

1 Formation of Hydroxyapatite via Transformation of
2 Amorphous Calcium Phosphate in The Presence of
3 Alginate Additives

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10

11 ABSTRACT

12 Hydroxyapatite (HA) is the primary mineral of vertebral tooth and bone tissue, thus, it is often
13 incorporated into synthetic composite materials designed for hard tissue engineering applications.
14 Understanding the formation mechanisms of apatitic minerals and the effects of matrix molecules
15 during mineralization is vitally important to instruct the design of synthetic biomaterials. Here we
16 explore the mechanism of HA formation via an amorphous calcium phosphate (ACP) precursor
17 and the effects of alginate-based additives on the reaction progression. We found that in additive-

1 free experiments the solution speciation was dominated by the classical formation of ion pairs prior
2 to the emergence of an ACP phase, which was then followed by a transformation to HA. In the
3 presence of alginate-based additives, ACP formation was retarded by several orders of magnitude
4 due to kinetic hinderance and possible stabilization of intermediates, depending on the
5 functionality of the molecules. ACP lifetime was also prolonged in the presence of additives and
6 this stabilizing effect was associated with the surface adsorption capacity of the additives which
7 suggests a solvent-mediated transformation mechanism. When additives with G-units were
8 introduced in the system, the final precipitates were composed of a mixture of octacalcium
9 phosphate (OCP) and HA via effective suppression of HA formation. Our results demonstrate that
10 compositional variations in the additive molecules strongly influence mineralization pathways.

11

12 INTRODUCTION

13 Calcium phosphates (CaP) are an important class of biological minerals found in natural hard
14 tissues; among them hydroxyapatite (HA) is the dominant mineral phase in mammalian calcified
15 tissues. Consequently, composite biomaterials designed for bone tissue engineering applications
16 often incorporate either HA or a precursor CaP phase that can transform to HA under *in vivo*
17 conditions. Understanding the formation mechanisms of apatitic minerals and the effects of
18 organic components on the crystallization process can inform the development of improved
19 biomaterials,¹ *in vitro* model systems of biomineralization,² and may also inspire new routes for
20 the syntheses of non-biogenic minerals.^{3,4}

1 Extensive studies on the nucleation mechanisms of biological HA agree that rather than forming
2 directly from solution, HA follows a crystallization pathway via an amorphous calcium phosphate
3 (ACP) precursor.^{5, 6} However, there is an ongoing debate regarding how this ACP-mediated
4 process is driven, from the initial formation of ACP to its transformation to HA.

5 Posner's clusters, $\text{Ca}_9(\text{PO}_4)_6$, were initially proposed as the building blocks for ACP, and samples
6 from both synthetic and biological systems have been shown to contain these ion clusters.⁶
7 However, further studies also proposed that other prenucleation species and ACP phases that vary
8 in structure and chemical composition depending on the reaction conditions, may be present.^{7, 8}
9 Several hypotheses regarding the mechanism of ACP formation have been suggested. According
10 to classical theory, amorphous phases form under conditions of high supersaturation where the
11 critical nucleus size falls below the crystal unit size.⁹ Alternative pathways proposed in the
12 literature include: 1) stable prenucleation clusters which aggregate to form ACP¹⁰, 2) the presence
13 of soluble ion-complexes that lead to ACP precipitation by aggregating and consuming extra
14 calcium ions from solution¹¹, and 3) a two-step mechanism that includes the formation of an initial
15 ACP phase via ion-complexes that later transforms into a second ACP phase through
16 densification.¹²

17 The presence of additives in the reaction medium can affect ACP formation via different
18 mechanisms in correlation with the proposed formation pathway. Additives can interfere with the
19 kinetic variables of classical nucleation (i.e. collision frequency) that results in prolonged
20 induction times, or can promote ACP nucleation by acting as favorable substrates for nucleation.¹³
21 Alternatively, for ACP formation pathways via aggregation and maturation of ion association
22 clusters, additives can suppress the reaction progression by influencing aggregation, dehydration
23 and/or complexation mechanisms through electrostatic interactions.^{10, 11} When polymeric additives

1 are present in the reaction medium, they can evoke metastable, dense liquid-like precursors known
2 as polymer induced liquid precursors (PILP).^{14, 15} According to this process, polymeric entities can
3 sequester ions to induce the formation of an amorphous precursor phase that would otherwise be
4 unstable in the system.¹⁶ The amorphous phase formed via the PILP mechanism features a fluidic
5 character that has been attributed to a highly hydrated nature.¹⁴ However, a recent study by Xu *et*
6 *al.* has proposed PILP to be a polymer-driven assembly of ~2 nm solid clusters and attributed its
7 liquid-like behavior to the small size and surface properties of the assemblies.¹⁷ PILP is considered
8 to be an important mechanism in biologically controlled mineralization (especially for bone
9 formation) and previous studies have shown its particular significance for synthetic CaP
10 biomineralization systems.^{15, 18}

11 The transformation mechanism of the ACP precursor to HA is also a subject of active debate and
12 multiple mechanisms have been proposed. According to classical crystallization theory, phase
13 transformation is governed by the chemical potential difference between phases (i.e. activity based
14 supersaturation), and can either follow a dissolution-reprecipitation pathway in solution or a solid
15 state transformation; the latter being particularly common at high temperatures.^{19, 20} Solvent
16 mediated phase transformation through dissolution- reprecipitation reactions can be governed
17 either by dissolution of the metastable phase or nucleation and growth of the stable phase
18 depending on supersaturation and the relative kinetics of the individual reactions, as modeled by
19 Cardew and Davey.²¹ In an attempt to describe phenomena occurring during transformation,
20 various alternative or ‘nonclassical’ mechanisms have also been suggested. For example, Tang *et*
21 *al.* proposed a solution mediated surface nucleation process whereby HA heterogeneously
22 nucleates on ACP, but the transformation rate in these studies was stated to be a function of the
23 amount of initial ACP formed and calcium activity in solution, rather than solution

1 supersaturation.²²⁻²⁵ Xie *et al.* and Wang *et al.* proposed an alternative mechanism whereby HA
2 nucleation takes place within the ACP precursor through a solid-solid transformation.^{12,26} In these
3 theories the kinetics of transformation are also not directly linked to the solution supersaturation.

4 The various proposed mechanisms to describe ACP-mediated HA formation infer different roles
5 of additives in this process. The presence of additives can stabilize or destabilize ACP by effecting
6 its dissolution rate, effect the nucleation rate of HA, or influence the chemistry and solubility of
7 the ACP phase, which consequently influences the solution supersaturation from a classical point
8 of view.^{19,27} In contrast, if the phase transformation is independent of supersaturation, as proposed
9 by some studies, or involves rearrangement of building units of the solid, additives are thought to
10 stabilize the ACP phase through surface interactions.²⁸ This may occur either by interfering with
11 the surface nucleation of HA or limiting the mass transfer between the solid and solution.^{12,24}

12 Recent studies in our group have focused on the development of composite biomaterials comprised
13 of alginate hydrogels with CaP minerals.²⁹ Alginate is a polysaccharide polymer which is
14 composed of 1-4 linked β -D-mannuronic acid (M) and α -L-guluronic acid (G) residues with an
15 alternating or block structure. The M- and G- units of alginate differ in the configuration of
16 carboxyl groups that in turn highly affects their functionality. Calcium ions show specific affinity
17 towards the outward carboxyl groups of the G- units of alginate, and bind to G-blocks and
18 alternating blocks of the polymer but not to M-blocks.³⁰ Therefore, the way in which alginate
19 regulates mineralization is highly dependent on its chemical composition, sequential structure of
20 the repeating units and molecular weight. Spatiotemporal analysis of the evolution of CaP phases
21 within the hydrogel network at room temperature demonstrated precipitation of ACP as a
22 metastable precursor and its transformation to more stable crystalline phases such as brushite,
23 octacalcium phosphate (OCP) and HA depending on the reaction conditions.³¹ In addition, our

1 detailed kinetic investigations on the transformation of brushite to HA generated new questions
2 regarding the formation pathway of HA, such as the nucleation mechanism and the roles of ACP
3 precursor and alginate additives in nucleation.³² Thus, the present study aims to explore the
4 mechanism underlying HA formation via an amorphous precursor in the presence of alginate
5 additives. For this purpose, the effects of alginate additives with varying molecular weight and
6 functionality have been investigated to shed light on the operating mechanism(s) of the chosen
7 matrix molecule on HA formation.

8

9 EXPERIMENTAL

10 *Materials*

11 All chemical reagents were purchased from Sigma-Aldrich (Sigma-Aldrich Norway AS, Oslo,
12 Norway) unless stated otherwise. Ultrapure deionized water (DIW) was used to prepare all
13 aqueous solutions. Alginate, isolated from *Laminaria hyperborea* (*L.hyp*) stipe, was obtained from
14 FMC Biopolymer (Norway) with a molecular mass of 274 kDa and 68% G content. Two different
15 oligomers of alginate, denoted as G- and M-blocks, consisted of 90% and 5% G monomer,
16 respectively, with the degree of polymerization ≈ 20 for both and a molecular mass of ≈ 3500 Da.
17 The G-blocks were produced from *L. hyp* stipe alginate by acid degradation and fractionation as
18 described previously.³³ The M-blocks were produced from alginate from *Ascophyllum nodosum* by
19 acid degradation and calcium fractioning as described previously.³⁴

20

21

1 *Methods*

2 All experiments were carried out in a magnetically stirred 0.5 L double-walled glass reactor, and
3 two baffles were attached to the lid. Temperature was controlled by a water bath at 25°C for all
4 experiments. Nitrogen, saturated with water, was constantly bubbled into solutions 2 h prior to and
5 during the experiments to exclude atmospheric carbon dioxide. The chemical speciation and
6 activity based supersaturation (S) were determined by the thermodynamic calculation programs
7 PHREEQC Interactive 3.1 (U.S. Geological Survey, Reston, VA, USA) and Minteq 3.0 (KTH,
8 Royal Institute of Technology, Stockholm, Sweden), using the Minteq v4 database (Equation 1).
9 The equilibrium constants used for solution speciation are given in the supplementary information
10 (section A).

$$11 \quad S = \left(\frac{IAP}{K_{sp}} \right)^{\frac{1}{v}} \quad (\text{Eq. 1})$$

12 IAP represents the ionic activity product in solution, K_{sp} is the solubility product and v is the
13 number of ions in one mole of the corresponding compound.

14 The pH was recorded continuously by means of a combined glass electrode with a KCl reference
15 electrolyte and calcium ion activity in the vessel was monitored online via a calcium ion specific
16 electrode with TiamoTM software (Metrohm AG, Herisau, Switzerland) (see supplementary
17 information section B).

18 Dynamic light scattering (DLS) and zeta potential measurements were performed using a Zetasizer
19 Nano ZS (Malvern Panalytical Ltd., Malvern, UK) by simultaneous sampling from the reaction
20 solution (see supplementary information section C). Transmission electron microscopy (TEM)
21 was performed using a JEOL JEM 2100 microscope fitted with a LaB₆ cathode (JEOL, Tokyo,

1 Japan) at an accelerating voltage of 200 kV. Samples were prepared by drop-casting onto carbon
2 coated copper TEM grids at various time points during the reactions. Diffraction patterns were
3 analyzed using DiffTools in Digital Micrograph software (version 2.32, Gatan).

4 Characterization of solid phases were conducted via powder X-ray Diffraction (XRD) (D8
5 Advance, Bruker AXS GmbH, Karlsruhe, Germany) in the 2θ range of $4-75^\circ$ with a step size of
6 0.013° and a step time of 0.67 s. Fourier transform infrared (FTIR) (Tensor, Bruker AXS GmbH,
7 Karlsruhe, Germany) spectra of powder samples were collected between $4000-550\text{ cm}^{-1}$. Raman
8 microspectroscopy (InVia Reflex, Renishaw, Gloucestershire, England) was performed (2 s
9 integration time, 50 accumulations) using a 535 nm laser through a 10x lens.

10 Spontaneous precipitation of calcium phosphate was achieved in batch experiments by preparing
11 supersaturated solutions ($S_{\text{HA}} = 25.6$) and allowing precipitation to occur under constant stirring
12 (300 rpm). The initial supersaturation of the working solution was determined by scanning through
13 a range of values between $S_{\text{HA}} = 17.1-34.0$ in accordance with the previous studies of HA formation
14 in our group, and choosing the supersaturation at which the two-step precipitation behavior was
15 observed following an induction period of approximately 15 min and the reaction was completed
16 within 4 h, for experimental practicality. For this, a phosphate solution (2.4 mM KH_2PO_4)
17 containing KNO_3 (50 mM) for ionic strength adjustment and KOH to adjust the final solution pH
18 to 7.40 ± 0.02 , was prepared from its stock to a total volume of 250 mL. In experiments with
19 alginate additives, the corresponding amount of filtered polymer solution (1 mg L^{-1}) was also
20 added to the phosphate solution initially. A calcium solution (20 mL, 50 mM $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$) was
21 then added in the reaction medium at a rate of 150 mL min^{-1} via an automated dosing unit (907
22 Titrando, Metrohm AG, Herisau, Switzerland). Titration experiments were conducted with
23 lowering the calcium addition rate to 0.4 mL min^{-1} under the same experimental conditions. All

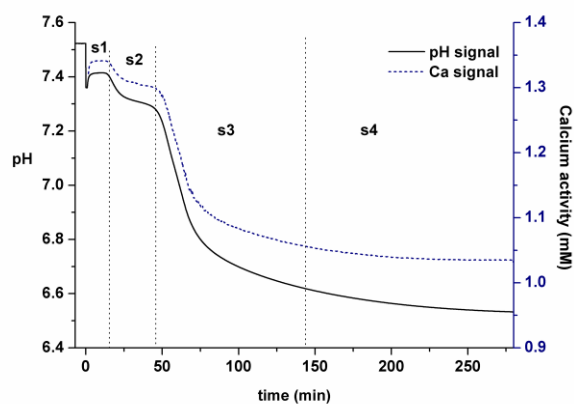
1 experiments were conducted in replicates (see supplementary information Figure S1) and solutions
2 were freshly prepared for each experiment with Milli-Q water (resistivity 18.2 MΩ cm at 25°C).
3 The viscosity measurements of additive free Milli-Q water and 1 ppm solutions of alginate-based
4 additives were performed using a rheometer (Physica MCR 100, Anton Paar, Graz, Austria).

5

6 RESULTS & DISCUSSION

7 *Progression of the reaction*

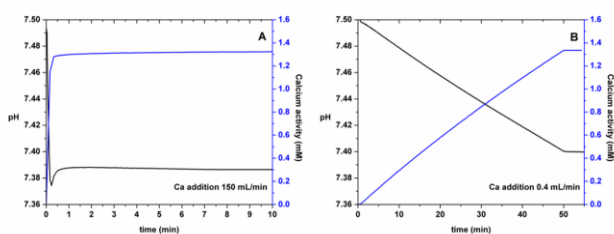
8 Calcium phosphate crystallization was investigated by allowing spontaneous precipitation from
9 supersaturated solutions while simultaneously monitoring the pH and calcium activity profiles.
10 Successive steps of the reaction were identified via the distinct drops in either of the monitored
11 signals, which indicated the occurrence of separate phases with characteristic calcium solubility
12 (Figure 1). Supersaturated solutions were prepared by the instantaneous addition of a calcium
13 solution (20 mL, 150 mL min⁻¹) to a stirred phosphate solution at time 0 and after equilibration,
14 both pH and calcium activity remained stable during stage 1 (s1). The first discernable drop in
15 either signal was interpreted as evidence of the first nucleation event, which indicated the
16 appearance of the first new phase from the solution. This point determined the onset of stage 2
17 (s2). The second abrupt drop in the monitored signals was accordingly interpreted as a second
18 nucleation event and the emergence of a second separate phase in the system. This point
19 determined the onset of stage 3 (s3). Any units (clusters) formed in the solution before the first
20 nucleation point, i.e. during s1, are termed prenucleation species in this context. Detailed analyses
21 were conducted to identify the chemical and structural progression of precipitates that correspond
22 to the distinct stages of the reaction.



1
 2 **Figure 1.** The pH and calcium activity monitored as a function of time during the spontaneous
 3 precipitation reaction. Curves were sectioned in four stages (labeled s1-s4) in accordance with
 4 distinct changes recorded in signal profiles.

5 Addition of calcium ions to the reaction medium resulted in an immediate drop in pH, followed
 6 by a short rebound and gradual leveling off in the beginning of s1. Since the pH of the system is a
 7 function of phosphate speciation, changes in pH were interpreted in terms of changing ratios of
 8 the phosphate species.²⁶ Upon addition of calcium in the reaction medium, ion pairs form between
 9 calcium ions and phosphate species. Thermodynamic calculations showed that under the specified
 10 experimental conditions, dominant ion pair was $[\text{CaHPO}_4]^0$, and concentrations of $[\text{CaH}_2\text{PO}_4]^+$
 11 and $[\text{CaPO}_4]^-$ were negligible. The concentration based ratio of $[\text{H}_2\text{PO}_4^-]/[\text{HPO}_4^{2-}]$ in solution was
 12 0.26 before calcium addition, and the ratio of ion pairs $[\text{CaH}_2\text{PO}_4]^+ / [\text{CaHPO}_4]^0$ that formed with
 13 the addition of calcium was 0.038. As the calculations demonstrated, the overall decrease in pH
 14 can be explained by the formation of ion pairs that changed the ratio of free phosphate species
 15 towards a lower $[\text{HPO}_4^{2-}]$ content (see supplementary information Figure S2). The fluctuations in
 16 pH before its stabilization has previously been attributed to the possible formation of unstable
 17 clusters and solids due to local areas of high supersaturation created by the addition of calcium

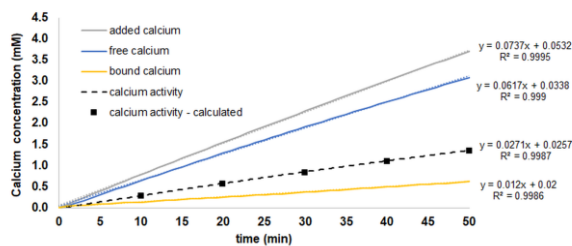
1 solution, which then immediately dissociate to establish an equilibrium.^{26, 35} The drop of pH below
2 the equilibrium value followed by its fast rebound supported a similar mechanism indicating
3 formation of highly unstable solution species and/or solid upon fast addition of calcium (Figure
4 2A). Due to the slow dehydration rate of calcium ions compared to that of phosphate species, non-
5 equilibrium complexes with a calcium deficiency can emerge in the system for a short time
6 interval.¹²



7
8 **Figure 2.** pH (black line) and calcium activity (blue line) profiles of additive-free phosphate
9 solutions with the addition of calcium solution at (A) 150 and (B) 0.4 mL min⁻¹ during the sl of
10 precipitation and titration experiments, respectively. The dashed lines mark the time points at
11 which the calcium addition was complete at each rate.

12 After equilibration, the pH and Ca signals were stable during sl and there was no indication of a
13 phase separation in the system. In order to investigate the characteristics of the prenucleation stage,
14 replicate titration experiments were conducted at a low rate of Ca²⁺ addition (0.4 mL min⁻¹) and
15 the potentiometric measurements were compared to the precipitation experiments. Equilibration
16 of pH and calcium activity at same levels under both conditions suggested that the solution
17 speciation at equilibrium was not affected by the rate of Ca²⁺ addition (Figure 2).^{11, 12} The pH
18 profile of the titration experiments showed a gradual drop during the calcium addition due to the
19 formation of ion pairs followed by an immediately stable signal (Figure 2B) in contrast to the
20 fluctuations observed in precipitation experiments before the establishment of equilibrium (Figure

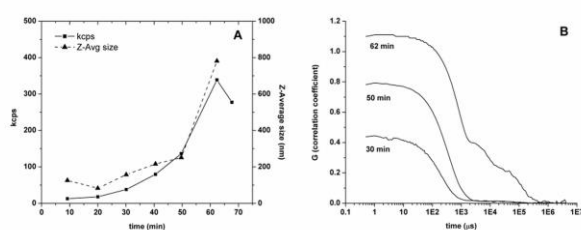
1 2A). The differences in pH profiles were attributed to the augmented formation of unstable
 2 complexes or solids (resulting in a larger drop in pH) due to poor mixing during fast addition of
 3 calcium, whereas at a sufficiently slow rate the calcium addition did not evoke such instabilities.¹²
 4 Once it was confirmed that the equilibrated solution speciation at s1 was independent of Ca^{2+}
 5 addition rate, further analyses were conducted with the titration experiments to investigate the
 6 solution speciation at s1 and physical characteristics of the prenucleation species. Slow titration of
 7 calcium into the phosphate solution showed a linear relation between the total added and free
 8 calcium concentrations (Figure 3), which implied the formation of single Ca^{2+} -based ion
 9 complexes in solution (see supplementary information, section D).^{36, 37} In addition, measured
 10 values of calcium activity showed an excellent fit ($R^2 = 0.999$) with the Minteq model data that
 11 only assumes the presence of classical ion pairs such as $[\text{CaHPO}_4]^0$ and $[\text{CaH}_2\text{PO}_4]^+$ (see
 12 supplementary information Figure S3). These results are in contrast with some previous studies
 13 that postulated the formation of multi-ion association complexes in solution.^{11, 12} However, it
 14 should be noted that the energy landscape of the initially formed species depends strongly on the
 15 starting driving force, which is specific to each experimental procedure.³⁸



16
 17 **Figure 3.** Experimentally measured calcium activity (dashed line) and concentration (straight
 18 lines) as a function of time during calcium addition in the titration experiments. Minteq model data

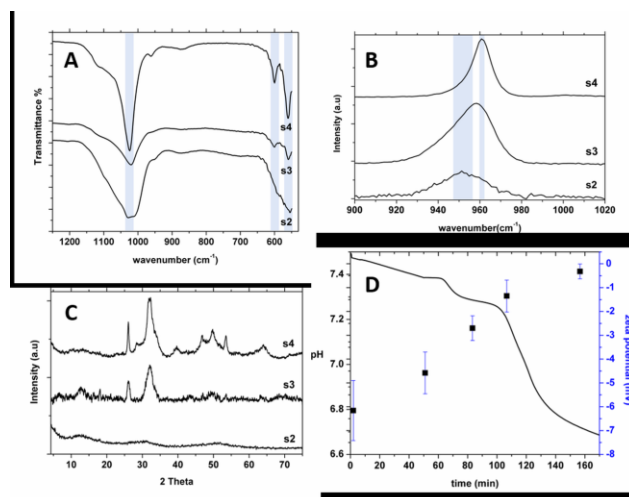
1 for calcium activity (black squares) at 10 min intervals is overlaid on the experimentally measured
2 values. Ca^{2+} addition rate = 0.4 mL min^{-1} .

3 In order to inspect the presence of nanometer sized entities in solution, DLS measurements were
4 conducted during titration experiments (Figure 4). Data showed rather stable hydrodynamic radii
5 and low count rates in the prenucleation stage, followed by a sharp increase in both parameters at
6 the proximity of the first discernable drop in pH, remarking the nucleation event. The correlation
7 diagrams also pointed out low correlation coefficients associated with low signal to noise ratio
8 prior to the nucleation event. Thus, based on the titration experiments, we propose single Ca^{2+} -
9 based ion pairs are the main solution species and regard large clusters of multi-complex structures
10 as insignificant components of the equilibrated solution speciation at the prenucleation stage, s1.



11
12 **Figure 4.** DLS results showing (A) evolution of the derived count rate and hydrodynamic radii (Z-
13 average size) as a function of time during the titration experiment (calcium titration was completed
14 at 50 min and induction time for the given data was recorded as ~60 min) and (B) the correlation
15 diagrams for subsequent time points. The associated correlation data showed increasing correlation
16 coefficient (G) with time, which indicates higher signal to noise ratio. The intercept at $G > 1.00$ at
17 62 min indicates sedimentation, which also appeared as an additional descent on the curve that
18 denotes presence of multiple size populations of particles, and as a drop in the count rate.

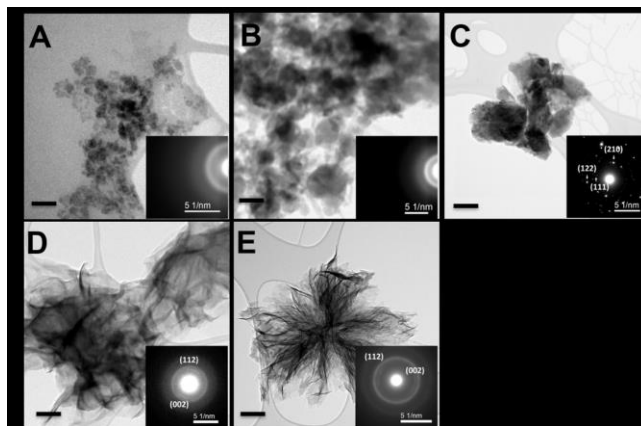
1 Following s1, an abrupt drop in both pH and Ca^{2+} activity was detected, followed by a plateau
2 region. FTIR analysis of the first post-nucleation species collected at s2 revealed broad PO_4^{3-} bands
3 around 1020 cm^{-1} , weak band at 875 cm^{-1} associated with HPO_4^{2-} (Figure 5A) and water associated
4 bands and peaks around 3300 , 1630 and 640 cm^{-1} . μ -Raman spectroscopy showed a broad band
5 around $950\text{-}955\text{ cm}^{-1}$ (Figure 5B). No XRD peaks were detected, which together with the μ -Raman
6 and FTIR results, indicate an amorphous nature (Figure 5C). TEM analysis of precipitates
7 collected during s2 confirmed that during the plateau, only amorphous spherical particles were
8 present (Figure 6A and B). Near the end of the plateau, the presence of a crystalline phase was
9 also detected along with the previously observed amorphous phase (Figure 6C). Thus, it was
10 concluded that the first discernable drop in pH was associated with the precipitation of ACP and
11 the second drop was due to the appearance of a crystalline phase. Electron diffraction of the initial
12 crystals revealed (111) and (210) planes of HA with corresponding d-spacings of 0.389 and 0.309
13 nm, respectively. Characterization of precipitates at s3 also showed the crystal formation with
14 corresponding peaks in the XRD spectrum (Figure 5C) and sharpening of FTIR and Raman bands.
15 Under these experimental conditions, both OCP and HA are probable phases to nucleate, which
16 can be difficult to differentiate due to their close chemical and structural similarity.^{39, 40} However,
17 the absence of a characteristic (100) OCP plane in both electron and X-ray diffraction data
18 throughout and following s3 indicates that OCP lattice was not fully formed during the reaction.
19 It must be noted that these observations do not unequivocally rule out the possible formation of
20 OCP-like layers in terms of structure and composition, but clearly demonstrate that the
21 crystallization pathway did not include OCP as a transient phase.⁴¹



1
2 **Figure 5.** Physicochemical characterization of the intermediate and final products of the
3 precipitation reaction collected at s2 to s4 in the additive-free medium. (A) FTIR, (B) μ -Raman
4 and (C) XRD spectra show the chemical evolution of the precipitates from ACP to HA. The shaded
5 areas in FTIR and μ -Raman spectra highlight the changes in the peak widths and positions. (D)
6 Zeta potential of the particles measured as a function of time and pH during a titration experiment.

7 Following the nucleation of the crystalline phase, both pH and Ca^{2+} concentration showed a
8 substantial decrease during s3, where ACP was completely transformed to the more stable
9 crystalline phase. TEM analysis showed the co-existence of both amorphous and crystalline phases
10 at the beginning of s3 (Figure 6C). By the time s4 was reached, only HA was present and the
11 prominent (002) and (112/211) planes were evident in both the X-ray and electron diffraction data
12 (Figure 5C and 6D respectively). Note the weak SAED pattern consisting of a mixture of spots
13 and rings and broad peaks of the XRD data, both indicating low crystallinity and/or small
14 crystallite size. Previous studies have reported intermediate phases such as a denser ACP phase,
15 brushite and OCP during transformation of ACP to HA, as well as direct transformation to HA
16 depending on the reaction conditions such as temperature, pH, reactant concentrations and
17 presence of additives.⁴² Under the specified experimental conditions, no intermediate crystalline

1 phase was detected prior to HA formation. During s4, a very slow decrease in both pH and Ca²⁺
2 signals continued for up to 48 h (data not shown) which indicated further HA growth and
3 maturation (Figure 6E). In the pH range of the corresponding experiments, HPO₄²⁻ dominates the
4 phosphate speciation in solution. Accordingly, an ACP phase reflecting this speciation would be
5 expected to form, which would then be replaced by a nonstoichiometric, hydrogen phosphate rich
6 apatite phase.⁴¹ FTIR analysis of the precipitates collected at s4 showed sharp distinguishable
7 peaks of PO₄³⁻ at 1025 and 962 cm⁻¹; and splitting of the ν₄ bending of PO₄³⁻ at 600 and 560 cm⁻¹
8 indicating HA crystallization (Figure 5A).²⁴ The weak band at 875 cm⁻¹ corresponding to HPO₄²⁻
9 was still present and can be evaluated as an indication of the nonstoichiometric composition (see
10 supplementary information Figure S4). The zeta potential measurements showed how the effective
11 charge on the particles changed with the phase evolution and the accompanying change in the
12 solution pH, where the point of zero charge was approached during HA formation and at a pH
13 value around 6.7 (Figure 5D).

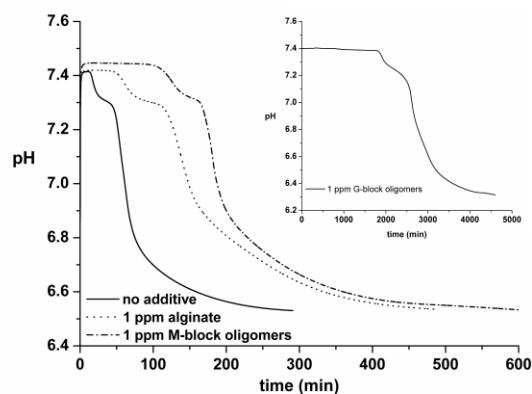


14
15 **Figure 6.** TEM micrographs and corresponding electron diffraction patterns of samples collected
16 during the reaction in the absence of additives; (A) 16-22 min (s2), (B) 33-38 min (s2), (C) 55-60
17 min (s3), (D) 85-90 min (s3) and (E) after 48 h of aging in the mother liquor (s4). Scale bar denotes:
18 A-B: 50 nm, C-E: 200 nm.

1 *The effects of alginate additives on CaP crystallization*

2 The CaP precipitation experiments were repeated in the presence of alginate derived additives and
3 the same characterization techniques as previously described in section 3.1 were applied to
4 determine their effects on the formation, crystallization and transformation of CaP species. The
5 pH profiles obtained in the presence of additives were similar in shape to each other and to the
6 additive free experiments, albeit across an extended timeframe which was dependent on the type
7 of additive. All featured the same characteristic regions, defined previously as s1-s4, showing an
8 initial stable region, followed by an abrupt drop until a plateau and a second substantial drop before
9 a final stable pH was reached (Figure 7). XRD (see supplementary information Figure S5) and
10 TEM characterization of the intermediate products at s2 showed amorphous precipitates after the
11 first nucleation point for all experiments (Figure 8). Accordingly, the second drop in pH indicated
12 the appearance of the crystalline phase and determined the onset of stage 3. Characterization of
13 the final products by FTIR, Raman and XRD revealed a mixture of OCP and HA when alginate
14 and G-block oligomers were added in the reaction medium, whereas no OCP was detected with
15 M-block additives (see supplementary information Figure S6).

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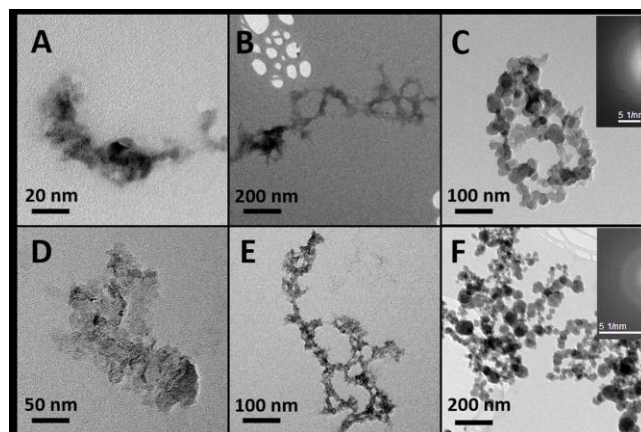


1
 2 **Figure 7.** pH profiles of spontaneous precipitation experiments in the absence and presence of the
 3 indicated alginate based additives. Inset shows the pH profile of the reaction in the presence of G-
 4 block oligomers. Note the substantially different time scales.

5 As mentioned previously, although the characteristic regions of the pH curves and the initial
 6 formation of amorphous phase remained the same in the presence of additives, the duration of each
 7 stage was affected (Figure 7). The induction time for ACP precipitation (s_1) was prolonged in the
 8 presence of additives where short oligomer chains, particularly G-block oligomers, were found to
 9 be more effective inhibitors. It should be noted that the thermodynamic calculations were repeated
 10 in the presence of additives assuming all G-blocks present in solution bind to calcium ions. The
 11 low molar concentration of G-blocks at any experimental condition with respect to the total Ca^{2+}
 12 concentration did not cause any significant decrease in the calcium activity (see supplementary
 13 information section F). The measured calcium activity at s_1 also did not show any significant
 14 differences with varying additives (see supplementary information Figure S7). In addition, the
 15 measurements of solution viscosity in the presence of additives confirmed that the retardation in
 16 reaction progression was not due to an increase in the solution viscosity with the introduction of
 17 additives, which can significantly alter reaction kinetics (see supplementary information section G

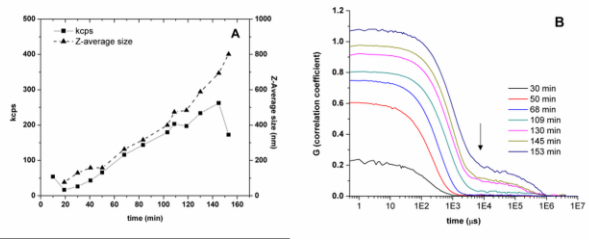
1 and Figure S8). Previous studies showed that the presence of additives can alter the ACP solubility
2 and thus affect its induction time as a result of the changing supersaturation with respect to ACP.^{19,}
3 ⁴³ However pH and calcium activity measurements showed similar values at s2 for all experiments
4 prior to observation of any crystalline particles, which indicates negligible effects of our alginate
5 based additives on ACP solubility. In light of the gathered information, kinetic factors were
6 considered to explain the delayed formation of ACP.

7 In the presence of oligomers, TEM images of samples collected prior to any abrupt drop in pH (s1)
8 showed small entities initially, with larger structures appearing at later time points (Figure 8). DLS
9 measurements conducted during the titration experiments in the presence of M-block additives
10 showed a steady increase in the count rate and hydrodynamic radii until the nucleation point for
11 ACP was reached and the same characteristics were also reflected on the correlation diagram
12 (Figure 9). However, the calcium activity showed a steady linear increase in good correlation with
13 Minteq calculations and a stable value until the first nucleation point (see supplementary
14 information Figure S9). Thus, the entities observed during s1 were attributed to oligomer
15 assemblies that formed via association with ions/ ion pairs in solution. The ACP precipitates
16 imaged after the nucleation point showed structural similarities to these earlier point assemblies,
17 which suggests a templating effect of the additives. Thus, the prolongation of s1 in the presence
18 of additives were attributed to the interference of the additives with the kinetic variables of
19 classical nucleation (i.e. collision frequency) or stabilization of the intermediates within the
20 template structures. Evidence of kinetic variables controlling the reaction is further supported by
21 the gentle linear growth in radii ($\sim 6 \text{ nm min}^{-1}$) of the precipitates following the completion of the
22 titration up to ca. 800 nm, compared to an abrupt growth ($\sim 40 \text{ nm min}^{-1}$) observed under additive
23 free conditions (c.f. Figures 4A & 9A, see supplementary information section C).



1
 2 **Figure 8.** TEM micrographs of samples precipitated in the presence of (A to C) M-block and (D
 3 to F) G-block oligomers. Images were collected during s1 (A-B and D-E) and s2 (C and F). SAED
 4 patterns showed diffused rings, characteristic for amorphous materials, for all samples (data shown
 5 for C and F only).

6 The presence of polymeric additives can evoke metastable, dense liquid-like precursors via the
 7 PILP mechanism.^{14, 15} As recently shown in a calcium carbonate system, amorphous clusters can
 8 be stabilized in the presence of charged polymers, whereas they were not detected in the absence
 9 of additives or individually in the early stages of the reaction, which implied they were not stable
 10 before assembly.¹⁷ The pKa values of M- and G-repeating units are 3.38 and 3.65, respectively,
 11 and the pKa value of alginate polymer differs only slightly from its monomers, thus, all additives
 12 are negatively charged in solution. The molar concentrations of polymer/oligomer chains in the
 13 reaction media vary dramatically due to the difference in their molecular weights. Consequently,
 14 oligomers introduce significantly higher molar concentration of polymeric molecules in the
 15 reaction media. Therefore, for our system we propose a similar mechanism in which electrostatic
 16 interactions are the dominant contributors in controlling reaction kinetics. This is highly plausible
 17 since the temporal order of ACP formation followed alginate, M-block oligomers and then G-
 18 block oligomers and this is also the order of increasing electrostatic strength.



1

2 **Figure 9.** DLS results showing (A) evolution of the derived count rate and Z-average size as a
 3 function of time for the precipitation of CaP in the presence of 1 ppm M-block oligomers (calcium
 4 titration was completed at 50 min and induction time for the given data was recorded as ~150 min)
 5 and (B) the correlation diagrams for subsequent time points. The associated correlation data
 6 showed increasing correlation coefficient with time, which indicates higher signal to noise ratio
 7 and second descents from 109 min associated with the presence of larger-sized particle populations
 8 (shown by the arrow).

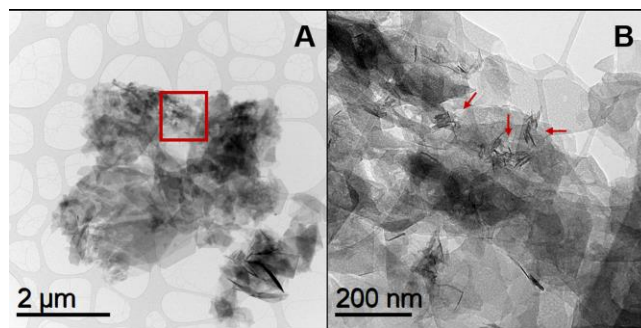
9 s2 was also prolonged when the additives were present in the reaction medium. The beginning of
 10 this stage is dominated by ACP formation with the accompanying drop in the pH until the plateau
 11 prior to the appearance of any crystalline nuclei. In the presence of alginate and M-block additives,
 12 the duration of s2 until reaching the plateau was almost doubled with respect to the additive free
 13 experiments, whereas it was most prolonged in the presence of G-block oligomers lasting
 14 approximately an order of magnitude longer compared to additive free conditions. These data
 15 clearly show the reduced rate of ACP precipitation in the presence of all additives tested. The
 16 plateau region of s2 was maintained until the appearance of crystals, which was defined as the
 17 second nucleation point. In order to determine this second nucleation point, there should be
 18 sufficient crystal nucleation and growth to generate a distinctive change in pH. Previous studies of
 19 ACP-mediated crystal formation suggested that the additives can delay crystal nucleation by
 20 interfering with heterogeneous nucleation processes on the ACP surface, or by stabilizing this

1 metastable phase via direct adsorption on the surface.^{25, 44} Accordingly, zeta potential
2 measurements of ACP phases precipitated in the presence of additives showed higher negative
3 values compared to additive free controls which therefore verified a surface adsorption mechanism
4 (-10.4 ± 2.5 mV with alginate and -14.8 ± 1.5 mV with M-block oligomers at pH 7.30). Adsorption
5 of additives on the ACP surface is governed by the specific and nonspecific electrostatic
6 interactions between the mineral and the functional groups of the alginate repeating units.⁴⁵ Thus,
7 the specific affinity and significantly higher molar concentration of polymeric molecules
8 introduced to the reaction media well explains the prolonged lifetime of ACP most efficiently with
9 G-block oligomers. Carboxyl groups of M-blocks do not have a specific affinity towards calcium
10 ions; however, nonspecific interactions with the ACP surface are still likely to occur via ionic
11 interactions or H-bonding.³⁰ The difference between alginate and G-blocks is twofold. Alginate
12 polymer contains higher amounts of M-units that do not bind Ca-ions and CaP surfaces as well, as
13 shown by much weaker effect seen for M-block samples. Due to higher molecular weight, the
14 alginate samples also contain lower molar concentration of polymer chains allowing it to occupy
15 a lower number of active sites at the same weight per volume concentration. Yet, the extent of
16 stabilization of ACP in the presence of alginate was comparable to M-block oligomers. This
17 observation indicates that along with the electrostatic and specific interactions caused by the
18 structural and stereochemical compatibility between the alginate repeating units and mineral
19 surfaces, the steric hinderance provided by the high molecular weight alginate can also affect the
20 surface coverage.⁴⁶ These results coincide with the recent work of Tao *et al.* that demonstrated the
21 strong correlation between protein adsorption and the prolonged induction times for ACP to HA
22 transformation by high-resolution, in situ atomic force microscopy.⁴⁷

1 The explicit correlation observed between the lifetime of ACP and adsorption capacity of additives
2 infers a transformation mechanism involving the ACP surface acting as a substrate for
3 heterogeneous crystal nucleation and/or a dissolution-reprecipitation pathway.⁴⁰ Under similar
4 experimental conditions, some previous studies have also proposed a solid-state transformation
5 mechanism whereby crystalline domains were reported to form within the ACP particles.^{12, 26} The
6 *ex-situ* TEM images collected in this work cannot be used to state the starting point for crystal
7 nucleation with confidence since they are not representative of the entire system in its dynamic
8 state. However, the strong dependence of the emergence of crystalline phase to the surface
9 characteristics of ACP provides convincing support for a solvent-mediated transformation
10 mechanism.

11 HA proceeds to grow and ACP completely transforms to HA during s3 in the presence of M-block
12 additives. Previous work conducted by our group showed that HA growth was the rate-limiting
13 step of the transformation reaction of brushite to HA under similar experimental conditions.³²
14 Since ACP is a highly metastable phase with a higher solubility than brushite at constant
15 temperature, it was concluded that s3 was controlled by HA growth where the presence of M-block
16 additives extended the time span by growth inhibition (see supplementary information section
17 H).²¹ In the presence of alginate and G-block oligomers, XRD of precipitates collected at s4
18 showed the distinctive OCP peak at $2\theta = 4.7^\circ$ along with a clear HA spectra (see supplementary
19 information Figure S6). TEM also showed the coexistence of both crystalline phases where small
20 HA crystals were observed together with large OCP plates (Figure 10). Although the crystalline
21 phases were formed at comparable supersaturation levels in all systems, the alginate additives
22 induced a change in the final reaction product. Considering the specific affinity of G-blocks to
23 calcium ions and consequently ACP surfaces, the increasing content of G-blocks can be

1 responsible for reducing the rate of HA nucleation and allow the formation of kinetically more
2 favorable phases. In addition, growth rate studies revealed that G-block oligomers were highly
3 effective in blocking the active growth sites on HA crystal surfaces (see supplementary
4 information section H). Therefore, OCP mineralization could become kinetically favored in the
5 system as a result of effective suppression of HA formation. In their recent study, Wang *et al.*
6 presented similar results where OCP emerged as an intermediate phase during transformation of
7 ACP to HA, when factors of kinetic origins such as presence of a polymeric additive and/or
8 confinement extended the lifetimes of metastable phases.⁴⁸ Emergence of OCP as a result of
9 effective stabilization of metastable phases as shown in these studies can be evaluated as
10 supportive information for the widely discussed role of OCP as an intermediate between ACP and
11 HA during bone formation *in vivo*, where the kinetic control mechanisms on mineral formation
12 are far more efficient.^{48, 49}



13
14 **Figure 10.** TEM micrographs of final products precipitated in the presence of 1 ppm G-block
15 oligomers showing the large plates of OCP together with small HA crystals pointed by the arrows.
16 The marked area in (A) is shown in higher magnification in (B).

17
18

1 CONCLUSION

2 We investigated HA formation via an ACP precursor phase by combining potentiometric
3 measurements of pH and calcium activity in solution with comprehensive physicochemical
4 characterization of resulting precipitates as a function of time. The two subsequent stages of ACP
5 and HA formation were determined from the abrupt drops in pH and calcium measurements and
6 the characterization of precipitates showed that each step was associated with the emergence of a
7 separate phase. In additive-free experiments, titration data and thermodynamic calculations
8 demonstrated that the solution speciation was dominated by classical ion pairs present in the
9 prenucleation stage, prior to ACP phase separation in the system which in turn was followed by
10 transformation to HA. In the presence of alginate-based additives, it was shown that compositional
11 variations of the additive molecules determined how they influenced the reaction. ACP nucleation
12 was retarded in all cases due to kinetic hinderance and possible stabilization of PILP-like
13 intermediates. ACP lifetime was also prolonged in all cases due to surface stabilization by the
14 additives and a solvent-mediated transformation mechanism to crystalline phases was proposed.
15 When additives containing G-units were introduced in the system, final precipitates composed of
16 a mixture of OCP and HA via effective suppression of HA formation. We anticipate the findings
17 in this study will improve the understanding of mineralization mechanisms and the roles of
18 additives, and in turn contribute to the design of improved composite biomaterials.

19

20 ASSOCIATED CONTENT

21 **Supporting Information.** Experimental methods, supporting figures, tables, discussion and
22 references. Supporting figures include additional solution speciation data, characterization spectra

1 and calcium activity measurements. Additional discussion on titration experiments and solid
2 characterization is presented. Seeded constant composition experiments of HA growth is
3 presented. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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8 **Author Contributions**

9 The manuscript was written through contributions of all authors. All authors have given approval
10 to the final version of the manuscript.

11 **Notes**

12 The authors declare no competing financial interest.

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19 ABBREVIATIONS

20 HA, hydroxyapatite; ACP, amorphous calcium phosphate; OCP, octacalcium phosphate; CaP,
21 calcium phosphate; PILP, polymer induced liquid precursor; M-, mannuronic acid; G-, guluronic

1 acid; S, supersaturation; DLS, dynamic light scattering; TEM, transmission electron microscopy;
2 XRD, X-ray diffraction; FTIR, Fourier transform infrared.

3

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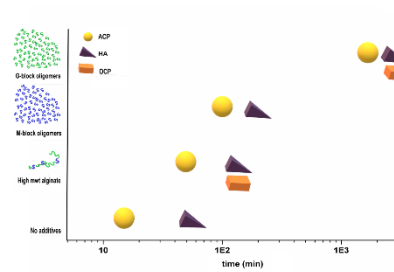
1 FOR TABLE OF CONTENTS USE ONLY

2 Formation of Hydroxyapatite via Transformation of Amorphous Calcium Phosphate in The
3 Presence of Alginate Additives

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9



12 SYNOPSIS. The mineralization pathway of amorphous phase mediated hydroxyapatite formation
13 is influenced strongly by the presence of alginate derived additives in relation to their
14 compositional variations.

15