



32 **Abstract**

33 **Purpose** Soil moisture is the main factor limiting the growth of vegetation in semiarid areas.

34 A large area of afforestation land on the Loess Plateau has been restored for 20 years from the  
35 beginning of afforestation in 1999. How will soil moisture of afforested land change in the  
36 next 20 years is important for sustainable ecological restoration, especially because the  
37 species planted were not native.

38 **Methods** The effects on soil moisture content (SMC) of afforestation (*Robinia pseudoacacia*  
39 and *Caragana korshinskii*) with different recovery durations (10, 20, 30 and 40 years) on the  
40 Loess Plateau were examined. Meanwhile, a comparative with natural restoration grassland  
41 for the same age intervals were conducted.

42 **Results** SMC of *R. pseudoacacia* plots on south-facing slopes and *R. pseudoacacia* and *C.*  
43 *korshinskii* plots on north-facing slopes was lowest when vegetation coverage was greatest  
44 after 20 and 30 years, respectively; SMC increases over time following natural grassland  
45 restoration; soil moisture consumption of all vegetation types was greater in the shallow soil  
46 layer (20-200 cm) than in the deep soil layer (200-500 cm) in each recovery period; and based  
47 on a three-way ANOVA, the interaction among afforestation year, vegetation type and soil  
48 depth had significant effects on SMC.

49 **Conclusion** In response to societal demand for wood, existing plantations should be thinned,  
50 with afforested lands located on north-facing slopes being thinned every 10-30 years  
51 (approximately 20 years).

52 **Keywords:** *Robinia pseudoacacia*; *Caragana korshinskii*; afforestation years; soil moisture  
53 content; Loess Plateau

## 54 **1. Introduction**

55 Soil moisture is an important hydrological element in terrestrial hydrological cycles and  
56 a key interface for conversion among precipitation, surface water and groundwater. (Legates  
57 et al., 2011; Liu et al., 2015; Jiménez et al., 2017). Soil moisture, as the basic determinant of  
58 the global water-energy-carbon cycle, controls the surface evapotranspiration, water  
59 migration, and carbon cycle processes (Fang et al. 2018). The main source of plant moisture  
60 is soil moisture, and many studies have shown that the advantages and disadvantages of soil  
61 moisture conditions are an important indicator of vegetation productivity (Karavani et al.,  
62 2018; Zhang et al., 2019). Soil moisture not only has an important impact on plant  
63 communities and physical and chemical soil properties (Fortier et al. 2013) but also has a  
64 critical effect on the growth processes of plants, especially in arid and semiarid areas. Due to  
65 scarce precipitation and deep groundwater, soil moisture is the main factor limiting the  
66 growth of vegetation in these areas, thereby limiting ecosystem productivity (whether it is an  
67 agricultural ecosystem or a natural ecosystem) (Mathur et al. 2016). For example, water  
68 supply and distribution are the main factors limiting wheat production in the semiarid regions  
69 of the southern United States (Thapa et al. 2020), and the development of effective  
70 water-saving agriculture is critical to increasing wheat productivity in semiarid regions of  
71 China (Ali et al. 2018). Soil moisture controls the productivity of Acacia woodland in  
72 semiarid central Australia (Cleverly et al. 2016), and soil moisture has become the main

73 driving force of ecosystem changes in the African dryland ecosystem, with changes in soil  
74 moisture explaining approximately 48% of the vegetation changes (Wei et al. 2019).  
75 Therefore, the dynamic changes in soil moisture have become issues that must be considered  
76 in improving the yield of agricultural ecosystems and the ecological reconstruction and  
77 restoration of natural ecosystems.

78 The dynamic changes in soil moisture in arid and semiarid areas are affected by various  
79 factors. First, various environmental factors have an impact on soil moisture. The spatial  
80 distribution of soil moisture is complicated and shows strong environmental sensitivity. The  
81 difference in environmental conditions makes soil moisture exhibit different spatial and  
82 temporal distribution characteristics (Gómez-Plaza et al., 2001; Zhao et al., 2010; Gao et al.,  
83 2015). In recent years, research on the spatiotemporal variability of soil moisture in arid and  
84 semiarid areas has become a hot spot in ecological research. At the regional scale, the spatial  
85 distribution of precipitation directly affects the spatial differentiation of soil moisture. For  
86 example, studies of soil moisture variability in arid regions of the central United States have  
87 shown that soils with higher water holding capacity can alleviate short-term precipitation  
88 insufficiency, while soils with lower water holding capacity show a state of deep soil water  
89 shortage (Salley et al. 2016). Additionally, soil moisture and rainfall in the arid regions of  
90 western India are positively correlated (Vezhapparambu et al. 2020), and different forms of  
91 precipitation in the Qilian Mountains in China have different effects on soil moisture (Yang et  
92 al. 2017). Soil moisture in natural grasslands in the semiarid loess hilly region of China is also  
93 sensitive to precipitation (Zhang et al. 2017). Previous studies have also investigated the

94 temporal stability of soil moisture. For example, the spatial pattern of soil moisture between  
95 two identical seasons in an oasis in northwestern China usually has a high temporal stability  
96 (Yang et al. 2017). As environmental pressure increases, the interaction between plants in  
97 dryland ecosystems shifts from competition to promotion (Butterfield et al. 2016), and the  
98 temporal stability of soil moisture in typical subalpine ecosystems in Northwest China and the  
99 Badain Jaran Desert also increases with increasing soil depth (Zhou et al. 2018; Zhu et al.  
100 2020). In addition, terrain also has a certain effect on soil moisture (Majdar et al. 2018; Yu et  
101 al. 2019). Furthermore, soil moisture is also affected by human factors. Changes in soil  
102 moisture under different land uses are important to ensure the effective use of water and soil  
103 resources. At the same time, studying the effects of different vegetation types on soil moisture  
104 dynamics will help to understand the mechanisms that cause water shortages. These are  
105 crucial to afforestation sustainability in arid and semiarid ecosystems. Changes in land use  
106 and vegetation restoration can cause changes in soil moisture. For example, afforestation in  
107 eight provinces in northern China can severely reduce soil moisture (Deng et al. 2016). Soil  
108 moisture in Brazil's semiarid regions changes with changes in land use (de Queiroz et al.  
109 2020), and natural grasslands in the arid regions of China can retain soil moisture better than  
110 artificial grasslands (Huang et al. 2019). In addition, grazing, mining, and fire can also affect  
111 soil moisture (Pereyra et al. 2017; Stavi et al. 2017; Byrne et al. 2017). Among all the  
112 influencing factors, afforestation is a major variable in artificially changing soil moisture  
113 regime (Montenegro and Ragab, 2012; Cohen et al., 2014).

114 To control soil erosion, afforestation measures have been adopted worldwide, including  
115 in China. In the past four decades, China has planted billions of trees to combat soil erosion  
116 and desert expansion (Zastrow, 2019), especially in the Loess Plateau, which suffers from  
117 severe soil erosion associated with intense human activities (Zhao et al., 2012; Yang et al.,  
118 2015; Zhao et al., 2018). Since the implementation of the Grain for Green project in 1999,  
119 soil erosion has been effectively controlled, although some studies have found that this  
120 project has caused negative effects, such as soil drying (Zhu et al. 2014; Chen et al., 2015;  
121 Wang et al., 2015). Therefore, the positive and negative implications of afforestation on the  
122 vegetation ecology on the Loess Plateau have become a topic of discussion among scholars  
123 (Woziwoda and Kopec, 2014; Oelofse, 2016; Viedma et al., 2017). As an example,  
124 afforestation on the Loess Plateau has been deemed unsustainable because many introduced  
125 plants transpire more water than the native vegetation (Zastrow, 2019). Through field  
126 investigations and experiments, some studies have found that afforestation reduced soil  
127 moisture content (SMC) and that the vegetation growth rates were poor (Liu et al. 2016).  
128 Some studies have also shown that vegetation has absorbed rainfall and reduced runoff.  
129 Coupled with a continental semiarid monsoon climate, these processes may cause water  
130 shortages for humans, indicating that afforestation on the Loess Plateau has approached the  
131 limit of the sustainable use of water resources (Feng et al., 2013). A better understanding of  
132 the temporal evolution of SMC response to the restoration of different vegetation species can  
133 help to identify critical situations and improvement measures.

134 Due to the high growth rate and nitrogen fixation capacity of *Robinia pseudoacacia* and  
135 *Caragana korshinskii*, these plants are considered the most promising species for  
136 afforestation and have been planted in large areas on the Loess Plateau (Liang et al., 2018;  
137 Chen et al., 2008). It has been 20 years since large-scale afforestation started. How will soil  
138 moisture of afforested land change in the next 20 years? In response to this question, we  
139 hypothesized that SMC in the artificial forestland decreased after afforestation. This question  
140 is relevant to understanding the long-term effects of these practices on soil moisture,  
141 especially because the planted species are not native. Some studies have shown that they  
142 strongly absorb moisture from the soil, resulting in the formation of a dry soil layer (Fang et  
143 al., 2016; Liu et al., 2016). There is still relatively little information on how soil desiccation  
144 occurs over time under different vegetation types from the year of establishment of the  
145 plantation. To better understand the trends of SMC in afforestation plots, we designed a set of  
146 experiments to study the changes in SMC after afforestation with two species on the Loess  
147 Plateau after different years following establishment (10, 20, 30 and 40 years). We also  
148 conducted a comparative study with natural restoration grassland for the same age intervals  
149 and propose some management practices to preserve soil moisture and maintain the local  
150 ecological balance.

## 151 **2. Materials and methods**

### 152 **2.1 Study area**

153 The study area is located in the Danangou watershed (109°16'~109°18'E and  
154 36°54'~36°56'N). The study area covers an area of approximately 3.5 km<sup>2</sup> and ranges in

155 elevation from 1075-1370 m. The Danangou watershed has a continental semiarid monsoon  
156 climate. This area belongs to the typical loess hilly area (Wang et al., 2001). The annual  
157 average temperature in the region is 8.8°C (with an average maximum of 22.5°C in July and  
158 an average minimum of 7°C in January), and the average precipitation is 520 mm. Heavy  
159 rains are mainly concentrated in August and September. Since the soil in the study area is  
160 loess, the area is vulnerable to soil erosion (Qiu et al., 2001). In addition, the groundwater  
161 table in this area is especially deep, and plants cannot access the groundwater.

162 This study considered 48 plots (Fig. 1), including 12 *R. pseudoacacia* plots (south-facing  
163 slopes), 12 *R. pseudoacacia* plots (north-facing slopes), 12 *C. korshinskii* plots (north-facing  
164 slopes) and 12 natural grassland plots (north-facing slopes). The south- and north-facing  
165 slopes are oriented towards the south and north, respectively. The south-facing slopes in this  
166 study area receive more sunlight than the north-facing slopes. The recovery periods for each  
167 type of vegetation are 10, 20, 30 and 40 years. Each plot is represented by a symbol: T<sub>south</sub>  
168 (10, 20, 30, 40) and T<sub>north</sub> (10, 20, 30, 40) indicate *R. pseudoacacia* plots located on  
169 south-facing and north-facing slopes in different afforestation years, respectively; and Shrub  
170 (10, 20, 30, 40) and Herb (10, 20, 30, 40) representing *C. korshinskii* plots and natural  
171 grasslands in different afforestation years. The effects of different recovery periods on SMC  
172 in the afforestation plots were analyzed by measuring the coverage, density and SMC. Natural  
173 grassland plots of the same age were used as controls, and the age of the natural grassland  
174 refers to the year of the transition from farmland to grassland. The initial tree densities (2 m ×  
175 2 m) in the afforestation plots were similar. The area of the afforestation plots was 100 m<sup>2</sup>

176 (with a side length of 10 m). The area of the natural grasslands was 4 m<sup>2</sup> (with a side length is  
177 2 m). The angle of the slopes was approximately 20~30°. We selected three samples as  
178 replicates in each plot.

179 **Figure 1 is located approximately here.**

## 180 **2.2 Field measurement and data analysis**

181 The field experiment was conducted in July-August 2017. Vegetation surveys were  
182 conducted for each plot and included analysis of the tree, shrub and herb layers. We recorded  
183 the species name, height, abundance, and vegetation coverage. A 5 cm diameter soil auger  
184 was used to obtain 0-5 m deep soil samples, with samples collected every 20 cm to a depth of  
185 5 m. Three repetitions were conducted in each plot. Overall, 3600 disturbed soil samples were  
186 collected. Details regarding the specific steps and collection methods are available in a  
187 previous article (Wang et al., 2019). SMC was assessed using the gravimetric method, and  
188 samples were brought to the laboratory and dried in an oven for 24 hours (Zheng et al., 2015;  
189 Zhang et al., 2017). The soil agglomerates (SA) were determined from undisturbed samples,  
190 which were collected using large cutting rings (2000 cm<sup>3</sup>) and processed using the Savinoff  
191 dry and wet sieve methods. The particle size (PZ) of the samples was measured with air-dried  
192 disturbed soil by a Malvern MS2000 laser particle size analyzer. We chose to sample during  
193 periods of no rainfall.

194 The vertical change in SMC in each plot was analyzed by a linear regression model.  
195 One-way analysis of variance (ANOVA) was used to analyze the change in SMC of different  
196 vegetation types in each restoration year and the change in SMC of each vegetation type with

197 different restoration years. Three-way ANOVA was used to analyze the impacts of the  
198 interaction among three factors (years, vegetation type and soil depth) and the interaction  
199 between any two factors on SMC. The correlation between SMC and other factors was  
200 analyzed by using Pearson's correlation coefficient and principal component analysis (PCA).  
201 PCA was achieved by CANOCO 5.0.

## 202 **3. Results**

### 203 **3.1 Changes in SMC in different afforestation years**

#### 204 **3.1.1 Vertical distribution of SMC with increasing afforestation years**

205 The three-way ANOVA (Table 1) indicated that the interaction among the three factors  
206 (afforestation years, vegetation type and soil depth) and the interactions between any two  
207 factors had a significant ( $p < 0.01$ ) effect on SMC.

208 SMC for afforestation plots located on north-facing slopes decreased for afforestation  
209 ages from 10 to 30 years but increased for those from 30 to 40 years ( $p < 0.05$ ) (Fig. 2). The  
210 change trend of SMC for *R. pseudoacacia* located on south-facing slopes was consistent with  
211 that located on north-facing slopes, with the minimum value appearing at 20 years ( $p < 0.05$ ).  
212 SMC in natural grasslands gradually increased from 10 to 40 years, and there were significant  
213 differences ( $p < 0.05$ ) among all recovery years.

214 For SMC of different soil layers in slopes with different aspects, SMC of each  
215 afforestation age decreased as the soil depth increased across the depth range of 0-500 cm  
216 (Fig. S1). SMC of the topsoil layer (0-20 cm) in the north-facing slope was significantly ( $p <$   
217 0.01) higher than that in the south-facing slope for each afforestation age. This confirms that

218 *R. pseudoacacia* plots located on north-facing slopes reduce soil surface evaporation to a  
219 greater extent than those located on south-facing slopes. In the 20-200 cm soil layer, SMC in  
220 *R. pseudoacacia* plots located on south- and north-facing slopes decreased with decreasing  
221 soil depth for all afforestation ages. SMC of the 20-200 cm soil layer was significantly  
222 different between the different aspects ( $p < 0.05$ ). In the 200-500 cm soil layer, SMC for *R.*  
223 *pseudoacacia* plots located on south- and north-facing slopes with ages of 20, 30, and 40  
224 years decreased continuously with decreasing soil depth, and the differences in SMC between  
225 the south- and north-facing slopes of *R. pseudoacacia* gradually decreased before the age of  
226 30 years and gradually increased after the age of 30 years (Fig. S1). One-way ANOVA  
227 indicated that significant differences ( $p < 0.05$ ) were found among all recovery years in each  
228 aspect.

229 Fig. S2 shows that there was a significant difference in SMC between different  
230 vegetation types ( $p < 0.05$ ), with the following order of magnitude: *R. pseudoacacia* plots  $<$   
231 *C. korshinskii* plots  $<$  natural grasslands. Fig. S2 also shows that there was a significant  
232 difference ( $p < 0.05$ ) in SMC of the topsoil layer (0-20 cm) between different vegetation types  
233 (*R. pseudoacacia* plots  $>$  natural grasslands  $>$  *C. korshinskii* plots). In the 20-200 cm soil  
234 layer, SMC of all vegetation types decreased with increasing soil depth, and the reduction in  
235 SMC for *R. pseudoacacia* was greatest among all the vegetation types. Soil moisture  
236 consumption of natural grassland is mainly concentrated at depths of 20-100 m and 20-200 m  
237 at 10 and 40 years and 20 and 30 years, respectively. For soil depths of 200 to 500 cm, SMC  
238 for afforestation plots decreased with increasing soil depth, except for the age of 10 years.

239 The reduction in SMC in *R. pseudoacacia* plots was significantly larger ( $p < 0.05$ ) than that in  
240 *C. korshinskii* plots. In contrast, SMC in natural grassland plots increased with increasing soil  
241 depth from 200 to 500 cm for all ages.

242 **Figure 2 is located approximately here.**

243 **Table 1 is located approximately here.**

### 244 **3.1.2 Deep soil moisture consumption with increasing afforestation years**

245 Soil moisture consumption in the 20-200 cm soil layer of *R. pseudoacacia* plots  
246 exceeded that in the *C. korshinskii* and natural grassland plots (Fig. 3). Soil moisture  
247 consumption in the natural grassland plots was negative after 10 years. Beyond that time, soil  
248 moisture consumption of the natural grassland gradually increased with increasing restoration  
249 years from 20 to 40 years. For the 200 to 500 cm soil depth, soil moisture consumption of all  
250 vegetation types in different recovery years was less ( $p < 0.05$ ) than that of the 20-200 cm soil  
251 layer, especially for the natural grassland.

252 **Figure 3 is located approximately here.**

### 253 **3.2 Relationship between SMC and other environmental factors**

#### 254 **3.2.1 Changes in vegetation and other factors with increasing afforestation years**

255 Table 2 shows that the values of vegetation coverage, clay, silt and SA of the *R.*  
256 *pseudoacacia* plots located on the south-facing slope were highest (74.000%, 7.061%,  
257 71.037% and 3.216, respectively) at 20 years. The values of vegetation coverage, clay, silt  
258 and SA of the *R. pseudoacacia* plots located on the north-facing slope were highest (75.000%,  
259 7.484%, 72.075% and 5.125, respectively) at 30 years. The trends of the vegetation coverage,

260 clay, silt and SA in the *C. korshinskii* plots with increasing afforestation years are the same as  
261 those in the *R. pseudoacacia* plots located on the north-facing slope. The clay, silt and SA of  
262 the natural grassland increased with increasing afforestation years.

263 **Table 2 is located approximately here.**

### 264 **3.2.2 PCA between SMC and other factors**

265 In the afforestation plots, the coverage was significantly positively correlated ( $p < 0.05$ )  
266 with SMC in the 0-20 m layer, while it was significantly negatively correlated ( $p < 0.05$ ) with  
267 SMC in the deep soil layers (Fig. 4). SMC in the deep soil layer was significantly negatively  
268 correlated ( $p < 0.05$ ) with clay, silt and SA. In the natural grasslands, SMC was significantly  
269 positively correlated ( $p < 0.01$ ) with other soil properties in each soil layer. In addition, SMC  
270 values (20-200 cm and 200-500 cm soil layers) of plots Tsouth20, Tnorth30, Tnorth40 and  
271 Shrub30 were lower than the average value in the afforestation plots. SMC values in all soil  
272 layers and coverage of plots Herb30 and Herb40 were higher than the average value in the  
273 natural grassland, and the highest SMC value was observed in plot Herb40.

274 **Figure 4 is located approximately here.**

## 275 **4. Discussion**

### 276 **4.1 Vertical variation mechanism of SMC in different afforestation years**

277 Afforestation has produced great outcomes on the Loess Plateau (Yang et al., 2014).  
278 However, some studies have indicated that the introduction of exotic species with high  
279 evapotranspiration rates has reduced SMC (Zhang et al., 2017). This study indicated that  
280 SMC associated with a particular vegetation type varies significantly over time after

281 afforestation. SMC of the topsoil (0-20 cm) in afforested areas increases with the increase in  
282 vegetation recovery years before reaching maturity. There are two possible reasons: i) the  
283 increasing coverage of vegetation reduces the amount of light received by the soil surface,  
284 leading to reduced evaporation of surface soil moisture, and ii) the shallow soil layer (20-200  
285 cm) soil moisture supplements the topsoil soil moisture. For the natural restoration of  
286 grassland, SMC of 0-20 cm increases with the increase in afforestation years due to the  
287 change in coverage. In addition, there are more root systems in the shallow soil layer than in  
288 deeper soil layers. Therefore, soil moisture consumption of all vegetation types in the shallow  
289 soil layer is much larger than that in the deep soil layer (200-500 cm) in each recovery year.

290 SMC in the same soil layer under different afforestation types also varies. The greater  
291 vegetation coverage of *R. pseudoacacia* is conducive to the preservation of surface soil  
292 moisture (Kou et al., 2016). Therefore, SMC in the 0-20 cm soil layer in the *R. pseudoacacia*  
293 plots is greater than that in the other plots. The 20-200 cm layer is the soil layer that has the  
294 lowest SMC under all vegetation types, and this result is consistent with the results of Amin et  
295 al.'s study on soil moisture in arid regions around the world (Amin et al., 2020). *R.*  
296 *pseudoacacia* consumes the most soil moisture, and the natural grassland consumes the least  
297 soil moisture. With the succession of herbaceous communities, the roots of dominant species  
298 gradually change from straight roots to fibrous roots. Fibrous root systems of plants are  
299 generally located at depths of less than 100 cm. Therefore, natural grassland not only does not  
300 consume soil moisture in the deep soil layer but also shows an increasing trend (Huang et al.,  
301 2019). In addition, SMC of artificial vegetation was negatively correlated with soil silt, clay

302 and agglomerates. This result is the opposite of findings in previous studies (Zuo et al., 2009;  
303 Tang et al., 2010), which can be explained by the roots also having a great influence on soil  
304 silt, clay and agglomerates.

#### 305 **4.2 Variation mechanism of total SMC with increasing afforestation years**

306 Many studies have shown that SMC decreases with increasing afforestation years (Jia  
307 and Shao, 2014; Jian et al., 2015). Our study confirmed this trend, but we also found that  
308 SMC of *R. pseudoacacia* plots located on south-facing slopes decreased to a certain extent,  
309 and there was an increasing trend due to the vegetation reaching maturity at 20 years (Fig. 5).  
310 When the coverage and density of the vegetation reached its maximum, the root biomass of *R.*  
311 *pseudoacacia* reached its maximum, and the plants absorbed more soil moisture. After that  
312 point, some plants died due to a lack of water, and the plants appeared to exhibit self-relaxing  
313 behavior. As a result, the vegetation coverage and density began to decline, and SMC  
314 increased again. The trend of SMC in the *R. pseudoacacia* plots located on north-facing  
315 slopes is consistent with that on south-facing slopes, and SMC reached its lowest point at 30  
316 years. For the same reason, SMC of *C. korshinskii* plots also reached its lowest point after 30  
317 years of recovery. However, SMC of the natural grasslands in the control group showed a  
318 different trend than the artificial vegetation. SMC of the natural grassland increased with  
319 increasing restoration years, and no threshold was observed. The results in our study are  
320 consistent with those of other studies (Zhu et al., 2015; Deng et al., 2016). Therefore, there is  
321 a mechanism for the change in SMC in afforested areas after different periods of restoration.  
322 We propose soil moisture-vegetation dynamic balance model for afforestation over the course

323 of 10-40 years (Fig. 6). This model notes that (1) in the early stage of vegetation growth,  
324 although the vegetation grows rapidly and soil moisture begins to decline, the vegetation  
325 growth and soil moisture consumption are balanced; (2) in the middle stage of vegetation  
326 growth, moisture consumption is increases, and vegetation growth is restricted, resulting in  
327 self-relaxation; and (3) in the late stage of vegetation growth, vegetation growth and soil  
328 moisture consumption gradually rebalance, and soil moisture begins to increase.

329 **Figure 5 is located approximately here.**

330 **Figure 6 is located approximately here.**

331 Some previous studies have shown that the total SMC in afforested land is less than that  
332 in natural grassland (Yang et al., 2012; Fang et al., 2016; Wang et al., 2017), and the results  
333 of our study are consistent with those findings. *R. pseudoacacia* is a tree species that uses a  
334 well-developed root system to absorb a large amount of water to support the growth of the  
335 aboveground parts. *C. korshinskii* is a shrub species with a well-developed root system, and  
336 its root system also absorbs a large amount of moisture for the growth of the aboveground  
337 parts. However, the aboveground parts of *C. korshinskii* require less water than those of *R.*  
338 *pseudoacacia* (Kou et al., 2016). The natural grassland plants are herbaceous species, and  
339 their root systems are far less developed than those of the tree and shrub species because the  
340 aboveground parts require less water (Zhang et al., 2017). Thus, less soil moisture is  
341 consumed in the natural grasslands than in afforested lands.

#### 342 **4.3 Recommendations for future afforestation**

343 The introduction of inappropriate species to the Loess Plateau and excessive planting  
344 density have led to some negative effects (Fang et al., 2016; Deng et al., 2016). Our research  
345 showed that when soil moisture consumption is large, some plants will die, and the density of  
346 vegetation will decrease. SMC will then increase as a result of the reduced demand for water  
347 by vegetation. The relationship between SMC and vegetation is dynamically balanced.  
348 Therefore, to maintain the balance of the local ecosystem and control soil desiccation, some  
349 measures need to be taken to help the sustainable development of local afforestation projects.  
350 Some studies have indicated that thinning is an effective way to balance soil moisture supply  
351 and consumption in high-density plantations (Jia et al., 2017; Cao et al., 2018). In addition,  
352 the results of the three-way ANOVA indicated that the interaction among afforestation  
353 duration, vegetation type and soil depth had a significant ( $p < 0.01$ ) effect on SMC. Among  
354 the three factors, the effect of different soil layers on SMC is mainly due to differences in root  
355 biomass among different vegetation types and afforestation durations. This shows that in  
356 addition to the afforestation duration, the vegetation type also has a very important effect on  
357 the change in SMC. It is important to take appropriate thinning measures for different  
358 vegetation types. Therefore, according to our soil moisture-vegetation dynamic balance model  
359 and the results of the three-way ANOVA, *R. pseudoacacia* and *C. korshinskii* need to be  
360 thinned before an imbalanced system develops. *R. pseudoacacia* plots located on south-facing  
361 slopes should be thinned every 10-20 years, with an optimal period of 15 years, and *R.*  
362 *pseudoacacia* plots located on north-facing slopes should be thinned every 10-30 years, with  
363 an optimal period of 20 years. Although SMC values of the *C. korshinskii* plots were greater

364 than the average SMC of all plots, the consumption of soil moisture in these plots was greater  
365 than that in natural grassland. *C. korshinskii* should be thinned every 10-30 years, with an  
366 optimal period of 20 years. We investigated the coverage and density of *R. pseudoacacia* for  
367 each afforestation period, which can be used as a reference for thinning density (Wang et al.,  
368 2019), but further research is needed on the density of *C. korshinskii*. For the natural  
369 grassland, its growth over time is a natural succession process, and SMC increased with  
370 increasing recovery duration. Therefore, for future afforestation in the study area, a more  
371 sustainable approach for afforestation should be based on natural succession or the planting of  
372 shallow-rooting herbs. In the case of policies aimed at increasing human demand for wood or  
373 trees to prevent sandstorms, thinning methods or planting native trees with low moisture  
374 consumption levels should be considered to control the development of soil desiccation.

## 375 **5. Conclusion**

376 This study analyzed soil desiccation trends over time following afforestation. We found  
377 that SMC of *R. pseudoacacia* plots located on south-facing slopes reached its minimum when  
378 the coverage reached a maximum value at 20 years. The *R. pseudoacacia* and *C. korshinskii*  
379 plots located on north-facing slopes reached their minimum when the coverage reached a  
380 maximum value at 30 years. This result is the same as that hypothesized for some  
381 afforestation years, but there are still differences among them. SMC of the natural grassland  
382 increases with the increase in restoration duration. In addition, vegetation coverage has a  
383 greater impact on SMC under different afforestation durations. Based on the changes in SMC  
384 in afforested land with afforestation duration, we proposed a soil moisture-vegetation

385 dynamic balance model for afforestation over 10-40 years. That is, from the early stage to the  
386 late stage of plant growth, the relationship between SMC and plant growth changes from a  
387 balanced state to an unbalanced state and finally returns to a balanced state. The relationship  
388 between vegetation growth and SMC is a dynamic balance process. Therefore, to maintain the  
389 balance in the local ecosystem and control the development of soil desiccation, we  
390 recommend thinning measures to help the sustainable development of local afforestation  
391 projects. Soil moisture consumption of *R. pseudoacacia* was the highest in all soil layers and  
392 among all vegetation types, while that of the natural grassland was the lowest. Therefore, in  
393 the future, if the human demand for wood and the prevention of sandstorms are considered, it  
394 is recommended that thinning methods be applied to forestland and that native trees with low  
395 moisture consumption rates be chosen, as these are effective measures to maintain healthy  
396 and sustainable ecosystems. Otherwise, a natural succession approach to afforestation and the  
397 planting of some shallow-rooting herbs are good options.

398

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406

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620 **Table**

621 **Table 1** Three-way ANOVA results for the effects on SMC of afforestation year, vegetation  
622 type, soil depth and their interaction.

Factors	F	P
Afforestation year	4.813	< 0.01
Vegetation type	233.985	< 0.001
Soil depth	435.827	< 0.001
Afforestation year * Vegetation type	5.302	< 0.001
Afforestation year * Soil depth	18.098	< 0.001
Vegetation type * Soil depth	69.070	< 0.001
Afforestation year * Vegetation type * Soil depth	3.146	< 0.001

623 Notes: Afforestation years refers to 10, 20, 30 and 40 years; vegetation type refer to *R.*  
624 *pseudoacacia* plots located on south-facing slopes and north-facing slopes, *C. korshinskii*  
625 plots and natural grasslands; soil depth refers to the soil layers 0-20 cm, 20-200 cm and  
626 200-500 cm.

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637 **Table 2** Average values of other environmental factors

	Coverage (%)	Clay (0-0.002 mm)	Silt (0.002-0.05 mm)	Sand (0.05-2 mm)	SA (mm)	SL (°)
Tsouth 10	62.00±3.60	5.57±0.24	62.85±1.11	31.58±0.99	3.19±0.11	20.00±0.25
Tsouth 20	74.00±4.90	7.06±0.29	71.04±1.58	21.90±1.16	3.22±0.06	20.20±0.09
Tsouth 30	65.00±3.60	6.72±0.10	67.22±1.14	26.06±1.01	2.85±0.03	20.30±0.83
Tsouth 40	60.00±3.30	5.54±0.18	65.35±1.22	29.11±1.00	2.82±0.02	20.20±0.84
Tnorth 10	55.00±3.60	6.38±0.29	64.23±1.03	29.39±1.00	3.21±0.15	20.20±0.73
Tnorth 20	63.00±4.20	7.19±0.24	71.77±1.01	21.03±0.04	3.97±0.13	20.10±0.63
Tnorth 30	75.00±4.60	7.48±0.30	74.08±2.10	20.44±1.00	5.13±0.15	20.20±1.34
Tnorth 40	70.00±4.40	6.29±0.24	66.49±1.14	27.22±1.12	2.84±0.16	20.30±0.45
Shrub 10	30.00±2.50	6.88±0.29	67.83±1.17	28.29±0.88	3.15±0.18	20.30±0.89
Shrub 20	45.00±3.00	7.03±0.22	71.81±1.78	21.17±1.00	3.73±0.20	20.10±0.55
Shrub 30	70.00±2.70	7.76±0.20	77.68±2.12	14.56±1.99	4.84±0.25	20.20±0.67
Shrub 40	50.00±3.20	7.44±0.25	70.72±1.99	21.84±2.46	2.63±0.15	20.20±0.29
Herb 10	40.00±4.00	6.96±0.22	70.51±1.79	22.53±1.45	1.84±0.08	20.10±0.89
Herb 20	60.00±8.00	7.62±0.21	75.98±2.00	16.40±1.00	2.05±0.10	20.30±0.51
Herb 30	70.00±6.00	7.90±0.19	80.63±2.46	11.47±1.59	2.51±0.13	20.20±0.73
Herb 40	75.00±6.00	8.88±0.26	83.99±2.13	7.13±1.10	2.70±0.11	20.10±0.98

638 Notes: Tsouth (10, 20, 30, 40) is *R. pseudoacacia* plots located on south-facing slopes in  
639 different afforestation years; Tnorth (10, 20, 30, 40) is *R. pseudoacacia* plots located on  
640 north-facing slopes in different afforestation years; Shrub (10, 20, 30, 40) is *C. korshinskii*  
641 plots in different afforestation years; Herb (10, 20, 30, 40) is natural grasslands in different  
642 recovery years; SL is slope; SA is soil aggregates.

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649 **Figure captions**

650 **Fig. 1 Study area and locations of the sampled plots**

651 Tree represents *R. pseudoacacia* plots, Shrub represents *C. korshinskii* plots, and Herb  
652 represents natural grasslands.

653 **Fig. 2 Comparison of SMC distribution at different ages for the same vegetation**  
654 **restoration type**

655 (a). SMC distribution at different ages for *R. pseudoacacia* plots located on south-facing  
656 slopes (T<sub>south</sub>). (b). SMC distribution at different ages for *R. pseudoacacia* plots located on  
657 the north-facing slope (T<sub>north</sub>). (c). SMC distribution at different ages for *C. korshinskii*  
658 (Shrub). (d). SMC distribution at different ages for natural grasslands (Herb).

659 **Fig. 3 Comparison of deep soil moisture consumption among different vegetation types**  
660 **in different stages**

661 (a). Soil moisture consumption at 20-200 cm. (b). Soil moisture consumption at 200-500 cm.  
662 T<sub>south</sub> is *R. pseudoacacia* plots located on south-facing slopes; T<sub>north</sub> is *R. pseudoacacia*  
663 plots located on north-facing slopes; Shrub is *C. korshinskii* plots located on north-facing  
664 slopes; and Herb is natural grasslands located on north-facing slopes. Positive values indicate  
665 a reduction in soil moisture, and negative values indicate an increase.

666 **Fig. 4 PCA for soil moisture and environmental factors**

667 (a). PCA ordination diagram of afforestation plots. (b). PCA ordination diagram of natural  
668 grasslands. T<sub>south</sub> (10, 20, 30, 40) is *R. pseudoacacia* plots located on the south-facing slope  
669 in different afforestation years; T<sub>north</sub> (10, 20, 30, 40) is *R. pseudoacacia* plots located on the

670 north-facing slope in different afforestation years; Shrub (10, 20, 30, 40) is *C. korshinskii*  
671 plots in different afforestation years; Herb (10, 20, 30, 40) is natural grasslands in different  
672 recovery years; 0-20 cm is SMC in the 0-20 cm soil layer; 20-200 cm is SMC in the 20-200  
673 cm soil layer; 200-500 cm is SMC in the 200-500 cm soil layer; SL is slope; SA is soil  
674 aggregates; Clay, Silt and Sand are the proportion of clay, silt and sand particles, respectively.

675 **Fig. 5 Schematic diagram of total soil moisture consumption in the 0-5 m soil layer with**  
676 **vegetation restoration time**

677 The blue area represents soil moisture reservoir, and smiles of all colors represent soil  
678 moisture consumption in the 0-5 m soil layer. The upper row and the lower row in Figure (a)  
679 show the change in vegetation characteristics and soil moisture consumption of the *R.*  
680 *pseudoacacia* plots located on south- and north-facing slopes with increasing afforestation  
681 period, respectively. The upper row and the lower row in panel (b) show that the change in  
682 vegetation cover and soil moisture consumption of *C. korshinskii* plots and naturally restored  
683 grassland with increases in the recovery period, respectively.

684 **Fig. 6 Block diagram of soil moisture-vegetation dynamic balance model**

685 The green arrow indicates rapid growth of vegetation grows faster, and the downward arrow  
686 indicates slow growth or death of vegetation. The blue arrow indicates that SMC increases,  
687 and the downward arrow indicates that SMC decreases.