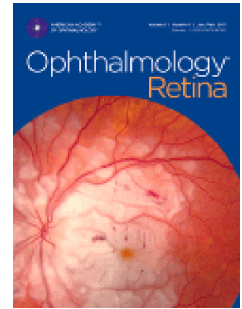


# Accepted Manuscript

Nonsupine positioning after macular hole surgery. A prospective multicenter study

Birger Lindtjørn, MD, Jørgen Krohn, MD, PhD, Dordi Austeng, MD, PhD, Kristian Fossen, MD, Pål Varhaug, MD, Sammy Basit, MD, Ole H. Helgesen, MD, Geir E. Eide, MSc, PhD, Vegard A. Forsaa, MD, PhD



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1                                   Nonsupine positioning after  
2                                   macular hole surgery.  
3                                   A prospective multicenter study

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6           Birger Lindtjørn, MD,<sup>1</sup> Jørgen Krohn, MD, PhD,<sup>2,3</sup> Dordi Austeng, MD, PhD,<sup>4,5</sup> Kristian  
7                   Fossen, MD,<sup>6</sup> Pål Varhaug, MD,<sup>3</sup> Sammy Basit, MD,<sup>4</sup> Ole H. Helgesen, MD,<sup>6</sup>  
8                                   Geir E. Eide, MSc, PhD,<sup>7,8</sup> Vegard A. Forsaa, MD, PhD,<sup>1</sup>

9  
10  
11           <sup>1</sup> Department of Ophthalmology, Stavanger University Hospital, Stavanger, Norway

12           <sup>2</sup> Department of Clinical Medicine, Section of Ophthalmology, University of Bergen,  
13           Bergen, Norway

14           <sup>3</sup> Department of Ophthalmology, Haukeland University Hospital, Bergen, Norway

15           <sup>4</sup> Department of Ophthalmology, Trondheim University Hospital, Trondheim, Norway

16           <sup>5</sup> Department of Neuromedicine and Movement Science, Norwegian University of  
17           Science and Technology (NTNU), Trondheim, Norway

18  
19           <sup>6</sup> Department of Ophthalmology, University Hospital of North Norway, Tromsø, Norway

20           <sup>7</sup> Centre for Clinical Research, Haukeland University Hospital, Bergen, Norway

21           <sup>8</sup> Department of Global Public Health and Primary Care, University of Bergen, Bergen,  
22           Norway

23  
24           **Corresponding author:**

25           Birger Lindtjørn  
26           Department of Ophthalmology  
27           Stavanger University Hospital  
28           PO box 8100  
29           N-4068 Stavanger  
30           Norway  
31           Tel: +47 95402196  
32           Fax: +47 51583805  
33           E-mail: birgerl@gmail.com

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46 **Key words:** face-down positioning, intraocular gas, macular hole, nonsupine  
47 positioning, postoperative positioning, prone positioning, prospective study

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## **ABSTRACT**

**Purpose:** To evaluate the postoperative closure rate of full-thickness macular holes (MH) after nonsupine positioning, which means that the patients avoid upward gaze and a supine sleeping position, and to investigate the correlation between postoperative positioning compliance and closure rate.

**Design:** Prospective, multicenter study. (ClinicalTrial.gov: NCT02295943).

**Participants:** Patients undergoing primary surgery for primary MH.

**Methods:** Patients underwent pars plana vitrectomy with internal limiting membrane peeling and SF<sub>6</sub> gas tamponade followed by 3–5 days of nonsupine positioning. A “positioning measuring device” which recorded the time in supine position, was attached to patients’ forehead postoperatively for 24 hours.

**Main Outcome Measures:** Anatomical closure rate of MH at two weeks or more after surgery, and the time spent in supine position during the first 24 hours postoperatively.

**Results:** A total of 205 participants were included of which two were lost to follow-up. Two hundred and two out of 203 MH closed after a single operation giving a closure rate of 99.5% (95% confidence interval: 97.3–99.9%). The median time of supine positioning during the first 24 hours was 29 seconds (range, 0:00:00–01:52:28).

Because of the very high closure rate, a correlation between positioning compliance and closure rate could not be established.

**Conclusion:** Pars plana vitrectomy with internal limiting membrane peeling followed by a short-term nonsupine positioning accomplished a very high MH closure rate. Thus, face-down positioning was not necessary to achieve excellent closure rates in this study.

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86  
87 Full-thickness macular hole (MH) has an incidence of 7.9 eyes per 100 000 inhabitants  
88 per year, and the condition has a significant impact on the quality of life of affected  
89 individuals.<sup>1,2</sup> Surgery normally consists of pars plana vitrectomy (PPV), peeling of the  
90 internal limiting membrane (ILM) and insufflation of an intraocular gas. The main  
91 effect of the gas tamponade is to isolate the MH from intraocular fluid. This in turn  
92 allows for absorption of the subfoveal fluid by the retinal pigment epithelium, and  
93 finally fusion of the retinal edges.<sup>3</sup> Face-down positioning ensures the MH being  
94 sufficiently isolated from the intraocular fluid. However, the postoperative face-down  
95 regimen is challenging for the patients, and strict patient compliance is rarely  
96 achieved.<sup>4,5</sup> Since Tornambe et al. in 1997 reported successful surgery of MH without  
97 postoperative face-down positioning,<sup>6</sup> there is growing evidence supporting a  
98 postoperative regimen where the patients avoid upward gaze and a supine sleeping  
99 position.<sup>7-10</sup>

100 The most critical period following surgery occurs within the first 24 hours, in which  
101 82% of the MH close.<sup>11</sup> If a MH does not close during the first 3 days, it is likely to  
102 remain open.<sup>12</sup> We consider a continuous gas–foveal contact during the first  
103 postoperative 24 hours to be essential to achieve MH closure. This point is supported by  
104 our previous study on air tamponade in combination with a nonsupine positioning  
105 (NSP) regimen where only 70% of MH closed.<sup>11</sup> Possibly, the rapid absorption of  
106 intraocular air allowed early contact between the intraocular fluid and the hole, leading  
107 to an interrupted healing process.

108 There is probably a threshold for the duration a MH can be in contact with fluid  
109 without interfering with the healing and closure of the hole.

110 Patients who for some periods of time position themselves supine, will have a longer  
111 fluid–foveal contact than compliant patients. By measuring the time spent in supine  
112 position, hereafter called “supine time”, we obtained an indirect measurement of the  
113 fluid-foveal contact. The main objectives of the present study were to evaluate the MH  
114 closure rate after a NSP regimen, and to investigate the correlation between the closure  
115 rate and the duration of fluid–foveal contact.

116

## 117 **Methods**

### 118 *Study Design and Participants*

119 This prospective multicenter study was conducted at the Departments of  
120 Ophthalmology at Stavanger University Hospital, Haukeland University Hospital,  
121 Trondheim University Hospital, and the University Hospital of North Norway between  
122 December 2014 and November 2017. We obtained informed written consent from all  
123 participants. The study was approved by the Regional Committee for Medical and  
124 Health Research Ethics, South-East Norway and complied with the tenets of the  
125 Declaration of Helsinki. At ClinicalTrials.gov the study was registered with the  
126 registration number NCT02295943.

127 Inclusion criteria were primary MH, duration of symptoms of less than 24 months  
128 and informed written consent. Exclusion criteria were previous vitrectomy, secondary  
129 MH, myopia greater than 6 diopters, and age younger than 18 years. The primary  
130 outcome measure was primary anatomical closure of the MH assessed by optical  
131 coherence tomography (OCT) two weeks or more after surgery.

132

### 133 *Ophthalmologic Examination and Surgical Procedures*

134 Preoperative examination consisted of visual acuity (VA), Goldmann appplanation  
135 tonometry, slit lamp biomicroscopy, funduscopy, and OCT imaging of the macula.  
136 Visual acuity was measured using Early Treatment Diabetic Retinopathy Study  
137 (ETDRS) or Snellen charts. When a Snellen chart was used, VA was converted to  
138 logarithm of the minimum angle of resolution (logMAR) for statistical analysis.<sup>13</sup> The  
139 participants were also asked for their preferred sleeping position, and the investigators  
140 scored the expected patient compliance to the forthcoming postoperative positioning  
141 regimen on a 4-point scale (0 = no compliance, to 3 = very high compliance). This  
142 scoring of expected patient compliance was based on a purely subjective evaluation of  
143 the study participants' behavior and response during the preoperative examination.  
144 The size of the MH was defined as its minimum horizontal linear diameter (MLD) and  
145 classified according to the International Vitreomacular Traction Study (IVTS) Group  
146 classification.<sup>14</sup>

147 Ten experienced surgeons performed the surgeries which consisted of a standard  
148 three-port PPV with induction of posterior hyaloid separation and dye assisted peeling  
149 of the ILM. Subsequently, the diameter of the ILM peeling was estimated in optic disc  
150 diameters before intraocular SF<sub>6</sub> (26–30%) was installed. In phakic patients, the  
151 decision to do a phacovitrectomy or a sole vitrectomy, was made by the surgeon.

152 Immediately after the surgery, a “positioning measuring device” was attached to the  
153 patient's forehead as previously described.<sup>15</sup> Briefly, this device consists of a tilt switch  
154 connected to a watch, capable to record the accumulated time the patient has kept the  
155 head in supine position. If a patient positioned face down, the measuring device was not  
156 activated and the time spent in face down position was recorded as NSP. The patients  
157 were instructed to follow a NSP regimen, which meant that they could maintain their  
158 daily activities, but had to avoid upward gaze and a supine sleeping position at any time

159 for 3–5 days postoperatively. Based on the surgeon's preference, the so-called tennis  
160 ball technique (TBT) could be applied. The TBT regimen consisted of a tennis ball  
161 attached to the back of the nightshirt during sleep to prevent the patient from sleeping in  
162 a supine position. No patients were instructed to position face-down.

163 On the following day, approximately 24 hours after surgery, the total time the patient  
164 had spent in is supine position was recorded. The patients' compliance was categorized  
165 into three levels as described earlier.<sup>15</sup> Compliant, with less than 1 minute supine time,  
166 moderately compliant with 1 to 30 minutes, and non-compliant with more than 30  
167 minutes supine time. Two weeks or more postoperatively, OCT verification of MH  
168 closure and measurement of VA were obtained.

169

#### 170 *Statistical Analysis*

171 The power analyses on sample size were calculated using SPSS SamplePower 3.0.  
172 Preliminary data on the 24 first subjects from an earlier trial on NSP served as the basis  
173 for the power analysis.<sup>15</sup> Mean supine time in that sample was 6 minutes and 34  
174 seconds, and the threshold for non-compliance to the NSP regimen was set to 30  
175 minutes. These data were then log transformed for the power analysis to 4.4 and 7.5,  
176 respectively. One goal of the study was to test the null hypothesis that there is no  
177 relationship between predictor 1 (supine time) and the closure rate. Under the null, the  
178 closure rate (0.90) is the same at all values of predictor 1. Or, equivalently, the odds  
179 ratio is 1.0, the log odds ratio (beta) is 0.0, and the relative risk is 1.0. Power was  
180 computed to reject the null under the following alternate hypotheses. For Predictor 1  
181 values of 4.4 and 7.5, the expected closure rates are 0.90 and 0.80. This corresponds to  
182 an odds ratio of 0.44, beta (log odds ratio) of -0.26, and a relative risk of 0.89. This  
183 effect was selected as the smallest effect that would be important to detect, in the sense



184 that any smaller effect would not be of clinical or substantive significance. It was also  
185 assumed that this effect size was reasonable, in the sense that an effect of this  
186 magnitude could be anticipated in this field of research. In these computations, we  
187 assumed that the mean Predictor 1 value would be 4.4 with a standard deviation (SD)  
188 of 2.0, and that the event rate at this mean would be 0.90. The significance level(alpha)  
189 was set at 0.05 with a 2-tailed test. For this distribution (Predictor 1 mean of 4.4,  
190 standard deviation of 2.0), baseline (event rate of 0.90 at the mean), effect size (log odds  
191 ratio of -0.26), sample size (320), and alpha (0.05, 2-tailed), power is 0.80.

192 Mann–Whitney’s U test was used for comparisons between groups containing  
193 continuous variables and the chi-squared test was used for comparing categorical  
194 variables. The Wilcoxon signed-rank test was used to compare pre- and postoperative  
195 VA and the Spearman’s correlation to compare the investigators preoperative  
196 compliance scoring and actual supine time. A two-tailed  $P$  value  $\leq 0.05$  was considered  
197 statistically significant. Statistical analyses were made using SPSS statistics, software  
198 version 24 (SPSS Inc., Chicago, IL).

199

## 200 **Results**

### 201 *Participants*

202 Between December 2014 and June 2017, 205 participants with MH were enrolled in the  
203 study. In four patients, the recorded supine time was considered unreliable, as the  
204 positioning monitoring device loosened during sleep in three patients and was  
205 accidentally removed during morning care in one patient. Consequently, 201 patients  
206 had valid measurements of the supine time during the first 24 hours after surgery. One  
207 patient was lost to follow-up due to a stroke, and we were not able to determine if this  
208 patient’s MH had closed. Another patient was examined too early after surgery, failing

209 to meet the primary endpoint. This patient was therefore excluded from the closure rate  
210 calculation, even though the hole was closed at the examination 10 days after surgery.  
211 We were able to obtain postoperative OCT imaging and VA measurements in 204  
212 patients, of which 203 met the criteria for the primary endpoint.

213 Table 1 summarizes the baseline and perioperative characteristics. The mean (SD)  
214 age was 69.8 (6.5) years and the mean (SD) duration of symptoms was 6.3 (4.6)  
215 months. Based on their MLD, 51% of the MH were classified as large ( $> 400 \mu\text{m}$ ), 32%  
216 as medium ( $> 250 - \leq 400 \mu\text{m}$ ) and 17% as small ( $\leq 250 \mu\text{m}$ ). All patients were  
217 instructed to adhere to the NSP regimen, whereas 131 of them combined it with the  
218 TBT.

219

#### 220 *Anatomical Results*

221 Two hundred and two out of 203 MH were closed after primary surgery, which  
222 corresponds to a closure rate of 99.5% (95% CI: 97.3 – 99.9 %) (Table 2). Given the  
223 planned sample size of 320 participants, the very high closure rate of the 205 enrolled  
224 patients made it unlikely that we would be able to determine any correlation between  
225 the supine time and the closure rate. For that reason we decided to terminate the study.

226

#### 227 *Patient Compliance*

228 The overall median supine time for the first 24 hours after surgery was 29 seconds  
229 (mean, 00:07:07; range, 00:00:00 – 01:58:28). Without the use of the TBT, the median  
230 supine time was 1 minute and 21 seconds (mean, 00:11:07; range, 00:00:00 – 01:47:48)  
231 compared to only 19 seconds (mean, 0:04:48; range, 00:00:00 – 01:52:28) with the TBT  
232 ( $P = 0.02$ ).

233

### 234 *Compliance Scoring*

235 The investigators' preoperative scoring of patient compliance on the 4-point scale  
236 correlated significantly with the patients' actual compliance ( $r = -0.301, P < 0.01$ )  
237 (Figure 1). There was no significant difference between the patients' self-reported  
238 preferred sleeping position (supine, face-down or side) and their supine time.

239

### 240 *Functional Results*

241 Median VA improved significantly with 3.8 ETDRS lines (mean, 4.0; range, -  
242 1.0–14.8) from logMAR 0.7 (mean, 0.7; range, 0.15–1.8) to logMAR 0.3 (mean, 0.3;  
243 range, -0.11–1.48) during the study ( $P < 0.001$ ) (Table 2). This is approximately  
244 equivalent to an improvement in Snellen VA from 20/100 to 20/40. The majority of  
245 patients (78.1%) gained more than two ETDRS lines.

246

### 247 **Discussion**

248 We have studied the MH closure rate after surgery, when using a NSP regimen.  
249 Unfortunately, a sample size of 205 patients of whom 203 patients had a closure rate  
250 of 99.5%, was not sufficient to determine any correlation between the time spent in  
251 supine position and the MH closure rate. We assumed that enrollment of another 115  
252 patients would not alter the study's ability to meet its endpoint, and the study was  
253 therefore terminated after the enrollment of 205 patients. To investigate a correlation  
254 between supine time and MH closure is not practicable when the closure rate  
255 approximates 100%. The rationale for anticipating a 90% closure rate in the present  
256 study lies in the result of other prospective trials, where the closure rates after  
257 surgery with ILM peeling range between 84% and 93%.<sup>10, 16-19</sup> Moreover,  
258 prospective multicenter studies with many participating surgeons are likely to

259 achieve inferior results compared to other studies, as was the case in a previous  
260 study on retinal detachment.<sup>20</sup> With this in mind, it was surprising to achieve the  
261 extraordinary high closure rate of 99.5%.

262 All patients were instructed to avoid upward gaze and a supine sleeping position  
263 postoperatively for 3–5 days. Therefore, this study provides strong evidence for  
264 abandoning the unpleasant face-down positioning regimen after MH surgery. Our  
265 results confirm the finding by Tadayoni et al. and Alberti and la Cour, who in  
266 randomized controlled studies showed that postoperative NSP was non-inferior to face  
267 down positioning.<sup>7, 10</sup> The present study was not randomized, which may weaken the  
268 impact of our findings. Nevertheless, given such a high closure rate, a randomized trial  
269 would probably have limited additional value.

270 The TBT regimen led to a significantly shorter median supine time during sleep with  
271 00:00:19 compared to 00:01:21 in the group not following the TBT regimen. Although  
272 our study was not designed to investigate the comparison of NSP and NSP-TBT, this  
273 finding confirms the results of an earlier study on postoperative positioning  
274 compliance.<sup>15</sup> In the present study, the difference in compliance did not have any effect  
275 on the closure rate. According to a study by Alberti and la Cour, intraocular fluid  
276 interrupted the gas–foveal contact with a median of 44 times over 24 hours in the  
277 setting of a NSP regimen.<sup>21</sup> Consequently, several minor interruptions of the gas–foveal  
278 contact do not substantially interfere with MH closure. Two possible reasons for the low  
279 impact of such interruptions on the healing process need attention. First, when the  
280 gas–foveal contact prevents influx of fluid into the retina, the retinal pigment epithelium  
281 pump effectively reduces the intraretinal edema and facilitates fusion of the MH  
282 edges.<sup>22</sup> Minor interruptions of the gas–foveal contact are probably too short to allow  
283 intraocular fluid to accumulate in the retinal tissue and keep the MH open.

284 Consequently, there could be some tolerance for fluid during the process of MH closure.  
285 Second, MH may already have sealed prior to these interruptions. As reported by  
286 Kikushima et al, the postoperative healing process starts immediately, and they  
287 observed closure of MH as soon as 20 minutes after surgery.<sup>23</sup> In the present study, the  
288 time interval from surgery to bed-time was likely to exceed eight hours. It is thus likely  
289 that some of the MH had already closed before the patients went to sleep, making the  
290 nocturnal positioning compliance irrelevant. This could also explain the fact that in 15  
291 (7.5%) of the patients, the MH closed despite more than 30 minutes (range, 00:34:17 –  
292 01:52:28) in supine position. In these cases, longer periods of contact between the MH  
293 and the intraocular fluid seemed to be well tolerated.

294 The investigators' subjective preoperative scoring of the patients' positioning  
295 compliance proved to correlate significantly with their actual compliance. With this in  
296 mind, it makes sense to enhance the patient's ability to follow the NSP regimen by  
297 means of the TBT in those who are assumed to have a low level of compliance.

298 To our knowledge, this is the largest prospective study on positioning of patients  
299 after MH surgery to date. It demonstrates that a short-term NSP regimen combined with  
300 a short acting intraocular tamponade like SF<sub>6</sub>, is probably sufficient to obtain excellent  
301 closure rates. A tennis ball attached to the back of patients' nightshirt is a useful tool to  
302 support patient compliance. Further studies are needed to understand the process of MH  
303 closure in relation to interruptions of the gas–foveal contact.

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305

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## 397 **Figure Legends**

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399

### 400 **Figure 1.**

401 Box plot illustrating the three groups of assumed compliance scored by the investigators  
402 during the preoperative examination, and the actual supine time after surgery. The  
403 length of the box indicates the interquartile range (IQR) and the whiskers represent the  
404 1.5 IQR. The line within the box shows the median. Outliers are indicated with a circle  
405 (o), and extreme outliers are indicated with an asterisk (\*)

406



**Table 1.**

Baseline and perioperative characteristics of the study participants.

Parameters	Entire cohort ( <i>n</i> = 205)
Male, no. (%)	71 (35)
Age, mean (SD), years	69.8 (6.5)
Pseudophakia, no. (%)	41 (20)
Duration of symptoms, mean (SD), months	6.3 (4.6)
Preoperative VA, median	
logMAR (range)	0.7 (0.2 – 1.8)
Snellen	20/100
MLD, mean (SD), $\mu\text{m}$	411 (161)
BD, mean (SD), $\mu\text{m}$	850 (268)
MH size, no. (%)	
Large (> 400 $\mu\text{m}$ )	105 (51)
Medium (> 250 – $\leq$ 400 $\mu\text{m}$ )	66 (32)
Small ( $\leq$ 250 $\mu\text{m}$ )	34 (17)
VMT, no. (%)	65 (32)
ERM, no. (%)	63 (31)
Phakovitrectomy, no. (%)	147 (72)
SF <sub>6</sub> concentration, median (range), vol%	30 (26 – 30)
Diameter of ILM peeling, median (range), ODD	2.25 (1.0 – 4.5)
Type of dye used for ILM peeling, no. (%)	
Indocyanine green	60 (29)
Brilliant blue G	87 (42)
Trypan blue	58 (28)

BD = base diameter; ERM = epiretinal membrane; ILM = internal limiting membrane; logMAR = logarithm of the minimum angle of resolution; ODD = optic disc diameter; MH = macular hole; MLD = minimum linear horizontal diameter; SD = standard deviation; VA = visual acuity; VMT = vitreomacular traction.

**Table 2.**

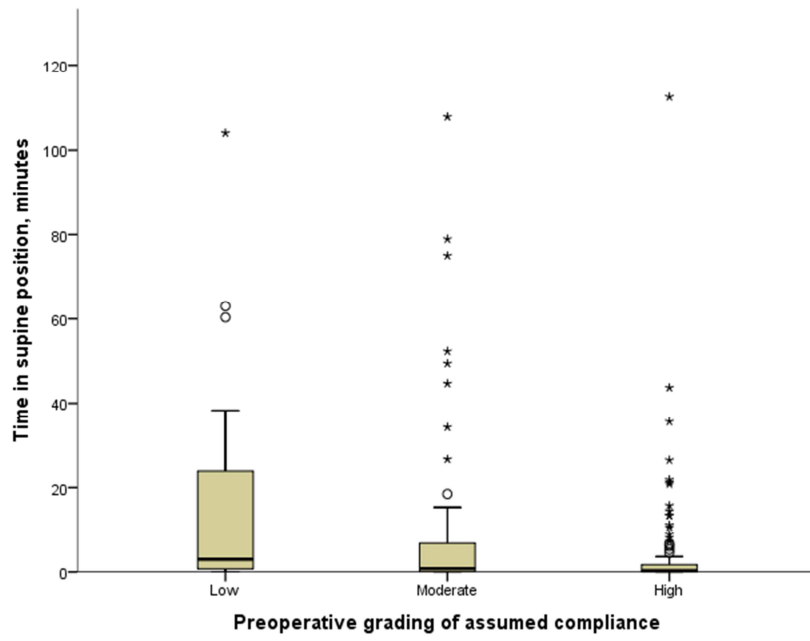
Anatomical and functional results.

	<b>Cohort</b>	<b>NSP</b>	<b>NSP with TBT</b>	<b>P</b>	<b>n*</b>
MH closure, no./no. (%)	202/203 (99.5)	74/74 (100)	128/129 (99.2)	0.45	203
Time in supine position, hh:mm:ss					
Median (range)	00:00:28 (00:00:00 – 01:52:28)	00:01:21(00:00:00 – 01:47:48)	00:00:19 (00:00:00 – 01:52:28)	0.002	201
Mean (SD)	00:07:07 (00:18:03)	00:11:07 (00:23:09)	00:04:48 (00:13:51)		
Median postoperative VA					
logMAR (range)	0.3 (-0.1 – 1.5)	0.3 (0.0 – 1.5)	0.3 (-0.1 – 1.2)	0.40	203
Snellen	20/40	20/40	20/40		
VA gain, median (range), ETDRS lines	3.8 (-1.0 – 14.8)	3.0 (0.0 – 14.8)	4.0 (-1.0 – 11.8)	0.11	203
SRF, no./no. (%)	63/191 (33.0)	25/74 (33.8)	38/117 (32.5)	0.85	191
Median time to last examination, weeks (range)	5 (2 – 111)	5 (2 – 23)	5 (2 – 111)	0.01	203

ETDRS = Early Treatment Diabetic Retinopathy Study; logMAR = logarithm of the minimum angle of resolution; MH = macular hole; NSP = nonsupine positioning; SD = standard deviation; SRF = subretinal fluid; TBT = tennis ball technique; VA = visual acuity.

\* Number of analyzed eyes.

Figure 1



**Précis**

This large, prospective multicenter study shows that uncomfortable postoperative face-down posturing is unnecessary for successful macular hole surgery.

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