1 'Social stuff' and all that jazz: Understanding the residual category of social2 sustainability

3

4 Abstract

Recently we have seen a substantial increase in pressure for major industries, such as 5 6 aquaculture, to become more sustainable. When it comes to practical attempts to 7 operationalise sustainable development, however, the 'social stuff' is often neglected. In this 8 paper, we provide a detailed exploration of how the concept of social sustainability is 9 operationalised (and therefore understood) within the aquaculture certification context. We found that a) certification schemes do address social sustainability, but it is not a focus; b) 10 relevant indicators mostly focus on workers' rights, or link directly back to environmental 11 sustainability (through the consequences of environmental impact on humans); and c) the 12 13 actions required often add little over and above existing legal requirements. Essentially, 14 aquaculture sustainability certification schemes have not (yet) taken the opportunity to further 15 shape our understanding of what social sustainability means, or how it is practiced. The consequence of this may be the impression that major industries are truly sustainable, just 16 17 because they have obtained sustainability certification.

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19 Keywords: Sustainability, certification, social, indicators, aquaculture

21 **1. Introduction**

22 In recent years, there has been a substantial increase in pressure for major industries to become more sustainable (Portney, 2015). Of the three commonly accepted pillars of 23 24 sustainable development – economic, environmental and social – the social dimension is often the vaguest and least explicit, and even neglected, when it comes to practical attempts 25 to shape sustainable development (Vifell and Soneryd, 2012, Anderson et al., 2015, Ballet et 26 al., 2011, Béné et al., 2019, Eakin et al., 2017, Foran et al., 2014). This is also seen within 27 28 aquaculture (Andreassen et al., 2016, Osmundsen et al., 2020b, Costa-Pierce and Page, 2010). 29 This is likely due to the intangible, qualitative nature of social sustainability in addition to a 30 lack of awareness of, and consensus on, relevant criteria (Von Geibler et al., 2006, Hicks et al., 2016). Furthermore, the social is often seen and treated together with economic (social-31 32 economic), further mystifying the idea of social sustainability (Kuhlman and Farrington, 2010). This means that the other dimensions tend to be privileged over the social domain. 33 34 While often overlooked in favour of the economy (Davidson, 2011), social issues primarily lose ground to the environmental dimension within aquaculture, which is reflected both in the 35 media (Olsen and Osmundsen, 2017) and in aquaculture certification schemes (Osmundsen et 36 37 al., 2020a). In this paper, we aim to address this disparity through a detailed exploration of how the concept of social sustainability is operationalised (and therefore understood) within 38 39 the aquaculture certification context.

40

41 1.1 Conceptualising the 'social stuff': three approaches

As a result of criticism pertaining to issues such as emissions, spread of disease, irresponsible 42 sourcing of feed, and conflicts with other marine users, the aquaculture industry has struggled 43 in terms of public perception and trust (Burridge et al., 2010, Graziano et al., 2018, Krause et 44 al., 2015, Osmundsen and Olsen, 2017, Ytrestøyl et al., 2015). This has intensified the 45 'sustainable seafood movement', involving a widespread demand for more responsible 46 47 practices and increased accountability (Bush and Roheim, 2019). Similar to other industries, the seafood sector has been criticised for neglecting social issues (Kittinger et al., 2017). 48 49 However, before we turn to social sustainability in aquaculture, and aquaculture certification specifically, it would be pertinent to review how social sustainability is understood in the 50 business world more generally. There are three key business-oriented approaches which 51

52 consider social matters regarding sustainability: Corporate Social Responsibility, the Triple

53 Bottom-Line approach and Social Licence to Operate. We now present each in turn.

54

55 *Corporate Social Responsibility*

Although references to a concern for social responsibility appeared earlier, the body of
literature regarding the concept of Corporate Social Responsibility (CSR) began to develop in
the 1950's. It expanded during the 1960's and proliferated during the 1970's; since which
time the concept has matured (for a discussion on the evolution of the topic, see Carroll,
1999). Indeed, it is now a concept which has become dominant in business reporting and
almost every corporation has a policy concerning CSR and produces an annual report
detailing its activity in this space (Crowther and Seifi, 2018).

Despite the broad base of knowledge relating to CSR, there is still some confusion regarding 63 how it should be defined. The broadest definition of CSR is concerned with the relationship 64 between business and society. Dahlsrud (2008), however, suggested there are five dimensions 65 66 to CSR: the stakeholder dimension (how the organisation interacts with stakeholders including employees), the social dimension (the relationship between business and society), 67 68 the economic dimension (socio-economic or financial contribution), the voluntariness dimension (going beyond legal obligations), and the environmental dimension (stewardship 69 70 of the natural environment).

In addition to the proliferation of definitions, there are also myriad theories of and approaches 71 72 to CSR. To 'map the territory', Garriga and Mele (2004) classed the main theories and related approaches into four groups. They suggest that most current theories of CSR focus on one of 73 four main dimensions: (i) producing long-term profits, (ii) using business power responsibly, 74 75 (iii) integrating social demands, and (iv) doing what is ethically correct for society. The 76 authors further suggest that a new theory on the business and society relationship should 77 integrate all four dimensions. No matter how the concept is presented, it would appear that 78 Crowther and Seifi (2018) are correct when they propose that the debate is "concerned with 79 some sort of social contract between operations and society" (p.11).

80

81 Triple Bottom-Line

The idea of the Triple Bottom-Line (TBL) has been important in bringing the concept of 82 sustainability into the corporate world. As sustainability has received much criticism for 83 being difficult to put into practice due to its vague character (Custance and Hillier, 1998, 84 Davidson, 2011), the TBL has concretized the concept through the three pillars of 85 environmental, economic, and social sustainability. This tripartite conceptualisation can be 86 87 traced back to John Elkington (1998), who argued that "[s]ociety depends on the economy and the economy depends on the global ecosystem, whose health represents the ultimate 88 bottom line (p. 73)." The Triple Bottom-Line has now come to serve as the foundation for the 89 90 more common understandings of sustainable development (Lehtonen, 2004). In some locations, the concept of the Quadruple Bottom-Line is being used, which includes a 91 governance pillar on top of the standard three. Under this pillar, aspects such as ethics, 92 integrity, financial resilience, community engagement, transparency and accountability are 93 considered (Alibašić, 2018). 94

While the three pillars, or dimensions, of sustainability are increasingly adopted and declared
by both private and public organisations, there is limited reflection concerning what they
might comprise (Ariffin, 2007). Going back to Elkington (1998), he describes the three
'bottom-lines' in terms of different types of capital. The environmental bottom-line includes
natural capital; the economic bottom-line includes physical, financial, human, and intellectual
capital; and the social bottom-line includes human and social capital.

101 The social dimension has been described as more difficult to grasp and therefore more difficult to address (Lehtonen, 2004), characterised by elaborate issues such as equity, human 102 rights, labour, and the trust and reciprocity associated with social capital (Elkington, 1998, 103 104 Kittinger et al., 2017, Portney, 2015). From the inception of the TBL concept and continuing today, businesses have been criticised for not acknowledging the importance of the social 105 106 dimension (Elkington, 1998, Hicks et al., 2016, Pedersen, 2006). However, increasingly more attention is being paid to how social life and human activity is intertwined with the economic 107 108 sphere, be it social movements' impact on economic activity or the impact of economic 109 activity on global society (Elkington, 1998, James, 2014, Kittinger et al., 2017).

110 As the name indicates, the TBL is a business-oriented idea, setting the sustainability agenda

in a corporate context by addressing economies' placement *within* society (Mauerhofer,

112 2008). As an approach, the TBL is utilised as a reporting instrument for companies to

demonstrate how implemented measures "protect or improve the environment, [...] grow the

- economy through their own financial bottom line, and [...] improve equity" (Portney, 2015 p.
- 115 39). With this, the aim is to broaden the centre of attention of businesses beyond profits, to

also include planet and people (Henson and Humphrey, 2012).

117

118 Social Licence to Operate

119 Historically, the term social licence or social licence to operate (SLO), was used for industrial

120 activities (often mining) in countries with relatively weak regulations, to create legitimacy for

121 industry in the absence of well-established formal institutions. In recent years, SLO is

increasingly applied to different types of industries, and across different institutional contexts.

123 Within the marine sector and in aquaculture, SLO is still considered an emergent concept

124 (Kelly et al., 2019), even though some studies have been conducted such as in Scotland

125 (Whitmarsh and Palmieri, 2009, Whitmarsh and Wattage, 2006, Alexander et al., 2014),

126 Greece (Katranidis et al., 2003), Australia (Leith et al., 2014, Alexander and Abernethy,

127 2019), Canada (Rayner and Howlett, 2007), New Zealand (Quigley and Baines, 2014), and in

128 Europe (Alexander et al., 2016a, Alexander et al., 2016b).

129 Social licence has been interpreted and defined in several different ways (Prno and Slocombe,

130 2012, Owen and Kemp, 2013, Kelly et al., 2019), and been contentious (Owen and Kemp,

131 2013, Moffat et al., 2016). A general definition is that SLO is the result of acceptance or

approval of an industrial activity by local community stakeholders who are affected by it

133 (Joyce and Thomson, 2000, Nelsen and Scoble, 2006, Moffat and Zhang, 2014, Boutilier and

134 Thomson, 2011). Social licence is often operationalised as trust or approval and this implies

that the relationship between a company and the community is one of collaboration, goodwill

and characterised by perceptions of having a common/shared experience and goals.

137 There are numerous factors that influence a SLO. The dialogue between the company and the

public, and the company's actions following that, matters for the social licence (Moffat and

139Zhang, 2014, Mercer-Mapstone et al., 2017, Mercer-Mapstone et al., 2018). Earlier studies of

the mechanisms of social acceptability of aquaculture focused on the material outcomes from

141 it, both economic (wages and taxes), environmental and social in terms of employment

142 (Whitmarsh and Palmieri, 2009), and later studies also find that distribution of benefits

143 matters (Alexander and Abernethy, 2019). Governance arrangements that ensure responsible

144 industry performance, and how the public perceives these arrangements as capable of

145 managing the social and environmental impact of aquaculture activities, is influential in

146 creating a SLO (Alexander and Abernethy, 2019, Zhang et al., 2015). In sum, factors such as 147 whether the activities of the firm are deemed acceptable and within social norms, its dialogue 148 with the community, distribution of benefits, presence of collaboration and involvement, and 149 trust in governmental regulation will affect the community's willingness to accept or approve 150 of industrial activities, i.e. granting a social licence.

151

152 *1.2 Operationalising the 'social stuff'*

All three approaches situate the private company as an actor 'of and in society', and it is 153 through such a definition that the company receives duties and obligations. As an employer, 154 they must consider labour issues, e.g. fair pay, contracts, health and safety, training. As a 155 social player, they must consider ethical conduct, the consideration of social demands, fair 156 distribution of benefits, equity, and collaboration with society based on trust and reciprocity. 157 The ways in which these three approaches have been operationalised (through such duties 158 and obligations) in aquaculture has been the subject of some scholarly investigation (Costa-159 Pierce and Page, 2010, Leith et al., 2014, Vince and Haward, 2019, Huemer, 2010, Bailey et 160 al., 2018). However, questions remain regarding whether the activities relating to these 161 162 approaches are enough in addressing social sustainability. As seen here, all three approaches are characterised by vague definitions, suggesting that they are not easily operationalised. 163 Furthermore, whilst such approaches (particularly SLO) were primarily used by the company 164 165 to improve relations with relevant stakeholders and communities, they are now increasingly 166 concepts used by environmental justice groups, non-governmental organisations and local communities to contest unpopular industrial developments (Mather and Fanning, 2019). 167 168 Ways of concretising the 'social stuff' are increasingly originating from outside of the industries themselves. 169

With regards to aquaculture, we have seen a move towards a more hybrid form of governance 170 171 (where non-state market driven actors contribute to a new form of governance that links the 172 market and community; Vince and Haward, 2019). The market plays an increasing role in 173 determining how sustainability is represented, and operationalised, within this industry 174 (Osmundsen et al., 2020a). Therefore, we must understand how certification schemes represent sustainability, and social sustainability, particularly given the focus of hybrid 175 governance on addressing community concerns about the sustainability of the industry (Vince 176 177 and Haward, 2019). As such, we ask: a) do aquaculture certification schemes address social

sustainability; b) if so, which issues related to social sustainability do they address, and c)
how do aquaculture certification schemes address sustainability?

180

181 **2.** Material and methods

This study starts from a position of critical realism. As such: a) reality is independent and exists outside of our observations; b) the world as we know and understand it is constructed from our perspectives and experiences, through only what is 'observable'; and c) unobservable structures can cause observable events and the social world can be understood only if people understand the structures that generate events. For this reason, this research study is situated in the qualitative research paradigm and takes an inductive approach.

We undertook a comparative analysis of secondary data. We used data collated through the
Norwegian Research Council funded SustainFish project. This project constructed a reference
model for sustainability in salmon aquaculture, named the "Wheel of Sustainability"
(Osmundsen et al., 2020a) against which eight aquaculture certification standards were coded
for a variety of sustainability domains and sub-domains. The schemes assessed were: i)
Aquaculture Stewardship Council; ii) Global G.A.P; iii) Friend of the Sea; iv) International
Featured Standards; v) BRC Global Standards¹; vi) Royal Society for the Prevention of

195 Cruelty to Animals; vii) Global Aquaculture Alliance; viii) Scottish Salmon Producer's

196 Organisation Standards.

Based on the three approaches described above, CSR, TBL and SLO, we identified the sub-197 domains in the Wheel of Sustainability reference model which were directly relevant to social 198 sustainability. These included: accountability and enforcement, community contributions, 199 200 coordination of interests and activities, employee interests and well-being, enquiry and learning, equity, labour and employment, representation and negotiation, respect for native 201 culture, social assurance and social capital of local communities (for more information on 202 203 what each sub-domain consists of, see Amundsen and Osmundsen, 2018). We then reanalysed the data previously coded into these sub-domains. 204

As the first step, we created a database in Microsoft Excel to capture the indicators used by each scheme, which aligned with the relevant social sustainability sub-domains. We used

¹ BRC Global Standards became BRCGS after the research was conducted and is, therefore, referred to as BRC throughout this paper.

pivot tables to undertake descriptive statistics on these indicators. As a second step, the data 207

- was re-coded, using NVivo 10. The text was coded in two key ways. Firstly, it was coded 208
- into themes relating to the area of focus of each indicator. Secondly, the indicators were 209
- coded according to the action required by each indicator (e.g. if a measurement was required, 210
- if documentation was required or if a process required implementation). The re-coding was to 211
- provide richer detail regarding what the indicators referred to. 212
- The most obvious advantage of the secondary analysis of existing data is the low cost. 213
- 214 Inherent to the nature of the secondary analysis of existing data, the available data are not
- collected to address the particular research question or to test the particular hypothesis. 215
- 216 Another major limitation of the analysis of existing data is that the researchers who are
- analysing the data are not usually the same individuals as those involved in the data 217
- 218 collection process. In this case, however, the researchers were the same individuals.
- 219 Importantly, whilst we are examining eight different standards, this study is not intended as a
- comparison of these standards. Rather, we are examining a wide range of standards for 220
- sustainable aquaculture in order to obtain a comprehensive picture of which social issues are 221
- addressed by sustainability certification, and how these issues are addressed. 222
- 223

224 3. Results

225

3.1 Do aquaculture certification schemes address social sustainability?

In total, 11 per cent of indicators (206 of the 1916 indicators coded in the reference model) 226 were identified as directly relevant to social sustainability. This suggests that social 227

228 sustainability is addressed by certification schemes, although it is clearly not a key focus.

229

3.2 What issues related to social sustainability are addressed by aquaculture certification 230 schemes? 231

As we explained in the introduction, social sustainability has an intangible nature, with a lack 232 233 of awareness of, and consensus on, relevant criteria. As such, we seek to understand how 234 social sustainability is defined by the aquaculture certification schemes through which issues 235 they address.

Our results indicate that some sub-domains are significantly more present in certification schemes than others (Figure 1). Accountability and enforcement (93 indicators) and social assurance (60 indicators) are the sub-domains with the largest number of indicators. For social sub-domains such as community contributions, enquiry and learning, equity, and social capital of local communities, we only identified one indicator for each.



Number of indicators per 'social' sub-domain

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Figure 1. The number of indicators identified as belonging to each of the sub-domains from
the Wheel of Sustainability reference model.

244

As we moved from the sub-domains to a more detailed examination of the text of the social sustainability indicators, allocating a sub-theme for the area of focus for each indicator (Figure 2), we found that impacts on the environment or product were the largest area of concern (62 indicators). This theme included concerns around allergens, biosecurity, contamination, waste disposal and food safety. For example:

- The company shall provide staff facilities, which shall be proportional in size,
 equipped for the number of personnel and designed and operated so as to minimise
 food safety risks. Such facilities shall be kept in clean and good condition.
- 253 *Has the producer considered how to enhance the environment for the benefit of the*
- 254 *local community and flora and fauna? Is this policy compatible with sustainable*

255 commercial agricultural production and does it strive to minimize environmental256 impact of the agricultural activity?

For this theme, much of the focus is on the consequences that environmental impacts have for people/local communities, or the governance of such, and so are 'social' in the broadest sense of the term.

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263 Figure 2. Number of indicators by thematic area of focus.

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We identified workers' rights (54 indicators) as the second largest theme area. Workers' rights address the responsibility that companies have for their employees. This theme included sub-themes such as basic/minimum wages, bullying and harassment, child labour, collective bargaining, disciplinary action, discrimination, forced labour, grievances, and workers' health/transport/housing. For example:

270

271 The applicant shall meet or exceed the minimum wage rate and benefits required by272 local and national labor laws.

273 274 All work, including overtime, must be voluntary. The facility shall not engage in any form of forced or bonded labor.

275 If provided, employee housing shall meet local and national standards (e.g., water276 tight structures, adequate space, heating/ventilation/cooling), and shall be free of
277 accumulated trash and garbage.

278

Health and safety, the third most common theme identified (28 indicators), is also largely
related to how workers are treated on-site. This relates to the use of e.g. protective clothing,
safe use of boats and diving equipment, first aid, accidents, and training to deal with such
issues. In several of these indicators, site sub-contractors and visitors are also referred to,
otherwise we would have considered health and safety a sub-set of workers' rights.

Stakeholder engagement and consultation (24) emerged as the fourth most commonly
analysed theme, far above the remaining identified areas of concern. This theme included
sub-themes such as consultation with communities and indigenous peoples, conflict
avoidance or resolution, complaints, resource access and public requests for information. For
example:

- 289 Where applicable, the applicant shall demonstrate dialogue with local native peoples 290 and a process for conflict resolution with them under the laws governing their rights.
- 291 *Presence and evidence of an effective policy and mechanism for the presentation,*
- treatment and resolution of complaints by community stakeholders and organizations.
- 293 The applicant shall accommodate local inhabitants by not blocking access to fishing
 294 areas and other public resources.
- 295

Several thematic areas (education, human rights, personnel hygiene, subcontractors and corporate policy) were only mentioned in 0.05% of the indicators (i.e. each in only 1 of 1916 indicators). The education indicator focused on a requirement for courses, certificates and degrees for workers. The indicator relating to human rights required a self-declaration on good social practice regarding human rights which was signed by the management and the employees' representative(s) and communicated to the employees. The personnel hygiene indicator required compliance with personnel hygiene requirements to be checked regularly.

303 The subcontractor indicator related to the need for subcontractors to be legally allowed to

304 undertake the work that was required of them. That each of these aspects are only mentioned

in a single indicator each suggests that they are not aspects that are considered of huge

importance. Lastly, the corporate policy indicator related to the need for the senior

307 management to draw up a policy which covered customer focus, environmental responsibility,

308 sustainability, ethics and personnel responsibility, and product requirements. This was,

309 essentially, a catch-all indicator which could not easily be designated elsewhere.

310

311 c) How do aquaculture certification schemes assess social sustainability?

As noted in the introduction, social sustainability is notoriously difficult to assess. As such,

313 we seek to understand how the certification schemes have attempted to do this. This section

details the types of 'action' (what are the assessed organisation expected to do to meet the

standard) that are required by the social sustainability related indicators.

A total of 235 actions were identified in our analysis, higher than the number of indicators,

because on several occasions an indicator required more than one action. Moreover, few

indicators were quantitative (in that they required numerical measurements; 10 indicators).

Regarding the type of action that is required by these indicators, we see that compliance with
national law/legal commitments is the largest action (60 indicators; Figure 3). Examples
include:

322 Where required by legislation, the site shall be registered with, or be approved by, the 323 appropriate authority.

324 *All current legal requirements for waste disposal shall be met.*

All relevant legislation regarding notifiable diseases must be understood and adhered
to.

This reveals that in many instances, the requisite actions add little over and above existinglegal requirements.

329



331

332 *Figure 3. Number of indicators by action required.*

The second most common way in which social sustainability indicators are assessed is through the provision of documentation (45 indicators). This may relate to documentation of new procedures that are required (see below), or it may be the documentation of practices which already exist. Examples include:

337 Is there documented evidence indicating regular payment of salaries corresponding to338 the contract clause?

- There shall be a written worker grievance process, made available to all workers,
 that allows for the anonymous reporting of grievances to management without fear of
 retaliation.
- The producer must, through documented evidence, demonstrate that any co-operative
 management schemes between operations in the same loch/area aimed at reducing
 sea lice populations have been entered into.
- The latter example is an interesting one because of its use of the term 'evidence'. A
 requirement for evidence was stated in 22 of the indicators however, on many occasions it
 was not clear what such 'evidence' should look like. For example:
- 348 Evidence of regular consultation and engagement with community representatives349 and organizations

350 *Evidence that workers are free to form organizations, including unions, to advocate* 351 *for and protect their rights*

352 *Evidence of a functioning disciplinary action policy whose aim is to improve the* 353 *worker*

It may be that documentation is the means by which such evidence would be provided.
However, because this was often not stated explicitly in the indicator, the requirement for
evidence was coded as a separate type of action.

- Our results also revealed that the establishment of a procedure or process was also a key action by which to assess social sustainability (22 indicators). In some cases, this involved the reporting of issues, in others it involved activities such as internal audits or on-site inspection. Examples include:
- The applicant shall demonstrate interaction with the local community to avoid or
 resolve conflicts through meetings performed annually or more often, committees,
 correspondence, service projects or other activities.
- 364 Presence and evidence of an effective policy and mechanism for the presentation,
 365 treatment and resolution of complaints by community stakeholders and organizations.
- 366 Have effective corrective actions been taken as a result of nonconformances detected
 367 during the internal self-assessment or internal producer group inspections?
- 368 Regarding some of the less-commonly referred-to actions, the indicators are often quite
- 369 specific i.e. identify a responsible person, undertake a risk assessment, make sure training is
- available, or communicate with stakeholders or relevant organisations.
- 371

4. Discussion

Three key findings have arisen in this study. Firstly, when combined, these aquaculture sustainability schemes can be considered to address *some aspects* of 'social sustainability' as determined by the CSR, TBL and SLO frameworks. Secondly, when we examine the actual focus of relevant indicators, they largely focus on accountability and enforcement more broadly, and workers' rights or environmental sustainability (through the consequences for people/local communities that environmental impacts have) more specifically. As such, can we really state that these standards are considering social sustainability at all? Thirdly, the actions required often add little over and above existing legal requirements. Does this meanthat we don't know how to measure social sustainability, and so therefore we just don't?

Sustainability certifications appear to have become the 'new fashion' when it comes to 382 advancing sustainability, the idea being that certifications provide businesses with an 383 incentive to use more sustainable practices (Bush et al., 2013). However, there is little 384 evidence to prove such suggestions true. In some cases, it has been suggested that such 385 schemes may lead to improved environmental sustainability, for example reducing 386 387 deforestation (Carlson et al., 2018) and aquaculture related emissions (Nhu et al., 2016). 388 Much of the criticism relates to the assumed inherent limitations of site/company-level 389 certification, questioning their capability of addressing externalities beyond individual production sites (Amundsen et al., 2019, Bush et al., 2013). Although improvements in 390 391 environmental sustainability due to certification are often not evident (Gupta and Racherla, 2016, Morgans et al., 2018), there is even less evidence regarding economic and social 392 393 sustainability (although see DeFries et al., 2017 for an example of a weak positive link).

Our results suggest that this may be due to two reasons: i) the very limited aspects of social 394 sustainability that are considered within the certification schemes analysed; and ii) the limited 395 inclusion of indicators which go above and beyond what is already required by national 396 legislation. Colantonio (2009) argued that there is no consensus on the definition of social 397 sustainability because the concept is being approached from diverging study perspectives and 398 399 discipline-specific criteria and that this makes a generalised definition difficult to achieve. In accordance with this view, we find that the social category is too vast, covering highly 400 401 divergent issues related to local community, civil society, and workers' rights. Social 402 sustainability has, in other words, become a residual category for all those intangible matters involving humans. Such a wide-reaching category has proven unfruitful in addressing the 403 404 many challenges of both the aquaculture industry and other sectors, as the generality leaves the issues at hand, as well as the allocation of responsibilities, undetermined. 405

406 Consequently, we argue that there is a need for specification of the many issues grouped 407 together as pertaining to sustainability, and especially social sustainability. It may be that the 408 reference model developed by the SustainFish project (Osmundsen et al., 2020a), which was 409 undertaken as an interdisciplinary project, can start to help address this, at least for seafood 410 certification. This study has further outlined key themes pertaining to the 'social stuff' of the 411 aquaculture industry. Importantly, 'social stuff' as explored here refers to what the industry

understands as social sustainability. Being based on the definitions of CSR, TBL and SLO,

413 this also echoes a more general understanding of what socially relevant issues include.

414 We have identified several focus areas pertaining to social sustainability, which are currently barely addressed in existing schemes; for example, respect for native culture, community 415 contributions, enquiry and learning, equity, and social capital of local communities. The lack 416 of standard criteria that address these topics could be related to the intangible, qualitative 417 nature of social sustainability described above. There are, however, ways in which companies 418 419 can be assessed on these issues. Indicators could include, for example: documentary evidence 420 of native culture considered in site planning and operation, percentage of profit directed to 421 community sponsorship, documentary evidence of opportunities for staff to undertake developmental training, or percentage gender split of those in senior positions. 422

423 Further research is clearly required to establish the most appropriate indicators for each of 424 these sub-domains. Such a project could take its lead from what is already being done by the seafood industry. Companies contribute to what could be considered social sustainability 425 outside of certification schemes. For example, in their sustainability report 2018, Lerøy 426 427 Seafood Group (a seafood production and distribution company based in Norway) provided a section on 'social impact' detailing issues relating to workers' rights, but also to social 428 integration, health, supporting young people's activities, and their contributions to the United 429 Nations Sustainable Development Goals (Lerøy Seafood Group ASA 2018). Aquaculture 430 431 companies, like most private businesses, are aware of the value of having a positive public image, but apply highly diverse strategies in tending to their public image (Osmundsen, 432 Størkersen et al. 2012). Such strategies range from focusing solely on fish production, to 433 434 engaging in entrepreneurship, going into politics locally, local community alliances, and research and development partnerships (Osmundsen et al., 2012, Alexander et al., 2014). 435

436 Through such activities, companies link the provision of socially responsible activities to the sales of their private goods. This means that companies have started to move beyond the role 437 of employer and economic agent, which is already well-addressed by the schemes, towards a 438 role as social agent. Perhaps all is as it should be: if private entities use public resources, 439 440 should they not, in turn, provide public good? Even so, this has implications for the company. They can never again retract to a more comfortable role as mere economic agents. This also 441 has implications for how we understand the world. The inclusion of social indicators in 442 market instruments such as certification schemes could be viewed as a step forward in the 443

project to shape the world in a way which makes it more adequate to the neoliberal model
(Clarke, 2005, Larner, 2003). Or, such private regulatory initiatives embracing domains
traditionally viewed as the responsibility of public regulation might also prove to yield worse
outcomes (Overman and Van Thiel, 2016), spurring a counter pendulum movement back to
the regulatory state safeguarding public interest.

449

450 **5.** Conclusion

451 The social dimension of sustainability is the least developed pillar of sustainability, and the most neglected, when it comes to practical attempts to shape sustainable development. This is 452 453 particularly the case when it comes to the social sustainability of resource-intensive industrial development and may be the reason why we have seen a shift from companies leading the 454 charge to other economic/social agents taking control. However, as we have shown in this 455 study, sustainability certification schemes have not (yet) taken the opportunity to further 456 shape our understanding of what social sustainability means, or how it is practiced, at least 457 regarding aquaculture. With the move to hybrid governance in this sphere, incorporating a 458 stronger role for market instruments such as certification, now is the time for the 'social stuff' 459 to be more fully incorporated into certification schemes. Food production done by, and for, 460 humans in our shared environment is as much of a social challenge as any. Yet achieving 461 sustainability is only feasible through a holistic understanding, and operationalisation, of 462 463 sustainability.

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