# Microstructural changes and mass transport in cement-based materials: a modeling approach

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A. Michel<sup>a</sup>, V. Marcos-Meson<sup>a,b</sup>, W. Kunther<sup>a</sup> & M.R. Geiker<sup>c</sup>

4 *a)* Technical University of Denmark (DTU), Department of Civil Engineering, Kgs. Lyngby, Denmark

5 +45 4525 1656, +45 4588 3282, <u>almic@byg.dtu.dk</u>, <u>http://www.dtu.dk</u>

- 6 <sup>b)</sup> COWI A/S Denmark, Kongens Lyngby, Denmark
- 7 <sup>c)</sup> Norwegian University of Science and Technology (DTU), Department of Structural Engineering,
- 8 Trondheim, Norway
- 9 Abstract

10 A generic modelling framework is presented to relate microstructural changes, *i.e.* changes in the pore volume distribution 11 and phase assemblage, and mass transport in cement-based materials. The modelling framework accounts for mass transport 12 and chemical equilibrium between ions in the pore solution and solid hydrates by means of an extended version of the 13 Poisson-Nernst-Planck equation, in which the chemical equilibrium is solved by the external geochemical code 14 IPHREEQC. Results of numerical studies concerning carbonation and leaching of a cement-based material indicate 15 significant changes in mass transport properties due to chemical reactions leading to alterations in the microstructure. The 16 resulting highly non-linear (both spatial and temporal) microstructural changes are thereby depending on the exposure and 17 indicate that interactions between chemical reactions, pore structure changes, and mass transport have to be accounted for as 18 a whole.

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 Properties, E. Modeling

#### 22 1 Introduction

23 Apart from widely used engineering approaches, which are often limited to e.g. describing the ingress 24 of chloride ions as of Fickian diffusion (see *e.g.* [1,2]), in particular, multi-physics modeling tools 25 gained popularity in efforts to assess and predict the service life of cement-based materials. For the nu-26 merical solution of the mass transport and chemical equilibrium in cement-based materials, multi-phys-27 ics modelling approaches often use the finite element method (FEM), see e.g. [3–7]. Commonly, state-28 of-the-art multi-physics models for the assessment and prediction of the service life of cement-based 29 materials employ 'classical' Poisson-Nernst-Planck (PNP) equations to describe the mass transport, e.g. 30 transport of ionic species, see e.g. [7–9]. The PNP equations describe the mass balance for the consid-31 ered ionic species along with constitutive assumptions for the corresponding mass flows. In doing so, 32 the assumptions for mass flows of ionic constituents are described by Nernst-Planck relations account-33 ing for the influence of concentration gradients of the ionic species of interest as well as the gradient of 34 the electrical potential [10]. The electrical potential is calculated from Gauss' law applying suitable 35 constitutive assumptions, also referred to as the Poisson equation of electrostatics. The Nernst-Planck 36 equation describing mass flows and the Poisson type of the equation determining the electrical potential 37 are coupled in both directions. The 'classical' PNP equations do not account for fluid motion leading to 38 an advective flow of dissolved ionic species. This limitation can be overcome by extending the 'classi-39 cal' PNP equations to account for moisture transport.

However, the accuracy of modelling approaches is impaired not only due to simplifying assumptions
concerning the processes associated with deterioration, such as chemical equilibrium and transport
mechanisms; predictive capabilities are lacking due to absence of important relations between chemical
reactions, pore structure changes, and mass transport. Without taking into account these interactions the

44 predictive capabilities of such modeling approaches will always be limited. The focus of this study was 45 to establish a functional description of relations between pore structure, volume changes, and mass 46 transport to enable modeling of the influence of microstructural changes on the mass transport in ce-47 ment-based materials. Mass transport in cement-based materials along with chemical equilibrium be-48 tween ions in the pore solution and solid hydrates was solved by an extended version of the Poisson-49 Nernst-Planck system of equations, in which the chemical equilibrium was solved by the external geo-50 chemical code IPHREEQC [11]. To relate phase changes and mass transport properties, scaling func-51 tions based on a mechanistic modeling approach [12,13] taking into account the impact of moisture 52 content and the microstructure of porous media on moisture transport were used. The capability of the 53 multi-physics modeling approach to relate microstructural changes and mass transport properties of ce-54 ment-based materials was illustrated by two case studies: carbonation and leaching of cement-based 55 materials in saturated condition.

# 56 2 Modeling approach

57 Experimental results reported in the literature (e.g. [14–17]) clearly show that exposure can lead to con-58 siderable alterations of cement-based materials. These changes include among others effects on the 59 phase assemblage, pore structure, and mass transport properties, which are related to each other. This dependency calls for modelling approaches that entail descriptions of the underlying mechanisms gov-60 61 erning mass transport, chemical reactions, and microstructural changes (phase assemblage and pore 62 structure) as well as relations in between these features. Widely used engineering approaches, often limited to *e.g.* describing the ingress of chloride ions as of Fickian diffusion, lack the ability to deal 63 64 with complex interactions and thus, may lead to inconsistent conclusions regarding deterioration pro65 cesses and durability of cement-based materials as *e.g.* the effective diffusion of chloride ions in car-66 bonated cement paste. Multi-physics modelling approaches, on the other hand, provide the possibility 67 to investigate and simulate the alterations in cement-based systems, while capturing the complexity of 68 microstructure (phase assemblage and pore structure), mass transport, and chemical interactions, see 69 Figure 1.



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Figure 1: Conceptual relation between microstructure, *i.e.* phase assemblage and pore structure, and
mass transport in cement-based materials.

#### 73 2.1 General framework

74 In the following, a brief description of the theoretical background of the adapted multi-phase reactive 75 transport model is presented, including the description of mass transport equations and chemical equi-76 librium suitable for modeling of cement-based materials. A simplified version of the general governing 77 equations obtained from the electroquasistatic Hybrid Mixture Theory (HMT) approach is used to de-78 velop a model for a reactive multi-phase flow model for cement-based materials. The transporting 79 phases considered are the liquid water phase including dissolved charged and neutral ionic species and 80 the gas phase, which may include gases, such as CO2 and/or water vapor. Solid phases and their con-81 stituents are considered as one rigid mixture and are only part of the framework in form of chemical 82 interactions with constituents present in the liquid water phase. Chemical reactions are included in the 83 HMT through kinetic source/sink terms in the mass balance equations for the constituents.

For the solution of the highly non-linear mass transport problem, a finite element approach is used, see *e.g.* [18]. The weak form of the governing equations is obtained using the Green-Gauss theorem, while the one-dimensional Galerkin's method is used to discretize the spatial domain with linear elements. Time discretization is carried out using a single parameter implicit time integration scheme. Non-linearity in the model is accounted for applying a modified Newton-Raphson scheme. For more detailed information on implementation, finite element formulation and solution, data coupling, *etc.* reference is made to *e.g.* [6,19–21].

# 91 2.2 Governing equations

Mass transport in cement-based materials along with chemical equilibrium between ions in the pore so lution and solid hydrates is solved by an extended version of the Poisson-Nernst-Planck (PNP) system

94 of equations. Within the modeling approach solution of the mass transport is based on the work of Jo-95 hannesson [10], while chemical interactions are considered as described in e.g. [22]. Assuming instantaneous local chemical equilibrium allows for the numerical solution of the chemical equilibrium intro-96 97 ducing an operator splitting approach in the transport equation [6]. This approach enables a transient 98 solution of the mass transport while utilizing mass transport results as input for chemical equilibrium 99 calculations. Results of the chemical equilibrium calculations are then used as initial values for the sub-100 sequent mass transport calculation. This modeling approach enables the solution of the chemical equi-101 librium in the spatial domain for all time steps and the governing mass transport equation may then be 102 written as follows:

$$\varepsilon \frac{\partial c_i}{\partial t} + c_i \frac{\partial \varepsilon}{\partial t} = \nabla (D_i \varepsilon \nabla c_i + D_i c_i \nabla \varepsilon - A_i z_i \varepsilon c_i \nabla \Phi) + v \varepsilon \nabla c_i + v c_i \nabla \varepsilon + q_i$$
(1)

103 where  $\varepsilon$  is the degree of saturation,  $c_i$  is the ionic concentration of species *i* in the liquid phase, *t* is the time,  $D_i$  is the diffusion coefficient of the *i*<sup>th</sup> ionic species,  $z_i$  is the valence of the *i*<sup>th</sup> ionic species,  $\Phi$  is 104 105 the electrical potential, v is the velocity of the liquid phase, and  $q_i$  a chemical equilibrium term. The ion mobility,  $A_i$ , of the *i*<sup>th</sup> ionic species is thereby defined by the Einstein-Smoluchowski equation, *i.e.* 106  $A_i = (F/RT)D_i$ , where F is Faraday's constant, R the universal gas constant, and T the absolute tem-107 108 perature. The first and second term on the right-hand side of Eq. 1 describe the standard diffusion part 109 and the effect of moisture gradient changes on the ion concentration, respectively of the fully coupled problem. The third term accounts for the effect of diffusion of the *i*<sup>th</sup> ionic species due to the develop-110 111 ment of an electrical potential gradient, while the fourth and fifth term account for advective motion 112 due to moisture gradients. To fulfil a closed system of equations, a relation for the electrical potential is required. The relation is based on Gauss' law and represents the Poisson part of the equation, whichmay be described as follows:

$$\xi_d \xi_0 \nabla^2 \Phi = F \sum_{i=1}^N c_i^l z_i \tag{2}$$

115 where  $\xi_d$  is the relative dielectricity coefficient and  $\xi_0$  the dielectricity coefficient of vacuum.

# 116 **2.3 Chemical equilibrium**

117 Chemical equilibrium is considered for reactions among ionic species in the liquid phase, the equilib-118 rium between ionic constituent and the solid cement hydrate phases, and between gaseous constituents 119 in the air-filled space of the porosity and the dissolved constituents of the liquid phase to describe the 120 mass exchange term  $q_i$ . For the solution of the chemical equilibrium, the geochemical code 121 IPHREEQC, see *e.g.* [11] is used, in which the chemical equilibrium for the aqueous species may be 122 expressed as follows:

$$V^T \mu^{aq} = 0 \tag{3}$$

123 where  $\mu^{aq}$  is the chemical potential vector containing all ions in the aqueous phase and  $V^T$  the trans-124 posed stoichiometric mass matrix. Each row in the matrix system of Eq. (3) represents a condition for 125 the defined reactions. Once the chemical potentials of the individual constituents have been established, 126 with a proper definition of the reference potentials, the solubility equations of the individual reactions 127 of the system can be obtained. Aqueous reactions in the liquid water phase and the interaction between 128 dissolved ions and solid phases are described in a similar manner as Eq. (3) based on the composition of the chemical potential of the individual constituent included. The equations for pure mineral dissolution or precipitation are given by classical dissolution reactions in which the activity of the mineral phase is set to a constant reference value. A solid solution phase is assumed to consist of mixed solid phases called end members. The dissolution or precipitation of the end members is thereby dependent on the individual concentration of the end members in the solid solution phase. The equilibrium condition for partial gases in a gas mixture phase (in equilibrium with the aqueous species) may be described as follows:

$$V_g^T(\mu^{aq} - \mu^g) = 0 \tag{4}$$

136 where  $\mu^g$  is the chemical potential vector containing all constituents in the gas phase and  $V_g^T$  the right-137 hand side stoichiometric coefficients matrix for the aqueous species participating in all partial gas con-138 stituents of the gas mixture.

In the following, the focus is placed on establishing a modeling approach to relate microstructural changes and mass transport; in particular, the dissolution and formation of phases leading to changes in the pore size distribution, which is then linked to the mass transport in cement-based materials. Thus, for more detailed information on the solution of the chemical equilibrium reference is made to *e.g.* [10,19,23].

## 144 **2.4 Pore structure and moisture transport**

Assuming that contributions to the moisture transport due to air transfer, gravity, radiation, liquid transport due to temperature gradients, and effects of the gaseous phase on the moisture and heat storage are negligible as well as temperatures remain below the boiling temperature of water [24], liquid and water vapor moisture transport in porous media may be described as follows, see *e.g.* [25–27]:

$$\frac{\partial m_{l+\nu}}{\partial t} = -C \frac{\partial p_c}{\partial t} = -\nabla \left( \frac{D_{\nu}(\Theta_l)}{R_{\nu}T} \nabla p_{\nu} + K_l(\Theta_l) \nabla p_c \right)$$
(5)

149 where,  $m_{l+\nu}$  is the sum of the water vapour and liquid water content, *C* the moisture capacity,  $p_c$  the ca-150 pillary pressure,  $D_{\nu}$  the vapour diffusion coefficient,  $\theta_l$  the moisture content,  $R_{\nu}$  the gas constant of wa-151 ter vapour, *T* the temperature,  $p_{\nu}$  the vapour pressure,  $K_l$  the liquid conductivity coefficient, and *t* the 152 time. Using Kelvin equation and capillary pressure,  $p_c$ , as the common driving potential for vapor and 153 liquid water transport, Eq. 5 yields:

$$\frac{\partial m_{l+\nu}}{\partial t} = -C \frac{\partial p_c}{\partial t} = -\nabla \left( \frac{D_{\nu}(\Theta_l)}{R_{\nu}T} \frac{p_{\nu,sat}\phi}{\rho_l R_{\nu}T} \nabla p_c + K_l(\Theta_l) \nabla p_c \right)$$
(6)

154 where  $p_{\nu,sat}$  is the saturation vapor pressure,  $\rho_l$  the density of liquid water, and  $\varphi$  the relative humidity. 155 Eq. 6 and 1 are coupled through determination of the degree of saturation,  $\varepsilon$ , obtained from the mass 156 flow rate,  $\frac{\partial m_{l+\nu}}{\partial t}$ , as well as the flow velocity,  $\nu$ .

The cornerstone of the proposed modeling approach is a functional description of relations between pore structure, volume changes, and mass transport to enable modeling of the influence of microstructural changes on the mass transport in cement-based materials. The pore structure, *i.e.* the pore volume distribution or moisture storage characteristic, is described by a weighted sum of Gauss cumulative distribution functions in the present modeling approach [28], see Figure 2. While the moisture storage function is described by Eq. 7, the pore volume distribution as its derivative is given by Eq. 8. Capillary pressure, *pC*, is thereby expressed in a logarithmic scale.

$$\Theta_l(pC) = \sum_{i=1}^{N} \left[ \frac{\Delta \Theta_i}{\sqrt{2}} \left( 1 + erf\left(\frac{pC_i - pC}{\sqrt{2}S_i}\right) \right) \right]$$
(7)

$$\frac{\partial \Theta_l}{\partial pC} = -\sum_{i=1}^{N} \left[ \frac{\Delta \Theta_i}{\sqrt{2\pi}S_i} exp\left( -\frac{(pC_i - pC)^2}{2S_i^2} \right) \right]$$
(8)

164 where N is the modality and S the standard deviation. The location of the pore maxima, *i.e.* the inflection points within the moisture storage function, is thereby defined by  $pC_i$ , while the slope of 165 166 these inflection points is governed by  $S_i$ . The plateau levels between the different modalities are defined by  $\Delta \theta_i$ , representing weighting factors for each modality; see Figure 2. Although, alternative 167 168 multi-modal functional descriptions have been proposed in the literature, see e.g. [29,30], the high flex-169 ibility (especially for higher modalities), as well as the relation of parameters to measured data, are ex-170 pedient. In particular, measured sorption and retention data are directly related to the driving potential, 171 *i.e.* water, and thus more reliable for use in pore models compared to data from *e.g.* imaging techniques 172 [31] or mercury intrusion [31,32].



a)

173 Figure 2: a) three-modal moisture storage function and its derivative b) the pore volume distribution,

after [12].

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## 175 **2.5** Pore volume changes and mass transport

To account for pore volume related microstructural changes due to dissolution and formation of phases on the mass transport in cement-based materials, the pore structure is represented by a bundle of tubes model, see *e.g.* [26,33]. In that way, length and cross-sectional area of the pores are used to relate incremental pore radii to incremental pore volume. Assuming a unit length, *dx*, for all pores, the pore radii distribution can be obtained dividing the pore volume distribution by the cross-sectional area,  $\pi r^2$ , as follows:

$$\frac{d\Theta_l}{dr}\frac{1}{\pi r^2} = \frac{dn}{dr} \tag{9}$$

## 182 where *n* is the cumulative number of pores.

183 To relate environmental-induced changes in the phase assemblage of cement-based materials on the 184 ionic transport, a tortuosity factor,  $T_n$ , is introduced, which ranges between zero and one and accounts 185 for effects on the diffusion coefficient,  $D^i$ , of each ion as follows:

$$D_{eff}^{i} = D^{i}T_{k}f_{l}(\Theta_{l})$$
<sup>(10)</sup>

186 where  $D_{eff}^{i}$  is the effective diffusion coefficient of the *i*<sup>th</sup> ionic species and  $f_{l}(\Theta_{l})$  a scaling function for 187 liquid flow (see Eq. 13). As a first order approximation, the tortuosity factor is recalculated after each 188 determination of the chemical equilibrium and is related to the initial tortuosity factor,  $T_{0}$ , as follows:

$$T_{k} = T_{0} \left[ exp \left( log \left( \frac{1}{T_{0}} \right) \left( 1 - \left( \frac{1}{\omega_{k}/\omega_{0}} \right)^{\tau} \right) \right) \right]$$
(11)

189 where  $T_k$  is the tortuosity factor at time step k,  $\tau$  a shape factor,  $\omega_k$  the total porosity at time step k, and 190  $\omega_0$  the initial porosity. It should be noted that  $T_k$  approaches one for  $\tau > 0$ , which means that the effec-191 tive diffusion coefficient approaches the self-diffusion coefficient of the *i*<sup>th</sup> ionic species. Changes in 192 the phase assemblage, and thus the total pore volume (see *e.g.* [34–36]), of the cement-based material, 193 are resolved by means of Eq. 1 as well as the geochemical code IPHREEQC.

To account for environmental-induced changes in the phase assemblage of cement-based materials on the coupled liquid and vapor transport, vapor diffusion and liquid flow scaling functions are introduced, respectively. The applied scaling functions were proposed by Scheffler & Plagge [12] and are based on a mechanistic modeling approach taking into account the impact of moisture content and the microstructure of porous media on moisture transport. The scaling function for vapor diffusion and liquid flow may be written as follows:

$$f_{\nu}(\Theta_l) = \frac{1 - \frac{\theta_l}{\omega_k}}{\left(\frac{\theta_l}{\omega_k}\right)^{n_{sp}} + \left(1 - \frac{\theta_l}{\omega_k}\right)^2 \left(1 - \left(\frac{\theta_l}{\omega_k}\right)^{n_{sp}}\right)}$$
(12)

$$f_{l}(\Theta_{l}) = \frac{\left(\frac{\theta_{l}}{\omega_{k}}\right)^{n_{sp}}}{\left(\frac{\theta_{l}}{\omega_{k}}\right)^{n_{sp}} + \left(1 - \frac{\theta_{l}}{\omega_{k}}\right)^{2} \left(1 - \left(\frac{\theta_{l}}{\omega_{k}}\right)^{n_{sp}}\right)}$$
(13)

where  $f_{\nu}(\theta_l)$  is the vapor diffusion scaling function,  $f_l(\theta_l)$  the liquid flow scaling function,  $\omega_k$  the total porosity at time step *k*, and  $n_{sp}$  a shape parameter accounting for the serial-parallel connectivity of pores. More detailed information on the mechanistic model and the derivation of the liquid and vapor
flow scaling functions can be found in [12,13]. Vapor flow transport is then described as follows in the
present modeling approach:

$$D_{\nu}(\Theta_l) = \frac{D_{\nu,air}}{\mu_{dry}} f_{\nu}(\Theta_l)$$
(14)

where  $D_{v,air}$  is the vapor diffusion coefficient of air and  $\mu_{dry}$  the vapor diffusion resistance number. The vapor diffusion coefficient of air is given as follows according to the relation provided in [37]:

$$D_{\nu,air} = 0.083 \frac{p_0}{p} \left(\frac{T}{273.15}\right)^{1.81}$$
(15)

where  $p_0$  is the reference gas pressure. The liquid moisture flow is described as follows in the present modeling approach:

$$K_{l}(\Theta_{l}) = \frac{K_{l,cap}(\Theta_{l})}{K_{l,sat}(\Theta_{l})} if \frac{\Theta_{l} \le \Theta_{cap}}{\Theta_{l} > \Theta_{cap}}$$
(16)

where  $K_{l,sat}$  is the saturation conductivity,  $\theta_{cap}$  the capillary saturation, and  $K_{l,cap}$  the liquid conductivity in the capillary range, which is described as follows:

$$K_{l,cap}(\Theta_l) = K_{l,hyg}(\Theta_l) + n_{cap} K_{eff} f_l(\Theta_l) K_{l,rel}(\Theta_l)$$
<sup>(17)</sup>

where  $K_{l,hyg}$  is hygroscopic liquid conductivity,  $n_{cap}$  a scaling parameter to adjust the conductivity function in the higher moisture content range,  $K_{eff}$  the effective liquid conductivity, and  $K_{l,rel}$  the relative liquid conductivity containing information on the pore structure of the porous media. For the determination of the relative liquid conductivity the approach derived by Mualem [38] is employed:

$$K_{l,rel}(\Theta_l) = \left[\frac{\int_0^{\Theta_l} p_c(\theta)^{-1} d\theta}{\int_0^{\omega_k} p_c(\theta)^{-1} d\theta}\right]^2 \tag{18}$$

215 Dependencies of the vapor diffusion and liquid flow scaling function, see Eq. 12 and 13, as well as ef-216 fective and relative liquid conductivity, see Eq. 17 and 18, on the total porosity allow for accounting of 217 the effects of phase assemblage changes by means of Eq. 1 as well as the geochemical code 218 IPHREEQC. Volume changes may thereby be discretely distributed to the pore volume distribution af-219 ter the volume of dissolved or newly formed phases is determined, see e.g. [34–36]. It is thereby as-220 sumed that the relation between the shape parameter  $n_{sp}$  accounting for the serial-parallel connectivity 221 of pores and incremental changes in the pore volume distribution at time step k,  $\Delta \omega_k$ , is sufficiently de-222 scribed by a simple rational function, *i.e.*  $1/\Delta\omega_k$ . Similar dependencies are assumed for the capillary 223 sorption coefficient  $n_{cap}$  and the vapor diffusion resistance number  $\mu_{drv}$ . Finally, the impact on the effective conductivity  $K_{eff}$  is accounted for by a power law, *i.e.*  $\Delta \omega_k^4$  according to the Hagen-Poiseuille 224 225 equation.

#### 226 **3** Case studies

To demonstrate the applicability of the presented modeling approach to account for the interactions between phase assemblage and porosity changes as well as mass transport properties of cement-based materials, two numerical examples are presented in the following. Results of the numerical examples are compared to experimental observations presented in the literature. The focus was placed on experimental observations related to microstructural changes, in particular changes in the pore volume distribution due to formation or dissolution of phases. However, it should be noted that the objective of the numerical examples was to reproduce overall trends and deterioration mechanisms observed in the experimental studies as the lack of input parameters and implemented first order modelling assumptions (see *e.g.* Eq. 10 and 11) did not allow for full reproduction of the experimental observations.

236 Among others, the selected thermodynamic database for the chemical reactions has a considerable im-237 pact on the results. In the present approach, the Cemdata18 thermodynamic database (in form of a 238 PHREEQC format database provided for download from http://www.empa.ch/cemdata) was used [39]. 239 In addition, supplementary aqueous, gaseous, and solid species were selected from the GEMS version 240 of the PSI/Nagra thermodynamic database [40]. An overview of the pure solid-phases and solid-solu-241 tions considered for the following examples is given in Table A 1. The C–S–H phase in the model is 242 thereby approximated by means of an solid-solution as described in [41]. The C–S–H solid-solution has 243 six end-members, which are governed by the dissolution reactions and solubility constants given in Ta-244 ble A 2. The solid-solution coexists with SiO<sub>2</sub> at C/S < 0.67 and with Ca(OH)<sub>2</sub> at C/S > 1.7. Diffusion 245 coefficients for all ions considered in the examples were based on standard values for self-diffusion 246 (see Table A 3) and subsequently adjusted by the tortuosity factor according to Eq. 11. Chemical 247 shrinkage and self-desiccation were not considered, *i.e.* full saturation was assumed for all case studies.

# 248 3.1 Leaching

The presence of solutions less concentrated than the pore solution in contact with the hardened cement matrix will dissolve constituents of the cement paste and lead to leaching. Despite the fact that there are a number of studies investigating the extent of leaching (in particular Ca leaching) as well as impacts on mechanical behavior in cement-based materials, see *e.g.* [42–45], only a few studies focused on microstructural changes including effects on transport properties, see *e.g.* [14,46,47]. Among the few studies that explored microstructural alterations due to leaching, Gallé *et al.* [14] conducted studies to

255 examine the development of water permeability with respect to the degradation state. Accelerated 256 leaching tests were carried out on pure hardened cement paste and concrete measuring water permea-257 bility as well as undertaking pore structure analysis by means of mercury intrusion porosimetry to 258 quantify the impact of leaching. Results presented in [14] indicate that leaching caused the formation of 259 additional capillary porosity  $(0.1 - 1 \,\mu\text{m})$  and was responsible for a significant increase in total porosity 260 (around 90 %) due to the dissolution of portlandite as well as C-S-H decalcification, see Figure 3. At 261 the same time, results presented in [14] indicate a considerable increase in water permeability by about 262 two orders of magnitude for cement paste as well as concrete specimens. Gallé et al. [14] found thereby 263 a good correlation between the observed increase in water permeability and porosity. Similar results 264 were reported in [15] by Phung et al., who conducted accelerated leaching experiments on cement 265 paste samples with different water-binder-ratios (w/b) and limestone filler replacement ratios. Micro-266 structural and mineralogical changes after exposure to ammonium nitrate solution were characterized 267 by scanning electron microscopy, X-ray diffraction, mercury intrusion porosimetry, ion chromatog-268 raphy, and nitrogen adsorption. Results presented in [15] showed considerable alteration of the micro-269 structure due to leaching, including higher specific surface area, increased total porosity, and a shift to 270 larger pore sizes. Phung et al. observed considerably higher effects on the micro-pore volume com-271 pared to the mesopore volume, which was attributed to leaching of C-S-H, due to the larger volume 272 fraction compared to portlandite.



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Figure 3: Microstructural changes due to leaching in cement paste measured by combined mercury intrusion porosimetry and nitrogen adsorption within 3 mm from the exposed surface, data reproduced

276

#### from [15].

277 To demonstrate the capabilities of the modelling approach, exposure of a cement-based matrix to pure 278 water with a pH of five is assumed simulating the dissolution and leaching of cement constituents. The 279 objective of the simulations was thereby to reproduce trends reported in the literature, rather than fitting 280 the experimental data. In total 90 elements were used in this 1D simulation to discretize a 300 mm do-281 main with a finer mesh in the vicinity of the exposed node (surface). The simulation time was 50 years 282 during which the chemical equilibrium was determined every five days. The oxide composition of an 283 ordinary Portland cement (see Table 1) was used initially to determine the chemical composition of 284 solid and liquid components using the thermodynamic database provided in Table A 1. Additional 285 model parameters describing the mass transport as well as pore volume distribution, are given in Table 286 2.

Modelling results illustrating the phase assemblage, ionic concentration, and elemental composition after 50 years of exposure are shown in Figure 4. The presented results indicate that the model is able to reproduce the governing deterioration mechanism, *i.e.* essentially a leaching of calcium hydroxide 290 and a progressive decalcification of C-S-H, as reported previously [14,15]. Upon calculation of the vol-291 ume of leached or dissolved phases, the impact of exposure on the pore volume distribution can be de-292 termined. Figure 5 illustrates changes in the pore volume distribution at a distance of 5 mm from the 293 node in contact with the exposure solution. It is assumed that 70 % of the pore volume changes are at-294 tributed to gel pores, while 25 % and 5 % are attributed to capillary and macro pores, respectively; *i.e.* 295 the majority of the microstructural changes are affecting gel pores compared to capillary and macro 296 pores as *e.g.* reported in [15]. Results presented in Figure 5, indicate that the modelling approach is 297 able to reproduce trends in the experimental observations reported previously in the literature (see Fig-298 ure 3). In particular, increased total porosity as well as the shift to larger pore sizes with considerably 299 higher effects on the micro-pore volume compared to the mesopore volume are mirrored.

300 The impact of changes in the pore volume distribution on the mass transport was determined using Eq. 301 9 to 17. Results of the simulation highlighting the impact of exposure, *i.e.* changes in the pore size dis-302 tribution due to leaching, on the moisture conductivity as well as ionic diffusion are presented in Figure 303 6. For the chosen model parameters and assumptions, the results indicate a considerable change in con-304 ductivity over the complete moisture range. The largest impact is found at saturation, with an increase 305 in moisture conductivity of around two orders of magnitude; see Figure 6 a). The numerical results are 306 thereby in good agreement with experimental observations reported in e.g. [14,15]. Figure 6 b) illus-307 trates the impact of exposure and subsequent microstructural changes on the ionic diffusion by means 308 of the introduced tortuosity factor, see Eq. 10 and 11. Changes in the pore volume distribution result 309 thereby in a considerable increase in ionic diffusion over the complete moisture range.

310 Table 1: Oxide composition of cement, after [15], with the exception of added CO<sub>2</sub> to account for mod-

311 ern cements.

	CaO	SiO <sub>2</sub>	Fe <sub>2</sub> O <sub>3</sub>	Al <sub>2</sub> O <sub>3</sub>	SO <sub>3</sub>	Cl	Na <sub>2</sub> O	K <sub>2</sub> O	MgO	CaSO <sub>4</sub>	CO <sub>2</sub>
Mass%	63.0	20.0	3.0	5.0	2.9	0.06	0.85	0.9	1.8	1.5	1.0

312 Table 2: Model parameters for leaching simulation.

Parameter	Symbol	Value	Unit
w/c	w/c	0.40	-
Initial total porosity	$\omega_0$	0.304	m <sup>3</sup> /m <sup>3</sup>
Initial tortuosity factor (ionic diffusion)	τ	0.10	-
Shape parameter	n <sub>sp</sub>	1.40	-
Vapor diffusion coefficient of air	$D_{v,air}$	Eq. 15	m²/s
Vapor diffusion resistance number	$\mu_{dry}$	9	-
Relative liquid conductivity	K <sub>l,rel</sub>	Eq. 17	S
Liquid conductivity at saturation	K <sub>l,sat</sub>	1e-5	S
Modality – pore volume distribution	N	3	-
	$S_I$	0.55e-2	μm
Standard deviation – pore volume distribution	$S_2$	0.85	μm
	$S_3$	0.75e2	μm
	$pC_l$	1e-2	μm
Pore maxima – pore volume distribution	$pC_2$	1e0	μm
	pC <sub>3</sub>	1e2	μm
	$\Delta  heta_I$	0.168	m <sup>3</sup> /m <sup>3</sup>
Plateau levels – pore volume distribution	$\Delta  heta_2$	0.131	$m^3/m^3$
	$\Delta  heta_3$	0.005	m <sup>3</sup> /m <sup>3</sup>



Figure 4: Model results of phase assemblage after 50 years of leaching exposure: a) solid phases, b) elemental composition (per total volume), and c) total aqueous elemental concentrations.



316

317 Figure 5: Change in pore size distribution and cumulative pore volume (normalized with respect to to-

tal pore volume) at a distance of 5 mm from the exposed node after 50 years of exposure.



Figure 6: Impact of change in pore size distribution at a distance of 5 mm from the exposed node after
50 years of exposure on a) the moisture conductivity and b) scaling coefficient for ionic diffusion.

## 321 **3.2** Carbonation in saturated condition

322 Reaction of carbon dioxide (from the atmosphere or in solution) with calcium bearing cement phases 323 leads to microstructural changes, such as *e.g.* pore size distribution, and porosity, and thus affects the 324 strength and durability of reinforced concrete [48]. Even though a vast amount of studies have focused on investigating phase changes due to carbonation of cement-based materials, see *e.g.* [49–53], as well 325 326 as effects on the porosity, see e.g. [54–56], limited studies dealt with the impact of these on the mass 327 transport. Among the few studies, Houst and Wittmann [17] reported a reduced water absorption in car-328 bonated Portland cement paste compared to non-carbonated samples. Ngala and Page [55] found an in-329 crease of around two orders of magnitude for chloride diffusion coefficients in carbonated blended cement pastes. However, the influence of carbonation on the chloride binding capacity was not discussed. 330 Similar results were published by Borges et al. in [57] for oxygen diffusivity of carbonated blended ce-331 332 ment paste specimens, which was attributed to extensive cracking caused by carbonation. In contrast, 333 Phung et al. [16] reported a decrease in water permeability of around one order of magnitude after four 334 weeks of carbonation for both ordinary and blended cement pastes. Contradictions in the experimental

observations may be related to the duration of testing. Initially, a decrease in total porosity is found,
which was interpreted to have its origin in the carbonation of portlandite [56]. Upon carbonation of
portlandite, an increase in porosity can be observed, which is consequently seen as the carbonation of
C-S-H, see Figure 7.



339

Figure 7: Evolution of the threshold diameter with time estimated from mercury intrusion porosimetry
(MIP) on Portland cement samples exposed to CO<sub>2</sub>-saturated water up to three months, data reproduced
from [56].

343 To illustrate the ability of the modelling approach, carbonation of a cement-based matrix is modelled 344 by means of exposure to a  $CO_2$  containing solution (0.1 mol/kg<sub>w</sub>). Composition of the solution was 345 thereby selected to counteract leaching. As for the first example, a 300 mm domain was discretized by 346 90 elements with a finer mesh near the exposed node. The total simulation time was two years during 347 which the chemical equilibrium was determined every five days. Oxide composition of the cement as 348 well as model parameters are identical to the ones selected for the first example (see Table 1 and Table 349 2). Moreover, as for the previous example, it is assumed that 70 % of the pore volume changes are at-350 tributed to gel pores, while 25 % and 5 % are attributed to capillary and macro pores, respectively. The shape parameter  $n_{sp}$  accounting for the serial-parallel connectivity of the pores, capillary sorption coefficient  $n_{cap}$ , the vapor diffusion resistance number  $\mu_{dry}$ , and effective conductivity  $K_{eff}$  are determined in the same manner as previously described.

354 Results of the modelling approach illustrating the phase assemblage, ionic concentration, and elemental 355 composition after two years of exposure are given in Figure 8. In general, the results show that the 356 model is capable of reproducing the governing phase changes of carbonation, *i.e.* initial dissolution of 357 portlandite followed by decalcification of C-S-H and formation of CaCO<sub>3</sub>. However, the model also 358 predicts the formation of a considerable amount of ettringite in a region of approximately 2 to 5 mm 359 from the exposed node. This 'artefact' is owed to the imposed boundary conditions, *i.e.* leaching of 360 ions is omitted in the simulation. The stability of the ettringite in this region causes both a sink and 361 source and subsequently additional ionic fluxes of Al and S ions inside the domain. Nevertheless, the 362 model is able to reproduce general trends reported in e.g. [56] concerning existence of a carbonated 363 zone, a carbonation front, a dissolution front, and an unaffected zone. In particular, the formation and 364 precipitation of CaCO<sub>3</sub> is associated with an initial reduction of the total pore volume. Due to the pref-365 erential deposition of CaCO<sub>3</sub> in smaller pores, owing to better water condensation [29], a shift in the 366 pore size distribution towards smaller pore diameters is observed at *e.g.* 10 mm distance from the sur-367 face; see Figure 9. Reductions in cumulative pore volume determined by the presented modelling ap-368 proach are in reasonable agreement with results presented in *e.g.* [16].

369 Similar as for the first case study, changes in the pore volume distribution can subsequently be used to 370 determine the impact of carbonation on the mass transport as well as moisture storage capacity of the 371 cement-based matrix. Figure 10 a) illustrates results concerning the moisture transport and indicates a 372 considerable change in conductivity in the lower and higher moisture range. At saturation, a decrease of around one order of magnitude is predicted by the modelling approach (for the chosen model parameters and assumptions), which is in good agreement with results reported in [16]. The model also predicts a decrease in moisture storage capacity over the complete moisture range (see Figure 10 b), which is in good agreement with results presented in [17]. The impact of pore volume changes on the ionic diffusion is illustrated in Figure 10 c), indicating a decrease in ionic diffusion over the complete moisture range due to alterations in the pore volume distribution as a result of changes in the phase assemblage.





Figure 8: Model results of phase assemblage of cement-based matrix after 2 years of exposure to CO<sub>2</sub>
containing solution: a) Solid phases, b) elemental composition, and c) total aqueous elemental concentrations.



383

Figure 9: Change in pore size distribution and cumulative pore volume (normalized with respect to total pore volume) at 10 mm from the exposed node after 2 years of exposure to CO<sub>2</sub> containing solution.





Figure 10: Impact of change in pore size distribution on a) moisture conductivity, b) moisture storage, and c) ionic diffusion at 10 mm from the exposed node after 2 years of exposure to CO<sub>2</sub> containing solution.

## 389 4 Discussion

390 Results of the presented case studies using the multi-physics modelling concept to account for the ef-391 fect of microstructural changes (due to chemical reactions) on the mass transport properties show con-392 siderable changes in the composition of solid phases and pore solution, see Fig. 4 and 8. Apart from 393 these changes, the chemical reactions lead to changes in the pore structure, resulting in significant 394 changes in transport properties with particularly large impacts on the transport properties of (partially-) 395 saturated systems (see e.g. Figure 11 for  $\Theta \approx 0.4 - 0.7$ ). The changes in transport properties were de-396 pendent on the exposure and may lead to an increase or decrease in mass flow, see Fig. 6 and 10. Even 397 gradual changes in microstructure, as observed for leaching and carbonation, resulted in highly non-398 linear (both spatial and temporal) changes in microstructure as well as mass transport properties, see 399 Figure 11, which need to be accounted for as a whole.

While the presented concept is capable of capturing the governing mechanisms as well as general trends observed in experimental investigations, several challenges remain. In particular, testing and validation of the various first order approximations (concerning the shape parameter  $n_{sp}$  accounting for the serial-parallel connectivity of the pores, capillary sorption coefficient  $n_{cap}$ , the vapor diffusion resistance number  $\mu_{dry}$ , and effective conductivity  $K_{eff}$ ), entailed in the modelling approach to relate chemical reactions, pore structure changes, and mass transport are needed.

406 With respect to the complexity of changes in the pore size distribution, in particular localized changes 407 need to be investigated and understood. At present, a less documented contribution of phase dissolution 408 and formation to the pore size distribution is chosen, *i.e.* 70 % of the pore volume changes are at-409 tributed to gel pores, while 25 % and 5 % are attributed to capillary and macro pores, respectively. 410 Commonly used techniques such as mercury intrusion porosimetry lack the ability to provide infor-411 mation on local variations of porosity. However, methods such as e.g. x-ray micro-tomography, may be 412 applicable for detecting localized change in the pore volume distribution due to formation and dissolu-413 tion of phases, see e.g. [58,59].

As a first order approximation, it assumed that pores are of cylindrical nature and that phases dissolve and form uniformly around the circumference of the pores leading to a reduction or increase in pore radius, and that changes in the pore radius relate by the power of four to the mass flow. Detailed information on the local distribution of phases and possible elemental zonation, *e.g.* by SEM EDS/ and  $\mu$ XRF [60,61] are needed to validate and improve assumptions on the phase assemblage upon exposure as well as provide spatial information on the formation and dissolution of individual phases.



Figure 11: Change in a) pore size distribution, b) saturation-dependent ionic transport, and c) saturation-dependent moisture conductivity as function of depth for a cement-based material after 2 years of
carbonation.

# 423 **5** Conclusions

A concept that relates microstructural changes (*i.e.* changes in the pore structure and phase assemblage) of cement-based materials, chemical reactions, and mass transport was presented. Mass transport in cement-based materials along with chemical equilibrium between ions in the pore solution and solid hydrates was solved by an extended version of the Poisson-Nernst-Planck (PNP) system of equations,

428 in which the chemical equilibrium was solved by the external geochemical code IPHREEQC. Scaling 429 functions based on a mechanistic modeling approach were used to relate phase changes and mass 430 transport properties, taking into account the impact of both moisture content and the microstructure of 431 porous media. To demonstrate the capability of the multi-physics modeling approach accounting for the 432 effects of phase assemblage changes on the mass transport properties of cement-based materials, two 433 case studies were presented, *i.e.* carbonation and leaching of a saturated system. Results of the model-434 ling approach and comparison to experimental observations presented in the literature concluded the 435 following:

Microstructural changes, in particular changes in the pore size distribution, due to dissolution
 and formation of phases upon exposure can lead to considerable alterations of the mass
 transport properties of cement-based materials. Key to link changes in the pore volume distribu tion and mass transport is the chemical equilibrium as well as the simultaneous consideration of
 relations between microstructural changes and mass transport.

- Governing mechanisms and general trends are well represented by the developed modelling
   concept. However, improved understanding of the formation and changes of the pore structure
   with regard to transport related properties are required as well as further data for testing and val idation of the model.
- The developed framework can be used to design experiments to investigate these mechanisms
   and refine first order approximations currently implemented.

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# 451 Appendix A

452 Table A 1: Overview of selected solid phases for the chemical equilibrium model. From [39].

	Chemical formula	Molar volume	Molar mass
		[cm <sup>3</sup> /mol]	[g/mol]
Equilibrium phases			
Anhydrite	CaSO <sub>4</sub>	45.940	136.142
Gypsum	$CaSO_4 (H2O)_2$	74.690	172.172
Lime	CaO	16.764	56.077
Portlandite	Ca(OH) <sub>2</sub>	33.060	74.093
Calcite	CaCO <sub>3</sub>	36.934	100.087
Hemicarbonate	(CaO)3 Al <sub>2</sub> O3 (CaCO3)0.5 (CaO <sub>2</sub> H2)0.5 (H <sub>2</sub> O)11.5	284.515	564.459
Hydrotalcite	$Mg_4Al_2O_7(H_2O)_{10}$	220.200	443.332
Monocarbonate	$Ca_4Al_2CO_9(H_2O)_{11}$	261.958	568.449
Alum. hydroxide (am)	Al(OH) <sub>3</sub>	31.956	78.004
Silica (am)	SiO <sub>2</sub>	29.000	60.084
Ferrihydrite (am)	FeO(OH)	27.403	106.870
Solid solutions			
Si-Hydrogarnet			

$C_3AFS_{0.84}H_{4.32}$	(AlFeO <sub>3</sub> ) (Ca <sub>3</sub> O <sub>3</sub> (SiO <sub>2</sub> ) <sub>0.84</sub> (H <sub>2</sub> O) <sub>4.32</sub> )	145.5	427.4
$C_3FS_{0.84}H_{4.32}$	(FeFeO <sub>3</sub> ) (Ca <sub>3</sub> O <sub>3</sub> (SiO <sub>2</sub> ) <sub>0.84</sub> (H <sub>2</sub> O) <sub>4.32</sub> )	148.5	456.2
Ettringite			
Ettringite	$Ca_{6}Al_{2}(SO_{4})_{3}(OH)_{12} \cdot 26H_{2}O$	216.8	382.3
SO <sub>4</sub> -CO <sub>3</sub> -AFt			
Tricarboaluminate(03)	Ca <sub>6</sub> Al <sub>2</sub> (CO <sub>3</sub> ) <sub>3</sub> (OH) <sub>12</sub> ·26H <sub>2</sub> O	216.8	382.3

# 453

# 454 Table A 2: End members in the C–S–H solid-solution model, after [41].

Solid solution	Chemical formula	Molar volume	Molar mass	
		[cm <sup>3</sup> /mol]	[g/mol]	
'CSHQ-TobH'	(CaO) <sub>0.66</sub> (SiO <sub>2</sub> ) (H <sub>2</sub> O) <sub>1.5</sub>	55.0	124.5	
'CSHO-TobD'	$(C_2O)_{0,02}$ $(SiO_2)_{0,02}$ $(H_2O)_{1,02}$	48.0	119.6	
'CSHQ-TobD'	(0.05)0.83 (0.02)0.66 (1120)1.83	-0.0	119.0	
'CSHQ-JenH'	(CaO) <sub>1.33</sub> (SiO <sub>2</sub> ) (H <sub>2</sub> O) <sub>2.16</sub>	76.0	173.9	
	(0,0), (5,0), (11,0)	81.0	1(0.2	
'CSHQ-JenD'	(CaO) <sub>1.5</sub> (SiO <sub>2</sub> ) <sub>0.67</sub> (H <sub>2</sub> O) <sub>2.5</sub>	81.0	169.2	
'KSiOH'	((KOH) <sub>2.5</sub> SiO <sub>2</sub> H <sub>2</sub> O) <sub>0.2</sub>	12.4	43.7	
'NaSiOH'	((NaOH) <sub>2.5</sub> SiO <sub>2</sub> H2O) <sub>0.2</sub>	10.5	35.6	

# 455

456 Table A 3: Diffusion properties for ionic components in the numerical examples, from [23].

 $D^i \quad A^i \quad z^i \qquad D^i \quad A^i \quad z^i \qquad D^i \quad A^i \quad z^i$ 

OH-	0.530	0,2253	-1	$H_3SiO_4^-$	0.107	0,0468	-1	FeHSO <sub>4</sub> <sup>+</sup>	0.072	0,0299	1
H <sup>+</sup>	0.931	0,3958	1	$H_4SiO_4$	0.107	-	-	Fe(0H) <sub>4</sub>	0.072	0,0299	-1
$Al(OH)_4^-$	0.504	0,2142	-1	Ca <sup>2+</sup>	0.079	0	2	Fe(OH) <sub>3</sub>	0.072	-	-
Al(OH) <sub>3</sub>	0.504	-	-	Cl-	0.203	0,0337	-1	$Fe(OH)_2^+$	0.072	0,0299	1
$Al(OH)_2^+$	0.504	0,2142	1	K+	0.196	0,0405	1	FeOH <sup>2+</sup>	0.072	0,0299	2
Al <sup>3+</sup>	0.054	0,0229	3	$KSO_4^-$	0.107	0,0454	-1	FeOH+	0.072	0,0299	1
AlSO <sub>4</sub> <sup>+</sup>	0.504	0,0442	1	КОН	0.196	-	-	Fe <sup>2+</sup>	0.072	0,0299	2
$Al(SO_4)_2^-$	0.504	0,0442	-1	Mg <sup>2+</sup>	0.071	0,0299	2	NaSO <sub>4</sub>	0.618	0,0262	-1
AlOH <sup>2+</sup>	0.504	0,0442	2	MgSO <sub>4</sub>	0.071	0,0299	-	NaOH	0.133	-	-
CaOH+	0.079	0,0337	1	MgOH+	0.071	0,0299	1	FeCl <sup>+</sup>	0.072	0,0299	1
CaSO <sub>4</sub>	0.047	-	-	Na <sup>+</sup>	0.133	0,0565	1	FeSO <sub>4</sub>	0.072	-	-
CaHSO <sub>4</sub> <sup>+</sup>	0.047	0,0200	1	HSO <sub>4</sub>	0.138	0,0589	-1	$\mathrm{H_2SiO_4^{2-}}$	0.110	0,0468	-2
$SO_{4}^{2-}$	0.107	0,0455	-2								

 $D_i$  is the diffusion coefficient,  $A_i$  is the ion mobility,  $z_i$  is the valence of the *i*<sup>th</sup> ionic species

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