

1 **Identification of novel genetic variants associated with cardiorespiratory fitness**

2 Running head: Genetic variants and VO<sub>2max</sub>

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## 27 **Abbreviations**

28	ACTN3:	Alpha-actinin-3
29	ADRB3:	Beta-3 adrenergic receptor
30	APOA1:	Apolipoprotein A1
31	APOER:	Apolipoprotein E receptor 2
32	BAHD1:	Bromo adjacent homology domain containing 1
33	BMI:	Body mass index
34	CRP:	C-reactive protein
35	CVD:	Cardiovascular disease
36	DNA:	Deoxyribonucleic acid
37	EDN1:	Endothelin 1
38	ER $\alpha$ :	Estrogen receptor alpha
39	GWAS:	Genome-wide association studies
40	HDL:	High-density lipoprotein
41	HUNT:	Nord-Trøndelag Health Study
42	IGF2:	Insulin-like growth factor 2
43	KCNQ1:	Potassium voltage-gated channel subfamily Q member 1
44	KCNQ1:	Potassium voltage-gated channel subfamily Q member 1
45	LDL:	Low-density lipoprotein
46	LDLR:	Low density lipoprotein receptor
47	LXR:	Liver X receptor
48	MAF:	Minor allele frequency
49	MET:	Metabolic equivalent
50	MYLIP:	Myosin regulatory light chain interacting protein
51	MYOCD:	Myocardin
52	PROX1:	Prospero homeobox protein 1
53	SNP:	Single-nucleotide polymorphism
54	VIP:	Vasoactive intestinal peptide
55	VIPR2:	Vasoactive intestinal peptide receptor 2
56	VLDLR:	Very low density lipoprotein receptor
57	VO <sub>2max</sub> :	Maximal oxygen uptake
58		

## 59 **Abstract**

60 **Introduction:** Low maximal oxygen uptake ( $VO_{2max}$ ) is a strong and independent risk factor for all-  
61 cause and cardiovascular disease (CVD) mortality. For other CVD risk factors, numerous genetic  
62 association studies have been performed, revealing promising risk markers and new therapeutic  
63 targets. However, large genomic association studies on  $VO_{2max}$  are still lacking, despite the fact that  
64  $VO_{2max}$  has a large genetic component. **Methods:** We performed a genetic association study on  
65 123,545 single-nucleotide polymorphisms (SNPs) and directly measured  $VO_{2max}$  in 3470 individuals  
66 (exploration cohort). Candidate SNPs from the exploration cohort were analyzed in a validation cohort  
67 of 718 individuals, in addition to 7 wild-card SNPs. Sub-analyses were performed for each gender.  
68 Validated SNPs were used to create a genetic score for  $VO_{2max}$ . *In silico* analysis and genotype-  
69 phenotype databases were used to predict physiological function of the SNPs. **Results:** In the  
70 exploration cohort, 41 SNPs were associated with  $VO_{2max}$  ( $p < 5.0 \times 10^{-4}$ ). Six of the candidate SNPs  
71 were associated with  $VO_{2max}$  also in the validation cohort, in addition to three wild-card SNPs ( $p < 0.05$ ,  
72 in men, women or both). The cumulative number of high- $VO_{2max}$  SNPs correlated negatively with  
73 CVD risk factors, e.g. waist-circumference, visceral fat, fat %, cholesterol levels and BMI. *In silico*  
74 analysis indicated that several of the  $VO_{2max}$ -SNPs influence gene expression in adipose tissue, skeletal  
75 muscle and heart. **Conclusion:** We discovered and validated new SNPs associated with  $VO_{2max}$  and  
76 proposed possible links between  $VO_{2max}$  and CVD. Studies combining several large cohorts with  
77 directly measured  $VO_{2max}$  are needed to identify more SNPs associated with this phenotype.

78

## 79 **Introduction**

80 Low aerobic fitness, quantified as maximal oxygen uptake ( $VO_{2max}$ ), is a strong and independent  
81 predictor of all-cause and cardiovascular mortality in healthy individuals and in patients with  
82 cardiovascular disease (CVD).<sup>1-4</sup>  $VO_{2max}$  is determined by a combination of genetic and environmental  
83 factors, and the genetic contribution is suggested to be ~50 %.<sup>5, 6</sup> Identification of genes and genomic  
84 variations associated with  $VO_{2max}$  would lead to a better understanding of this complex trait, and provide  
85 possible links between  $VO_{2max}$  and CVD. Previously, a few genes and genomic loci have been associated  
86 with  $VO_{2max}$ .<sup>7-9</sup> However, most studies are limited in size and employ the conventional hypothesis-driven  
87 approach of searching for pre-specified genomic associations, which limits the discovery of new genetic  
88 loci. Hence, the scientific community call for a large-scale systematic screening of genetic variants  
89 associated with directly measured  $VO_{2max}$  in a large well-characterized population.<sup>10</sup>

90

91 By taking advantage of one of the world's largest database of objectively measured  $VO_{2max}$ , we report  
92 the first large-scale systematic screening for genetic variants associated with  $VO_{2max}$ . Furthermore, we  
93 explore the association between  $VO_{2max}$ -related SNPs and CVD risk factors, and their potential  
94 biological implications by using *in silico* tools and genotype-phenotypes databases.

95

## 96 **Material and Methods**

### 97 **Study participants**

98 The Nord-Trøndelag Health Study (HUNT) is one of the largest health studies ever performed. It  
99 includes a unique database of questionnaire data, clinical measurements and biological samples.  
100 During the past 35 years, 120.000 individuals have contributed throughout four waves of the HUNT  
101 study (HUNT1 in 1984-86, HUNT2 in 1995-97, HUNT3 in 2006-08 and HUNT4 in 2017-19) in  
102 Norway. Participants in the present study attended a sub project during the third wave of HUNT  
103 (*HUNT3 Fitness Study*) designed to directly measure maximal oxygen uptake ( $VO_{2max}$ ) in a healthy  
104 adult population.<sup>11</sup> Exclusion criteria for the *HUNT3 Fitness Study* were present or previous heart  
105 disease, stroke, angina, lung disease (asthma, chronic bronchitis, chronic obstructive pulmonary  
106 disease, and sarcoidosis), cancer, current pregnancy, orthopedic limitations and use of hypertensive  
107 medication. In total, 3470 participants that reached a true  $VO_{2max}$  were selected for genotyping after  
108 excluding first- and second-degree relatives (siblings, parents, children, grandparents, aunts, uncles or  
109 grandchildren). Close relatives were excluded both by using data from Statistics Norway, and by  
110 searching for segmental sharing using PLINK.<sup>12</sup> In the validation cohort, DNA-samples were analyzed  
111 from 718 participants from the *Generation 100 Study*.<sup>13</sup> This cohort includes both men and women,  
112 aged 70-77 years, which reached a true  $VO_{2max}$  using the same criteria as the *HUNT3 Fitness Study*.  
113 All participants were free from heart- or lung-disease, never had cancer, and did not have any other  
114 medical contraindication or orthopedic limitation to exercise. First- and second-degree relatives were  
115 also excluded leaving 718 individuals for genetic association study. This study was approved by the  
116 Regional committee for medical research ethics (4.2008.2792), the Nord-Trøndelag Health Study, the  
117 Norwegian Data Inspectorate, and by the National Directorate of Health. The study was in conformity  
118 with Norwegian laws and the Helsinki declaration, and a signed informed consent was obtained from  
119 all participants.

120 **Clinical measurements**

121 Weight and height were measured on a combined scale (Model DS-102, Arctic Heating AS, Nøtterøy,  
122 Norway), and body mass index (BMI) was calculated as weight divided by height squared ( $\text{kg}/\text{m}^2$ ).  
123 Fat, muscle percentage and visceral fat were obtained using the InBody 720 scale (Biospace, Seoul,  
124 Korea).

125 **Testing maximal oxygen uptake ( $\text{VO}_{2\text{max}}$ )**

126 An individualized protocol was applied to measure  $\text{VO}_{2\text{max}}$ .<sup>14</sup> Each test-subject was familiarized with  
127 treadmill walking during the warm-up of 8–10 minutes, also to ensure safety and avoid handrail grasp  
128 when this was not absolutely necessary. Oxygen uptake kinetics were measured directly by a portable  
129 mixing chamber gas-analyzer (Cortex MetaMax II, Cortex, Leipzig, Germany) with the participants  
130 wearing a tight face mask (Hans Rudolph, Germany) connected to the MetaMax II device. The system  
131 has previously been found reliable and valid in our laboratory. Heart rate was measured by radio  
132 telemetry (Polar S610i, Polar Electro Oy, Kempele, Finland). From the warm-up pace, the load was  
133 regularly increased. When the participants reached an oxygen consumption that was stable over 30  
134 seconds, treadmill inclination (1–2% each step) or velocity (0.5–1 km/h) were increased until the  
135 participants were exhausted. A maximal test was achieved when the respiratory quotient reached  $>1.05$   
136 or when the oxygen uptake did not increase  $>2$  ml/kg/min despite increased workload.  $\text{VO}_{2\text{max}}$  was  
137 measured as liters of oxygen per minute (l/min), and subsequently calculated as  $\text{VO}_{2\text{max}}$  relative to  
138 body mass (ml/kg/min) and  $\text{VO}_{2\text{max}}$  scaled ( $\text{ml}/\text{kg}^{0.75}/\text{min}$ ).

139 **Questionnaire-based information**

140 Physical activity is likely to be the most important behavioral factor influencing  $\text{VO}_{2\text{max}}$ , and is  
141 therefore an important confounder to adjust for when we need to isolate the genetic contribution to the  
142 phenotype. Physical activity was registered based on the responses to a self-administered  
143 questionnaire.<sup>15</sup> The questionnaires included three questions and each participant's response to the  
144 questions (i.e. numbers in brackets) were multiplied to calculate a physical activity index score:

145 *Question 1*: “How frequently do you exercise?”, with the response options “Never” (0), “Less than  
146 once a week” (0), “Once a week” (1), “2-3 times per week” (2.5) and “Almost every day” (5).  
147 *Question 2*: “If you exercise as frequently as once or more times a week: How hard do you push  
148 yourself?” with the response options: “I take it easy without breaking a sweat or losing my breath” (1),  
149 “I push myself so hard that I lose my breath and break into sweat” (2) and “I push myself to near  
150 exhaustion” (3). *Question 3*: “How long does each session last?”, with the response options: “Less than  
151 15 minutes” (0.1), “16-30 minutes” (0.38), “30 minutes to 1 hour” (0.75) and “More than 1 hour”  
152 (1.0). As the second and third question only addressed people who exercised at least once a week, both  
153 “Never” and “Less than once a week” yielded an index score of zero. Participants with a zero score  
154 were categorized as inactive, 0.05-1.5 as low activity, 1.51-3.75 as medium activity, and 3.76-15.0 as  
155 high activity.

#### 156 **Blood analysis**

157 Standard biochemical analysis were performed on fresh venous non-fasting blood samples at Levanger  
158 Hospital, Norway. Non-fasting glucose was analyzed by hexokinase/G-G-PDH methodology reagent  
159 kit 3L82-20/3L82-40 Glucose (Abbott Diagnostics, Illinois, US), high-density lipoprotein (HDL)  
160 cholesterol by the Accelerator selective detergent methodology reagent kit 3K33-20 Ultra HDL  
161 (Abbott Diagnostics), total cholesterol by enzymatic cholesterol esterase methodology reagent kit  
162 7D62-20 Cholesterol (Abbott Diagnostics), triglycerides by Glycerol Phosphate Oxidase methodology  
163 reagent kit 7D74 Triglyceride (Abbott Diagnostics) and C-reactive protein (CRP) by the Areoset CRP  
164 Vario kit (Abbott Diagnostics). Triglycerides and CRP were measured in only 80 % of the HUNT  
165 population. Low-density lipoprotein (LDL) cholesterol was calculated based on information on total  
166 cholesterol, HDL-cholesterol and triglycerides.

#### 167 **Genotyping of exploration cohort**

168 DNA was extracted from blood samples stored in the *HUNT* biobank as described elsewhere.<sup>16</sup> DNA  
169 samples were analyzed by the custom-made Cardio-MetaboChip including approximately 210.000

170 SNPs (Illumina, CA, US). The annotation on the chip is based on Genome build 36.3. The Cardio-  
171 Metabochip was designed by representatives of the following genome-wide association studies  
172 (GWAS) meta-analysis consortia: CARDIoGRAM (coronary artery disease), DIAGRAM (type 2  
173 diabetes), GIANT (height and weight), MAGIC (glycemic traits), Lipids (lipids), ICBP-GWAS (blood  
174 pressure), and QT-IGC (QT interval). The candidate SNPs were selected according to five sets of  
175 criteria: (I) individual SNPs displaying evidence for association in GWAS meta-analysis to diseases  
176 and traits relevant to metabolic and atherosclerotic-cardiovascular endpoints, (II) detailed fine mapping  
177 of loci validated at genome-wide significance from these meta-analyses, (III) all SNPs associated at  
178 genome-wide significance with any human trait, (IV) "wildcards" selected by each Consortium for  
179 Consortium-specific purposes, and (V) other useful content, including SNPs that tag common copy  
180 number polymorphisms, SNPs in the human leukocyte antigen region, SNPs marking the X and Y  
181 chromosomes and mitochondrial DNA, and for sample fingerprinting.

182 The study was designed as a quantitative trait approach with  $VO_{2max}$  as a continuous variable, as this  
183 provides the best statistical power. The genotyping raw data was subjected to systematic quality  
184 control using the statistical software PLINK.<sup>12</sup> Individuals with low genotype call rate (less than 90 %)   
185 were excluded. SNPs with a genotype call rate less than 95 % or a minor allele frequency less than 1 %  
186 were also excluded. Furthermore, SNPs that clearly deviate from the expected Hardy-Weinberg  
187 Equilibrium were excluded ( $p < 10^{-7}$ ). Individuals who showed gender discrepancies based on the  
188 heterozygosity rate from chromosome X were also excluded.

### 189 **Genotyping of validation cohort**

190 Candidate SNPs from the exploration cohort, as well as a 7 wild-card SNPs not included on the  
191 Cardio-Metabo chip, were genotyped using the Agena Biosciences MassARRAY<sup>®</sup> platform (formerly  
192 Sequenom). SNP multiplexes were designed using Assay Design Suite v.1.0 software (Agena  
193 Bioscience, San Diego, CA, US). Genotyping was performed according to the manufacturer's protocol  
194 using IPLEX Gold assay (Agena Bioscience, San Diego, CA, US) and analyzed using the



195 MassARRAY Analyzer 4 platform. Mass signals for the different alleles were captured with high  
196 accuracy by matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-  
197 TOF MS). Genotype clustering and individual sample genotype calls were generated using Sequenom  
198 TyperAnalyzer v.4.0 software (Agena Bioscience, CA, US).

### 199 ***In silico* analysis of transcription starting sites**

200 To determine if candidate SNPs were located in transcription factors binding sites, we performed *in*  
201 *silico* analysis of predicted transcription factor binding sites using the software PROMO.<sup>17</sup>

### 202 **Genotype-Tissue Expression (GTEx) database**

203 To explore the relationship between SNPs and gene expression in different human tissues/organs, we  
204 used the GTEx database. The database includes ~900 post-mortem donors and opens the possibility for  
205 studying the effects of genetic variation in multiple human reference tissues.<sup>18</sup>

### 206 **BXD mouse database**

207 The BXD database is an open-access web service for systems genetics ([www.genenetwork.org](http://www.genenetwork.org)) to  
208 explore the genetic control of multiple phenotypes.<sup>19</sup> The database includes more than 2000  
209 phenotypes across a large panel of isogenic but diverse strains of mice (BXD type) Among other  
210 phenotypes, the database contains phenotypes such as heart rate and oxygen consumption and blood  
211 parameters, such as hematocrit and iron levels, highly relevant for exploring the functional importance  
212 of VO<sub>2max</sub>-related genes. We tested potential correlations between expression levels of candidate  
213 VO<sub>2max</sub>-related genes and relevant phenotypes in mouse on both chow diet and high fat diet,  
214 independently.

### 215 **Statistical analyses**

216 The association between the final 123.545 variants and VO<sub>2max</sub> were analyzed by linear regression  
217 using PLINK. The main covariates for the VO<sub>2max</sub> phenotype were gender, age (years) and physical  
218 activity level (Kurtze score). The cut-off for significance were set to ( $p < 5.0 * 10^{-4}$ ) in the exploration

219 cohort, as findings reaching the traditional genome-wide significance were considered unlikely due to  
220 the low number of available cases and that  $VO_{2max}$  is a complex trait. To overcome the issue of using a  
221 moderately stringent p-value, validation of the findings in a separate cohort was necessary. In the  
222 validation cohort, associations between  $VO_{2max}$  and candidate SNPs was tested using the same  
223 statistical analyses as in the exploration cohort. Nominal p-value was considered significant ( $p < 0.05$ ).  
224 A genetic score was created using a combination of 9 SNPs associated with  $VO_{2max}$ . Each participant  
225 was scored according to the sum of high  $VO_{2max}$  genotypes carried. The differences in  $VO_{2max}$  between  
226 participants with increasing numbers of favorable genotypes were calculated by one-way ANOVA  
227 using the LSD post hoc test.

228

229

## 230 **Results**

231 Characteristics of the participants in the exploration cohort (*HUNT3 Fitness Study*) and the validation  
232 cohort (*Generation 100 Study*) are shown in **Table 1**.

233

234 After filtration of genotyping data, 123,545 SNPs were tested for their association with  $VO_{2max}$ . 41  
235 SNPs were significantly associated with  $VO_{2max}$  in the exploration cohort after adjusting for age,  
236 gender and physical activity level ( $p < 5.0 \times 10^{-4}$ ). Relevant locus zoom plots can be found in  
237 Supplementary Figure 1. The candidate SNPs were subsequently genotyped in a validation cohort, in  
238 addition to 7 wild-card SNPs not included on the chip used for the exploration cohort. The association  
239 between  $VO_{2max}$  and six novel SNPs were replicated in the validation cohort ( $p < 0.05$ , **Table 2**). The  
240 SNP in the promoter region of the Myosin Regulatory Light Chain Interacting Protein (MYLIP)  
241 (rs3757354) did not pass the significance threshold in the validation cohort, however sub analyses for  
242 each gender showed a highly significant association in women, and the SNP was therefore included in  
243 Table 2. Three of the 7 wild-card SNPs in the genes beta-3 adrenergic receptor (*ADRB3*), alpha-  
244 actinin-3 (*ACTN3*) and endothelin 1 (*EDNI*) were associated with  $VO_{2max}$  in men, women or both  
245 genders ( $p < 0.05$ , **Table 2**). Candidate SNPs that failed to be replicated in the validation cohort can be  
246 found in Supplementary Table 1.

247

248 Considering that  $VO_{2max}$  is a complex trait influenced by multiple genetic factors,<sup>20</sup> we assessed  
249 whether a cumulative effect existed between the number of favorable genotypes and  $VO_{2max}$ . By using  
250 a combination of the 9 SNPs from **Table 2**, and scoring the high  $VO_{2max}$ -associated genotypes 1 and  
251 low  $VO_{2max}$  genotypes 0, we calculated a genetic score for each participant estimating inborn  $VO_{2max}$ .  
252 In the validation cohort, the variations in  $VO_{2max}$  ranged from 63 ml/kg<sup>0.75</sup>/min to 98 ml/kg<sup>0.75</sup>/min, for  
253 participants scoring 1 or 7, respectively (**Figure 1A**). This corresponded to unscaled  $VO_{2max}$ -values  
254 ranging from 22.3 ml/kg/min to 32.7 ml/kg/min, for participants scoring 1 or 7, respectively. To

255 illustrate that the power of this allele combination was independent of physical activity levels, we split  
256 the participants into two subgroups, participants below (inactive) and above (active) the median  
257 physical activity level. Interestingly, the proposed score appears to be robust even with the reduced  
258 sample power of this sub-analysis (**Figure 1B**).

259

260 Using the same SNPs as basis, we also found a cumulative effect on the number of favorable SNPs and  
261 the decline in several risk factors associated with CVD, e.g. waist circumference, visceral fat, fat %,  
262 cholesterol and BMI (**Figure 2**). In addition, among the participants with 1-4 favorable SNPs, 36 %  
263 were on treatment for hypertension, compared to 23 % in those with more than 4 favorable SNPs  
264 ( $p < 0.05$ ). Among participants reporting little or no physical activity (Kurtze score  $< 3.75$ ,  $n = 235$ ) those  
265 with 1-4 favorable SNPs had higher fat percentage (+ 2 %), visceral fat (+9 %), total cholesterol (+ 5  
266 %) and LDL-cholesterol (+ 6 %) compared those with a more than 4 favorable SNPs ( $p < 0.05$ ).

267

268 To explore and predict physiological consequences of the  $VO_{2max}$ -SNPs, we used *in silico* tools and  
269 genotype-phenotype databases. The non-synonymous SNP rs3803357, located in the first exon of the  
270 Bromo adjacent homology domain containing 1 (*BAHDI*) gene, cause a shift from the amino acid  
271 glycine to lysine. The group of participants homozygote for the rs3803357 minor allele (TT) (24 %)   
272 had a  $3 \text{ ml/kg}^{0.75}/\text{min}$  lower  $VO_{2max}$  than the group carrying the heterozygote allele (GT) (50 %) or the  
273 common allele homozygotes (GG) 26 % (**Figure 3A**). In the validation cohort, the group of  
274 participant's homozygote for the rs3803357 minor allele (TT) (24 %) had 4 % and 7 % lower  $VO_{2max}$   
275 compared to those harboring the (GT) and (GG) variants, respectively (**Figure 3B**). SNPs located  
276 outside the promoter region or within introns and exons may influence transcription of proximal genes.  
277 Using the Genotype-Tissue Expression (GTEx) database, rs3803357 was found to be associated with  
278 differential expression *BAHDI* in the left ventricle ( $p = 9.0e-9$ ) (**Figure 3C**). By using the BXD mice  
279 population, we found significant negative correlations between cardiac expression of *Bahd1* and basal  
280  $VO_2$  (in an untrained state), as well as with myocardial mass (**Figure 3D**).

281

282 Another SNP that was found to be associated with  $VO_{2max}$  in women, rs3757354, was located within  
283 the 2-kb upstream region of *MYLIP*. Women homozygote for the rs3757354 common allele (GG) (56  
284 %) had a 3 ml/kg<sup>0.75</sup>/min higher  $VO_{2max}$  than the group carrying the heterozygote allele (AG) (37 %) or  
285 the minor allele homozygotes (AA) (7 %) (**Figure 4A**). To determine if rs3757354 could interfere with  
286 transcription factor binding, we performed *in silico* analysis to discover possible transcription factor  
287 binding sites. The analysis predicted that having the A allele at rs3757354 creates a perfect binding site  
288 for the estrogen receptor alpha (ER- $\alpha$ ) targeting the sequence TGACC, whereas having the G allele at  
289 rs3757354 is likely to disable the binding of ER- $\alpha$ , potentially reducing estrogen-induced expression  
290 of *MYLIP* (**Figure 4B**). Using the GTEx database, we found that rs3757354 was associated with  
291 differential expression of *MYLIP* in the adipose tissue, skeletal muscle and the heart ( $p < 0.05$ ). By  
292 using the BXD mice population, we found significant negative correlations between cardiac expression  
293 of *MyIip* and heart mass (**Figure 4C**). Participants harboring the high- $VO_{2max}$  genotype (GG) had  
294 significantly lower waist, BMI, visceral fat, fat percentage, CRP-levels, as well as significantly higher  
295 HDL-cholesterol as compared to the low- $VO_{2max}$  genotypes (AA) and (AG) (**Figure 4D**). Furthermore,  
296 among those with the low- $VO_{2max}$  genotypes (AA) and (AG) significantly more of the participants  
297 were on treatment for hypercholesterolemia (10 %) compared to those with the high- $VO_{2max}$  genotype  
298 (GG) (3 %) (**Figure 4E**).

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## 305 **Discussion**

306 Here we report the first large-scale screening for genetic variants associated with maximal oxygen  
307 uptake ( $VO_{2max}$ ). So far, the lack of large studies directly measuring  $VO_{2max}$  has limited the  
308 possibilities for large genetic association studies for this phenotype. In this present study, we validated  
309 6 new SNPs associated with  $VO_{2max}$ , and replicate associations with 3 SNPs previously associated with  
310 fitness-related traits.<sup>10, 21, 22</sup> Based on these nine SNPs we proposed a genetic score for each participant  
311 reflecting inborn  $VO_{2max}$ . The mean difference in  $VO_{2max}$  between those with 1 favorable SNP  
312 compared to those with 7 favorable SNPs was 10.4 ml/kg/min, which is equal to a difference in 3  
313 METs (as 1 MET $\approx$ 3.5 ml/kg/min). In other prospective studies, it has been suggested that a decrease of  
314 1 MET (3.5 mL $\cdot$ kg<sup>-1</sup> $\cdot$ min<sup>-1</sup>) is associated with increased risk of diabetes, hypertension and the  
315 metabolic syndrome,<sup>23-25</sup> whereas a corresponding increase has been associated with lower risk of all-  
316 cause and CVD mortality.<sup>2, 26</sup> Interestingly, the number of favorable  $VO_{2max}$ -SNPs carried correlated  
317 negatively with several CVD risk factors, like waist circumference, BMI, visceral fat, fat percentage,  
318 total cholesterol and LDL-cholesterol. Furthermore, among the participants with 1-4 favorable SNPs,  
319 significantly more participants were on treatment for hypertension, compared to those with 5 or more  
320 SNPs ( $p<0.05$ ). Furthermore, sedentary participants with 1-4 favorable SNPs had higher fat  
321 percentage, more visceral fat, higher total cholesterol and higher LDL-cholesterol compared those with  
322 5 or more favorable SNPs. This indicated that inborn high  $VO_{2max}$  is associated with decreased CVD  
323 risk.

324

325 Since  $VO_{2max}$  is a strong predictor of cardiovascular health,<sup>2, 3, 24, 27</sup> SNPs associated with  $VO_{2max}$  may  
326 provide physiological explanation for the link between  $VO_{2max}$  and CVD. In this present study, a  
327 significant association was found between  $VO_{2max}$  and a missense mutation in the exon of *BAHD1*  
328 (rs3803357), which involves transcription of different amino acids depending on genotype. Potentially  
329 this SNP may influence BAHD1 protein function, or alter transcription levels of BAHD1 or other

330 proximal genes. According to data from the GTEx database, rs3803357 is associated with differences  
331 in BAHD1 gene expression in adipose tissue, skeletal muscle and left ventricle, but also with  
332 differential expression of proximal genes in different tissues. Furthermore, the BXD mouse database  
333 indicates that cardiac *Bahd1* levels correlates with basal VO<sub>2</sub> and heart mass in mice. A previous study  
334 has shown that BAHD1 act as a transcription repressor that, among other things, is involved in  
335 epigenetic repression of different cardiac growth factors.<sup>28</sup> BAHD1 is known to repress insulin growth  
336 factor 2 (*IGF2*) expression by binding to its promoter and recruiting heterochromatin proteins<sup>28</sup>.  
337 Interestingly, we have previously shown that *Igf2* is one of the most significantly upregulated genes in  
338 the left ventricle of rats with inherited high VO<sub>2max</sub>.<sup>29</sup> In addition to the links to cardiac phenotype, we  
339 also found trends toward lower fat percentage and total cholesterol levels in participants with the high-  
340 VO<sub>2max</sub> genotypes. Further studies are needed to explore the links between these genomic loci and  
341 VO<sub>2max</sub>.

342

343 Another interesting SNP found to be associated with VO<sub>2max</sub> was located in an intron of the vasoactive  
344 intestinal peptide receptor 2 (*VIPR2*). *VIPR2* encodes a neuropeptide receptor that is expressed in the  
345 heart and the coronary arteries.<sup>30</sup> In the heart, *VIPR2* regulates cardiomyocyte contractility in response  
346 to binding of vasoactive intestinal peptide (VIP).<sup>31</sup> The release of VIP also increases coronary artery  
347 vasodilatation.<sup>30</sup> Interestingly, several studies have shown that physical activity induces the release of  
348 VIP, hence *VIPR2* is likely to be important for cardiovascular adaptations during exercise.<sup>32, 33</sup>  
349 Furthermore, in rats and humans with cardiomyopathy, the levels of *VIPR2* are reduced both in heart  
350 and serum, suggesting also a link between *VIPR2* and CVD.<sup>30, 34</sup>

351

352 One of the validated SNPs (rs3757354) was located in the promoter region of *MYLIP*, potentially  
353 interfering with transcription factor binding sites. SNPs in promoter regions may cause loss of  
354 transcription factor binding sites or formation of a novel binding sites, which may influence how the  
355 gene is transcribed upon different stimuli.<sup>35</sup> The SNP in the promoter region of *MYLIP* was

356 significantly associated with  $VO_{2max}$  in women in the validation cohort, but not in men, indicating that  
357 this genotype influence  $VO_{2max}$  in a gender-specific manner. Interestingly, *in silico* analysis using the  
358 software PROMO indicated that rs3757354 is located in the transcription factor binding site of the  
359 estrogen receptor alpha (ER- $\alpha$ ). In fact, having the G allele in that locus is predicted to disable the  
360 binding of ER- $\alpha$ , thus abolishing estrogen-induced expression of *MYLIP*. For participants carrying the  
361 high  $VO_{2max}$  genotype GG at this locus, the *in silico* analysis predicted that ER- $\alpha$  is unable to bind and  
362 induce expression of *MYLIP*. In contrast, participants with the low  $VO_{2max}$  genotype AA are predicted  
363 to harbor intact binding sites for ER- $\alpha$  targeting the sequence TGACC. As ER- $\alpha$  is activated by  
364 estrogen, this may explain why this SNP is only important for  $VO_{2max}$  in women. This was further  
365 supported by evidence from the GTEx-database, showing that rs3757354 was associated with  
366 differential expression of *MYLIP* in the adipose tissue, skeletal muscle and the heart ( $p < 0.05$ ).  
367 Interestingly, using the BXD mouse database, we found significant negative correlations between  
368 cardiac expression of *Mylip* and myocardial mass. Furthermore, a previous transcriptome  
369 characterization of estrogen-treated human myocardium identified *MYLIP* as a sex-specific element  
370 influencing contractile function, more specifically showing a negative correlation between cardiac  
371 expression of *Mylip* and contractile function.<sup>36</sup> In line with our data, several other studies have  
372 reported gender-specific associations with *MYLIP* genotypes.<sup>36, 37</sup> For instance, Yan *et al* report that G  
373 allele-carrying women from the *Bai Ku Yao population* had higher levels of HDL-cholesterol than the  
374 non-carriers. Furthermore, G allele-carrying women from the *Han population* had decreased levels of  
375 total cholesterol and apolipoprotein A1 (ApoA1) compared to non-carriers. None of these associations  
376 were seen in men.<sup>37</sup> In our study, rs3757354 was also found to be significantly associated with HDL-  
377 cholesterol, and several other CVD risk factors like waist circumference, BMI, visceral fat, fat  
378 percentage and high-sensitivity CRP-levels. Furthermore, G-allele homozygotes were less likely to be  
379 on cholesterol treatment, suggesting that these women are less prone to hypercholesterolemia. Studies  
380 in mice show that increased liver expression of *Mylip* promotes degradation of the LDL-receptors  
381 (LDLR) and thereby circulating LDL-cholesterol.<sup>38</sup> Induction of *MYLIP* expression by the liver X



382 receptors (LXRs) transcription factors is important for cholesterol homeostasis.<sup>38</sup> Upon stimulation by  
383 LXRs or LXR agonists, MYLIP degrades the LDLR, apolipoprotein E receptor 2 (ApoER2) and the  
384 very low-density lipoprotein receptor (VLDLR) thereby raising circulating LDL-cholesterol.  
385 Furthermore, cells lacking *Mylip* exhibit markedly elevated levels of LDLR and increased rates of  
386 LDL-uptake.<sup>39</sup> Overall, the literature provides compelling evidence suggesting important physiological  
387 consequences of *MYLIP* genetic variation. Based on the gender-specific associations of rs3757354, and  
388 the previous reported associations with longevity and CVD,<sup>37, 40, 41</sup> these findings may shed new light  
389 on the gender-differences in CVD and the influence of sex-specific hormones.<sup>42</sup> Furthermore, the  
390 location of rs3757354 in a potential transcription factor binding site that is under the control of  
391 estrogen encourages this hypothesis.

392

393 The potassium voltage-gated channel, KQT-like subfamily, member 1 (KCNQ1) is a well-  
394 characterized gene involved in potassium handling in cardiomyocytes. The rs2074238 located in an  
395 intron of *KCNQ1* was associated with  $VO_{2max}$  both in the exploration and validation cohort. In  
396 previous meta-analysis, the minor allele T of this SNP has been associated with a shortening of the QT  
397 interval, a measure of myocardial repolarization time.<sup>43</sup> Prolongation of the QT interval duration, is a  
398 risk factor for drug-induced arrhythmias and sudden cardiac death.<sup>44</sup> Other studies have also reported  
399 that this particular SNP affects QT interval in healthy Europeans.<sup>45, 46</sup> In our study, participants  
400 harboring the genotype previously associated with prolonged QT interval, had a significantly higher  
401  $VO_{2max}$ , compared to the other genotypes. This may shed new information on the U-shaped association  
402 between risk of arrhythmias and  $VO_{2max}$ .<sup>47</sup> However, only mechanistic studies will be able to identify  
403 the true functional consequences of rs2074238.

404

405 Due to large differences in human physiology between men and women, and that gender is a major  
406 determinant of  $VO_{2max}$ ,<sup>48</sup> it is likely that some genetic variants have more effect in one gender  
407 compared to the other. In our study, the lack of similar dependency among men and women for several

408 of the reported SNPs indicates that they may affect  $VO_{2max}$  in a gender-specific manner. A previous  
409 study suggests that androgenic hormones are likely to make a significant contribution to  $VO_{2max}$  in  
410 men, hence, the relative effect of the  $VO_{2max}$ -related SNPs may be lower in men than in women.<sup>49</sup>  
411 Since our approach only covers a part of the genome, we do not have sufficient evidence to fully  
412 evaluate the genetic contribution to  $VO_{2max}$  in men compared to women. As DNA-sequencing  
413 technology becomes more accessible, future studies will hopefully be able to explain gender  
414 differences with greater confidence.

415

#### 416 **Limitations**

417 There are some limitations related to this study not discussed previously. First, the age distribution of  
418 the validation cohort is different from the exploration cohort, hence, we may fail to validate some of  
419 the SNPs from the exploration cohort due to their importance in different stages of life. Next, as this  
420 study only includes individuals with Caucasian decent, the results are not necessary valid for other  
421 ethnicities, and would have to be validated in other cohorts. Furthermore, estimation of physical  
422 activity level is an important source of bias, as this parameter is included as a covariate in the genetic  
423 association analyses. Nevertheless, as regular physical activity has large influence on  $VO_{2max}$ , this was  
424 considered a necessary covariate despite the use of self-reported data.

425

426 **Conclusion**

427 This is the first large genetic association study on directly measured  $VO_{2max}$ . We discovered and  
428 validated new genetic loci associated with  $VO_{2max}$  and explored their physiological importance using  
429 genotype-phenotype databases and *in silico* tools. We proposed a genetic signature of inborn  $VO_{2max}$   
430 consisting of 9 SNPs that could distinguish high vs. low fitness individuals based on simultaneous  
431 carriage of multiple favorable alleles. Interestingly, the number of favorable SNPs correlated  
432 negatively with the presence of several CVD risk factors. Future studies combining several large  
433 cohorts with directly measured  $VO_{2max}$  are needed to identify more SNPs associated with this complex  
434 phenotype.

435

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452 manipulation.

453

454 *The authors have declared that no conflict of interest exists.*

455

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- 585

586

## 587 **Figure captions**

588 **Figure 1: The distribution of SNPs favoring high VO<sub>2max</sub> in participants from the validation cohort.** **A;** Mean VO<sub>2max</sub>  
589 among participants in the validation cohort grouped according to number of favorable VO<sub>2max</sub>-SNPs. **B;** Stratified analysis  
590 of inactive and active participants in the exploration cohort (based on a Kurtze-score, lower or higher than the median of  
591 the cohort). Group differences are calculated using one-way ANOVA with post hoc tests. Data are shown as mean and  
592 SEM. The number of individuals in each category is displayed inside the columns. SNPs: Single nucleotide  
593 polymorphisms, VO<sub>2max</sub>: Maximal oxygen uptake, SEM: Standard error of mean.

594

595 **Figure 2: The number of favorable SNPs in each participant associated with cardiovascular risk factors in the**  
596 **validation cohort.** Data are shown as mean and SEM. SNPs: Single nucleotide polymorphisms, SEM: Standard error of  
597 mean.

598

599 **Figure 3: *BAHD1* missense mutation at rs3803357.** **A:** Distribution of VO<sub>2max</sub> according to rs3803357 genotype in the  
600 *HUNT* cohort (n=2944 participants, 1445 men and 1500 women) displayed as mean and SE, \*p<0.0005 between genotype  
601 TT and the two other genotypes, **B:** Distribution of VO<sub>2max</sub> according to rs3803357 in the *Generation 100* cohort (n=718  
602 participants, 328 men and 390 women) displayed as mean and SE, \* p<0.005 between all three genotypes, **C:** Impact on  
603 rs3803357 on left ventricle gene expression in humans retrieved from the GTEx database (Homo ref=GG, Het=GT, Homo  
604 Alt=TT), **D:** Correlations between cardiac expression of *BAHD1* in BDX mice strains and basal VO<sub>2</sub> (in an untrained state)  
605 and heart mass. *BAHD1*: Bromo adjacent homology domain containing 1, SNP: Single nucleotide polymorphism, VO<sub>2max</sub>:  
606 Maximal oxygen uptake, SE: Standard error.

607

608 **Figure 4: *MYLIP* upstream variant at rs3757354.** **A:** Distribution of VO<sub>2max</sub> among women according to rs3803357  
609 genotype in the *Generation 100* cohort (n= 390) displayed as mean and SE, without adjustments for age and physical  
610 activity level (p<0.002), **B:** DNA sequence showing the A allele at rs3757354, which creates a perfect binding site for the  
611 estrogen receptor alpha (ER- $\alpha$ ), **C:** Correlations between cardiac expression of *MYLIP* in BDX mice strains and heart  
612 mass. **D:** Waist circumference (cm), BMI, visceral fat (cm<sup>2</sup>), fat percentage, HDL-cholesterol (mmol/l) and high-sensitivity  
613 CRP (mg/l) among women according to rs3757354 in the *Generation 100* cohort (n= 390) displayed as mean and SE, **E:**  
614 Percentage of women on cholesterol-lowering drugs according to rs3757354 in the *Generation 100* cohort (n= 390)



615 displayed as mean and SE. MYLIP: Myosin Regulatory Light Chain Interacting Protein,  $VO_{2max}$ : Maximal oxygen uptake,  
616 BMI: Body mass index, HDL: High-density lipoprotein, CRP: C-reactive protein.



**Table 1.** Participant characteristics

	<i>HUNT3 Fitness Exploration cohort</i>	<i>Generation 100 Validation cohort</i>
<b>N</b>	3470	718
<b>Females, No. (%)</b>	1563 (45 %)	390 (54.3 %)
<b>Males, No. (%)</b>	1907 (55 %)	328 (45.7 %)
<b>Age, years</b>	47 (19-84)	73 (70-77)
<b>Height, cm</b>	173 (148-200)	171 (147-195)
<b>Weight, kg</b>	78 (39-135)	75 (42-132)
<b>Body mass index, kg/m<sup>2</sup></b>	26 (17-44)	25 (17.5-42)
<b>Waist circumference, cm</b>	90 (57-134)	93 (68-129)
<b>Total body fat (%)</b>	-	29.1 (10.5-50.1)
<b>Visceral fat (cm<sup>2</sup>)</b>	-	111 (26-270)
<b>Systolic blood pressure, mmHg</b>	126 (79-190)	135 (90-203)
<b>Diastolic blood pressure, mmHg</b>	72 (36-117)	74 (47-104)
<b>Diabetes, No. (%)</b>	48 (1.4 %)	17 (2.4 %)
<b>Smoking status, No. (%)</b>		
Never	1800 (51.9 %)	359 (50 %)
Current	458 (13.2 %)	51 (7.1 %)
Former	1173 (33.8 %)	269 (37.5 %)
Unknown	39 (1.1 %)	39 (5.4 %)
<b>Biochemical data</b>		
Total cholesterol, mmol/l	5.4 (2.3-10.0)	5.6 (2.6-9.9)
LDL cholesterol, mmol/l	-	3.4 (0.95-5.98)
HDL cholesterol, mmol/l	1.4 (0.5-3.4)	1.7 (0.6-4.5)
Triglycerides, mmol/l	1.8 (0.2-7.5)	1.1 (0.34-3.9)
Glucose, mmol/l	5.4 (2.6-12.4)	5.6 (3.1-10.5)
High-sensitivity CRP, mg/l	-	2.0 (0.1-36.6)
<b>Treadmill data</b>		
VO <sub>2max</sub> , ml/kg <sup>0.75</sup> /min	123 (56-222)	94 (42-161)
Resting heart rate, beats/min	59 (34-120)	64 (40-101)
Peak heart rate, beats/min	181 (107-231)	159 (96-203)
<b>Physical activity level, No. (%)</b>		
Inactive	421 (12.1 %)	52 (7.2 %)
Low	761 (21.9 %)	110 (15.3 %)
Medium	1274 (36.7 %)	350 (48.8 %)
High	991 (28.6 %)	193 (26.9 %)
Unknown	23 (0.7 %)	13 (1.8 %)

Data is shown as mean (min-max) or as mean (percentage of the participants). LDL: Low-density lipoprotein, HDL: High-density lipoprotein, CRP: C-reactive protein, VO<sub>2max</sub>: Maximal oxygen uptake.

**Table 2:** SNPs associated with VO<sub>2max</sub> both in the exploration cohort (HUNT) and in the validation cohort (Generation 100)

						Exploration cohort				Validation cohort					
SNP	Chr.	Proximal gene	SNP location	High VO <sub>2max</sub> genotype	Minor allele	MAF	BETA	STAT	p-value	MAF	BETA	STAT	p-value	p-value	p-value
										All	All	All	All	Women	Men
rs10494973	1	<i>PROX1</i>	Intron	GG	C	0.03	-1.01	-1.97	2.6 *10 <sup>-4</sup>	0.03	-1.91	-1.97	0.04	NS	NS
rs5370	6	<i>EDN1</i>	Missense	TT/TG	T					0.21	0.77	1.90	NS	0.001	NS
rs3757354	6	<i>MYLIP</i>	Upstream 2KB	GG	A	0.23	-0.74	-1.33	2.8*10 <sup>-4</sup>	0.25	-0.13	-0.33	NS	0.001	NS
rs6950857	7	<i>VIPR2</i>	Intron	GG	A	0.03	-4.68	-3.48	7.2*10 <sup>-5</sup>	0.04	-1.47	-1.61	0.002	NS	NS
rs4994	8	<i>ADRB3</i>	Missense	CC/CT	C					0.07	1.32	1.97	0.04	NS	0.005
rs1815739	11	<i>ACTN3</i>	NC transcript	CC/CT	C					0.45	-0.73	-2.14	0.03	NS	NS
rs2074238	11	<i>KCNQ1</i>	Intron	TT	T	0.07	1.75	1.938	2.0*10 <sup>-4</sup>	0.07	1.51	2.35	0.02	NS	0.03
rs3803357	15	<i>BAHD1</i>	Missense	GG/GT	T	0.48	-1.53	-3.30	1.7*10 <sup>-5</sup>	0.49	-0.90	-2.61	0.002	NS	0.03
rs16946588	17	<i>MYOCD</i>	Intron	AA	G	0.09	-1.55	-1.90	4.8*10 <sup>-5</sup>	0.09	-0.43	-0.71	0.04	NS	NS

SNPs: Single-nucleotide polymorphisms, VO<sub>2max</sub>: Maximal oxygen uptake, Chr.: Chromosome, MAF: Minor allele frequency, PROX1: Prospero homeobox protein 1, EDN1: Endothelin 1, MYLIP: Myosin regulatory light chain interacting protein, VIPR2: Vasoactive intestinal peptide receptor 2, ADRB3: beta-3 adrenergic receptor, ACTN3: Alpha-actinin-3, KCNQ1: potassium voltage-gated channel subfamily Q member 1, BAHD1: Bromo adjacent homology domain containing 1, MYOCD: myocardin, NS: Not significant

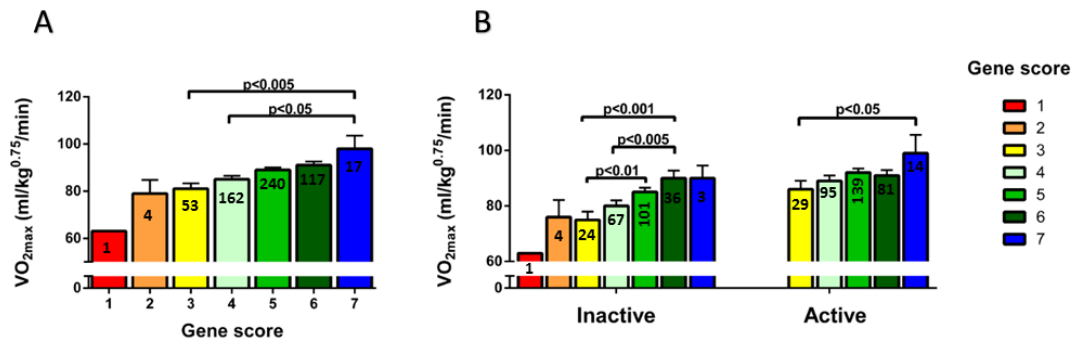


Figure 1

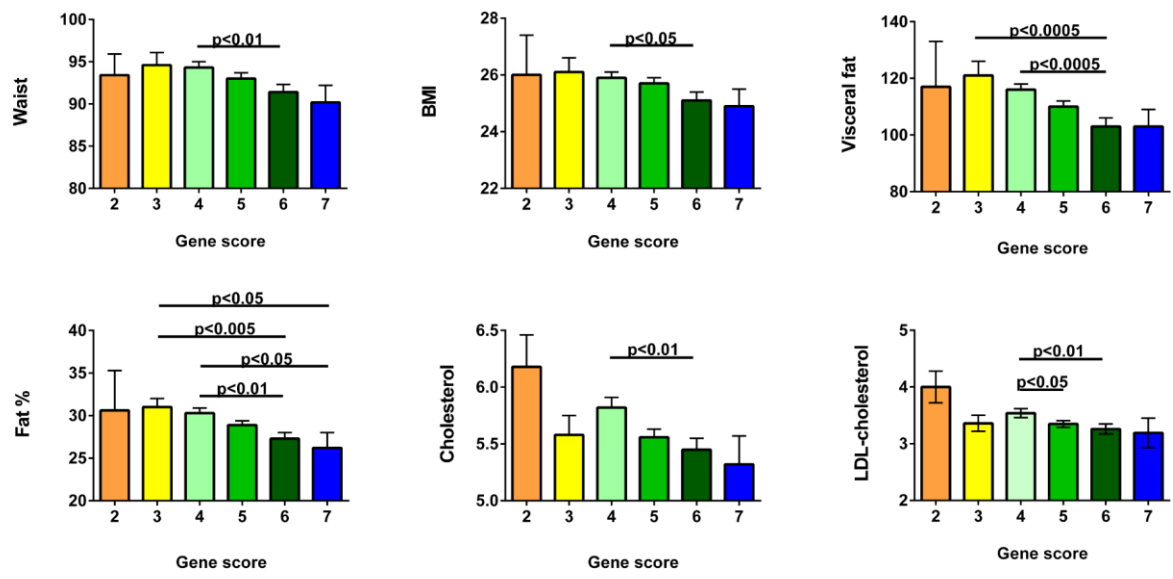


Figure 2

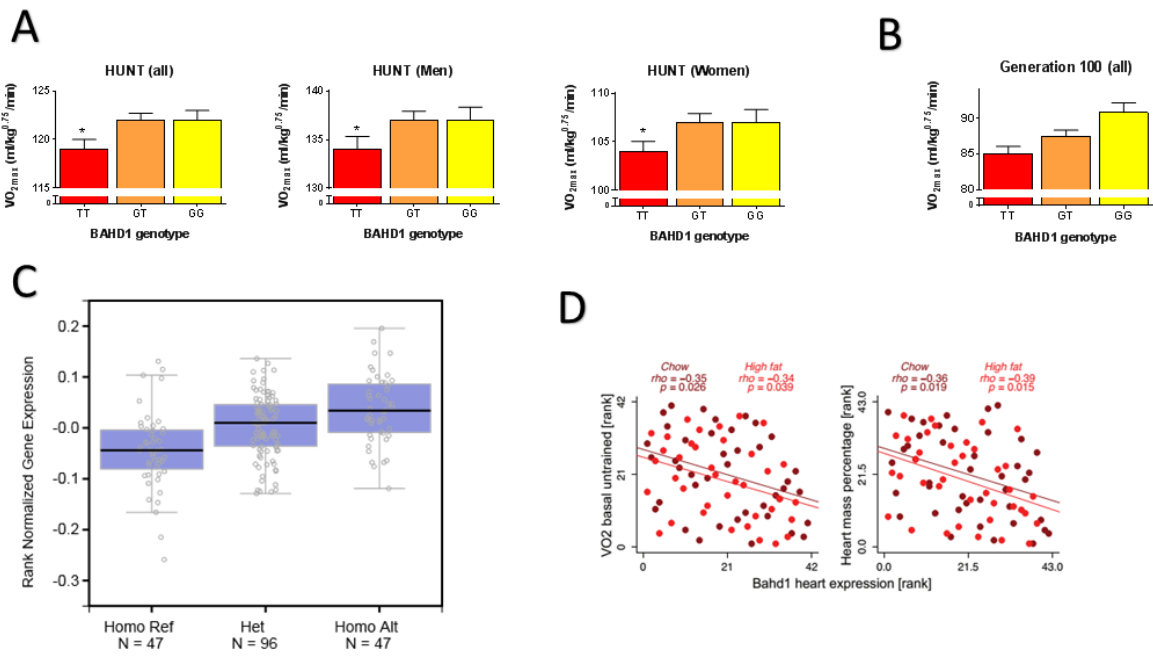


Figure 3

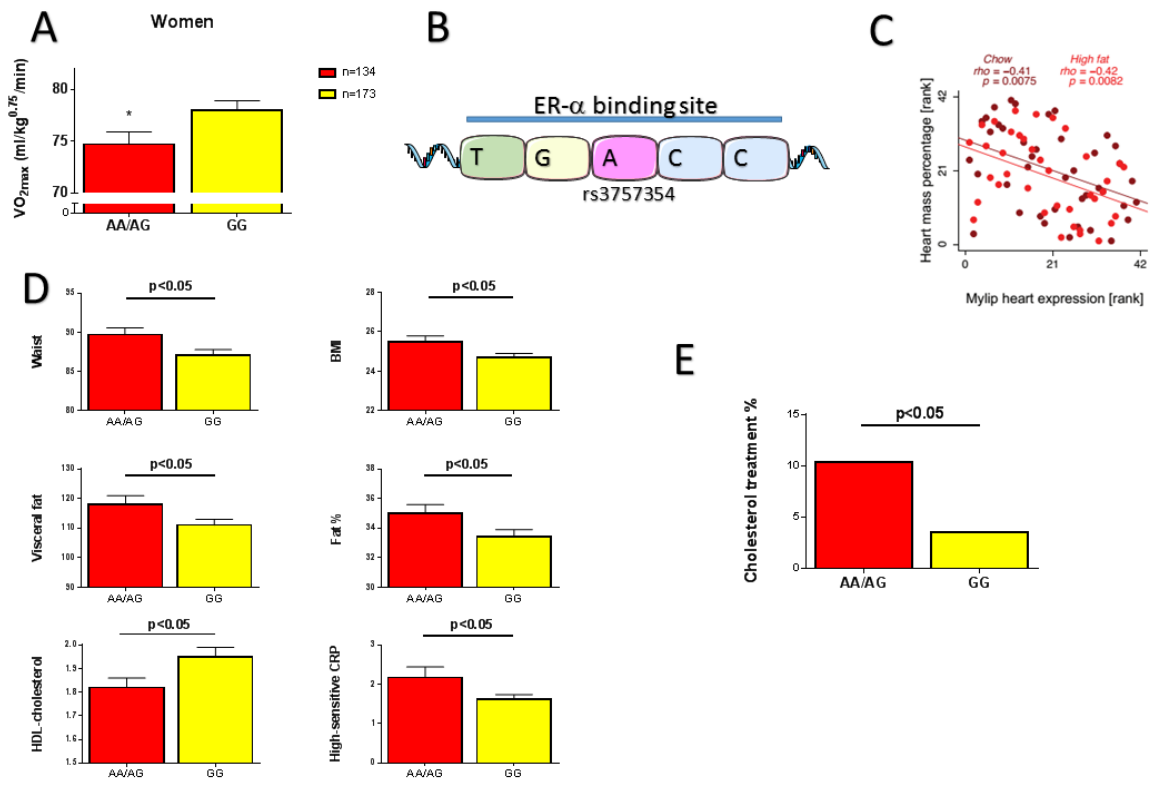


Figure 4