

1 **Chronic fatigue is highly prevalent in survivors of autologous stem cell**
2 **transplantation and associated with IL-6, neuroticism, cardiorespiratory**
3 **fitness and obesity**

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28 **Running head:** Chronic fatigue in lymphoma survivors after HDT-ASCT.

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38 Chronic fatigue (CF, defined as elevated fatigue levels for ≥ 6 months) is a common
39 and distressing late effect,¹ affecting 25-30% of long-term lymphoma survivors (LSs),^{2,3}
40 compared with 11% in a national representative population.² One might hypothesize that CF
41 would be more prevalent after high-dose therapy with autologous stem cell transplantation
42 (HDT-ASCT). However, a prevalence of CF of 28% was found among 40 LSs 3 years after
43 HDT-ASCT, comparable to what is found after conventional chemotherapy.⁴

44 The etiology of CF in LSs is multifactorial, influenced by demographic, somatic and
45 psychological factors.¹ The underlying pathophysiology is incompletely understood, but is
46 suggested to involve dysregulation of pro-inflammatory cytokines.¹ An association between
47 fatigue and raised levels of cytokines, such as interleukin (IL)-6, IL-1 receptor antagonist (IL-
48 1RA), IL-1 β and tumor necrosis factor- α (TNF- α) has been demonstrated, especially in breast
49 cancer survivors.¹ The literature is, however, limited for LSs, with only a few small studies,
50 mainly on mixed hematological diagnoses, that give inconsistent results.⁵⁻⁷ To our knowledge,
51 no previous study has investigated cytokines in relation to CF in long-term LSs specifically.

52 On this background, we assessed the prevalence of CF in a national cohort of adult
53 LSs treated with HDT-ASCT, and investigated associations between CF and
54 disease/treatment characteristics, psychological factors and objectively measured somatic
55 variables, including cardiorespiratory fitness and selected cytokines.

56

57 All survivors treated with HDT-ASCT for lymphoma in Norway from 1987 to 2008,
58 aged ≥ 18 years at HDT-ASCT, resident in Norway and not currently undergoing systemic
59 therapy for active malignancy were eligible (n=399), and invited to complete a questionnaire
60 (n=311) and attend a clinical examination (n=270), including echocardiography, symptom-
61 limited cardiopulmonary exercise test, pulmonary function tests and blood samples
62 (supplementary figure S1). For complete methods, see supplementary material.

63

64 After a median follow-up of 12 years since diagnosis, the prevalence of CF was 31%.
65 The proportions of chronically fatigued survivors by patient, disease and treatment
66 characteristics and by patient reported outcomes are given in Supplementary Table S1 and by
67 findings on clinical examination in Supplementary Table S2. For LSs having received
68 mediastinal radiotherapy, the prevalence of CF was 39% compared to 27% for those who had
69 not ($p=.03$). Nineteen percent of survivors meeting the recommendations for physical activity
70 had CF compared with 41% of those who did not ($p<.001$). Higher scores for neuroticism,
71 post-traumatic symptoms and mental distress were associated with CF (all $p<.001$).

72 Thirty-three survivors (12%) were obese, and CF was significantly more frequent
73 among these than among the non-obese (58% vs. 29%, $p=.001$). The mean peak oxygen
74 consumption (VO_{2peak}) was 24.9 (SD=19) ml/kg/min and 28.0 (SD=7.5) ml/kg/min for
75 survivors with and without CF respectively ($p=.003$), and corresponding age-, weight- and
76 sex-adjusted expected values were 96% (SD=19%) and 105% (SD=21%) ($p=.005$). The CF-
77 cases achieved similar exertion as non-CF cases, both objectively (respiratory exchange ratio,
78 $p=.72$) and perceived (Borg scale, $p=.84$).

79 Compared to an age- and gender-matched control group, the LSs had significantly
80 higher levels of IL-1RA ($p=.01$), higher proportion of detectable IL-6 ($p<.001$), and lower
81 proportion of detectable IL-1 β ($p<.001$). IL-6 was detectable in 71% of LSs with CF,
82 compared to 51% of LSs without CF ($p=.003$) and 25% of controls ($p<.001$) (Supplementary
83 Table S3). Median IL-1RA levels were higher in LSs with CF than in LSs without CF ($p=.04$)
84 and controls ($p<.001$).

85 Logistic regression analysis with CF as dependent variable is shown in table 1. In the
86 multivariable analysis, higher neuroticism score (OR, 1.54; 95%CI, 1.24-1.92, $p<.001$),
87 obesity (OR, 3.81; 95%CI: 1.49-9.72, $p=.005$), decreasing VO_{2peak} (OR, 0.45; 95%CI, 0.21-

88 0.98, $p=.01$) and detectable serum IL-6 levels (OR, 2.03; 95%CI, 1.03-4.03, $p=.04$) were
89 associated with increased risk of CF.

90

91 In this national cross-sectional study in an unselected cohort of long-term LSs treated
92 with HDT-ASCT, the prevalence of CF was 31%. The prevalence is only slightly higher than
93 in previous studies on LSs after both conventional treatment^{2,3} and HDT-ASCT⁴, and
94 considerably higher than in the general Norwegian population,² all using the same
95 questionnaire as in the present study.

96 It has previously been shown that levels of fatigue increase during treatment, decrease
97 in the first year after, and remain stable and above that of the general population at least 3-5
98 years after treatment.⁸ With a median observation time of 12 years, our results suggest that CF
99 is persisting beyond 10 years. The high treatment burden in this group of LSs does, however,
100 not seem to result in much more CF compared to conventional lymphoma treatment.
101 Furthermore, no disease or treatment-related variables were found to be associated with CF in
102 the multivariable analysis.

103 The majority of studies that until now have shown associations between pro-
104 inflammatory cytokines and CF have been performed among breast cancer survivors.¹ Among
105 LSs, one small study on 30 patients within 3 months of completed chemotherapy found an
106 association between fatigue levels and IL-6,⁵ while other studies in different hematological
107 malignancies failed to confirm a relation between cytokine levels and CF.^{6,7} We measured the
108 four cytokines most consistently associated with CF. Both IL-6 and IL-1RA levels were
109 significantly higher in LSs with CF compared with LSs without CF and compared with
110 matched controls from the general population. The association between detectable IL-6 and
111 CF remained significant after adjusting for demographic, treatment, life-style and
112 psychological factors.

113 Fatigue is a frequent symptom in several diseases characterized by immune activation,
114 including autoimmune diseases and infections.⁹ In these processes, and potentially for CF in
115 cancer survivors, fatigue is hypothesized to be due to cytokine signaling in the central nervous
116 system where symptoms of fatigue and other behavioral changes are generated by altering
117 neural processes.¹ Cytokines are important in the pathogenesis of lymphomas, as exemplified
118 by Hodgkin lymphoma where scarce tumor cells are surrounded by reactive immune cells
119 engaged in an abnormal and unproductive immune response. Expression of various cytokines,
120 including IL-6, play an important role in the pathogenesis of lymphomas,¹⁰ and IL-6 levels
121 have been found elevated at diagnosis and associated with B-symptoms and prognosis.
122 Interestingly, we found that IL-6 and IL-1RA levels are increased compared to matched
123 controls from the general population even many years after diagnosis in this population of
124 cancer-free survivors.

125 Targeting elevated levels of IL-6 by monoclonal antibodies (tocilizumab and
126 siltuximab) represents a therapeutic principle in inflammatory diseases. Recently, Siltuximab
127 was introduced as treatment for multicentric Castlemans disease, a rare lymphoproliferative
128 disorder driven by dysregulated IL-6 production.¹¹ In the pivotal double-blinded placebo
129 controlled trial, patients reported substantial improvement of fatigue, even in the absence of
130 reduction in other markers of disease activity.¹¹ This points to the possibility that IL-6 could
131 be a target for pharmacological intervention in cancer survivors with CF in the future. It could
132 be warranted to examine this further in prospective clinical trials.

133 Lack of physical activity and obesity has previously been shown to be associated with
134 CF.¹² However, this is the first study to assess the possible associations between CF and
135 cardiorespiratory fitness in long-term LSs after HDT-ASCT, showing that VO_{2peak} was
136 significantly lower in survivors with CF. Due to the cross-sectional design we cannot
137 conclude about causality. Physical activity might still be a promising action for CF

138 intervention, supported by the results from a recent meta-analysis concluding that exercise
139 with or without psychological interventions is effective for reducing CF during and after
140 treatment.¹³

141 Neuroticism is one of the main personality traits covering degree of emotional
142 instability, and has, in line with our findings, consistently been identified as a strong risk
143 factor for fatigue.¹⁴ However, to the best of our knowledge, associations between neuroticism
144 and CF have not previously been evaluated in LSs.

145 In accordance with most previous reports, the influence of patient, disease and
146 treatment characteristics on CF seems to be limited.¹⁵ The only treatment related factor we
147 found to be more frequent in survivors with CF was mediastinal radiotherapy, but this did not
148 remain significant in the multivariable analysis.

149 With detailed and robust information about patient-, disease- and treatment-
150 characteristics, patient-reported psychological, social and life-style factors together with
151 objectively measured somatic health and assessment of potential inflammatory biomarkers,
152 we were able to explore many aspects of the likely multi-factorial mechanisms underlying CF
153 in LSs. This allowed for assessment of, and controlling for, somatic co-morbidities and late
154 effects, some of which have previously been shown to be associated with CF. Neither cardiac
155 disease, pulmonary impairment, endocrine or kidney dysfunction nor anemia was
156 significantly associated with CF.

157 Despite the limitations inherent in cross-sectional studies, the participation rate in this
158 comprehensive survey was high (78%), and participants and non-participants were highly
159 comparable, strengthening the generalizability of our results. Further, the sample size is
160 reasonably large, and all LSs after HDT-ASCT nationwide are accounted for.

161 In conclusion, CF is prevalent in this national cohort of long-term LSs after HDT-
162 ASCT, and is independently associated with neuroticism, obesity, poor cardiorespiratory

163 fitness and detectable serum IL-6. This supports that the etiology of CF is multifactorial, and
164 identify life-style factors that could be targets for prevention and treatment. The results also
165 support immune activation and cytokine dysregulation as a contributing mechanism in the
166 underlying biology of CF, which also could point to possible targets for future interventions.
167

168 **Competing interests**

169 None declared by the authors.

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171 Supplementary information is available at BMT's website.

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219 depression and comorbidity. *Br J Cancer* 2014; **110**(4): 868-874.

Table 3: Logistic regression analyses

	Univariate			Multivariable		
	OR	95% CI	p	OR	95% CI	p
Female sex (reference male)	1.37	0.83-2.25	.21	0.59	0.22-1.59	.29
Age at survey (years)	0.98	0.96-1.00	.15	0.97	0.94-1.01	.22
Mediastinal radiotherapy	1.81	1.09-3.00	.02	1.32	0.63-2.77	.45
Physical activity recommendation met ^a	0.36	0.21-0.60	<.001			
Current smoker	1.82	0.99-3.34	.05			
Neuroticism score	1.55	1.34-1.78	<.001	1.54	1.24-1.92	<.001
Impact of event scale (IES) score	1.04	1.02-1.06	<.001	1.01	0.98-1.04	.40
HADS-A	1.20	1.12-1.28	<.001			
HADS-D	1.34	1.23-1.46	<.001			
Obesity ^b	2.77	1.39-5.50	.004	3.81	1.49-9.72	.005
VO ₂ peak (l/min)	0.60	0.38-0.92	.02	0.45	0.21-0.98	.04
Work load max (Watt, per 10 units)	0.92	0.86-0.97	.004			
IL-6 detectable	2.37	1.34-4.20	.003	2.04	1.03-4.03	.04
IL-1RA (pg/ml)	1.01	1.00-1.01	.02			

Univariate and multivariable logistic regression analyses of potential factors associated with chronic fatigue.

Variables with $p \leq 0.10$ in univariate analysis are shown, in addition to age and sex that also were included in the model. ^a 150 min/week of moderate intensity or 75 min/week of vigorous intensity, ^b BMI ≥ 30 . OR = odds ratio, CI = confidence interval, IES = impact of event scale, HADS = hospital anxiety and depression scale (A=anxiety subscale, D=depression subscale), VO₂ = volume oxygen, IL = interleukin. RA = receptor antagonist.