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4 **In-situ observation of martensitic transformation in an interstitial metastable high-entropy**
5 **alloy during cathodic hydrogen charging**
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25 **Abstract**
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27 We show for the first time that a critical amount of dissolved hydrogen can induce a phase transformation
28 from γ -austenite to ϵ -martensite in an interstitial metastable high-entropy alloy. This is demonstrated by
29 in-situ hydrogen charging in combination with nanoindentation and scanning probe microscopy, plus
30 further electron channeling contrast imaging, X-ray diffraction, and transmission Kikuchi diffraction
31 techniques. The transformed martensites appear as bands on the surface along γ -{111} habit planes,
32 leading to an irreversible increase of hardness. The hydrogen-induced internal stress together with the
33 intrinsic hydrogen effects are proposed to be responsible for the martensitic transformation upon
34 hydrogen charging.
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39 **Keywords:**
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41 Hydrogen; High-entropy alloy; Martensitic transformation; In-situ electrochemical nanoindentation;
42 Transmission Kikuchi diffraction
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46 High-entropy alloys (HEAs) are a new class of alloys containing multiple principal elements, which
47 provide a high mixing entropy and a massive solid solution state [1, 2]. Over the past decade, HEAs have
48 attracted considerable attention owing to their excellent properties such as excellent combination of
49 strength and ductility, outstanding fracture toughness, and good resistance to wear at both elevated and
50 cryogenic temperatures [1-4]. These outstanding properties make HEAs as promising materials for
51 applications in nuclear construction, liquid gas storage, transportation, and so forth [5]. However, a
52 hydrogen-rich service environment can be deduced from the aforementioned applications, giving a high
53 possibility of catastrophic and unpredictable failure known as hydrogen embrittlement. Up to now, most
54 of the hydrogen embrittlement studies on HEAs are performed on the equiatomic CoCrFeMnNi alloy
55 with the conclusion that this alloy has good resistance to hydrogen embrittlement at relatively low
56 hydrogen concentration, while it still exhibits embrittlement phenomena when charged with high
57 hydrogen content [6-8]. In addition, the hydrogen effect on a non-equiatomic dual-phase FeMnCoCr HEA
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4 was investigated by Ichii et al. [9] via performing macroscopic tensile tests on pre-charged samples. The
5 results showed reduction on both elongation and strength with obvious ϵ -martensite transformation,
6 which was a combined effect from both hydrogen and deformation.
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9 Recently, the interstitial metastable non-equiatomic HEAs have been developed exhibiting enhanced
10 strength and strain hardening rate while maintaining good ductility compared to the equiatomic HEAs and
11 the non-equiatomic HEAs without interstitial alloying [4, 10, 11]. This is due to the fact that this alloy
12 design strategy can not only activate interstitial solid solution strengthening, but also tune phase stability
13 and stacking fault energy (SFE) to achieve joint activation of transformation-induced plasticity (TRIP)
14 and twinning-induced plasticity (TWIP) effects during deformation [12]. In such type of interstitial
15 metastable HEAs, the TRIP effect suggests a deformation-induced phase transformation from face-
16 centered cubic (FCC) γ phase to hexagonal close packed (HCP) ϵ phase [3, 11, 13]. To date, neither a
17 hydrogen-related study at microscale nor the effect of hydrogen alone on the microstructure and
18 nanomechanical properties of interstitial metastable HEAs has been discussed. In this study, we
19 performed in-situ electrochemical hydrogen charging in combination with scanning probe microscopy
20 (SPM) and nanoindentation. By this method combining with subsequent advanced characterization
21 techniques, the effect of hydrogen alone on the microstructure and nanomechanical properties can be
22 examined and understood.
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26 The nominal chemical composition of the studied HEA is Fe-30Mn-10Co-10Cr-0.5C (at. %). The alloy
27 ingot was cast in a vacuum induction furnace, hot-rolled at 900 °C, homogenized at 1200 °C for 2 h, and
28 followed by water quenching. The alloy sample was further machined into discs with a thickness of 1.2
29 mm and a diameter of 12 mm. The disc samples were sequentially ground and polished till 1 μ m diamond
30 paste followed by electropolishing in a methanol/H₂SO₄ solution at 25 V for 80 s. After the surface
31 preparation, a high-resolution scanning electron microscope (SEM, Quanta 650 FEG, Thermo Fisher
32 Scientific Inc.) with a backscattered electron (BSE) detector as well as the electron backscatter diffraction
33 (EBSD) techniques was used to study the original microstructure. After that, the sample was installed into
34 an electrochemical cell consisting of a platinum counter electrode and a Hg/HgSO₄ reference electrode.
35 Hereafter, all potentials in this study are reported versus this reference electrode. The electrochemical
36 hydrogen charging was performed in a glycerol-based electrolyte, which consisted of 1.4 mol/L borax in
37 glycerol and diluted with 20% distilled water to ensure the conductivity. In addition, 0.002 M Na₂S₂O₃
38 was added to promote the hydrogen absorption and prevent the hydrogen recombination [14]. This
39 electrolyte has been proven to be reliable in keeping the sample surface from corrosion or oxidation due
40 to its extremely low solubility and diffusivity of oxygen [15, 16]. The setup was further installed into a
41 Hysitron Tribo-indenter TI950 equipped with a specially designed long-shaft Berkovich diamond tip,
42 which was used for both SPM and nanoindentation test. In this study, the sample was firstly tested in air
43 as the reference condition, followed by the same tests with in-situ hydrogen charging at -1400 and -1800
44 mV (the current densities were 0.12 and 0.85 mA/cm², respectively). After each cathodic charging, an
45 anodic discharging at 0 mV was performed to check the reversibility after the desorption of hydrogen.
46 Both cathodic charging and anodic discharging processes were applied at room temperature for more than
47 1.5 h till a stable hardness was reached. The SPM images were scanned on a same area and the hardness
48 values were measured on the same grain at every 15 min. After the in-situ test, electron channeling
49 contrast imaging (ECCI) was applied to characterize the deformed surface microstructure with 30 kV
50 acceleration voltage and ~6.5 mm working distance; EBSD and grazing incidence X-ray diffraction
51 (GIXRD, D8 DaVinci with LynxEye™ Superspeed detector) were performed to determine the phase
52 distribution. The GIXRD was operated by using Cu K α radiation (40 kV, 40 mA) at 0.75° incident angle
53 at a step size of 0.02° and a counting time of 4.8 s per step; Transmission Kikuchi diffraction (TKD) was
54 used to correlate the hydrogen effect on phase transformation with the dissolved hydrogen concentration
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4 determined by thermal desorption spectroscopy (TDS) test. The TDS test was carried out by Bruker G4
5 PHOENIX with a mass spectrometer detector from 25 °C to 700 °C at a heating rate of 25 °C/min.
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7 Fig. 1 shows the representative SPM images and the corresponding root-mean-square roughness R_q at
8 different in-situ polarization conditions. The topography of sample surface in air condition before
9 hydrogen charging is shown in Fig. 1a for reference. The original sample has a smooth surface with a R_q
10 value of 0.056 nm as shown in Fig. 1g. The exact same position was continuously scanned in the
11 following polarization conditions. Fig. 1b was captured after 2 h cathodic hydrogen charging at -1400 mV
12 followed by 1.5 h anodic hydrogen discharging at 0 mV, and no noticeable change can be detected.
13 Moreover, the R_q value was kept constant through the first sequence of hydrogen ingress and
14 egression. However, the evolution of topography was detected when switched to -1800 mV cathodic
15 polarization. As shown in Fig. 1c-e, the straight bands appeared after 1 h charging at -1800 mV, and these
16 bands accumulated and became more noticeable by increasing the charging time. Fig. 1g shows that the
17 roughness increased 32 times from 0.056 nm to 1.8 nm after 3.5 h charging at -1800 mV. After -1800 mV
18 cathodic charging, the second anodic discharging was applied for a long time (13 h), and no irreversible
19 phenomena were observed on both the SPM image and R_q value. Worthy of note, the accuracy of R_q
20 values calculated from SPM images can be affected by factors such as tip bluntness, scan rate, and contact
21 condition especially for in-situ condition and might not precisely reflect the actual surface roughness.
22 Nevertheless, the change in the R_q values can be used as an indicator to reflect the trend of the actual
23 surface roughness and martensitic transformation during in-situ hydrogen charging.
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31 **Fig. 1.** (a-f) The representative SPM images during in-situ hydrogen charging/discharging under different
32 conditions. The times marked on the top are the total polarization time and the times marked in the
33 parenthesis below are the individual cathodic charging and anodic discharging times. g) The evolution of
34 root-mean-square roughness R_q (nm) during in-situ hydrogen charging. The black arrows indicate the
35 conditions shown in SPM images.
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40 The nanoindentation test was performed on the same grain after each SPM scan, and the representative
41 load-displacement curves are shown in the supplementary Fig.1. The hardness values were further
42 calculated by using the Oliver-Pharr method [17]. As shown in Fig. 2, the original hardness in air was
43 3.63 GPa, and it accumulatively increased to a stable value of 4.07 GPa after 2 h cathodic charging at -
44 1400 mV and then recovered to a similar value as in air condition after 1.5 h anodic discharging. By
45 applying a higher charging potential at -1800 mV, a continuously increased hardness value was detected
46 till a stable value was reached after 3.5 h charging, which showed a more significant hardness increment
47 to 7.75 GPa. Moreover, a sudden hardness increment was observed in this cathodic charging condition
48 after 2.25 h, which matches the apparent topography change (Fig. 1d). However, in comparison with the
49 fully recovered hardness in the first anodic process, the hardness in the second anodic discharging
50 condition showed only partial recovery (38.3%).
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56 **Fig. 2.** The hardness values of the studied alloy under sequential polarization conditions. The black
57 arrows indicate the conditions corresponding to the SPM images shown Fig. 1.
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4 The reversible hardness increment during the first sequence of hydrogen ingress and egress was
5 caused by the enhanced retarding stress on dislocation motion due to the increased lattice friction between
6 dislocations and dissolved hydrogen [18]. The remained hardness increment as well as the topography
7 change in the second sequence of charging and discharging was proposed due to hydrogen-induced phase
8 transformation from γ -austenite to ϵ -martensite, which generally shows a very high hardness value [19].
9 In order to validate this assumption, further advanced characterization techniques were applied. Fig. 3a-3d
10 show the ECC images of sample surface before (Fig. 3a) and after (Fig. 3b-3d) the in-situ test. The
11 sample surface before charging contains uniformly distributed dislocations at a relatively low density.
12 After the whole charging/discharging process, the deformation bands along three different directions are
13 clearly visible. The orientations of these deformation bands, marked as black lines, are parallel to $\{111\}$
14 slip traces indicated by red lines. Fig. 3d shows the ECC image at a higher magnification: the thin bands
15 are stacking faults (SFs) and the thick bands are martensite plates forming through the overlapping of SFs
16 [3]. The martensitic transformation can also be confirmed by GIXRD and EBSD analysis. Fig. 3e and 3f
17 show the phase maps with the corresponding GIXRD spectra before and after hydrogen charging,
18 respectively. It shows a pure γ -austenite phase in the original sample, and the parallel pattern consisting of
19 ϵ -martensite lamellae can be observed within the γ grains after the whole in-situ hydrogen
20 charging/discharging process. The γ - $\{111\}$ and ϵ - $\{0001\}$ pole figures constructed from the marked grains
21 (1 to 4 in Fig. 3f) are shown as Fig. 3g and 3h, respectively. The poles are colored uniquely and encircled
22 by unique shapes for each γ grain. It can be seen that the $\{0001\}$ poles of ϵ phase appeared roughly at the
23 same place as the $\{111\}$ poles of γ phase for each indexed grain. This suggests a $\{111\}_\gamma \parallel \{0001\}_\epsilon$
24 orientation relationship, which is in good agreement with the habit planes of ϵ -martensite transformation
25 [20, 21]. It is worth noting that in contrast to the transformed α' and ϵ phases in austenitic stainless steels
26 [22, 23], the ϵ phase is the only detected product phase in the current alloy. This might be due to a
27 relatively high stability of the ϵ phase in the studied material [24]. This agrees with the phase
28 transformation investigations of this material after cold rolling and tensile testing [11, 25].
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37 **Fig. 3.** The ECC images of the sample surface before (a) and after (b-d) in-situ polarization test. (c) and (d)
38 are the magnified areas of (b). The phase maps with the corresponding GIXRD spectra before (e) and
39 after (f) hydrogen charging. The γ - $\{111\}$ (g) and ϵ - $\{0001\}$ (h) pole figures of the marked grains in (f).
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43 It has been claimed within the framework of the defactant theory that the dissolved hydrogen can act as
44 defactants and reduce the SFE [26-28]. A reduced SFE can facilitate the formation of SFs, which can
45 serve as the nucleation sites for martensitic transformation [29]. According to the Kajiwara model [30],
46 when stress is applied, a dislocation loop with partial Burgers vector is generated two atomic layers away
47 from the pre-existing SF and a four-atomic-layer nano-sized ϵ -martensite is therefore produced. This thin
48 ϵ -martensite grows thicker by consecutive formation of such dislocation loops at every second layer. The
49 further growth of the martensite plates is thus presented as surface reliefs referring to the topographical
50 changes. In this study, the charged hydrogen facilitated the formation of SFs on $\{111\}$ closed packed
51 planes, which acted as the nucleation sites for martensitic transformation. This hypothesis matches
52 perfectly with the ECCI and EBSD results in Fig. 3 showing that the martensite formation is along $\{111\}$
53 habit planes. Moreover, it has been proven by both experiments [31, 32] and simulations [33, 34] that
54 hydrogen charging can lead to the formation of superabundant vacancies. The hydrogen-induced
55 vacancies can further reduce the phase stability, resulting in martensitic phase transformation in
56 interstitial-substitutional alloys [35]. Therefore, the hydrogen-induced vacancies might also facilitate the
57 observed phase transformation in the current study. However, not only the intrinsic hydrogen effect can
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4 promote the martensitic transformation, the hydrogen-induced internal stress also facilitates the phase
5 transformation. During charging at -1800 mV, a high hydrogen concentration in the surface area is
6 reached, which causes lattice expansion and results in high compressive internal stress [36]. This high
7 internal stress acts as the driving force for γ to ϵ transformation during the cathodic charging process in
8 this study.
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12 **Fig. 4.** The SEM images of the sample surface with a specially milled micro-pillar before (a) and after (b)
13 hydrogen charging. The magnified images of micro-pillar surface (c) and sample matrix (d) after
14 hydrogen charging. (e) The TKD phase map of surface area showing the depth of martensitic
15 transformation. (f) Hydrogen concentration as a function of depth at the end of -1800 mV charging
16 process.
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21 In order to prove the necessary conditions of hydrogen-induced internal stress on the phase transformation,
22 an isolated square-shaped micro-pillar with the size of $4 \times 4 \times 2 \mu\text{m}^3$ was milled by focused ion beam (FIB,
23 Helios Dual Beam, Thermo Fisher Scientific Inc., USA) as shown in Fig. 4a. The milling process was
24 performed by a pre-designed one-step-pattern to avoid Ga ion-induced FIB damage. Without constraints
25 surrounding the free-standing pillar, the internal stress induced by hydrogen charging can be easily
26 released. By repeating the same hydrogen charging/discharging process, the pillar shows a smooth surface
27 without any observable changes (Fig. 4c), while uniformly distributed martensitic bands are exhibited
28 along three directions in the nearby matrix surface regions (Fig. 4d). This observation shows that a critical
29 internal stress is the prerequisite for the martensitic transformation in this study. In order to determine the
30 threshold of hydrogen concentration for this critical internal stress, a TKD characterization was performed.
31 The specimen for TKD was cut by using FIB from the tested sample surface (deposited with protective
32 carbon layer) till a depth of $5 \mu\text{m}$ and then milled from both sides to a final thickness of approximately
33 100 nm . The TKD result in Fig. 4e clearly shows that the ϵ -martensite was transformed within a depth of
34 approximately $2.5 \mu\text{m}$. The hydrogen content was measured by TDS on one sample (diameter 11.55 mm ,
35 thickness 0.81 mm , weight 0.6358 g) charged with the same conditions till the end of -1800 mV
36 polarization as well as on one uncharged sample (diameter 11.55 mm , thickness 0.92 mm , weight 0.7243
37 g). The hydrogen content of the uncharged sample was measured as zero, while 1.0814 wppm hydrogen
38 was quantified on the charged sample. The measured hydrogen content contains both diffusible hydrogen
39 and trapped hydrogen, which are not differentiated in the current study and are considered to contribute
40 together to the phase transformation. The surface hydrogen concentration C_s during charging at -1800 mV
41 can be estimated by [37]
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$$48 \quad C_s = \frac{\omega C_M}{4} \sqrt{\frac{\pi}{Dt}} \quad (1)$$

49 where ω is the sample thickness, C_M is the mean hydrogen concentration of the sample (1.0814 wppm),
50 and D is the hydrogen diffusion coefficient chosen as $3.2 \times 10^{-16} \text{ m}^2/\text{s}$ [7]. The related hydrogen
51 concentration $C(x, t)$ at distance x and time t was calculated by using the Fick's law based "semi-infinite"
52 model [38]:
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$$57 \quad \frac{C(x, t) - C_0}{C_s - C_0} = 1 - \operatorname{erf}\left(\frac{x}{\sqrt{4Dt}}\right) \quad (2)$$

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4 where C_0 is the initial hydrogen concentration as zero. The concentration profile of hydrogen in the
5 sample is shown in Fig. 4f. Based on the TDS and TKD results, the threshold of hydrogen concentration
6 for ϵ -martensite transformation can be estimated as 69.3 wppm, which was caused by 3.5 h hydrogen
7 charging at -1800 mV (0.85 mA/cm^2) at the depth of $2.5 \mu\text{m}$. Note that both the hydrogen charging
8 current density and the dissolved hydrogen content resulting in martensitic transformation in this study
9 are much less than that has been applied in many other studies involving martensitic transformation in the
10 pre-charged samples after tensile tests [9, 39]. The above results suggest that it is important to distinguish
11 between the tensile deformation induced martensite and the pre-charged hydrogen induced martensite in
12 the future hydrogen embrittlement studies.
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16 In summary, the influence of hydrogen alone on the phase transformation was investigated for the first
17 time in an interstitial metastable HEA through in-situ hydrogen charging together with nanoindentation
18 and SPM tests, plus further characterization by ECCI, EBSD, GIXRD and TKD techniques. The results
19 show that cathodic hydrogen charging with a critical charging current density can lead to γ -austenite to ϵ -
20 martensite transformation along the $\{111\}$ habit planes. The transformed martensites appear as bands on
21 the sample surface, leading to an irreversible increase of hardness. The hydrogen-induced internal stress
22 together with the intrinsic hydrogen effect on SFE reduction and superabundant vacancies formation are
23 proposed as the reasons for the martensitic transformation during hydrogen charging. Accordingly, it is
24 necessary to consider these effects in future studies on hydrogen embrittlement and the design of
25 hydrogen-tolerant alloys.
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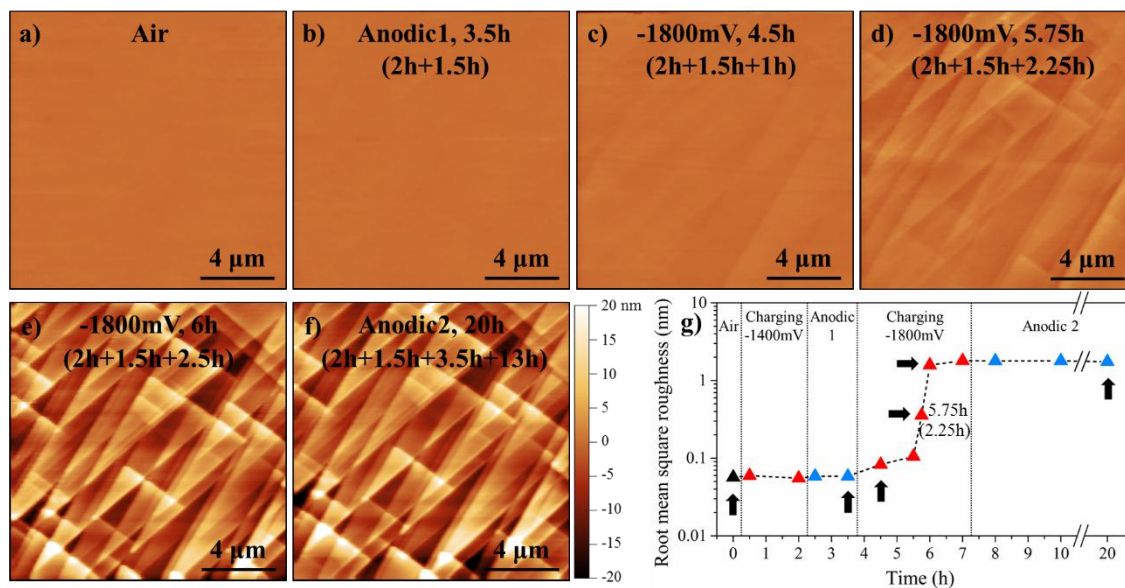


Fig. 1. (a-f) The representative SPM images during in-situ hydrogen charging/discharging under different conditions. The times marked on the top are the total polarization time and the times marked in the parenthesis below are the individual cathodic charging and anodic discharging times. g) The evolution of root-mean-square roughness R_q (nm) during in-situ hydrogen charging. The black arrows indicate the conditions shown in SPM images.

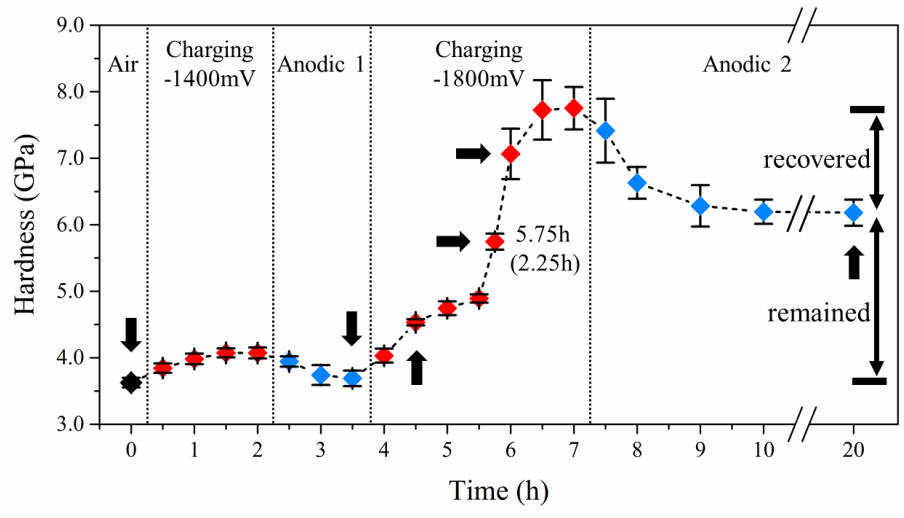


Fig. 2. The hardness values of the studied alloy under sequential polarization conditions. The black arrows indicate the conditions corresponding to the SPM images shown Fig. 1.

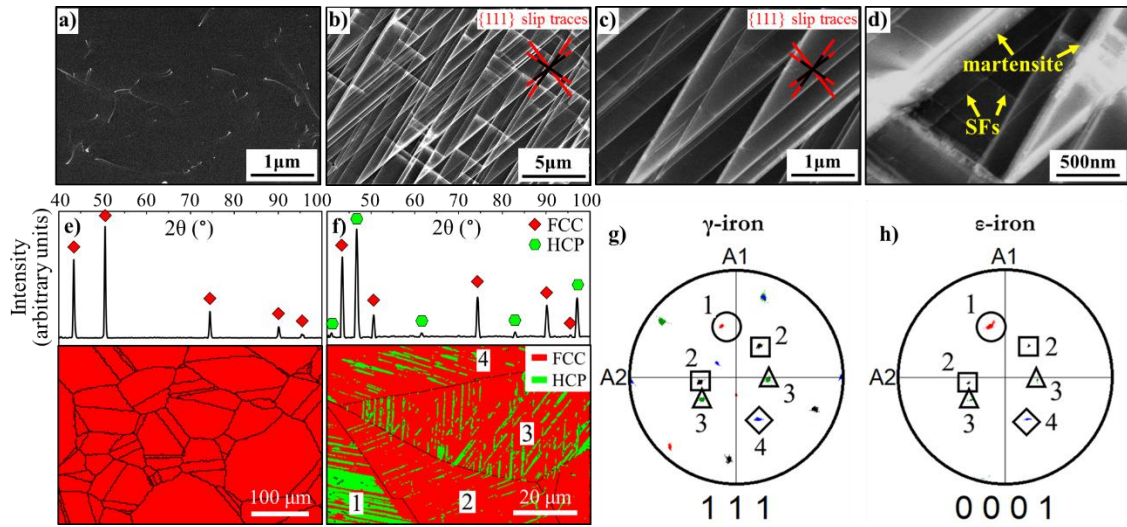


Fig. 3. The ECC images of the sample surface before (a) and after (b-d) in-situ polarization test. (c) and (d) are the magnified areas of (b). The phase maps with the corresponding GIXRD spectra before (e) and after (f) hydrogen charging. The γ -{111} (g) and ϵ -{0001} (h) pole figures of the marked grains in (f).

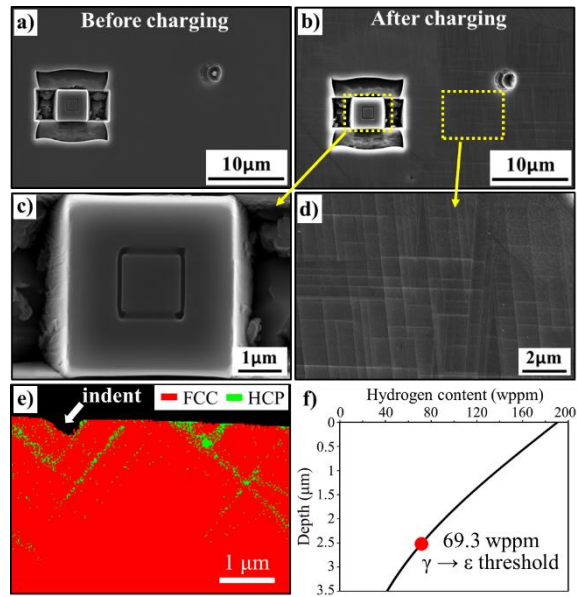
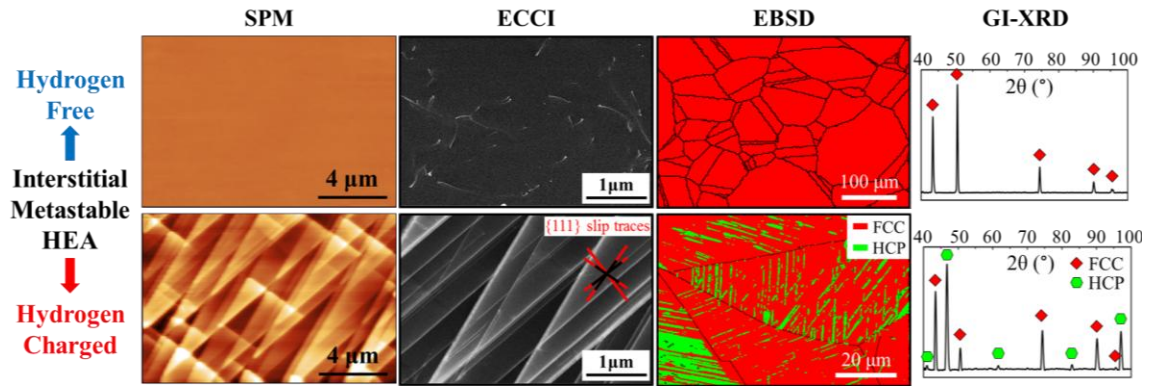


Fig. 4. The SEM images of the sample surface with a specially milled micro-pillar before (a) and after (b) hydrogen charging. The magnified images of micro-pillar surface (c) and sample matrix (d) after hydrogen charging. (e) The TKD phase map of surface area showing the depth of martensitic transformation. (f) Hydrogen concentration as a function of depth at the end of -1800 mV charging process.



Supplementary Material

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