# Correlating oriented grain number density of recrystallisation in particle-containing aluminium alloys

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Abstract: The recrystallized grain structure of Al-(Mn)-Fe-Si alloys after isothermal annealing was studied by electron backscatter diffraction technique. Statistical correlation suggests that the frequency of forming P-oriented ( $\{011\} < 566 >$ ) grains at a particle larger than the critical diameter (~1.1 µm) is ~ 2% when the effect of fine dispersoids and concurrent precipitation is negligible. The overall grain number density was correlated linearly with the number densities of P and Cube ( $\{001\} < 100 >$ ) grains. The grain number densities of typical orientations (P, ND-rotated cube  $\{001\} < 310 >$ , Cube) and the overall recrystallized grains increased as rolling strain increased, following a similar exponential law.

Keywords: recrystallisation texture; aluminium alloys; particle-stimulated nucleation; EBSD

#### 1. Introduction

Aluminium is the metallic material of choice for light-weight applications. Industrial aluminium alloys usually contain second-phase particles which are formed during casting and heat treatments and induce inhomogeneity of deformation in the matrix.

For instance, deformation zones are formed around large particles, which may act as nucleation sites of recrystallisation due to high stored energy during back annealing of deformed samples [1]. This mechanism is known as particle simulated nucleation (PSN) [2]. By contrast, fine particles affect deformation [3], induce Zener drag effect on boundary migration and retard recrystallization [4,5]. Thus, particle size and their distribution affect recrystallization structure and texture. Different recrystallisation texture components are formed by various mechanisms [6]. For instance, it is widely accepted that Cube component  $\{001\} \langle 100 \rangle$  is formed at cube (transition) bands by strain induced boundary migration (SIBM) [2,7]. P component is commonly observed in Al-Mn alloys with considerable precipitation [8–11]. Low heating rates promote P texture, when precipitation of dispersoids occurs prior to or concurrently with recrystallization [12]. Recently, P-oriented grains are found in commercially pure aluminium as well [13,14], and P component is usually very weak due to its low number fraction and grain size [14]. Simulations and experimental characterization suggest that P-oriented grains originate within deformation zones and are promoted by increasing rolling strain [12,15].

The activity of various recrystallisation mechanisms is influenced by microchemistry, deformation strains, and annealing treatments etc. [2,4,6,16]. Modelling of recrystallisation texture is an important aspect of alloy and process optimizing in industry, and many efforts have been made to simulate recrystallisation texture [17–20]. However, we still lack a simple relationship to estimate the recrystallisation activity of different texture components, especially the contribution of PSN [17]. The present work aims to identify the correlation of some important recrystallisation texture components with coarse particle distribution and rolling strain in aluminium alloys, and to document the possibility of forming P-oriented grains at a particle, providing a practical relation to estimate the activity of PSN from the particle size distribution.

## 2. Experimental

Three aluminium model alloys were provided by Hydro aluminium, labelled as B2, C1 and C2. Their chemical compositions are shown in Table 1. The raw materials were direct chill (DC) cast billets with a diameter of ~23 cm. Homogenization was performed in an air-circulation furnace with a heating rate of 50 °C/h. C1 was given two different treatments besides the as-cast condition. The homogenization treatments for each sample alloys are listed in Table 1, followed by water quenching. The long-time homogenization of B2 is designed to achieve an equilibrium level of solutes in solid solution. Following the different homogenization treatments, all the sample alloys were cold rolled to 1.5 mm to achieve a thickness reduction of 95%, corresponding to a strain of  $\varepsilon$ =3. C1-0 slabs of varied thickness (30, 7.5 and 3 mm) were cut from the cast billet, and were rolled to final thickness 1.5 mm, achieving the reductions of 95%, 80% and 50%, respectively. Flash annealing of the rolled sheets was performed in a pre-heated salt bath at temperatures of 300-500 °C, respectively (heating rate in the order of 100 °C/s). The annealing time was selected to be long enough to finish recrystallisation and to avoid further grain growth, according to the softening curves measured by hardness tests (readers can be referred to Ref. [14,21]). Electrical conductivity, used as an indication of solid solute content and amount of precipitation, was measured by using a Foerster Sigmatest 2.069 at room temperature. The microstructure was characterized by using backscatter electron imaging in a Zeiss Ultra 55 field emission scanning electron microscope with an EBSD detector. The orientation analysis of recrystallised samples was performed using EDAX's OIM<sup>TM</sup> software.

Table 1 The nominal chemical composition of the sample alloys (wt%), homogenization treatments, electrical conductivity (EC, MSm<sup>-1</sup>), the constants A (×10<sup>4</sup>mm<sup>-2</sup>) and k (µm<sup>-1</sup>) in equation (1).

	Mn	Fe	Si	homogenization	EC	Α	k
B2	0	0.49	0.14	600°C for 24h, slowly cooled (in 50h)	34.6	1.69	1.53
				to 450°C for 34h			
C1-0	0.39	0.53	0.15	as-cast	24.1	6.99	2.13
C1-2	the same as C1-0			450°C for 4h	27.5	1.40	0.83
C1-3	the same as C1-0			600°C for 4h, cooled by 25 K/h to	29.0	3.43	1.89
				500°C for 4h			
C2	0.97	0.50	0.15	600°C for 24h	22.4	3.24	1.21

## 3. Results and discussion

## 3.1 Microstructure prior to back annealing

The constituent particles and dispersoids in the experimental materials have been characterised in ref. [14,21] and a brief introduction is presented here. During homogenization, a relatively high density of fine dispersoids (54 nm in diameter, number density ~ $1.3 \times 10^6$  mm<sup>-2</sup>) was formed in C1-2. The density of dispersoids was lower (~ $5.5 \times 10^4$  mm<sup>-2</sup>, 127 nm in diameter) in C1-3, and lowest (~ $1.2 \times 10^4$  mm<sup>-2</sup>, 150 nm in diameter) in C2. The diameter and number density of constituent particles after homogenization were measured from SEM observations. The cumulative distribution of constituent particles which diameter is larger than *d*, *N*(*d*), can be described by the following equation [17]:

$$N(d) = A \cdot \exp(-k \cdot d). \tag{1}$$

Here A and k are constants which are estimated by fitting the experimental measurements to equation (1). The fitting values are listed in Table 1. The morphology of constituent particles is complex, particularly the Si-containing particles, which could be rounded or branched, as demonstrated in [22]. Branched constituent particles are particularly prone to break during rolling. Fig. 1 exemplifies by sample B2 that cold rolling did not evidently change the size distribution of constituent particles, although the number density of very large particles was reduced. The morphology and distribution of constituent particles after rolling for the different

alloy conditions are demonstrated in Fig. 2. Clusters of particles are observed in C1-0, which are observed in C1-2 and C1-3 as well. The shape of the constituent particles in C2 is more rounded due to coarsening during homogenization at high temperature. A typical  $\beta$ -fibre rolling texture was formed after cold rolling to reduction of 95%.

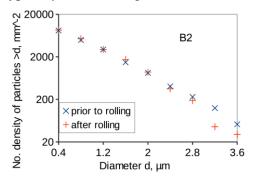


Fig. 1 The cumulative distribution of constituent particles in B2 before and after rolling.

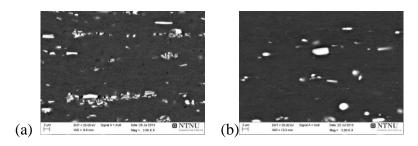


Fig. 2 The distribution of constituent particles in 95% rolled C1-0 (a) and C2 (b).

#### 3.2 The grain number densities of various orientations in recrystallised samples

After homogenization, the sample alloys have different solute levels in solid solution, as indicated by the measured electrical conductivity (Table 1). A low value of electrical conductivity indicates that a high level of solutes was retained in solid solution, e.g. in C1-0 and C2. It led to precipitation which would affect recrystallisation at low temperatures, and then coarse grain structure and strong texture (P and ND-rotated cube) were formed [14]. Annealed at high temperatures, recrystallisation occurs prior to precipitation, and recrystallisation is not affected by precipitates [14]. Thus, the C1-0 and C2 samples are sensitive to annealing temperature due to their considerable supersaturation, and high annealing temperatures (400 and 450 °C for C2, 500 °C for C1-0) were selected to limit the influence of precipitation. C1-3 and B2 are insensitive because of low solute contents,

and annealed at 300, 400 and 500 °C. C1-2 were annealed at 400 and 500 °C. An example of recrystallisation texture of C2 is demonstrated in Fig. 3, showing evident Cube and R components.

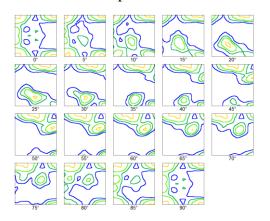


Fig. 3 Recrystallisation texture of C2 annealed at 773 K for 5s (levels: 1-1.5-2-3, max 3.3).

The present work tries to identify an empirical relationship between special grain orientations and constituent particles. The grain number densities of P, ND-rotated Cube (NDcube) and Cube orientations in C1-2 and C1-3 were characterised in the present work, while those in C1-0, C2 and B2 have been reported in [14]. The definitions of the Euler angles are (59.5, 45, 0) for P, (20, 0, 0) for NDcube and (0, 0, 0) for Cube. The deviation angle is defined as 10°. The orientation analysis is based on EBSD scans covering thousands of grains. Particle stimulated nucleation (PSN) is generally accepted as a main nucleation mechanism for aluminium containing large particles. The critical radius of nuclei to grow outside deformation zones can be related to the particle diameter [1]. Then the density of PSN sites is given by [17]

$$N_{\rm PSN} = C_{\rm PSN} \cdot N(d_{\rm c}) \tag{2}$$

 $C_{PSN}$  is a constant determining the average number of nuclei formed at each particle larger than the critical diameter. The P orientation is a typical component related to PSN. Likewise, the density of nucleation sites for P orientation becomes:

$$N_{\rm P} = C_{\rm P} \cdot N(d_{\rm c}) \tag{3}$$

 $C_P$  is a constant indicating the frequency of P-oriented grains nucleating at particles larger than the critical diameter  $d_c$ . Fitting Eq. (3) correlates the grain number density and the particle size distribution. The best fitting is plotted in Fig. 4. The fitted values

of  $d_c$  and  $C_P$  are 1.1 µm and 0.02, respectively. It is reported that the critical diameter for PSN is above 1 µm [1], which is similar to the fitted value in the present work. Schaefer et al [12] characterized orientation distribution in deformation zones by EBSD, and reported that the possibility of finding P orientation in deformation zones was 39% after cold rolling of 70%, and the possibility should be higher as the rolling reduction increases. However, only a small fraction of P-oriented subgrains which are larger than the critical diameter can grow as nuclei of recrystallisation. The small value of  $C_P$  suggests that the possibility of forming a P-oriented grain at a constituent particle is very small, only ~2%. Clusters of particles are more efficient as nucleation sites than isolated particles, particularly for small particles, and the morphology of particles may matter as well. However, so far there is no proper method to quantitatively describe the effects of clustering and morphology. Thus, the estimation in the present work gives a statistical view of PSN, and should be useful for modelling recrystallisation.

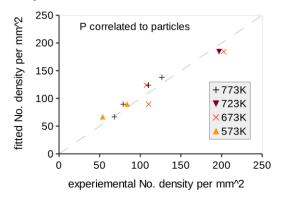


Fig. 4 Correlating the number density (mm<sup>-2</sup>) of P-oriented grains to the size distribution of constituent particles according to equation (3).

NDcube component is evident together with P texture in alloys annealed at low temperatures when concurrent precipitation occurs. NDcube is usually also considered to be related to large particles [9]. However, the location of NDcube in ODF is very close to Cube. It is possible that a fraction of NDcube nuclei is formed similarly to Cube grains. Here we try to correlate the grain number density of NDcube to those of Cube and P-oriented grains in a linear relationship as:

$$N_{\text{NDcube}} = f_{\text{Cube}} \cdot N_{\text{Cube}} + f_{\text{P}} \cdot N_{\text{P}}$$
(4)

The sample alloys annealed at 673-773K (400-500 °C) are used for fitting, and the results are shown in Fig. 5. The C1-0/2/3 samples fit very well, while the sample B2 and C2 deviate more. The fitted values of  $f_{\text{Cube}}$  and  $f_{\text{P}}$  are 0.63 and 0.84, respectively. It seems that a linear correlation is a good approximation. The NDcube grains likely nucleate both by strain induced boundary migration (SIBM) similarly to Cube orientation and by PSN similarly to P orientation.

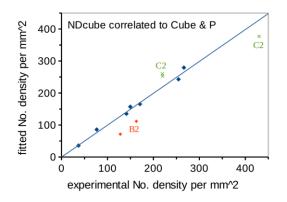


Fig. 5 Correlating the number density (mm<sup>-2</sup>) of NDcube-oriented grains with the those of Cube- and P-oriented grains according to equation (4).

Recrystallization nucleation is mainly attributed to SIBM and PSN mechanisms. Cube texture is usually formed by SIBM. Meanwhile, P is a typical orientation of PSN, and it is reasonable to assume that the fraction of P-oriented grains originating from PSN grains is almost constant under conditions of certain rolling strain when Zener drag effect is absent, regardless of annealing temperature and solute content. Then the density of PSN is proportional to the density of P-oriented grains. The number density of all grains can be correlated linearly to the densities of Cube and P-oriented grains as:

$$N_{\rm All} = \alpha_{\rm Cube} \cdot N_{\rm Cube} + \alpha_{\rm P} \cdot N_{\rm P} \tag{5}$$

The fitted values of  $\alpha_{\text{Cube}}$  and  $\alpha_{\text{P}}$  in equation are 7.2 and 67.7 respectively, and the results are shown in Fig. 6. The large value of  $\alpha_{\text{P}}$  suggests that PSN dominates the nucleation of recrystallisation. Fitting C1-2 deviates most from the trend line, caused by Zener drag effect induced by pre-existing dispersoids. The value of  $\alpha_{\text{P}}$  is almost ten times larger than  $\alpha_{\text{Cube}}$ , and hence the overestimation for C1-2 is probably caused by

the overestimation of  $\alpha_P$ , implying that pre-existing dispersoids has a stronger effect on PSN than Cube. This is consistent with previous investigations showing that Cube-oriented subgrains are less affected by fine dispersoids due to their size advantage [23].

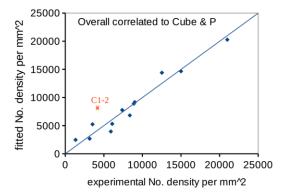


Fig. 6 Correlation of the overall grain number density (mm<sup>-2</sup>) to those of Cube and P grains according to equation (5).

## 3.3 The effect of rolling strain on the number density of recrystallised grains

Higher rolling strains induce more stored energy, reducing the critical radius for recrystallisation nucleation. Thus, the number densities of recrystallised grains increase with increased rolling strain. Simulations [15] suggest that the intensity of ND-rotated Cube in rolling texture increased with strain, indicating that more potential nucleation sites of ND-rotated Cube are formed in deformation zones. It is also reported that the possibility of finding P orientation in deformation zones increased from 27% to 39% as the rolling reduction is raised from 50% to 70%, while the possibility of finding NDcube decreased from 36% to 26% [12]. Fig. 7 illustrates the effect of rolling strain on the grain number density of various orientations in C1-0. Recrystallisation finished within 5s at 500 °C in the C1-0 alloy rolled 50%-95%, and hence the effect of concurrent precipitation is negligible compared to annealing at lower temperatures. The results shown in Fig. 7 confirm that the higher rolling strain increases the nucleation density of recrystallisation, and special orientations such as P and NDcube follow a similar trend as Cube and other orientations. For instance, the number fraction of NDcube-oriented grains is constant, ~1.6%, for C1-0 rolled 50%-95%. Fig. 7 shows that the number fractions of P and NDcube grains are not influenced evidently by rolling strain in the range of 0.7-3 when the effect of precipitation is absent, although the number density increases with strain. Thus, the fitted equations obtained in section 3.2 could be used for lower rolling strains if a scaling parameter is induced as a function of rolling strains, i.e.

$$N_{\rm ro} = A_{\rm ro} \cdot \exp(k_{\rm ro}\varepsilon) \tag{6}$$

 $N_{\rm ro}$  is the grain number density of special orientations (P, NDcube, Cube) or the overall grains.  $A_{\rm ro}$  and  $k_{\rm ro}$  are constants. The fitting values of  $k_{\rm ro}$  are similar, ~0.7 for the overall, and the grains of P, NDcube and Cube orientations. It indicates that the recrystallisation nucleation of special orientations follows a similar law as other orientations, when the effect of precipitation is negligible.

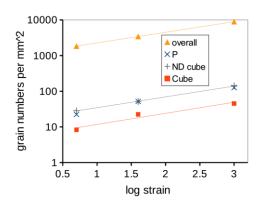


Fig. 7 Numbers of grains per area (mm<sup>-2</sup>) in C1-0 annealed at 500 °C for 5 s after various rolling strains (rolling reductions: 50%, 80% and 95%).

## 4. Conclusions

The grain number densities of P, ND-rotated cube and cube orientations have been correlated in Al-(Mn)-Fe-Si alloys annealed at temperatures of 573-773K (300-500 °C) as long as concurrent precipitation is negligible.

1) Statistical correlation suggests that the frequency of forming P grains at a particle larger than a critical diameter (~1.1  $\mu$ m) is ~ 2%. ND-rotated Cube grains are likely formed by both strain induced boundary migration (SIBM) and particle simulated nucleation (PSN), and their number density can be correlated linearly to those of Cube and P grains.

2) The overall grain number density can be linearly correlated to the number densities

of Cube and P grains, which is helpful for modelling recrystallisation texture.

3) The number density of recrystallized grains is found to increase with the rolling strain following an exponential law. The number fractions of P and NDcube grains are insensitive to rolling strain when the effect of precipitation is absent.

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