



### Nordic OCD & Related Disorders Consortium (NORDiC): Rationale, Design and Methods

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**NORDIC OCD & RELATED DISORDERS CONSORTIUM (NORDiC):  
RATIONALE, DESIGN AND METHODS**

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**ABSTRACT**

Obsessive-compulsive disorder (OCD) is a debilitating psychiatric disorder, yet its etiology is unknown and treatment outcomes could be improved if biological targets could be identified. Unfortunately, genetic findings for OCD are lagging behind other psychiatric disorders. Thus, there is a pressing need to understand the causal mechanisms implicated in OCD in order to improve clinical outcomes and to reduce morbidity and societal costs. Specifically, there is a need for a large-scale, etiologically informative genetic study integrating genetic and environmental factors that presumably interact to cause the condition. The Nordic countries provide fertile ground for such a study, given their detailed population registers, national healthcare systems and active specialist clinics for OCD. We thus formed the Nordic OCD and Related Disorders Consortium (NORDiC, [www.crowleylab.org/nordic](http://www.crowleylab.org/nordic)), and with the support of NIMH and the Swedish Research Council, have begun to collect a large, richly phenotyped and genotyped sample of OCD cases. Our specific aims are geared toward answering a number of key questions regarding the biology, etiology and treatment of OCD. This paper describes and discusses the rationale, design and methodology of NORDiC, including details on clinical measures and planned genomic analyses.

**Key words:** Obsessive-compulsive disorder, OCD, genetic, genomic, GWAS, Sweden, Norway, Denmark

## RATIONALE

OCD is a neuropsychiatric disorder characterized by recurrent, unwanted thoughts (obsessions) and repetitive behaviors (compulsions), which are performed to alleviate the anxiety caused by the obsessions (American Psychiatric Association, 2013; World Health Organization, 1992). The lifetime prevalence of OCD is 1-3% (Karno, Golding, Sorenson, & Burnam, 1988; Ruscio, Stein, Chiu, & Kessler, 2010), with onset often in childhood and similar prevalence by sex. Most OCD cases have a comorbid psychiatric disorder (e.g., tic disorders, mood, anxiety disorders) (Fullana et al., 2009; Ruscio et al., 2010) and though medication and behavioral therapy are useful (Ost, Havnen, Hansen, & Kvale, 2015; Ost, Riise, Wergeland, Hansen, & Kvale, 2016), symptom control is imperfect, and the course is often chronic (Skoog & Skoog, 1999). OCD is a multidimensional disorder shown to consist of roughly four primary symptom dimensions (symmetry, forbidden thoughts, contamination, and hoarding) (Bloch, Landeros-Weisenberger, Rosario, Pittenger, & Leckman, 2008; Mataix-Cols, Rosario-Campos, & Leckman, 2005) which may have distinct neural circuitry (Mataix-Cols et al., 2004), genetic (Hasler et al., 2007) and etiological origins (Iervolino, Rijdsdijk, Cherkas, Fullana, & Mataix-Cols, 2011b). Early onset and tic-related OCD may also be etiologically meaningful subtypes of the disorder (Leckman et al., 2010).

The causes of OCD have so far remained elusive. A range of perinatal risk factors are associated with a higher risk for OCD independent of shared familial confounders, suggesting that perinatal risk factors may be in the causal pathway to OCD (Brander, Perez-Vigil, Larsson, & Mataix-Cols, 2016; Brander, Rydell, et al., 2016). OCD is also highly heritable (~50%) (Bolton, Rijdsdijk, O'Connor, Perrin, & Eley, 2007; Iervolino, Rijdsdijk, Cherkas, Fullana, & Mataix-Cols, 2011a; Mataix-Cols et al., 2013; Pauls, 2010; van Grootheest, Cath, Beekman, & Boomsma, 2005). First-degree relatives of affected individuals have a 4-8x increased risk of OCD (Insel, Hoover, & Murphy, 1983; Mataix-Cols et al., 2013; Nestadt et al., 2000; Rasmussen & Tsuang, 1986; Rosenberg, 1967). The OCD recurrence risk in first-degree relatives is ~5 in Sweden, and ~6.5 in Denmark (Browne et al., 2015). As with other psychiatric disorders, OCD linkage studies (Hanna et al., 2002; Hanna et al., 2007; Liang et al., 2008; Mathews et al., 2012; Ross et al., 2011; Samuels et al., 2007; Shugart et al., 2006; Willour et al., 2004) (reviewed by Pauls *et al.* (Pauls, Abramovitch, Rauch, & Geller, 2014)) and >100 candidate gene studies (meta-analyzed by Taylor *et al.* (Taylor, 2013)) have produced inconsistent results. There is support for

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3 *DLGAP3*, including rare and common variants in OCD and trichotillomania subjects (Bienvenu  
4 et al., 2009; Boardman et al., 2011; Zuchner et al., 2009) and excessive grooming in mice  
5 lacking expression of the ortholog *Sapap3* (Welch et al., 2007). To date, several copy number  
6 variants (CNVs) have been putatively associated with OCD (Gazzellone et al., 2016; Grunblatt et  
7 al., 2017; L. M. McGrath et al., 2014) and exome sequencing studies are underway, with the first  
8 showing an elevated rate of *de novo* mutations in OCD (Cappi et al., 2016). A recent targeted  
9 resequencing study (Noh et al., 2017) of ~600 candidate genes identified four notable genes,  
10 with *NRXN1* and *HTR2A* enriched for coding mutations and *REEP3* and *CTTNBP2* enriched for  
11 regulatory mutations in OCD patients.  
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19 The first OCD GWAS (Stewart et al., 2013) had 1,465 cases, 5,557 controls, and 400 trios. No  
20 genome-wide significant loci were identified, but polygenic risk analysis revealed overlap with  
21 Tourette's syndrome and indicated that increased sample size should reveal significant loci  
22 (Davis et al., 2013). A second OCD GWAS (Mattheisen et al., 2014) had 1,406 cases and 3,655  
23 controls and a meta-analysis of these two studies (Collaborative, 2018) has yet to identify a  
24 genome-wide significant locus. Without a marked increase in sample size, little progress will be  
25 made (Sullivan, Daly, & O'Donovan, 2012). Since sample size is a major limiting factor  
26 (Mattheisen et al., 2014; Stewart et al., 2013), we have designed a strategy to markedly increase  
27 the worldwide numbers of genotyped OCD cases. Here we describe the Nordic OCD and Related  
28 Disorders Consortium (NORDiC), a psychiatric genetic and treatment outcome study funded by  
29 the NIMH (R01 MH110427) and the Swedish Research Council (2015-02271).  
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## 41 DESIGN

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43 **Figure 1** summarizes our study. In Aim 1, we will collect a large sample of OCD cases  
44 (N=10,000) in a cost-effective manner via an ongoing nationwide OCD treatment study in  
45 Norway and specialist OCD clinics in Sweden (details below). We will also have systematic  
46 treatment response and long-term follow-up data. In Aim 2, 10,000 cases will be GWAS  
47 genotyped and meta-analyzed with all external OCD GWAS data, including those from the  
48 Danish OCD and Tourette Study (DOTS) as well as the Psychiatric Genomics Consortium (PGC,  
49 [www.med.unc.edu/pgc](http://www.med.unc.edu/pgc)) OCD and Tourette Syndrome Working Group. We will also include a  
50 novel comparative genomic approach by taking advantage of genetic data from a canine model  
51 for OCD, canine compulsive disorder (CCD). These analyses will yield novel, robust OCD  
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3 associations and specific hypotheses about the biology of OCD. In Aim 3, we will integrate the  
4 genetic and national register data by analyzing genetic risk scores in conjunction with  
5 environmental risk factors, followed by replication across multiple Nordic countries. These  
6 analyses will yield replicated knowledge about how genes and environment mediate risk for  
7 OCD and influence treatment response.  
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## 14 **METHODS**

### 15 **Aim 1**

16 OCD definition. Cases will have a primary ICD-10 and/or DSM-5 diagnosis of OCD from a  
17 multidisciplinary specialist OCD team (established with a semi-structured instrument such as the  
18 MINI or the SCID). All patients will be included in the study regardless of psychiatric  
19 comorbidity, as long as they fulfill strict diagnostic criteria for OCD. All comorbidity will be  
20 registered. Patients will be excluded in cases of diagnostic uncertainty, such as OCD secondary  
21 to a neurological disorder or CNS insult, or where the differential diagnosis between OCD and  
22 an alternative condition is unclear.  
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30 Control definition. Unrelated to any OCD case to the third degree and unaffected with any ICD-  
31 10 / DSM-5 psychiatric disorder. Exclusion criteria are as for cases. We have maximized  
32 comparability between cases and controls, while minimizing cost. First, regarding ancestry, we  
33 will inherit >50,000 genotyped controls from Nordic countries. As with the cases, these controls  
34 were drawn from the major population centers and cover the north-south axis for each country.  
35 Second, regarding chip type, all control samples will have modern Illumina content, which is  
36 critical for proper GWAS imputation and CNV analysis.  
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42 Consent. Each subject will provide informed consent (or verbal assent from the patient and  
43 written consent from parents/legal guardians). Subjects will be asked for permission to use their  
44 personal ID numbers to perform a linkage with the various population-based health and  
45 administrative registers. They will also be asked for permission for re-contact in the future (not  
46 proposed here, but for example for future induced pluripotent stem cell work). All subjects will  
47 give permission for their DNA to be included in the Karolinska Institutet (KI) Biobank (in  
48 Sweden) or the Haukeland University Hospital (HUH) Biobank (in Norway) with indefinite  
49 storage and for their de-identified data to be made available to the scientific community, by  
50 depositing genomic and phenotype data in repositories (e.g., EU Genomics Repository or US  
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dbGaP) and sharing with international consortia (e.g., the Psychiatric Genomics Consortium, PGC).

Sweden: ascertainment. In both Sweden and Norway, participants will be recruited from a network of specialist OCD clinics (see **Figure 2**) that have highly standardized assessment and treatment protocols. In Sweden's largest city, Stockholm, there are 4 large specialist OCD clinics (3 adult, 1 pediatric). Additional specialist OCD teams are scattered around the country. Ethical approvals in Sweden allow us to recontact OCD cases previously treated at these clinics, increasing the pool of potential deep-phenotyped participants. In another attempt to increase sample size, and to reach as-yet undiagnosed OCD cases, we have created a self-referral website (<https://ocdgenetik.se>). Participants log-in securely and fill in detailed demographic and phenotypic information before booking a brief telephone interview with a clinician to confirm diagnosis; DNA is gathered by mailing a saliva kit. In total, we plan to collect 5,000 DNA samples from Sweden over the course of this project.

Norway: ascertainment. In Norway, the government initiated a national implementation project in 2012 (led by co-investigators Drs Hansen and Kvale) to ensure the delivery of evidence-based treatment to all patients with OCD (Kvale & Hansen, 2014). Thirty OCD teams (15 adult, 15 pediatric) exist across Norway (see **Figure 2**), and all use an identical cognitive-behavioral therapy (CBT) treatment paradigm in addition to identical assessment, diagnostic, and follow-up procedures. These assessment procedures are nearly identical to those employed in Sweden. This creates an opportunity for large-scale DNA collection from patients with deep phenotyping. In total, we plan to collect 5,000 DNA samples from Norway over the course of this project.

Denmark: ascertainment. The Danish OCD and Tourette Study (DOTS, [www.crowleylab.org/dots](http://www.crowleylab.org/dots)) is an ongoing NIMH funded study (R01 MH105500, *Genetic & Environmental Predictors of OCD & Tourette's Syndrome in Denmark*) that forms the Danish arm of NORDiC ([www.crowleylab.org/nordic](http://www.crowleylab.org/nordic)). Its primary aim is a GWAS of ~6,500 OCD and ~4,000 Tourette syndrome cases where the DNA is gathered from neonatal blood spots (Norgaard-Pedersen & Hougaard, 2007). The genetic data are linked to Danish national medical registry data, allowing integration to identify gene-by-environment (GxE) interactions. Since DOTS uses neonatal blood spots, rather than ascertainment from clinics as done in Sweden and Norway, we do not have detailed clinical phenotypes for Danish subjects. Nonetheless, since DOTS is a population-based study of all diagnosed OCD cases for a given age group (born 1990-



present), it will provide an interesting contrast to clinic-derived OCD cases in Sweden and Norway. We have validated the accuracy of register OCD diagnoses in Denmark (Nissen et al., 2017).

Treatment. Most patients in Sweden and Norway receive specialist CBT and/or serotonin reuptake inhibitors (SRIs). Cases will receive protocol-driven CBT with 14-16 sessions delivered flexibly and supplemented with booster sessions if necessary. In addition to standard CBT treatment, at some Norwegian sites, patients may receive intensive treatment (concentrated exposure and response prevention) over the course of 4 days (Hansen, Hagen, Ost, Solem, & Kvale, 2018; Hansen, Kvale, Hagen, Havnen, & Ost, 2018; Kvale et al., 2018). Patients will be treated by psychologists, psychiatrists or psychiatric nurses specialized in OCD at one of the clinics mentioned above. In both countries, cases may also be prescribed medication (usually an SRI, alone or in combination with augmentation strategies). These treatments are recorded and drug dispensations can be further followed-up with the national prescription registers to assess adherence. About 40% of patients do not achieve sufficient symptom relief following CBT ± medication (Ost et al., 2015). Thus, our study will be powered to examine genetic and environmental predictors of short- and long-term treatment improvement under naturalistic, real-world conditions.

Clinical phenotype and treatment outcome measures. A strength of this study is the depth and consistency of phenotyping and treatment across sites, which will yield a GWAS powered to analyze comorbidity, potential subtypes, symptom dimensions and treatment response. The specialist OCD clinics across Sweden and Norway have harmonized their diagnostic and outcome assessment protocols and are now using the same set of outcome measures at baseline, post-treatment and follow-up (see **Table 1**). These clinics employ a similar set of standardized, internationally recognized measures. This detailed phenotypic information will allow genomic and gene by environment (GxE) analyses based on particular subgroups (e.g., tic-related OCD, symptom dimensions, familial vs sporadic, etc.) as well as the use of genetic risk scores (GRS) to predict treatment outcomes and long-term follow-up. **Table 2** summarizes how we will measure the various sources of heterogeneity in our cohort.

We will measure symptom dimensions using both the clinician-administered Y-BOCS symptom checklist, which includes 13 major categories as well as the self-administered OCI-R (Foa et al., 2002) (for adults) or OCI-CV for young people (**Table 1**) which provides a dimensional measure

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3 of the major symptom clusters. The OCI-R is a psychometrically sound, self-assessment  
4 instrument consisting of 18 items rated on a five-point distress scale, with six subscales  
5 (washing, checking, ordering, obsessing, hoarding and neutralizing). Similarly, the OCI-CV  
6 assesses the frequency of individual OCD symptoms as well as the severity of six correlated  
7 symptom domains (doubting/checking, obsessing, hoarding, washing, ordering and neutralizing).  
8 Each of the 21 items on the OCI-CV is rated on a 3-point scale ranging from 0 = *Never* to 2 =  
9 *Always*. With the use of two different measures of OCD symptom dimensions, we should be able  
10 to provide robust data that is replicable across different instruments and is independent of the  
11 administration modality (clinician vs self-report).  
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19 Environmental measures. Similar registers in Sweden, Norway, and Denmark form the basis of a  
20 detailed, longitudinal dataset including nearly all health care contacts since 1970 (see **Table 3**).  
21 These registers provide an opportunity to capture a wide range of OCD-relevant environmental  
22 factors for cases presenting for care with varied symptom severity and treated at in- and  
23 outpatient settings. These registers have been used for numerous prior publications in psychiatric  
24 epidemiology and a fair fraction of what we know about the epidemiology of OCD is from these  
25 registers. Each country has a central register containing data on place of birth, historical  
26 addresses, and links to 1st, 2nd and 3rd degree relatives. For example, cases will have gestational  
27 age and size at birth, maternal smoking during pregnancy, maternal pre-pregnancy BMI, inter-  
28 pregnancy interval and maternal age at childbearing (Medical Birth Registries). From the  
29 National Patient Registries, we will get information on maternal infection in pregnancy (viral and  
30 bacterial). Paternal age at childbearing will be available for the Multi-Generation Registries. We  
31 will also collect data on family socioeconomic status (occupation, education, social welfare  
32 status, disability pension, income), marital status (cohabiting at childbirth, annual marital status),  
33 and family mobility (residential moves).  
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## 45 **Aim 2**

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48 Genotyping. The current plan is to genotype samples on the Illumina Global Screening Array  
49 (GSA). This is Illumina's most recent array containing ~700,000 markers and is expected to  
50 perform well in a European population. As genotyping technology evolves, this selection may  
51 change.  
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55 GWAS: case-control. We will use a GWAS pipeline established by the PGC ("ricopili"). We will  
56 first examine OCD as a categorical variable (affected or unaffected) and perform disease  
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3 association testing using ricopili. We will use PLINK to analyze imputed SNP dosages with the  
4 inclusion of principal components (PCs) to control for population stratification. The results will  
5 be combined using an inverse-weighted fixed effects model (Devlin & Roeder, 1999). For chrX,  
6 we will use an additive logistic regression model with the same covariates. We will test the  
7 genome-wide distribution of the test statistic in comparison with the expected null distribution  
8 using  $\lambda_{GC}$  and QQ plots.  $\lambda_{GC}$  quantifies the extent of the bulk inflation resulting from a  
9 combination of true polygenic signal, systematic technical bias and population stratification  
10 (Bulik-Sullivan et al., 2015; Devlin & Roeder, 1999). In order to quantify the contribution of  
11 these factors, we will use LD Score regression (Bulik-Sullivan et al., 2015), where the intercept  
12 estimates the inflation in the mean chi-square that results from confounding biases, such as  
13 cryptic relatedness or population stratification. To declare genome-wide significance, we will  
14 strictly adhere to a P-value threshold of  $5 \times 10^{-8}$  (Dudbridge & Gusnanto, 2008; Pe'er, Yelensky,  
15 Altshuler, & Daly, 2008).

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17 GWAS: phenotypic heterogeneity. Consistent phenotyping across sites (**Table 1**), allows us to  
18 analyze the genetics of biologically plausible subtypes (early onset, tic-related) and symptom  
19 dimensions (**Table 2**). As opposed to the case-control GWAS, these analyses will consider both  
20 quantitative, as well as categorical, data and are restricted to cases only. It is well recognized that  
21 reducing quantitative data to univariate surrogates generally results in a substantial loss of  
22 statistical power to detect genetic loci (Medland & Neale, 2010; Minica, Boomsma, van der  
23 Sluis, & Dolan, 2010; van der Sluis, Posthuma, Nivard, Verhage, & Dolan, 2013; van der Sluis,  
24 Verhage, Posthuma, & Dolan, 2010). One strategy to prevent this loss of power is to use a  
25 multivariate method. A number of strategies have been developed and are still being developed.  
26 We will evaluate the available options when the data are available. We will analyze baseline Y-  
27 BOCS checklist and OCI-R/OCI-CV data using the aforementioned approaches to identify genes  
28 associated with particular symptom dimensions as well as overall score. In a similar manner, we  
29 will examine additional baseline diagnostic and clinician-administered tests listed in **Table 1**.

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31 GWAS: meta-analysis. Following the initial GWAS, our immediate goals will be to meta-  
32 analyze the case-control results with all available OCD GWAS data, including DOTS and the  
33 latest results from the PGC OCD and Tourette Syndrome Working Group. Including NORDiC,  
34 the anticipated total number of OCD cases with GWAS data in 2022 will be at least 20,000.  
35 Genotype data from the individual studies will be subjected to unified QC, imputation and  
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3 association testing using ricopili. We will test all GWAS datasets separately for association with  
4 OCD (allowing cross-study reliability measures) and then conduct a meta-analysis of the result  
5 sets using an inverse-weighted fixed effects model (de Bakker et al., 2008).  
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9 Statistical power. NORDiC is expected to markedly increase the expected sample size for OCD  
10 GWAS by 2022. In **Figure 3**, we show the critical role these samples will play in GWAS  
11 discovery. We assume a log additive model, lifetime risk of 0.02,  $\alpha=5 \times 10^{-8}$ , and compute the  
12 minimum detectable genotypic relative risk with 80% power. The current published OCD  
13 GWASs (black) were underpowered to detect realistic effects for the majority of common  
14 complex traits (Sullivan et al., 2012). For example, the top 20 schizophrenia GWAS hits have a  
15 mean relative risk of 1.16 (range: 1.11–1.33). The addition of our Danish DOTS samples  
16 (orange) will push OCD closer to this “discovery zone”, but likely not close enough. It is critical  
17 to increase power even further through NORDiC (pink) and other efforts, such as those led by  
18 the PGC OCD and Tourette Syndrome Working Group.  
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27 Comparative genomic analysis. Canine Compulsive Disorder (CCD) is a naturally occurring,  
28 face-valid model for human OCD (Overall, 2000). CCD manifests as repetition of normal canine  
29 behaviors such as grooming (lick dermatitis), predatory behaviour (tail chasing), and suckling  
30 (flank and blanket sucking) (Luescher, 2004; Moon-Fanelli, Dodman, & Cottam, 2007; Ogata et  
31 al., 2013; Overall, 2000; Overall & Dunham, 2002). As in human cases, these dogs have  
32 structural abnormalities in cortico-striato-thalamic loops (Ogata et al., 2013) and about half  
33 respond to treatment with SRIs or clomipramine (Overall & Dunham, 2002). Certain breeds have  
34 exceptionally high rates of CCD (e.g., Doberman Pinschers and German Shepherds) (Luescher,  
35 2004; Moon-Fanelli et al., 2007; Ogata et al., 2013; Overall, 2000; Overall & Dunham, 2002).  
36 The high disease rates and limited genetic diversity of dog breeds suggest that CCD may be less  
37 complex than OCD, facilitating mapping and functional testing of associated variants (E.  
38 Axelsson et al., 2013; Karlsson et al., 2013; Tengvall et al., 2013). For example, members of  
39 NORDiC have discovered genes and biological pathways that regulate CCD risk (Dodman et al.,  
40 2010; Noh et al., 2017; Tang et al., 2014) in different breeds, and through genes nominated by  
41 this work, were able to identify *NRXN1* as the first genome-wide significant gene for OCD (Noh  
42 et al., 2017).  
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55 Through integrating genetic results for CCD with those for human OCD, we expect increased  
56 power to identify core genes regulating these phenotypes. CCD is not limited to pure-bred dogs –  
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3 mixed breeds also show various degrees of repetitive behavior, with great inter-individual  
4 variability, as seen in humans. NORDiC is partnered with a citizen-science initiative called  
5 Darwin's Dogs (<https://darwinsdogs.org>) that is collecting DNA and CCD-relevant behavioural  
6 phenotypes in thousands of pet dogs. To compare CCD and human OCD at the gene level, we  
7 will define canine and human genomic regions that are strongly associated with OCD using LD-  
8 based clumping ( $r^2 > 0.8$ ) on the published canine CCD GWAS data (Dodman et al., 2010) and  
9 our human OCD GWAS. We will then identify genes that are within the strongly associated  
10 canine and human genomic regions based on the most up-to-date genome builds. Combined with  
11 our CCD sequencing studies (Noh et al., 2017; Tang et al., 2014) as well as ongoing human  
12 OCD sequencing results, we will make a list of candidate genes for canine CCD and human  
13 OCD separately, and compare to find overlapping genes. We will also perform a series of  
14 pathway analyses for CCD using the same tools and public databases that will be used for the  
15 human OCD analysis. We will then compare the lists of associated pathways in canine and  
16 human OCD to find common OCD-associated pathways across dogs and humans.

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18 Copy number variant (CNV) analysis. Disease-associated CNVs are attractive causative  
19 mutations since, by altering gene dosage or structure, they provide both a direction of effect and  
20 molecular mechanism. Indeed, cases with autism and schizophrenia have a greater burden of  
21 large (>500 kb) rare (<1%) CNVs, particularly genic CNVs. To date, ~25 large rare recurrent  
22 CNVs of strong effect (genotypic relative risks 4-20) with consistent replication have been  
23 identified (e.g., 16p11.2 and 22q11.21) although most are multi-genic and pleiotropic (Guha et  
24 al., 2013; Levinson et al., 2011; Liao et al., 2012; Malhotra & Sebat, 2012; Sullivan et al., 2012).  
25 To date, several CNVs have been putatively associated with OCD (Gazzellone et al., 2016;  
26 Grunblatt et al., 2017; L. M. McGrath et al., 2014) but larger studies are needed to see if these  
27 findings replicate. Therefore, we will use our GWAS array genotype and intensity data for CNV  
28 calling. We will evaluate CNV burden, recurrent individual CNVs, and overlap with existing  
29 psychiatric CNVs. We will validate putative OCD CNVs with an independent technology and  
30 test for replication in independent OCD samples. If we can identify even one new gene-level  
31 CNV for OCD, it will represent an important advance.

### 32 **Aim 3**

33 Overview. An exceptional feature of our Nordic samples are the range and quality of risk factor  
34 data available (**Table 1**). Work in Aim 3 will provide crucial information about how environment

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3 modifies genetic effects. Essentially, we will merge genetic data with epidemiological data.  
4 Recent developments in statistical genetics provide a means to leverage epidemiological clues in  
5 a new way (J. J. McGrath, Mortensen, Visscher, & Wray, 2013). Genome-wide SNP genotypes  
6 can be used to calculate an individual's genetic risk score (GRS). GRS have several attractive  
7 features for GxE analyses: they provide a continuous measure with greater power than  
8 categories, and it is feasible to generate GRS sub-scores conditioned on prior hypotheses (e.g.  
9 only immune-related genes). We will calculate  $GRS_{OCD}$  for all Swedish and Norwegian OCD  
10 individuals and control samples and test for interactions with a diverse set of epidemiological  
11 and genetic epidemiological factors.  
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19 Epidemiological risk factors for OCD. A range of perinatal risk factors are associated with a  
20 higher risk for OCD independent of shared familial confounders, suggesting that perinatal risk  
21 factors may be in the causal pathway to OCD (Brander, Perez-Vigil, et al., 2016; Brander,  
22 Rydell, et al., 2016). The literature also reports that advanced paternal (Wu et al., 2012) or  
23 maternal (Steinhausen, Bisgaard, Munk-Jorgensen, & Helenius, 2013) age, a family history of  
24 autoimmune disease (Mataix-Cols et al., 2018) and childhood *Streptococcal* infections (Murphy,  
25 Storch, Lewin, Edge, & Goodman, 2012; Swedo et al., 1998) increase risk for OCD. Members of  
26 NORDiC are currently examining additional risk factors using the Swedish registers.  
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34 GRS: calculation. We will first select a high-quality set of autosomal SNPs (frequency 0.02-0.98,  
35 imputation INFO > 0.9, drop A/T or C/G SNPs, drop indels). These will then be pruned to  
36 remove SNPs in high LD ( $r^2 > 0.25$  in 500kb windows) to yield a relatively independent set of  
37 high-quality SNPs (~100K). Using these lists, we will follow standard practice (Cross-Disorder  
38 Group of the Psychiatric Genomics Consortium, 2013) to compute  $GRS_{OCD}$  (using the PLINK --  
39 score algorithm) at multiple P-value threshold filters ( $P_T = 0.0001, 0.001, 0.01, 0.1, \text{ and } 1.0$ ). We  
40 will use ancestry PCs calculated in the GWAS. For  $GRS_{OCD}$ , we will select one  $P_T$  value for  
41 analysis that is uncorrelated with ancestry PCs (Dudbridge, 2013). To validate  $GRS_{OCD}$ , we will  
42 use all published OCD samples as a discovery set and our pooled Swedish/Norwegian GWAS as  
43 a target set. We will determine the proportion of variance in OCD attributable to these GRS.  
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52 GRS: interaction analysis. First, we will use logistic regression to evaluate the relationship  
53 between risk factor and disease. Second, we will add  $GRS_{OCD}$  to these models and empirically  
54 determine whether they replace other variables or have improved predictive power. For example,  
55 results from other psychiatric disorders suggest that cases and controls with a positive family  
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3 history will have a higher GRS (Agerbo et al., 2015; Lu et al., 2018). Therefore, the addition of  
4 GRS<sub>OCD</sub> to the model may improve predictive power or replace family history as a risk factor for  
5 OCD. We will test the other risk factors mentioned above and any other environmental factors  
6 identified by our colleagues.  
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10 GRS: treatment outcome. About 40% of patients do not achieve sufficient symptom relief  
11 following CBT ± medication. Any reliable variable that could be used to personalize treatment  
12 selection could be immensely useful in increasing efficacy and avoiding treatment failure. Here  
13 we examine the utility of GRS<sub>OCD</sub> for predicting treatment response. As such, we will test for an  
14 interaction between GRS<sub>OCD</sub> and symptomatic change (e.g., decrease in Y-BOCS score), with  
15 treatment regimen (e.g., CBT only, CBT + SRI, CBT + SRI + antipsychotic) as a covariate. We  
16 may find, for example, that individuals with higher GRS respond more poorly to treatment in  
17 general, independent of medication. Or perhaps we may find that individuals with higher GRS  
18 respond better, or more quickly, to CBT augmented with medication. Regardless, any trends  
19 observed in this study can be examined further in collaboration with groups performing OCD  
20 treatment trials.  
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30 Statistical power. GxE power calculations depend on the effect size and distribution of the main  
31 effects as well as the interaction. As an example of this approach, pregnancy complications are  
32 associated with a roughly two-fold increased risk of OCD and ~30% of pregnant women  
33 experience complications. Given 10,000 OCD cases, 25,000 controls and  $\alpha = 5 \times 10^{-4}$  we have  
34 80% power to detect a 9% difference in GRS on the basis of pregnancy complication  
35 (Gauderman, 2002).  
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40 Alternative GxE approaches. This is clearly an area of active methods development and we will  
41 follow the field closely. One limitation of the GRS approach is that it assumes that G is  
42 polygenic. Since this may not necessarily be true, we intend to also investigate pathway-based  
43 and full-genome GxE scans as well (Thomas, 2010a, 2010b).  
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## 50 DISCUSSION

51 The validity of our NORDiC project depends on several assumptions. The first is that a study  
52 based on the populations of Sweden, Norway and Denmark will generalize to other populations.  
53 The epidemiological and genetic data from many disease studies suggest this assumption is  
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3 reasonable. The second assumption is that OCD is a complex polygenic disease for which large  
4 samples and high-density genomic data are required for discovery of susceptibility genes.  
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6 However, given that smaller studies have thus far encountered difficulty in identifying risk genes  
7 and the observation that polygenicity is the norm for schizophrenia, bipolar disorder, major  
8 depressive disorder, and autism, we believe this assumption is reasonable. A final limitation is  
9 that, while the Nordic registers contain high-quality information about many environmental  
10 factors of interest to OCD, they certainly do not contain information for all possible risk factors.  
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16 Overall, we believe successful completion of these aims will answer a number of key questions  
17 regarding OCD biology, etiology and treatment. For example, how does OCD relate to other  
18 psychiatric disorders? Do early-onset, tic-related and symptom dimensions (partially) possess  
19 distinct genetic origins? Is there a relationship between cumulative genetic risk and response to  
20 particular forms of treatment? We hope this study will bring us closer to converting this  
21 idiopathic disorder into a pathophysiologically defined disease, nominate potential drug targets  
22 and demonstrate the utility of the comparative genomics approach for other complex biomedical  
23 traits. NORDiC also provides unique infrastructure for similar data collection efforts in OCD-  
24 related disorders, which are often treated in the same clinics. We have already begun collecting  
25 DNA samples from patients with Tourette's syndrome, body dysmorphic disorder, hoarding  
26 disorder, hair pulling disorder and skin picking disorder.  
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#### 45 **FINANCIAL DISCLOSURES**

46  
47 The authors report no biomedical financial interests or potential conflicts of interest.  
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#### 52 **CONSORTIA**

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54 Members of the Nordic OCD & Related Disorders Consortium (NORDiC):  
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**FIGURE LEGENDS**

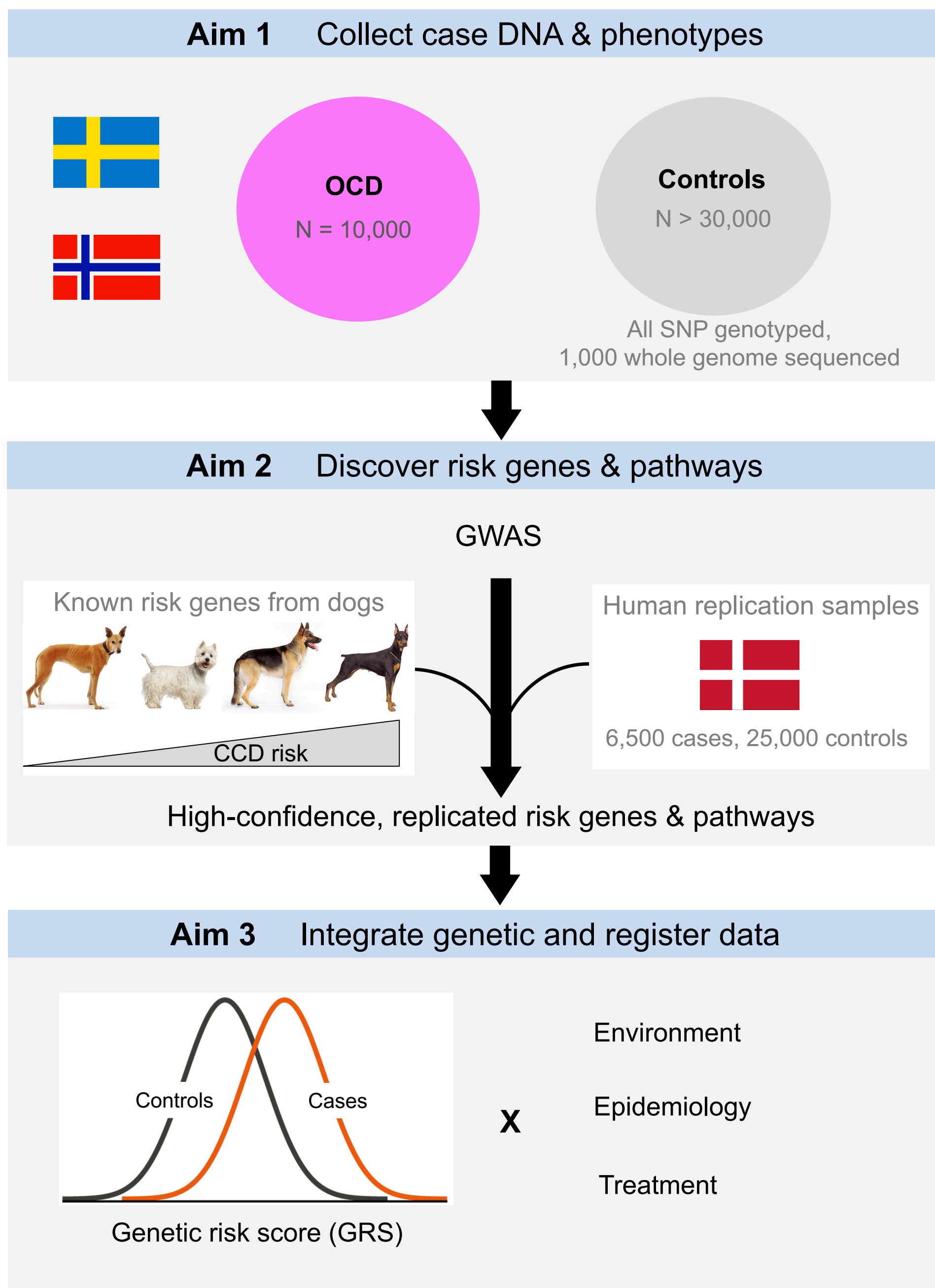
**Figure 1.** NORDiC study overview.

**Figure 2.** NORDiC sample collection sites.

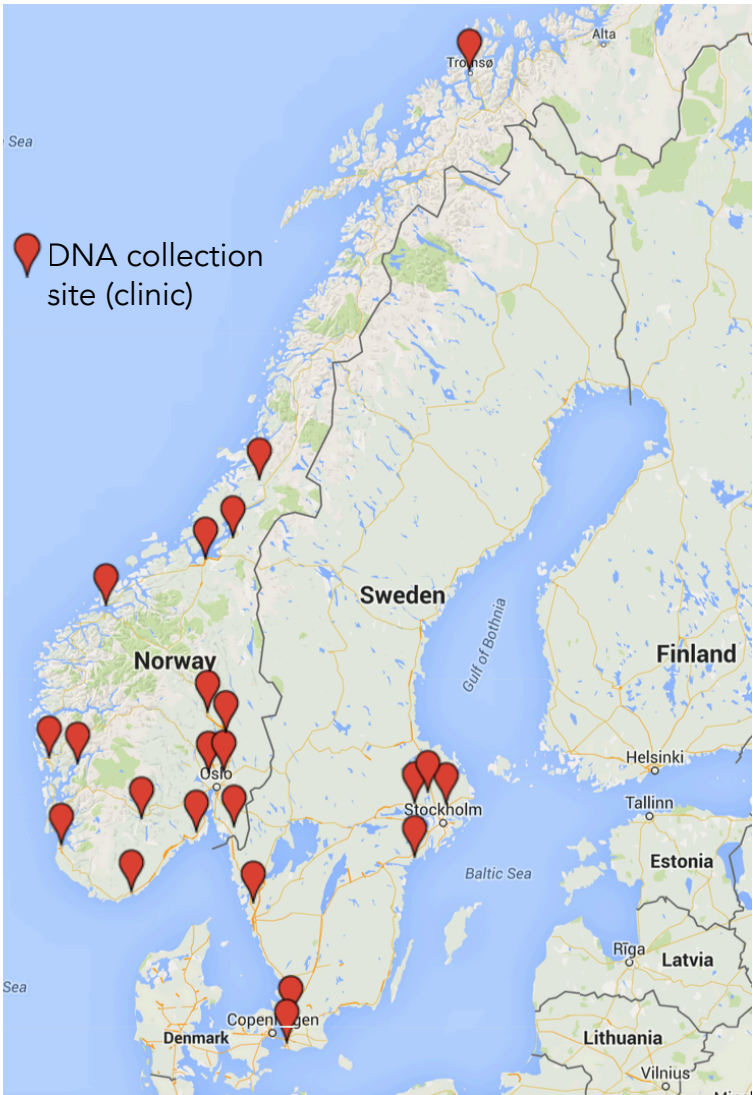
**Figure 3.** Statistical power for OCD GWAS across the allelic spectrum. Curves indicate minimal detectable relative risk with 80% power. For reference, schizophrenia genome-wide significant SNPs are shown in green. ("Schizophrenia Working Group of the Psychiatric Genomics Consortium. Biological insights from 108 schizophrenia-associated genetic loci," 2014)

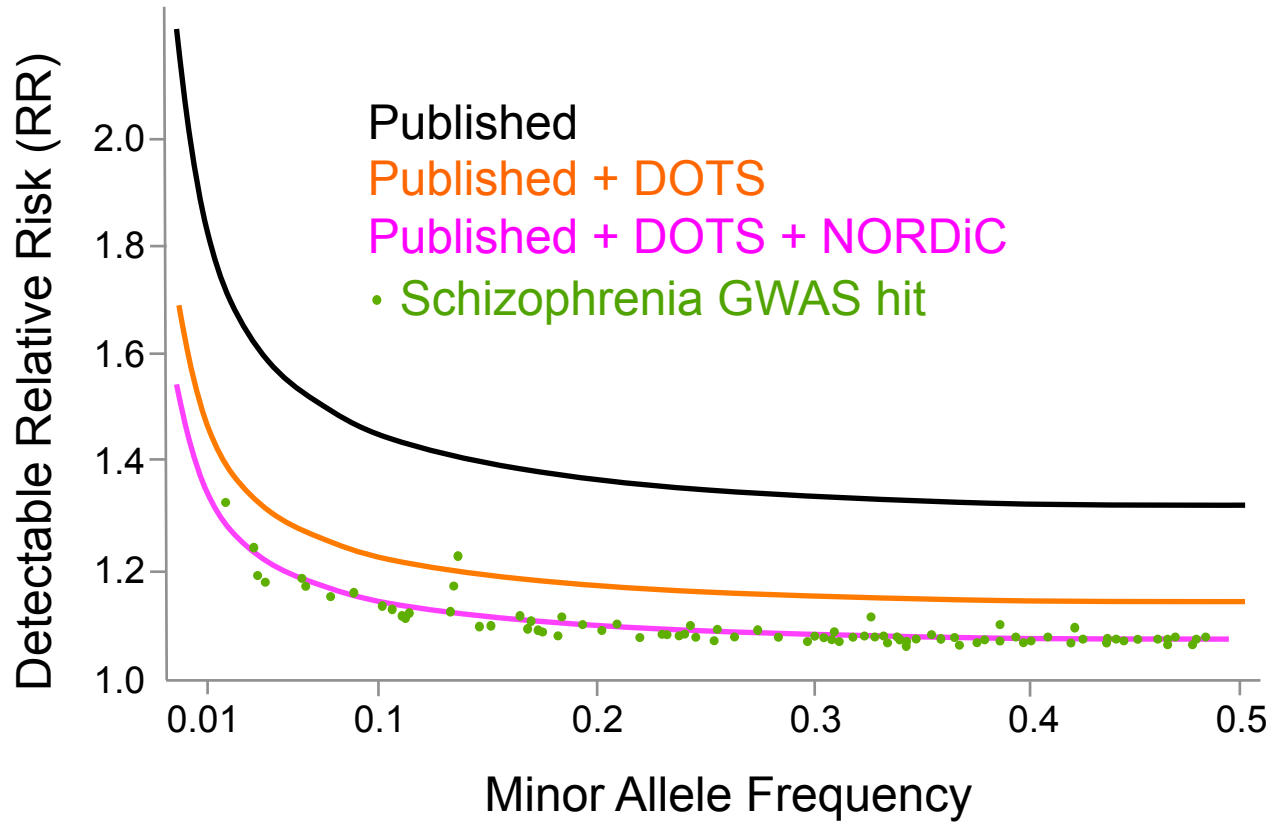
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**Table 1.** Harmonized OCD diagnostic, treatment and outcome measures across Sweden and Norway

Age	Diagnostic	Clinician-administered	Self-report	Family-report
Pediatric	MINI-KID, OCD-RD modules	CY-BOCS, C-GAS, MADRS, CGI-Severity, Improvement	OCI-CV, PHQ-9	FAS
Adult	MINI, OCD-RD modules	Y-BOCS, GAF, MADRS, CGI-Severity, Improvement	OCI-R, PHQ-9	FAS
C-GAS: Children's Global Assessment Scale CGI: Clinical Global Impression CY-BOCS: Children's Yale-Brown Obsessive-Compulsive FAS: Family Accommodation Scale GAF: Global Assessment of Functioning MADRS: Montgomery-Åsberg Depression Rating Scale PHQ-9: Patient Health Questionnaire-9		MINI: Mini-International Neuropsychiatric Interview MINI-KID: Mini-Intl. Neuropsychiatric Interview - OCD-RD: diagnosis of BDD, hoarding, skin-pick, hair- OCI-CV: Obsessive-Compulsive Inventory - Child OCI-R: Obsessive-Compulsive Inventory - Revised Y-BOCS: Yale-Brown Obsessive-Compulsive Scale		



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<b>Table 2. Heterogeneity measures</b>		
Possible subtype	Clinical information	Register information
Tic-related OCD	Structured diagnostic interviews, including questions about lifetime occurrence of tics; medical records	National Patient Register: Lifetime diagnoses of tic disorders
Early onset OCD	Approximately half of our cohort will consist of pediatric OCD cases	
OCD symptom dimensions	<u>Clinician administered</u> : YBOCS symptom checklist at baseline Self-report: OCI-R (adult samples) and OCI-CV (pediatric samples)	
Familial vs sporadic OCD	Self-reported family history of OCD and related disorders; medical records	Multigenerational and National Patient Register: Lifetime diagnoses of OCD in 1st, 2nd and 3rd degree relatives
Family history of severe mental illness (e.g. psychosis, autism)	Self-reported family history; medical records	Multigenerational and National Patient Register: Lifetime diagnoses in first, second and third-degree relatives
Treatment refractoriness	Incomplete or no treatment response with SRIs and/or CBT, measured with the YBOCS at post-treatment, medical records	

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Table 3. Examples of Scandinavian registers		Reference		
Type	Information	Sweden	Norway	Denmark
Medical Birth Register (MBR)	Data on place of birth, birth weight, mother's parity, mother's age, type of delivery, Apgar scores, obstetrical complications (e.g., eclampsia).	(O. Axelsson, 2003)	(Langhoff-Roos et al., 2014)	(Knudsen & Olsen, 1998)
National Patient Register (NPR)	Information on treatment at all hospitals, including both in- and outpatient psychiatric contacts (e.g., diagnostic and treatment codes).	(Ludvigsson et al., 2011)	<a href="http://www.npr.no">www.npr.no</a>	(Lyngø, Sandegaard, & Rebolj, 2011); (Andersen, Olivarius Nde, & Krasnik, 2011)
Comprehensive statistics (CS)	Exhaustive information on sociodemographic characteristics (e.g., living situation, marital status, education levels, employment, income).	<a href="http://www.scb.se">www.scb.se</a>	<a href="http://www.ssb.no">www.ssb.no</a>	(Baadsgaard & Quitzau, 2011; Petersson, Baadsgaard, & Thygesen, 2011)
Prescription drug register (PDR)	Individual-level data for all prescriptions dispensed for in- and out-patients (e.g. variables at level of drug user, prescriber, pharmacy).	(Wettermark et al., 2007)	<a href="http://www.norpd.no">www.norpd.no</a>	(Kildemoes, Sorensen, & Hallas, 2011)
Cause of Death Register (CDR)	Information on mortality from death certificates (e.g., cause of death according to ICD codes).	(Johansson & Westerling, 2000)	<a href="http://www.fhi.no">www.fhi.no</a>	(Helweg-Larsen, 2011; Juel & Helweg-Larsen, 1999)