

1 **The potential of TEMPO-oxidized cellulose nanofibrils as rheology modifiers**
2 **in food systems**

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13 **ABSTRACT**
14

15 Cellulose nanofibrils (CNFs) have been proposed for use in low-fat food products due to their availability
16 and excellent viscosifying and gel forming abilities. As the CNFs are negatively charged, the presence of
17 other components in foods, such as electrolytes and food additives such as xanthan gum is likely to affect
18 their rheological properties. Hence, the study of these interactions can contribute valuable information of
19 the suitability of CNFs as rheology modifiers and fat replacers. Rheological measurements on aqueous
20 dispersions of TEMPO-oxidized CNFs were performed with variations in concentration of CNFs,
21 concentration of electrolytes and with varying CNF/xanthan ratios. UV-Vis Spectroscopy was used to
22 evaluate the onset of CNF flocculation/aggregation in the presence of electrolytes. The CNF dispersions
23 followed a power-law dependency for viscosity and moduli on CNF concentration. Low electrolyte
24 additions strengthened the CNF network by allowing for stronger interactions, while higher additions led
25 to fibril aggregation, and loss of viscosity, especially under shear. The CNF/xanthan ratio, as well as the
26 presence of electrolytes were shown to be key factors in determining whether the viscosity and storage
27 modulus of CNF dispersions increased or decreased when xanthan was added.

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30 **Keywords:** Nanocellulose, cellulose nanofibrils (CNFs), rheology, food additives, TEMPO-mediated
31 oxidation, ionic strength
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37 **Acknowledgements**
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43 laboratory assistance.

44 1 INTRODUCTION

45
46 Obesity is a growing health problem attributed to diets dominated by an excess of energy-dense foods
47 with a high content of fat and sugar, and carries with it an increased risk of many diseases such as diabetes
48 2 and cardiovascular diseases (Isomaa et al. 2001; James et al. 2004; Van Gaal et al. 2006). The Dietary
49 Guidelines for several countries state that the intake of especially saturated fats should be reduced, and
50 that dairy products should be consumed mainly in fat-free or low-fat forms (Food Standards Agency 2010;
51 U.S. Department of Health and Human Services and U.S. Department of Agriculture 2015). With the
52 increased focus on the fat content of food, many food companies strive to develop low fat and no fat
53 products for their consumers. Removing fat from a food product can however cause the loss of qualities
54 such as a desirable appearance, flavor, aroma, texture and mouthfeel, properties that must then be obtained
55 by the addition of so called fat replacers (Lucca and Tepper 1994; Roller and Jones 1996). Fat replacers
56 can generally be protein-, carbohydrate- or fat-based, where the different types will give the product
57 varying properties (González-Tomás et al. 2007; Lucca and Tepper 1994; Sandoval-Castilla et al. 2004).
58 The carbohydrate-based fat replacers are generally used for structuring the water phase, increasing the
59 viscosity and contribute to a mouthfeel similar to that of fat (Lucca and Tepper 1994; Mudgil and Barak
60 2013). A combination of several fat replacers will often be necessary to obtain the desired properties in
61 low fat foods (Lucca and Tepper 1994).

62 Cellulose, a material consisting of glucose chains, is known as the most abundant biopolymer on earth,
63 and is the main constituent of the cell walls of higher plants (Payen 1838; Purves 1954; Saxena and Brown
64 Jr 2005). Structures known as elementary fibrils, with diameters of approximately 3.5 nm, are constituted
65 of glucan chains associated through van der Waals forces and hydrogen bonding (Cousins and Brown
66 1997; Gardner and Blackwell 1974; Heyn 1969). These fibrils can be extracted from cellulosic pulps by
67 mechanical treatment, often used in combination with some kind of pretreatment of the pulp to reduce the
68 energy requirements (Herrick et al. 1983; Pääkkö et al. 2007; Saito and Isogai 2004; Turbak et al. 1983).
69 One kind of pretreatment is oxidation of the primary hydroxyl groups on the cellulose fibrils with sodium
70 hypochlorite in a reaction catalyzed by 2,2,6,6-tetramethylpiperidine-1-oxy radical (TEMPO) (Saito and
71 Isogai 2004; Saito and Isogai 2006). The oxidation introduces charges into the fibers through carboxylate
72 groups, which contributes to an easier and less energy demanding disintegration of the fibers into
73 nanofibrils. The wood cellulose nanofibrils (CNFs) produced by this method can have widths in the range
74 of a few nanometers and lengths up to a few microns, giving very viscous suspensions even with a very
75 low solid fraction (Saito et al. 2007; Saito et al. 2006).

76
77 CNF suspensions, both from pretreated and non-pretreated fibrils, exhibit a shear thinning behavior, and
78 shear rate- viscosity curves show a yield stress and a hysteresis loop at low shear rates above a certain
79 concentration of CNFs (Iotti et al. 2011; Lasseguette et al. 2008; Naderi et al. 2014a; Pääkkö et al. 2007).
80 The critical overlap concentration for CNF systems, where the suspension shifts from a Newtonian flow to
81 a non-Newtonian flow, has been found in the region 0.2 - 0.3 wt % for a low shear rate around 0.1 s^{-1}
82 (Lasseguette et al. 2008; Lowys et al. 2001; Tatsumi et al. 2002). Above this concentration the formation
83 of a network begins due to the entanglement of the fibrils (Lowys et al. 2001). All the rheological
84 properties of CNF systems are heavily dependent on the concentration of CNFs in the suspension, with a
85 power law dependency between both the storage modulus and the viscosity on the concentration, as long
86 as the concentration ranges well above the critical overlap concentration (Naderi et al. 2014b; Pääkkö et

87 al. 2007). For the storage modulus, G' , the dependency on the CNF concentration can be represented by a
88 power law on the form given in Equation 1.

89

$$90 \quad G' = kc^\alpha \quad (1)$$

91

92 where G' is the storage modulus, k and α are constants, and c is the concentration of CNFs.

93

94 The value of α has been reported to be 2.25 for acid hydrolysed and bacterial cellulose, 2.4 for
95 carboxymethylated CNFs, 2.25 and 2.58 for mechanically treated CNFs and 3 for enzymatically treated
96 CNFs (Agoda-Tandjawa et al. 2010; Naderi et al. 2014b; Pääkkö et al. 2007; Tatsumi et al. 2002).

97 Similarly, the viscosity of suspensions fitted to a power law on the form given in Equation 2 have given
98 values for β between 2 for a carboxymethylated sample to 6 for a TEMPO-oxidized sample at a shear rate
99 of 0.1 s^{-1} (Lasseguette et al. 2008; Naderi et al. 2014b). Great variations with shear rate can be found for
100 the same sample, as shown by Lasseguette et al. (Lasseguette et al. 2008), where the value of β varied
101 between 2 for a shear rate of 100 s^{-1} and 6 for a shear rate of 0.1 s^{-1} .

102

$$103 \quad \eta = k^* c^\beta \quad (2)$$

104

105 where η is the viscosity, k^* and β are constants, and c is the concentration of CNF.

106

107 In a food system, electrolytes in some form will usually be present, and the rheological response to
108 addition of electrolytes could determine the usability of CNFs as fat replacers in these systems. Based on
109 the Derjaguin–Landau–Verwey–Overbeek (DLVO) theory of colloid stability CNF dispersions will stay
110 stable as long as the electrostatic repulsion between the fibrils is stronger than the attractive van der Waals
111 forces between them (Derjaguin and Landau 1941; Saarikoski et al. 2012; Verwey and Overbeek 1948).

112 The addition of electrolytes changes the balance between these forces, and as counter-ions such as Na^+ or
113 Ca^{2+} gather close to the charged fibril surface, they contribute to a lower surface charge as well as an
114 electrostatic screening of the negative charges (Fall et al. 2011; Saarikoski et al. 2012). The closer contact
115 between the fibrils allows for a strengthening of the fibril contact points resulting in a stronger network,
116 leading to higher viscosity and storage modulus, G' , for the CNF dispersion (Agoda-Tandjawa et al. 2010;
117 Dong et al. 2013; Lowys et al. 2001; Saarikoski et al. 2012). Metal-carboxylate binding can in addition
118 allow for gelation of carboxylated CNF dispersions by inter- and intrafibrillar crosslinking when divalent
119 and trivalent cations are present (Aarstad et al. 2017; Dong et al. 2013). As the concentration of counter-
120 ions increases, the screening of negative charges can however reduce the electrostatic repulsion enough to
121 cause a collapse of the CNF network, leaving permanent fibril aggregates surrounded by dispersion
122 medium, causing lower viscosity and moduli (Naderi and Lindström 2014; Saarikoski et al. 2012).

123

124 The perceived textural properties of food, such as the property of creaminess and thickness can, to some
125 degree, be predicted by looking at the rheological data of the food (Cheung et al. 2002; Jellema et al.
126 2005; Torres et al. 2011).

127 In this study, we have investigated fundamental rheological properties of TEMPO-oxidized CNFs, both in
128 pure water and in electrolyte solutions, to evaluate their usability as fat replacers in foodstuff. We have
129 also studied their rheological interaction with the commonly used food additive xanthan gum. The study is
130 also relevant for other fluids in which CNF could be used for rheology control, e.g. inks for 3D printing
131 (Heggset et al. 2019) and drilling fluids (Heggset et al. 2017).

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135 **2 MATERIALS AND METHODS**

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137 **2.1 Materials**

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139 The studied CNFs were prepared at RISE PFI, Norway, from a dissolving pulp (Borregaard, Sarpsborg,
140 Norway). The pulp was subjected to TEMPO-mediated oxidation as described by Saito and Isogai (Saito
141 and Isogai 2004). In short, 110 g cellulose was suspended in 8.25 L of deionized water containing 1.375 g
142 TEMPO and 13.75 g sodium bromide before sodium hypochlorite was added to start the reaction. The
143 start concentration of sodium hypochlorite in the reaction mixture was set at 1.7, 2.8 or 3.5 mmol NaClO
144 per gram of cellulose, giving CNF oxidized to three different levels. The pH was kept at 10.5 during the
145 reaction by adding 0.5 M sodium hydroxide. When the pH stayed constant without addition of more
146 sodium hydroxide, the pH was adjusted to 7 by use of 0.5 M hydrochloric acid. The samples were washed
147 thoroughly with deionized water on a Büchner funnel until the conductivity was less than 5 $\mu\text{S}/\text{cm}$, and
148 named as CNF-LC for low charge, CNF-MC for medium charge and CNF-HC for high charge. The
149 charge densities of the three samples were analyzed by conductometric titration before homogenization, as
150 described by Saito and Isogai (Saito and Isogai 2006).

151 The pre-treated samples, with a dry content of about 1% were homogenized using a high-pressure
152 homogenizer (Rannie 15 type 12.56 x homogenizer APV, SPX Flow Technology, Silkeborg, Denmark) at
153 two passes, the first at 600 bar, and the second at 1000 bar.

154 Calcium chloride dihydrate, 2,2,6,6-tetramethylpiperidine-1-oxyl radical and xanthan gum were obtained
155 from Sigma-Aldrich Co. (Steinheim, Germany). Sodium chloride and sodium bromide was purchased
156 from Merck (Darmstadt, Germany). The sodium hypochlorite was obtained from Carl Roth (Karlsruhe,
157 Germany). All chemicals were of laboratory grade, and used without further purification. The ultrapure
158 Milli-Q water used in the experiments was dispensed through a 0.22 μm Millipore filter and had a
159 resistivity of 18.2 $\text{M}\Omega\cdot\text{cm}$ at 25°C (Merck Millipore, Darmstadt, Germany).

160

161

162 **2.2 Preparation of samples**

163

164 The CNF samples shown in Table S1 in Supplementary were prepared from start concentrations of about
165 1.1 wt % CNFs and 5 M stock solutions of NaCl and CaCl₂. All samples were stirred with a Eurostar
166 Digital stirrer (IKA, Staufen Germany) equipped with a four bladed propeller for 10 minutes at 2000 rpm
167 after addition of all the components. The samples were stored at 4°C, for at least two days before the
168 measurements were performed. The focus in this study was on the CNF-MC sample, but the CNF-LC and
169 CNF-HC were included in some of the experiments to obtain some information about the effect of charge
170 density, especially on flocculation or aggregation.

171
172 Dry xanthan gum was dissolved in water by stirring with a Eurostar Digital stirrer (IKA, Staufen
173 Germany) equipped with a four bladed propeller for 1 hour at 2000 rpm to give a 1.11 wt% solution. The
174 xanthan solution or/and the CNF-MC was mixed with either water or salt solution at 2000 rpm for 10
175 minutes to give the samples shown in Table S2. In this experiment, we used NaCl for the salt solution,
176 while CaCl₂ may be used in a later study.

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179 **2.3 Atomic force microscopy (AFM)**

180

181 Images of the CNF samples were acquired by atomic force microscopy (AFM), using a Bruker Multimode
182 V AFM with a Nanoscope V Controller (Veeco Instruments Inc., Santa Barbara, CA, USA). A drop of
183 aqueous CNF dispersion with concentration 0.01 wt % was placed on freshly cleaved 10 mm mica (Agar
184 Scientific Ltd. Essex, UK) and dried using compressed N₂ gas. The operation mode used was quantitative
185 nanomechanical mapping (QNM) with automated settings, and the images were acquired using the Scan
186 Asyst mode in air at ambient temperature, with a nominal spring constant of 0.4 Nm⁻¹ and resonance
187 frequency 70 kHz. Silicon nitride AFM tips, SA-air, were provided by Bruker AFM Probes (Bruker Nano
188 Inc., Camarillo, CA, USA).

189

190

191 **2.4 Ultraviolet–visible (UV-Vis) spectroscopy**

192

193 Transmittance have been previously been used as an indirect way of evaluating the degree of aggregation
194 or flocculation of CNFs, as the aggregation leads to increased light scattering (Chen et al. 2011; Fukuzumi
195 et al. 2014). The change in transmittance of CNF dispersions of different charge densities upon addition of
196 electrolytes was investigated using a UV-vis Spectrophotometer (UV-2401PC, Shimadzu, Tokyo, Japan).
197 The addition of electrolytes is expected to reduce the electrostatic repulsion between the fibrils and
198 eventually lead to aggregation. As the transmittance decreases with an increasing particle size, UV-Vis
199 spectroscopy can give an indication of the degree of aggregation in the samples as electrolyte is added.
200 Series of CNF dispersions containing between 0.1 and 100 mM sodium chloride or calcium chloride were
201 prepared according to the procedure described in section 2.2, and the transmittance was measured for
202 wavelengths 300-800 nm using a cell path length of 1 cm and a slit width of 2.0 nm. At least two parallels
203 were recorded for each sample. The average transmittance at 500 nm for each sample was compared to
204 that of a 0.11 wt % CNF sample containing no electrolytes.

205

206 **2.5 Rheological measurements**

207

208 The rheological properties of the CNF-MC dispersions and xanthan solutions were evaluated using a
209 Physica MCR 301 rheometer (Anton Paar GmbH, Graz, Austria) equipped with a cone and plate
210 geometry. The cone and plate had roughened surfaces and a gap size of 0.057 mm. Wall slip, occurring
211 when the disperse phase is displaced away from the solid boundaries in the rheometer, giving a lower
212 apparent viscosity of the suspension, is a known problem for CNF suspensions, especially with
213 electrolytes present (Barnes 1995; Saarikoski et al. 2012). The use of serrated or roughened surfaces in the
214 rheometer is a way to reduce this wall slip effect (Buscall et al. 1993; Nechyporchuk et al. 2014). The

215 content of the sample bottles was stirred with a spoon before it was loaded onto the plate of the rheometer.
216 All samples were subjected to one minute of pre-shearing at 100 s^{-1} followed by two minutes of rest before
217 the measurements started.

218

219 2.5.1 Rotational measurements

220

221 Flow curves were obtained by increasing the shear rate from 0.1 to 1000 s^{-1} over a 10 minutes period,
222 followed by a down-sweep from 1000 to 0.1 s^{-1} at 20°C . The up-sweep and down-sweep were then
223 repeated immediately on the same sample. Two to four parallels were performed for each sample, and the
224 viscosity was measured as a function of the shear rate.

225

226 2.5.2 Oscillatory measurements

227

228 At least two parallels of strain sweeps were performed on each sample, with the frequency set at 0.01 Hz
229 and the strain ranging between 0.1 and 100% to determine the linear viscoelastic region of the samples.
230 Frequency sweeps were performed in duplicate with the strain set at 1% , which was well within the linear
231 viscoelastic region for all the samples. The frequency was increased from 0.01 to 10 Hz , and the storage
232 and loss moduli were recorded as a function of the frequency at 20°C .

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237 **3 RESULTS AND DISCUSSION**

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239 The error bars in Figure 4– 10 show the spreading of the data, where the positive and negative bars
240 represent the maximum and minimum value, respectively, obtained for the specific measurement point.
241 For many of the data points the error bars do not reach outside the marker.

242

243 *3.1 Characterization of Fibril Samples*

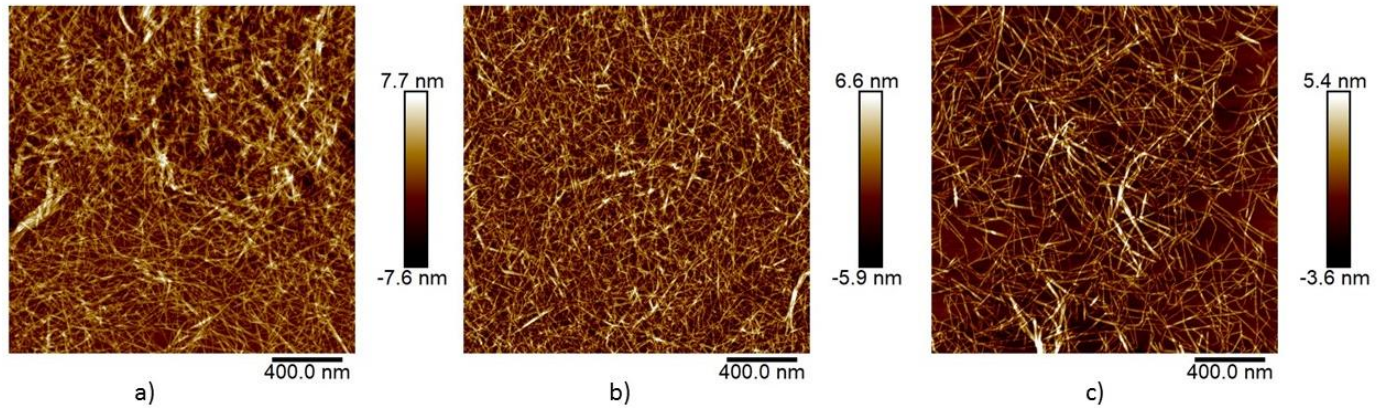
244

245 The charge densities of CNF-LC, CNF-MC and CNF-HC were determined by conductometric titration
246 before homogenization, to be 649 ± 16 , 1068 ± 43 and $1352 \pm 5\text{ }\mu\text{mol/g}$ respectively. The spreading in the
247 charge density measurements is given as the standard error of the mean. AFM images of CNF-LC, CNF-
248 MC and CNF-HC are shown in **Fig. 1**.

249

250

251



252
 253 **Fig. 1:** AFM topographic images of the three CNF samples CNF-LC (a), CNF-MC (b) and CNF-HC (c)
 254 after drying on mica. The pictures confirm that all the samples consist of fibrillated material, with a
 255 similar morphology for all the samples.

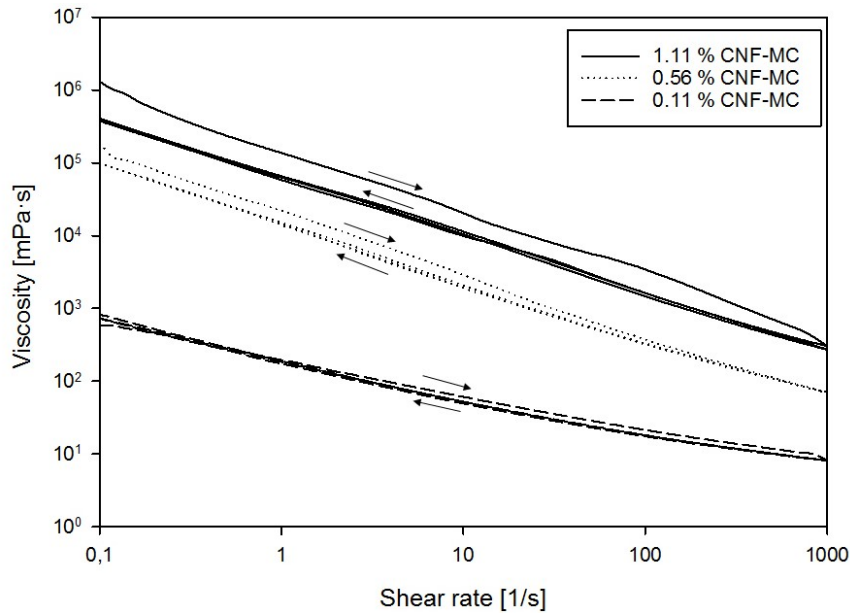
256
 257 The AFM micrographs of the three different CNFs dried on mica confirm that they consist of fibrillated
 258 material, with a similar morphology for all the samples. The fibrils of the sample CNF-LC seems to be
 259 somewhat more aggregated than the samples with higher charge densities. The exact dimensions cannot be
 260 determined from these pictures, but the aspect ratio, length to width, seems to be quite high for all
 261 samples, as should be expected for CNFs obtained by TEMPO-mediated oxidation (Saito et al. 2007; Saito
 262 et al. 2006).

263
 264
 265

266 3.2 Concentration dependency of CNFs on the Viscosity and Moduli

267
 268 The viscosity, loss modulus and storage modulus of CNFs prepared by TEMPO-mediated oxidation, with
 269 a charge density of $1068 \pm 61 \mu\text{mol/g}$ (CNF-MC), were measured at different concentrations to compare
 270 with existing literature. Figure 2 shows the flow curves of CNF-MC at three different concentrations.

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275 **Fig. 2:** The viscosity of aqueous CNF dispersions (CNF-MC), at three different concentrations, shown as
276 a function of the shear rate.

277

278

279 The flow curves all show a shear thinning behavior, as shown for other CNF suspensions (Iotti et al. 2011;
280 Lasseguette et al. 2008; Naderi et al. 2014a; Pääkkö et al. 2007). The first down-curve, and both up- and
281 down-curve for the second sweep is superimposed for all the samples, with some signs of thixotropic
282 behavior for the highest concentrations, where the curve from the first up-sweep show a higher viscosity
283 than the remaining curves.

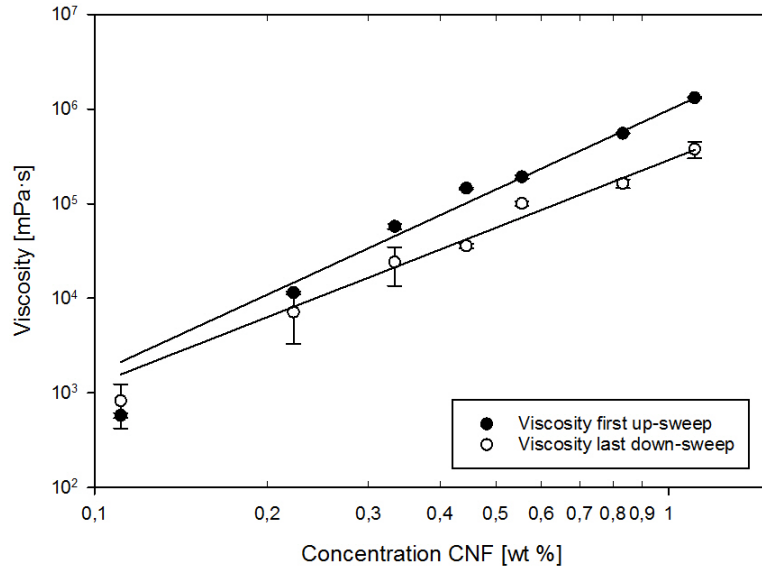
284

285 The viscosity at a shear rate of 0.1 s^{-1} from the first up-curve and the last down-curve as a function of CNF
286 concentration (Figure 3) was fitted with a power law on the form of Equation 2.

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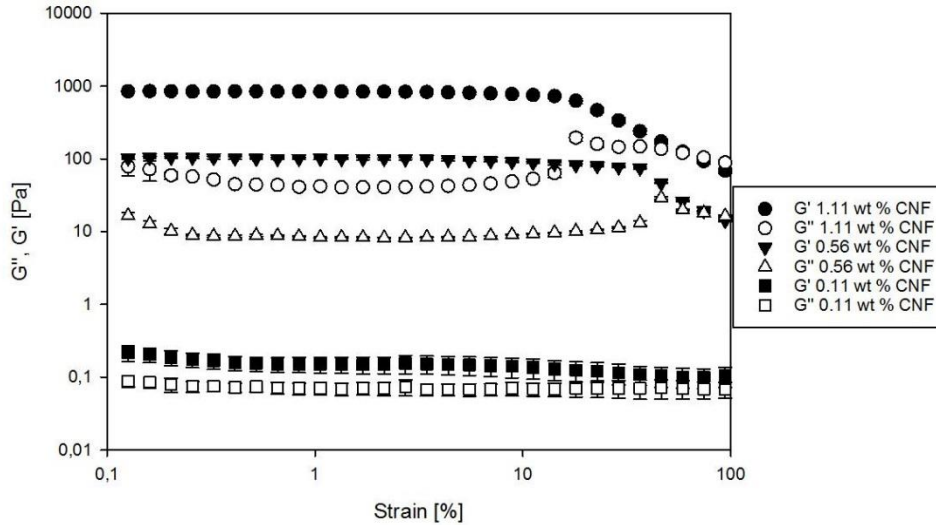


290
 291 **Fig. 3:** The viscosity of aqueous CNF dispersions (CNF-MC) at varying concentrations. The results stem
 292 from measurements performed in replicates at a shear rate of 0.1 s^{-1} , and the viscosity for the first up-
 293 curve and the last down-curve is shown as a function of the CNF concentration. The results are fitted to
 294 power law functions, represented by solid lines.

295
 296
 297 The resulting values of β of 3.3 for data from the first up-curve, and 2.6 for the last down-curve indicate
 298 that we are in the region where the fibrils overlap. The shear thinning observed in Figure 2 is attributed to
 299 the alignment of the fibrils as well as the “de-overlapping” of CNFs. By comparison, the values taken
 300 from a high shear rate of 1000 s^{-1} yields the value 1.4 for β both for the up- and down-sweep. The obtained
 301 exponents for low shear values are quite much lower than the value of 6 given by Lasseguette et al. (2008)
 302 for another TEMPO-oxidized sample, and closer to the value of 2 found for a carboxymethylated sample
 303 prepared by Naderi, Lindström, et al. (2014). The Lasseguette group used a blender with rotating knives
 304 rather than high-pressure homogenizer after the pulp was oxidized. Based on the two different preparation
 305 methods, the two CNF qualities will differ both in size of the fibrils and in polydispersity, which may
 306 explain the large difference in obtained exponent values.

307
 308 Figure 4 shows the loss and storage moduli as a function of the strain, of CNF-MC at three different
 309 concentrations.

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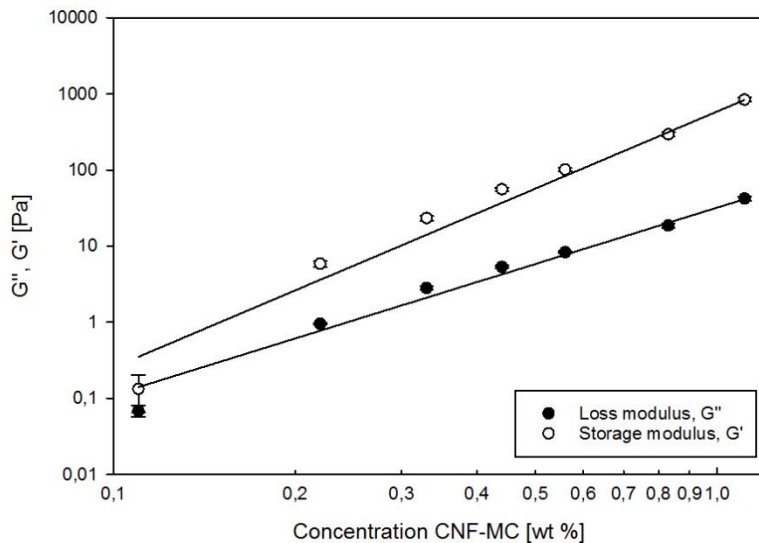
314 **Fig. 4:** The loss modulus (G'') and the storage modulus (G') of aqueous CNF-MC dispersions at three
315 different concentrations, shown as a function of the strain.

316
317

318 Strain sweeps for CNF concentrations 0.11 - 1.11 wt % show that the higher concentrations yield a larger
319 difference between loss and storage modulus, indicating a more preponderant elastic character (Herrick et
320 al.). The critical strain, marking the end of the linear viscoelastic region appears high (higher than 10 %) and
321 decreases with the concentration (1.11 vs 0.56 %). This range corresponds well to results obtained by
322 Naderi and Sundström (2014) and by Jowkarderis and van de Ven (2015).

323
324 The moduli both increase with the concentration of CNFs, and a fit to Equation 1 results in a value for α of
325 3.6 for the storage modulus, as shown in Figure 5. This is a slightly higher value than the values ranging
326 between 2.25 and 3 reported by other groups for other types of CNFs (Agoda-Tandjawa et al. 2010;
327 Naderi et al. 2014b; Pääkkö et al. 2007; Tatsumi et al. 2002). The larger response to an increase in
328 concentration can be due to the higher charge density, and thus higher effective fibril volume of our
329 sample, or by morphological factors. The corresponding value from the figure for the loss modulus is 2.7.

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331
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333



334
335

336 **Fig. 5:** The loss modulus (G'') and the storage modulus (G') of aqueous CNF-MC dispersions obtained
337 from strain sweeps, at 0.01 Hz and 1% strain, as a function of the CNF concentration. The results are
338 fitted to a power law function for each modulus, represented by solid lines.

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340

341 3.3 Effects of electrolytes on CNF dispersions

342

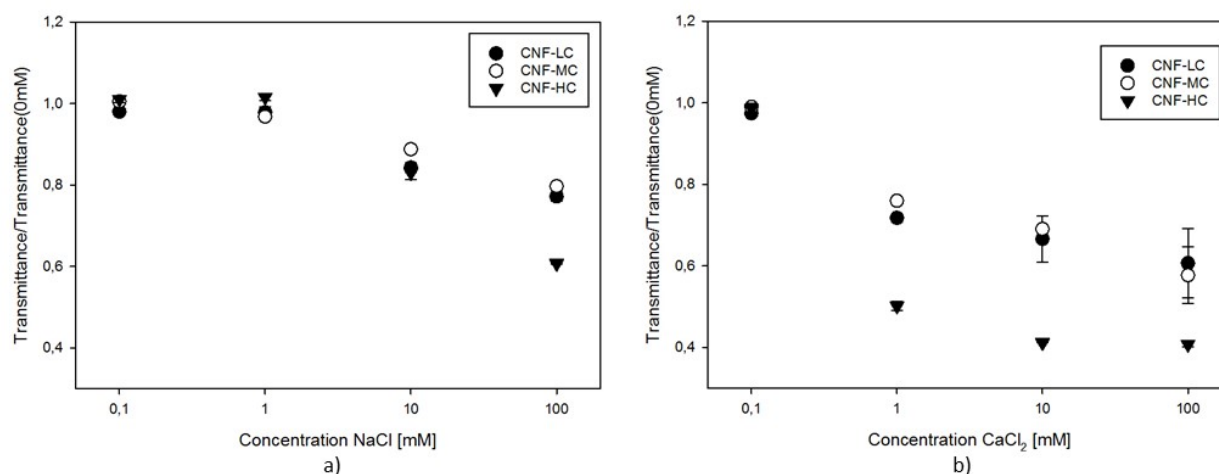
343 In food systems, there is usually electrolytes present, especially in the form of NaCl. As an example, the
344 salt content, given by USDA National Nutrient Database, of some typical foods are 0.7-1.5 g /100 g for
345 mayonnaise, 4.1-9.5 g/ 100 g for caviar and 0.2-3.5 g/ 100 g for prepared mashed potatoes (USDA 2018).

346

347 The transmittance of electrolyte-containing CNF samples with three different charge densities was
348 measured at 300-800 nm to evaluate the onset of fibril aggregation, with the transmittance spectra given in
349 Figure S1 – S6 in Supplementary. The transmittance decreases with the degree of CNF aggregation in the
350 dispersion due to an increased light scattering for larger particles. The ratio between measured
351 transmittance at 500 nm and the transmittance of a sample containing no electrolyte is shown as a function
352 of the content of NaCl (6a) or CaCl₂ (6b) in Figure 6.

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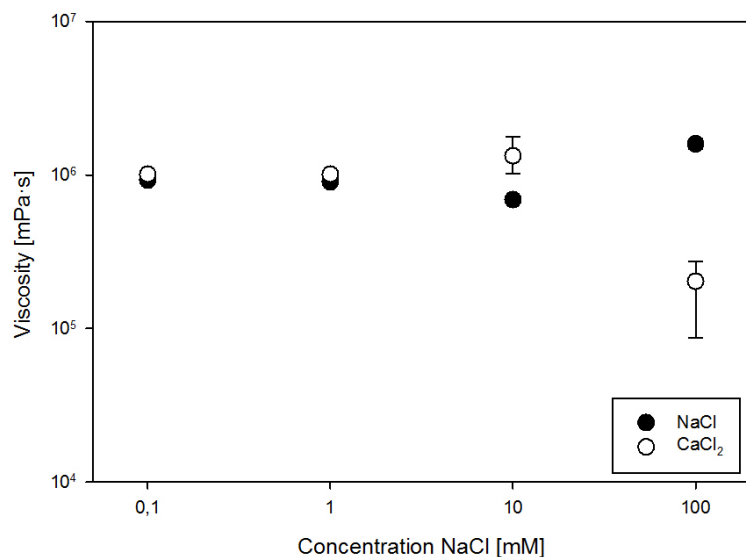


356
 357 **Fig. 6:** The transmittance at 500 nm of salt-containing CNF samples of three different charge densities
 358 divided by the transmittance of the samples containing no electrolyte, as a function of the concentration
 359 of NaCl (Figure 6a) and CaCl₂ (Figure 6b.) Error bars representing the spreading of data are included in
 360 both figures.

361
 362 As expected, the transmittance decreases as electrolytes are added to the dispersions, as the screening of
 363 the negative charges allows for closer contact and eventually aggregation of the fibrils. The transmittance
 364 start to decrease at a concentration of 10 mM NaCl, with a continued decrease at 100 mM. The Schulze-
 365 Hardy rule states that the critical aggregation concentration of electrolyte correlates inversely with the
 366 sixth power of the electrolyte valence (Hardy 1900; Schulze 1882). Based on the results from NaCl
 367 addition, we can therefore expect a reduction in transmittance from about 0.16 mM of CaCl₂. Due to a
 368 logarithmic increase in electrolyte content, this effect is first observed at 1 mM CaCl₂. The strong
 369 screening effect of Ca²⁺ ions compared to Na⁺ can be attributed to a stronger metal-carboxylate bonding
 370 for the divalent ions, causing a closer association to the fibrils, and thus more effective screening (Dong et
 371 al. 2013; Stendahl et al. 2006). The effect of the electrolytes on aggregation is especially prominent for the
 372 CNF-HC sample, where the stability caused by the highly charged fibrils is severely affected by the
 373 addition of electrolytes.

374
 375
 376 The CNF-MC sample was subjected to varying amounts of NaCl and CaCl₂ in order to study the
 377 rheological response to the electrolyte addition. Figure 7 shows the viscosity measured at 0.1 s⁻¹ from the
 378 first up-curve between 0.1 and 1000 s⁻¹ as a function of added NaCl or CaCl₂.

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383 **Fig. 7:** The viscosity of CNF-MC samples at a shear rate of 0.1 s^{-1} from the first up-curve as a function of
384 the concentration of NaCl and CaCl₂.

385

386 The addition of electrolytes to a CNF dispersion will cause some screening of the negative charges on the
387 fibril surface, leading to a lowering of the electrostatic repulsion between the CNFs. For the viscosity, an
388 initial increase could be expected as electrolyte is added, due to a stronger CNF network as the screening
389 of charges allows for more interactions between the fibrils, and the opportunity to form more contact
390 points between them (Aarstad et al. 2017; Dong et al. 2013). This may be the case when 10 mM CaCl₂ is
391 added, as well as for 100 mM addition of NaCl. As observed for the transmittance, the effect of addition
392 of electrolyte is visible at a lower concentration of CaCl₂ compared to NaCl. The large difference between
393 the parallels after CaCl₂-addition, apparent by large error bars, indicates a quite inhomogeneous sample,
394 likely due to some flocculation or aggregation. As the electrolyte concentration increases, we would
395 expect to see a drop in viscosity as the fibrils aggregate irreversibly, leaving a low-viscous layer of water
396 around the aggregates, as described by Saarikoski et al. (2015). This is indeed observed for the sample
397 containing 100 mM CaCl₂, where a significant drop in viscosity was observed. This sample also contained
398 visible aggregates.

399

400 Figure 8 shows the effect of electrolyte addition on the loss modulus and the storage modulus at 1.17 Hz
401 and 1% strain for the CNF-MC sample.

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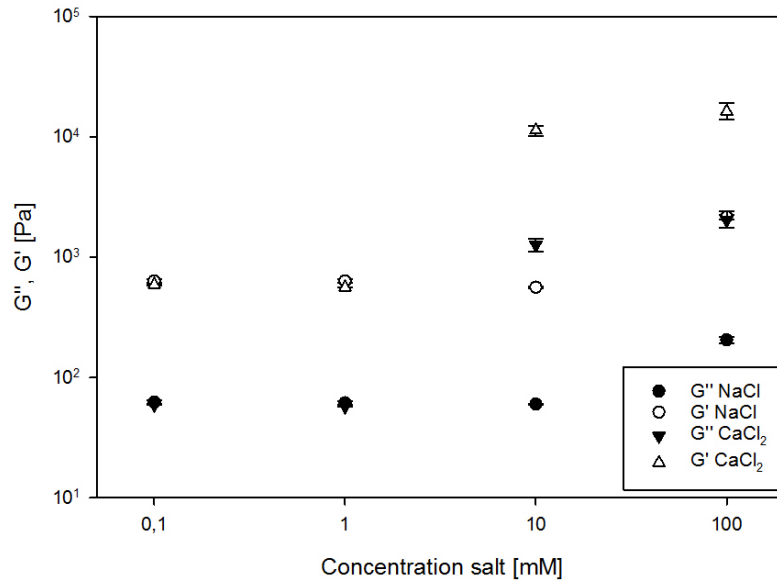
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 411 **Fig. 8:** The loss modulus (G'') and storage modulus (G') of the CNF-MC sample as a function of the
 412 concentration of sodium chloride and calcium chloride at 1.17 Hz and 1% strain.

413
 414
 415 As observed for the viscosity, an increase in both loss and storage moduli can be observed first at 10 mM
 416 CaCl_2 and 100 mM NaCl, confirming a stronger network of CNFs at these concentrations. In contrast to
 417 the viscosity measurements, a further increase to 100 mM CaCl_2 does not lead to a collapse of the
 418 network, but rather a strengthening. This difference is not a surprising finding, as shear forces makes
 419 aggregation more likely, as shown by Saarikoski et al (2012). The increase in moduli is also shown by
 420 Lowys et al. (2001) for concentrations of NaCl from 50 to 300 mM, and by Dong et al. (2013) for a
 421 sample of TEMPO-fibrils gelled by the addition of 50 mM $\text{Ca}(\text{NO}_3)_2$.

422
 423 Higher moduli are achieved with 10 mM CaCl_2 compared to 100 mM NaCl, again emphasizing the effect
 424 of the valence of the counter-ions. Dong et al. (2013) found a correlation between primary stability
 425 constants of cations with carboxylate groups and the storage modulus of CNF gels. The stability constants,
 426 giving a measure on how closely the cations associate with carboxyl groups differs from a very low value
 427 for Na^+ (-0.07), reflecting its preference to stay in solution, to a higher value for Ca^{2+} (0.4, although not as
 428 high values as shown for the divalent transition cations (Dong et al. 2013; Stendahl et al. 2006). In our
 429 experiment the high moduli caused by Ca^{2+} compared to Na^+ is probably the result of both a more
 430 effective screening and lowering of surface charge by the more closely associated Ca^{2+} ions, and possible
 431 Ca-mediated crosslinking between fibrils.

432
 433 The electrolytes present in most food systems are almost certain to affect the rheological properties of
 434 CNFs, especially if a highly charged quality is used. Using CNFs in a food system one would have to be
 435 aware of the possibility of fibril aggregation, and monitor this so the aggregates do not affect the sensory
 436 properties of the food in a negative way. Shear forces during processing can also affect the onset of
 437 aggregation. At the same time, by using CNFs for its gel forming and structuring abilities, the presence of
 438 electrolytes can contribute to reduce the amount of CNFs needed in the system, as the moduli increase
 439 when electrolytes are added.

3.4 Effects of mixing Xanthan and CNFs

The common food additive xanthan gum and CNF-MC were mixed at different ratios with either water or a 100 mM sodium chloride solution as the dispersion medium. The total concentration of xanthan and CNFs combined was 1.11 wt% for all mixtures, so that, as an example, a CNF/xanthan mixture with 0.28 wt % CNFs, contained 0.83 wt % xanthan. Samples with only CNFs or xanthan at different concentrations were also prepared for comparison. The viscosity and the storage modulus were measured using a rheometer. The viscosity of CNFs and xanthan dispersed in water and in 100 mM NaCl, at a shear rate of 0.1 s^{-1} from the first up-curve, is shown in Figure 9 as a function of CNF and xanthan concentration.

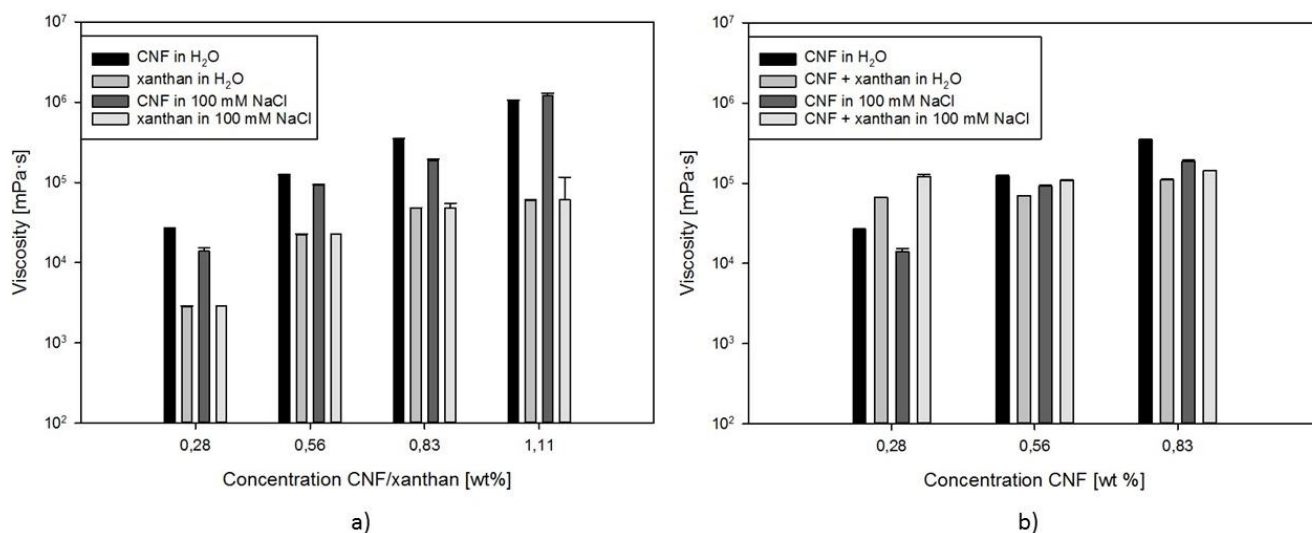


Fig. 9: The viscosity of CNF-MC and xanthan (9 a) and of mixtures of these two (9 b) dispersed in water or in 100 mM sodium chloride. In the samples containing both compounds, the total content of xanthan and CNFs is always 1.11 wt%. The viscosity was measured at a shear rate of 0.1 s^{-1} , and the values are from the first up-curve.

As xanthan gum and CNFs are both negatively charged, interactions between them are not favored. It has previously been shown that negative polymers such as xanthan and CMC does not significantly adsorb onto cellulose fibers or fibrils at electrolyte-free conditions, while CMC has been shown to adsorb onto the fibril surface when high ionic strength is applied to reduce the electrostatic repulsion (Orelma et al. 2012; Sorvari et al. 2014). Under shear, the addition of negatively charged polymers such as CMC and xanthan has been shown to better disperse a 0.5 % CNF dispersion, weakening their interactions, and reducing flocculation (Myllytie et al. 2009; Sorvari et al. 2014).

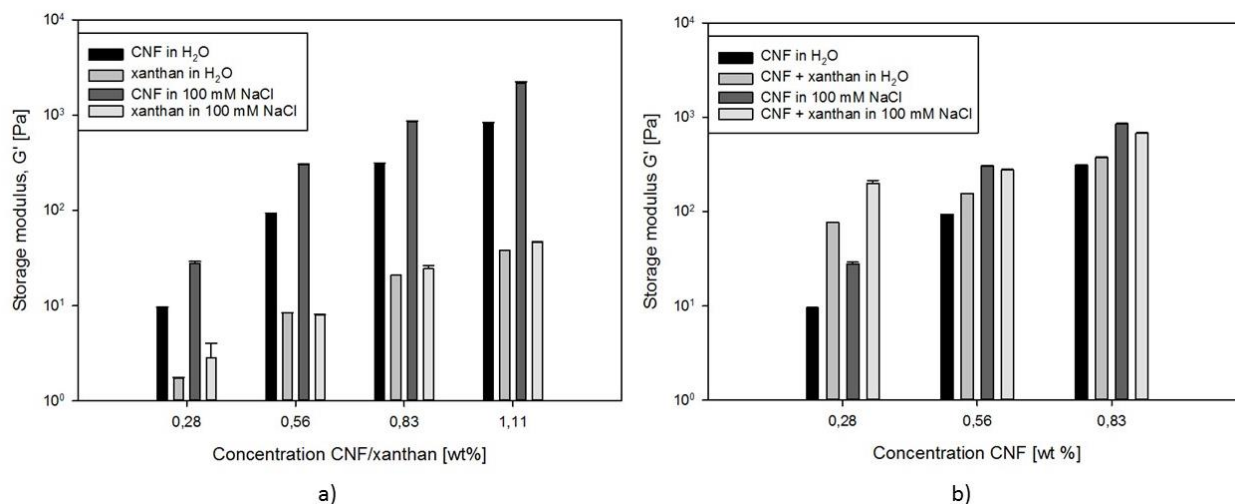
As xanthan is a stiff polymer, it contributes with viscosity on its own, though as can be seen from Figure 9 a, not in the same range as the CNFs. At a low CNF/xanthan ratio, we can still assume that the contribution of viscosity given by xanthan will be notable in the mixed CNF/xanthan dispersion. On the other hand, the ability of xanthan to disturb the interactions between CNFs will work against an increase in viscosity for the CNF/xanthan mixtures. Our results (9 b) show that the viscosity of 0.28 wt % CNFs is greatly improved by the addition of 0.83 wt % xanthan, likely because of the viscosifying ability xanthan

472 contributes with at such a high concentration. However, when the CNF/xanthan ratio increases from 0.33
 473 to 1 or 3, the viscosity of the CNF dispersions in electrolyte-free conditions is reduced by the addition of
 474 xanthan. For these dispersions, the reduced amount of xanthan gives less potential to contribute with
 475 viscosifying abilities. At the same time, the higher CNF content allows for a more connected fibril
 476 network, which may be more vulnerable to the repulsive forces introduced by the xanthan polymers.
 477

478 The use of 100 mM NaCl as the dispersion medium gave a reduction in viscosity for all the CNF
 479 dispersions except for the one with 1.11 wt % CNFs, when compared to the results at electrolyte-free
 480 conditions (9 a). This may imply that the onset of breakdown of the fibril network and subsequent
 481 aggregation occurs at a lower ionic strength for dispersions with a lower CNF content. In this case, the
 482 xanthan may contribute positively to the viscosity of the mixtures, by preventing aggregates from forming.
 483 This may be the reason why the addition of 0.56 wt % xanthan to the 0.56 wt % CNF dispersion does not
 484 lead to the same drop in viscosity as seen under electrolyte-free conditions. From Figure 9 b it can also be
 485 observed that compared to the electrolyte-free conditions, the addition of xanthan leads to a greater
 486 increase in viscosity for the 0.28/0.83 CNF/xanthan dispersion, and a smaller decrease in viscosity for the
 487 0.83/0.28 dispersion when 100 mM NaCl is used as dispersion medium.
 488

489
 490 Figure 10 shows the storage modulus at 1.17 Hz and 1% strain for increasing concentrations of CNFs and
 491 xanthan, both individually (10 a) and in mixtures (10 b), with either water or 100 mM NaCl as the
 492 dispersion medium.
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 495



496
 497 **Fig. 10:** The storage modulus of CNF-MC and xanthan (10 a) and of mixtures of these two (10 b)
 498 dispersed in water or 100 mM sodium chloride. In the samples containing both compounds, the total
 499 content of xanthan and CNFs is always 1.11 wt%. The storage modulus was measured at a frequency of
 500 1.17 Hz and 1% strain.
 501

502 Xanthan has previously been shown to lower the storage modulus for a CNF dispersion under electrolyte-
 503 free conditions (Sorvari et al. 2014). As the modulus is dependent on the strength and number of fiber or

504 fibril contact points, the authors explain the decrease in modulus with an ability of xanthan to disperse the
505 CNFs more effectively and weaken their interactions (Kerekes et al. 1985; Sorvari et al. 2014). Our
506 results, as shown in Figure 10, rather show an increase in storage modulus as xanthan is added to the CNF
507 dispersions. However, the relative increase gets smaller as the CNF/xanthan ratio increases. Our highest
508 CNF/xanthan ratio is $0.83/0.28 \approx 3$, compared to a ratio of minimum $0.5/0.11 \approx 5$ in the work of Sorvari et
509 al (2014). The negatively charged xanthan polymers also have some ability to structure the water phase
510 and contribute to a more gel-like behavior, but not at very low concentrations, as seen in Figure 10 a. At
511 lower CNF concentrations, it is reasonable to assume fewer fibril contact points, and the fibrils are less
512 constrained in their movements relative to each other, compared to in a dispersion with higher CNF
513 concentration. As the CNF concentration increases, more fibril-fibril connections are made, and the
514 repulsion between the fibrils themselves makes the network less flexible. When xanthan is added to one of
515 these denser CNF networks, the strain on the fibril contact points may be too high, and cause the loss of
516 contact points rather than stabilization of the fibril network.

517
518 In 100 mM NaCl, the storage modulus is higher for all concentrations of CNF dispersions compared to the
519 electrolyte-free conditions. This increase is probably due to increased strength and number of fibril contact
520 points as the electrostatic repulsion between the fibrils are reduced by the sodium ions. Under these
521 conditions, with more connected fibril networks, 0.28 wt % CNFs is the only CNF dispersion benefiting
522 from addition of xanthan. For the other two CNF dispersions, a slight decrease in storage modulus can be
523 observed with the addition of xanthan.

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526 From these results, it seems that the ratio between added CNFs and other components in the food systems
527 is an extremely important factor, as a changing ratio can lead to great changes in their interaction and
528 rheological behavior.

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546 **4 CONCLUSIONS**

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548 The rheological response of TEMPO-oxidized CNF dispersions to concentration, addition of electrolytes
549 and xanthan has been evaluated to assess the suitability of CNFs as fat replacers in low-fat food products.
550 The CNF dispersions were shear thinning across the concentration range, and showed gel-like behavior, as
551 have previously been observed by other groups for CNF dispersions (Iotti et al. 2011; Lasseguette et al.
552 2008; Naderi et al. 2014b; Pääkkö et al. 2007). The dependency of viscosity and storage modulus on CNF
553 concentration followed a power law, with exponents 2.6 -3.3 for the viscosity and 3.6 for the storage
554 modulus. Decreasing transmittance for CNF dispersions as electrolytes are added indicates a change in
555 flocculation or aggregation from 10 mM NaCl, or 1 mM CaCl₂, which is also reflected in the rheological
556 measurements where electrolytes are present. As to other food components, we have found that the effect
557 of xanthan addition to the rheology of CNF dispersions seems to be extremely dependent on the ratio
558 between the two components. At low CNF/xanthan ratios, the viscosifying and structuring abilities of
559 xanthan contribute to increase both the viscosity and the storage modulus for CNF-dispersions. As the
560 CNF/xanthan ratio or the concentration of CNF increases, the weakening of connections described by
561 Sorvari et al (2014) seems to counter the positive contribution from xanthan, shown by a reduction in
562 viscosity and a lower increase in storage modulus. With 100 mM NaCl present, the CNF network is
563 strengthened, and a reduction in storage modulus can be observed when xanthan is added to the dispersion
564 with the highest CNF concentration. For the viscosity, the ionic strength introduced by 100 mM NaCl
565 leads to aggregation of the fibrils, and xanthan can probably counter this to some extent by keeping the
566 fibrils better dispersed.

567

568 Based on these findings we believe that CNFs can contribute with elevated viscosity and modulus as fat
569 replacers in foodstuff, even at low concentrations. The salt content in food can contribute to reduce the
570 required amount of CNFs needed to obtain a desired storage modulus even further. The highly charged
571 CNF qualities may be less suited for foods with a high salt content, especially if the food product is
572 subjected to high shear during processing, as this can induce aggregation of the fibrils. Other food
573 components, such as xanthan, can affect the amount of electrolyte tolerated by the dispersed CNFs. We
574 have also found that not only the CNF concentration, but also the ratio between CNFs and other food
575 additives such as xanthan, can greatly affect the rheological properties of CNF systems. For this reason,
576 the amount of CNFs needed to acquire the desired rheological properties in a food system will be very
577 dependent on the existing composition of the individual system. To get a better understanding of the
578 potential of CNFs as fat replacers, future work should include work on specific food systems or model
579 systems where more ingredients are present.

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