.6 GPa for the analytical models, whereas the experimentally determined anisotropic strength values used are based on the values given in Table 2 [37, 39, 89]. In the finite element analyses (FEA), the transverse tensile strength, transverse compressive strength and axial compressive strength are equal to 100 MPa to promote failure by shear. The polymer shear strength values are between 20 to 100 MPa e.g. lower and higher than the peak traction (interfacial shear strength),  $\hat{T}_t$ , which is used in the cohesive law. It should be noted that even the lower shear strength value is higher than the intrinsic shear strength values of UHMWPE fibre listed in Table 2. However, the parameter that governs the fracture of the CNT reinforced fibres is the ratio of the mode II (shear) peak traction over the shear strength of the polymer fibre.

The interfacial shear strength of the CNT/polymer interface has been extensively investigated both experimentally and theoretically. Experimental data reported includes for example shear strength values that vary as widely as 14.4 and 366 MPa for epoxy resin [95, 96], and 160 MPa for functionalized CNTs in polyvinyl alcohol [97]. A review on experimentally obtained interfacial shear strength values for different polymers [98] shows that the interfacial shear strength typically varies between 30 and 100 MPa. On the other hand, only a small number of studies theoretically predicts the CNT/polymer interface cohesive law [72, 73]. An (unrealistic) peak traction,  $\hat{T}_t$ , was predicted of approximately 450 MPa with a critical opening,  $\delta_t^f$ , in the order of 3 nm. In the present work, a large range of  $\hat{T}_t$  was examined, whereas in the finite element analyses  $\hat{T}_t$  is equal to 25 MPa, which, according to the authors opinion, is of a more realistic order of magnitude. The critical crack opening,  $\delta_t^f$ , is varied from 0.1 to 5 nm.

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10 Thermal effects were ignored e.g.  $\Delta\epsilon^T = 0$ . The main effect  $\Delta\epsilon^T$  is fric-  
11 tion along the CNT/polymer interface, which is modelled by prescribing a  
12 constant frictional stress in the analytical model of Section 2.2 and by us-  
13 ing a mode II (shear) cohesive law with constant traction for shear openings  
14 larger than  $\delta_t^f$  (see Fig. 3). In other words, friction is directly included in  
15 the cohesive law instead of prescribing frictional contact once  $\delta_t > \delta_t^f$ .  
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## 18 5. Results and Discussion

### 19 5.1. Aligned CNTs of infinite length in a polymer fibre with perfect bonding

20 Fig. 6 shows an example of the strength of an UHMWPE fibre reinforced  
21 with CNTs as a function of the CNT volume fraction. Using Eq. 2, it can be  
22 seen that the minimum CNT volume fraction,  $V_{CNT}$ , above which a signifi-  
23 cant reinforcement effect of the UHMWPE fibre is obtained is approximately  
24 3.6%. Perfect dispersion at this volume fraction is already hard to achieve in  
25 practice [99], while in order to have a significant reinforcement effect a much  
26 higher SWCNT content would be required. For example, only at at  $V_{CNT}$   
27 equal to 7.5%, the CNT reinforced UHMWPE fibre would have a strength  
28 of  $\sim 10$  GPa, i.e. three times that of the unreinforced polyethylene fibre, and  
29 higher than the highest strength commercial carbon fibre.  
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32 Using Eqs. 1 and 2, results such as the one presented in Fig. 6 can be  
33 summarised in the contour plot of Fig. 7 where  $V_{min}$  is plotted as a function  
34 of neat polymer fibre Young's modulus,  $E_f$ , and tensile strength,  $\sigma_f^u$ . Fig. 7  
35 focuses on highly oriented high modulus polymer fibres as can be seen by the  
36  $x$ -axis scale. In this graph, the Young's modulus and strength of known com-  
37 mercial high performance polymer fibres like Kevlar<sup>®</sup>, Dyneema<sup>®</sup>, Spectra<sup>®</sup>  
38 and Zylon<sup>®</sup> are superimposed. It can be seen that for higher performance  
39 fibres, the minimum CNT volume fraction,  $V_{min}$ , for effective reinforcement  
40 increases to levels that cannot be easily achieved while maintaining perfect  
41 dispersion of individual nanotubes for practical reasons. For example, if  
42 Zylon<sup>®</sup> fibres were to be reinforced, a CNT volume fraction greater than 5%  
43 would be required just to reach  $V_{min}$ . Of all commercial high performance  
44 fibres, only for the lower performance Spectra 900 or Dyneema SK60 fibre,  
45  $V_{min}$  is below 2.5%. If a non-commercial PVA fibre with a Young's modulus  
46 of 15 GPa is considered [53] (note that the Young's modulus of commercial  
47 high performance PVA fibre can be as high as 40 or 50 GPa),  $V_{min}$  is approx-  
48 imately equal to 0.35%, i.e. a filler content where homogeneous dispersion of  
49 individual CNTs in more readily achievable. If the CNT volume fraction is  
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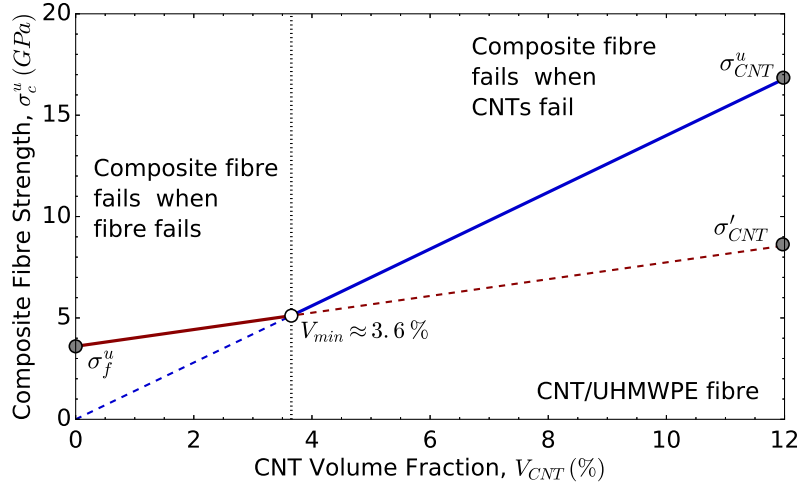


Figure 6: Minimum CNT volume fraction,  $V_{min}$ , for effective reinforcement of an UHMWPE fibre with a strength of 3.6 GPa (strain at break of CNT > strain at break polymer fibre).

increased to 0.85%, Eq. 2 predicts that the strength of the CNT reinforced PVA fibre will increase by a factor of three, a value close to the experimental results of Wang et al. [53] where the corresponding CNT volume fraction for a strength increase by a factor of 3 was 1.0 wt% ( $\sim 0.8$  vol%). Thus, Eq. 2 predicts relatively accurate the reinforcing effect of CNTs at least for polymer fibres of moderate mechanical properties.

A graph like Fig. 6 is well known and well understood for traditional unidirectional composites based on glass or carbon fibres [100]. However, since the minimum reinforcement (fibre) volume fraction,  $V_{min}$ , for traditional composites is typically only a few percent, i.e. several times lower than practical fibre volume fractions (50-60%), the relevance of  $V_{min}$  is generally not recognised. In case of nanocomposites, however, with filler loadings typically around a few percent, Fig. 6 becomes highly relevant.

### 5.2. Aligned CNTs of finite length in a polymer fibre with debonding

Although the CNTs considered in the model have a very high aspect ratio,  $AR = 2\ell_{CNT}/(2r_{CNT})$ , they are not infinitely long as assumed in Section 5.1. Interfacial debonding can initiate from the CNT ends and propagate along the interface, thus reducing the reinforcing efficiency of the CNTs.



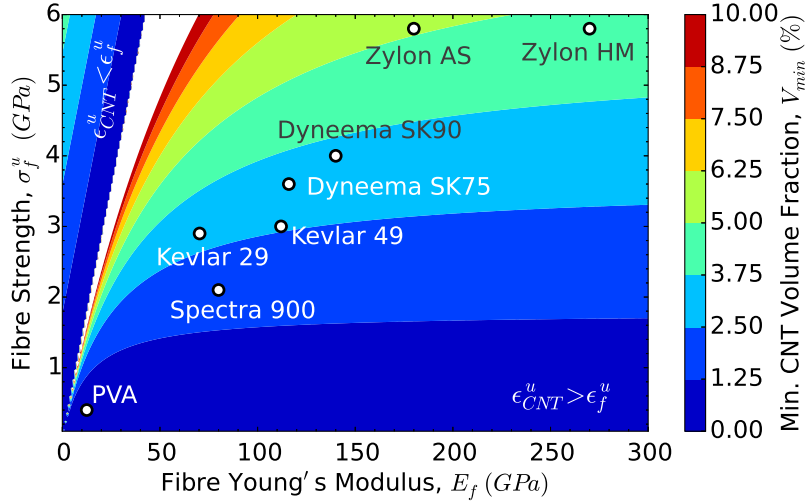


Figure 7: Minimum CNT volume fraction for effective polymer fibre reinforcement as a function of the fibre properties  $E_f$  and  $\sigma_f^u$ . Data for the high performance polymer fibres are taken from [39], and for the non-commercial PVA fibre from [15].

Fig. 8 shows the debonding initiation stress, using Eq. 3, as a function of the polymer fibre Young's modulus,  $E_f$ , and the interface mode II (shear) peak traction,  $\hat{T}_t$ , for  $V_{CNT}$  equal to 6%, which is higher than  $V_{min}$  for all cases.

From  $\hat{T}_t$  and assuming a critical tangential opening,  $\delta_{tf}$ , equal to 5.0 nm, the mode II (shear) fracture energy,  $\mathcal{G}_{c,t}^i$ , can be calculated (see Fig. 3) and used in Eq. 3. A value of 5.0 nm is probably rather large [73] and therefore Fig. 8 gives a non-conservative debonding initiation stress,  $\sigma_c^i$ . The characteristic properties, Young's modulus and strength, of four commercial high performance polymer fibres are also plotted. If it is assumed that there are no frictional stresses (see Section 2.2), then the strength of the reinforced polymer fibres,  $\sigma_c^u$ , is equal to the debonding initiation stress. Then, if a Spectra 900 fibre is considered, it can be seen that the interfacial shear peak traction should be higher than 60 MPa in order to have a debonding initiation stress that exceeds the strength of the fibre itself and thus results in a minimum positive reinforcement effect of the polymer fibre. For the Dyneema SK90 fibres, the corresponding required interfacial shear peak traction is close to 100 MPa, for the Zylon AS fibre more than 100 MPa, whereas for the Zylon HM it is approximately equal to 165 MPa. Hence, for most

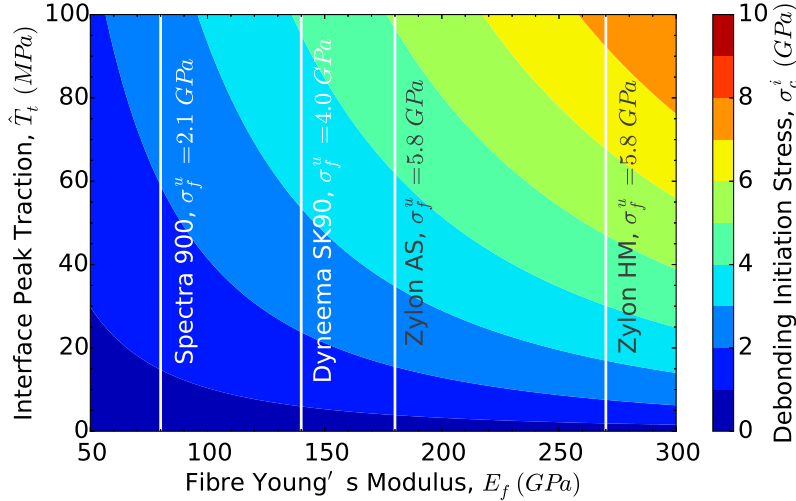


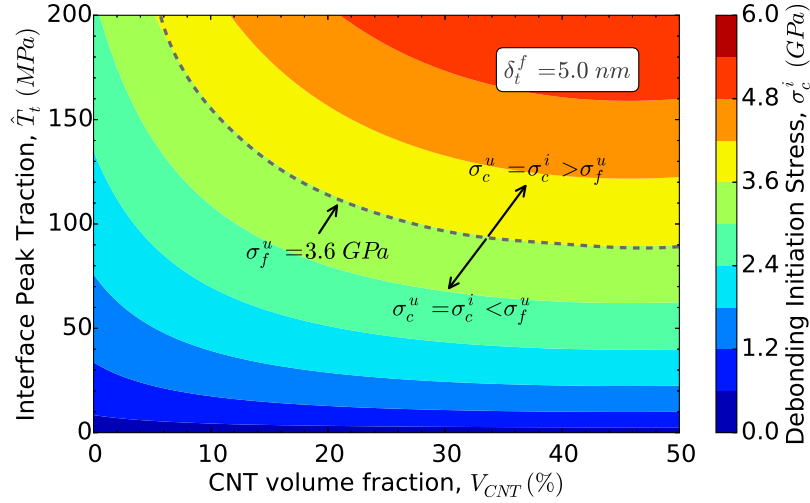
Figure 8: Stress for debonding initiation,  $\sigma_c^i$ , as a function of the polymer fibre Young's modulus,  $E_f$ , and the interface peak traction,  $\hat{T}_t$ . For  $T_{fr} = 0$ ,  $\sigma_c^u = \sigma_c^i$ . Interface:  $\delta_{tf} = 5.0$  nm. The CNT volume fraction is  $6.0\% > V_{min}$ .

high performance polymer fibres, a high interface peak traction is required to delay initiation of debonding and to achieve a positive reinforcing effect of CNTs on the strength of these high performance polymer fibres. In many cases the calculated required interfacial shear peak traction exceed however the intrinsic shear strength of these highly anisotropic polymer fibres. Here, the favourable characteristics in the fibre (chain) direction in terms of stiffness and strength are not matched at in off-axis loadings. Fibre anisotropy exists in all high-performance organic fibres. In aramid fibres the PPTA molecules are covalently bonded in one dimension (1D) [40]. In comparison with UHMWPE fibres we could therefore refer to them as (1D+) structures due to the stronger hydrogen bonds between the polymer chains in comparison with the weak Van der Waals interactions in polyethylene. As a result of this, the transverse and shear properties of these fibres can be up to two orders of magnitude lower than their uniaxial tensile properties. Realistic values for the intrinsic shear strength lie somewhere between 10 and 30 MPa for UHMWPE fibres and 60 and 80 MPa for aramid [39, 89, 101], with PBO somewhere in between. Hence, irrespective of the level of interfacial adhesion the stress transfer is often limited by the intrinsic shear strength of the polymer fibre rather than that of the interface. In the next section, FEA results

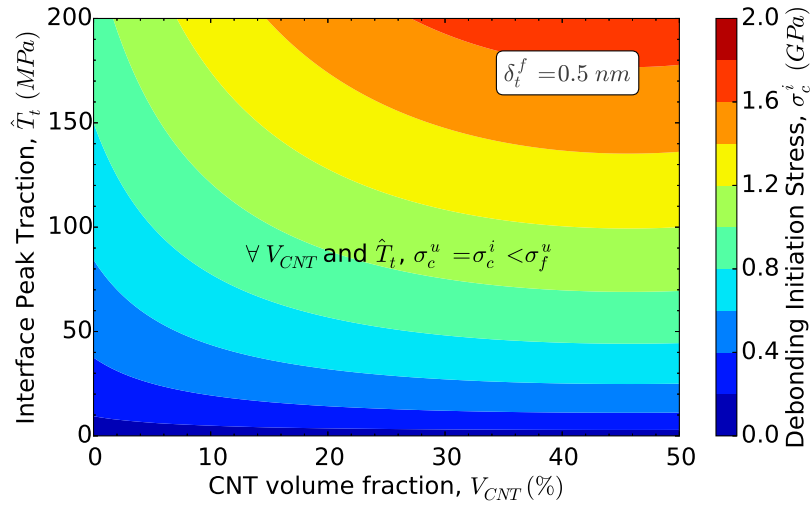
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9 will further examine if such high interface peak tractions can lead to CNT  
10 reinforced polymer fibres with significantly improved strength properties.

11 Next in Fig. 9 the combined effect of CNT volume fraction and interface  
12 shear peak traction on the debonding initiation stress is examined. The  
13 fibre properties are identical to the UHMWPE fibre of Fig. 6 e.g.  $E_f = 80$   
14 GPa and  $\sigma_f^u = 3.6$  GPa. For this fibre, under the assumptions of the model  
15 of Section 2.1,  $V_{min} = 3.6\%$ . From Fig. 9a, with a large  $\delta_t^f$  and thus large  
16  $\mathcal{G}_{c,t}^i$ , it can be seen that in case of interfacial debonding the volume fraction  
17 of CNTs has to be increased close to 10%, together with an interface shear  
18 peak traction of approximately 200 MPa, in order to have a minimum positive  
19 reinforcement effect. When decreasing the required interfacial peak traction,  
20 for example by a factor of two, the CNT volume fraction has to be larger than  
21 30-40% in order to create a CNT reinforced fibre with a higher strength than  
22 the unreinforced fibre itself. Fig. 9a shows that a high debonding initiation  
23 stress, i.e. greater than the tensile strength of the unreinforced fibre, can  
24 only be achieved by a combined high CNT volume fraction ( $> 30$  vol%) and  
25 high interfacial shear peak traction ( $> 150$  MPa), which is difficult if not  
26 impossible to achieve. For a more realistic interfacial shear peak traction  
27 in the order of 25 MPa, the debonding initiation stress is approximately 1.2  
28 GPa for CNT volume fractions as high as 50%. Even for such a high CNT  
29 content this value is only one third of the unreinforced fibre strength e.g. in  
30 this case the CNTs actually reduce the fibre strength significantly. When  
31 the critical interfacial shear crack opening is reduced from 5.0 nm to 0.5 nm  
32 (Fig. 9b), then even for interface peak tractions up to 200 MPa and CNT  
33 volume fractions up to 50%, the strength of the CNT reinforced UHMWPE  
34 fibre is well below that of the neat polymer fibre. It is clear that conditions  
35 that can lead to increased fibre strength are difficult if not impossible to  
36 achieve in practical fibre systems.

37 The predictions of Figs. 8 and 9 are more realistic than the predictions  
38 of Fig. 7. However, they are still conservative as it is assumed that once  
39 debonding initiates at the CNT end, it will propagate at a constant stress  
40 along the entire nanofibre interface. The presence of interfacial frictional  
41 stresses will require a higher applied stress to further increase the debond  
42 length,  $\ell_d$ , as Eq. 4 states e.g. a linear relationship between the applied  
43 stress and debonding length, which is given by Eq. 5. The effect of the  
44 interfacial friction is shown in Fig. 10 where the strength of a nanoreinforced  
45 UHMWPE fibre is plotted for different CNT volume fractions. Here, the  
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(a)



(b)

Figure 9: Stress for debonding initiation,  $\sigma_c^i$ , as a function of the CNTs volume fraction,  $V_{CNT}$ , and the interface peak traction,  $\hat{T}_t$  for  $\delta_t^f = 5.0$  nm and 0.5 nm, respectively. For  $T_{t,fr} = 0$ ,  $\sigma_c^u = \sigma_c^i$ .

interface friction is equal to 1 MPa and the polymer fibre properties are

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 9 the same as in Figs. 7 and 9. As discussed above (see Fig. 9), debonding  
 10 initiates at a stress significantly lower than the fibre strength. In Fig. 10  
 11 the debonding initiation stress is when the debond length is greater than  
 12 zero. It can be seen that for all CNT loadings, the applied stress has to be  
 13 increased in order to propagate the interface crack. If the case of  $V_{CNT} = 10\%$   
 14 is considered, then it can be seen that debonding starts when  $\sigma_c \sim 1.45$  GPa.  
 15 If the CNTs have an aspect ratio,  $AR$ , equal to 1000 and the debond crack  
 16 initiates and propagates from both CNT ends, then the CNTs will be fully  
 17 debonded when  $\sigma_c \sim 1.6$  GPa, which is still nearly half the original fibre  
 18 strength (3.6 GPa). Even for an aspect ratio of 5000, the CNT "reinforced"  
 19 fibre has a predicted strength below that of the unreinforced fibre (2.28 GPa).  
 20 For an even higher aspect ratio, of around 10000, the nanocomposite fibre  
 21 has a strength of approximately 3.15 GPa, which is still slightly lower than  
 22 the neat fibre at 3.6 GPa. Only when  $V_{CNT}$  is 15% and  $AR$  is equal to  
 23 10000, the reinforced nanocomposite fibre has a  $\sim 4\%$  higher strength than  
 24 the neat UHMWPE fibre. This highlights the importance of aspect ratio  
 25 and is in agreement with recent analytical studies, based on simple shear-lag  
 26 models, for graphene reinforced nanocomposites, where properties are also  
 27 limited by the filler aspect ratio [102]. For low  $V_{CNT}$  ( $< 1\%$ ), the reinforced  
 28 nanocomposite fibre strength is approximately half that of a neat UHMWPE  
 29 fibre even for high aspect ratios CNTs.  
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 32 As shown in Fig. 9, for a constant mode II peak traction, an increase  
 33 of the critical interfacial crack opening,  $\delta_t^f$ , increases the mode II (shear)  
 34 fracture energy and thus the stress for debonding initiation increases. The  
 35 same can be seen in Fig. 11 where interfacial crack growth is taken into  
 36 account. The critical crack opening has a significant effect on the tensile  
 37 strength of the CNT reinforced polymer fibre. For example, for  $AR$  equal to  
 38 10000, there is a 25% reduction in strength by decreasing  $\delta_t^f$  from 5 to 11  
 39 nm.  
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 42 In Fig. 12 the interface frictional stress,  $T_{fr}$ , is varied for  $V_{CNT}$  equal to  
 43 10%. For this CNT volume fraction and  $T_{fr} = 1$  MPa, it was shown in Fig. 10  
 44 that the strength of the CNT reinforced nanocomposite fibre is lower than the  
 45 strength of the polymer fibre itself even for  $AR = 10000$ . By increasing the  
 46 frictional stress to 2 MPa, the strength of the CNT reinforced fibre increases  
 47 by 35%. A further increase of  $T_{fr} = 5.0$  MPa, results in a three times higher  
 48 strength, whereas for large values of  $T_{fr}$  equal to 15 MPa, the reinforced fibre  
 49 has a strength of more than 7 times the strength of the unreinforced fibre.  
 50 For low aspect ratios CNTs,  $AR = 1000$ , even frictional stresses as high as  
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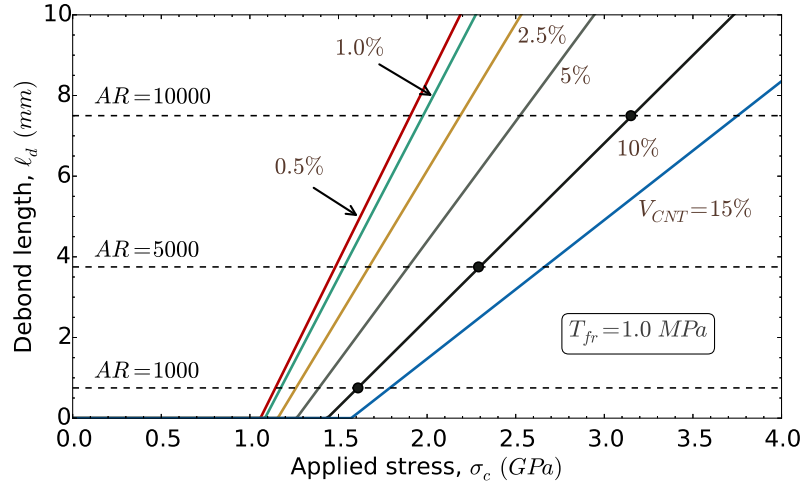


Figure 10: Debond length,  $\ell_d$ , as a function of the applied composite stress,  $\sigma_c$ , for different CNT volume fractions and interface friction equal to 1 MPa. The dashed lines represent when the CNTs are fully debonded for CNTs of three different aspect ratios,  $AR$ , and considering that debonding starts from both CNT ends. Interface:  $\hat{T}_t = 25$  MPa,  $\delta_t^f = 5.0$  nm.

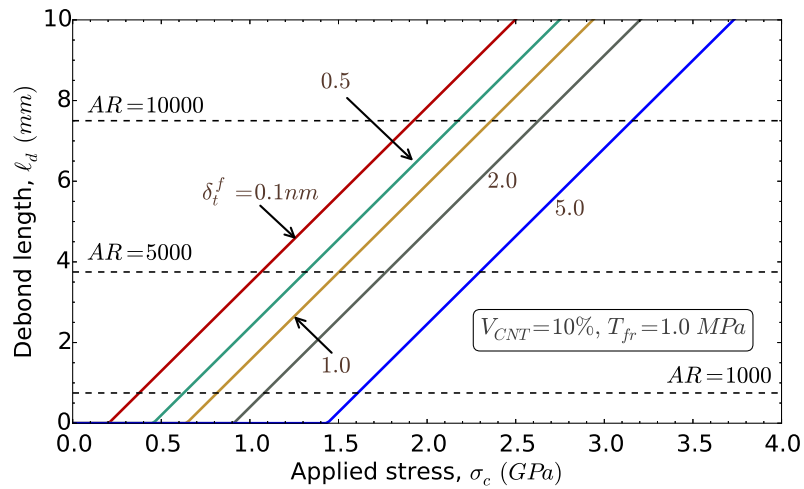


Figure 11: Debond length,  $\ell_d$ , as a function of the applied composite stress,  $\sigma_c$ , for different critical interfacial shear crack openings  $\delta_t^f$ . Interface:  $\hat{T}_t = 25$  MPa,  $T_{fr} = 1.0$  MPa.

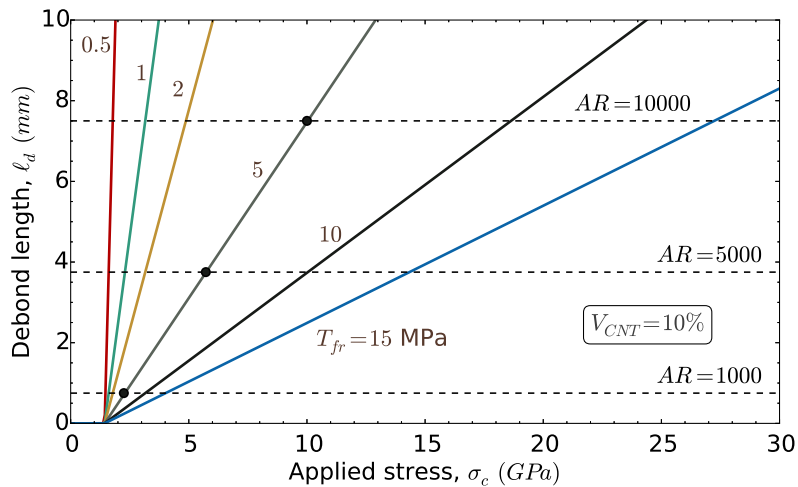


Figure 12: Debond length,  $\ell_d$ , as a function of the applied composite stress,  $\sigma_c$ , for different interface frictional stresses and a CNT volume fraction equal to 10%. The dashed lines represent when the CNTs are fully debonded for CNTs with three different aspect ratios,  $AR$ , and considering that debonding starts from both CNTs ends. Interface:  $\hat{T}_t = 25$  MPa,  $\delta_t^f = 5.0$  nm.

Based on the results of this section, it can be concluded that in theory CNTs can reinforce high performance polymer fibres but only under certain conditions or more precisely a combination of them: high CNT volume fraction (e.g.  $>10\%$ ), of very high aspect ratio ( $>10000$ ) and relatively high interface frictional stresses ( $>15$  MPa). However, in practice, such high values for  $V_{CNT}$  and interfacial friction are not always easily achievable. For example, it is well established that nanofiller dispersion becomes more difficult at higher filler loading, leading to agglomeration and ineffective reinforcement [43]. Even if homogeneous dispersions of individual CNTs at high concentrations and high interfacial adhesion can be achieved, effective stress transfer

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9 may still be limited by the intrinsically low shear strength of high perfor-  
10 mance polymer fibres. This will trigger other fibre dominated failure modes,  
11 which may result in a lower fibre strength. These issues will be examined in  
12 more detail in the next section using finite element analysis.  
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### 15 *5.3. Finite element results*

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17 In Fig. 13 the results of Fig. 11, solid lines, are plotted together with  
18 the results from the finite element (FE) simulations, which include both the  
19 debond length and the crack tip position ( $T_t = \hat{T}_t$  and  $V_{CNT}=10\%$ ). It  
20 should be noted that in these simulations no failure is taken into account  
21 for the UHMWPE fibre. The simulations run until extensive shear deforma-  
22 tion in the polymer fibre close to the CTN end result in a non-convergent  
23 solution. It can be seen that the analytical model of Section 2.2, although  
24 a one-dimensional model, predicts quite accurately the stress for debonding  
25 initiation and captures the physics of debond growth. The difference in the  
26 slope of debond growth is mainly due to the Poisson's effect which is not in-  
27 cluded in the one-dimensional (shear-lag) analytical model and secondary to  
28 the anisotropic elastic properties used in the FE simulations. The predicted  
29 FE strengths for the different critical shear crack openings, are lower than  
30 the analytical predictions. The debond growth is in the order of  $1 \mu m$  be-  
31 fore excessive shear deformation in the polymer fibre occurs, compared to a  
32 debond growth in the order of millimeters for the analytical model. It should  
33 be noted that although the FE predicted debond length is significant, it is  
34 still approximately only 500 times the CNT radius. Clearly, the low shear  
35 properties of the highly anisotropic UHMWPE fibre limits the reinforcing  
36 efficiency of the CNTs. The predictions of the analytical model of Section  
37 2.2 gives an upper bound for the strength of the CNT reinforced polymer  
38 fibres.  
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45 If the crack tip position is considered, then it can be seen that interface  
46 damage starts at an applied stress of around 0.2 GPa, i.e. an order of magni-  
47 tude below the neat UHMWPE fibre strength and as expected independent  
48 of the critical opening,  $\delta_t^f$ , which controls the length of the crack tip fracture  
49 process zone e.g. the higher the  $\delta_t^f$ , the longer the fracture process zone and  
50 as a result delayed debonding initiation. The steady-state crack-tip fracture  
51 process zone can be calculated from the difference of  $x_{tip}$  and  $\ell_d$ .  
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54 The effect of the interfacial frictional stress, based on FE simulations, is  
55 shown in Fig. 14 where again the analytical model predictions of Section 2.2  
56 are included. Similar to Fig. 13, failure of the polymer fibre is not included in  
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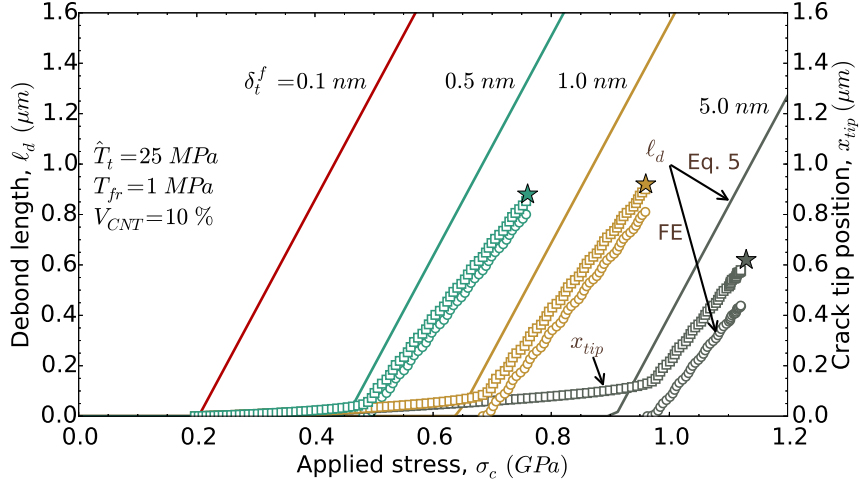


Figure 13: Finite element predictions of the crack tip position,  $x_{tip}$ , and debond length,  $\ell_d$ , as a function of the applied stress,  $\sigma_c$ , for different critical interface shear crack openings,  $\delta_t^f$ . The corresponding analytical predictions are based on the model of Section 2.2.  $AR=2000$ .

the FE model. The analytical model predictions are in fairly good agreement with the FE predictions. The differences observed are due to the mode II (shear) fracture energy,  $\mathcal{G}_{c,t}^i$ , being larger in the FE simulations for the same critical interfacial shear crack opening,  $\delta_t^f$ . In the analytical model,  $\mathcal{G}_{c,t}^i$  is calculated as the area under the traction-separation curve with  $T_t = 0$  for  $\delta_t = \delta_t^f$ . In the FE simulations  $\mathcal{G}_{c,t}^i$  is the area under the traction-separation curve with  $T_t = \hat{T}_{fr}$  for  $\delta_t = \delta_t^f$  (see Fig. 3). However, the main conclusion from Fig. 14 is that a high interface frictional stress, results in higher shear deformation in the polymer fibre and the debond length is significantly lower compared to the analytical predictions. Thus, a high frictional stress, as indicated in Fig. 12, cannot be utilised to increase the CNT reinforced fibre strength.

Failure of the polymer matrix or fibre is included in the FE results of Fig. 15 where the crack tip position is plotted as a function of the applied stress for different shear failure strengths of the polymer fibre. The lowest shear strength value, 20 MPa, is lower than  $\hat{T}_t$ , and the largest value is four times higher than  $\hat{T}_t$ . The corresponding FE simulation, from Fig. 13, without shear failure of the polymer fibre is also included. As expected, the lower

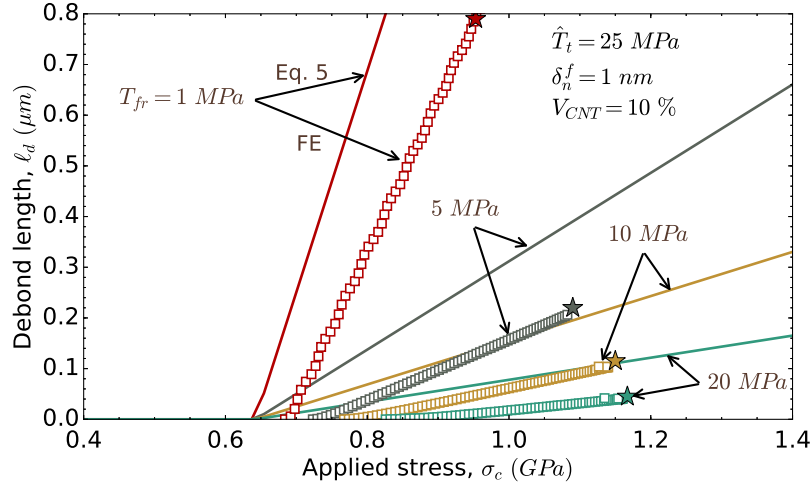


Figure 14: Finite element prediction of the debond length,  $\ell_d$ , as a function of the applied stress,  $\sigma_c$ , for different interface frictional stresses,  $T_{fr}$ . The corresponding analytical predictions are based on the model of Section 2.2.  $AR=2000$ .

the shear strength of the polymer fibre, the lower the tensile strength of the CNT reinforced fibre and in all cases the predicted strength is significantly below that of simulations where failure of these highly anisotropic fibres is not considered. For  $\sigma_{12}^u$  equal to 20 MPa i.e. below  $\hat{T}_t$ , the reinforced fibre strength is nearly equal to the applied stress necessary to initiate damage near the interface. As  $\sigma_{12}^u$  increases, to 30 and 40 MPa, i.e. above  $\hat{T}_t$ , the interface fracture process zone develops but is not fully developed before failure of the fibre e.g. the debond length is zero (not shown in Fig. Fig. 15). For  $\sigma_{12}^u = 50$  MPa, i.e. twice  $\hat{T}_t$ , the debond length is only 0.25 nm when failure occurs. For fibre shear strengths much larger than  $\hat{T}_t$ , shear failure of these anisotropic polymer fibres is delayed and thus debonding initiates and grows prior to failure. For  $\sigma_{12}^u = 100$  MPa, the debonding length is about 35 nm ( $\sim 24 r_{CNT}$ ). Thus, increasing the interfacial peak traction, or in a less accurate term the interface strength, does not lead to a reinforcement of the polymer fibre because the limiting factor is the shear strength of the highly anisotropic polymer fibre itself, which is typically fairly low (20-80 MPa) for high performance polymer fibres like UHMWPE, PBO or PPTA.

Interestingly, from this work it can be concluded that the same property that limits the shear strength of macroscopic UHMWPE/epoxy or aramid/epoxy

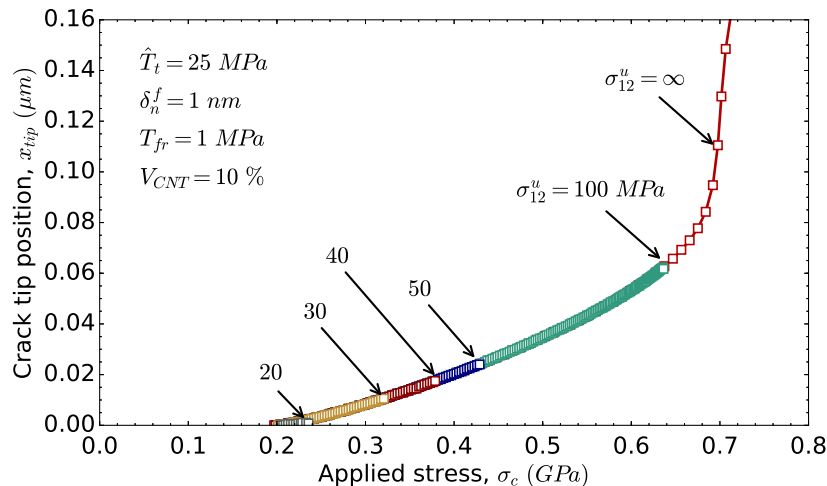


Figure 15: Finite element prediction of the crack tip position,  $x_{tip}$ , as a function of the applied stress,  $\sigma_c$ , for different shear failure strength values,  $\sigma_{12}^u$ , of the polymer (UHMWPE) fibre. The arrows, except for  $\sigma_{12}^u = \infty$ , indicate the point of failure.  $AR = 2000$ .

composites [89, 103–105], limits the reinforcing efficiency of CNT reinforced UHMWPE or aramid nanocomposite fibres. For both systems ultimate strength is limited by the relatively low shear strength of the anisotropic fibres rather than interfacial adhesion.

Following these arguments it can now also be better understood why the oriented CNT reinforced PVA system of Wang et al. [53] was able to achieve such a high reinforcing efficiency with a near theoretical stress contribution by the SWCNTs of 88 GPa. Firstly, this system exhibited only a moderate overall fibre strength ( $\sim 1.2$  GPa), reducing the need for a high CNT content and high interfacial shear stress. PVA is also a polar polymer and expected to show good interfacial adhesion. However, more importantly, the polar PVA has also a higher secondary bond strength between the chains than apolar PE, leading to a less anisotropic fibre. For example, the shear modulus of oriented PVA is reported to be about twice that of UHMWPE [39, 106]. Both effects, the lower overall strength and less anisotropic character of oriented PVA, lead to a nanocomposite fibre system where the ultimate strength is less dominated by the intrinsic shear strength of the oriented polymer fibre.

Similarly the favourable results of Chae et al. [107] may also be seen in this light. Here the authors reported an up to 60% increase in tensile strength

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9 of carbonized PAN fibres with 1 wt% CNTs and an effective CNT stress of  
10 67 GPa. Although the authors ascribed the increase in fibre properties to  
11 some extent to changes in the carbonization process and graphitic structure  
12 as a result of the presence of CNTs, i.e. a matrix effect rather than a direct  
13 nanotube reinforcement effect, the high reinforcing efficiency was here prob-  
14 ably also aided by the higher shear properties of carbon fibre. Carbon fibres  
15 have a less anisotropic structure than UHMWPE or PPTA fibres. Graphite  
16 possesses strong covalent bonds between hexagonally arranged carbon atoms  
17 within the 2D layer-planes, but much weaker bonding between them [108].  
18 Consequently carbon or graphite fibres can be considered as 2D structures  
19 as opposed to oriented polymer fibres like UHMWPE and PPTA, which can  
20 be considered as 1D structures as here covalent bonding only exists in the  
21 chain direction. Because of their less anisotropic character, carbon fibres  
22 have significantly higher shear properties. For example, the shear modu-  
23 lus of PAN based carbon fibre is around 14 GPa as compared to 0.8 and 2  
24 GPa for UHMWPE and PPTA [39]. Again this will benefit a nanocomposite  
25 fibre system as the ultimate strength is less dominated by the poor shear  
26 properties of the fibre.  
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## 32 33 34 **6. Conclusions**

35 Analytical micromechanical models and finite element simulations were  
36 employed to explain why it is in practice so difficult to significantly reinforce  
37 high performance polymer fibres with CNTs. The main reason for the low  
38 reinforcing efficiency of CNTs in high performance polymer fibres are:  
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- 41 • The minimum CNT content required for effective reinforcement is rela-  
42 tively high and is in most cases well above than 5% and increases with  
43 polymer fibre modulus. Since it has been proven difficult to homoge-  
44 neously disperse individual SWCNTs in polymers at high concentra-  
45 tions this will remain a challenge.  
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- 47 • A high interfacial shear strength or peak traction is required to prevent  
48 debonding of CNTs in the polymer fibre, however, such an increase in  
49 interface strength does not necessarily result in a higher fibre strength  
50 as often the limiting factor is the intrinsic shear strength properties of  
51 the highly anisotropic polymer fibre itself.  
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- Similarly, a positive contribution from an increase in interface fracture energy or critical crack opening is limited due to the highly anisotropy nature of most high performance polymer fibres.
  - A high, albeit often unrealistic, interfacial frictional stress can increase the strength of the CNT reinforced polymer fibre, however only for (hypothetical) polymer fibres with high shear failure strength that exceeds the interfacial frictional stress.
  - A high aspect ratio ( $>10000$ ) of the CNTs has a significant positive effect on the polymer fibre strength as long as the polymer fibre does not fail by shear.

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All factors listed above can in theory lead to CNT reinforced fibres with superior properties compared to commercial high performance polymer fibres if these highly anisotropic fibres were not inherently weak in directions other than the fibre direction. However, highly oriented polymer fibres are intrinsically anisotropic and possess low shear strengths. Therefore, it remains extremely doubtful if CNTs can significantly reinforce existing high performance polymer fibres like Kevlar<sup>®</sup>, Twaron<sup>®</sup>, Dyneema<sup>®</sup>, Spectra<sup>®</sup> or Zylon<sup>®</sup> with tensile strengths of around 3-5 GPa. Rather than mechanical reinforcement, here the creation of electrically conductive polymer fibres through nanotube modification [99, 109] or coatings [110] may be more promising. On the other hand, nanotube reinforcement might have some merit in textile grade fibres of lower mechanical performance such as nylon, polyester, polyacrylonitrile or poly(vinyl alcohol) or more isotropic carbon fibres.

#### Disclosure statement

No potential conflict of interest was reported by the authors.

#### Notes on contributors

Stergios Goutianos obtained his PhD from Queen Mary University of London in 2004 on the micromechanics of compressive failure in composites. He is currently a professor in the Department of Manufacturing and Civil Engineering at the Norwegian University of Science and Technology (NTNU),

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9 having worked previously at the Technical University of Denmark and indus-  
10 try. His research expertise is in finite element modelling, mechanics, design  
11 and fracture mechanics of composites.  
12

13 Ton Peijs is currently a professor in polymer engineering at WMG, Uni-  
14 versity of Warwick (UK), having worked previously at Queen Mary Univer-  
15 sity of London and Eindhoven University of Technology (Netherlands). His  
16 research is in the area of structure-processing-property relationships in poly-  
17 mer fibres, composites and nanocomposites. Other areas of research include  
18 multifunctional and sustainable polymeric materials.  
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## Graphical abstract

On the low reinforcing efficiency of carbon nanotubes in high performance polymer fibres

S. Goutianos, T. Peijs,

