Incidence of invasive Group B Streptococcal infection and the risk of infant

death and cerebral palsy: A Norwegian cohort study

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# **Impact statement:**

- During the first decade of the 21<sup>st</sup> century, invasive GBS disease in infancy is still
  associated with high mortality.
- Despite the overall low incidence of invasive GBS disease, the incidence of late onset disease increased during the study period.
- The finding that invasive GBS infection in the neonatal period or during infancy is
  associated with an excess risk of cerebral palsy, comparable to the risk following
  moderate preterm birth and moderate low Apgar scores, adds to the existing literature.
- The results of this study emphasize the importance of adhering to guidelines and the need for better prevention strategies.

## **ABSTRACT**

# Background

Group B Streptococcus (GBS) is the worldwide leading cause of invasive neonatal infection. In high-income countries mortality rates are 4-10%, and among survivors of GBS-meningitis 30-50% have neurodevelopmental impairments. We hypothesized that invasive GBS infection was associated with increased risk of infant mortality and cerebral palsy (CP).

#### Methods

All children born alive in Norway during 1996-2012 were included. Data were collected from three national registers. Invasive GBS infection during infancy was categorized into early onset disease (EOD), late onset (LOD) and very late onset disease (VLOD). Primary outcomes were infant mortality and CP.

### Results

Invasive GBS infection was diagnosed in 625 children (Incidence: 0.62 per 1000 livebirths; 95% confidence interval (CI): 0.57-0.67). The incidence of EOD was 0.41 (0.37-0.45), of LOD 0.20 (0.17-0.23), and of VLOD 0.012 (0.007-0.021). The annual incidence of LOD increased slightly. Among infected infants, 44 (7%) died (OR: 24.5; CI: 18.0-33.3 compared with the background population). Among survivors, 24 (4.1%) children were later diagnosed with CP, compared with 1887 (0.19%) in the background population (OR: 22.9; CI: 15.1-34.5).

### Conclusion

Despite a relatively low incidence of invasive GBS infection in Norway, the risk of death and cerebral palsy remains high. Improvements in prevention strategies are needed.

### INTRODUCTION

Streptococcus agalactiae (Group B streptococcus, GBS) remains a leading cause of invasive infection in neonates (1). Despite improvements in maternal and perinatal care, GBS infection is still associated with substantial mortality and morbidity (2, 3). Invasive infection presenting within the first seven days of life is classified as early onset disease (i.e. EOD), while infection presenting between seven and 89 days of life is classified as late onset (i.e. LOD).

Early onset disease often results from vertical transmission from the mother, either before or during delivery. Approximately 20-30% of pregnant women are colonized in the vagina and/or rectum, and around 50-70% of their newborns will be colonized. One to two percent of these children will develop invasive GBS infection (4).

Administration of antibiotic treatment intrapartum can reduce vertical transmission during delivery. In the US, and some European countries, all pregnant women are screened for GBS, and intrapartum antibiotic prophylaxis (IAP) is given if the women is colonized (5). The potential downside of this practice is that more than 30% of all newborns in the US are exposed to intrapartum antibiotics (6). This practice may raise concerns regarding development of antibiotic resistance, as well as long-term consequences following disturbances of the establishment of the newborn microbiota (7). Another approach has therefore been applied by other countries, including Norway, the UK, New Zealand, the Netherlands, Denmark and Sweden where antibiotic prophylaxis is given only when specific risk factors for neonatal GBS disease are present (5).

While the incidence of EOD has declined since the late 1990s in many countries such as the US and Australia (1, 6), the incidence of LOD has remained stable, or even increased (8-12). Furthermore, invasive GBS infection is still associated with miscarriages, stillbirths, perinatal and neonatal mortality and preterm labor, as well as significant infant morbidity and adverse long-term outcomes (2). In particular, it has been estimated that 30-50% of the

survivors from GBS meningitis suffer from neurodevelopmental impairments (NDI) (3). The long-term neurodevelopmental outcomes of other invasive GBS infections are less well described (3).

Cerebral palsy (CP) is the most common cause of motor disability in young children, affecting 2.4 per 1000 live born children in Norway (13). Recent studies have reported that perinatal mortality has continued to decrease in the general population, and even the prevalence of CP has decreased during the first decades of the 21st century (14). These improvements, which have been assigned to better antenatal, intrapartum and postnatal care (13), may also have improved the outcome of invasive GBS infection.

Therefore, the aim of this study was to assess the burden of disease associated with invasive GBS infection in infancy. We hypothesized that invasive GBS infection was associated with an increased risk of mortality and CP, comparable to the risk of CP following premature birth before 32 weeks gestation, or following moderate asphyxia (i.e. Apgar <7) i.e. 20-30 fold increased. We also hypothesized that children who were diagnosed with CP following invasive GBS infection in infancy would be more severely disabled and more often have spastic bilateral CP compared to other children with CP.

### **METHODS**

Eligible to participate in this population-based cohort study, were all children born alive in Norway from 1996 to 2012. Children born before gestational week 23 and later than week 43 were excluded.

Information on GBS disease was retrieved from the Norwegian Surveillance System for Communicable Diseases (MSIS) and combined with information from The Medical Birth Registry of Norway (MBRN) regarding maternal health before and during pregnancy, the

course of the delivery and newborn health, as well as with neurodevelopmental information retrieved from The Cerebral Palsy Registry of Norway (CPRN).

The MSIS is a national registry for selected contagious diseases at the Norwegian Institute of Public Health (NIPH). Since 1986 it has been compulsory to report invasive infections with GBS to MSIS. The MSIS receives these notifications in two supplementary ways. Hospital laboratories report information on any positive culture, PCR or antigen test of GBS from a normally sterile body site, while clinicians are required to report all cases of invasive GBS infections in infants by completing a registration form including basic demographic, epidemiological and clinical information.

The MBRN records information on all births after 12 weeks of gestation in Norway. Registration is compulsory and data on all children born since 1967 have been registered. The register comprises some demographic information as well as information on various aspects of the pregnancy and birth, including maternal health before and during pregnancy, delivery, early intervention and treatment of the newborn.

The CPRN is an informed consent based national quality register recording information on all children with CP born since 1996. It contains detailed information about age at time of diagnosis, postnatal causes, CP subtypes and additional difficulties, such as epilepsy, speech difficulties and eating difficulties. The data is provided by neuropediatric habilitation centers and is recorded at 5 years of age when the diagnosis has been confirmed. The correctness and completeness of this register is well documented (13).

Data from the three registers was linked using the 11-digit personal identification number unique for every Norwegian citizen.

The main exposure variable was invasive GBS infection in infancy (i.e. in children up to one year of age) confirmed by culture, PCR or antigen test, as reported to the MSIS. The clinical diagnoses of sepsis, meningitis and pneumonia were mainly based on the information

from the MSIS with some modifications; in addition to those who were recorded with meningitis in the MSIS, we included children in this diagnostic group, if GBS had been cultured in their cerebrospinal fluid (CSF), even if the diagnosis meningitis was not recorded in the MSIS.

Moreover, we defined sepsis as present in all children with a positive blood culture with GBS, in the absence of a clinical diagnosis of meningitis or pneumonia, as well as in children with a clinical diagnosis of sepsis with a positive urine antigen test, or GBS isolated from lung aspirate, "other" or "unknown" material. The clinical diagnosis of pneumonia in the MSIS was not further modified. "Other invasive infections" included children with a positive GBS culture, PCR or antigen test, and a clinical diagnosis of urinary tract infection, arthritis or a diagnosis not further specified in MSIS. Based on age at onset, infections were categorized as early onset disease (EOD) when diagnosed between 0 and 6 days postpartum, late onset disease (LOD) when diagnosed between 7 and 89 days, and very late onset disease (VLOD) when diagnosed between 90 and 365 days postpartum.

The primary outcomes were mortality during infancy (i.e.  $\leq$  12 months of life) and CP. We studied overall mortality as recorded in the MBRN as well as deaths assigned to GBS infection as recorded in the MSIS. The latter information was used to calculate case fatality rate (i.e. the proportion of children who died due to the GBS infection among children with invasive infection). Cerebral palsy was diagnosed and classified according to the definition recommended by the Surveillance of Cerebral Palsy in Europe (SCPE)(15). The CP diagnosis was validated at five years of age.

As secondary outcomes, we studied if invasive GBS infection in infancy was associated with any specific CP subtype (i.e. spastic bi- and unilateral, dyskinetic and ataxic CP), or with more severe gross and fine motor impairments, as well as with comorbidities such as epilepsy, speech and feeding difficulties. Gross motor function was classified into five

levels according to the Gross Motor Function Classification System (GMFCS) (16). Fine motor function was also classified into five levels, using the Manual Ability Classification System (MACS) (17). In both classification systems, level I indicates the least severe and level V the most severe impairments. Speech ability was classified into four levels using the Viking speech scale, whereby level I indicates normal speech and level IV no understandable speech (18). Epilepsy was defined as present in children who were treated with antiepileptic drugs at the time of the five year registration in the CPRN. The presence of gastrostomy tube feeding (GTF) was used to indicate severe eating difficulties.

Information on maternal age, parity, pre-eclampsia, pregnancy induced hypertension, maternal diabetes mellitus, prelabor rupture of membranes, discolored/malodourous amniotic fluid, delivery method, gestational age, sex, multiple gestation, and birth weight was retrieved from the MBRN.

Gestational age is in Norway assessed using ultrasound examination around pregnancy week 18 in more than 80% of cases while in the remaining pregnancies gestational age is estimated based on the last menstrual period.

Newborns with a birth weight below -2SD, adjusted for gestational age and sex according to Norwegian growth standards were defined as being small for gestational age (SGA) (19).

## Statistical analysis

Differences in proportions between groups were analyzed using Pearson chi squared test. For small samples, we used the unconditional Z-pooled test as recommended by Lydersen et al. (20). Incidence per 1000 with 95% confidence intervals (CI) was calculated using the Wilson score as recommended by Fagerland et al. (21). Incidence was calculated using the number of infants with invasive GBS infection in the respective year, divided by livebirths during the

same year. Binary regression with identity link function, and birth year as covariate was used to estimate time trends in the incidence of any GBS, EOD, LOD and VLOD. We used the identity link function instead of log odds link function (which is used in logistic regression) because we regard the absolute risk and risk differences to be easier to interpret and clinically more meaningful than odds ratios in this context. To study other trends we used the linear-by-linear association test. Logistic regression was used to calculate odds ratio (OR) with 95% CI as estimates of the relative risk for CP in children with GBS infection in infancy, compared with the reference group. Two-sided P-values below 0.05 were considered to indicate statistical significance, and 95% confidence intervals (CI) were reported where relevant. We also performed sensitivity analyses where we excluded children with GBS isolated from "other" or "unknown" material, lung aspirate, or where GBS was identified only through a positive urine antigen test. In these analyses the excluded cases were included in the control group. We used Stata15 for binary regression and confidence intervals for proportions, IBM SPSS 25 was used for all other analyses.

# **Ethics**

The study was approved by the Regional Committee for Medical and Health Research Ethics in Central Norway (reference number 2011/754). Parents of children with CP have provided written informed consent allowing their children to be registered in the CPRN and to link data to the MBRN as well as to other Norwegian health registers. The committee approved that an additional informed consent for this specific study was not required.

#### **Patient involvement**

The association for persons with CP in Norway and their families ("CP foreningen") has a representative in the advisory group of the CPRN where they also participate in the discussion

of research questions. They were however not involved in the formulation of the research question addressed in this study, the design, or in the completion of the present study.

### **RESULTS**

A total of 1 016 732 children were born in the study period. After exclusion of children born before 23 weeks and after 43 weeks of gestation, as well as stillborn children, the study population comprised 1 007 246 children.

## Insert Figure 1 about here.

Of these, 625 children were diagnosed with an invasive GBS infection before one year of age (Figure 1), resulting in a cumulative incidence of invasive GBS infections of 0.62 per 1000 live births (CI: 0.57 to 0.67) ranging between 0.30 in 1996 and 0.88 per 1000 in 2000 (Figure 2/Supplementary table S1). The oldest child was 189 days at the time of diagnosis.

## Insert Figure 2 about here.

The incidence of EOD was 0.41 per 1000 (CI: 0.37 to 0.45), the incidence of LOD was 0.20 (CI: 0.17 to 0.23), while of VLOD the incidence was 0.012 (CI: 0.007 to 0.021). The incidence of invasive GBS infection increased by 0.011 per 1000 per year during the study period (CI: 0.001 to 0.021; p=0.027). This increase was caused by an increase in LOD of 0.012 per 1000 livebirths per year (CI: 0.006 to 0.017, p<0.0001) while the incidences of EOD and VLOD remained stable.

Mean maternal age did not differ between mothers of children with (29.7 years; SD 5.1) and without GBS infection (29.9 years; SD 5.1), but a higher proportion of mothers of

infants with GBS disease was primiparous and had pre-eclampsia, compared to mothers of infants without infection (Table 1).

#### Insert Table 1 about here.

Infants with GBS infection were more often born by an emergency caesarean section, and/or they were born 24 hours or more after prelabor rupture of membranes (PROM). Although the majority of infants with GBS infection were born at term, infants with GBS infection were more likely to be born preterm (Table 1), with a mean gestational age of 36.8 weeks (SD 4.8), compared to infants without such infection (mean gestational age: 39.3 weeks; SD 2.1). In line with this difference in gestational age, the mean birthweight was lower in infants with (3016g, SD 1107g) than without GBS infection (3522g, SD 607g). Moreover, children with GBS infection were more likely to be part of multiple gestation pregnancies, to have very low birth weight (VLBL), and to have lower 5-minute Apgar scores, than children without GBS infection (Table 1).

## Insert Table 2 about here.

Among infected children, 411 (65.8%) had EOD, 202 (32.2%) had LOD, and only 12 children (1.9%) had VLOD. Early onset disease was particularly common among infants born at term, while among those born before 28 weeks of gestation, LOD was most common (Table 2). Sepsis was the most common diagnosis in all gestational age groups and was diagnosed in 352 (85.6%) infants with EOD, in 140 (69.3%) with LOD, and in six (50%) infants with VLOD. Meningitis was diagnosed in 38 (8.6%) of the cases with EOD, in 54 (26.7%) of those with LOD and in six (50%) of the 12 cases with VLOD.

Of the children with invasive GBS infection 44 (7%) died during infancy, and 39 of these died of the disease according to the MSIS (Table 3), thus the case fatality rate was 6.2%. The highest CFR was reported in 2006, when 10 out of 46 cases died (21.7%) (Figure 3).

## Insert figure 3 about here.

Compared with children without GBS infection, the OR for death in infancy for children with GBS infection was 24.5 (95% CI: 18.0 to 33.3). Although the estimated relative risk for death was highest among children born at term, the absolute risk was highest in children born preterm (Table 3).

#### Insert Table 3 about here.

Cerebral palsy was diagnosed in 24 (4.1%) of the 581 children who survived a GBS infection (Table 4), compared with 1887 (0.19%) of the children without infection (OR: 22.9; CI: 15.1 to 34.5). Although the estimated relative risk of CP was highest among children born at term, the absolute risk was highest in prematurely born children (Table 4). The mean gestational age among children who survived GBS in infancy and were later diagnosed with CP was 34.1 weeks (SD 6.3), compared with 37.1 weeks (SD 4.6) among survivors without CP.

## Insert Table 4 about here.

Among 91 children surviving meningitis, CP was diagnosed in eight (8.8%), compared with 16 of the 464 (3.4%) survivors of sepsis (p=0.036). None of the children with pneumonia or other invasive diagnosis were diagnosed with CP (data not shown). Among premature born

children with CP, 1.5 % were diagnosed with invasive GBS infection during infancy, while among term born children with CP, 1.1% had a history of GBS infection in infancy. There were no clear differences in CP subtypes between those who had been diagnosed with invasive GBS infection, compared with children without a history of such infection (Table 5). The proportions of children with CP who had the most severe impairments, was lower in the group with a history of GBS infection compared with children with CP but without GBS infection, although none of these differences reached statistical significance (Table 5). The proportion of children with epilepsy (4.2%) was identical in children with CP associated with GBS infection and in those without a history of such infection (Table 5).

#### Insert Table 5 about here.

In the sensitivity analyses, children who had positive cultures and a specified clinical diagnosis were included in the case group (N=591), while children whose diagnoses were based on a positive GBS urine antigen test (N=21), or GBS isolated from lung aspirate (N=1), unknown (N=3) or other material (N=9) were added to the control group. The results were essentially unchanged (data not shown).

## **DISCUSSION**

In this population-based study we found that the incidence of invasive GBS infection was 0.62 per live births in Norway. While the incidence of EOD and VLOD remained stable during the study period, the incidence of LOD increased. In line with our hypothesis, GBS infections were associated with a considerably increased risk of death and CP compared with children without such infection. The one-year mortality was 7% while the case fatality rate was 6.2%. In contrast to our hypothesis, there was a tendency towards milder motor

impairments in children who had been diagnosed with a GBS infection, compared with children without such infection. Moreover, we could not confirm the hypothesis that bilateral CP was more common.

Strengths of the present study are the large number of births and the prospective recording of data in the MBRN, the CPRN and the MSIS. The main findings are unlikely to be caused by chance as indicated by the 95% confidence intervals. We report both one-year mortality and case fatality rates, since there may be underreporting of case fatality rates to the MSIS. It is therefore reassuring regarding the validity of our results that the one-year mortality and the case fatality rates were similar.

The correctness of the CP diagnosis in the CPRN at five years of age, and the unbiased selection of cases as documented in a validation study are further strengths (13). A potential misclassification of a few children with CP as controls is negligible compared to the 1 007 246 children comprising the latter group.

A limitation of the present study is the lack of information on maternal antibiotic treatment and suspected maternal infection (i.e. fever, or clinical chorioamnionitis).

Moreover, restricting the diagnosis to infants with laboratory documented GBS infection is likely to underestimate the number of infected infants, and thus the true incidence of the disease. Whereas prophylactic treatment is likely to prevent invasive infection, it is unclear if the prognosis of children with invasive GBS infection but without a positive culture differs considerably from those with positive cultures (22).

Since both CP and GBS infections are rare disorders, the number of children included in the subgroup analyses for CP subtypes and associated problems are relatively low, resulting in limited statistical power. Thus, some of the subgroup analyses must be interpreted with caution, in particular the findings regarding CP subtypes and motor impairments.

The incidence of invasive GBS infection during the first year of life was 0.62 per 1000 livebirths in this study. The incidences of EOD (0.41 per 1000 live births) and of LOD (0.20 per 1000 livebirths) in our study are in keeping with the results of a recent meta-analysis reporting an incidence of 0.37 (CI: 0.30 to 0.44) for EOD and of 0.21 (CI: 0.16 to 0.26) for LOD in high-income countries (1). Increasing incidences of LOD have also been reported in recent studies form Spain, France, Greece and the UK (8-11). While one study suggested that the increased incidence of LOD was associated with an increasing proportion of infants being born premature (9), this is unlikely to explain the observed increase in our study, since the prevalence of prematurely born children in Norway was stable during the study period (14).

The case fatality rate in our study (6.2%) was slightly higher than the average rate of 4.7% reported in high-income countries according to a recent meta-analysis (1). However, the CFR in our study was 5.0% when cases diagnosed during 2006 were excluded. A survey following the peak in CFR in Norway during 2006, did not reveal a single explanation, but suggested that a more virulent serotype V could explain some of the fatal cases (23). Thus, the increasing LOD incidence in Norway, might be explained by a shift in serotype and virulence profile of the strains, but further studies are warranted to clarify this.

Among survivors of invasive GBS infection, 4.1% were later diagnosed with CP. The highest proportion (8.8%) was observed among children who had meningitis. In the above-mentioned meta-analysis, 18 studies assessed long-term neurodevelopmental impairments (NDI) (1). They found that among survivors of meningitis 18% (CI: 13 to 22%) had moderate to severe NDIs at 18 months follow-up. However, except for GBS meningitis, the authors of the meta-analysis were unable to calculate OR for NDI following other invasive GBS infections, given the available data. Cerebral palsy as a specific outcome of invasive GBS infection was not evaluated in the meta-analysis (1). A recent study from Australia reported that only 1.2% of survivors of GBS infection were diagnosed with CP (24). In that study, the

mortality within 11 years of age was only 3.0%, compared with a 7.0% one-year mortality in our study. The number of included infants in the two studies were comparable, however it may be noteworthy that the incidence of GBS infection in the Australian study (1.0 per 1000) was considerably higher than in our study (0.62 per 1000), and more than twice the incidence reported in the recent meta-analysis (based on facility studies only) of 0.46 per 1000 livebirths (1, 24). Thus, the different results may be explained by different diagnostic criteria for GBS infection, different serotype and virulence profile of the GBS strains, differences in the application of intrapartum antibiotic prophylaxis and demographic differences between the populations studied (24).

The mortality of invasive GBS infection in infants, in particular in preterm born infants, and the high proportion of survivors later diagnosed with CP is likely to be explained by the functional immature immune system of the infant (25). Several factors involved in invasive infection may lead to death or to NDI in survivors. These factors include inflammatory cytokines (inflammation), disseminated intravascular coagulation and hypotension, and a sensitizing effect due to bloodstream infection leading to development of hypoxic-ischemic encephalopathy (26-28). When these mechanisms lead to injuries or damages to the motor cortex or basal ganglia of the immature brain, they may cause CP (29, 30). Other potential complications of GBS meningitis that may lead to CP are arterial stroke, sinus venous thrombosis (31, 32), and hydrocephalus (33). It is therefore also reasonable that CP was most commonly a complication of meningitis. As opposed to our hypothesis, children with CP who were survivors of invasive GBS infection did not have more severe motor impairments than children with CP of other causes. Thus, we speculate that CP associated with invasive GBS infection is not caused by a specific pathophysiological process but similar to the general processes thought to cause CP, a GBS infection may initiate a continuum of processes from inflammation, via circulatory collapse to severe hypoxia-ischemia (34).

Although the incidence of EOD in our study was within the range reported in the recent meta-analysis, incidences below 0.25 have been reported in both countries practicing screening and risk based strategies (6, 35, 36). Thus, it may be discussed if the current risk-based guidelines could be further refined, or if screening based intrapartum antibiotic prophylaxis (IAP) should be considered in Norway.

While there is no international consensus regarding prevention strategy of EOD GBS disease, most countries recommend intrapartum antibiotic prophylactics during preterm labor (i.e. GA <37), regardless of colonization status (37). In contrast, in Norway intrapartum antibiotic prophylaxis is only administered in preterm births (<37 weeks) if maternal colonization of GBS has been confirmed, and not if GBS status is unknown. While a swab is taken in case of preterm labor, the result is often unknown at time of delivery. In Denmark, IAP during labor is recommended if gestational age is less than 35 weeks, regardless of maternal GBS status. Whether the differences in incidence between the present study population and the Danish population are due to differences in prevention guidelines cannot be answered by this study.

Moreover, many countries treat women with term PROM (>18 hours) with antibiotics if proven GBS colonization or unknown GBS status (5, 37). In Norway, IAP is only administered if there are signs of infection, or if colonization is detected by chance in deliveries where PROM exceeds 18 hours, while induction of labor is indicated 24 hours after PROM (38). Because extensive use of antibiotics might have serious short and long-term consequences, it can be debated if applying IAP in all cases of PROM to prevent some GBS cases is appropriate. If IAP is applied in all cases of PROM >18 hours, 8% of women giving birth at term will receive IAP, moreover the RCOG guidelines suggested that in this case the number needed to treat to prevent one death caused by invasive GBS infection in term born children would be more than 10 000 (38, 39).

Another important issue in the prevention of GBS infections is whether/how/if clinicians adhere to the different guidelines. In a Swedish national GBS prevalence study only 14% of cases with risk factors received IAP in line with the guidelines (40). In that study 40% of pregnant women with GBS infected children had no risk factors for neonatal GBS disease. However, in cases where the infant died of a GBS infection, at least one risk factor was identified, and IAP had not been administered during delivery in any of those cases (40). Also, two small studies from Norway, suggested lack of compliance to guidelines (41, 42). Thus, there is probably a potential for improving the outcome of invasive GBS infection by stricter adherence to national guidelines. Although we were not able to address this issue in our study, better implementation of existing guidelines for IAP, and more precise guidelines could possibly reduce the incidence of EOD further. Due to concerns regarding antibiotic resistance and disruption of the establishment of the newborn microbiota (7) it seems reasonable to first ensure that targeted treatment is optimized before opting for a screening based strategy.

Another option is to improve targeted prophylaxis by identifying particularly virulent strains, screening all women and treating only those colonized with these particular strains. While colonizing GBS strains are considered less virulent than invasive strains (43), further in-depth studies are needed to identify virulent strains with increased ability to cause invasive infection and adverse outcomes.

In contrast to the incidence of EOD, the incidence of LOD is unlikely to be affected by IAP (44). Late onset disease may develop through nosocomial transmission in hospitalized infants, by horizontal transmission, or through vertical transmission from mother to infant via skin or breastmilk. However, in most cases the source of transmission remains unknown, which makes it difficult to implement preventive measures. The frequency of nosocomial

outbreaks is presumably low (11). Nonetheless such outbreaks do occur, and adherence to infection control guidelines is required to prevent this.

One potential strategy to reduce both early and late onset GBS disease is maternal vaccination. Vaccination is expected to induce high levels of GBS specific IgG in the mother. While IgG is able to cross the placenta, the majority of fetal IgG is acquired during the last 4 weeks of pregnancy (45). Efficacy of maternal vaccination might therefore be less effective in preventing GBS disease in infants born preterm, as well as in preventing stillbirths at low gestational ages. However, it has been proposed that an effective vaccine could reduce mucosal colonization, produce both humoral and mucosal immunity, and thereby prevent both late and early onset disease (46). Several vaccines are under development, and trials have shown them to be safe and immunogenic. Phase 3 trials have not been completed, and it is not known when a safe and immunogenic vaccine will be licensed (47).

## **CONCLUSION**

Despite a relatively low incidence of invasive GBS infection in Norwegian infants, the burden of such infections remains high. Preterm infants are at high risk of both death and CP. While the burden of EOD probably can be further reduced by better targeted guidelines and better adherence to these guidelines, the burden of disease among premature children might indicate the need for updating guidelines to include premature birth as a risk factor, in line with guidelines from The Royal College of Obstetricians and Gynaecologists. A discussion of existing prevention guidelines and how to ensure better adherence is needed. The burden of LOD and VLOD is likely not affected by updating these guidelines, and will most likely depend on an effective vaccine. Further studies of the increasing LOD incidence is needed, as well as studies on the role of virulence of GBS in relation to mortality and long-term neurological impairments.

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### **Authors contributions:**

MM analyzed the data, contributed to study design and data interpretation, and wrote the first draft of the manuscript together with TV. GA was responsible for the data from the CPRN and revised the manuscript. JEA and SB both contributed to data interpretation and revised the manuscript. SL contributed to data analysis as an expert in medical statistics, and in the revision of the manuscript. TV proposed the research questions and contributed in the interpretation of the data and the revision of the manuscript. He is the guarantor of the study and accepts full responsibility for the work and the conduct of the study. All authors approved the final version of the submitted manuscript.

## **Figure legends:**

Figure 1: Flow chart of the study population.

Figure 2: Trends in the incidence of invasive Group B Streptococcal (GBS) infection per 1000 live births in Norway during 1996-2012, stratified into early onset disease (EOD), late onset disease (LOD) and very late onset disease (VLOD). For calculations see Supplementary table S1.

Figure 3: Case fatality rate (CFR), total, and separately for premature and term born children. For calculations, see Supplementary table S2.

Table 1: Maternal and infant characteristics of 625 live born infants with and 1 006 621 without invasive Group B Streptococcal infection born in Norway during 1996 to 2012.

· -	GBS infection <sup>a</sup>	No GBS infection	
	N (%)	N (%)	P-value
Maternal characteristics			
Primigravida	345 (55)	415 767 (41)	< 0.001
Chronic hypertension prior to pregnancy	5 (0.8)	5242 (0.5)	0.332
Diabetes prior to pregnancy	10 (1.6)	6484 (0.6)	0.003
<b>Gestational diabetes</b>	8 (1.3)	11 476 (1.1)	0.740
Preeclampsia	47 (7.5)	37 440 (3.7)	< 0.001
Pregnancy induced hypertension	14 (2.2)	16 341 (1.6)	0.223
PROM <sup>b</sup>	168 (26.9)	112 319 (11.2)	< 0.001
PROM >24 hours <sup>c</sup>	87 (14.2)	52 836 (5.3)	< 0.001
Discolored/malodourous AF <sup>d</sup>	124 (19.8)	157 729 (15.7)	0.004
Mode of delivery <sup>e</sup>			
Elective caesarean	21 (3.4)	59 892 (5.9)	
Emergency caesarean	151 (24.2)	96 113 (9.5)	
Vaginal delivery	453 (72.5)	849 932 (84.4)	< 0.001
Induction of labor	121 (19.4)	148 745 (14.8)	
Fetal characteristics			
<b>Prematurity</b> <sup>f</sup>	194 (31.8)	65 963 (6.7)	< 0.001
Male	326 (52.2)	516 872 (51.3)	
Multiple gestation	58 (9.3)	34 721 (3.4)	< 0.001
SGA <sup>g</sup>	23 (3.8)	19 588 (2.0)	0.002
VLBW (<1500 g) <sup>h</sup>	95 (15.2)	8680 (0.9)	< 0.001
Apgar 5 min			
0-3	18 (2.9)	2793 (0.3)	
4-7	49 (7.9)	9848 (1.0)	
8-10	552 (89.2)	991 387(98.7)	< 0.001
Admission to NICUi	459 (73.4)	94031 (9.3)	< 0.001
Respiratory support	105 (16.8)	5785 (0.6)	< 0.001

<sup>&</sup>lt;sup>a</sup> GBS; Group B Streptococcus.

<sup>&</sup>lt;sup>b</sup> PROM; prelabour rupture of membranes i.e. rupture of membranes before the onset of contractions.

<sup>&</sup>lt;sup>c</sup> PROM >24 hours i.e. time from prelabor rupture of membranes to delivery exceeds 24 hours.

<sup>&</sup>lt;sup>d</sup> AF; Amniotic fluid.

<sup>&</sup>lt;sup>e</sup> 684 cases of caesarean section were unspecified.

 $<sup>^{\</sup>rm f}$  Prematurity; GA <37 weeks, information on GA missing in 14 (2.2%) GBS cases and 22 239 (2.2%) non-GBS cases.

<sup>&</sup>lt;sup>g</sup> SGA; Small for gestational age.

<sup>&</sup>lt;sup>h</sup> VLBW; very low birth weight.

<sup>i</sup>NICU; neonatal intensive care unit.

Table 2: Clinical diagnosis and age at onset of invasive Group B				
Streptococcal infection, stratified by gestational age (GA).				
	GA ≤27	GA 28-36	GA ≥37	All GA <sup>a</sup>
	N (%)	N (%)	N (%)	N (%)
All	55 (100)	139 (100)	417 (100)	625 (100)
Diagnosis				
Sepsis	47(85.5)	121 (87.1)	316(75.8)	498 (79.7)
Meningitis	8 (14.5)	15 (10.8)	75 (18.0)	98 (15.7)
Pneumonia	0 (0)	1 (0.07)	14 (3.4)	15 (2.4)
Other <sup>b</sup>	0(0)	2 (0.14)	12 (2.9)	14 (2.2)
Age at disease onset				
EODc	11 (20.0)	81 (58.3)	310 (74.3)	411 (65.8)
LOD <sup>d</sup>	43 (78.2)	54 (38.8)	100(24.0)	202 (32.3)
VLOD <sup>e</sup>	1 (1.8)	4 (2.9)	7 (1.7)	12 (1.9)

<sup>&</sup>lt;sup>a</sup> Information on GA was missing in 14 GBS cases.
<sup>b</sup> Other invasive infections include urinary tract infection, arthritis and clinical diagnosis not further specified in MSIS.

<sup>&</sup>lt;sup>c</sup> EOD; 0-6 days of age.

<sup>&</sup>lt;sup>d</sup> LOD; 7-89 days of age.

e VLOD; 90-365 days.

Table 3: One-year mortality among infants with and without invasive Group B Streptococcal infection, in the total population and among infants born preterm (i.e. before gestational week 37) or at term (gestational week 37 or later)<sup>a</sup>

	One-year mortality		
	Died	Survived	
	N (%) <sup>b</sup>	N (%) <sup>b</sup>	OR (95% CI)
All children			
GBS infection <sup>c</sup>	44 (7.0)	581 (93.0)	24.5 (18.0 to 33.3)
No GBS infection	3103 (0.3)	1 003 518 (99.7)	1.0 (ref)
Premature			
GBS infection	27 (13.9)	167 (86.1)	6.5 (4.3 to 9.8)
No GBS infection	1597 (2.4)	64 366 (97.6)	1.0 (ref)
Term			
GBS infection	17 (4.1)	400 (95.9)	28.6 (17.6 to 46.6)
No GBS infection	1361 (0.1)	917 058 (99.9)	1.0 (ref)

<sup>&</sup>lt;sup>a</sup> Information on gestational age was missing in 14 (2.2%) cases with and 22 239 (2.2%) cases without GBS infection.

<sup>&</sup>lt;sup>b</sup> Percentages are shown in rows.

<sup>&</sup>lt;sup>c</sup> GBS;Group B Streptococcus.

Table 4: Risk of cerebral palsy following invasive Group B Streptococcal infection, in the total population and among infants born preterm (i.e. before gestational week 37) or at term (gestational week 37 or later)<sup>a</sup>

Cerebral Palsy			
	Yes	No	
	N (%) <sup>b</sup>	N (%) <sup>b</sup>	OR (95% CI)
All Children			
GBS infection <sup>c</sup>	24 (4.1)	557 (95.9)	22.9 (15.1 to 34.5)
No GBS infection	1 887 (0.19)	1 001 631 (99.8)	1.0 (ref)
Premature			
GBS infection	11 (6.6)	156 (93.4)	6.1 (2.9 to 11.3)
No GBS infection	735 (1.1)	63 631 (98.9)	1.0 (ref)
Term			
GBS infection	12 (3.0)	388 (97.0)	25.7 (14.4 to 45.7)
No GBS infection	1 104 (0.12)	915 954 (99.9)	1.0 (ref)

<sup>&</sup>lt;sup>a</sup> Information on gestational age missing in 14 (2.2%) GBS cases, and 22 239 (2.2%) cases without GBS.

<sup>&</sup>lt;sup>b</sup> Percentages are shown in rows. <sup>c</sup> GBS; Group B Streptococcus.

Table 5: CP-subtype, gross and fine motor function and associated problems in 24 children with, and 1887 without invasive Group B

Streptococcal infection during infancy.

-	GBS infection <sup>a</sup>	No GBS infection	
	N (%)	N (%)	P-value
<b>CP-subtype</b>			
Sp. unilateral	11 (45.8)	783 (41.5)	
Sp. Bilateral	9 (37.5)	856 (45.4)	
Dyskinetic	2 (8.3)	122 (6.5)	
Ataxic	2 (8.3)	81(4.3)	
Non-classified CP	0 (0)	43 (2.3)	0.74*
GMFCS <sup>b</sup>			
I & II	17 (81.0)	1077 (68.3)	
III	2(9.5)	115 (7.3)	
IV & V	2 (9.5)	384 (24.4)	0.14**
MACS <sup>c</sup>			
I & II	16 (84.2)	973 (68.1)	
III	2 (10.5)	170 (11.9)	
IV & V	1 (5.3)	285 (20.0)	0.097**
<b>VIKING</b> <sup>d</sup>			
I & II	14 (70.6)	1086 (66.8)	
III	2 (11.8)	136 (8.4)	
IV	3 (17.6)	400 (24.8)	0.43**
Epilepsy	1 (4.2)	82 (4.3)	1.00***
Gastrostomy	1 (4.2)	221 (11.7)	0.30***

<sup>\*</sup>Pearson chi square.
\*\* Linear-by-linear association.
\*\*\* The unconditional z-pooled test.

<sup>&</sup>lt;sup>a</sup> GBS; Group B Streptococcus.

<sup>&</sup>lt;sup>b</sup> GMFCS; Gross motor function classification system.

<sup>&</sup>lt;sup>c</sup> MACS; Manual ability classification system.

<sup>&</sup>lt;sup>d</sup> VIKING Speech scale.

Figure 1: The flow chart shows the total number of children born in Norway between 1996 and 2012 (abstracted from the Medical Birth registry of Norway) and among these the study population after exclusion of children born before gestational age (GA) 23 weeks or later than GA week 43, and stillbirths. The number of children with invasive group B streptococcus (GBS) infection during the first year of life is also shown (abstracted from the Norwegian Surveillance System for Communicable Diseases).

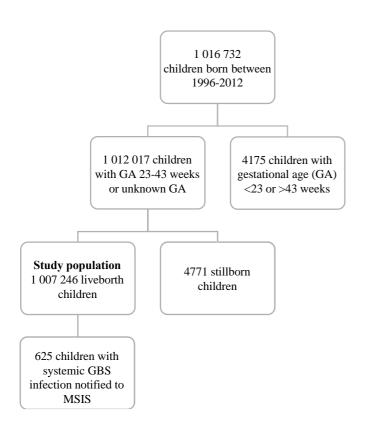


Figure 2: Trends in the incidence of invasive Group B Streptococcal (GBS) infection. The figure shows the overall (blue line) trend in incidence (Y-axis) of invasive Group B Streptococcal (GBS) infection during the first year of life per 1000 live births in Norway during 1996–2012 (X-axis), as well as the trends for early-onset disease (EOD; orange line), late-onset disease (LOD; grey line), and very late-onset disease (VLOD; yellow line). The information was abstracted from the Norwegian Surveillance System for Communicable Diseases and the Medical Birth Registry of Norway. For calculations see Supplementary Table S1.

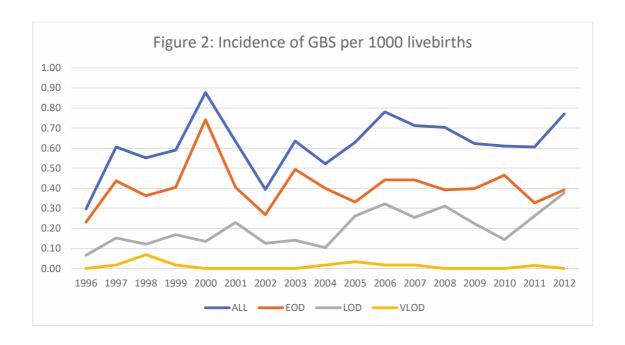


Figure 3: Case fatality rate (CFR). The figure shows the overall (blue line) case fatality rate in percentage (Y-axis) of invasive Group B Streptococcal (GBS) infection during the first year of life in liveborn children in Norway during 1996–2012 (X-axis) as well as the trends for premature born children (orange line), and term born children (grey line). For calculations, see Supplementary Table S2.

