

1 **Characteristics of Calcium-Aluminate Slags and Pig Iron Produced from**
2 **Smelting-reduction of Low Grade Bauxites**

3
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13
14 **Abstract**

15 Low grade bauxite ores are not favorable in the conventional Bayer process for alumina production,
16 as they are producing more bauxite residue (red mud) and accompanying lower alumina yield than
17 high grade ores. In the present work, the thermodynamics and characterization of calcium aluminate
18 slags and pig iron produced from smelting-reduction of high iron and silica-containing bauxites are
19 studied. Coke and limestone are used to reduce the iron oxide and adjust the basicity of slag during
20 smelting. There is evidence that complete iron separation from bauxite is feasible through smelting-
21 reduction process, and up to 99.9 pct of iron can be eliminated. Moreover, it is shown that silicon,
22 titanium and other elements partial separation from the Al₂O₃-containing slag occurs. The phase
23 compositions and the distribution of elements between the metal and slag phases provides information
24 about the high temperature behavior of the bauxite components during smelting-reduction. Employing
25 electron microscopy analysis, it is indicated that the morphology of CaO·Al₂O₃, 12CaO·7Al₂O₃,
26 2CaO·Al₂O₃·SiO₂, and CaO·Al₂O₃·SiO₂ phases in the slag, as well as the complex oxides of Ca-Al-
27 Si-Ti in slag behave differently as the mass ratio of Al₂O₃/(Fe₂O₃ + SiO₂) in the bauxite changes. It is

28 also shown that the phases of slag produced from smelting-reduction below $5 \text{ K}\cdot\text{s}^{-1}$ of cooling rate are
29 proper for further leaching process.

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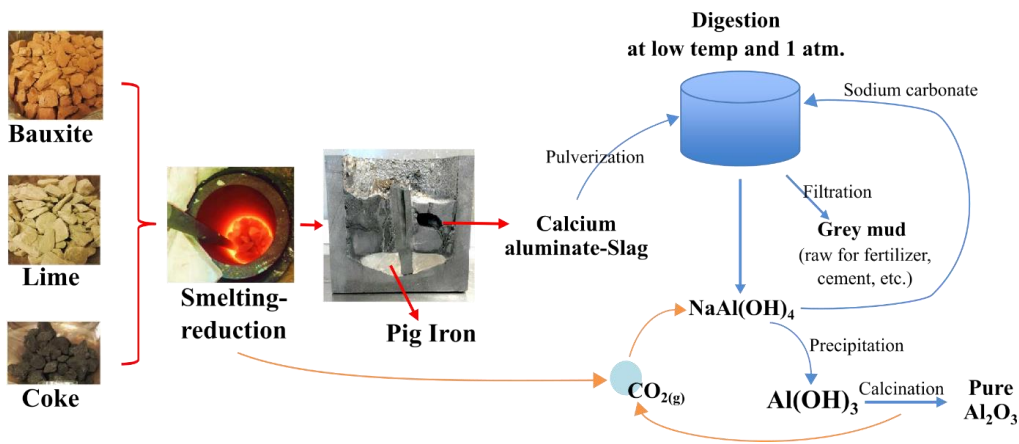
31 **I. Background**

32 Data from alumina processing industry shows a global average production of bauxite residue (red
33 mud) as 1-1.5 ton per ton of alumina, or about 150 million ton of red mud is produced annually [1].

34 Lot of efforts have been made to valorize this most abundant industrial by-product in the world in
35 building materials [2], using as the rare earth element's source [3], making green direct reduced iron
36 (DRI) [4], consuming in inorganic polymers and pozzolanic material [5], and so on. However, none of
37 those has moved to a large-scale commercial production due to economic reasons and particular
38 challenges in processing the red mud. Thus, this caustic material is a prominent environmental issue
39 and is a major concern on most of alumina refineries in the world, and obviously increasingly lower
40 grade of bauxite (high iron and silica content) makes the situation even more challenging. In the
41 Bayer process, the iron present in the ore is the main component involved in the production of red
42 mud, while silica causes loss of caustic soda to the solvent due to the high reactivity of the compound.
43 The compositions of the bauxite are important in determining the amount of lime that should be added
44 in the mixtures and, eventually, the ratios of $\text{CaO}/\text{Al}_2\text{O}_3$ (C/A) in the slags.

45

46 In 1927, Harald Pedersen [6] patented a method to extract alumina from bauxite without yielding any
47 red mud; an overall illustration of the process is shown in Fig. 1. This process was run commercially
48 in Høyanger, Norway, during 1928-1969 with 17,000 ton of annual production before closing down
49 [7]. During that period, other researchers had adapted the patent for utilizing ferruginous bauxite in
50 Albany, [8] and a pilot-plant of alumina production from non-bauxitic source (clay) in Tennessee [9].
51 Years later, Miller and Irgens [10] also made preliminary studies on alumina refinery plant with
52 200,000 tons of annual production, based on the same process. The Pedersen process shows a
53 promising potential for the prevention of red mud production, however, as per to date this process has
54 been abandoned more than four decades. Lack of literature and scientific evidence, especially in
55 smelting-reduction part, lead the present authors to investigate the process thoroughly.



57

58

Fig. 1. An overview of Pedersen process.

59

60 II. Experimental Procedure

61 This section describes the applied experimental activities about the pyro-metallurgical part of the
 62 Pedersen process for treating selected low-grade bauxites. Consecutively, the procedure consists of
 63 materials preparation and characterization, and the details of smelting-reduction treatment.

64

65 II.1. Materials preparation and characterization

66 Mixture comprises bauxite ore, lime, and coke was prepared in laboratory scale. Three low grade of
 67 bauxite ores, named B-, G-, and I-Bauxite were used in different mixture. The characteristics of lime
 68 and coke based on the provider information is given in Table 1. The amounts of lime and coke used
 69 were based on the characteristics of the bauxites, and the lime/bauxite ratios for B-, G-, and I- are
 70 0.55, 0.8, and 0.44, respectively.

71

72 Pig iron and slag are the products of the smelting-reduction treatment. For the targeted slag
 73 compositions, the CaO/Al₂O₃ (C/A) mass ratios were in the range of 0.65 to 0.75. This C/A ratio
 74 range is proper to obtain slag phases that has good leaching properties, as was reported in literatures
 75 [11] [12]. The amounts of coke in current work are 1.5 times larger than the stoichiometric needs to

76 assure the complete reduction of iron oxides. Coke was dried in an oven at 373 K (100 °C) for 12
77 hours before use to remove any free-moisture content.

78
79 Part of the ores and products were ground by ring mill into powder size for phase identification using
80 Bruker D8 A25 DaVinci™ X-ray Diffraction (XRD) with CuK α radiation, 10 - 75° diffraction angle,
81 0.01° step size, and 2.5° for both primary and secondary soller slit. The slag and pig iron samples
82 were mounted in epoxy, polished, and carbon coated before employing Hitachi SU6600™ Scanning
83 Electron Microscope (SEM) to capture the secondary electron (SE) and backscattered electron (BSE)
84 images, X-ray element mapping, and use of Energy Dispersive Spectroscopy (EDS). X-ray
85 Fluorescence (XRF) analysis was used to identify the overall composition of the ores and pig iron and
86 slag products. Moreover, a JXA-8500F™ Electron Probe Micro-Analyzer (EPMA), supported by
87 Wavelength Dispersive Spectroscopy (WDS), was applied for high accuracy on quantitative analysis
88 of elements in selected phases of the products.

89
90 **II.2. Smelting-reduction treatment**

91 The mixture of starting materials was set into an open graphite crucible with 115 mm of inner
92 diameter. The crucible was placed in a 75-kVA induction furnace and heated slowly at the rate of 5
93 K.s⁻¹ until it reached 1923 K (1650 °C). The partial pressure of gas (O₂, N₂, CO, CO₂, etc.) inside of
94 the crucible was not measured during the smelting trial. However, an oxidizing atmosphere during
95 smelting reduction process can be considered to exist because the experiments were in the open
96 furnace~~However, an oxidizing atmosphere which contains partially CO₂ (g) and O₂ (g) may exist~~
97 ~~because of the oxidation of coke and crucible inside of the open furnae.~~ The smelting-reduction
98 duration was one hour at 1923 K (1650 °C), while the top of crucible was partially closed by
99 refractories to minimize dissipation of the heat. However, the top was opened at intervals to crush the
100 sintered materials or a solidified foamy-slag, while stirring of the melts was proper due to the
101 induction in pig iron and gas bubbles movements in the slag. To compensate the heat loss, the mixture
102 was heated until 2023 K (1750 °C) for the last ten minutes of the treatment. Consecutively, the mean

103 | ~~and standard deviation of mean smelting temperature of~~ B-, G-, and I-Bauxite ~~smelting temperature~~ is
104 | 1937 \pm 44 K (-1664°C), 1935 \pm 54 K (-1662°C), and 1910 \pm 38 K (-1637°C), respectively.
105 |

106 | The crucible was then cooled to the room temperature inside the furnace while the power was off. To
107 | measure the temperature during the treatment a tungsten/rhenium thermocouple (type C), inside a
108 | graphite rod, and an encapsulating alumina insulation tube was used. About two- thirds of the
109 | materials smelted in the first one hour of treatment, and after it cooled down to room temperature the
110 | rest of materials were added and another one hour of treatment was started. For further analysis, the
111 | solidified materials and crucible were crushed to separate slag and metal from the crucible.
112 |

113 | **III. Results**

114 | Results comprises of four major parts; analysis of bauxites, smelting-reduction treatment, overall
115 | chemical compositions, and phase analysis in products.
116 |

117 | **III.1. Characteristics of bauxites**

118 | An XRD analysis of the bauxites are presented in Fig. 2. The mineralogy of B-Bauxite comprises of
119 | kaolinite, gibbsite, cristobalite, goethite, anatase, and magnetite. The first three shown as the dominant
120 | phases. G-Bauxite predominant phases are diaspore, boehmite, calcite, and hematite. It also has
121 | anatase as one of the gangue mineral. I-Bauxite is high in kaolinite and hematite, with relatively low
122 | in diaspore and boehmite compare to G-Bauxite. It is worth noting that I-Bauxite has the highest
123 | intensity of anatase peaks compared to the other two.
124 |

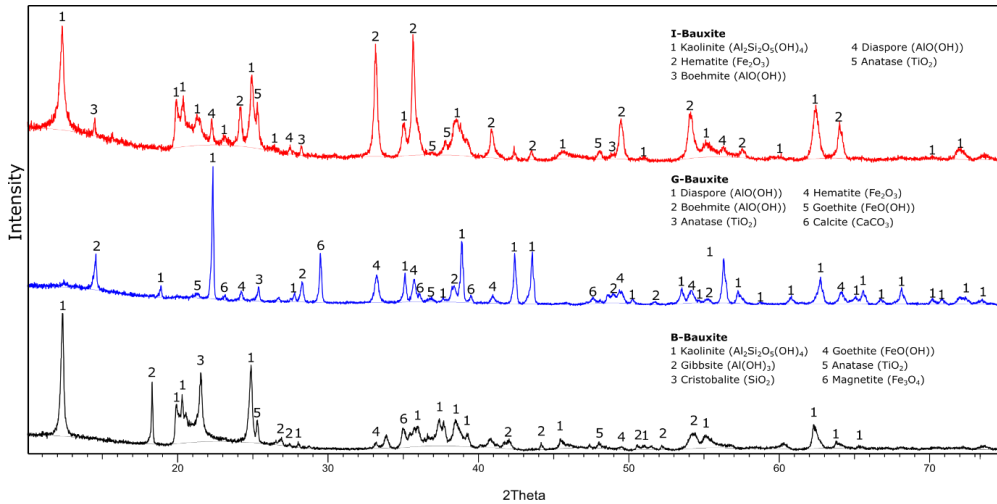


Fig. 2. XRD analysis of B-, G-, and I-Bauxites.

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128 Table 2 shows the normalized XRF analysis result of B-, G-, and I-Bauxites. Three major elements
 129 that contribute to the bauxite leaching extent are aluminum, iron and silicon. These elements formed
 130 as either a hydroxide or oxide in bauxite as seen in XRD analysis presented above. The Loss of
 131 Ignition (LOI) content may decompose to air during smelting trial.

132

133 Prior to smelting, bauxite is obviously calcined at lower temperatures. Al_2O_3 and SiO_2 in calcined B-
 134 and I-Bauxite are formed as $Al_2O_3 \cdot 2SiO_2$, which is known as meta-kaolinite or aluminosilicate; a
 135 decomposed phase of kaolinite at high temperature. According to Kyriakogona et. al. [13] the degree
 136 of decomposition (dehydroxylation) is up to 98 pct when the calcination occurs at 1123 K (850 °C) in
 137 60 min. Table 3 shows the normalized XRF analysis of the calcined bauxites, determined based on
 138 both XRF and XRD results.

139

140 III.2. Smelting-reduction behavior

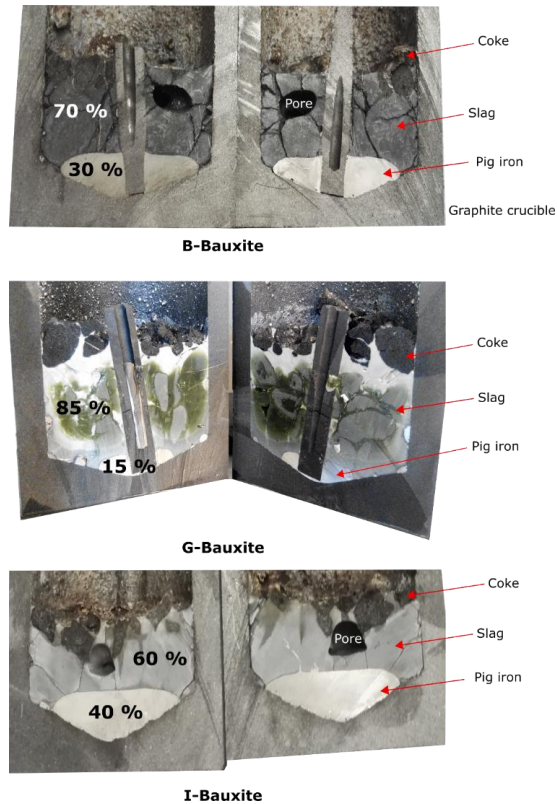
141 The measurement of cooling rate was done from 1873 K (1600 °C) to 1573 K (1300 °C). As seen later
 142 in the $CaO-Al_2O_3-SiO_2$ ternary phase diagram, the latter temperature corresponds with the solidus
 143 line, which expectedly means no solidification occurs upon cooling to this temperature. The mean

144 measured cooling rate is 4.9, 4.8, and 5 K·s⁻¹ for B-, G-, and I-Bauxite, respectively. These cooling
145 rates are well correlated with the masses (slag + iron) in the crucible where higher total mass shows
146 slower cooling rate under similar conditions.

147

148 The cross section view of the solidified slag and pig iron in crucible after smelting-reduction trials are
149 displayed in Fig. 3. The unreacted coke remaining on top of the slag is also illustrated. The separation
150 of slag and iron produced from B-, G-, and I-Bauxite due to density differences is clearly seen.
151 Obviously, coke particles are floating over the molten slag phase at elevated temperatures and
152 thereafter. The different color appearance of the slags is mostly related to their different compositions.
153 The volume pct of slag and pig iron produced from different bauxites also roughly measured by visual
154 observation. As mentioned in experimental setup, the order of lime/bauxite mass ratio from the lowest
155 to the highest is I-Slag, B-Slag, and G-Slag. It appears that the pct of slag increases and of pig iron
156 decreases, considerably with increasing lime/bauxite mass ratio.

157



158
 159 Fig. 3. Cross section view of the solidified slag and pig iron produced from B-, G-, and I-Bauxite.
 160
 161 In large-scale experiments, a useful performance indicator will be masses of slag and metal (pig iron)
 162 produced from a given mass and composition of raw materials. Mass change characteristics,
 163 including the correlation between losses of mass with the extent of smelting-reduction reaction are
 164 accordingly of great importance as a reference to large-scale experiments. Table 4 shows the changes
 165 of mass in different setups after smelting trial. Total mass loss pct for B-, G-, and I-Setup is 16.3,
 166 15.4, and 6.1 pct, respectively. However, it becomes more interesting to see only the mass loss of
 167 starting materials during reaction and exclude the loss from both graphite crucible and rod. As can be
 168 seen, the estimated mass loss of materials after smelting trial is considerably high; 41.5, 39.4, and
 169 21.2 pct for B-, G-, and I-Bauxite setup, respectively. Materials mass loss after the experiment might
 170 be caused by their LOI property, solid-gas transformation, i.e. $C \rightarrow CO_{(g)}$ or $CO_{2(g)}$, $CaCO_3 \rightarrow CaO +$

171 $\text{CO}_{2(g)}$, and oxides phase reduction, i.e. $\text{Fe}_x\text{O}_y + y\text{C} \rightarrow x\text{Fe} + y\text{CO}_{(g)}$, $\text{SiO}_y + y\text{C} \rightarrow \text{Si} + y\text{CO}_{(g)}$, $\text{TiO}_2 +$
172 $2\text{C} \rightarrow \text{Ti} + 2\text{CO}_{(g)}$.

173

174 **III.3. Chemical compositions of products**

175 The result of XRF analysis of the produced slags in Table 5 shows the mass balance and smelting of
176 mixtures was done properly as the C/A ratio on each slag is in the expected range, as mentioned in
177 experimental procedure. It is worth noting here the low FeO_x content in the slag, which agrees with
178 our previous result [14]. Most of the reduced iron oxide becomes metal that saturated with carbon; pig
179 iron. As seen in Table 6, the B-, G-, and I-Pig iron contains 89.9 - 92.7 wt pctFe and are saturated in
180 carbon, and contain 0.2 - 4.0 wt pctSi, low trace of titanium and minor elements, i.e. V, Cr, P, S, are
181 also observed. Most of the minor elements are derived from coke and lime. The saturated carbon
182 content is estimated here based on the silicon content and the reliable solubility data for carbon in Fe-
183 Si-C melts [15].

184

185 **III.4. Phase and microstructural analysis of the products**

186 Phase identification of the slags resulted from XRD analysis is shown in Fig. 4. B-Slag has
187 $2\text{CaO}\cdot\text{Al}_2\text{O}_3\cdot\text{SiO}_2$ (C_2AS) and $\text{CaO}\cdot\text{Al}_2\text{O}_3$ (CA) as the primary and secondary phases, respectively.
188 However, the latter phase seems to have another polymorph that bonds SiO_2 and MgO as be precisely
189 identified later on BSE image. XRD analysis of G-Slag shows that the primary and secondary phase
190 of the slag are $12\text{CaO}\cdot 7\text{Al}_2\text{O}_3$ (C_{12}A_7), CA and $5\text{CaO}\cdot 3\text{Al}_2\text{O}_3$ (C_5A_3), respectively. The latter phase is
191 considered as an unstable phase that may be reformed to C_{12}A_7 phase at high temperature [16] [17]. In
192 I-Slag, CAS phase appears as the dominant phase, followed by small fraction of silica phase.

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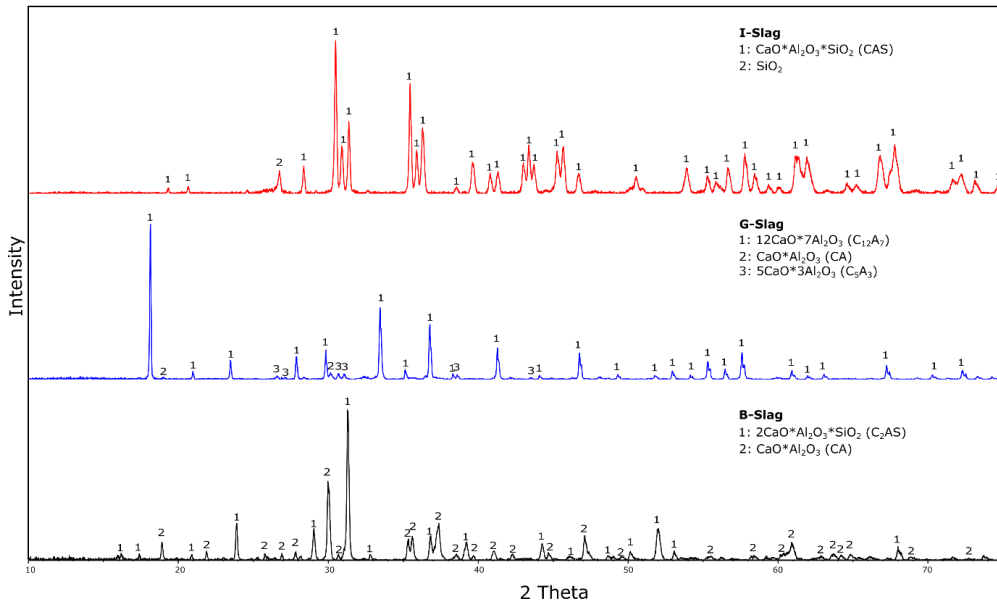


Fig. 4. XRD analysis on B-, G-, and I-Slag.

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197 The X-ray element mapping element mapping of B-, G-, and I-Slag is shown in Fig. 5, Fig. 6, and Fig.

198 7, respectively. These figures show clearly the concentration extent of several elements in every

199 structure that co-exists in slag. In B-Slag, calcium and silicon are more concentrated in the bright

200 structure, which is later shown to be C_2AS phase. Aluminum is more concentrated in the dark area

201 within the lamellar structure. Whereas, titanium and magnesium are dispersed uniformly. In G-Slag,

202 the main structure (C_{12}A_7 phase) has more concentration of aluminum than other structures. Silicon

203 and titanium are densely populated between large C_{12}A_7 grains. As can be seen there are structures

204 that have complex oxides between Ca-Si-Ti elements. In contrast with B-Slag, magnesium is

205 concentrated in spots. The X-ray element mapping of I-Slag shows that titanium, aluminum, and

206 magnesium are highly concentrated in the dark structure. Obviously, bright structure has more

207 concentration of calcium and silicon than the dark one. Two phases co-exist between the C_{12}A_7

208 particles in G-Slag. Therefore, it is necessary to examine the structures in higher magnification and

209 analyze the composition by using SEM and EDS as the obtained results by these techniques are

210 shown in Fig. 8. It shows that the area with bright and dark structure has more concentration of
211 titanium than other structures in slag.

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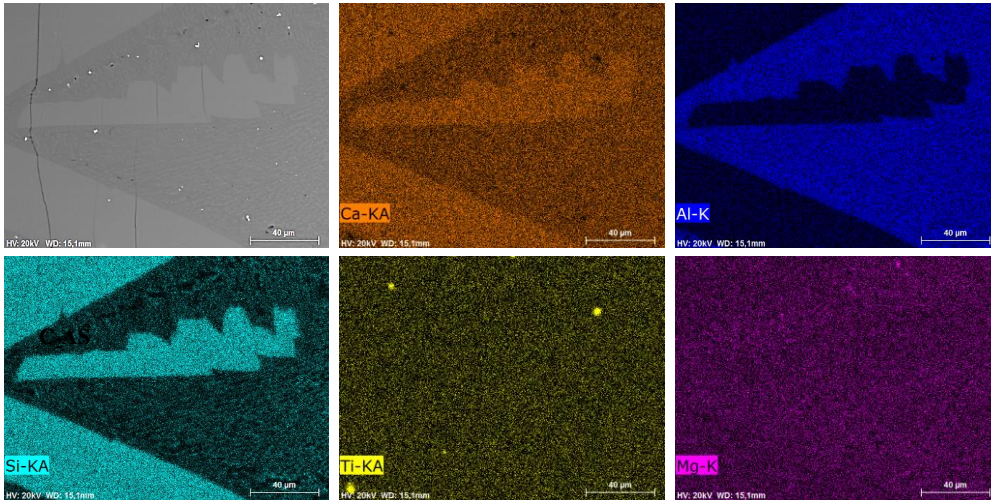
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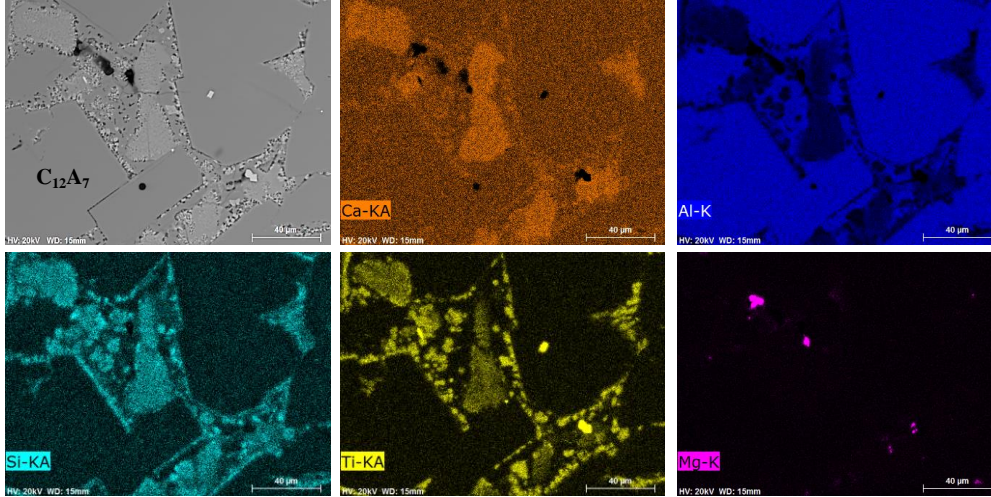
Fig. 5. X-ray element mapping of B-Slag.

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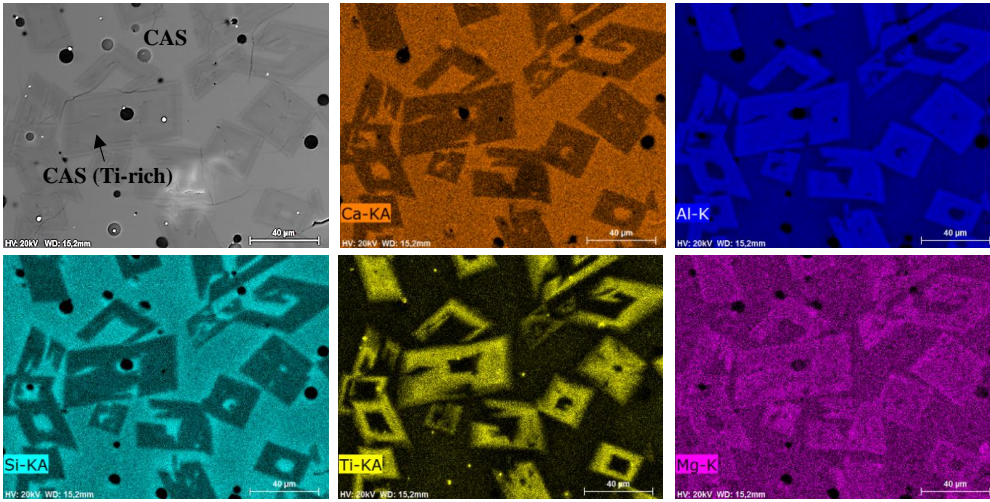


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Fig. 6. X-ray element mapping of G-Slag.

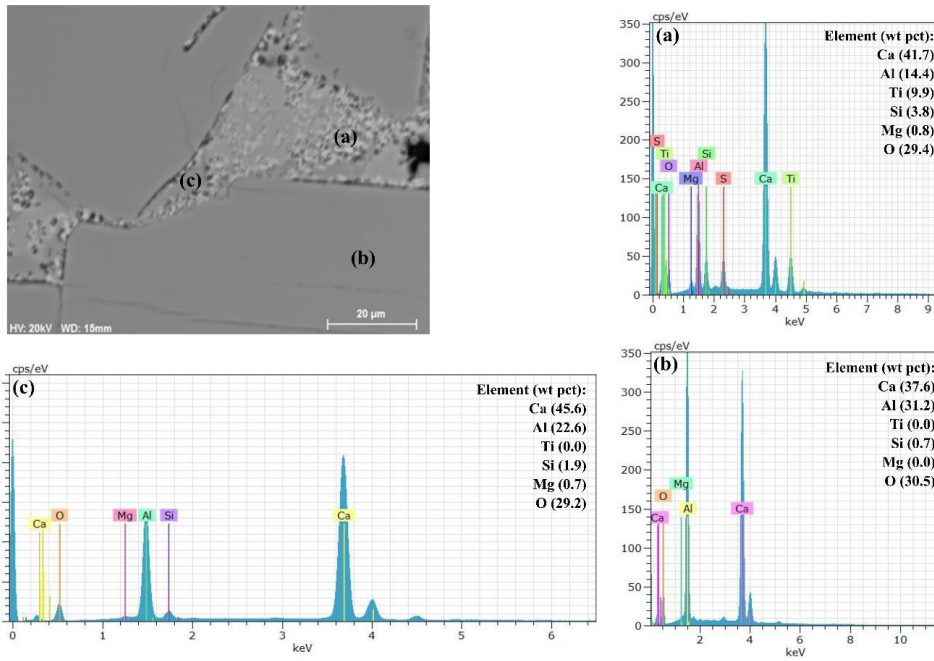


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Fig. 7. X-ray element mapping of I-Slag.



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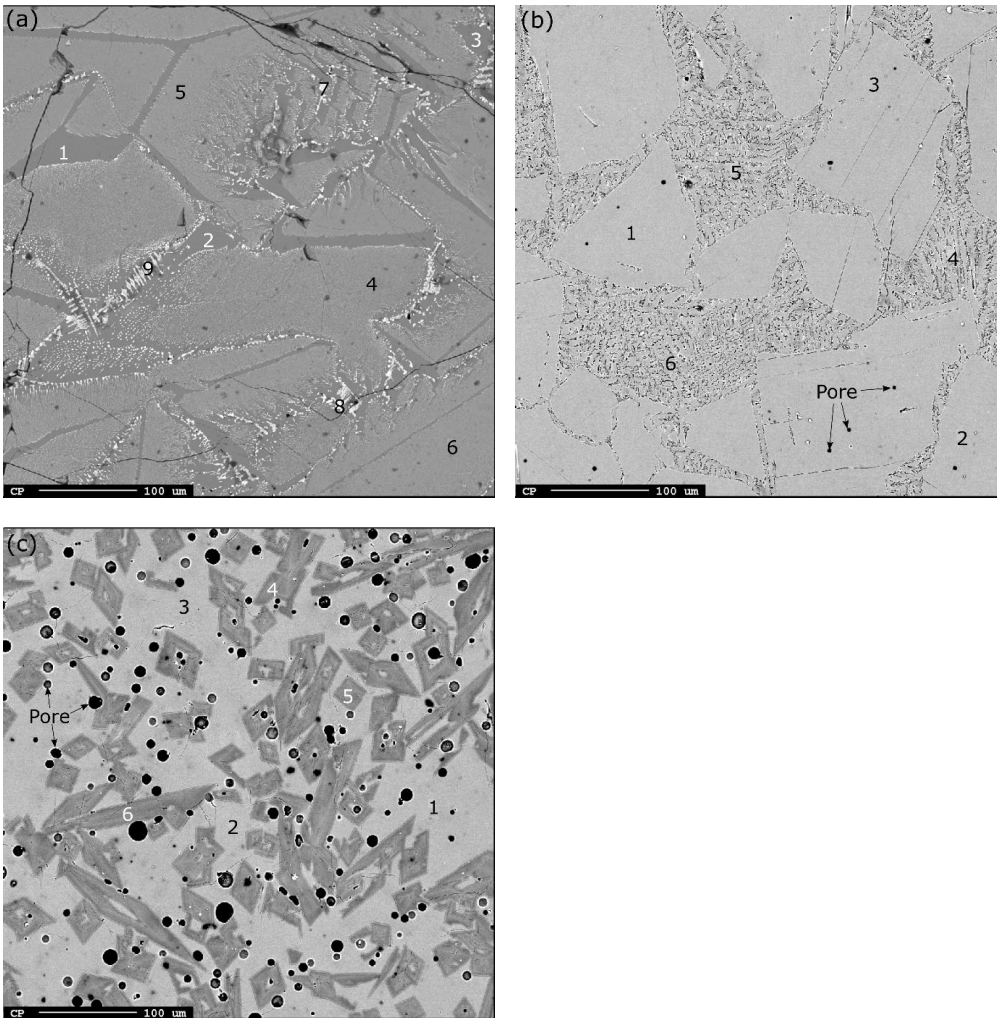
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Fig. 8. BSE image of G-Slag with the corresponding EDS quantified analysis at (a), (b), and (c) area..

234

235 Fig. 9 (a), (b), and (c) show the BSE images of B-, G-, and I-Slag, respectively. As seen, three
236 structures co-exist in the B-Slag and the two main structures are seen in each G- and I-Slag.
237 Composition of each structure was measured by WDS analysis at 2 - 3 locations shown in Fig. 9.
238 Table 7 presents the WDS analysis of the observed phases in Fig. 9. In average, concentration of CaO,
239 Al₂O₃, SiO₂ constitute more than 95 wt pct of the slags. On the other hand, FeO content is low in all
240 structures of slags, averaging around 300 ppm, which is in agreement with low measured FeO
241 concentrations by XRF (Table 65). Numbers (a)1 - 3, (a)4 - 6, and (a)7 - 9, are WDS results for the
242 dark, fine lamellar and bright structure that are present in B-Slag (Fig. 9 (a)), respectively. Dark
243 structure is composed by 43 wt pctCaO, 35 wt pctAl₂O₃, and 22 wt pctSiO₂ in average. Fine lamellar
244 has similar composition as the dark structure has, which is 43 wt pctCaO, 35 wt pctAl₂O₃, and 21 wt
245 pctSiO₂ in average. While bright structure is predominantly composed of 55 wt pctCaO and 31 wt
246 pctTiO₂ in average. Number (b)1 - 3 and (b)4 - 6 are consecutively the composition of the bright-
247 coarse grain and smaller grain which adjacent to a darker structure that showed in G-Slag (Fig. 9 (b)).
248 The first structure has 50 wt pctCaO and 49 wt pctAl₂O₃ in average. The latter one has 49 wt pctCaO,
249 43 wt pctAl₂O₃, 3 wt pctSiO₂, and 4 wt pctTiO₂. Number (c)1 - 3 and (c)4 - 6 show the bright and
250 dark structure in I-Slag (Fig. 9 (c)), respectively. In average, the bright structure is composed by 31 wt
251 pctCaO, 32 wt pctAl₂O₃, 34 wt pctSiO₂, and 1 wt pctTiO₂. The dark structure shows that it has less
252 CaO and more TiO₂ than the bright one has; 28 wt pctCaO, 34 wt pctAl₂O₃, 33 wt pctSiO₂, and 3 wt
253 pctTiO₂. BSE in I-Slag indicates it has significant number of pores, which are not observed in the B-
254 and G-Slags.

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Fig. 9. BSE images of: (a) B-Slag, (b) G-Slag, and (c) I-Slag.

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260

BSE image in Fig. 10 shows the cross-section of B-Slag and adjacent B-Pig iron. The light phase

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shows the Fe-metal of pig iron, while the grey and dark one show carbide and graphite phases,

262

respectively. Table 8 shows the WDS analysis on the pointed phases. High concentration of titanium

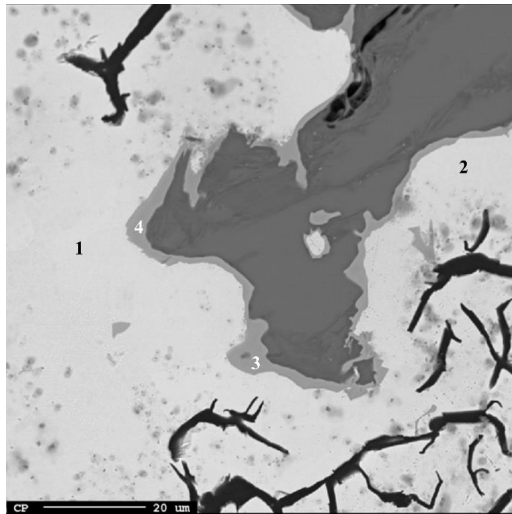
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found in carbide phase. Vanadium, as one of the carbide former elements, concentrated in carbide

264

phase ~~number 3 and 4~~, which is showed in point 3 and 4 in Fig. 10. Phosphorus and manganese are

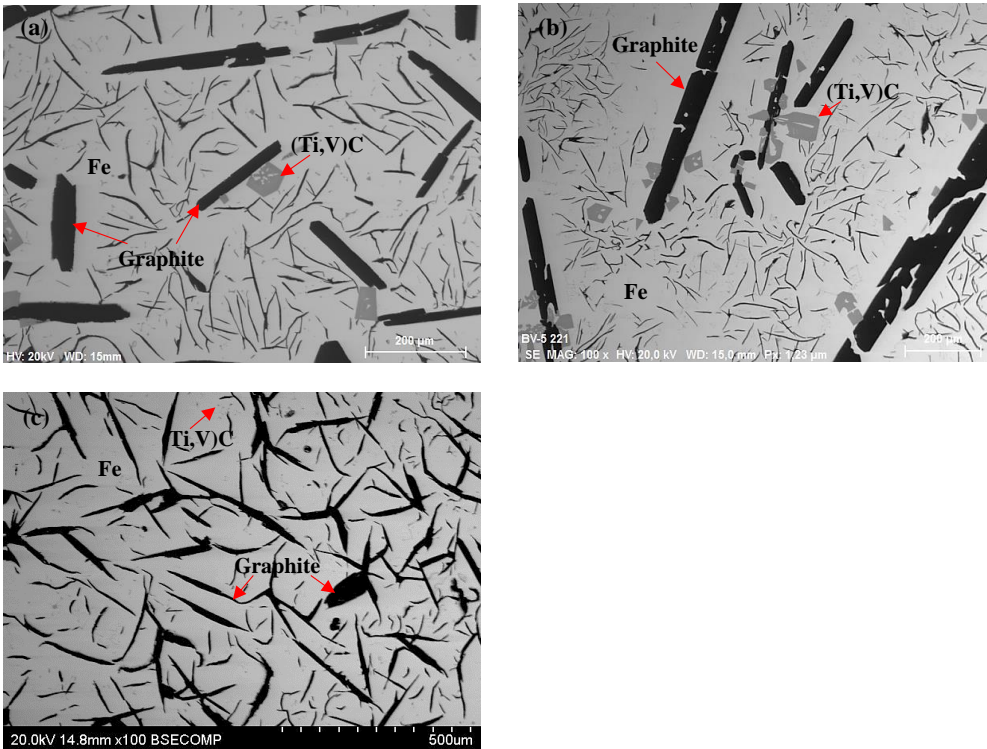
265 detected in Fe-metal matrix with 0.2 and 1.1 wt pct in average. Most of the manganese oxide is
266 reduced to pig iron as it has low presence in slags, which is 800 ppm in average. Other trace elements
267 showed in table are recognized as potassium and calcium. In addition, the lower magnification BSE
268 image in Fig. 11 shows the metal matrix and co-existing phases in the produced metals.
269



270

271

Fig. 10. BSE image of cross-section of B-Slag and adjacent B-Pig iron.



272
 273 Fig. 11. BSE images showing the main co-existing phases in (a) B-; (b) G-; and (c) I-Pig iron samples.

274

275 **IV. Discussion**

276 In this section, mass changes before and after of experiment, thermochemistry of the reactions,
 277 distribution of elements in slag and pig iron, and slag characteristics are discussed.

278

279 **IV.1. Mass balance**

280 From the LOI data of each bauxite presented in Table 2, we can calculate the mass losses in B-, G-,
 281 and I-Bauxite due to the ignition, which is 0.28, 0.25, and 0.12 kg for the amounts used, respectively.

282 The LOI data for lime due to the decomposition of lime into CO_2 (g) can be calculated based on the
 283 carbonate amount in the same table, which is 0.45, 0.66, and 0.23 kg for B-, G-, and I-Bauxite setup,
 284 respectively. While the moisture content of coke is removed after pre-heating in the oven before use,
 285 the LOI of coke is 1.53 wt pct as shown in Table 1, which gives loss as much as $2 \cdot 10^{-3}$ kg.

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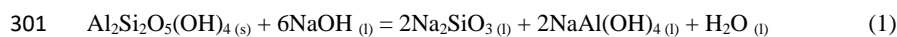
287 Total loss due to transformation of C to CO_(g) and oxides phase reduction can be calculated and given
288 as 0.16, 0.21, and 0.16 kg for B-, G-, and I-Bauxite, respectively. This gives the theoretical mass loss
289 for B-, G-, and I-Bauxite is, consecutively, 0.9, 1.13, and 0.52 kg. As seen in Table 9, the theoretical
290 mass loss with the measured one shows proper correlation, in particular for G- and I-Bauxite. The
291 difference between theoretical and measured mass loss in B-Bauxite might be due to the losses of SiO
292_(g). Details of the explanation will be discussed in section IV.4.

293

294 **IV.2. Smelting-reduction thermochemistry**

295 Based on the XRD results in Fig. 2, B- and I-Bauxite are constructed mainly from kaolinite. This
296 phase is found in either bauxite or non-bauxitic source; clay. Many works have been done to extract
297 alumina from kaolinite with acid or alkaline solution [18] [19] [20]. In the Bayer process this is a
298 major problem as the kaolinite is dissolved by sodium hydroxide solution which leads to high loss of
299 caustic soda according to the following reaction:

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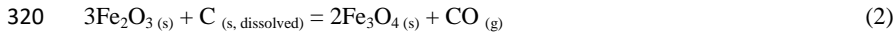


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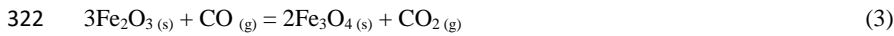
303 Furthermore, precipitation of the desilication products, i.e. sodalite (Na₈(Al₆Si₆O₂₄)Cl₂), cancrinite
304 (Na₆Ca₂((CO₃)₂Al₆Si₆O₂₄)·2H₂O), etc. occur at elevated temperatures that are energy consuming.
305 Low-grade of bauxites typically characterized with low Al₂O₃/SiO₂ mass ratio [18] [21] [22], and/or
306 Al₂O₃/Fe₂O₃ mass ratio [23]. As both SiO₂ and Fe₂O₃ could be present in particular ore we may
307 classify the low-grade bauxite based on its low Al₂O₃/(Fe₂O₃ + SiO₂) mass ratio. According to the
308 concentrations of calcined bauxites in Table 3, this ratio for B-, G-, and I-Bauxite is 0.8, 2.4, and 0.6,
309 respectively. These bauxites also have certain amount of TiO₂ that later in the slag phase might affect
310 the slag chemistry, its self-disintegration, and leachability [24]. The effect of MgO content of slag on
311 leachability has also been reported as it becomes the main impurity in blast furnaces' slag [25].
312 However, in the present work the composition of Mg and remaining elements considered as trace
313 elements that give least effect on the slag properties. According to the obtained XRF results presented
314 in Table 5, 94.8 - 99.9 pct of Fe and Mn have been removed from the Al₂O₃-containing slag, together

315 with parts of Si and Ti. Coke produces CO gas and dissolved carbon in molten iron, and carbon in
 316 both forms is the reductant for oxides in bauxite. In addition, the usage of graphite crucibles makes an
 317 additional carbon source for the carbothermic reduction. Based on the ores characteristics, the main
 318 smelting-reduction reactions that may take place are:

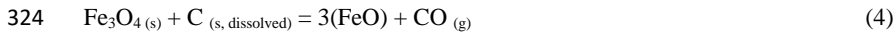
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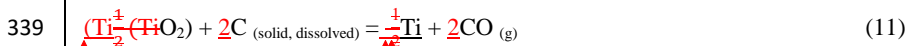


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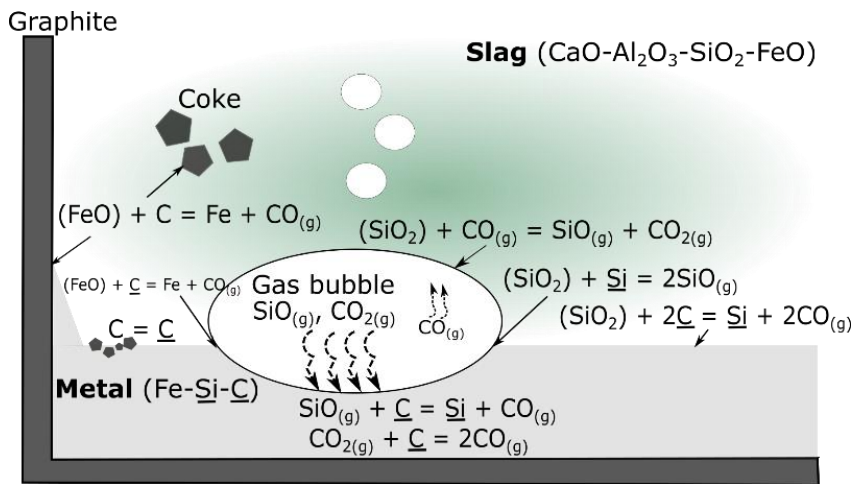


346

347 The underline “_” and parenthesis “()” notations denote the element or phase that is dissolved in the
 348 Fe-liquid solution and slag at known temperature, respectively. It is emphasized here that the
 349 reduction of Fe_2O_3 and Fe_3O_4 by $CO_{(g)}$ through reactions (3) and (5) is more feasible than reactions
 350 (2) and (4). FeO and even Fe can be also formed in solid state; however, we here assume that Fe is
 351 mainly produced from FeO in the slag. Reactions (6-10) show the reduction mechanism of FeO and
 352 SiO_2 at slag/gas, gas/metal, and slag/metal interfaces as observed by Pomfret and Grieveson [26],
 353 Teasdale and Hayes [27], and Safarian et al. [28]. A simple illustration can be given as in Fig. 12,
 354 where mass transport of the gaseous species (CO , CO_2 , SiO) in gas bubbles at the slag/metal interface
 355 are very important.

356

357



358

359 Fig. 12. Illustration of FeO and SiO₂ reduction by carbothermic reaction at slag/gas, gas/metal and
360 slag/metal interface.

361

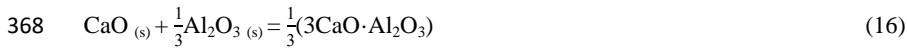
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363 As lime flux was used for slag making, the main reactions that occur in the process through high
364 temperature sintering and smelting can be expressed in reaction (15-22).

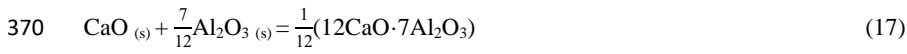
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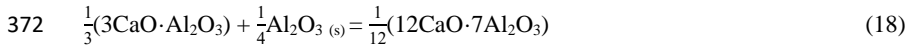
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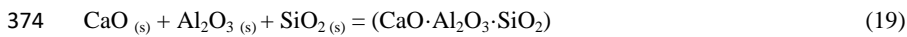
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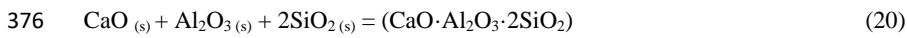
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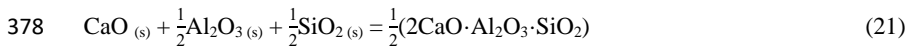
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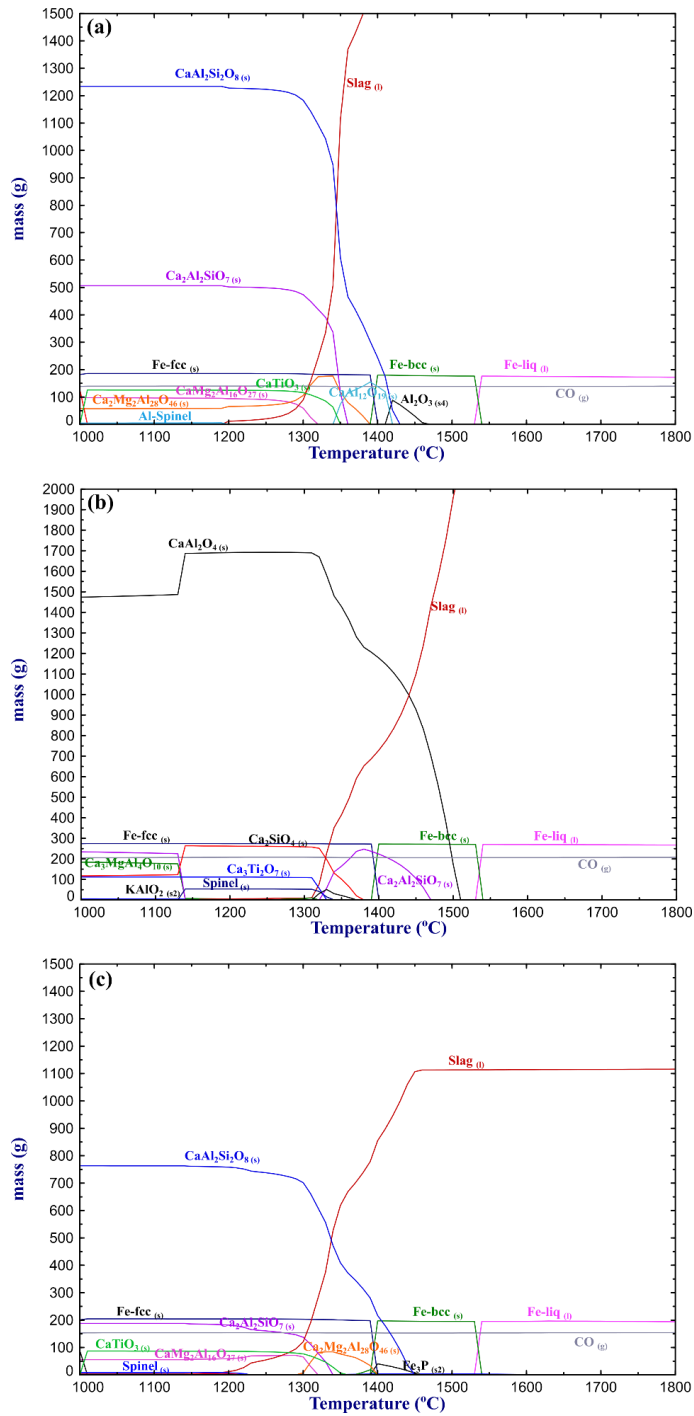
382 Here we do not consider the FeO-containing phases in the slag, as we do not have much of it left in
383 the final slag. For the sake of simplicity, the compounds that composing the slag are denoted as
384 follows: $\text{CaO} = \text{C}$, $\text{Al}_2\text{O}_3 = \text{A}$, and $\text{SiO}_2 = \text{S}$. In thermodynamic perspective, the above reactions of pig
385 iron and slag making formation and their competition are dependent on the Gibbs energy changes at
386 particular temperature. The calculated Gibbs energy changes of the formation of the metal and slag
387 components in pure state by using HSCTM software. The reduction of pure iron oxides may occur
388 below 1273 K (1000 °C). While silica and titania are phases that could be reduced by carbon at

389 relatively high temperature, which means these two phases separation out of bauxite consume more
390 energy than iron. Moreover, the reduction of silica by $\text{CO}_{(g)}$ is unlikely to occur, as the Gibbs energy
391 changes is positive at any given temperature. The slag-making reactions (reaction (15-22)) are viable
392 at low temperature and there is a large driving force for slag formation upon the heating of bauxite +
393 lime to elevated temperatures. The WDS results in both slag and pig iron (Table 7 and Table 8) show
394 the main phases are in solid solution form with certain solubility, instead of the pure ones. However,
395 HSCTM calculation is for pure substances and the real Gibbs energy changes for the slag making
396 reactions may be even more negative than calculations.

397

398 The equilibrium phases in different mixtures that co-exist during and after the smelting–reduction can
399 be calculated by thermodynamic software, FactSageTM, at temperatures between 1273 - 2073 K (1000
400 - 1800 °C) with an increment of 283 K (10 °C) and 0.70 ± 0.05 of C/A ratios. As the calculation
401 results in Fig. 13 indicate, the Fe-fcc phase co-exists with solidified slag of B, G, and I at 1273 K
402 (1000 °C) to 1663 K (1390 °C). The lattice changes to Fe-bcc phase at about 1663 - 1803 K (1390 -
403 1530 °C) before it starts to melt. In the meantime, liquid slag phase appears at around 1473 K (1200
404 °C) and its amount increases significantly at 1673 - 1773 K (1400 - 1500 °C). B- and I-Slag should
405 have similar solid slag phases that are stable at temperature below 1573 K (1300 °C) as seen in the
406 Fig. 13. Consecutively, from highest to the lowest fraction of phase is CAS_2 , C_2AS , CaTiO_3 ,
407 $\text{CaMg}_2\text{Al}_{16}\text{O}_{27}$, $\text{Ca}_2\text{Mg}_2\text{Al}_{28}\text{O}_{46}$, and spinel. In addition, B-Slag has $\text{CaAl}_{12}\text{O}_{19}$ phase as well at 1673 K
408 (1400 °C). The calculation of co-existing equilibrium phases in B- and I-Slag is not in agreement with
409 the XRD results presented in section III. The reason of the disagreement might be related with the
410 elements distribution during smelting–reduction trial that will be discussed in section IV.5.

411



412 Fig. 13. The calculated phases in equilibria at different temperatures for: (a) B-Bauxite; (b) G-
 413 Bauxite; and (c) I-Bauxite setups.

414

415 G-Slag has CA phase constitutes about 70 wt pct of the slag phase, followed by low amount of C_2AS ,
416 $Ca_3MgAl_4O_{10}$, Ca_2SiO_4 , $Ca_3Ti_2O_7$, spinel and $KAlO_2$. FactSageTM does not recognize $C_{12}A_7$ phase in
417 its database and it is the reason that this phase is absent in the equilibrium phases of G-Slag. Aside
418 from $C_{12}A_7$ phase, the presence of CA phase is in agreement with the G-Slag XRD result. It is worth
419 to note that the amount of TiO_2 and MgO of all obtained slags as in Table 5 is considerably low,
420 which causes to not observe $CaTiO_3$, $CaMg_2Al_{16}O_{27}$, etc. in XRD analysis. However, the
421 thermodynamic calculations here show that such phases may co-exist with the main recognized
422 phases in the slags.

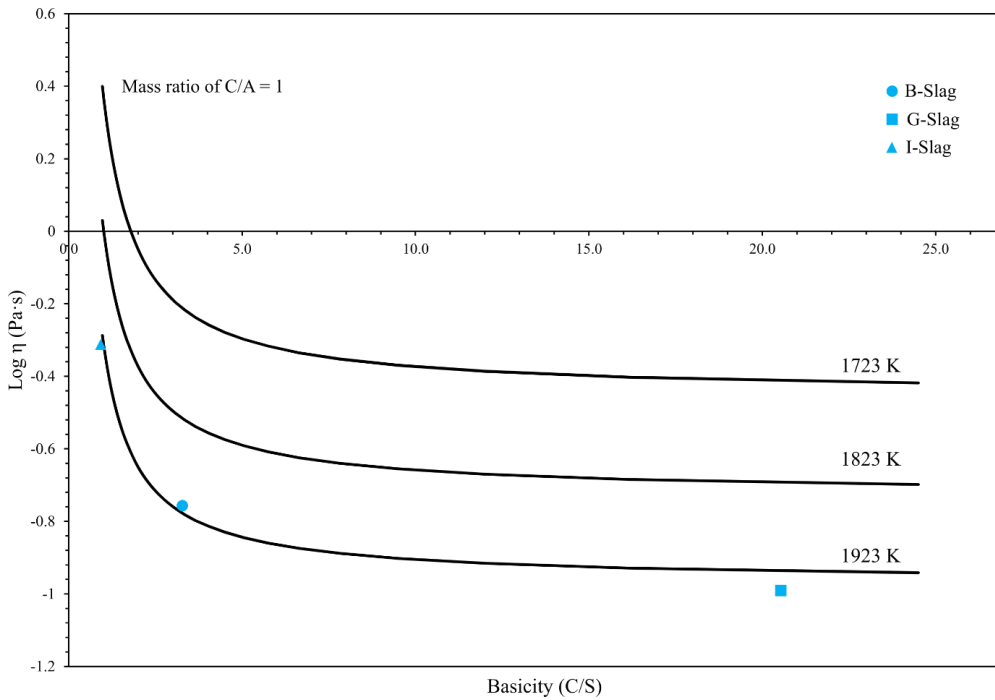
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424 **IV.3. Slag viscosity effect on porosity**

425 The significant number of pores that appear in I-Slag in Fig. 9 (c) give strong indication of the gas
426 formation during the oxides reduction by the mechanism illustrated in Fig. 12. The pores have been
427 formed from the trapped gas that might come from the reduction reactions at the slag/metal interface.
428 I-Slag has the highest amount of SiO_2 compared to others and the basicity based on CaO/SiO_2 (C/S)
429 mass ratio is 0.9, which is relatively acidic. This slag forms networking oxides in melts that increases
430 the viscosity and hinder the gas movement. In order to clarify this FactSageTM was applied to calculate
431 the viscosities of the obtained B-, G-, and I-Slags produced at 1923 K (1650 °C) and viscosities as
432 0.17, 0.10, and 0.48 Pa·s were obtained as shown in Fig. 14. The figure shows the correlation between
433 different C/S mass ratios with the viscosity at 1723 K (1450 °C), 1823 K (1550 °C), and 1923 K
434 (1650 °C) where C/A mass ratio equals to 1. It can be seen that by decreasing the temperature the
435 viscosity is significantly increase especially at the C/S mass ratio less than 3. That is to say, the gas
436 bubbles forming and leaving the slag/metal interface have more difficulties to rise in I-Slag than the
437 others as the I-Slag has more gas hold-up capacity. It is worth mentioning that during the smelting-
438 reduction experiments more foaming of the slag I was observed than the others, which is supporting
439 the viscosity effect.

440

441



442
443 Fig. 14. Correlation between basicity (C/S) and viscosity in different C-A-S slags at different
444 temperatures.
445

446 **IV.4. Silica losses from slags**

447 The theoretical and measured mass loss difference in G- and I-Bauxite is relatively minor, while B-
448 Bauxite shows otherwise (Table 949). This indicates that another factor has contributed to the loss of
449 mass in B-Bauxite that might be elaborated as follows:

450
451 According to the XRF analysis of bauxite, B- and I-Bauxite have identical concentration of SiO₂. It is
452 worth to note that both have kaolinite as their main phase. Based on material balance calculation, total
453 amount of silicon either in starting materials or the products produced from B- and I-Bauxite should
454 be 0.29 and 0.17 kg, respectively. In fact, both of the bauxites show inequivalent amount of silicon in
455 their input-output balance. The estimated losses of silicon in B- and I-Slag is 72.7 and 17.6 pct,
456 respectively.

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457 Silicon could be loss as a gas phase $\text{SiO}_{(g)}$, which is produced from reaction between SiO_2 in slag
458 with carbon in the metal, as in reaction (23). Then it is leaving out from the slag when the gas bubble
459 rises to the surface.

460



462

463 The Gibbs energy change of formation in reaction (23) at 2023 K (1750 °C) is -2.2 kJ, which shows
464 that high temperature is important factor in the silica gas production of the slag. As mentioned in the
465 experimental procedure, the mean smelting temperature of B- is higher than of I-Bauxite. Silica loss
466 could explain one of the reason why the concentration of silica in B- compare to I-Slag is lower,
467 although the mixture setup before the smelting trial has identical C/(A+S) mass ratio that is 0.36 and
468 0.34 for B- and I-Bauxite, respectively.

469

470 **IV.5. The distribution of elements between slag and pig iron**

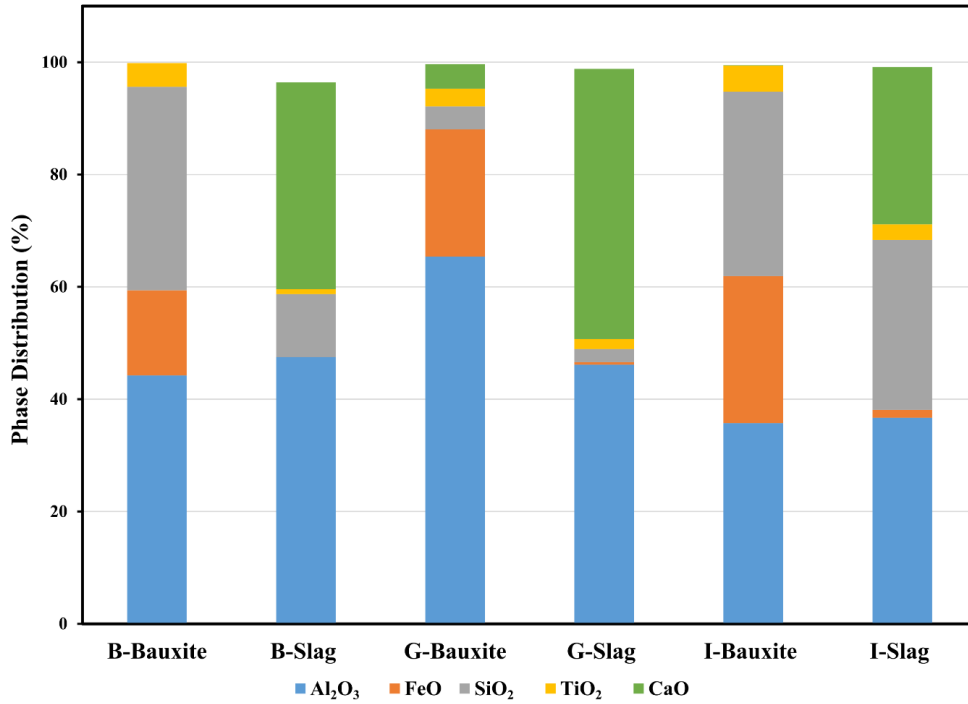
471 Based on equilibrium calculations in Fig. 13, the main phase of B- and I-slags at low temperature
472 should be CAS_2 and C_2AS phase. In contrary, the XRD result in Fig. 4 shows the main phase for B-
473 and I-Slag is C_2AS and CAS , respectively. The result is supported by XRF analysis of the products
474 which shows different composition in slag and pig iron produced from B- and I-Bauxite, regardless
475 the similar phase and C/A ratio of the bauxites mixture have before. This gives indication that during
476 smelting-reduction and solidification of the melt the elemental distribution may act as a decisive
477 factor on the final phases in slag.

478

479 Fig. 15 shows the distribution of phases in calcined bauxite ores and the corresponding slags after
480 smelting-reduction according to the XRF results. The weight fraction of Al_2O_3 and SiO_2 phases
481 remain the same before and after the treatment, which indicates the two phases are not easily reduced
482 during the smelting-reduction treatment. The significant decreasing quantity of SiO_2 phase in B-Slag
483 has already discussed in section IV.4. The CaO wt pct in G-Slag is higher than in other slags because

484 of the high amount of lime used to maintain the required C/A ratio to get the leachable calcium
 485 aluminate phase.

486



487

488 Fig. 15. Distribution of phases in bauxite ores and slags after smelting-reduction.

489

490 The reduction extent of each phase can be calculated by simple mathematical expression:

491

$$492 \text{ Reduction extent (pct)} = \left[\frac{W}{W^{\circ}} \right] \times 100 \text{ pct} \quad (24)$$

493

494 Where W° is mass of the amount of metal based on the stoichiometry, as if 100 pct reduced from an
 495 oxide and W is the actual amount of that metal present in the metal phase based on the XRF result of
 496 the pig iron. To make the mass loss based on the stoichiometry simple, we consider the iron reduction
 497 is 100 pct as the average WDS results of FeO content in all slags are low (see Table 7). Therefore, the
 498 SiO₂ reduction extent in B-, G-, and I-slag is 2.8, 1.3, and 1.6 pct, respectively. Higher SiO₂ reduction

499 in B-Bauxite might be resulted from higher smelting temperature of this trial compare to G- and I-
 500 Bauxite as discussed above. According to HSC data, reaction (8) goes to the right side at temperature
 501 more than 1938 K (1665 °C), and ~~based on the smelting temperature setup in Fig. 2 the~~ in section II.2.,
 502 B-Bauxite was exposed in this temperature relatively longer than others were. From kinetics point of
 503 view, carbothermic reduction of SiO₂ from slag is much slower than that FeO. The other partially
 504 reduced phase was TiO₂. The TiO₂ reduction extent is 2.8, 8.4, 1.5 pct for B-, G-, and I-Bauxite,
 505 respectively. This calculation is in agreement with the amount of TiC that is observed in BSE image
 506 of the pig iron in Fig. 121. ~~The reduction of TiO₂ in reaction (11) proceeds at around 2023 K (1750~~
 507 ~~°C) when pure substances are involved. However, as the activity of titanium in the molten iron is low,~~
 508 ~~the reduction of TiO₂ may occur at the lower process temperature to some extent, yielding dissolved~~
 509 ~~titanium in iron. Under carbon-saturated condition, the dissolved titanium has high possibility to make~~
 510 ~~bond with the existing carbon and forms TiC during solidification of pig iron (reaction (25)). This is~~
 511 ~~enhanced due to the low solubility of titanium in Fe-C_{saturated}-Ti system~~ ~~The reduction of TiO₂ in~~
 512 ~~reaction (11) proceeds at around 2023 K (1750 °C) when pure substances are involved. However, as~~
 513 ~~the amount and activity of Ti in the molten iron is low, the reduction of TiO₂ may occur at the lower~~
 514 ~~process temperature to some extent. Under carbon-saturated condition, the dissolved titanium has high~~
 515 ~~possibility to make bond with the existing carbon and forms TiC during solidification of pig iron~~
 516 ~~(reaction (25)). This is enhanced due to the low solubility of titanium in Fe-C_{saturated}-Ti system~~
 517 ~~[29][29].~~

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521 ~~Another mechanism for the formation of TiC particles can be the direct reaction of the dissolved~~
 522 ~~carbon in the melt with TiO₂ in the slag at slag/metal interface, as in reaction (26).~~



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526 The thermodynamic activities given in reaction (11) and (26) are in standard condition with the
 527 titanium activity is unity. Therefore, we need to evaluate the reactions in a non-standard conditions,
 528 where we have a low titanium activity in the melt. For reactions (11) and (26) the expression of Gibbs
 529 energy changes can be written as:

$$531 \quad \Delta G_{11} = \Delta G_{11}^{\circ} + RT \ln \frac{a_{Ti} \cdot p_{CO}^2}{a_{TiO_2} \cdot a_C^2} \quad (27)$$

$$533 \quad \Delta G_{26} = \Delta G_{26}^{\circ} + RT \ln \frac{a_{TiC} \cdot p_{CO}^2}{a_{TiO_2} \cdot a_C^3} \quad (28)$$

534
 535 Where ΔG_{11}° and ΔG_{26}° are the standard Gibbs energy changes of reactions (11) and (26),
 536 respectively. The activity of C and TiO_2 in the melt is unity and unknown, respectively. The partial
 537 pressure of $CO_{(g)}$ for both reactions is the same, thus equation (27) and (28) can be rearranged to

$$539 \quad \Delta G_{11}^{\circ} + RT \ln a_{Ti} = \Delta G_{11} - RT \ln \frac{p_{CO}^2}{a_{TiO_2} \cdot a_C^2} = \delta G_{11} \quad (29)$$

$$541 \quad \Delta G_{26}^{\circ} + RT \ln a_{TiC} = \Delta G_{26} - RT \ln \frac{p_{CO}^2}{a_{TiO_2} \cdot a_C^3} = \delta G_{26} \quad (30)$$

543 Since activity of TiC is unity, then equation (30) becomes

$$545 \quad \Delta G_{26}^{\circ} = \Delta G_{26} - RT \ln \frac{p_{CO}^2}{a_{TiO_2} \cdot a_C^3} = \delta G_{26} \quad (31)$$

547 By comparing δG_{11} and δG_{26} values, we may predict which reaction is more favorable to occur in a
 548 particular temperature. We determine the activity of titanium in Fe- $C_{saturated}$ -Ti system at 1873 K (1600
 549 °C) from FactSage™ database and calculate the δG_{11} and δG_{26} in the melt, as seen in Fig. 16.

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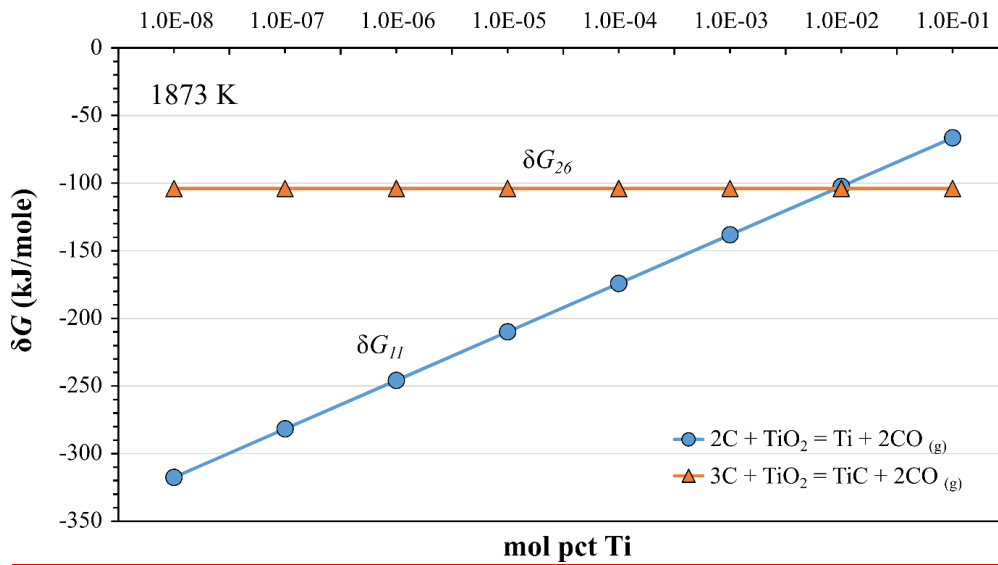


Fig. 16. The changes of δG_{11} and δG_{26} at 1873 K in different mol pct Ti.

Fig. 16 shows that if the amount of titanium in the melt is less than about 0.01 mol pct, the reaction (11) is more favorable than reaction (26), which means the TiO_2 is reduced and yields the dissolved titanium in the metal. In the contrary, if the titanium content is larger than about 0.01 mol pct then reaction (26) is more favorable than that of reaction (11), which means TiC formation at slag/metal interface is likely to occur.

As seen in WDS analysis of pig iron in Table 8, silicon content on number 1 and 2 of B-Pig iron show higher amount compare to that in of silicon content in I-Pig iron (Table 6). This confirms the result in XRF analysis and demonstrate the reduction mechanism of silica in bauxite during smelting-reduction. The WDS results for Phase (2) of point number 3 and 4 show in Table 8 in both images confirmed the formation of (Ti,V)C in metal as was reported from literature [7][7]. As the solidus temperature of metal is lower than slag, the solute titanium in pig iron may segregates to the boundaries of metal and slag and forms carbide.

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568 Another important parameter in studying the slag-metal systems is the distribution of the dissolved
 569 elements between the slag and metal phases and a distribution coefficient as L_i is defined, which is
 570 expressed as:

571

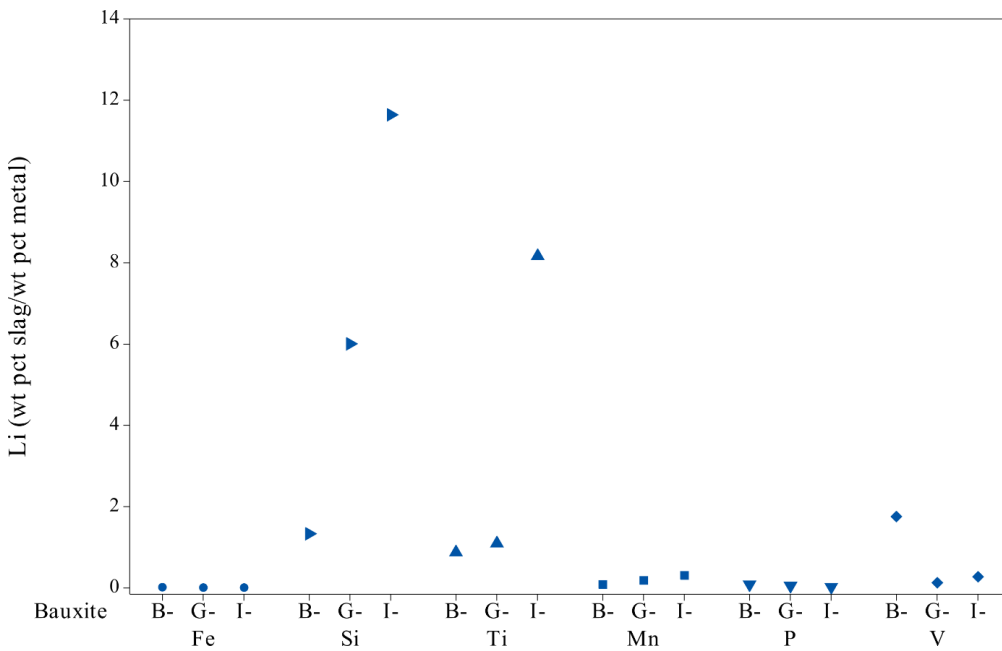
$$L_i = \frac{(\text{wt pct } i)}{[\text{wt pct } i]} \quad (2325)$$

573

574 Where (wt pct i) and [wt pct i] are representing the mass pct of element i in the slag and metal phases,
 575 respectively. The distribution coefficient of Fe, Si, Ti, Mn, P, and V in current work is shown in Fig.

576 176. Fe, Mn, P, and V have relatively low L_{Fe} , L_{Mn} , L_{P} , and L_{V} values (0 - 1.7), which gives indication
 577 that these elements stable in pig iron and shows that iron was 99.9 pct reduced. While the L_{Si} and L_{Ti}
 578 values vary depending on the type of bauxite and so the slag composition, which is 1.3 - 11.6 and 0.8
 579 - 8.2 for L_{Si} and L_{Ti} , respectively. More experiments are necessary to have better understanding on the
 580 elements distribution in slag and pig iron produced from smelted bauxite.

581



582

583 Fig. 176. Distribution coefficient of several elements in different bauxites.

584

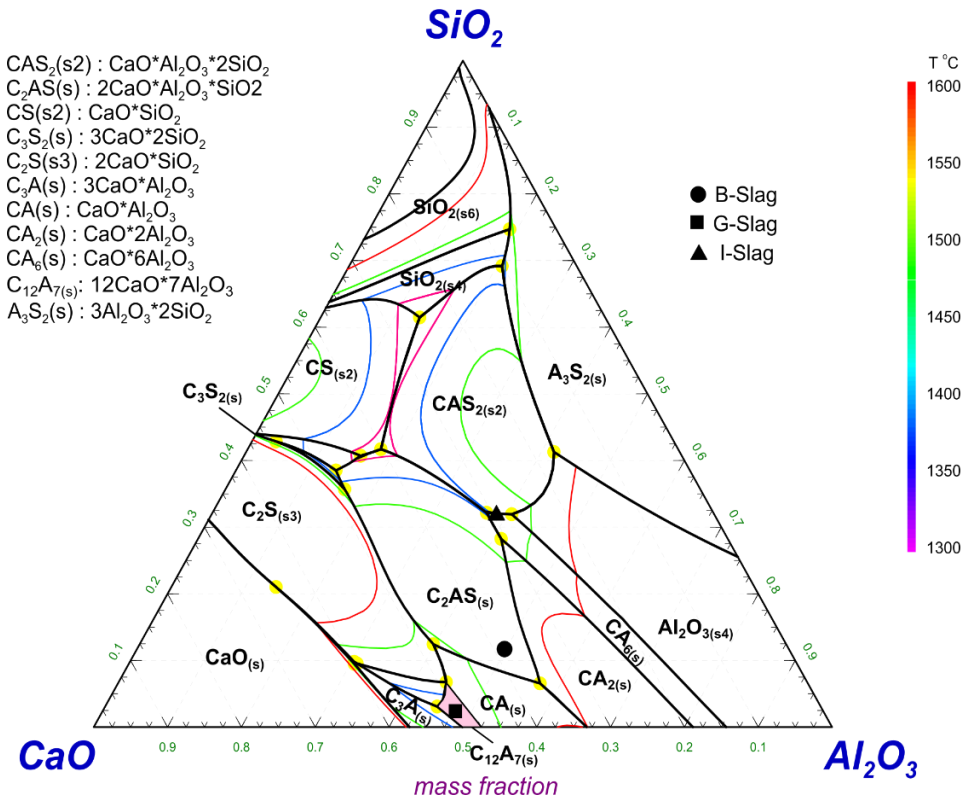
585 **IV.6. Stable phases in the slag system**

586 Even though the mixtures was set-up with particular ratio of C/A, the other compound, i.e. SiO₂ and
587 TiO₂ affect the construction of phases in the slag system. As seen in Table 5, the main slag
588 compounds are CaO, Al₂O₃, and SiO₂. While TiO₂ content is negligible (< 3 wt pct) and is not
589 constructing of any new phase in the system [24]. Therefore, the slag is regarded here as a ternary
590 CaO-Al₂O₃-SiO₂ system. Employing FactSage™ phase diagram module, the projection of isothermal
591 sections from 1573 - 1873 K (1300 - 1600 °C) in CaO-Al₂O₃-SiO₂ system can be made as seen in Fig.

592 | ~~47~~18. Due to the limitation of compound database in FactSage, C₁₂A₇ phase is not shown in the
593 projection. However, we draw the area of C₁₂A₇ phase manually into the ternary system (colored in
594 pink) based on provided information in literature [17] [30].

595

CaO - Al₂O₃ - SiO₂
 Projection (A-Slag-liq), 1 atm



596
597
598

Fig. 4718. Isothermal projection of ternary CaO-Al₂O₃-SiO₂ system.

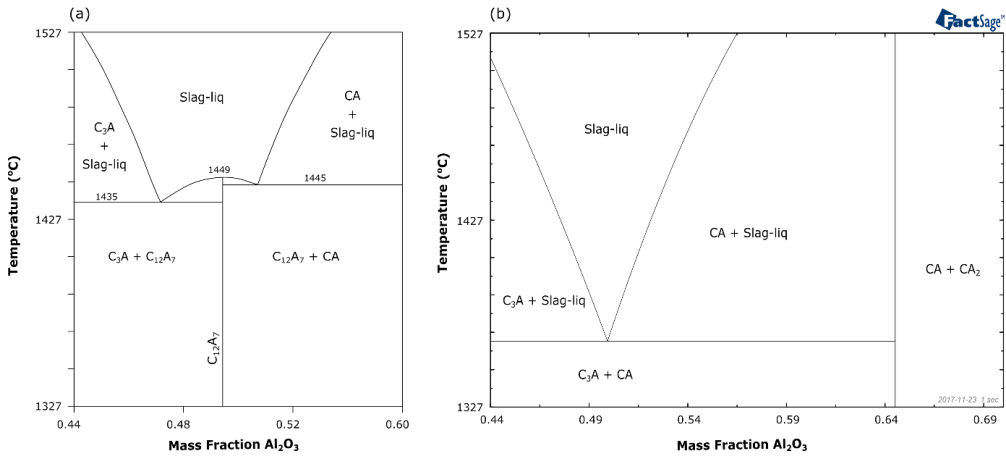
599 The calculated ternary phase diagram corresponds with the XRD result of B-Slag in Fig. 4. The
 600 direction of crystallization path goes toward the peritectic temperature and shows that C₂AS, CA, and
 601 β-C₂S phase are in equilibrium as condensed phases after solidification. However, neither β-C₂S nor
 602 γ-C₂S phases are traced in it. No existence of C₂S may be the reason why self-disintegration did not
 603 happen during cooling, as we observed. The self-disintegration of slag occurs due to the volume
 604 expansion by 12 pct as the crystalline phase transforms from β-C₂S to γ-C₂S [24] [31]. In a calcium
 605 aluminate containing-slag produced from blast furnace, it is reported that when the cooling rate is
 606 lower than 4.8 K·s⁻¹ then the major phases of the slag are C₁₂A₇, γ-C₂S, and CA [32]. On the other
 607 hand, if the cooling rate is considerably high, 4.8 - 5.4 K·s⁻¹, the formation of C₂AS occurs. In present

608 work, the cooling rate averages were above $4.8 \text{ K}\cdot\text{s}^{-1}$. Another possible explanation would be related
609 to low presence of $\beta\text{-C}_2\text{S}$ in B-Slag ($< 5 \text{ pct}$), as calculated in section IV.7, which is confirmed by no
610 observation of the corresponding peaks in XRD spectrums (Fig. 4).

611

612 Based on the XRD result, G-Slag has C_{12}A_7 , CA, and low fraction of C_5A_3 phase. The C_{12}A_7 phase
613 was first observed by Rankin and Wright [33] in 1915 and has been in several discussions ever since.
614 In 1965, Nurse et al. [34] observed that C_{12}A_7 phase is unstable in anhydrous or moist-free $\text{CaO-Al}_2\text{O}_3$
615 system, as it is in equilibria with H_2O above 1223 K (950 °C) and forms $\text{Ca}_{12}\text{Al}_{14}\text{O}_{32}(\text{OH})_2$ when is
616 fully saturated; the water present as hydroxyl ions in crystal structure. Later on, Haccuria et al. [35]
617 showed that in a dry and high-purity (99.999 pct) argon atmosphere the formation of C_{12}A_7 phase
618 does not occur. Here in G-Slag, C_{12}A_7 phase is observed in its anhydrous form where the data given as
619 follows: $a = 11.99856 \text{ \AA}$, $V = 1727.38 \text{ \AA}^3$, density = $3.10^{-3} \text{ kg}\cdot\text{m}^{-3}$, in cubic I-43d (220) space group.
620 The phase in the slag samples have been formed in an open furnace and oxidizing atmosphere, which
621 subsequently is cooled to room temperature. The result is in agreement with Imlach et al. [36], who
622 said the C_{12}A_7 phase might be stable at high temperatures as it absorbs excess of oxygen from any
623 oxidizing atmosphere, even where $p\text{O}_2$ is as low as 10^{-3} Pa (10^{-8} bar). This finding is important to the
624 study of calcium-aluminate slag making, as C_{12}A_7 phase is known as one of the leachable phase in
625 CaO- Al_2O_3 system [8][11] [8]-[17] [37] and is, therefore, crucial to Pedersen process. Hallstedt [16]
626 optimized the thermodynamic data of C_{12}A_7 phase and said that it melts congruently at 1449 °C. It has
627 a eutectic with other phases C_3A and CA on each side, as seen in Fig. 48-19 (a). In our results, G-Slag
628 consists mainly of 46.1 wt pct Al_2O_3 and 48.1 wt pct CaO, which means according to Fig. 48-19 (a) it
629 may rather have C_3A and C_{12}A_7 than C_{12}A_7 and CA phase. However, Hallstedt considers only the
630 binary system of CaO- Al_2O_3 and not the role of other constituents as if any involved; silica, titania,
631 etc . Moreover, C_3A phase is relatively unstable as it can be reacted with free- Al_2O_3 in the system to
632 form C_{12}A_7 (see reaction (18)). In our previous work [12] we did not observe the presence of C_{12}A_7
633 phase in a slag that was synthesized at high temperature and oxidizing atmosphere. The composition
634 was 38 wt pct and 62 wt pct of CaO and Al_2O_3 , respectively. Instead of the occurrence of CA and

635 $C_{12}A_7$ phase, the encountered phases were CA and C_3A that agrees with a moisture-free binary
 636 diagram as in Fig. 198 (b).
 637



638
 639
 640 Fig. 1819. Part of CaO-Al₂O₃ binary system where (a) the $C_{12}A_7$ phase is included, and (b) a moisture-
 641 free without formation of $C_{12}A_7$ phase, as simulated by FactSage™.

642
 643 The reason of this different phenomenon can be explained as follows:
 644 1. In our previous work [12], CA phase was the primary crystallized phase (91 wt pct by phase lever-
 645 rule), and when the cooling reached eutectic temperature the remaining liquid may precipitate the
 646 $C_{12}A_7$ phase at grain boundaries. However, the amount of this phase was low (< 10 wt pct) and either
 647 it was dissolved in the adjacent CA phase or is dissociated to CA and C_3A phase at lower temperature
 648 as in reaction (2336).



651
 652 As reported in literature [34], the Gibbs energy changes for reaction (2336) is -1.5, -2.0, and -3.5
 653 kJ/mole CaO at 1700 K (1427 °C), 1600 K (1327 °C), and 1500 K (1227 °C), respectively. Therefore,
 654 C_3A and CA phases formation upon cooling was favorable.

655

656 2. In the present work, as indicated by the strongest intensity in XRD in Fig. 4, the primary
657 crystallized phase from G-Slag is $C_{12}A_7$. As it has significant amount, it may absorb the required
658 oxygen during precipitation and eventually become more stable. From this reason, we may say that
659 unless the composition of the binary slag is on the range of its primary crystallization (about 46 - 51
660 wt pct Al_2O_3) or as the majority phase in the system, the $C_{12}A_7$ phase is likely unstable even in
661 oxidizing atmosphere.

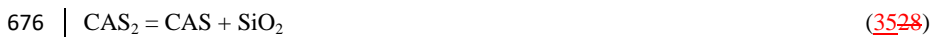
662

663 According to the XRD results in Fig. 4, no CAS_2 phase in I-Slag is observed, which does not agree
664 with the ternary system at 101.3 kPa (1 bar) in Fig. 4718. CAS that is observed is known as Calcium
665 Tschermak's pyroxene (CATs) for geologists, which is one of the important constituent of the earth's
666 upper mantle and of the natural aluminous pyroxenes. The crystallography pattern of CAS in present
667 work matched against the measurement of Okamura et al [38] that is $a = 9.609 \text{ \AA}$, $b = 8.652 \text{ \AA}$, $c =$
668 5.274 \AA , $\beta = 106.06^\circ$, $V = 421.35 \text{ \AA}^3$, in monoclinic $C2/c$ space group. The CAS phase is formed by a
669 solid-state reaction as seen in reaction (3427) and (3528). Okamura et al. [38] synthesized a single
670 crystal of CAS from CAS_2 , C_2AS , and Al_2O_3 after heating the mixture at 1573 K (1300 °C) within 24
671 hours in 18.10^5 kPa (18 kbar). On the other hand, Hariya and Kennedy [39] observed that CAS_2
672 decomposes to CAS and SiO_2 under high pressure and temperature.

673



675



677

678 Many works concluded that CAS is a high-pressure and temperature phase [38] [40]. In addition,
679 Hays [41] reported that pure CAS is not stable below 1433 K (1160 °C) and the pressure field of
680 stability is relatively narrow even at higher temperature. However, Ma et al. [42] stated that although
681 synthetic CAS is a high-pressure phase, a natural CAS could be found in low-pressure atmosphere.
682 Fig. 9 (c) shows that I-Slag does not have lamellar structure, which indicates the phases were not

683 formed through a slow diffusion mechanism. Thus, in contrary to the conclusion that was given by
684 some researchers above, we suggest that the reaction (3428) may occur during the cooling of a
685 congruently melted CAS_2 phase on ambient pressure. The co-existing phases of CAS and SiO_2 in
686 XRD result of I-Slag worth as the evidence of the argument. Nevertheless, this hypothesis needs
687 further investigation.

688

689 **IV.7. Phase changes upon slag solidification and cooling**

690 In Table 7, the WDS analysis of B-Slag on dark and bright structure is identical with the phase of
691 C_2AS and $CaTiO_3$, respectively. The WDS result on fine lamellar phase indicates a C_2AS phase as it
692 has similar composition with the dark phase, only it has more TiO_2 . This may not be precise, as the
693 lamellar is constructed with dark and less-bright phase. It is difficult to identify the composition of
694 each layer as the thickness is as much as 2 μm . To understand the existing phases in lamellar
695 structure, a crystallization path of B-Slag becomes necessary. Based on alkemade line and phase
696 lever-rule we can describe it as follows:

697

698 1. Consider we have 100 g of slag with the composition of 50 wt pct Al_2O_3 , 12 wt pct SiO_2 , and 38 wt
699 pct CaO , which is a normalized XRF data of B-Slag from Table 5. At 1923 K (1650 °C), slag liquid
700 phase exists, named liquid #1.

701

702 2. From 1923 K (1650 °C) to 1783 K (1510 °C) the primary crystallization of C_2AS occurs; liquid #1
703 $\rightarrow C_2AS$ + liquid #2. The slag consists 14 g of C_2AS phase (14 pct) and 86 g of liquid #2 phase (86
704 pct).

705

706 3. From 1783 K (1510 °C) to 1623 K (1350 °C), following reaction occurs: liquid #2 $\rightarrow C_2AS$ + CA +
707 liquid (p). At this stage lamellar structure co-exists. The mass of C_2AS , CA, and liquid (p) phase is
708 30.1 (35 pct), 49 (57 pct), and 6.9 g (8 pct), respectively.

709

710 4. At 1623 K (1350 °C), liquid (p) + C₂AS → C₂S + CA, a peritectic reaction occurs. At current stage
711 the remaining liquid is consumed, as well as the partial of C₂AS phase. The mass of liquid (p), C₂AS,
712 C₂S, and CA phase is 6.9 g (66 pct), 3.55 (34 pct), 3.86 (37 pct), and 6.59 g (63 pct), respectively.

713

714 5. From 1623 K (1350 °C) to room temperature, at the solidus line no liquid exist and impurities may
715 segregate and concentrated between the primary C₂AS grains. Total amount of condensed phases in

716 B-Slag is:

717 C₂AS = 14 g + 30.1 g - 3.55 g = 40.55 g (40.6 pct)

718 CA = 49 g + 6.59 g = 55.59 g (55.6 pct)

719 C₂S = 3.86 g (3.8 pct)

720 Total = 100 g (100 pct)

721

722 On the other hand, coarse and small grain structure in G-Slag indicates the C₁₂A₇ phase. Grzymek et
723 al. [\[43\]\[43\]](#) observed that C₁₂A₇ has polymorphic transition phase depends on the synthesizing
724 temperature, condition of atmosphere (reducing or oxidizing), and the cooling rate when it was
725 formed. Grzymek mentioned that when synthesize held in an oxidizing atmosphere at 1770 K (1497
726 °C), and then slowly cooled, the structure is isotropic and grainy-ish with an anisotropic phase formed
727 on grain boundaries, which is similar to present result. The structure of CA phase in G-Slag is
728 indistinguishable as the amount is considerably low.

729

730 In I-Slag, pointed WDS analysis on bright and dark structures correspond to CAS phase with
731 insignificant difference on TiO₂ amount (1-2 wt pct). No lamellar structure is shown as it was in B-
732 Slag. An X-ray element mapping on I-Slag in Fig. [87](#) shows the dark structure has high concentration
733 in titanium and it has stronger bond to aluminum than of calcium and silicon. Interestingly, these
734 complex oxides do not behave similarly in G-Slag. The area of the phase that is rich in titanium have
735 tendency to bond with calcium and silicon than aluminum, as seen in Fig. 6, which is supported by
736 SEM and EDS results for G-Slag in Fig. 8. This gives valuable information for the leachability of the
737 slag, as the titanium that bonded to the aluminum might hinder the alumina-leaching efficacy.

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As we observed above the slags B, G, and I contain different Al₂O₃-containing phases and the leachability of them is important for alumina extraction. The leaching behavior of C₁₂A₇ and CA phase have been studied in literature and the main point to be mentioned here is both phases are soluble in sodium carbonate solution and therefore is favorable for the alumina recovery. Lundquist and Leitch [45] proposed the leaching reaction of CA phase where

~~As we observed above the slags B, G, and I contain different Al₂O₃-containing phases and the leachability of them is important for alumina extraction. The leaching behavior ofhave been studied in literature and the main points to be mention are~~



While for C₁₂A₇ phase the reaction may be described as follows [8]:



~~However, there is no literature no publication was found about the leachability ofCAS, C₂AS, and C₆A₃ phases. This will be the subject of study of the authors in near future. Understanding the leaching behavior of Al₂O₃-containing phases may pave the way to treat different metallurgical slags, which is quite important regarding the sustainable process development and circular economy.~~

~~As we observed above the slags B, G, and I contain different Al₂O₃-containing phases and the leachability of them is important for alumina extraction. The leaching behavior ofhave been studied in literature and the main points to be mention are..... However, there is no literature about the leachability ofphases. This will be the subject of study of the authors in near future. Understanding the leaching behavior of Al₂O₃-containing phases may pave the way to treat different metallurgical slags, which is quite important regarding the sustainable process development and circular economy.~~

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767 **V. Conclusion**

768 The characteristics of calcium aluminate-containing slags and pig iron produced from low grade
769 bauxite ores were studied. Using advance characterization techniques, the thermochemistry of oxides
770 reduction, elements distribution between the slag and metal products, and mechanisms of the
771 formation of phases in them can be explained. The main conclusions can be summarized as follows:

772

773 1. Iron from the ores is removed from 94.8 to 99.9 pct during smelting-reduction by carbon and yields
774 the alumina-containing slag containing less than 1 wt pctFe, dependent on the slag chemistry.

775

776 2. The partial separation of Mn, Si, Ti, V and P oxides occurs through the smelting-reduction, where
777 low distribution coefficients of L_{Mn} , L_P , and L_V are observed, While L_{Si} and L_{Ti} values ranges are
778 considerably wide depend on the characteristics of the produced slag.

779

780 3. The viscosity in calcium-aluminate slags with CaO/SiO₂ mass ratio less than three is higher
781 compared to other compositions at elevated temperatures. It causes less of Fe-removal and gives more
782 porous product.

783

784 4. Silicon loss from high SiO₂-containing bauxites may occur at elevated temperatures through the
785 SiO gas formation.

786

787 5. The leachable slag phases (CaO·Al₂O₃, 12CaO·7Al₂O₃), which are observed in G-Slag, are
788 obtained after the smelting-reduction of bauxite that has Al₂O₃/(Fe₂O₃ + SiO₂) mass ratio higher than
789 two with up to 5 K·s⁻¹ of cooling rate.

790

791 6. The complex bonding between titanium and aluminum oxides in slag is not favorable for leaching
792 process and is likely to occur in CaO·Al₂O₃·SiO₂ phase.

793

794

795 **Acknowledgement**

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Table 1. Characteristics of lime and coke as received.

Composition	Lime (wt pct)	Coke (wt pct)
Al ₂ O ₃	0.3	2.8
Fe	NA	0.6
Fe ₂ O ₃	NA	0.9
SiO ₂	1.0	5.6
TiO ₂	NA	0.1
CaO	54.0	0.4
MgO	1.0	0.2
Mn	NA	0.03
MnO	NA	0.04
K ₂ O	0.1	0.2
C-Fix	NA	87.7
CO ₂ (as carbonate)	47.5	NA
P	10 ppm	0.1
S	110 ppm	0.4
H ₂ O	1.0	15.5
Volatile matter	NA	1.53
Trace elements	100 - sum	100 - sum

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Table 2. XRF analysis of different bauxite ores.

Element	Bauxite Ore		
	B (wt pct)	G (wt pct)	I (wt pct)
Al	18.4	31.0	14.7
Fe	8.3	14.2	14.3
Si	22.2	3.4	23.9
Ti	3.3	3.4	4.3
Mg	0.1	0.3	0.2
Ca	NA	5.6	0.1
K	130 ppm	433 ppm	0.1
Mn	0.1	323 ppm	273 ppm
P	0.0	91 ppm	346 ppm
O*	100 - sum	100 - sum	100 - sum
LOI	~16.1	~14.6	~10.9

*oxygen presents as oxides

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972 Table 3. Normalized XRF analysis of the calcined bauxites to the corresponding stable oxides.

Phase	Calcined Bauxite		
	B (wt pct)	G (wt pct)	I (wt pct)
Al ₂ O ₃	44.2	65.4	35.7
Fe ₂ O ₃	15.2	22.7	26.2
SiO ₂	36.2	4.1	32.8
TiO ₂	4.2	3.2	4.6
MgO	0.1	0.2	0.2
CaO	NA	4.4	0.1
K ₂ O	NA	NA	0.2
MnO	0.1	NA	NA

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Table 4. Mass changes of B-, G- and I-Bauxite setup after smelting trial.

Condition	B- Bauxite (kg)	G- Bauxite (kg)	I- Bauxite (kg)
Crucible and materials, before smelting ^(a)	8.76	9.26	7.74
Crucible and materials, after smelting ^(b)	7.34	7.84	7.27
Total mass loss: (a) - (b)	1.42 (16.3 pct)	1.42 (15.4 pct)	0.47 (6.1 pct)
Materials before smelting ^(c)	2.80	3.26	1.71
Materials after smelting ^(d) : <i>(b) - graphite crucible mass loss*</i>	1.64	1.98	1.24
Measured materials mass loss: (c) - (d)	1.16 (41.5 pct)	1.28 (39.4 pct)	0.47 (21.2 pct)

992 *The mass loss pct of the graphite crucible and graphite rod after one hour of smelting trial are $2.2 \pm$
 993 0.5 pct and 4 pct, respectively.

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Table 5. Normalized XRF analysis of the obtained slags in smelting-reduction.

Phase	Slag		
	B (wt pct)	G (wt pct)	I (wt pct)
Al ₂ O ₃	47.5	46.1	36.7
SiO ₂	11.2	2.3	30.3
TiO ₂	0.9	1.8	2.8
MgO	0.4	0.5	0.5
CaO	36.8	48.1	28.0
K ₂ O	NA	NA	0.5
MnO	NA	NA	0.1
FeO	0.01	0.44	1.4
Trace elements	NA	NA	NA

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Table 6. XRF analysis of pig iron samples resulted from smelting-reduction treatment.

Element	Pig Iron		
	B (wt pct)	G (wt pct)	I (wt pct)
Fe	89.9	92.0	92.7
C _{sat}	4.6	5.3	4.9
Si	4.0	0.2	1.2
Mn	0.5	0.2	0.4
Ti	0.6	0.9	0.2
V	0.1	0.2	0.1
Cr	0.1	0.5	0.1
P	450 ppm	950 ppm	0.1
S	NA	70 ppm	70 ppm
Trace elements	100 - sum	100 - sum	100 - sum

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1036 | Table 7. WDS analysis of phases observed in B-, G-, and I-Slags structures shown in Fig. 409.

Point no.	CaO (wt pct)	Al ₂ O ₃ (wt pct)	SiO ₂ (wt pct)	TiO ₂ (wt pct)	MgO (wt pct)	FeO (wt pct)	V ₂ O ₃ (wt pct)	MnO (wt pct)	P ₂ O ₅ (wt pct)	K ₂ O (wt pct)
(a)1-3	42.66	35.05	21.62	0.33	0.29	NA	NA	0.01	0.03	NA
(a)4-6	42.99	34.72	21.41	0.61	0.23	0.01	0.01	0.01	0.01	0.01
(a)7-9	55.29	6.00	4.20	31.12	2.93	NA	0.26	0.19	NA	0.01
(b)1-3	49.58	48.99	0.79	0.32	0.24	0.01	0.01	0.03	0.03	NA
(b)4-6	48.94	42.66	2.73	4.35	1.12	0.04	0.05	0.07	0.04	NA
(c)1-3	30.81	32.35	33.95	1.36	0.41	0.10	0.03	0.12	0.02	0.85
(c)4-6	28.43	33.86	33.50	2.87	0.67	0.04	0.03	0.10	0.01	0.49

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Table 8. WDS analysis of phases in B-Pig iron shown in Fig. ~~110~~.

Point no.	Fe (wt pct)	C (wt pct)	Mn (wt pct)	Si (wt pct)	Ti (wt pct)	Mg (wt pct)	V (wt pct)	Al (wt pct)	P (wt pct)	Others (wt pct)
1	90.5	4.9	1.1	2.9	NA	0.1	0.3	NA	0.2	NA
2	90.5	4.6	1.1	3.1	0.1	0.1	0.3	NA	0.2	NA
3	2.8	13.1	NA	0.5	80.4	0.0	2.9	0.1	NA	0.2
4	2.3	15.0	NA	0.1	79.4	0.1	2.3	0.2	NA	0.6

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Table 9. Correlation between starting materials mass loss with their causes.

Materials Mass Loss Causes	B-Bauxite setup (kg)	G-Bauxite setup (kg)	I-Bauxite setup (kg)
Total materials mass loss as measured ⁽¹⁾	1.16	1.28	0.47
LOI of Bauxite ^a	0.28	0.25	0.12
LOI of Lime ^b	0.45	0.66	0.23
LOI of Coke ^c	2.10^{-3}	2.10^{-3}	2.10^{-3}
Total loss due to LOI ⁽²⁾ : $a + b + c$	0.74	0.92	0.36
Mass loss due to reduction ⁽³⁾	0.16	0.21	0.16
Theoretical mass loss/measured mass loss: $(3)/(1)$	0.77	0.87	1.10