An anelliptic approximation for geometric spreading in transversely isotropic and orthorhombic media

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ABSTRACT

The relative geometric spreading along the raypath contributes to the amplitude decay of the seismic wave propagation that needs to be considered for amplitude variation with offset or other seismic data processing methods that require the true amplitude processing. Expressing the P-wave geometric spreading factor in terms of the offset-traveltime-based parameters is a more practical and convenient way because these parameters can be estimated from the nonhyperbolic velocity analysis. We have developed an anelliptic approximation for the relative geometric spreading of P-wave in a homogeneous transversely isotropic medium with vertical symmetry axis (VTI) and an orthorhombic (ORT) medium under the acoustic anisotropy assumption. The coefficients in our approximation are only defined within the symmetry planes and computed from fitting with the exact parametric expression. For an ORT model, due to the symmetric behavior in different symmetry planes, the other coefficients in the approximation can be easily obtained by making corresponding changes in indices from the computed coefficients in one symmetry plane. From the numerical examples, we found that for a homogeneous VTI model, the anelliptic approximation is more accurate than the generalized nonhyperbolic moveout approximation form for larger offset. For a homogeneous ORT model, our anelliptic approximation is more accurate than its traveltime-based counterparts. Using the Dix-type equations for the effective parameters, our anelliptic form approximation is extended to a multilayered VTI and ORT models and has accurate results in both models.

INTRODUCTION

Geometric spreading describes the amplitude decay of propagating waves and is one of the most fundamental subjects in seismic data processing. It is important for prestack Kirchhoff’s migration, amplitude variation with offset (AVO) analysis, and other seismic data processing methods that require true amplitude processing. The amplitude distribution along the wavefront of the reflected wave is changed greatly if the velocity model is anisotropic. Seismic data must be compensated for geometric spreading before AVO or amplitude versus angle analysis to study reflection coefficients as a function of offset or incidence angle. Although geometric spreading is a dynamic quantity, it is governed by the kinematic parameters of seismic waves. When the velocity model is available, relative geometric spreading can be computed by performing dynamic ray tracing. However, accurate information about the anisotropic velocity model for the whole overburden is seldom available for practice. To avoid the use of numerical ray tracing, expressing geometric spreading through traveltime of the reflection events recorded at the surface using ray theory (Červený, 2001) is a more practical method for seismic time processing. Therefore, it is convenient to express geometric spreading in terms of the offset-traveltime parameters that can be estimated from the nonhyperbolic velocity analysis. Ursin (1990) proposes a geometric spreading approximation represented by traveltime parameters for a layered isotropic medium. One of the practical contributions from the paraxial ray theory is an expression for geometric spreading in terms of the traveltime functions at the source and receiver locations (Červený, 2001). Zhou and McMechan (2000) derive an analytical formula for the geometric spreading of P waves in a layered transversely isotropic medium with vertical symmetry axis (VTI) with the source and receivers in the same layer. Ursin and Hokstad (2003) extend the method of Ursin (1990) for multiple reflected and converted P- and SV-waves in a layered VTI medium with the source and receivers in different
layers. For pure reflection modes (P or SV) in layered anisotropic media, the geometric spreading as a function of travelt ime derivatives was obtained by Xu et al. (2005). The geometric spreading correction for an azimuthally anisotropic medium was later derived by Xu and Tsvankin (2006), and it was extended for converted waves in a VTI medium (Xu and Tsvankin, 2008). A practical application of anisotropic geometric spreading for AVO analysis was made by Xu and Tsvankin (2007), with the wide-azimuthal data acquired at Rulison Field, Colorado. The traveltime-based geometric spreading approximation in transversely isotropic medium with tilted symmetry axis (TTI) media is derived by Golikov and Stovas (2013). All of these approximations are approximating the travelt ime and use it and its derivatives for computation of the geometric spreading approximation. We refer to it as traveltime-based approximation or indirect approximation. Different nonhyperbolic moveout approximations for a homogeneous VTI model are listed in Fowler (2003) and Golikov and Stovas (2012). Although the geometric spreading factor is controlled by first- and second-order travelt ime derivatives, there is no guarantee that the most accurate traveltime approximation being used in equations for geometric spreading results in the most accurate geometric spreading equation. Different from the indirect type approximation, which is approximating the traveltime for geometric spreading approximation, the direct-type approximation is computed by approximating the geometric spreading term directly from the exact parametric equations obtained from dynamic ray tracing. The first example of this comparison between indirect and direct type approximation is done by Stovas and Ursin (2009), who developed the rational type approximation can be given in terms of horizontal slowness Alkhalifah (1998), the computation for the coefficients in ORT model becomes easier by applying the corresponding changes in the forms of the coefficients that are obtained in one symmetry plane. Subsequently, we extend our method for layered VTI and ORT models by using the effective model parameters computed from the Dix-type equation (Stovas, 2015). Using numerical examples, we show that the results from our approximation are highly accurate for homogeneous and layered VTI and ORT cases.

### RELATIVE GEOMETRIC SPREADING IN A VTI MODEL

The relative geometric spreading is given in Červený (2001) as

\[ L = \sqrt{\cos \theta_S \cos \theta_R \left| \det \mathbf{M} \right|^2} \tag{1} \]

where \( \theta_S \) and \( \theta_R \) are the angles between the ray and the normal to the surface measured at the source and receiver, respectively. Measured from the dynamic ray tracing, \( \theta_S \) and \( \theta_R \) are all group angle. \( \mathbf{M} \) is the second-order derivatives of the traveltime \( (T) \) matrix given by

\[ \mathbf{M} = \left( \begin{array}{cc} \frac{\partial^2 T}{\partial x^2} & \frac{\partial^2 T}{\partial y^2} \\ \frac{\partial^2 T}{\partial x \partial y} & \frac{\partial^2 T}{\partial y \partial x} \end{array} \right) \tag{2} \]

where \( (x_S, y_S) \) and \( (x_R, y_R) \) are the lateral coordinates of source and receiver, respectively. The relative geometric spreading in a VTI model is given by (Ursin and Hokstad, 2003)

\[ L = \Omega \left( \frac{dt}{dx} \right)^{-1/2} \left( \frac{dt}{dx^2} \right)^{-1/2} \tag{3} \]

where \( \Omega \) is the radiation pattern given by \( \Omega = \sqrt{\cos \theta_S \cos \theta_R} \). In this paper, we neglect the radiation pattern and focus only on the term \( L_N \) that is given as

\[ L_N = \left( \frac{dt}{dx} \right)^{-1/2} \tag{4} \]

The relative geometric spreading term \( L_N \) given in equation 4 can also be written as a function of horizontal slowness \( p \) in the case of flat layer as follows (Stovas and Ursin, 2009):

\[ L_N = \left( \frac{x}{p} \right)^{1/2} \tag{5} \]

For a homogeneous VTI model, the offset under an acoustic approximation can be given in terms of horizontal slowness Alkhalifah (1998):

\[ x(p) = \frac{pt_0 V_n^2}{(1 - 2\eta p^2 V_n^2)^{3/2} \sqrt{1 - (1 + 2\eta) p^2 V_n^2}} \tag{6} \]

where \( t_0 \) is the vertical one-way travelt ime, \( V_n \) is the NMO velocity, and \( \eta \) is the anellipticity parameter (Alkhalifah, 1998). Substituting equation 6 into equation 5 gives (Stovas and Ursin, 2009)
\[ \mathcal{L}_N = \frac{t_0 V_h^2 \sqrt{1 + 4\eta p^2 V_h^2} - 6\eta (1 + 2\eta)p^2 V_h^2}{(1 - 2\eta p^4 V_h^2)^2 (1 - (1 + 2\eta)p^2 V_h^2)} \tag{7} \]

Equations 6 and 7 give an exact parametric equation for relative geometric spreading \( \mathcal{L}_N \) in terms of the horizontal slowness that can be measured from dynamic ray tracing.

**Anelliptic form approximation for the relative geometric spreading in a VTI model**

In VTI medium, we define the approximation for the relative geometric spreading in an anelliptic resembling that of Sripanich and Fomel (2015) by

\[ \mathcal{L}_N = h(1 - \hat{s}) + \hat{s} \sqrt{h^2 + \frac{2(\hat{q} - 1)w_1 w_3 x^2}{\hat{s}}} \tag{8} \]

where the hyperbolic term \( h = h(x) \) denotes the elliptic part of the relative geometric spreading given by

\[ h = w_1 x^2 + w_3, \tag{9} \]

with

\[ w_1 = \lim_{x \to \infty} \frac{\mathcal{L}_N}{x^2} = \frac{1}{t_0 \sqrt{1 + 2\eta}}, \]

\[ w_3 = \lim_{x \to 0} \mathcal{L}_N = t_0 V_h^2. \tag{10} \]

The functions \( \hat{q} = \hat{q}(x) \) and \( \hat{s} = \hat{s}(x) \) are defined by

\[ \hat{q} = \frac{q_1 w_1 x^2 + q_3 w_3}{h}, \]

\[ \hat{s} = \frac{s_1 w_1 x^2 + s_3 w_3}{h}, \tag{11} \]

where \( q_1, q_3, s_1, \) and \( s_3 \) are the coefficients computed from the fitting process with the exact geometric spreading form. Note that \( w_1 \) and \( w_3 \) in equation 9 have different units. If we define the hyperbolic term by \( h = w_1 x^2 + w_3 V_h^2 \), where \( V_h \) is the vertical velocity, they will have the same units. The reason why we do not use this form is that we do not have vertical velocity in our list of parameters.

The offset and the depth is shown by the relation \( x = z \tan(\theta) \), where \( z \) is the depth and \( \theta \) is the dip group angle from the vertical axis. We define a function \( r = r(\theta) \) that relates to the relative geometric spreading as

\[ r = \cos(\theta)^2 \mathcal{L}_N(x = z \tan(\theta)) \frac{z^2}{\theta^2}. \tag{12} \]

The coefficients \( q_1, q_3, s_1, \) and \( s_3 \) in equation 8 can be computed by fitting with the exact equation for \( \mathcal{L}_N \) (see equation A-3) through the second- \( (\partial^2 r/\partial \theta^2) \) and fourth-order derivatives \( \partial^4 r/\partial \theta^4 \) at \( \theta = 0^\circ \) and \( 90^\circ \), as noted by indices 1 and 3 for the horizontal and vertical axes, respectively (Figure 1). The equations for \( q_1, q_3, s_1, \) and \( s_3 \) are given in Appendix A.

The coefficients \( q_1, q_3, s_1, \) and \( s_3 \) are plotted versus anellipticity parameter \( \eta \) in Figure 2. The coefficients \( q_1 \) and \( q_3 \) are gradually increasing with \( \eta \), whereas \( s_1 \) and \( s_3 \) are almost independent of \( \eta \). When setting \( \eta = 0 \) corresponding to elliptical anisotropy, they become equivalent to each other with \( q_1 = q_3 = 1 \) and \( s_1 = s_3 = 9/13 \).

To test the accuracy of the anelliptic approximation, we use a homogeneous VTI model with parameters: \( t_0 = 1 \) s, \( V_h = 2 \) km/s, and \( \eta = 0.2 \). We show the relative error in relative geometric spreading versus normalized offset computed from our method and the approximation in generalized nonhyperbolic moveout approximation (GMA) form approximation computed from infinite offset limit (Xu et al., 2016) in Figure 3. Note that the approximations are compared with the exact parametric expression shown in equations 6 and 7 that are computed from dynamic ray tracing. One can see that comparing with GMA form approximation in a homogeneous VTI model, the anelliptic approximation is less accurate at a short offset, whereas when approaching a larger offset, it becomes more accurate since the fixed elliptical background is used. Subsequently, we introduce a...
multilayered VTI model using the parameters in Table 1 and show the relative error versus offset-depth ratio in Figure 4. The effective model parameters are computed from Dix-type equations shown in Appendix B. One can see that the errors are all increasing with \( \eta \), and the error from the anelliptic approximation is always smaller than the GMA form approximation.

**RELATIVE GEOMETRIC SPREADING IN A HOMOGENEOUS ORT MODEL**

For a homogeneous ORT model, we introduce two lateral offset projections:

\[
x = x_R - x_S, \\
y = y_R - y_S.
\]

(13)

The matrix \( M \) in equation 2 takes the form:

\[
M = \begin{pmatrix}
\frac{\partial^2 T}{\partial x^2} & \frac{\partial^2 T}{\partial x \partial y} \\
\frac{\partial^2 T}{\partial y \partial x} & \frac{\partial^2 T}{\partial y^2}
\end{pmatrix}.
\]

(14)

In the phase domain, the relative geometric spreading \( L_N \) can be given by Stovas (2017):

\[
L_N = \left( \frac{\partial x}{\partial p_x} \frac{\partial y}{\partial p_y} - \frac{\partial y}{\partial p_x} \frac{\partial x}{\partial p_y} \right)^{1/2}.
\]

(15)

To compute the geometric spreading for a homogeneous ORT model, we use exact parametric offset equations (Stovas, 2015):

\[
x(p_x, p_y) = p_x F_1^2 \frac{V_n^{2} t_0}{f_1^2 f_2^2},
\]

\[
y(p_x, p_y) = p_y F_1^2 \frac{V_n^{2} t_0}{f_1^2 f_2^2}.
\]

(16)

where \( x(p_x, p_y) \) and \( y(p_x, p_y) \) are the corresponding offset projections, and

\[
F_1 = 1 - p_x^2 V_n^{2} (2 \eta_1 - \eta_{xy}), \\
F_2 = 1 - p_y^2 V_n^{2} (2 \eta_2 - \eta_{xy}), \\
f_1 = 1 - (1 + 2 \eta_1) p_x^2 V_n^{2} - (1 + 2 \eta_2) p_y^2 V_n^{2} + \frac{(1 + 2 \eta_1)(1 + 2 \eta_2) - (2 \eta_{xy})^2}{1 + 2 \eta_3} p_x^2 p_y^2 V_n^{2} V_n^{2}, \\
f_2 = 1 - 2 \eta_1 p_x^2 V_n^{2} - 2 \eta_2 p_y^2 V_n^{2} + (4 \eta_1 \eta_2 - \eta_{xy}^2) p_x^2 p_y^2 V_n^{2} V_n^{2}.
\]

(17)

where \( V_n \) and \( V_n^{2} \) are the corresponding NMO velocities defined in \([X, Z]\) and \([Y, Z]\) planes, respectively. Anellipticity parameters \( \eta_1 \) and \( \eta_2 \) are defined in corresponding two vertical symmetry \([X, Z]\) and \([Y, Z]\) planes, respectively. Note that the definition of indices is different with the one defined in standard Tsvankin (1997) indices. The cross-term anellipticity parameter \( \eta_{xy} \) is defined as (Stovas, 2015)

\[
\eta_{xy} = \sqrt{\frac{(1 + 2 \eta_1)(1 + 2 \eta_2)}{1 + 2 \eta_3} - 1}.
\]

(18)

where anellipticity parameter \( \eta_3 \) is defined in the \([X, Y]\) plane (Vasconcelos and Tsvankin, 2006).

The relative geometric spreading for ORT medium is given by Stovas (2017)

![Figure 3. The relative error for anelliptic (solid) and GMA form (dashed) approximation for the relative geometric spreading in a homogeneous VTI medium.](image)

**Table 1. The model parameters in a multilayered VTI model.**

<table>
<thead>
<tr>
<th>Layer</th>
<th>Layer thickness (km)</th>
<th>Vertical velocity (km/s)</th>
<th>NMO velocity (km/s)</th>
<th>Anellipticity parameter</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.3</td>
<td>1.5</td>
<td>1.8</td>
<td>0.1</td>
</tr>
<tr>
<td>2</td>
<td>0.7</td>
<td>1.8</td>
<td>2</td>
<td>0.15</td>
</tr>
<tr>
<td>3</td>
<td>1</td>
<td>2</td>
<td>2.2</td>
<td>0.18</td>
</tr>
</tbody>
</table>

![Figure 4. The relative error for anelliptic (solid) and GMA form (dashed) approximation for the relative geometric spreading in a multilayered VTI medium.](image)
\[ \mathcal{L}_N = t_0 V_{n1} V_{n2} \frac{F_1 F_2}{f_0^2 F_1} \sqrt{f_m}, \]  \hspace{1cm} (19) \]

where

\[ f_m = 1 + 4 \eta_1 p_2^2 V_{n1}^2 + 4 \eta_2 p_1^2 V_{n2}^2 - 6 \eta_1 (1 + 2 \eta_1) p_2^4 V_{n1}^4 
- 6 \eta_2 (1 + 2 \eta_2) p_1^4 V_{n2}^4 
+ 2 (8 \eta_1 \eta_2 - \eta_{13} (3 + 5 \eta_{13})) p_1^2 p_3^2 V_{n1}^2 V_{n2}^2 
- 6 (1 + 2 \eta_1) (4 \eta_1 \eta_2 - \eta_{13}^2) p_1^2 p_3^4 V_{n1}^4 V_{n2}^4 
- 6 (1 + 2 \eta_2) \eta_{23} (1 + \eta_{23})^2 (4 \eta_1 \eta_2 - \eta_{13}^2) p_1^2 p_3^4 V_{n1}^4 V_{n2}^4. \]  \hspace{1cm} (20) 

**Anelliptic approximation for the relative geometric spreading in an ORT model**

In ORT medium, we define the approximation for relative geometric spreading in an anelliptic form similar to Sripnich and Fomel (2015)

\[ \mathcal{L}_{N(ORT)} = H(1 - \hat{S}) + \hat{S} \sqrt{H^2 + F}, \]  \hspace{1cm} (21) \]

with

\[ F = F(x,y) = 2 ((\hat{Q}_1 - 1) W_2 W_3 y^2 + (\hat{Q}_2 - 1) W_1 W_3 x^2 + (\hat{Q}_3 - 1) W_1 W_2), \]  \hspace{1cm} (22) \]

where the hyperbolic term \( H = H(x,y) \) denotes the elliptic part of the relative geometric spreading given by

\[ H = W_1 x^2 + W_2 y^2 + W_3, \]  \hspace{1cm} (23) \]

with

\[ W_1 = \lim_{x \to \infty, y \to \infty} \frac{\mathcal{L}_{N(ORT)}}{x^2} = \frac{(1 + \eta_{13}) V_{n2}}{t_0 (1 + 2 \eta_1)^{3/2} V_{n1}}, \]  \hspace{1cm} (24) \]

\[ W_2 = \lim_{x \to 0, y \to \infty} \frac{\mathcal{L}_{N(ORT)}}{y^2} = \frac{(1 + \eta_{13}) V_{n1}}{t_0 (1 + 2 \eta_2)^{3/2} V_{n2}}, \]  \hspace{1cm} (24) \]

\[ W_3 = \lim_{x \to 0, y \to 0} \mathcal{L}_{N(ORT)} = t_0 V_{n1} V_{n2}. \]  \hspace{1cm} (24) \]

The functions \( \hat{Q}_i = \hat{Q}_i(x,y), (i = 1, 2, 3) \) are defined as

\[ \hat{Q}_1(x,y) = \frac{Q_{13} W_2 y^2 + Q_{31} W_3}{W_2 y^2 + W_3}, \]  \hspace{1cm} (25) \]

\[ \hat{Q}_2(x,y) = \frac{Q_{13} W_1 x^2 + Q_{23} W_3}{W_1 x^2 + W_3}, \]  \hspace{1cm} (25) \]

\[ \hat{Q}_3(x,y) = \frac{Q_{13} W_1 x^2 + Q_{23} W_2 y^2}{W_1 x^2 + W_2 y^2}. \]  \hspace{1cm} (25) \]

The function \( \hat{S} = \hat{S}(x,y) \) is given by

\[ \hat{S}(x,y) = \frac{\hat{S}_1(x,y) W_1 x^2 + \hat{S}_2(x,y) W_2 y^2 + \hat{S}_3(x,y) W_3}{H}. \]  \hspace{1cm} (26) \]

where

\[ \hat{S}_1(x,y) = \frac{S_{13} W_2 y^2 + S_{12} W_3}{W_2 y^2 + W_3}, \]  \hspace{1cm} (27) \]

\[ \hat{S}_2(x,y) = \frac{S_{23} W_1 x^2 + S_{21} W_3}{W_1 x^2 + W_3}, \]  \hspace{1cm} (27) \]

\[ \hat{S}_3(x,y) = \frac{S_{32} W_1 x^2 + S_{31} W_2 y^2}{W_1 x^2 + W_2 y^2}. \]  \hspace{1cm} (27) \]

Similar to the VTI case, we define a relative geometric spreading related function by

\[ R = \frac{\cos(\theta) \mathcal{L}_N(x = z \tan(\theta) \cos(\phi), y = z \tan(\theta) \sin(\phi))}{z^2}. \]  \hspace{1cm} (28) \]

and define the dip angle \( \theta \) and the azimuth \( \phi \) in Figure 5, with the relations

\[ \theta = \arctan \left( \frac{\sqrt{x^2 + y^2}}{z} \right), \]  \hspace{1cm} (29) \]

\[ \phi = \arctan \left( \frac{y}{x} \right). \]  \hspace{1cm} (29) 

The Twelve coefficients \( Q_{ij}, (i \neq j = 1, 2, 3) \) and \( S_{ij}, (i \neq j = 1, 2, 3) \) in equations 25 and 27, respectively, are computed by fitting with the exact relative geometric spreading (see equation C-2) through the second- and fourth-order derivatives with respect to the dip and azimuth angles by
The symmetry of the anelliptic approximation

To calculate 12 coefficients \( Q_{ij}, (i \neq j = 1, 2, 3) \) and \( S_{ij}, (i \neq j = 1, 2, 3) \) required for \( Q_\chi(x, y) \) and \( S_\chi(x, y) \) given in equations 25 and 27, respectively, we focus on each individual symmetry plane separately. When we compute the coefficients in one symmetry plane, similar coefficients for other two symmetry planes can be easily computed by making corresponding changes in indices.

In the \([X, Z]\) symmetry plane, when setting \( y = 0 \), the anelliptic approximation in equation 21 is similar to the one computed for VTI model. In this symmetry plane, we need to define four coefficients: \( Q_{32}, Q_{12}, S_{32}, \) and \( S_{12} \). By taking the second- and fourth-order derivatives of \( R(\phi = 0^\circ) \) with respect to the dip angle \( \theta \) at 0° and 90°, the coefficients \( Q_{32}, Q_{12}, S_{32}, \) and \( S_{12} \) are computed, as it is shown in Appendix C.

The advantage of anelliptic approximation is its symmetric behavior in different symmetry planes. All required coefficients are computed within one plane and lead to corresponding expressions in the others. The notations for indices in coefficients \( Q_{ij}, \) and \( S_{ij} \) are shown in Figure 5, and changing of indices can be obtained by clockwise rotation of the symmetry frame, as shown in Figure 6.

When we have calculated the coefficients in the \([X, Z]\) symmetry plane, the coefficients in the \([Y, Z]\) and \([X, Y]\) symmetry planes can be easily computed using the transformation rule shown in Table 2. Note that the cross-term anellipticity parameter \( \eta^{(2,1)}_{ij} \) defined in the \([Y, Z]\) symmetry plane is the same as \( \eta^{(2,1)}_{ij} \) defined in the \([X, Z]\) symmetry plane.

### NUMERICAL EXAMPLES

To illustrate the accuracy of our anelliptic approximation, we select a homogeneous ORT model with the following parameters: \( t_0 = 1 \) s, \( V_{a1} = 2 \) km/s, \( V_{a2} = 2.2 \) km/s, \( \eta_1 = 0.1, \eta_2 = 0.12, \) and \( \eta_3 = 0.2 \).

We show the relative error from the approximation in Xu et al. (2005) (Figure 7a), indirect rational type approximation (Appendix D; Figure 7b), and our anelliptic approximation (Figure 7c). The form used in Xu et al. (2005) is from the traveltime derivation based on the rational form moveout approximation (Tsvankin and Thomsen, 1994). One can tell from the comparison that our approximation performs better accuracy, especially along the \( x \)- and \( y \)-axes, and reaches the maximal error of 0.7% approximately 45° azimuth at the normalized offset \( \hat{x} \equiv 1 \).

We define a multilayered ORT model with the parameters shown in Table 3 and show the relative error from the approximation in Xu et al. (2005) (Figure 8a), indirect rational type approximation (Appendix D; Figure 8b), and our anelliptic approximation (Figure 8c).

The effective model properties for the multilayered ORT model are computed from the Dix-type equations shown in Appendix C. The error surface of the approximation from Xu et al. (2005) and the indirect rational form approximation are more complicated, and their maximal error is larger than our anelliptic approximation. Note that the value of the anisotropy parameters in our paper is much larger than the ones obtained from the field data to make the error from the approximation more visible. In practice, the result from our approximation is more accurate because the anisotropy in practical applicability is weaker.

### DISCUSSION

For a multilayered case, the expressions for the relative geometric spreading approximation that we use are computed from the homogeneous model with the effective model parameters com-
puted from Dix-type equations (Stovas, 2015). Selecting a horizontal ray for calculation is impossible for ray tracing, which means the assumption for infinite offset limit is not valid anymore, whereas we still using the expression computed from the homogeneous case derived from the infinite offset assumption that explains the lower accuracy compared with homogeneous case. When there is azimuthal variation between the multilayered ORT model, the effective parameters with different azimuthal orientation of the layers are listed in Ravve and Koren (2017) and Koren and Ravve (2017).

Our anelliptic form resembling equations in Sripanich and Fomel (2015) are defined for the group velocity inverse for VTI and ORT models. The difference is that they define the anelliptic form for group velocity inverse first, computing the coefficients from fitting, then convert it to the traveltime approximation, whereas our anelliptic form approximation is defined for relative geometric spreading, then by using the converted relation to obtain the coefficients. The converted relation is needed for the anelliptic form traveltime and geometric spreading approximation because there is no asymptotic behavior for traveltime or geometric spreading at infinite offset that can be used for fitting.

The tricky part of our approximation is that we use the relative geometric spreading related functions \( r \) (VTI) and \( R \) (ORT) to derive the coefficients used in approximation. This function has no physical meaning but is used for a fitting technique. There is a simple relation between the function \( r \) (or \( R \)) and corresponding term \( L_N \). The form of this function is similar to the group velocity inverse in VTI and ORT models.

For example, in the VTI case, function \( r \) is very similar to \( 1/V(\theta)^2 \), where \( V \) is the group velocity and \( \theta \) is the group angle.

The traveltime and offset are given as

\[
\begin{align*}
\tau &= \frac{z}{V(\theta) \cos(\theta)}, & x &= z \tan(\theta),
\end{align*}
\]

(31)

The converted relation applied for traveltime and relative geometric spreading is shown by

\[
\frac{1}{V^2_{\text{group}}} = \frac{\cos(\theta)^2}{z^2} \tau^2(x = z \tan(\theta)),
\]

\[
r = \frac{\cos(\theta)^2}{z} L_N(x = z \tan(\theta)),
\]

(32)

where \( z \) represents the depth. We do not have the value for depth because the offset \( x \) in the geometric spreading approximation \( L_N \) is represented by \( x = z \tan(\theta) \), which cancels the depth factor \( z \) in the denominator, keeping only the variable \( \theta \) used for fitting.

The relative geometric spreading \( L_N \) is shown by the form of traveltime derivative with respect to the offset in equation 4. Substituting the traveltime form in equation 31 and taking the derivative with respect to the offset \( x \) gives

\[
\text{Table 3. The model parameters in a multilayered ORT model.}
\]

<table>
<thead>
<tr>
<th>Layer</th>
<th>( z ) (km)</th>
<th>( V_0 ) (km/s)</th>
<th>( V_{n1} ) (km/s)</th>
<th>( V_{n2} ) (km/s)</th>
<th>( \eta_1 )</th>
<th>( \eta_2 )</th>
<th>( \eta_{xy} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.25</td>
<td>1.5</td>
<td>1.65</td>
<td>1.8</td>
<td>0.05</td>
<td>0.08</td>
<td>0.2</td>
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<tr>
<td>2</td>
<td>0.75</td>
<td>1.8</td>
<td>2</td>
<td>2.2</td>
<td>0.1</td>
<td>0.1</td>
<td>0.18</td>
</tr>
<tr>
<td>3</td>
<td>1</td>
<td>2</td>
<td>2.2</td>
<td>2.15</td>
<td>0.08</td>
<td>0.12</td>
<td>0.22</td>
</tr>
</tbody>
</table>
The function $r$ can be represented in the group velocity and its derivatives with respect to the group angle

$$r = \frac{L_N \cos^2(\theta)}{z^2}$$

$$= \frac{V^2}{z} \sqrt{\frac{V}{(V - V' \cot(\theta))(2V^2 + V(V - V''))}.$$

(34)

For anelliptic form traveltime approximation (Sripanich and Fomel, 2015), $1/V^2(\theta)$ is the one used for fitting process at $\theta = 0^\circ$ and $90^\circ$. However, for our anelliptic form geometric spreading, the function $r$ (combination of group velocity and its derivatives) given in equation 34 is the one used for the fitting process, which is much more complete compared with the traveltime case ($1/V^2(\theta)$).

The beauty of the anelliptic approximation is that we use the properties only on the three symmetry planes; therefore, the behaviors in three planes are all symmetric. It is convenient to get the coefficients in other planes by proper rotation on the index after obtaining the coefficients in one symmetry plane.

For anelliptic traveltime approximation for an ORT model (Sripanich and Fomel, 2015), when we focus on one symmetry plane, the approximation converges to the one defined for a VTI model. For anelliptic relative geometric spreading approximation, the situation is different, and the approximation does not converge to the VTI counterpart (Appendix A) or any of symmetry planes due to the different number of parameters. This happens due to the mixed derivatives entering the equation for geometric spreading (equation 4). The NMO velocities $V_n$, $V_{n2}$ and cross-term anellipticity parameters are presented in all equations defined either in $[X,Z]$ or $[Y,Z]$ symmetry planes.

To reduce the relative geometric spreading from ORT to VTI cases, the following reduction in parameters is required:

$$V_{n2} = V_{n1} = V_n,$$

$$\eta_2 = \eta_1 = \eta,$$

$$\eta_{xy} = 2\eta,$$

$$\eta_3 = 0.$$

(35)

**CONCLUSION**

We propose an anelliptic form approximation for the relative geometric spreading in a homogeneous VTI and ORT media under the acoustic anisotropy assumption. All the coefficients in the approximation are calculated by fitting with the exact parametric solution within the symmetry planes. Compared with the GMA form approximation, our anelliptic approximation is more accurate for larger offset in a homogeneous VTI model. Due to symmetric behavior, the coefficients of the approximation in ORT model can be easily obtained after computing the coefficients in one symmetry plane and applying the required rotation for the other. The form of the anelliptic approximation is simpler, whereas the traveltime-based counterparts are algebraically complicated. In the numerical examples, one can see that compared with the traveltime-based approximations, our anelliptic form approximation is more accurate for homogeneous and multilayered ORT models.
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APPENDIX A

THE COEFFICIENTS q1, q3, s1, AND s3 OF THE ANELLIPIC APPROXIMATION FOR A TRANSVERSELY ISOTROPIC MEDIUM WITH A VERTICAL SYMMETRY AXIS

The function $r$ in VTI model is defined in equation (12), the derivatives of $r$ with respect to the group angle $\theta$ at zero offset are

$$\frac{\partial r}{\partial \theta} = \frac{q_1}{t_0} \theta \frac{V_r^2}{\eta};$$

$$\frac{\partial^2 r}{\partial \theta^2} = \frac{1}{t_0^2} \theta \frac{V_r^2}{\eta};$$

$$\frac{\partial^2 r}{\partial \theta^2} = \frac{-3(1+2\eta)}{t_0^2} \theta \frac{V_r^2}{\eta} + \frac{1}{t_0^2} \theta \frac{V_r^2}{\eta}.$$  (A-2)

By fitting with the exact form, the coefficients $q_1$, $q_3$, $s_1$, and $s_3$ are given by

$$q_1 = \sqrt{1 + 2\eta(1 + 8\eta + 12\eta^2)};$$

$$q_3 = \sqrt{1 + 2\eta(1 + 8\eta)},$$

$$s_1 = \frac{(1 + \eta)(9 + 4\eta(15 + 2\eta(23 + 3\eta(11 + 6)))) - \sqrt{1 + 2\eta(1 + 4\eta + 1 + 6\eta)}}{1 + 9\eta(1 + 2\eta) + 3\eta} - \frac{1}{1 + 2\eta(1 + 8\eta + 12\eta^2)};$$

$$s_3 = \frac{(1 + \eta)(9 + 4\eta(15 + 2\eta(23 + 3\eta(11 + 6)))) - \sqrt{1 + 2\eta(1 + 8\eta + 12\eta^2)}}{1 + 9\eta(1 + 2\eta) + 3\eta} - \frac{1}{1 + 2\eta(1 + 8\eta + 12\eta^2)}.$$  (A-3)

In the elliptical case ($\eta = 0$, $q_1 = q_3 = 1$, and $s_1 = s_3 = 9/13$), the function $r$ becomes

$$r = \frac{\cos(\theta)^2 t_0 V_r^2}{z^2} + \frac{\sin(\theta)^2}{t_0}.$$  (A-4)

APPENDIX B

THE EFFECTIVE MODEL PARAMETERS FOR THE MULTILAYERED TRANSVERSELY ISOTROPIC AND ORTHORHOMBIC MEDIA

The effective model parameters from the multilayered model are computed from travetime parameters (high frequency) and from upscaling (low frequency). The computation in our paper is computed from dynamic ray tracing, so the traveltimes parameters are used. The Dix-type equation is derived from the Dix (1955) inversion that is estimating the individual layer parameters from the recorded reflections on seismic seismogram for the horizontally layered medium.

To apply approximation in equation (8) computed from the homogeneous model for a multilayered VTI medium, the effective parameters by using the Dix-type equations are shown by

$$\tilde{t}_0 = \sum_{j=1}^{m} t_{0j},$$

$$\tilde{V}_n = \sqrt{\frac{\sum_{j=1}^{m} V_{n}^2 t_{0j}}{t_0}},$$

$$\tilde{\eta}_1 = \frac{1}{8} \left( \sum_{j=1}^{m} (1 + 8\eta_{1j}) V_{n1}^2 t_{0j} - 1 \right),$$

$$\tilde{\eta}_2 = \frac{1}{8} \left( \sum_{j=1}^{m} (1 + 8\eta_{2j}) V_{n2}^2 t_{0j} - 1 \right),$$

$$\tilde{\eta}_{xy} = \frac{1}{4} \left( \sum_{j=1}^{m} (1 + 4\eta_{xyj}) V_{n1}^2 V_{n2}^2 t_{0j} - 1 \right),$$  $m = 1, \ldots, 3$.  (B-3)
The exact relative geometric spreading in multilayered ORT is computed by summation for individual layers by parametric equations 15, 16, and 17 as shown below:

\[
x(p_x, p_y) = \sum_{j=1}^{m} p_x f_1^2 \frac{V_{n1}^2 f_{0j}}{f_{n1/2} f_{j/2}^3},
\]

\[
y(p_x, p_y) = \sum_{j=1}^{m} p_y f_1^2 \frac{V_{n2}^2 f_{0j}}{f_{n1/2} f_{j/2}^3},
\]

\[
\mathcal{L}_N = \sum_{j=1}^{m} l_{0j} V_{n1j} V_{n2j} f_1' f_2' \sqrt{f_m'}, \quad m = 1, \ldots, 3, \quad \text{(B-4)}
\]

where

\[
F_1' = 1 - p_x^2 V_{n1}^2 (2n_1 - \eta_{xy}),
\]

\[
F_2' = 1 - p_y^2 V_{n2}^2 (2n_2 - \eta_{xy}),
\]

\[
f_1' = 1 - (1 + 2n_1) p_x^2 V_{n1}^2 - (1 + 2n_2) p_y^2 V_{n2}^2 + (1 + 2n_1)
\]

\[
\times (1 + 2n_2) (1 + \eta_{xy})^2 p_x^2 p_y^2 V_{n1}^2 V_{n2}^2,
\]

\[
f_2' = 1 - 2n_1 p_x^2 V_{n1}^2 - 2n_2 p_y^2 V_{n2}^2 + (4n_1 \eta_{xy} - \eta_{xy}^2) p_x^2 p_y^2 V_{n1}^2 V_{n2}^2,
\]

\[
f_m' = 1 + 4n_1 p_x^2 V_{n1}^2 + 4n_2 p_y^2 V_{n2}^2 - 6n_1 (1 + 2n_1)
\]

\[
\times p_x^2 V_{n1}^2 - 6n_2 (1 + 2n_2) p_y^2 V_{n2}^2
\]

\[
+ 2(8n_1 \eta_{xy} - \eta_{xy}^3 (3 + 5n_{xy})) p_x^2 p_y^2 V_{n1}^2 V_{n2}^2,
\]

\[
- 6(1 + 2n_1) (4n_1 \eta_{xy} - \eta_{xy}^2) p_x^2 p_y^2 V_{n1}^2 V_{n2}^2 - 6(1 + 2n_2)
\]

\[
\times (4n_1 \eta_{xy} - \eta_{xy}^2) p_x^2 p_y^2 V_{n1}^2 V_{n2}^2,
\]

\[
+ 9(1 + 2n_1) (1 + 2n_2) (1 + \eta_{xy})^2 (4n_1 \eta_{xy} - \eta_{xy}^2)
\]

\[
\times p_x^2 p_y^2 V_{n1}^2 V_{n2}^2, \quad \text{(B-5)}
\]

APPENDIX C

THE COEFFICIENTS OF THE ANELLITIC APPROXIMATION FOR ORTHORHOMBIC MODEL IN [X, Z] PLANE

The coefficients of the anellitic approximation defined in the symmetry plane of the ORT model are not the same as those computed for the VTI case (Appendix A). Due to the presence of mixed derivatives in equation 14 or equation 15, all ORT model parameters are entering the equations defined in any of symmetry planes. However, with the use of the cross-term anellitic parameter \( \eta_{xy} \), the number of parameters can be reduced to five. For the [X, Z] symmetry plane, these parameters are \( V_0, V_{n1}, V_{n2}, \eta_1, \) and \( \eta_{xy} \).

To calculate the coefficients in the anellitic form approximation in the [X, Z] plane, we set \( y = 0 \) in the approximation given in equation 21. Only the [X, Z] plane coefficients \( Q_{12}, Q_{32}, S_{12}, \) and \( S_{12} \) remain in the approximation.

We introduce the relative geometric spreading related function \( R \) (\( R = \cos(\theta) \mathcal{L}_{N} / \mathcal{z}^2 \)), where \( \theta \) is the dip group angle to the vertical axis and \( \mathcal{z} \) is the depth, we get the second- and fourth-order derivatives of \( R \) with respect to \( \theta \) as follows:

\[
\frac{\partial^2 R}{\partial \theta^2 (\theta = 0)} = \frac{V_{n2}}{V_{n1} f_0} \left( \frac{(1 + \eta_{xy}) Q_{32} - \eta_{xy}^2}{(1 + 2n_1)^{3/2}} \right),
\]

\[
\frac{\partial^2 R}{\partial \theta^2 (\theta = 0)} = 6 \left( \frac{V_{n2}}{V_{n1} f_0} \right)^2 \left( \frac{(Q_{32} - 1)^{2} \eta_{xy} + 2S_{32} (1 + 2n_1)^{3/2} V_{n1}^4}{(1 + 2n_1)^2 (1 + \eta_{xy}) Q_{32} f_0 V_{n1}^2} \right),
\]

\[
\frac{\partial^2 R}{\partial \theta^2 (\theta = \pi/2)} = \frac{V_{n2}}{V_{n1} f_0} \left( \frac{(1 + \eta_{xy}) Q_{12}}{z^2} \right),
\]

\[
\frac{\partial^4 R}{\partial \theta^4 (\theta = \pi/2)} = 6 \left( \frac{V_{n2}}{V_{n1} f_0} \right)^2 \left( \frac{(Q_{12} - 2(1 + Q_{32}) S_{12} + 2Q_{12} (2S_{12} - 1)) f_0 V_{n1}^4}{(1 + 2n_1)^{3/2} (1 + \eta_{xy}) Q_{12} S_{12} f_0 V_{n1}^2 z^2} + 2(1 + \eta_{xy})^2 S_{12}^2 z^2 \right), \quad \text{(C-1)}
\]

By fitting with the exact form, the coefficients: \( Q_{12}, Q_{32}, S_{12}, \) and \( S_{12} \) are given as

\[
Q_{12} = \sqrt{1 + 2n_1 (1 + 8n_1 + 6n_1 \eta_{xy})},
\]

\[
Q_{32} = \frac{(1 + 2n_1)^{3/2} (1 + 6n_1 + \eta_{xy})}{1 + \eta_{xy}},
\]

\[
S_{12} = e_1 + \sqrt{1 + 2n_1 e_2},
\]

\[
e_3 + \sqrt{1 + 2n_1 e_4},
\]

\[
s_{12} = f_1 + \sqrt{1 + 2n_1 f_2},
\]

\[
f_3 + \sqrt{1 + 2n_1 f_4}, \quad \text{(C-2)}
\]

where

\[
e_1 = (1 + \eta_{xy})(1 + \eta_{xy} (9 + 6n_3 + 2n_1 (4 + 3n_3))
\]

\[
\times (6 + 8n_1 + 3n_3 + 6n_3 \eta_{xy})),
\]

\[
e_2 = -(1 + \eta_{xy})(1 + \eta_{xy} (8 + 6n_3)),
\]

\[
e_3 = (1 + \eta_{xy})(1 + 9n_1 (1 + 6n_1 + 8n_3^2)) (1 + \eta_{xy})^2),
\]

\[
e_4 = -1 - \eta_{xy} + 2n_1 (-4 + 6n_1 - \eta_{xy} (13 + 6n_3)),
\]

\[
f_1 = 144n_3^2 + (1 + \eta_{xy})^2 + \eta_{xy} (1 + \eta_{xy}) (3 + \eta_{xy}) + 24n_3^2 (11 + 2n_3)
\]

\[
+ 6n_3^2 (10 + \eta_{xy} (8 + \eta_{xy})) + 4n_3^2 (46 + \eta_{xy} (20 + \eta_{xy})),
\]

\[
f_2 = -(1 + 2n_1)(1 + \eta_{xy}) (1 + 6n_1 + \eta_{xy}),
\]

\[
f_3 = 9n_1 (1 + 2n_1)^3 (1 + \eta_{xy}) + (1 + \eta_{xy})^2,
\]

\[
f_4 = -(1 + \eta_{xy}) (1 + \eta_{xy} + 2n_1 (4 + 12n_1 - \eta_{xy} (5 + 3n_3))), \quad \text{(C-3)}
\]

By setting \( \eta_1 = \eta \) and \( \eta_{xy} = 2 \eta \), the coefficients defined in equation C-2 become equivalent to those defined in equation A-3 for VTI model, \( \eta_1 = q_1, \) \( Q_{32} = q_3, \) \( S_{12} = s_1, \) and \( S_{12} = s_3. \)

Due to the symmetric behavior in the symmetry plane in ORT model, the other coefficients in the approximation can be easily obtained by corresponding changes in indices from the computed the
coefficients in one symmetry plane (see the transformation form in Table 2). The coefficients ($Q_{21}$, $Q_{13}$, $S_{21}$, and $S_{13}$) defined in the $[Y, Z]$ plane and the coefficients ($Q_{23}$, $Q_{11}$, $S_{23}$, and $S_{11}$) defined in the $[X, Y]$ plane are obtained from the $[X, Z]$ plane coefficients ($Q_{22}$, $Q_{12}$, $S_{22}$, and $S_{12}$) by setting ($n_1 \rightarrow n_2$) and ($n_1 \rightarrow n_3$, $n_{xy} \rightarrow n_{yz}$), respectively. Note that $n_{xy} \equiv n_{23} = n_{13}$.

**APPENDIX D**

**THE INDIRECT RATIONAL FORM APPROXIMATION FOR RELATIVE GEOMETRIC SPREADING IN AN ORT MODEL**

A rational form similar to the Vasconcelos and Tsvankin (2006) approximation for the traveltimes in ORT model is defined by

$$T_{RA}^2 = A_{00} + A_{20} x^2 + A_{02} y^2 + \frac{A_{40} x^4 + A_{22} x^2 y^2 + A_{04} y^4}{1 + (B_{20} x^2 + B_{02} y^2)},$$

(D-1)

where the coefficients $A_{00}$, $A_{20}$, $A_{02}$, $A_{40}$, $A_{22}$, and $A_{04}$ computed from the Taylor series at zero offset are given by

$$A_0 = \frac{1}{t_0^2}, \quad A_{20} = \frac{1}{V_{n1}^2}, \quad A_{02} = \frac{1}{V_{n2}^2},$$

$$A_{40} = -2 \eta_1, \quad A_{22} = \frac{-2 \eta_2}{V_{n1}^2}, \quad A_{04} = \frac{-2 \eta_3}{V_{n2}^2}, \quad A_{22} = -2 \eta_{xy}.$$  

(D-2)

The remaining coefficients $B_{20}$ and $B_{02}$ are computed by the infinite offset limit shown as

$$B_{20} = \frac{1 + 2 \eta_1}{t_0^2 V_{n1}^2}, \quad B_{02} = \frac{1 + 2 \eta_2}{t_0^2 V_{n2}^2}.$$  

(D-3)

The indirect (traveltimes-based) rational form approximation for relative geometric spreading is given by the derivatives of traveltimes approximation in equation D-1 with respect to the offsets given by

$$L_N = \left( \frac{\partial^2 T_{RA}}{\partial x^2} \frac{\partial^2 T_{RA}}{\partial y^2} - \left( \frac{\partial^2 T_{RA}}{\partial x \partial y} \right)^2 \right)^{-1/2}.  

(D-4)

Note that the indirect rational form approximation in equation D-4 is algebraically complicated due to the second-order derivatives.

**REFERENCES**


