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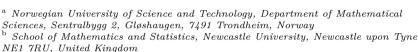
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# Maximal $\tau_d$ -rigid pairs





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### ABSTRACT

Let  $\mathscr{T}$  be a 2-Calabi–Yau triangulated category, T a cluster tilting object with endomorphism algebra  $\Gamma$ . Consider the functor  $\mathscr{T}(T,-):\mathscr{T}\to\operatorname{mod}\Gamma$ . It induces a bijection from the isomorphism classes of cluster tilting objects to the isomorphism classes of support  $\tau$ -tilting pairs. This is due to Adachi, Ivama, and Reiten.

The notion of (d+2)-angulated categories is a higher analogue of triangulated categories. We show a higher analogue of the above result, based on the notion of maximal  $\tau_d$ -rigid pairs.

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## 0. Introduction

In triangulated categories, the notions of *cluster tilting objects* (introduced in [4, p. 583]) and *maximal rigid objects* have recently been extensively investigated. They

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frequently coincide, by [22, thm. 2.6], and they are closely linked to the notion of *support*  $\tau$ -tilting pairs in abelian categories (introduced in [1, def. 0.3]). Indeed, there is often a bijection between the cluster tilting objects in a triangulated category and the support  $\tau$ -tilting pairs in a suitable (abelian) module category, see [1, thm. 4.1].

This paper investigates the analogous theory in (d+2)-angulated and d-abelian categories, which are the main objects of higher homological algebra, see [8, def. 2.1] and [15, def. 3.1]. Several key properties from the classic case do not carry over. For example, cluster tilting objects are maximal d-rigid, but the converse is rarely true. Moreover, the higher analogue of support  $\tau$ -rigid pairs permit a bijection to the maximal d-rigid objects, but not to the cluster tilting objects.

For further reading in higher homological algebra a number of references have been included in the bibliography, see [3], [6], [7], [8], [9], [10], [11], [12], [13], [14], [15], [16], [17], [18], [19], [20], [21].

Let k be an algebraically closed field,  $d \ge 1$  an integer,  $\mathscr{T}$  a k-linear Hom-finite (d+2)-angulated category with split idempotents, see [8, def. 2.1]. Assume that  $\mathscr{T}$  is 2d-Calabi–Yau, see [21, def. 5.2], and let  $\Sigma^d$  denote the d-suspension functor of  $\mathscr{T}$ .

Cluster tilting and maximal d-rigid objects. An object  $X \in \mathcal{T}$  is d-rigid if  $\operatorname{Ext}_{\mathcal{T}}^d(X,X) = 0$ . We recall three important definitions.

**Definition 0.1** ([21, def. 5.3]). An object  $X \in \mathcal{T}$  is Oppermann–Thomas cluster tilting in  $\mathcal{T}$  if:

- (i) X is d-rigid.
- (ii) For any  $Y \in \mathcal{T}$  there exists a (d+2)-angle

$$X_d \to \cdots \to X_0 \to Y \to \Sigma^d X_d$$

with  $X_i \in \operatorname{add} X$  for all  $0 \le i \le d$ .

**Definition 0.2.** An object  $X \in \mathcal{T}$  is d-self-perpendicular in  $\mathcal{T}$  if

$$\operatorname{add} X = \{ \, Y \in \mathscr{T} \mid \operatorname{Ext}_{\mathscr{T}}^d(X, Y) = 0 \, \}.$$

**Definition 0.3.** An object  $X \in \mathcal{T}$  is maximal d-rigid in  $\mathcal{T}$  if

$$\operatorname{add} X = \{ Y \in \mathcal{T} \mid \operatorname{Ext}_{\mathcal{T}}^{d}(X \oplus Y, X \oplus Y) = 0 \}.$$

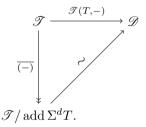
Our first main result is:

**Theorem A.** X is Oppermann–Thomas cluster tilting  $\Rightarrow$  X is d-self-perpendicular  $\Rightarrow$  X is maximal d-rigid.

We prove this in Theorem 1.1. Of equal importance is that the implications cannot be reversed in general, see Remark 1.2. In particular, when  $d \ge 2$ , the class of maximal d-rigid objects is typically strictly larger than the class of Oppermann–Thomas cluster tilting objects, in contrast to the classic case d = 1 where the two classes usually coincide, see [22, thm. 2.6].

**Maximal**  $\tau_d$ -rigid pairs. Let  $T \in \mathscr{T}$  be an Oppermann–Thomas cluster tilting object and let  $\Gamma = \operatorname{End}_{\mathscr{T}}(T)$ . Recall the following result.

**Theorem 0.4** ([14, thm. 0.6]). Consider the essential image  $\mathscr{D}$  of the functor  $\mathscr{T}(T, -)$ :  $\mathscr{T} \to \operatorname{mod} \Gamma$ . Then  $\mathscr{D}$  is a d-cluster tilting subcategory of  $\operatorname{mod} \Gamma$ . There is a commutative diagram, as shown below, where the vertical arrow is the quotient functor and the diagonal arrow is an equivalence of categories:



The category  $\mathscr{D}$  is a d-abelian category by [15, thm. 3.16]. It has a d-Auslander–Reiten translation  $\tau_d$ , which is a higher analogue of the classic Auslander–Reiten translation  $\tau$ , see [12, sec. 1.4.1]. A module  $M \in \mathscr{D}$  is called  $\tau_d$ -rigid if  $\operatorname{Hom}_{\Gamma}(M, \tau_d M) = 0$ .

**Remark 0.5.** The classic add-proj-correspondence holds, as  $\mathcal{T}(T, -)$  restricts to an equivalence add  $T \to \operatorname{proj} \Gamma$ . The functor also restricts to an equivalence add  $ST \to \operatorname{inj} \Gamma$ . [14, lem. 2.1]

It is natural to ask if  $\mathscr{D}$  permits a higher analogue of the  $\tau$ -tilting theory of [1]. We will not answer this question, but will instead introduce the following definitions inspired by it.

**Definition 0.6.** A pair (M, P) with  $M \in \mathcal{D}$  and  $P \in \operatorname{proj} \Gamma$  is called a  $\tau_d$ -rigid pair in  $\mathcal{D}$  if M is  $\tau_d$ -rigid and  $\operatorname{Hom}_{\Gamma}(P, M) = 0$ .

**Definition 0.7.** A pair (M, P) with  $M \in \mathcal{D}$  and  $P \in \operatorname{proj} \Gamma$  is called a maximal  $\tau_d$ -rigid pair in  $\mathcal{D}$  if it satisfies:

(i) If  $N \in \mathcal{D}$  then

$$N \in \operatorname{add} M \Leftrightarrow \begin{cases} \operatorname{Hom}_{\Gamma}(M, \tau_{d}N) = 0, \\ \operatorname{Hom}_{\Gamma}(N, \tau_{d}M) = 0, \\ \operatorname{Hom}_{\Gamma}(P, N) = 0. \end{cases}$$

(ii) If  $Q \in \operatorname{proj} \Gamma$ , then

$$Q \in \operatorname{add} P \Leftrightarrow \operatorname{Hom}_{\Gamma}(Q, M) = 0.$$

A maximal  $\tau_d$ -rigid pair is a  $\tau_d$ -rigid pair.

Our second main result is:

**Theorem B.** If each indecomposable object of  $\mathcal{T}$  is d-rigid, then there is a bijection

$$\left\{\begin{array}{c} isomorphism\ classes\ of\\ maximal\ d\mbox{-}rigid\ objects\ in\ \mathscr{T} \end{array}\right\} \rightarrow \left\{\begin{array}{c} isomorphism\ classes\ of\\ maximal\ \tau_d\mbox{-}rigid\ pairs\ in\ \mathscr{D} \end{array}\right\}.$$

We prove this in Section 3. If d = 1, then (M, P) is a maximal  $\tau_1$ -rigid pair if and only if it is a support  $\tau$ -tilting pair in the sense of [1, def. 0.3(b)], see [1, def. 0.3, prop. 2.3, and cor. 2.13]. Hence Theorem B is a higher analogue of the bijection

$$\left\{\begin{array}{l} \text{isomorphism classes of} \\ \text{cluster tilting object in } \mathscr{T} \right\} \rightarrow \left\{\begin{array}{l} \text{isomorphism classes of} \\ \text{support } \tau\text{-tilting pairs in mod } \Gamma \end{array}\right\}$$

which exists by [1, thm. 4.1] when  $\mathscr{T}$  is triangulated, i.e. in the case d=1. However, when  $d \geq 2$ , we do not think of maximal  $\tau_d$ -rigid pairs as support  $\tau_d$ -tilting pairs. The reason is that by Theorem B, maximal  $\tau_d$ -rigid pairs are linked to maximal d-rigid objects in higher angulated categories. As remarked above, this class is typically strictly larger than the class of Oppermann–Thomas cluster tilting objects when  $d \geq 2$ .

Note that [19] makes an approach to higher support tilting theory.

This paper is organised as follows: Section 1 proves Theorem A, Section 2 investigates the precise relation between Hom spaces in  $\mathcal{T}$  and  $\mathcal{D}$ , Section 3 proves Theorem B, and Section 4 gives an example.

**Setup 0.8.** Throughout the paper we use the following notation:

- k: An algebraically closed field.
- D: The duality functor  $\operatorname{Hom}_k(-,k)$ .
- $\mathscr{T}$ : A k-linear, Hom-finite, (d+2)-angulated category with split idempotents. We assume that  $\mathscr{T}$  is 2d-Calabi–Yau, that is  $\mathscr{T}(X,Y)\cong D\mathscr{T}(Y,\Sigma^{2d}X)$  naturally in  $X,Y\in\mathscr{T}$ .

- $\Sigma^d$ : The d-suspension functor on  $\mathscr{T}$ .
- T: An Oppermann-Thomas cluster tilting object in  $\mathscr{T}$ .
- $\overline{(-)}$ : The canonical functor  $\mathscr{T} \to \mathscr{T}/\operatorname{add} \Sigma^d T$ , whose target is the naive quotient category of  $\mathscr{T}$  modulo the morphisms which factor through an object in  $\operatorname{add} \Sigma^d T$ .
  - $\Gamma$ : The endomorphism ring  $\operatorname{End}_{\mathscr{T}}(T)$ .
  - $\nu_{\Gamma}$ : The Nakayama functor on mod  $\Gamma$ .
  - $\tau_d$ : The d-Auslander–Reiten translation on mod  $\Gamma$ .
  - $\mathscr{D}$ : The essential image of the functor  $\mathscr{T}(T,-):\mathscr{T}\to\operatorname{mod}\Gamma$ .

# 1. Proof of Theorem A

**Theorem 1.1.** Let  $X \in \mathcal{T}$  be given.

(i) There are implications

$$X$$
 is Oppermann-Thomas cluster tilting  $\begin{tabular}{c} & & & \downarrow \\ & & X \ is \ d\text{-self-perpendicular} \\ & & & \downarrow \\ & & X \ is \ maximal \ d\text{-rigid} \\ & & & \downarrow \\ & & X \ is \ d\text{-rigid}. \end{tabular}$ 

(ii) If each indecomposable object in  $\mathcal{T}$  is d-rigid, then

X is d-self-perpendicular  $\Leftrightarrow X$  is maximal d-rigid.

- **Proof.** (i), the first implication: Suppose X is Oppermann–Thomas cluster tilting. We must prove the equality in Definition 0.2, and the inclusion  $\subseteq$  is clear. For the inclusion  $\supseteq$ , suppose  $\operatorname{Ext}_{\mathscr{T}}^d(X,Y)=0$ . Then each morphism  $X_0\to \Sigma^d Y$  with  $X_0\in\operatorname{add} X$  is zero. This applies in particular to the (d+2)-angle  $X_d\to\cdots\to X_0\to \Sigma^d Y\to \Sigma^d X_d$  with  $X_i\in\operatorname{add} X$ , which exists since X is Oppermann–Thomas cluster tilting. But then the morphism  $\Sigma^d Y\to \Sigma^d X_d$  is a split monomorphism, and applying  $\Sigma^{-d}$  gives a split monomorphism  $Y\to X_d$  proving  $Y\in\operatorname{add} X$ .
- (i), the second implication: Suppose that X is d-self-perpendicular. We must prove the equality in Definition 0.3, and the inclusion  $\subseteq$  is clear. For the inclusion  $\supseteq$ , suppose  $\operatorname{Ext}_{\mathscr{T}}^d(X \oplus Y, X \oplus Y) = 0$ . Then in particular,  $\operatorname{Ext}_{\mathscr{T}}^d(X, Y) = 0$ , whence  $Y \in \operatorname{add} X$ .
  - (i), the third implication: This is clear.
- (ii): Suppose that each indecomposable object in  $\mathscr{T}$  is d-rigid. Because of part (i), it is enough to prove the implication  $\Leftarrow$  in (ii), so suppose that X is maximal d-rigid. We must prove the equality in Definition 0.2, and  $\subseteq$  is clear.

For the inclusion  $\supseteq$ , observe that  $\{Y \in \mathcal{T} \mid \operatorname{Ext}^d_{\mathcal{T}}(X,Y) = 0\}$  is closed under direct sums and summands by additivity of Ext. Hence it is enough to suppose that Y is an

indecomposable object in this set and prove  $Y \in \operatorname{add} X$ . However,  $\operatorname{Ext}_{\mathscr{T}}^d(X,Y) = 0$  implies  $\operatorname{Ext}_{\mathscr{T}}^d(Y,X) = 0$  because  $\mathscr{T}$  is 2d-Calabi–Yau, and  $\operatorname{Ext}_{\mathscr{T}}^d(Y,Y) = 0$  by assumption. Finally, X is d-rigid by part (i), so  $\operatorname{Ext}_{\mathscr{T}}^d(X,X) = 0$ . Combining these equalities shows  $\operatorname{Ext}_{\mathscr{T}}^d(X \oplus Y, X \oplus Y) = 0$ , and  $Y \in \operatorname{add} X$  follows.  $\square$ 

# **Remark 1.2.** The implications in Theorem 1.1(i) cannot be reversed in general:

- An example of a d-self-perpendicular object X which is not Oppermann-Thomas cluster tilting is given in Section 4. In fact, the objects in the last three rows of Fig. 4 are such examples. The example was originally given in [21, p. 1735].
- An example of a maximal d-rigid object which is not d-self-perpendicular can be obtained by combining proposition 2.6 and corollary 2.7 in [5]. These results give a maximal 1-rigid object which is not cluster tilting, but in the triangulated setting of [5], cluster tilting is equivalent to 1-self-perpendicular, see [5, bottom of p. 963].
- Finally, an example of a d-rigid object which is not maximal d-rigid is the zero object, as soon as  $\mathcal{T}$  has a non-zero d-rigid object.

We end the section by observing that Theorem 1.1(ii) can be applied to an important class of categories.

**Proposition 1.3.** Let  $\Lambda$  be a d-representation finite algebra,  $\mathscr{O}_{\Lambda}$  the (d+2)-angulated cluster category associated to  $\Lambda$  in [21, thm. 5.2]. Then each  $X \in \mathscr{O}_{\Lambda}$  satisfies

X is d-self-perpendicular  $\Leftrightarrow X$  is maximal d-rigid.

**Proof.** Each indecomposable in  $\mathcal{O}_{\Lambda}$  is d-rigid by [21, Lemma 5.41], so the equivalence follows from Theorem 1.1(ii).  $\square$ 

# 2. A dimension formula for $\operatorname{Ext}_{\mathscr{T}}^d$

Recall from Setup 0.8 that T is a fixed Oppermann–Thomas cluster tilting object in  $\mathscr{T}$ , and that  $\mathscr{T}$  is 2d-Calabi–Yau, that is,  $\mathscr{T}(X,Y)\cong D\mathscr{T}(Y,\Sigma^{2d}X)$  naturally in  $X,Y\in\mathscr{T}$ .

Lemma 2.1. There is a natural isomorphism

$$\nu_{\Gamma} \mathscr{T}(T, T') \cong \mathscr{T}(T, \Sigma^{2d}(T'))$$

for  $T' \in \operatorname{add} T$ .

**Proof.** By the 2d-Calabi-Yau property we have

$$\mathscr{T}(T, \Sigma^{2d}(T')) \cong D\mathscr{T}(T', T).$$

By [14, Lemma 2.2(i)],

$$D\mathscr{T}(T',T) \cong DHom_{\Gamma}(\mathscr{T}(T,T'),\mathscr{T}(T,T)) = DHom_{\Gamma}(\mathscr{T}(T,T'),\Gamma).$$

Finally, by definition we have

$$DHom_{\Gamma}(\mathscr{T}(T,T'),\Gamma) = \nu_{\Gamma}\mathscr{T}(T,T'),$$

see [2, def. III.2.8].

**Lemma 2.2.** If  $X \in \mathcal{T}$  has no non-zero direct summands in add  $\Sigma^d T$ , then there exists a (d+2)-angle

$$T_d \to \cdots \to T_0 \to X \to \Sigma^d T_d$$

in  $\mathscr{T}$  with the following properties: Each  $T_i$  is in add T, and applying the functor  $\mathscr{T}(T,-)$  gives a complex

$$\mathcal{T}(T,T_d) \to \cdots \to \mathcal{T}(T,T_0) \to \mathcal{T}(T,X) \to 0$$

which is the start of the augmented minimal projective resolution of  $\mathcal{T}(T,X)$ .

**Proof.** Given X, there exists a (d+2)-angle

$$\Sigma^{-d}X \to T_d \to \cdots \to T_0 \to X$$

with each  $T_i$  in add T by Definition 0.1. Since X has no non-zero direct summands in add  $\Sigma^d T$ , the first morphism in the (d+2)-angle is in the radical of  $\mathscr{T}$ . By dropping trivial summands of the form  $T' \xrightarrow{\cong} T'$ , we can assume that so are the other morphisms except the last morphism.

By [8, prop. 2.5(a)], applying the functor  $\mathcal{T}(T,-)$  gives an exact sequence

$$\mathscr{T}(T,\Sigma^{-d}X)\to\mathscr{T}(T,T_d)\to\cdots\to\mathscr{T}(T,T_0)\to\mathscr{T}(T,X)\to\mathscr{T}(T,\Sigma^dT_d)=0.$$

By Theorem 0.4, applying the functor  $\mathscr{T}(T,-)$  is, up to isomorphism, just to apply a quotient functor, and this preserves radical morphisms. So in the exact sequence each morphism, except possibly  $\mathscr{T}(T,T_0) \to \mathscr{T}(T,X)$ , is in the radical of mod  $\Gamma$ . This proves the claim of the lemma.  $\square$ 

**Lemma 2.3.** If  $X \in \mathcal{T}$  has no non-zero direct summands in add  $\Sigma^d T$ , then there is a natural isomorphism

$$\tau_d \mathscr{T}(T, X) \cong \mathscr{T}(T, \Sigma^d X).$$

**Proof.** As X has no non-zero direct summands in add  $\Sigma^d T$ , we can consider the (d+2)-angle from Lemma 2.2. Apply  $\mathcal{T}(T,-)$  to get the following part of an augmented minimal projective resolution in mod  $\Gamma$ :

$$\mathcal{T}(T, T_d) \to \cdots \to \mathcal{T}(T, T_0) \to \mathcal{T}(T, X) \to 0.$$

Using the Nakayama functor and Lemma 2.1 we get the following commutative diagram.

The top sequence is exact by the definition of  $\tau_d$ , see [12, sec. 1.4.1]. The bottom sequence is exact because it is obtained by applying  $\operatorname{Hom}_{\mathscr{T}}(T,-)$  to a (d+2)-angle in  $\mathscr{T}$ , see [8, prop. 2.5(a)]. The first term of the bottom sequence is actually  $\mathscr{T}(T, \Sigma^d T_0)$ , but this is zero. Since we have  $d \geq 1$ , the diagram implies

$$\tau_d \mathscr{T}(T, X) \cong \mathscr{T}(T, \Sigma^d X). \quad \Box$$

We write  $[\operatorname{add} T](X,Y) = \{ f \in \mathscr{T}(X,Y) \mid f \text{ factors through an object of } \operatorname{add} T \}.$ 

Lemma 2.4. There is a natural isomorphism

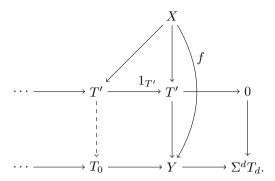
$$D[\operatorname{add} T](X,Y) \cong \operatorname{Hom}_{\mathscr{T}/\operatorname{add} \Sigma^d T}(\overline{Y}, \overline{\Sigma^{2d} X})$$

for  $X, Y \in \mathcal{T}$ .

**Proof.** Pick a (d+2)-angle in  $\mathscr{T}$ :

$$T_d \to \ldots \to T_0 \to Y \to \Sigma^d T_d$$

with  $T_i \in \operatorname{add} T$ . Use  $\mathscr{T}(X, -)$  to obtain the morphism  $\Psi : \mathscr{T}(X, T_0) \to \mathscr{T}(X, Y)$ . This is a homomorphism of k-vector spaces, hence we can talk about the image of  $\Psi$ . We first note that any morphism f in the image of  $\Psi$  must factor through  $\operatorname{add} T$ . Now suppose  $f \in \mathscr{T}(X, Y)$  factors through  $T' \in \operatorname{add} T$ . We have the following commutative diagram, where the lower row is a part of the (d+2)-angle above:



The dashed arrow exists by completing the commutative square to a morphism of (d + 2)-angles. We conclude that  $f \in \text{Im }\Psi$ . Hence

$$\operatorname{Im} \Psi = [\operatorname{add} T](X, Y).$$

We now return to the long exact sequence

$$\cdots \to \mathscr{T}(X, T_0) \xrightarrow{\Psi} \mathscr{T}(X, Y) \to \mathscr{T}(X, \Sigma^d T_d) \to \cdots$$

Using the duality functor D and Serre duality we get the following diagram with exact rows:

$$D\mathscr{T}(X,\Sigma^{d}T_{d}) \xrightarrow{D\Psi} D\mathscr{T}(X,T_{0})$$

$$\downarrow \downarrow \qquad \qquad \downarrow \downarrow \qquad \downarrow \qquad \qquad \downarrow \downarrow \qquad$$

Analogous to the above discussion, the space  $[\operatorname{add} \Sigma^d T](Y, \Sigma^{2d} X)$  is the image of the map  $\alpha'$ . Hence  $\alpha$  is the kernel of  $\beta'$  and  $\operatorname{D}\Psi$  (by isomorphism). The morphism  $\beta$  is by definition the cokernel of  $\alpha$ , and  $\mathscr{T}(Y, \Sigma^{2d} X)/[\operatorname{add} \Sigma^d T](Y, \Sigma^{2d} X)$  is thus the image of  $\operatorname{D}\Psi$ . Thus we have

$$\begin{split} \operatorname{D}[\operatorname{add} T](X,Y) &\cong \operatorname{D} \operatorname{Im} \Psi \cong \operatorname{Im} \operatorname{D} \Psi \cong \mathscr{T}(Y,\Sigma^{2d}X)/[\operatorname{add} \Sigma^d T](Y,\Sigma^{2d}X) \\ &\cong \operatorname{Hom}_{\mathscr{T}/\operatorname{add} \Sigma^d T}(\overline{Y},\overline{\Sigma^{2d}X}). \quad \Box \end{split}$$

**Lemma 2.5.** Suppose  $X, Y \in \mathcal{T}$ . Then we have a short exact sequence

$$0 \to \mathrm{DHom}_{\mathscr{T}/\operatorname{add}\Sigma^dT}(\overline{Y}, \overline{\Sigma^dX}) \to \mathrm{Ext}_{\mathscr{T}}^d(X,Y) \to \mathrm{Hom}_{\mathscr{T}/\operatorname{add}\Sigma^dT}(\overline{X}, \overline{\Sigma^dY}) \to 0.$$

**Proof.** By the definition of the quotient functor we have a short exact sequence

$$0 \to [\operatorname{add} \Sigma^d T](X, \Sigma^d Y) \to \mathscr{T}(X, \Sigma^d Y) \to \operatorname{Hom}_{\mathscr{T}/\operatorname{add} \Sigma^d T}(\overline{X}, \overline{\Sigma^d Y}) \to 0.$$

We have  $[\operatorname{add} \Sigma^d T](X, \Sigma^d Y) \cong [\operatorname{add} T](\Sigma^{-d} X, Y)$ . By Lemma 2.4 we have

$$[\operatorname{add} T](\Sigma^{-d}X,Y) \cong \operatorname{DHom}_{\mathscr{T}/\operatorname{add} \Sigma^{d}T}(\overline{Y},\overline{\Sigma^{2d}\Sigma^{-d}X}) \cong \operatorname{DHom}_{\mathscr{T}/\operatorname{add} \Sigma^{d}T}(\overline{Y},\overline{\Sigma^{d}X}).$$

We also know that  $\mathscr{T}(X,\Sigma^dY)\cong \operatorname{Ext}^d_{\mathscr{T}}(X,Y)$ , so the conclusion follows.  $\square$ 

**Lemma 2.6.** Suppose  $X,Y \in \mathcal{T}$  have no non-zero direct summands in add  $\Sigma^d T$ . Then we have a short exact sequence

$$0 \to \mathrm{DHom}_{\Gamma}\left(\mathscr{T}(T,Y), \tau_{d}\mathscr{T}(T,X)\right) \to \mathrm{Ext}_{\mathscr{T}}^{d}(X,Y)$$
$$\to \mathrm{Hom}_{\Gamma}\left(\mathscr{T}(T,X), \tau_{d}\mathscr{T}(T,Y)\right) \to 0.$$

**Proof.** Consider the short exact sequence from Lemma 2.5. By Theorem 0.4 we know that

$$\mathrm{DHom}_{\mathscr{T}/\operatorname{add}\Sigma^dT}(\overline{Y},\overline{\Sigma^dX})\cong\mathrm{DHom}_{\Gamma}\left(\mathscr{T}(T,Y),\mathscr{T}(T,\Sigma^dX)\right).$$

Applying Lemma 2.3 we have

$$\mathrm{DHom}_{\Gamma}\left(\mathscr{T}(T,Y),\mathscr{T}(T,\Sigma^{d}X)\right)\cong\mathrm{DHom}_{\Gamma}\left(\mathscr{T}(T,Y),\tau_{d}\mathscr{T}(T,X)\right).$$

Similarly we can show  $\operatorname{Hom}_{\mathscr{T}/\operatorname{add}\Sigma^d T}(\overline{X}, \overline{\Sigma^d Y}) \cong \operatorname{Hom}_{\Gamma}(\mathscr{T}(T, X), \tau_d \mathscr{T}(T, Y))$ .  $\square$ 

The map defined next will eventually induce the equivalence of Theorem B.

**Definition 2.7.** For each  $X \in \mathcal{T}$ , pick an isomorphism  $X \cong X' \oplus X''$  such that X' has no non-zero direct summands in add  $\Sigma^d T$  and  $X'' \in \operatorname{add} \Sigma^d T$ . Let

$$\Delta(X) = (\mathscr{T}(T, X'), \mathscr{T}(T, \Sigma^{-d}X'')).$$

This is a pair of  $\Gamma$ -modules where  $\mathscr{T}(T,X')$  is in  $\mathscr{D}$  and  $\mathscr{T}(T,\Sigma^{-d}X'')$  is in proj  $\Gamma$ .

**Proposition 2.8.** Given  $X, Y \in \mathcal{T}$ , set  $(M, P) = \Delta(X)$  and  $(N, Q) = \Delta(Y)$ , where  $\Delta$  is the map in Definition 2.7. Then

$$\dim_k \operatorname{Ext}_{\mathscr{T}}^d(X,Y) = \dim_k \operatorname{Hom}_{\Gamma}(M,\tau_d N) + \dim_k \operatorname{Hom}_{\Gamma}(N,\tau_d M) + \dim_k \operatorname{Hom}_{\Gamma}(P,N) + \dim_k \operatorname{Hom}_{\Gamma}(Q,M).$$

**Proof.** By additivity of Ext we have

$$\begin{split} \operatorname{Ext}^d_{\mathscr{T}}(X,Y) & \cong \operatorname{Ext}^d_{\mathscr{T}}(X' \oplus X'', Y' \oplus Y'') \\ & \cong \operatorname{Ext}^d_{\mathscr{T}}(X',Y') \oplus \operatorname{Ext}^d_{\mathscr{T}}(X',Y'') \oplus \operatorname{Ext}^d_{\mathscr{T}}(X'',Y'') \oplus \operatorname{Ext}^d_{\mathscr{T}}(X'',Y''). \end{split}$$

As T is d-rigid, we see that  $\operatorname{Ext}_{\mathscr{T}}^d(X'',Y'')=0$ , and hence we have

$$\dim \operatorname{Ext}_{\mathscr{T}}^d(X,Y) = \dim \operatorname{Ext}_{\mathscr{T}}^d(X',Y') + \dim \operatorname{Ext}_{\mathscr{T}}^d(X',Y'') + \dim \operatorname{Ext}_{\mathscr{T}}^d(X'',Y'). \tag{2.1}$$

From Lemma 2.6 we have the short exact sequence:

$$0 \to \mathrm{DHom}_{\Gamma} \left( \mathscr{T}(T, Y'), \tau_d \mathscr{T}(T, X') \right) \to \mathrm{Ext}_{\mathscr{T}}^d(X', Y')$$
$$\to \mathrm{Hom}_{\Gamma} \left( \mathscr{T}(T, X'), \tau_d \mathscr{T}(T, Y') \right) \to 0,$$

which means that

$$\dim \operatorname{Ext}_{\mathscr{T}}^{d}(X', Y') = \dim_{k} \operatorname{Hom}_{\Gamma} \left( \mathscr{T}(T, X'), \tau_{d} \mathscr{T}(T, Y') \right)$$

$$+ \dim_{k} \operatorname{Hom}_{\Gamma} \left( \mathscr{T}(T, Y'), \tau_{d} \mathscr{T}(T, X') \right)$$

$$= \dim_{k} \operatorname{Hom}_{\Gamma}(M, \tau_{d} N) + \dim_{k} \operatorname{Hom}_{\Gamma}(N, \tau_{d} M).$$

$$(2.2)$$

We see that

$$\operatorname{Ext}_{\mathscr{T}}^{d}(X'',Y') \cong \mathscr{T}(X'',\Sigma^{d}Y') \cong \mathscr{T}(\Sigma^{-d}X'',Y') \cong \operatorname{Hom}_{\Gamma}\left(\mathscr{T}(T,\Sigma^{-d}X''),\mathscr{T}(T,Y')\right)$$
$$\cong \operatorname{Hom}_{\Gamma}(P,N).$$

The third isomorphism follows from [14, Lemma 2.2(i)] and the fact that  $\Sigma^{-d}X'' \in \operatorname{add} T$ . Similarly,

$$\operatorname{Ext}_{\mathscr{T}}^d(X',Y'') \cong \operatorname{DExt}_{\mathscr{T}}^d(Y'',X') \cong \operatorname{DHom}_{\Gamma}(Q,M).$$

Thus we have

$$\dim \operatorname{Ext}_{\mathscr{T}}^{d}(X'', Y') = \dim_{k} \operatorname{Hom}_{\Gamma}(P, N)$$
(2.3)

$$\dim \operatorname{Ext}_{\mathscr{T}}^{d}(X', Y'') = \dim_{k} \operatorname{Hom}_{\Gamma}(Q, M). \tag{2.4}$$

Substituting (2.2), (2.3), and (2.4) into (2.1) gives the result.  $\Box$ 

As a consequence we have:

Corollary 2.9. Given  $X, Y \in \mathcal{T}$ , set  $(M, P) = \Delta(X)$  and  $(N, Q) = \Delta(Y)$ . Then

$$\operatorname{Ext}_{\mathscr{T}}^d(X,Y) = 0 \Leftrightarrow$$

$$\operatorname{Hom}_{\Gamma}(M,\tau_dN)=\operatorname{Hom}_{\Gamma}(N,\tau_dM)=\operatorname{Hom}_{\Gamma}(P,N)=\operatorname{Hom}_{\Gamma}(Q,M)=0.$$

# 3. Proof of Theorem B

The following results use the map  $\Delta$  from Definition 2.7.

**Lemma 3.1.** Given  $X, Y \in \mathcal{T}$ , set  $(M, P) = \Delta(X)$  and  $(N, Q) = \Delta(Y)$ . Then  $Y \in \operatorname{add} X$  if and only if  $N \in \operatorname{add} M$  and  $Q \in \operatorname{add} P$ .

**Proof.** Let  $X \cong X' \oplus X''$  be the decomposition from Definition 2.7, where X' has no non-zero direct summands from add  $\Sigma^d T$  while X'' is in add  $\Sigma^d T$ . We have  $(M, P) = (\mathcal{T}(T, X'), \mathcal{T}(T, \Sigma^{-d} X''))$ . Similarly,  $(N, Q) = (\mathcal{T}(T, Y'), \mathcal{T}(T, \Sigma^{-d} Y''))$ .

The condition  $Q \in \operatorname{add} P$  is equivalent to  $Y'' \in \operatorname{add} X''$  by the add-proj-correspondence, (see Remark 0.5). The condition  $N \in \operatorname{add} M$  is equivalent to  $Y' \in \operatorname{add} X'$  by Theorem 0.4 because X', Y' have no non-zero direct summands in  $\operatorname{add} \Sigma^d T$ . The result follows.  $\square$ 

**Lemma 3.2.** The category  $\mathcal{T}$  is skeletally small. The map  $\Delta$  induces a bijection

$$\delta : \text{iso } \mathscr{T} \to \text{iso } \mathscr{D} \times \text{iso proj } \Gamma,$$
 (3.1)

where iso denotes the set of isomorphism classes of a skeletally small category.

**Proof.** Let Iso denote the class of isomorphisms of a category. For a skeletally small category  $\mathscr{C}$  we have that Iso  $\mathscr{C} = \text{iso } \mathscr{C}$ . Note that since a module category over a ring is skeletally small, we have that  $\mathscr{D}$ , proj  $\Gamma \subseteq \text{mod } \Gamma$  are skeletally small.

It is clear that  $\Delta$  induces a well-defined map of the form

$$\delta' : \operatorname{Iso} \mathscr{T} \to \operatorname{iso} \mathscr{D} \times \operatorname{iso} \operatorname{proj} \Gamma.$$

To see that  $\delta'$  is injective, argue like the proof of Lemma 3.1, replacing membership of add with isomorphism.

It follows that  $\mathscr{T}$  is skeletally small. We can thus replace  $\delta'$  with the map  $\delta$  from (3.1). To see that  $\delta$  is surjective, let (M,P) be a pair with  $M\in\mathscr{D}$  and  $P\in\operatorname{proj}\Gamma$ . By Theorem 0.4 there is an object  $X'\in\mathscr{T}$  with no non-zero direct summands in  $\operatorname{add}\Sigma^dT$  such that  $M\cong\mathscr{T}(T,X')$ . By the add-proj correspondence, see Remark 0.5, there is an object  $X''\in\operatorname{add}\Sigma^dT$  such that  $P\cong\mathscr{T}(T,\Sigma^{-d}X'')$ . Setting  $X=X'\oplus X''$  gives  $(M,P)\cong\Delta(X)$ .  $\square$ 

**Lemma 3.3.** If  $X \in \mathcal{T}$  is d-self-perpendicular, then  $(M, P) = \Delta(X)$  is a maximal  $\tau_d$ -rigid pair.

**Proof.** Let  $N \in \mathcal{D}$  and  $Q \in \operatorname{proj} \Gamma$  be given. By Lemma 3.2, there is an object  $Y \in \mathcal{T}$  such that  $(N,Q) \cong \Delta(Y)$ . Then

 $N \in \operatorname{add} M$  and  $Q \in \operatorname{add} P$ 

$$\Leftrightarrow Y \in \operatorname{add} X$$

$$\Leftrightarrow \operatorname{Ext}^d_{\mathscr{T}}(X,Y) = 0$$

$$\Leftrightarrow \operatorname{Hom}_{\Gamma}(M, \tau_d N) = \operatorname{Hom}_{\Gamma}(N, \tau_d M) = \operatorname{Hom}_{\Gamma}(P, N) = \operatorname{Hom}_{\Gamma}(Q, M) = 0,$$

where the equivalences, respectively, are by Lemma 3.1, Definition 0.2, and Corollary 2.9. The conditions of Definition 0.7 are recovered by setting Q=0 respectively N=0.

**Lemma 3.4.** Let  $X \in \mathcal{T}$  be given. If  $(M, P) = \Delta(X)$  is a maximal  $\tau_d$ -rigid pair, then X is d-self-perpendicular.

**Proof.** Let  $Y \in \mathcal{T}$  be given and set  $(N,Q) \cong \Delta(Y)$ . Then

$$\operatorname{Ext}_{\mathscr{T}}^d(X,Y) = 0$$

$$\Leftrightarrow \operatorname{Hom}_{\Gamma}(M, \tau_d N) = \operatorname{Hom}_{\Gamma}(N, \tau_d M) = \operatorname{Hom}_{\Gamma}(P, N) = \operatorname{Hom}_{\Gamma}(Q, M) = 0$$

$$\Leftrightarrow N \in \operatorname{add} M \text{ and } Q \in \operatorname{add} P$$

$$\Leftrightarrow Y \in \operatorname{add} X$$
.

where the equivalences, respectively, are by Corollary 2.9, Definition 0.7, and Lemma 3.1.

**Theorem 3.5.** Recall that the map  $\Delta$  from Definition 2.7 induces the bijection  $\delta$ : iso  $\mathscr{T} \to$  iso  $\mathscr{D} \times$  iso proj  $\Gamma$  from Lemma 3.2.

(i)  $\delta$  restricts to a bijection

$$\left\{ \begin{array}{c} isomorphism \ classes \ of \\ d\text{-}rigid \ objects \ in \ \mathscr{T} \end{array} \right\} \rightarrow \left\{ \begin{array}{c} isomorphism \ classes \ of \\ \tau_d\text{-}rigid \ pairs \ in \ \mathscr{D} \end{array} \right\}.$$

(ii)  $\delta$  restricts further to a bijection

$$\left\{\begin{array}{c} isomorphism\ classes\ of\\ d\text{-self-perpendicular}\ objects\ in\ \mathscr{T} \end{array}\right\} \rightarrow \left\{\begin{array}{c} isomorphism\ classes\ of\\ maximal\ \tau_d\text{-rigid}\ pairs\ in\ \mathscr{D} \end{array}\right\}.$$

**Proof.** (i): Consider  $X \in \mathcal{T}$  and set  $(M, P) = \Delta(X)$ . Then

$$\operatorname{Ext}_{\mathscr{T}}^d(X,X)=0 \Leftrightarrow \operatorname{Hom}_{\Gamma}(M,\tau_dM)=0$$
 and  $\operatorname{Hom}_{\Gamma}(P,M)=0$ 

by Corollary 2.9, so the result follows.

(ii): See Lemmas 3.3 and 3.4.  $\square$ 

**Proof of Theorem B** (from the introduction). Combine Theorems 3.5(ii) and 1.1(ii).

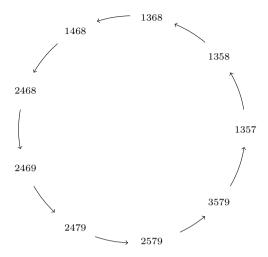


Fig. 1. The AR quiver of the 5-angulated category  $\mathcal{T}$ .

## 4. An example

In this section we let d=3 and  $\mathscr{T}=\mathscr{O}_{A_2^3}$ . This is the 5-angulated (higher) cluster category of type  $A_2$ , see [21, def. 5.2, sec. 6, and sec. 8]. The indecomposable objects can be identified with the elements of the set

$${}^{\circlearrowleft}\mathbf{I}_{9}^{3} = \{1357, 1358, 1368, 1468, 2468, 2469, 2479, 2579, 3579\},\$$

see [21, sec. 8]. The AR quiver of  $\mathcal{T}$  is shown in Fig. 1. By [21, thm. 5.5 and sec. 8], the object

$$T = 1357 \oplus 1358 \oplus 1368 \oplus 1468$$

is Oppermann-Thomas cluster tilting.

If  $X, Y \in \mathcal{T}$  are indecomposable objects, then

$$\mathcal{T}(X,Y) = \begin{cases} k & \text{if } Y \text{ is } X \text{ or its immediate successor in the AR quiver,} \\ 0 & \text{otherwise,} \end{cases}$$

see [21, prop. 6.1 and def. 6.9]. It follows that  $\Gamma = \operatorname{End}_{\mathscr{T}}(T) = kQ/I$ , where

$$Q = 1 \rightarrow 2 \rightarrow 3 \rightarrow 4$$

and I is the ideal generated by all compositions of two consecutive arrows. The action of the functor  $\mathcal{T}(T,-): \mathcal{T} \to \operatorname{mod} \Gamma$  on indecomposable objects is shown in Fig. 2, where P(q) and I(q) denote the indecomposable projective and injective modules associated to the vertex  $q \in Q$ . Note that the essential image of  $\mathcal{T}(T,-)$  is

X	1357	1358	1368	1468	2468	2469	2479	2579	3579
$\mathcal{T}(T,X)$	P(4)	P(3)	P(2)	P(1)	I(1)	0	0	0	0

Fig. 2. The action of the functor  $\mathcal{T}(T,-): \mathcal{T} \to \operatorname{mod} \Gamma$ .

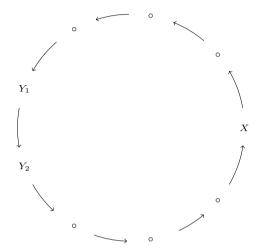


Fig. 3. The functor  $\operatorname{Ext}_{\mathcal{J}}^3(X,-)$  is non-zero on  $Y_1$  and  $Y_2$ . It is zero on every other indecomposable object.

Maximal 3-rigid object $X$	Maximal $\tau_3$ -rigid pair $\Delta(X)$
$1357 \oplus 1358 \oplus 1368 \oplus 1468$	$(\Gamma, 0)$
$1358 \oplus 1368 \oplus 1468 \oplus 2468$	$(D\Gamma, 0)$
$1368 \oplus 1468 \oplus 2468 \oplus 2469$	$(P(2) \oplus P(1) \oplus I(1), P(4))$
$1468 \oplus 2468 \oplus 2469 \oplus 2479$	$(P(1) \oplus I(1), P(4) \oplus P(3))$
$2468 \oplus 2469 \oplus 2479 \oplus 2579$	$(I(1), P(4) \oplus P(3) \oplus P(2))$
$2469 \oplus 2479 \oplus 2579 \oplus 3579$	$(0,\Gamma)$
$2479 \oplus 2579 \oplus 3579 \oplus 1357$	$(P(4), P(3) \oplus P(2) \oplus P(1))$
$2579 \oplus 3579 \oplus 1357 \oplus 1358$	$(P(4) \oplus P(3), P(2) \oplus P(1))$
$3579 \oplus 1357 \oplus 1358 \oplus 1368$	$(P(4) \oplus P(3) \oplus P(2), P(1))$
$1357 \oplus 1468 \oplus 2479$	$(P(4) \oplus P(1), P(3))$
$1358 \oplus 2468 \oplus 2579$	$(P(3) \oplus I(1), P(2))$
$1368 \oplus 2469 \oplus 3579$	$(P(2), P(4) \oplus P(1))$

Fig. 4. These are all the basic maximal 3-rigid objects of  $\mathcal T$  and their corresponding maximal  $\tau_3$ -rigid pairs in  $\mathcal D$ .

$$\mathcal{D} = \text{add}\{P(4), P(3), P(2), P(1), I(1)\}.$$

This is a 3-cluster tilting subcategory of mod  $\Gamma$  and hence it is 3-abelian.

The 3-suspension functor  $\Sigma^3$  acts on the AR quiver by moving four steps clockwise. Combined with our knowledge of Hom, this shows that if X is a fixed indecomposable object in  $\mathscr{T}$ , then the indecomposable objects Y with  $\operatorname{Ext}^3_{\mathscr{T}}(X,Y) \neq 0$  are precisely the two objects furthest from X in the AR quiver, see Fig. 3.

Based on this, we can compute all basic 3-self-perpendicular objects in  $\mathscr{T}$ , and by Proposition 1.3 they coincide with the basic maximal 3-rigid objects in  $\mathscr{T}$ . For each such object X, there is a maximal  $\tau_3$ -rigid pair  $\Delta(X) = (\mathscr{T}(T,X'),\mathscr{T}(T,\Sigma^{-3}X''))$  by Theorem B. See Fig. 4. Note that the first nine objects in Fig. 4 are Oppermann–Thomas cluster tilting, but the three last objects are not.

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