

# A holistic approach to assess the exploitation of renewable energy sources for design interventions in the early design phases

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## Abstract

This study presents a holistic approach applied to assess the exploitation of renewable energy sources for design interventions in the early design phases in a consolidated urban environment. With reference to the cooling season, the approach implies set of environmental analyses focused on twofold assessments: (i) the use of renewable energies sources for active and passive strategies, (ii) the impact on outdoor thermal comfort of the technological solutions and cool materials installed on the buildings' facades. From the definition of the local boundary conditions, preliminary climate analyses were conducted with dynamic simulation tools such as *Ladybug* and *DIVA-for-Rhino*, while numerical and computational fluid dynamics models, such as *ENVI-met* and *OpenFOAM*, were used to carry out microclimate and wind flow analyses. The approach is tested on two existing residential building blocks in a case study district in Bolzano (Italy). The assessment of several design interventions and building technological solutions have been studied: from the (i) addition of one story volume on the existing buildings, to the (ii) creation of new green areas, and the (iii) installation of building integrated photovoltaic (BIPV), vertical greenery and double skin façade (DSF) systems on the building's facades. The results, divided in practice and policy implications, demonstrate that preliminary analyses play a relevant role to assess the exploitation of renewable energy sources to optimize the use of urban and building surfaces since the early design phases. High-albedo materials on the facades can totally counterbalance the loss of solar potential due to the overshadowing effect of the additional story. The combination of cool materials (e.g., white reflective plaster) and the increment of the buildings' height could reduce about 1°C the thermal discomfort registered during the high thermal peak during the day. Solar and urban airflow analyses allow to optimize the integration of BIPV in a DSF system; while the installation of green façade can reduce the air temperature locally up to about 0.5°C.

**Keywords:** *Renewable Energy Sources, Design interventions; Solar potential; Urban Airflow; Microclimate;*

## Nomenclature

|                   |  |
|-------------------|--|
| BIPV              | building integrated photovoltaic         |
| CFD               | computational fluid dynamics             |
| DHW               | domestic hot water                       |
| DSF               | double skin façade                       |
| H                 | mean height of buildings [m]             |
| RH                | relative humidity [%]                    |
| Irr <sub>sw</sub> | short-wave radiation [W/m <sup>2</sup> ] |

|    |                    |   |
|----|--------------------|---|
| 37 | Irr <sub>LW</sub>  | long-wave radiation [W/m <sup>2</sup> ]                         |
| 38 | Irr <sub>gl</sub>  | average annual global solar radiation [kW h/(m <sup>2</sup> a)] |
| 39 | LAI                | leaf area index   |
| 40 | LAD                | leaf area density   |
| 41 | MBE                | Mean Bias Errors  |
| 42 | PET                | Physiological Equivalent Temperature                            |
| 43 | PV                 | photovoltaic  |
| 44 | RES                | renewable energy sources  |
| 45 | Rel <sub>err</sub> | Relative error [%]  |
| 46 | RMSE               | Root Mean Square Errors   |
| 47 | ST                 | solar thermal   |
| 48 | T <sub>air</sub>   | air temperature [°C]  |
| 49 | T <sub>mrt</sub>   | mean radiant temperature [°C]                                   |
| 50 | T <sub>s</sub>     | surface temperature [°C]  |
| 51 | W                  | mean width of a street [m]                                      |
| 52 | W <sub>s</sub>     | wind speed [m/s]  |

## 53 1. Introduction

54 In the last decades, a rapid expansion of existing urban areas has been registered worldwide, and the trend will  
55 become further intense as a response of population growth [1]. According to the United Nations, the current world  
56 population of 7.3 billion is expected to reach 8.5 billion by 2030, 9.7 billion in 2050 and 11.2 billion in 2100 [2];  
57 two thirds of the population will live in urbanized areas by 2050 [3]. Many natural areas are converted to modern  
58 land uses such as buildings, roads and other impervious surfaces, increasing the complexity of urban landscapes.  
59 The physical interaction between urban morphology and the surrounding environment is strongly influenced by  
60 the geometry (i.e. orientations, height of the buildings and width of the street etc.) and the physical properties of  
61 the urban environment (i.e. streets, facades, roofs, etc.) [4]. Particularly, the features of these surfaces affect active  
62 and passive design strategies through exploiting the use of renewable energies sources (RES), the overall energy  
63 efficiency of buildings and the outdoor microclimatic conditions in urban areas. Therefore, the optimization of the  
64 use of urban surfaces is becoming crucial. This process should be performed in the early design phases considering  
65 the interaction with the built environment and the climate boundary conditions [5,6]. For example, the wind pattern  
66 can be potentially exploited for outdoor natural ventilation with direct (i.e. activation of urban airflows, cross  
67 ventilation in inner spaces and double skin façade (DSF) systems) and indirect (i.e. activation of wind vortex,  
68 decrement of outdoor surface temperature and humidity etc.) impacts at building and district scales [7].  
69 Furthermore, the exposure and solar accessibility of the buildings' surfaces can be optimized to exploit the  
70 potential of the incoming solar radiation (i.e. direct, diffuse and reflected) [8,9]. Nevertheless, there is still a lack  
71 of knowledge on the best procedures to carry on this optimization, and, in particular, it is missing a holistic  
72 approach to conduct environmental and climate analyses and to transfer the outputs in design interventions.

### 73 1.1. *The advent and development of dynamic climate tools*

74 The assessment of performance for active and passive bioclimatic strategies can be more easily achieved during  
75 the early design phase of a design intervention. This can be done by combining numerical models capable of

76 representing the geometrical complexity of actual urban configurations and the physical interactions among groups  
77 of buildings [10]. In this regard, the urban physical models, which started to be developed at the beginning of the  
78 80's, were mostly focused only on one specific aspect of the urban climate and interaction at a time [11] due to  
79 the limited computational resources and the complexity of urban physics phenomena at district scale. Recently,  
80 the enhancement of available computational power has allowed the development of models able to reproduce and  
81 analyze almost the entire complexity of the urban physical phenomena (i.e. solar radiation, wind flows etc.) and  
82 the interactions inside the urban domain (i.e. urban canyon, group of buildings, districts etc.) by taking into account  
83 radiative behaviors, the complexity of district geometry, artificial and natural surfaces, and the physical properties  
84 of materials [11–14]. Given the advances in numerical models capabilities, one of the main concerns becomes to  
85 determine the physical interactions between the urban morphology and the surrounding environment, which  
86 strongly influence the microclimatic conditions in urban spaces [4]. This is a relevant factor to be considered in  
87 the optimization of urban surfaces (i.e. land, façades and roofs) to increase the overall energy efficiency of the  
88 district, produce energy from RES, and improve the outdoor thermal comfort in cities [15,16]. Aligned to this and  
89 compliant with the Energy Roadmap 2050 [17], a holistic approach would create win-win solutions by finding the  
90 best configurations to meet the European targets of sustainability for new and existing buildings and  
91 neighborhoods. In this scenario, where achieving energy-efficient and self-sustainable built environments is  
92 becoming more and more significant [18,19], it should become a common practice among urban planners and  
93 decision makers to explore the potential of RES to foster sustainable strategies at building and urban level  
94 throughout the whole design process from the early to the more detailed design phases.

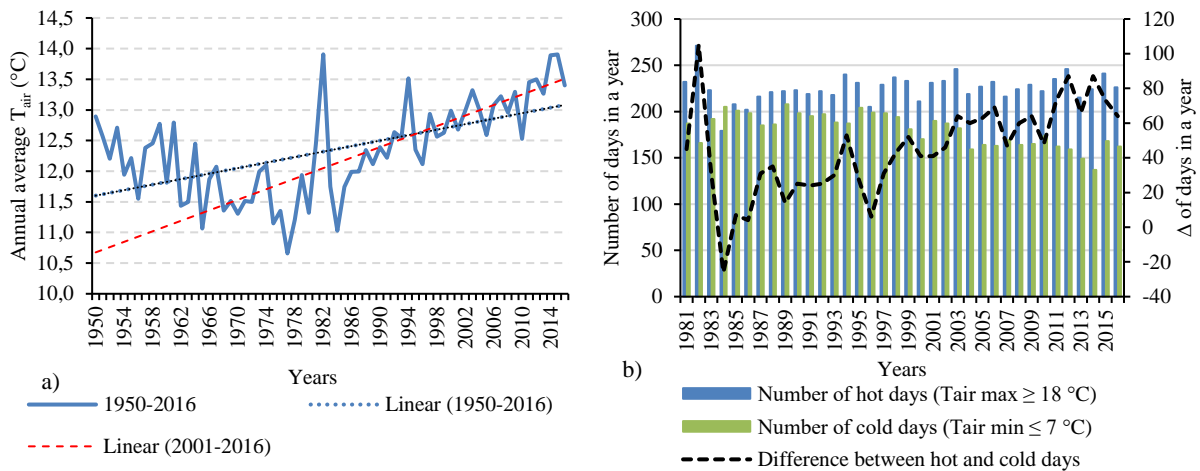
95 This study aims to present such holistic approach applied in the early design phases on an urban case study in  
96 Bolzano (Italy). Sets of environmental analyses (i.e. solar energy and solar potential, wind flow, microclimate,  
97 etc.) have been conducted to study the mutual interactions among the different urban surfaces (i.e. buildings'  
98 facades, vegetation elements, soils and roads, etc.), to optimize the use of RES to assess passive and active  
99 bioclimatic strategies, and transfer the obtained results in design interventions and related technological solutions  
100 (i.e. high albedo materials, solar integrated systems (BIPV), green façade, DSF etc.) [5].

## 101 **2. Background**

### 102 *2.1. Geography and climate of Bolzano*

103 The city of Bolzano (UTM 46°29'53.8" N, 11°21'17.1" E) is situated in the North-East of Italy, in the center of  
104 the South-Eastern Alps in the administrative region of South Tyrol. Bolzano is located at a height of 265 m above  
105 the sea level in a basin surrounded by four mountain ranges. The significant height of the surrounding mountains  
106 impedes balancing currents and moisture. As a result, the city is characterized by a moist continental climate, Dfb  
107 according to the Köppen-Geiger classification [20], with strong seasonal fluctuations. Due to its location and  
108 climate characteristics, Bolzano is often affected by the presence of high temperature and extreme events such as  
109 heat waves during summer [21], and it is ranked among the hottest Italian cities with (dry-bulb) air temperatures  
110 ( $T_{\text{air}}$ ) exceeding frequently 35 °C, with maximum peaks that reach 40 °C [22]. The analysis of the historical data  
111 series from 1950 to 2016 [22] shows an increase in the mean annual  $T_{\text{air}}$  of more than 3 °C (from 10.7 °C in 1977  
112 to 13.9 °C in 2015) (Figure 1a) with the only exception in 1982, which resulted the hottest year in the series with  
113 the highest average annual  $T_{\text{air}}$  (13.9 °C). This maximum value was reached again in 2015. The analyzed trend is  
114 confirmed by a previous study conducted in the South Tyrol area [21], in which it was observed an increment of  
115 the annual average  $T_{\text{air}}$  by 1.5 °C in the last 30 years. The trend line (dotted line in Figure 1a) demonstrates that

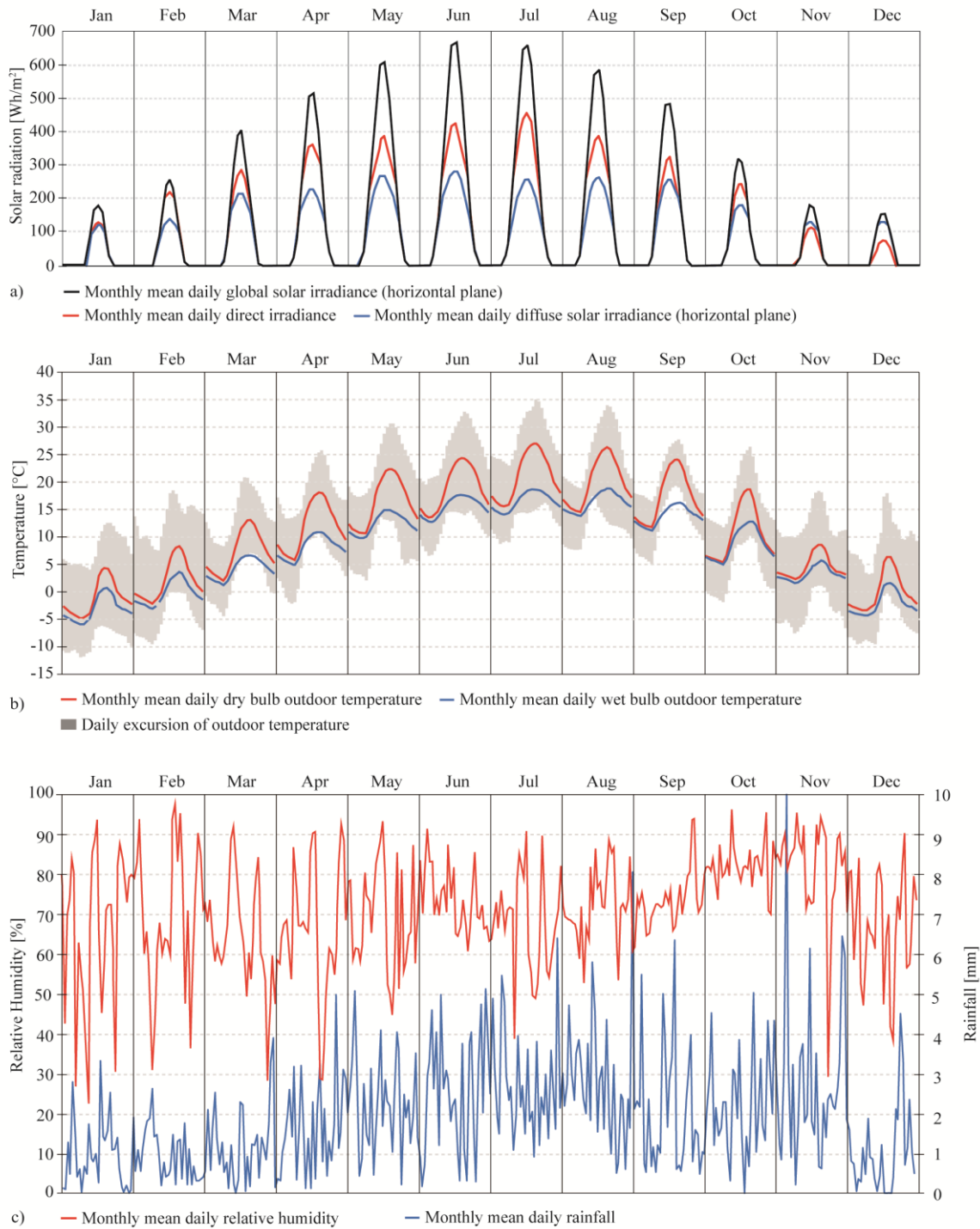
116 the temperature has constantly risen from 1950 to 2016. In the last 35 years (from 1981 to 2016) the increment is  
 117 quite doubled, while looking at the trend line (dashed in Figure 1a) of the last 15 years (from 2001 to 2016) the  
 118 increment is even higher.



119 Figure 1 – (a) Annual average air temperature in Bolzano for the period 1950-2016. The dotted line represents the trend of the period 1950-  
 120 2016; the dashed one is the trend line of the last 16 years, period 2001-2016. (b) Number of cold days ( $T_{air\ min} \leq 7\ ^\circ C$ ), hot days ( $T_{air\ max} \geq 18\ ^\circ C$ ) and the difference between the number of hot and cold days calculated considering the daily data of maximum and minimum  $T_{air}$  in the  
 121 last 35 years (i.e. period 1981-2016).  
 122

123 The daily values of maximum and minimum  $T_{air}$  in the last 35 years (period from 1981 to 2016 [22]) (Figure  
 124 1b) were compared to the historical annual average of minimum ( $T_{air} = 7\ ^\circ C$ ) and maximum ( $T_{air} = 18\ ^\circ C$ )  $T_{air}$ . The  
 125 trend shows a relevant reduction of the cold days ( $T_{air} \leq 7\ ^\circ C$ ), while the hot days ( $T_{air} \geq 18\ ^\circ C$ ) are slightly  
 126 incrementing. This means that the increment of the annual average temperature in Bolzano is mostly determined  
 127 by an increase of the minimum  $T_{air}$ . This finding is also confirmed by the difference between the number of hot  
 128 and cold days, which passes from 4 days in 1986 to 87 days in 2012 and 2014 (dashed line in Figure 1b) with two  
 129 exceptions in 1982 and in 1984. The year 1982 was the hottest in the series, characterized by more than 270 hot  
 130 days, with a positive difference of 105 days between hot and cold days, while the 1984 was the coldest year with  
 131 more than 200 cold days and a negative difference between hot and cold days of 26 days in total. Furthermore, the  
 132 increment registered for the minimum  $T_{air}$  is confirmed by the number of tropical nights (i.e. nights with  $T_{air} > 20$   
 133  $^\circ C$ ), which until the 1995 was less than five nights per summer, while in the last 20 years has increased  
 134 significantly, reaching 20 tropical nights in 2010 [21].

135 The geographical location of Bolzano as well as the orography and its natural surroundings have also a relevant  
 136 impact on solar potential and solar accessibility. The analysis of the direct, diffuse and global solar radiation  
 137 elaborated from the .epw (*Energy Plus Weather*) data file of Bolzano [23] shows a quite typical annual solar  
 138 distribution (Figure 2a). The monthly average value of the daily global solar radiation is always below  $700\ Wh/m^2$ ,  
 139 while the direct solar radiation overcomes the value of  $400\ Wh/m^2$  only in June and July. This aspect is even  
 140 clearer from the solar path: the intensity of global and direct solar radiation overcomes the thresholds of  $1000$   
 141  $Wh/m^2$  for global solar radiation and  $700\ Wh/m^2$  for direct only few days during the summer season (Figure 3).  
 142 However, the solar potential in Bolzano and its surrounding area results quite high as demonstrated by the  
 143 numerous photovoltaic (PV) and solar thermal (ST) panels installation in public and private buildings [24].



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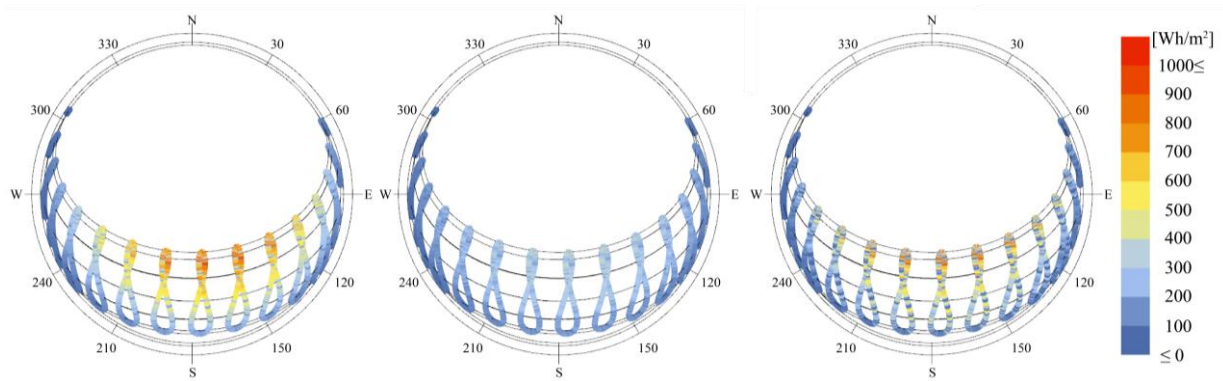
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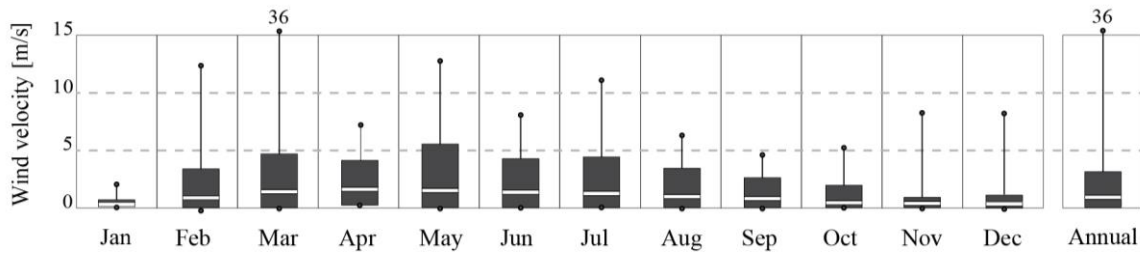
Figure 2 – Monthly mean daily trend of (a) global, direct and diffuse solar irradiance on a horizontal plane, (b) outdoor temperature and its daily excursion and (c) relative humidity and rainfall in Bolzano. Data elaborated from the .epw dataset of Bolzano with *Climate Consultant (version 6.0)*.



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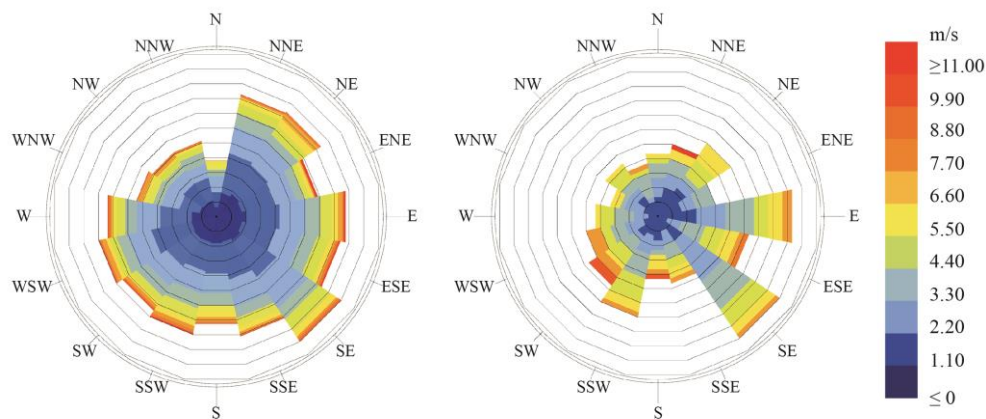
150 Figure 3 - (from the left) Solar path for global, diffuse and direct solar radiation in Bolzano (elaboration of the .epw with Ladybug).

151 Regarding the air temperature (Figure 2b), the monthly mean daily trend shows that July is the hottest month  
 152 of the year, characterized by peaks of 35 °C and higher values for both dry bulb and wet bulb temperature, while  
 153 the coldest months are December and January. May, June and November have high intensity of precipitation  
 154 (Figure 2c). The wind speed ( $W_s$ ) varies from the average value of 1 m/s in January to almost 6 m/s in May, with  
 155 peaks in March, February and May, when the wind speed overcomes 30 m/s, 12 m/s and 10 m/s respectively  
 156 (Figure 4). The annual analysis of the wind rose (Figure 5) underlines that the prevalent wind directions are North-  
 157 East and South-East, while South-East and East are the prevalent wind directions during summer.



158

159 Figure 4 – Wind speed range in Bolzano (elaboration of the .epw with Climate Consultant (version 6.0)).



160

161 Figure 5 – Annual (left) and summer (right) wind rose in Bolzano (elaboration of the .epw with Climate Consultant (version 6.0)).

162 Given its location and its climate features, Bolzano represents an interesting case study. Furthermore, in the last  
 163 years, the municipality of Bolzano has regulated energy standards towards the exploitation of RES [25] to foster  
 164 energy efficiency of the buildings as well as to support the diffusion of active and passive bioclimatic strategies  
 165 and to improve the outdoor human thermal comfort in existing neighborhoods.

167 The urban area of Bolzano selected for this study is one of the case studies within the Smart Cities European  
 168 project SINFONIA (*Smart Initiative of cities Fully cOmmitted to iNvest In Advanced large-scaled energy*  
 169 *solutions*) that aims to deploy large-scale, integrated and scalable energy solutions in middle-sized European cities  
 170 [26]. The district includes two residential building blocks (Bld\_S1 and Bld\_S2) of SINFONIA project and the  
 171 nearby buildings (Bld\_N1, Bld\_N2 and Bld\_N3). The area is delimited by five urban canyons: *Via Brescia*,  
 172 *Garden*, and *Via Palermo* from West to East, and *Via Milano* and *Via Cagliari* from North to South (Figure 6).



173 a) b)  
 174 Figure 6 – (a) Top and (b) aerial view of the case study district (source: Google Earth).

175 Bld\_S1, located in *Via Brescia*, is seven to eight floors above the ground and it is rotated by  $8^\circ$  clockwise from  
 176 the North direction; while Bld\_S2 faces *Via Palermo*, has from four to six floors and it is oriented  $11.5^\circ$  clockwise  
 177 from the North direction. *Via Palermo* is one of the main access roads to the Eastern area of Bolzano, *Via Milano*  
 178 and *Via Cagliari* are secondary roads, while *Via Brescia* is mainly used by the residents of Bld\_S1 and Bld\_N1 to  
 179 access the underground parking areas. *Garden* is the central public area between Bld\_S1 and Bld\_S2 and is  
 180 characterized by grass surfaces and by the presence of different species of plants and trees.

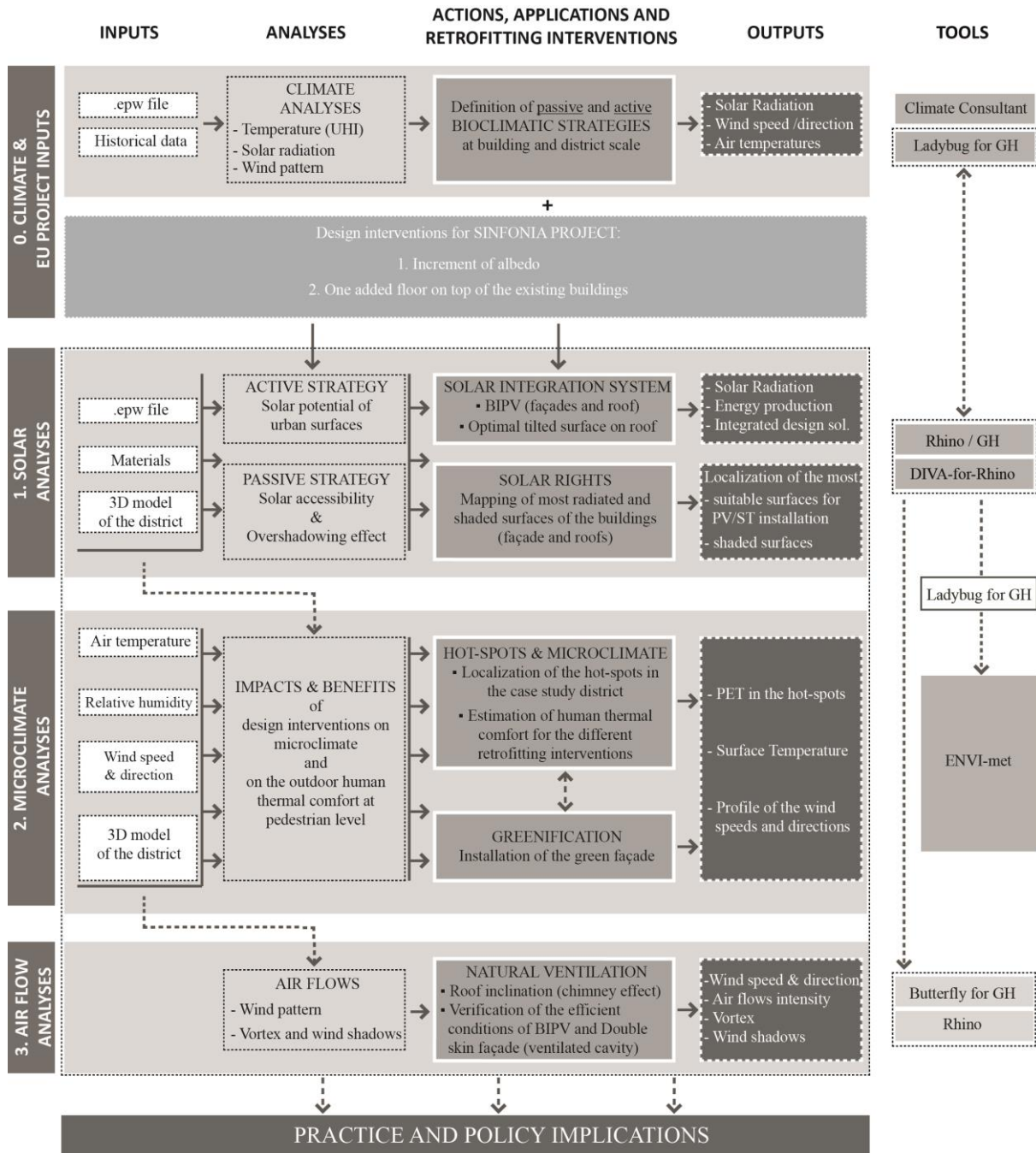
### 181 3. Methodology

182 The methodology implies sequential and logical steps (i) to develop an integrated workflow combining  
 183 modeling and environmental analysis tools, and (ii) to address local climate aspects to optimize the use of urban  
 184 surfaces' use (i.e. façades, roofs and ground) in consolidated urban environment. The workflow (Figure 7) starts  
 185 with the analysis of the climate in Bolzano (Section 2.1) that allows to define the passive and active bioclimatic  
 186 strategies on the site. The bioclimatic strategies are then tested in design scenarios representative of typical  
 187 interventions conducted in European consolidated urban areas, in compliance with the objectives of the  
 188 SINFONIA European project (Section 3.1).

189 The subsequent steps consists in three types of analyses conducted on the three-dimensional model of the case  
 190 study district (Section **Error! Reference source not found.**) using dynamic climate tools and computational fluid  
 191 dynamics (CFD) models:

- 192 1. Solar potential and solar accessibility analyses were evaluated on the two selected buildings for localizing the  
 193 most radiate surfaces for solar systems' installation, and on the nearby buildings for identifying the most shaded  
 194 façades (Section 3.5);

195 2. Microclimate analyses were run to define local climate conditions, and to estimate the impacts of the proposed  
 196 design interventions (i.e. increment of albedo, addition of one story, and installation of a green façade) on the  
 197 outdoor human thermal comfort (Section 3.6);  
 198 3. Qualitative airflow analyses were conducted to verify the use of natural ventilation in multifunctional façades  
 199 (i.e. building integrated photovoltaic (BIPV), double-skin (DSF) etc.) and the activation of the “chimney effect”  
 200 for the optimal roof inclination (Section 3.7).  
 201 In the last step, practice and policy implication for urban surface use in existing districts have been outlined.



202  
 203 Figure 7 – Workflow of the presented methodology from the climate analysis and characterization of the city of Bolzano, to the analyses for  
 204 solar, microclimate and ventilation strategies into the definition of practice and policy implications.



205        3.1. *Proposed design interventions on the case study*

206        In SINFONIA project, whole-building design and technological interventions which exploiting the use of RES  
207 (i.e. solar energy, wind, etc.) for active and passive bioclimatic strategies and the addition of volume (e.g. one  
208 story) have been undertaken [26]. This work aims to provide a holistic approach to guide designers throughout  
209 preliminary qualitative and quantitative environmental analyses to assess the impact of a set of design  
210 interventions. The design and technological interventions analyzed in the case study area are: (i) the replacement  
211 of the façades' finishing materials with different albedo or innovative technological solutions (i.e. multifunctional  
212 façade with BIPV, green façade, DSF, etc.), and (ii) the addition of one story volume on top of the existing  
213 buildings [27]. By conducting qualitative and quantitative environmental analyses, those interventions were  
214 considered in a wider complex urban environment.

215        3.2. *Climate analyses: tools and data*

216        A clear understanding of the local climate conditions of the site allows to determine active and passive  
217 bioclimatic strategies to optimize the use of urban surfaces. This work presents a local climate analysis (presented  
218 in Section 2.1) based on the elaboration of historical data series of Autonomous Province of Bolzano (from 1950  
219 to 2016) [22], and on the use of climate tools such as *Climate Consultant* [28,29] and *Ladybug* [30]. *Climate*  
220 *Consultant* allows to read the hourly climate data of the whole typical reference year from the *.epw* file and to  
221 visualize the various weather attributes (i.e. radiation, wind, temperature, etc.) in graphic charts (i.e. dry- and wet-  
222 bulb temperatures, radiation and wind speed range bar chart, sun shading chart, psychometric charts and wind  
223 wheel). In order to complete the analysis of the local climate's characterization, the open-source weather analysis  
224 plug-in *Ladybug* [31] has been used. *Ladybug* allows to import the data from the *.epw* files into the environments  
225 of the Windows®-based NURBS modeler *Rhinoceros* [32] and the graphical algorithm editor *Grasshopper* [33].  
226 *Ladybug* offers a variety of meaningful data visualizations in graphical charts and 3D interactive views, which can  
227 support designers in making more informed design assessment from the initial design stages. This approach  
228 expands the climate definition by simultaneously taking into account the implications between the built  
229 environment and the yearly weather data, as well as suggesting peculiar bioclimatic strategies for each specific  
230 location instead of relying on general rules [34]. It could be used from preliminary assessments of the site project,  
231 to the different phases of the design process in order to select both advanced bioclimatic strategies and  
232 environmentally-conscious design options at neighborhood, building and component scale.

233        3.3. *Procedure, reliability and uncertainties of the model*

234        One of the most critical aspects of modeling a real-world system is the guarantee of its physical fidelity, which  
235 means the model provides an accurate representation of the actual behavior of the system itself. However, since a  
236 model is a simplified representation of the real system, not all possible interacting phenomena that constitute the  
237 real-world can be analyzed at ones. As a result, a deviation exists between the outcome of the model and the real  
238 performance of the system. To keep such deviation under a tolerable level, quality assurance techniques are  
239 typically implemented. When the observations of the real behavior of a system are available, it is possible either  
240 to calibrate a model to reduce its overall uncertainty or to evaluate the quality of a model with validation.

241        In our case, no physical measurements were available neither to calibrate our models nor to validate them;  
242 therefore, a strict procedure was adopted to control all the steps of the model development in order to reduce all  
243 possible epistemic uncertainties. Furthermore, the typical meteorological year weather data were used to fix the

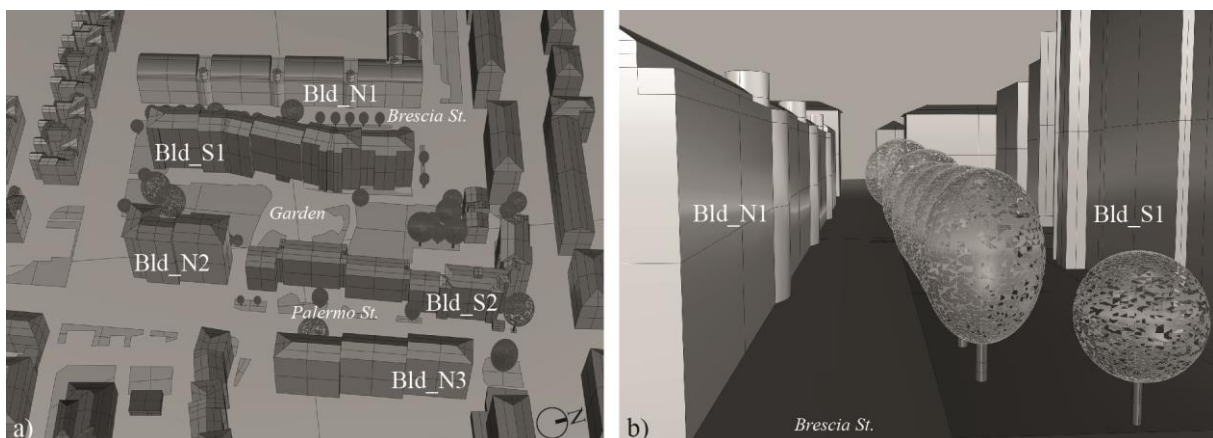
244 aleatory uncertainty related to the description of the climate boundary conditions in all the developed models.  
245 Specifically, the adopted modeling procedure, already used for another modeling and simulation analysis [35],  
246 consists in seven steps:

- 247 1. All of the modeling specifications were collected and assembled in a design package.
- 248 2. The design package was delivered to two independent modelers, who worked in absolute autonomy and  
249 avoided any reciprocal contact or interference while developing the numerical models.
- 250 3. The main performances were identified for each type of model and used as benchmarks.
- 251 4. After the simulation runs, a graphical comparison of the benchmarks was carried out using scatterplots.
- 252 5. The bias between each couple of models was quantified using the mean bias error, and the overall  
253 uncertainty was evaluated using the coefficient of variation of root mean square error.
- 254 6. When a discrepancy was found between two models, an independent comparison of the input variables  
255 of the models was carried out to identify the sources of discrepancy that caused the deviation in the  
256 benchmark.
- 257 7. Finally, the errors were fixed, and the reference models were finalized and used for the subsequent  
258 analyses.

259 Of course, this modeling procedure cannot guarantee that the simulation outcomes reflect the actual  
260 performance of the urban district (physical fidelity), but it can help to minimize epistemic uncertainty, in particular  
261 specification and modeling uncertainties.

### 262 3.4. Modelling of the case-study area

263 The three-dimensional model of the case study district was created using *Rhinoceros* and *Grasshopper*.  
264 Buildings and ground surfaces were modelled directly in *Rhinoceros* by reproducing the geometric proportions  
265 between the height of the building (H) and width of the street (W), which define the aspect ratio (H/W) of each  
266 urban canyon, while a *Grasshopper* script was used to create the trees. The dimensions of the tree's crown on the  
267 three axes (x, y, z) was modelled as a hollowed sphere, whose structure is composed by a texture with alternating  
268 void and surfaces (Figure 8b). The percentage of voids in the surface was based on the Leaf Area Index (LAI) of  
269 the different species of trees existing in the district.



270 a) 271 Figure 8 – (a) View of the three-dimensional model of the entire case study district. (b) Visualization the tree-lined street in *Via Brescia*  
272 modelled with *Grasshopper* script and exported in *Rhinoceros* environment.

273 3.5. Solar analyses: objectives, inputs and tools

274 The solar analyses were conducted at the district scale in order to:

- 275 i. Generate solar radiation map to identify the most irradiated buildings' surfaces and to calculate their
- 276 solar potential;
- 277 ii. Quantify the impacts of the proposed design interventions on the surrounding buildings in terms of
- 278 mutual solar reflections and overshadowing effect.

279 The sets of solar simulations were run by coupling *Rhinoceros* and *Grasshopper* with the solar dynamic  
 280 simulation tool *DIVA-for-Rhino*, which is a validated *Radiance-Daysim*/based software able to simulate the annual  
 281 amount of daylight in and around buildings [36]. In order to demonstrate the reliability of the software, a previous  
 282 study, where experimental data on site have been compared with simulated outcomes, was analyzed. In Table 1  
 283 are reported the Relative error ( $Rel_{err}$ ), Mean Bias Errors (MBE) and Root Mean Square Errors (RMSE) between  
 284 the measured data and the simulation conducted for annual and monthly irradiation on the South façade of  
 285 buildings in different urban scenarios. With the exception for the 2.0 m-height sensor located in a complex urban  
 286 environment, for which RMSE results up to 125 %, the results demonstrate that *Daysim* is able to track the yearly  
 287 and monthly profile of irradiation for an unobstructed sensor with a reasonable accuracy:  $Rel_{err} < 5\%$ ;  $MBE < 5\%$   
 288 and  $Cv(RMSE) < 30\%$ .

289 Table 1 – Results of experimental validation carried out in previous studies using *Daysim* as calculator engine. All the values of  $Abs_{err}$ :  
 290 absolute error,  $Rel_{err}$ : relative error, MBE: mean bias errors; RMSE: root mean-square error, are related to a South-oriented façade.

| City              | Area                  | Variable [unit]                           | $Abs_{err}$ [kWh/m <sup>2</sup> ] | $Rel_{err}$ [%] | MBE [%] | RMSE [%] | Ref. |     |
|-------------------|-----------------------|---|-----------------------------------|-----------------|---------|----------|------|-----|
| Freiburg, Germany | Unobstructed building | Annual irradiation [kWh/m <sup>2</sup> ]  | -6                                | -0.8            |         |          | [37] |     |
|                   |                       | Monthly irradiation [kWh/m <sup>2</sup> ] |                                   |                 | -0.7    | 21       |      |     |
| New York, US      | Dense urban context   | Annual irradiation [kWh/m <sup>2</sup> ]  | 2.0 m                             | -3              |         |          |      |     |
|                   |                       |   | 100 m                             | -10             |         |          |      |     |
|                   |                       |   | 220 m                             | -7              |         |          |      |     |
|                   |                       | Monthly irradiation [kWh/m <sup>2</sup> ] | 2.0 m                             |                 | -0.5    | -4.0     |      | 127 |
|                   |                       |   | 100 m                             |                 | -4.8    | -1.0     |      | 37  |
|                   |                       |   | 220 m                             |                 | -0.7    | 0.0      | 17   |     |

291 The *Daysim* calculation engine of *DIVA-for-Rhino* uses typical *.epw* weather data file for a specific site location  
 292 to obtain climate-based daylighting metrics [38]. For the present work, the *.epw* weather data file of Bolzano was  
 293 employed. In order to increase the computational accuracy, the annual global and direct solar radiations incident  
 294 at the buildings' envelopes were calculated using the grid-based radiation method. The *Radiance* parameters'  
 295 settings (Table 2) to perform the simulations were taken from previous similar studies [8,39].

296 Table 2 – Set of 'rtrace' parameters used for all *Radiance*-based simulations.

| Ambient bounces | Ambient division | Ambient super samples | Ambient resolution | Ambient accuracy | Specular threshold | Direct sampling | Direct relays |
|-----------------|------------------|-----------------------|--------------------|------------------|--------------------|-----------------|---------------|
| 1-3             | 1000             | 20                    | 300                | 0.1              | 0.15               | 0.20            | 2             |

297 In order to compute the interaction between solar radiation and urban morphology, custom *Radiance* materials  
 298 were defined for each surface (i.e. ground, façade, roof and vegetation) of the district (Table 3). The materials'

299 properties were set considering the parameters of color (RGB values), specularity (fraction of incident light that is  
300 reflected; varying from 0.0 for a perfectly diffusive surface to 1.0 for a perfect mirror), and roughness (surface  
301 irregularities quantified by the deviation from its ideal direction of the normal vector of a real surface; the value  
302 varies from 0.0, which corresponds to a perfectly smooth surface, to 1.0 that corresponds to a perfectly irregular  
303 surface [40]). In this study, specularity and roughness were set to 0.0 for all the materials. The reflectance value  
304 0.95 (OutsideFaçade\_100%R), has been chosen as maximum albedo for building outside façades, considering that  
305 modern reflective finishing materials can reach a maximum albedo of 0.96 [41].

306 Table 3 – List of materials properties used in *Radiance*.

|         | Radiance material   | Materials / colors       | Radiance material | Number of arguments | RGB reflectance/albedo | Effective reflectance values |
|---------|---------------------|--------------------------|-------------------|---------------------|------------------------|------------------------------|
| Veg     | Vegetation_10%R     | Wood                     | Void plastic      | 0 0 5               | 0.10                   | 0.10                         |
|         | Vegetation_40%R     | Foliage                  | Void plastic      | 0 0 5               | 0.40                   | 0.39-0.51                    |
| Ground  | OutsideGround_20%R  | Asphalt                  | Void plastic      | 0 0 5               | 0.20                   | 0.19                         |
|         |                     | Concrete                 |                   |                     |                        | 0.23                         |
|         |                     | Loamy soil               |                   |                     |                        | 0.05–0.25                    |
|         |                     | Grass                    |                   |                     |                        | 0.16–0.26                    |
| Façades | OutsideFaçade_30%R  | Paint brown              | Void plastic      | 0 0 5               | 0.30                   | 0.22-0.36                    |
|         | OutsideFaçade_40%R  | Paint beige red          | Void plastic      | 0 0 5               | 0.40                   | 0.42                         |
|         | OutsideFaçade_50%R  | Paint yellow             | Void plastic      | 0 0 5               | 0.50                   | 0.43                         |
|         |                     | Paint cream white        |                   |                     |                        | 0.42-0.54                    |
|         | OutsideFaçade_70%R  | White ceramic            | Void plastic      | 0 0 5               | 0.70                   | 0.72-0.77                    |
|         | OutsideFaçade_100%R | Reflective paint white   | Void plastic      | 0 0 5               | 0.95                   | 0.80–0.95                    |
| Roof    | GenericCeiling_10%R | Dark grey concrete tiles | Void plastic      | 0 0 5               | 0.10                   | 0.10-0.15                    |
|         | GenericCeiling_30%R | Grey concrete tiles      | Void plastic      | 0 0 5               | 0.30                   | 0.31–0.33                    |
|         | GenericCeiling_70%R | Red ceramic tiles        | Void plastic      | 0 0 5               | 0.70                   | 0.68                         |

307 3.5.1. *Scenarios of solar analyses*

308 The solar analyses were conducted in four scenarios (Table 4), accounting for different sets of design  
309 interventions applied on buildings and urban canyons (Table 5).

310 Table 4 – Design scenarios adopted for the solar analyses.

| Scenario                              | ID    | Analyzed buildings (Bld_S1 and Bld_S2) |                     | Nearby buildings |
|---------------------------------------|-------|--|---------------------|------------------|
|                                       |       | Height                                 | Façade material     |                  |
| Baseline Scenario – Current albedo    | BS_CA | Unvaried                               | OutsideFaçade_50%R  | Unvaried         |
| Baseline Scenario – High albedo       | BS_HA |  | OutsideFaçade_100%R |                  |
| Added Story Scenario – Current albedo | AS_CA | Increment of one story volume          | OutsideFaçade_50%R  |                  |
| Added Story Scenario – High albedo    | AS_HA |  | OutsideFaçade_100%R |                  |

311 Table 5 – Features of the urban canyons in the baseline (BS) and added story (AS) scenarios.

| Urban Canyon | Buildings and streets features |      |                         |      | H/W  |      |
|--------------|--------------------------------|------|-------------------------|------|------|------|
|              | Height of the buildings [m]    |      | Width of the street [m] |      | BS   | AS   |
|              | BS                             | AS   | BS                      | AS   |      |      |
| Via Brescia  | 24.5                           | 27.5 | 29                      | 29   | 0.84 | 0.95 |
| Garden       | 24.5                           | 27.5 | 44                      | 44   | 0.56 | 0.63 |
| Via Palermo  | 14.7                           | 17.7 | 24.5                    | 24.5 | 0.60 | 0.72 |

312 In the baseline scenario (BS\_CA), the albedo of the façades and the height of Bld\_S1 and Bld\_S2 were  
313 maintained unvaried from the actual situation in order to quantify the incident direct ( $Irr_{dr}$ ) and global ( $Irr_{gl}$ )

314 radiation levels at the building envelope. The obtained results were used as reference values for comparison with  
315 the other scenarios. In the baseline scenario with high albedo (BS\_HA) and in the added story scenario with high  
316 albedo (AS\_HA), the finishing materials of the façades of buildings Bld\_S1 and Bld\_S2, were modified in the  
317 numerical model to vary their reflectance from 0.5 (façade albedo in the current situation) to 0.95 (completely  
318 reflective). The variation of  $Irr_{gl}$  at the building envelope was evaluated for both Bld\_S1 and Bld\_S2 and nearby  
319 buildings Bld\_N1, Bld\_N2 and Bld\_N3. The twofold impact given by the increment of one story volume of Bld\_S1  
320 and Bld\_S2 was studied in the added story scenario with current albedo (AS\_CA), in order to estimate the (i)  
321 overshadowing effect on the nearby buildings, Bld\_N1 and Bld\_N2, and the (ii) availability of buildings' surfaces  
322 with high solar potential for installing solar active systems. Successively, in the AS\_HA scenario, the analyses  
323 were focused on the influence of mutual solar reflections given by the increment of the façades albedo. In all  
324 scenarios, the reflectance value of the ground was set equal to 20% (i.e. average value for ground materials, whose  
325 reflectance is around 19% for asphalt and varies from 16% to 26% for grass), while the materials' properties of  
326 the nearby buildings were maintained unvaried. The simulations were run to identify: (i) the most irradiated façades  
327 of buildings Bld\_S1 and Bld\_S2 suitable for installing solar systems, and (ii) the most shaded façades of the  
328 surrounding building Bld\_N1, Bld\_N2 and Bld\_N3 due to the added story in both AS\_CA and AS\_HA scenarios.  
329 These analyses allowed to estimate, in the scenario with the added volume of one story, if the solar potential and  
330 solar accessibility along the façade are effectively suitable for the installation of solar systems (PV and ST) in  
331 different periods of the year (i.e. cold season autumn and winter and hot season spring and summer).

### 332 3.6. Microclimate analyses: objectives, scenarios, inputs and tools

333 The microclimate analyses were focused on the following objectives:

- 334 i. Identify the hot spots areas with high level of human thermal stress in the urban canyons in the baseline  
335 scenario BS\_CA;
- 336 ii. Assess the thermal impacts of different finishing materials albedo in BS\_HA and AS\_HA scenarios,  
337 and of the increment of the added story volume in the scenario AS\_CA;
- 338 iii. Estimate the benefits of green façade as mitigation intervention in proximity of the hot spots.

339 In order to accomplish these purposes, the numerical model *ENVI-met*, version 4.0, has been used. *ENVI-met*  
340 is a 3D prognostic microclimate model designed to simulate the surface–plant–air interactions in urban complex  
341 environments with spatial resolution of 0.5–10 m and temporal resolution of 10 s [11]. The model physics is based  
342 on non-hydrostatic Navier-Stokes equations (for wind flow computation), thermodynamics laws (for calculation  
343 of temperature fields), and atmospheric physics (for prognosis of atmospheric turbulence) [42,43]. *ENVI-met*  
344 allows (i) to set the grid size resolution small enough to reproduce buildings; (ii) to compute the energy balance  
345 for all surfaces in the model; (iii) to simulate the physical and physiological properties of vegetation; (iv) to  
346 calculate the atmospheric processes (wind flow, turbulence, exchange processes of heat and vapor at urban  
347 surfaces, exchanges of energy and mass between vegetation and its surroundings) with a prognostic and transient  
348 method.

349 A literature review on *ENVI-met* (version 4) validation studies has been conducted to evaluate the degree of  
350 approximation of the simulated results with respect to actual field measurements. Table 6 reports the results of the  
351 experimental validations. The analysis of the statistical errors outlines a satisfying correspondence between the  
352 numerical simulations and experimental observations for  $T_{air}$ ,  $R_H$ , and  $T_s$ . Higher values of RMSE are noticeable

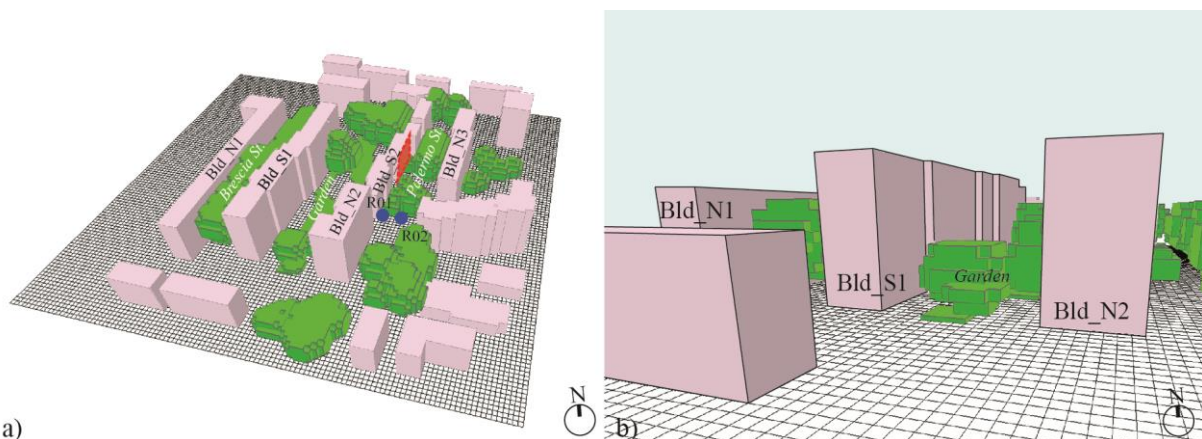
353 for  $T_{mrt}$  and  $Irr_{gl}$ . However, in general, *ENVI-met* can guarantee appropriate results for a preliminary assessment  
 354 of the microclimatic implications of design interventions as it is the scope of the present study.

355 Table 6 – Results of experimental validation carried out in previous studies conducted with *ENVI-met*.  $R^2$ : coefficient of determination -  
 356 RMSE: root mean-square error - RMSD: root mean-square deviation - d: index of agreement.

| City                 | Area  | Variable [unit]                | $R^2$             | RMSE              | RMSD | d                 | Ref. |
|----------------------|---|--------------------------------|-------------------|-------------------|------|-------------------|------|
| Hannover, Germany    | Center of semi-closed courtyard               | $T_{air}$ [°C]                 | -                 | 0.73              | -    | -                 | [44] |
|                      |   | RH [%]                         | -                 | 3.34              | -    | -                 |      |
|                      |   | $W_s$ [m/s]                    | -                 | 0.01              | -    | -                 |      |
|                      |   | $T_{mrt}$ [°C]                 | -                 | 8.44              | -    | -                 |      |
| Thessaloniki, Greece | Urban district                                | $T_{air}$ [°C]                 | -                 | -                 | 1.85 | 0.90              | [45] |
| Rome, Italy          | Cloister of Saint Peter in Chains             | $T_{air}$ [°C]                 | 0.88              | 1.89              | -    | 0.91              | [46] |
|                      |   | $T_{mrt}$ [°C]                 | 0.96              | 2.79              | -    | 0.87              |      |
| Freiburg, Germany    | Residential district                          | $T_{air}$ [°C]                 | 0.85              | 0.66              | -    | 0.95              | [47] |
|                      |   | $T_{mrt}$ [°C]                 | 0.86              | 5.49              | -    | 0.95              |      |
| São Paolo, Brazil    | High density district                         | $T_{air}$ [°C]                 | -                 | 1.75 <sup>a</sup> | -    | 0.89 <sup>a</sup> | [48] |
| Bilbao, Spain        | Four urban areas with different urban climate | $T_{air}$ [°C]                 | 0.96 <sup>a</sup> | -                 | -    | -                 | [49] |
|                      |   | $T_{mrt}$ [°C]                 | 0.71 <sup>a</sup> | -                 | -    | -                 |      |
| Guangzhou, China     | Open area of future construction site         | $T_s$ [°C]                     | 0.97              | 1.98              | -    | 0.99              | [43] |
|                      |   | $T_{air}$ [°C]                 | 0.94              | 1.01              | -    | 0.97              |      |
|                      |   | $Irr_{gl}$ [W/m <sup>2</sup> ] | 0.91              | 28.3              | -    | 0.97              |      |

357 <sup>a</sup> Mean value  
 358

359 The 3D model of the case study district (Figure 9) modelled in *Rhinoceros* was exported in *ENVI-met* by using  
 360 the *ENVI-met* components of the *Ladybug* plug-in for *Grasshopper*. The surfaces of the buildings' model were  
 361 simplified to reduce the computational time needed to process all information.



362 a)  
 363 Figure 9 – (a) Three-dimensional model of the entire district. The position of the green façade is highlighted in red. (b) Visualization of  
 364 Garden modelled in ENVI-met environment.

365 On this regard, all the buildings share flat roofs and same finishing materials properties. Aerial images and  
 366 photos taken on-site were used to check the property of buildings (i.e. height, finishing materials etc.), plants (i.e.  
 367 type, height, volume etc.) and ground (i.e. grass, asphalt, etc.).

368 Thermo-physical properties were selected according to UNI 11300 [50] based on the year of buildings’  
 369 construction (1978 for Bld\_S1 and 1960 for Bld\_S2), while typical optical properties were chosen based on  
 370 construction and thermal-imaging manuals. The properties of the ground were taken from default *ENVI-met*  
 371 database. Table 7 summarizes the materials features of buildings and artificial surfaces used in *ENVI-met* model.

372 Table 7 – Features of artificial surfaces set in *ENVI-met* model.

| Material Type                               | Buildings                 |          | Ground  |          |                |
|---|---------------------------|----------|---------|----------|----------------|
|   | Concrete/external plaster | Concrete | Asphalt | Concrete | Dirty Concrete |
| Allocation                                  | Walls                     | Roofs    | Streets | Pavement | Pavement       |
| Thickness [m]                               | 0.40                      | 0.30     | 0.10    | 0.30     | 0.30           |
| Absorption $I_{rr_{SW}}$ Absorbed Fraction  | 0.40                      | 0.70     | 0.60    | 0.50     | 0.60           |
| Reflection $I_{rr_{SW}}$ Reflected Fraction | 0.60                      | 0.30     | 0.40    | 0.50     | 0.40           |
| Emissivity $I_{rr_{LW}}$ Emitted Fraction   | 0.96                      | 0.90     | 0.90    | 0.90     | 0.90           |
| Specific heat [J/kg K]                      | 900                       | 840      | 650     | 840      | 840            |
| Thermal conductivity [W/m K]                | 0.40                      | 0.86     | 0.50    | 0.20     | 0.20           |
| Density [kg/m <sup>3</sup> ]                | 750                       | 930      | 1500    | 620      | 620            |

373 In order to align the model to the district’s orientation, it was rotated by 11.5° clockwise from the North  
 374 direction. The area was digitized with a resolution of 2 m in all directions, resulting in model area size of 110 x  
 375 115 x 30 grids and a domain extent of the modelled area equal to 220 x 230 x 60 m. In order to reduce the influence  
 376 of climatic boundary conditions at the borders of the model domain, a total number of eight nesting grids with a  
 377 coarser resolution was set.

378 Table 8 – Inputs data for the configuration of the *ENVI-met* model and outputs of the analysis.

|   |   |  |
|---|---|--|
| <b>Start date and duration of simulation</b>    | Start date                                      | July 20 <sup>th</sup> at 04:00                                 |
|   | Total simulation time [h]                       | 44   |
| <b>Initial meteorological conditions</b>        | $W_s$ at 10 m height [m/s]                      | 2.53   |
|   | Wind direction                                  | South-East   |
|   | Initial temperature of atmosphere [°C]          | 30   |
|   | Specific humidity at model top (2500 m, [g/kg]) | 2.92   |
| <b>Simple forcing setup</b>                     | $T_{air}$ [°C]                                  | $\min(T_{air @ 6:00}) = 24.3$ ; $\max(T_{air @ 15:00}) = 38.3$ |
| <b>Hourly data from meteorological station.</b> | RH [%]  | $\min(RH @ 16:00) = 24$ ; $\max(RH @ 16:00) = 69$              |
| <b>Solar radiation and clouds</b>               | Adjustment factor for solar radiation           | 1.00   |
|   | Cover of medium clouds [octas]                  | 2.00   |
| <b>Outputs of the analysis</b>                  | Total wind speed                                | $W_s$ [m/s]  |
|   | Direction of the air flow                       | Wind direction [°]   |
|   | Relative Humidity Air                           | RH [%]   |
|   | Air temperature                                 | $T_{air}$ [°C]   |
|   | Mean radiant temperature                        | $T_{mrt}$ [°C]   |
|   | Surface temperature                             | $T_s$ [°C]   |

379 The microclimate conditions were analyzed for the hottest day of 2015, July 21<sup>st</sup>, which was chosen as  
 380 representative of a typical hot summer day in Bolzano. Climate data of air temperature ( $T_{air}$ ), relative humidity  
 381 (RH), average wind speed ( $W_s$ ), and prevalent wind direction were collected from a weather station located on the  
 382 city hospital roof at a distance of 1.7 km North/West from the district case study area. Those input data were used  
 383 in *ENVI-met* to “force” the model by providing the inflow boundaries (Table 8). The start of the simulations was  
 384 set at 4:00 am of the previous day (i.e. 20<sup>th</sup> of July) since the numerical model needs at least 20 hours of  
 385 initialization time [51,52] to overcome the initial transient conditions and to not affect the reliability of the results

386 of the analyzed day (i.e. 21<sup>st</sup> of July). Therefore, the resulting simulation time was 44 hours. The microclimate  
387 analysis and screening of hot spot areas was carried out at pedestrian level, at 1 m above the ground.

### 388 3.6.1. *Scenarios of microclimate analyses*

389 The scenarios defined in Table 4 were also tested in the microclimate analyses, focusing on the localization of  
390 the hot spots in the case study district and the impact of the proposed interventions on human thermal comfort,  
391 while a new scenario related to the installation of a green façade (scenario named as Gr\_Fac) was studied. In that  
392 regard, two receptors (Figure 9a) were set in *ENVI-met* environment: R01 positioned in the proximity of the green  
393 façade, and R02 located in the proximity of a hot spot in *Via Palermo*. The vertical greening system was modelled  
394 with climber species represented well-grown but not completely covering the façade. However, given that *ENVI-*  
395 *met* presents some limitations in modeling vertical greening systems [53], the façade was modelled as a row plant  
396 of 0.5 m width and 16 m height, which correspond to the dimension of the analyzed façade of the Bld\_S2.  
397 Additionally, the leaf area density (LAD) was set to 1.85 m<sup>2</sup>/m<sup>3</sup>, as in previous studies [54,55]. This LAD value is  
398 representative of *Parthenocissus tricuspidata*, a climber specie common in Southern Europe, which attaches itself  
399 to vertical surfaces with or without technical climbing support. These plants are usually rooted on a substrate of  
400 loamy soil that was also set in *ENVI-met* as ground type.

### 401 3.6.2. *Index for thermal human comfort analysis*

402 The human thermal comfort at pedestrian level in the urban canyons of *Via Brescia*, *Via Palermo* and in the  
403 *Garden* was evaluated by means of the meteorological parameters that have a thermophysiological effect on a  
404 human being, that are T<sub>air</sub>, RH, W<sub>s</sub>, T<sub>mrt</sub>, T<sub>s</sub>, and the pedestrians' metabolic activity and thermal resistance of  
405 clothing. In this study, outdoor thermal conditions are expressed for a male of 35 years, who is 1.75 m high and  
406 weighs 75 kg, and are assessed with the Physiological Equivalent Temperature (PET). The PET is a thermal index  
407 developed by Höppe to assess thermal comfort in outdoor environments [56–58]. This index is based on the  
408 Munich Energy-balance Model for Individuals (MEMI) [59] and is defined as the “air temperature at which, in a  
409 typical indoor setting (i.e. without wind or solar radiation), the heat budget of the human body is balanced with  
410 the same core and skin temperature as under the complex outdoor conditions to be assessed” [56]. In the  
411 calculations, the thermal resistance of clothing is set at 0.90 clo and the metabolic heat production (H) is set at 80  
412 W m<sup>2</sup>. These two individual variables are assumed constant to isolate the evaluation of the atmospheric  
413 components from the influence of personal choices. PET is one of the most diffused index in outdoor thermal  
414 comfort studies due to its measuring unit (i.e. °C), which makes the results readily comprehensible to everyone  
415 [60]. The *ENVI-met*'s post-processing tool *BioMet* was used to calculate PET for the evaluation of thermal comfort  
416 in the outdoor environment, in compliance with the German standard VDI 3787-Part 2:2008 [61].

### 417 3.7. *Airflow analyses: objectives, inputs and tools*

418 In this study, the CFD simulations aimed to qualitatively:

- 419 i. Verify the efficiency of optimization process in the operating conditions of double-skin façade (DSF)  
420 coupled with Building integrated Photovoltaic (BIPV) systems installed on the East façade of the  
421 buildings Bld\_S1 and Bld\_S2;
- 422 ii. Verify the activation of the “chimney effect” for the optimal roof inclination on the Bld\_S1.

423 The study of the ventilation pattern (i.e. direction and speed) of outdoor airflows on the building's façades  
424 implied 3D steady RANS (Reynolds Averaged Navier-Stokes) Computational Fluid Dynamics (CFD)  
425 simulations, which were conducted with Re-Normalisation Group (RNG)  $\kappa$ - $\epsilon$  turbulence model ( $C_{\mu} = 0.0845$ )



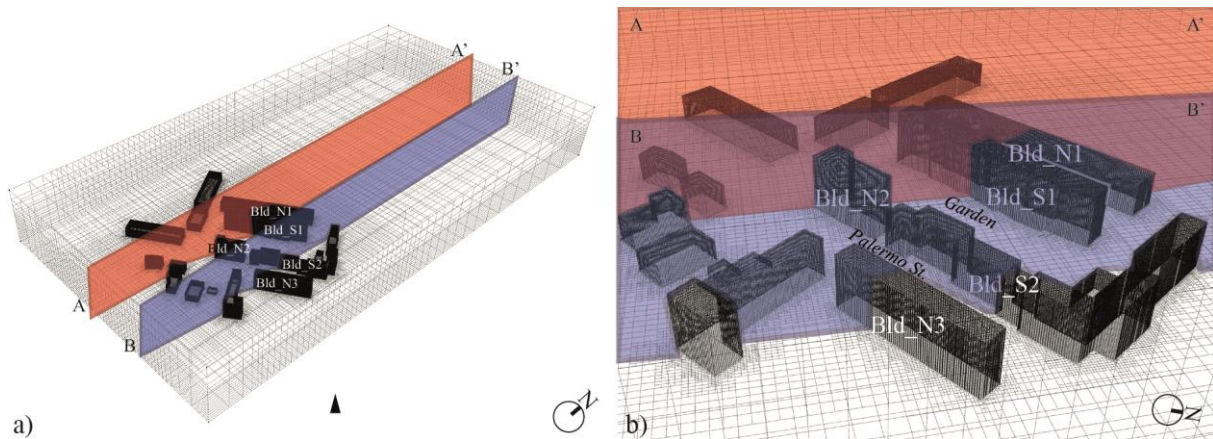
426 using *OpenFOAM*, an open source validated engine for CFD analyses [62]. In this regard, Table 9 collects some  
 427 previous studies that have used the same CFD and turbulence model and have compared the simulated outcomes  
 428 with real experimental data. The results underline a pretty good convergence between simulation and wind tunnel  
 429 experimental data with the distributed errors varying from 25% and 55%. However, the model's accuracy works  
 430 properly for the purpose of this work, in which simulations have been conducted to preliminarily and qualitatively  
 431 assess the goodness of possible design interventions.

432 Table 9 – Results of experimental validation carried out in previous studies conducted with *OpenFOAM*.

| Focus of the study   | Validation data               | CFD and turbulence model                         | Variables analyses  | Results  | Ref. |
|--|-------------------------------|--|---|--|------|
| Natural ventilation for agricultural buildings               | Wind tunnel experimental data | RANS with standard $\kappa$ - $\epsilon$ model   | Mean relative error bounded (%) with respect to the convergence of simulations. | Convergent. Errors distributed from 25% to 45%.  | [63] |
|  |                               | RANS with RNG $\kappa$ - $\epsilon$ model        |   | Convergent. Errors distributed from 25% to 40%.  |      |
|  |                               | RANS with realizable $\kappa$ - $\epsilon$ model |   | Not converged. Errors distributed in high values (35-70%)  |      |
|  |                               | RANS with low $Re$ $\kappa$ - $\epsilon$ model   |   | Convergent. Errors distributed from 25% to 55%.  |      |
| Airflow around a single high-rise building                   | Wind tunnel experimental data | RANS with standard $\kappa$ - $\epsilon$ model   | Reattachment lengths  | $x_F/b = 2.68$ overestimated (experimental result: $x_F/b = 1.42$ )<br>$x_R/b = 0.52$ in good agreement (experimental result: $x_R/b = 0.50$ ) | [64] |
| External flows in urban environment                          |                               |  | Wind speed ratios   | Results fall outside the one standard deviation band in 24 out of total 60 tests.  |      |
| Airflow distribution of single-sided ventilation in building | Wind tunnel experimental data | RANS with standard $\kappa$ - $\epsilon$ model   | Normalized streamwise mean velocity   | Good agreement between experimental values and simulation results.   | [65] |
|  |                               |  | Normalized vertical mean velocity   | The trend of RANS model is less accurate compared to the experimental values.  |      |

433  
 434 In order to account for buoyancy, the Boussinesq approximation was adopted. The vertical profiles of the mean  
 435 horizontal wind speed were imposed at the inlet of the computational domain equal to 2.53 m/s from the prevalent  
 436 wind direction South-East, at the reference height of 10 m, and for a roughness length of 6 [66]. The dimensions  
 437 of the computational domain were set to 3 H upstream and 15 H downstream, where H is the height of the tallest  
 438 building in the district. Along the vertical direction, the domain was set 3 H height, while in the lateral direction it  
 439 was extended for a further width of 2 H on each side. In order to run the CFD simulations in *Rhinoceros*  
 440 environment, the plug-in *Butterfly* was used. *Butterfly*, within the *Ladybug* family software, is a light python  
 441 Application Programming Interface (API) for *Grasshopper* that creates and runs cases in *Rhinoceros* environment  
 442 by using *OpenFOAM* as external validated simulation engine [67]. The computational domain was discretized  
 443 with a mesh of around 2 million cells, which was generated in two steps. First, the utility *BlockMesh* was used to  
 444 create a simple block mesh based on fully-structured hexahedral cells, which defined the extent of the  
 445 computational domain and a base level mesh density. Successively, the mesh was refined by using the utility  
 446 *SnappyHexMesh*, which generated a high-quality tree-dimensional hex-dominant mesh, adding layers for wall  
 447 resolution. Using this utility, the refined cells near the buildings were split up to six times for increasing the mesh  
 448 density in proximity to the obstacles for reducing numerical instability, errors and uncertainty. To balance the  
 449 computational time with the calculation accuracy, the *multi-grading* option was employed to progressively

450 increase the mesh cell dimensions farther from the building geometry in the region outside the district. The  
451 expansion ratio of adjacent grids cells was set to 1.2 for all the directions, as suggested by Franke [68]. Finally,  
452 the sections' planes AA' and BB' (Figure 10) were set in order to plot the results.



453 a)  
454 Figure 10 – (a) View of the three-dimensional domain of the wind tunnel; (b) Visualization of the refined mesh grid near the buildings.

455 Inside the domain a simplified 3D model of the selected district was created as input for the geometrical  
456 representation of buildings' shapes and dimensions, including the buildings Bld\_S1 and Bld\_S2 and the nearby  
457 buildings (Bld\_N1, Bld\_N2 and Bld\_N3) (Figure 10).

#### 458 3.7.1. Scenarios of urban airflow analyses

459 Three different scenarios were analyzed: (i) the baseline scenario (BS\_CA), (ii) the added-story scenario  
460 (AS\_CA) as defined in Table 4, and (iii) a new scenario that combines the added-story volume with the application  
461 of a DSF coupled with BIPV (AS\_DSFBIPV). The AS\_DSFBIPV scenario was considered as a feasibility study  
462 to verify the efficiency of DSF installation coupled with BIPV on the East façades of both buildings Bld\_S1 and  
463 Bld\_S2. The façade was designed at a distance of 0.7 m from the existing building envelope with the upper part  
464 tilted by 38° from the horizontal plane in order to estimate (i) the wind fluxes in the air cavity and (ii) the efficiency  
465 of the building integrated solar systems. According to the calculation in PVGIS [69], the geometrical configurations  
466 to optimize the incident solar radiation on a surface located in Bolzano are a tilt angle of 38° from the horizontal  
467 plane and an azimuth of 7.5° towards the West direction.

## 468 4. Results and discussion

469 The relevant results related to the analyzed scenarios are discussed along with the significance of addressing  
470 both the proposed design interventions on buildings Bld\_S1 and Bld\_S2 and the impacts on the nearby buildings  
471 Bld\_N1, Bld\_N2 and Bld\_N3.

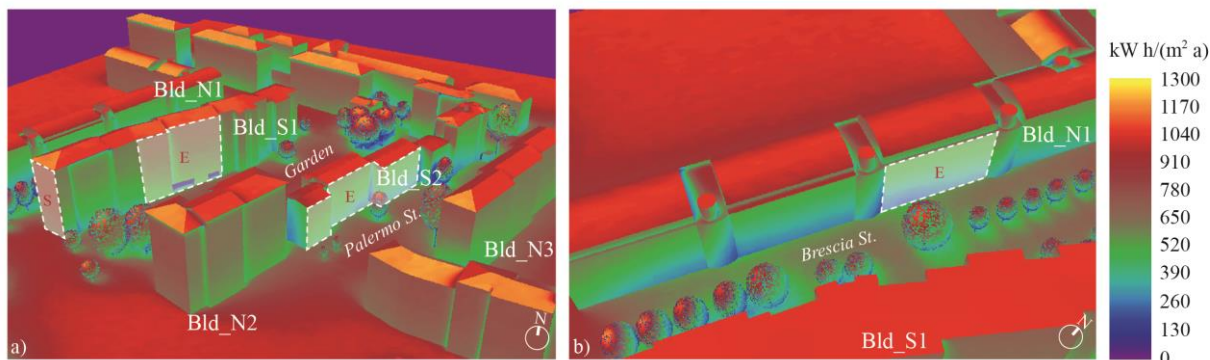
### 472 4.1. Solar analyses

473 The outcomes of the solar analyses were focused on two assessments: (i) the solar potential of Bld\_S1 and  
474 Bld\_S2, and (ii) the impact of the design interventions on the nearby building Bld\_N1.

#### 475 4.1.1. Solar potential analyses on the SINFONIA buildings

476 The solar analyses conducted on the two buildings Bld\_S1 and Bld\_S2 have focused on the estimation of their  
477 solar potential. In Bld\_S1 the most irradiated façades are those facing East (E in Figure 11a) and South (S in Figure  
478 11a). Due to its orientation, the South façade is not influenced by the variation of height and albedo. With values

479 of direct radiation around 320 kW h/(m<sup>2</sup> a), from the fourth floor up the façades resulted suitable for installing  
 480 solar systems in all the simulated scenarios.



481  
 482 Figure 11 – Radiation maps with identification of the analyzed façades. (a) East view of the district in BS\_CA scenario; (b) East façades of  
 483 Bld\_N1 in AS\_HA scenario.

484 Table 10 – Results from the solar analyses on the East façade of Bld\_S1.

| Scenario | Entire façade                                  |     | Bottom Floor                                   |     |                    |                   | Top Floor                                      |     |                    |                   |
|----------|--|-----|--|-----|--------------------|-------------------|--|-----|--------------------|-------------------|
|          | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi  | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi  | Δi <sub>cold</sub> | Δi <sub>hot</sub> | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi  | Δi <sub>cold</sub> | Δi <sub>hot</sub> |
| BS_CA    | 594.8  |     | 532.4  |     |                    |                   | 647.4  |     |                    |                   |
| BS_HA    | 623.4  | +5% | 563.1  | +5% | +5%                | +6%               | 672.8  | +4% | +3%                | +4%               |
| AS_CA    | 598.7  | +1% | 524.9  | -1% | -2%                | -1%               | 658.3  | +2% | +1%                | +2%               |
| AS_HA    | 633.9  | +6% | 561.6  | +5% | +4%                | +5%               | 690.2  | +6% | +5%                | +7%               |

485 Irr<sub>gl</sub>: average annual global solar radiation; Δi: percentage of variation compared to the scenario BS\_CA; Δi<sub>cold</sub>: percentage of variation  
 486 compared to the scenario BS\_CA in the cold season (autumn - winter); Δi<sub>hot</sub>: percentage of variation compared to the scenario BS\_CA in the  
 487 hot season (spring - summer)

488 The solar potential of the East façade is influenced by the variation of height and albedo in the different  
 489 scenarios. The increment of albedo from 0.5 to 0.95 in scenario BS\_HA produces an increase compared to BS\_CA  
 490 of about 5 % on the entire façade (Table 10); the increment is similar at the bottom and at the top floors. Despite  
 491 the addition of one story on the Bld\_S2, the solar potential of the façade of Bld\_S1 is constant in the AS\_CA  
 492 scenario and it increases about 6% in the AS\_HA scenario. In spite of the high distance from Bld\_S2 (Garden H/W  
 493 = 0.63), the lower floors of Bld\_S1 are slightly affected by the shadow caused by the additional story on the  
 494 Bld\_S2. However, with high albedo the global irradiation increases about 5 % compared to BS\_CA thanks to the  
 495 higher reflected radiation. The analysis of the seasonal trends shows that the bottom floor has the higher seasonal  
 496 increments in the BS\_HA scenario (albedo = 0.95), reaching 6 % more than the values in BS\_CA (albedo = 0.5)  
 497 in the hot season. In the cold season, the lower floor is more affected by the shadow of Bld\_S2, with losses of 2 %  
 498 in the AS\_CA. The increment of albedo in the AS\_HA scenario covers these losses and increases the solar potential  
 499 of about 4%. The outcomes of the analyses for the top floor show that the solar potential increases in both hot and  
 500 cold seasons compared to the reference scenario (BS\_CA). The highest increment is up to 7% in the hot season  
 501 for AS\_HA with added story and high albedo (Table 11). The solar potential analyses on the façades of Bld\_S2  
 502 have shown a significant influence of the shadow caused by the surrounding buildings Bld\_N2 and Bld\_N3. The  
 503 South façade (Irr<sub>gl</sub> = 405 kWh/(m<sup>2</sup> a)) is shaded by the presence of Bld\_N2, which is three floors higher than the  
 504 analyzed building, while the solar potential of the East façades is affected by the presence of Bld\_N3 (Table 11).  
 505 The addition of one story does not increase the average annual global solar radiation on the entire façade, while  
 506 the solar potential on the added story's façade is 7% higher than the value on the top floor in the baseline scenarios.

507 Moreover, the façade does not take advantage from the increment of façade's albedo since the materials reflectivity  
 508 of the nearby building Bld\_N3 does not change.

509 Table 11 – Results from the solar analyses on the East façade of Bld\_S2.

| Scenario | Entire façade                                  |     | Bottom Floor                                   |     |                    |                   | Top Floor                                      |     |                    |                   |
|----------|--|-----|--|-----|--------------------|-------------------|--|-----|--------------------|-------------------|
|          | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi  | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi  | Δi <sub>cold</sub> | Δi <sub>hot</sub> | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi  | Δi <sub>cold</sub> | Δi <sub>hot</sub> |
| BS_CA    | 436.9  |     | 364.4  |     |                    |                   | 502.8  |     |                    |                   |
| AS_HA    | 436.9  | +0% | 364.4  | +0% | -1%                | -1%               | 541.6  | +7% | +1%                | +5%               |

510 Irr<sub>gl</sub>: average annual global solar radiation; Δi: percentage of variation compared to the scenario BS\_CA; Δi<sub>cold</sub>: percentage of variation  
 511 compared to the scenario BS\_CA in the cold season (autumn - winter); Δi<sub>hot</sub>: percentage of variation compared to the scenario BS\_CA in the  
 512 hot season (spring - summer)

#### 513 4.1.2. Solar accessibility of the surrounding buildings

514 The analysis of the impact of the optimization interventions applied in Bld\_S1 and Bld\_S2 was focused on  
 515 surrounding building Bld\_N1 (Table 12), which resulted the most affected by shadowing created by the presence  
 516 of the Bld\_S1 and of a trees' line located along *Via Brescia*. In BS\_HA scenario, the increment of albedo from 0.5  
 517 to 0.95 improves the solar radiation on the entire East façade (E in Figure 11b) of about 10 %. The increment is  
 518 similar at the bottom and at the top of the façade, while the addition of one story in AS\_CA scenario causes a  
 519 reduction of solar accessibility about 7 % on the entire surface. The bottom floor (Δi = -5 %) is less affected than  
 520 the top floor, on which the reduction is almost doubled (Δi = -9 %). The results are explained by the presence of a  
 521 significant overshadowing effect at the bottom floor already in the baseline scenarios, due to the higher height of  
 522 Bld\_S1 and the proximity of the façade to the trees' line. However, the increase of albedo in the AS\_HA scenario  
 523 counterbalances the losses caused by the additional floor and increases the solar accessibility on the analyzed  
 524 façade up to 6%. The increment is higher at the bottom floor (Δi = +7 %) than at the top floor (Δi = +5 %).

525 Table 12 – Results from the solar analyses on the East façade of Bld\_N1.

| Scenario | Entire façade                                  |      | Bottom Floor                                   |      |                    |                   | Top Floor                                      |      |                    |                   |
|----------|--|------|--|------|--------------------|-------------------|--|------|--------------------|-------------------|
|          | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi   | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi   | Δi <sub>cold</sub> | Δi <sub>hot</sub> | Irr <sub>gl</sub><br>[kW h/(m <sup>2</sup> a)] | Δi   | Δi <sub>cold</sub> | Δi <sub>hot</sub> |
| BS_CA    | 405.7  |      | 308.7  |      |                    |                   | 496.9  |      |                    |                   |
| BS_HA    | 450.6  | +10% | 341.9  | +10% | +10%               | +10%              | 555.4  | +11% | +10%               | +11%              |
| AS_CA    | 378.8  | -7%  | 295.2  | -5%  | -9%                | -3%               | 456.3  | -9%  | -16%               | -7%               |
| AS_HA    | 431.0  | +6%  | 333.0  | +7%  | +4%                | +8%               | 525.6  | +5%  | 0%                 | +7%               |

526 Irr<sub>gl</sub>: average annual global solar radiation; Δi: percentage of variation compared to the scenario BS\_CA; Δi<sub>cold</sub>: percentage of variation  
 527 compared to the scenario BS\_CA in the cold season (autumn - winter); Δi<sub>hot</sub>: percentage of variation compared to the scenario BS\_CA in the  
 528 hot season (spring - summer)

529 The results demonstrate that the reflected radiation due to the increased albedo of Bld\_S1 has a significant  
 530 influence on the solar accessibility of Bld\_N1, even if *Via Brescia*'s height to width ratio is low (H/W = 0.85) and  
 531 the presence of the trees' line separates the two buildings. Furthermore, the seasonal analysis shows that the albedo  
 532 increment in BS\_HA raises the solar accessibility of the entire façade about 10% in both seasons compared to  
 533 BS\_CA. On the contrary, the increment of one story in AS\_CA scenario causes higher losses in the cold season.  
 534 For the bottom floor, the loss is about 9% in the cold season and 3% in the hot season. The upper floor is more  
 535 influenced, with losses of around 16% in hot season and 7% in cold season. The increment of the albedo to 0.95  
 536 in the added story scenario (AS\_HA) produces an increase of around 8% in hot season for the bottom floor and  
 537 7% for the top floor. During the cold season the increment is more relevant for the bottom floor (Δi = +4%), while  
 538 the top floor reaches almost the initial values of the BS\_CA scenario. This confirms that the solar accessibility at  
 539 the lower floors is mostly influenced by the diffuse solar radiation; while, for the upper floors, the reduction of the

540 direct solar radiation due to the additional story has a more relevant effect, which, however, could be completely  
 541 counterbalanced by the high albedo.

#### 542 4.2. Microclimate analysis

543 The microclimate analyses run in this study focused (i) on the identification of hot spots, (ii) on the assessment  
 544 of the impact of the different scenarios above described and (iii) on the mitigation effects provided by green  
 545 façades.

##### 546 4.2.1. Localization of the hot spots and evaluation of human thermal comfort

547 The microclimate analysis of the BS\_CA scenario shows that the peak of the thermal stress are achieved at  
 548 15:00. At this time, the spatial distribution of the meteorological parameters that influence the human energy  
 549 balance (i.e.  $T_{air}$ ,  $T_{mrt}$ ,  $W_s$  and RH) and the consequent physiological stress at pedestrian level evaluated with PET,  
 550 have been assessed for several points of the case study district. The hot spots are localized in the middle of *Via*  
 551 *Palermo* and *Via Cagliari* (Figure 12a) where  $T_{air}$  reaches 38.4 °C and 38.2 °C respectively;  $T_{mrt}$  is higher than 70  
 552 °C and PET higher than 45 °C in both the urban canyons (Table 13).

553 Table 13 – Microclimatic characteristics of significant spots in the canyons with same orientation, i.e., *Via Palermo*, *Garden*, *Via Brescia*  
 554 and *Via Cagliari*.

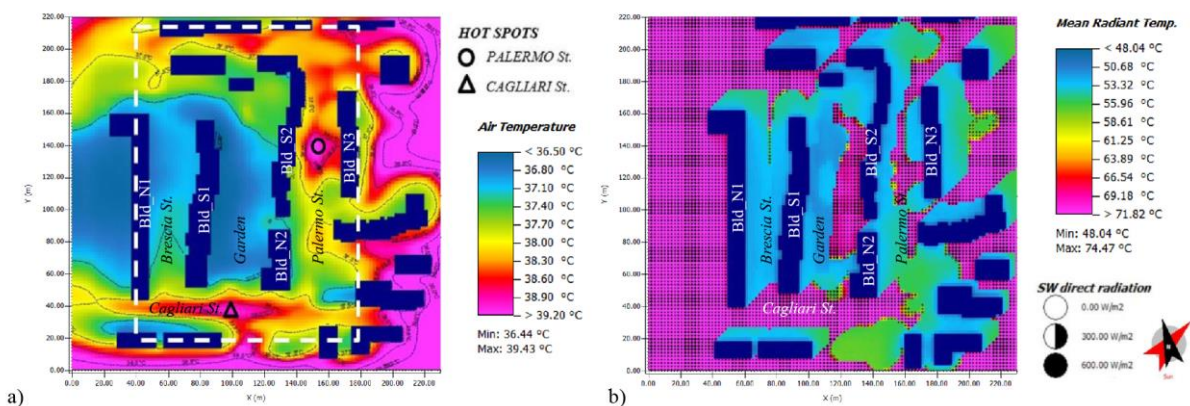
| Urban canyon        | H/W  | Ground  | $T_{air}$ [°C] | $T_s$ [°C] | $T_{mrt}$ [°C] | Irr <sub>SW</sub> [W/m <sup>2</sup> ] | Ws [m/s] | PET [°C] |
|---------------------|------|---------|----------------|------------|----------------|---------------------------------------|----------|----------|
| <i>Via Palermo</i>  | 0.60 | Asphalt | 38.36          | 48.80      | 71.60          | 580                                   | 0.2      | 49.1     |
| <i>Garden</i>       | 0.56 | Loamy   | 36.60          | 45.20      | 71.00          | 570                                   | 0.4      | 46.0     |
| <i>Via Brescia</i>  | 0.84 | Asphalt | 36.80          | 35.60      | 54.00          | 110                                   | 0.4      | 38.3     |
| <i>Via Cagliari</i> | 0.78 | Asphalt | 38.13          | 49.50      | 72.80          | 590                                   | 1.2      | 47.2     |

555 Other hot spots are localized in the Southern part of *Via Brescia*, at the intersection with *Via Cagliari*, both in  
 556 the middle and in the proximity of the buildings Bld\_S1 and Bld\_N2. In the *Garden*, the higher temperatures occur  
 557 in the Northern part at the corner of Bld\_S2, while the lowest values of the entire domain are registered around the  
 558 Bld\_S1. Compared to the *Garden* area, the hot spot in *Via Palermo* is characterized by higher  $T_{air}$  and  $T_s$  even  
 559 though  $T_{mrt}$  and Irr<sub>SW</sub> intensity is practically the same despite the different ground material—*asphalt in Via Palermo*  
 560 and loamy soil in the *Garden*. Compared to *Via Brescia*, *Via Palermo* is characterized by a lower aspect ratio  
 561 (H/W) and a higher incoming Irr<sub>SW</sub>, which determine higher values of  $T_{air}$ ,  $T_{mrt}$ ,  $T_s$  and PET. The hot spot in *Via*  
 562 *Cagliari*, shows a reduction of PET of about 2°C compared with the one in *Via Palermo*. Hence, despite having  
 563 similar  $T_{air}$ ,  $T_s$ ,  $T_{mrt}$  and Irr<sub>SW</sub> values and a higher H/W ratio, the conditions in *Via Cagliari* are mitigated by the  
 564 higher  $W_s$  in the urban canyon.

565  $T_{mrt}$  is one of the most important parameters that influence PET.  $T_{mrt}$  includes Irr<sub>SW</sub> and Irr<sub>LW</sub> radiation fluxes  
 566 (both direct and reflected) to which a human body is exposed. *ENVI-met* calculates directly  $T_{mrt}$  in two dimensions  
 567 by taking as input all-sky global radiation, environmental air temperature, vapor pressure, and wind speed and  
 568 direction [11,70]. Figure 12b shows the spatial correlation between  $T_{mrt}$  and Irr<sub>SW</sub> values during the peak hour [71–  
 569 73]. Shadowed areas (Irr<sub>SW</sub> = 0 W/m<sup>2</sup>) match those having lower  $T_{mrt}$  values, while areas with the highest  $T_{mrt}$   
 570 correspond to those fully sunlit, which are represented as black dots. With  $T_{mrt}$  values above 46 °C in the entire  
 571 domain, the PET results everywhere above 38 °C, therefore in the grade of physiological strong heat stress (35 °C  
 572 < PET < 41 °C).

573 From the analysis of the BS\_HA scenario, two major evidences arise. Firstly, a multitude of microclimate  
 574 conditions exists within a same neighborhood, and even along the same building's façades; this outcome is in  
 575 accordance with previous studies of Santamouris et al. [74]. In this regard, at the same hour of the day (15:00), the

576  $T_{air}$  can differ even by 2 °C in different hot spots of the same district. The three urban canyons in *Via Palermo*, *Via*  
 577 *Brescia* and in the *Garden* are quite different from each other: *Via Palermo* is a main road with just few isolated  
 578 trees; the *Garden* has a strong presence of natural ground (i.e. loamy soil and grass); while *Via Brescia* is a service  
 579 road highly shadowed by the row of trees alongside it. They also differ in the aspect ratio and shadow pattern. The  
 580 hot spots are located in the middle of two streets with different orientation, *Via Palermo* and *Via Cagliari*. *Via*  
 581 *Palermo* is the warmest area due to the combined effect of maximum incoming  $Irr_{SW}$  and minimum  $W_s$  on an area  
 582 with artificial ground material (i.e. asphalt). Indeed, despite the presence of buildings and trees shadows, the  $Irr_{SW}$   
 583 is not reduced in the hot spot area during the central hours of the day. This aspect, combined with the low  $W_s$  due  
 584 to the district morphology, affects the increase of  $T_{air}$ ,  $T_s$  and  $T_{mrt}$ , which are factors that strongly influence the  
 585 human thermal comfort at pedestrian level (i.e. PET value).



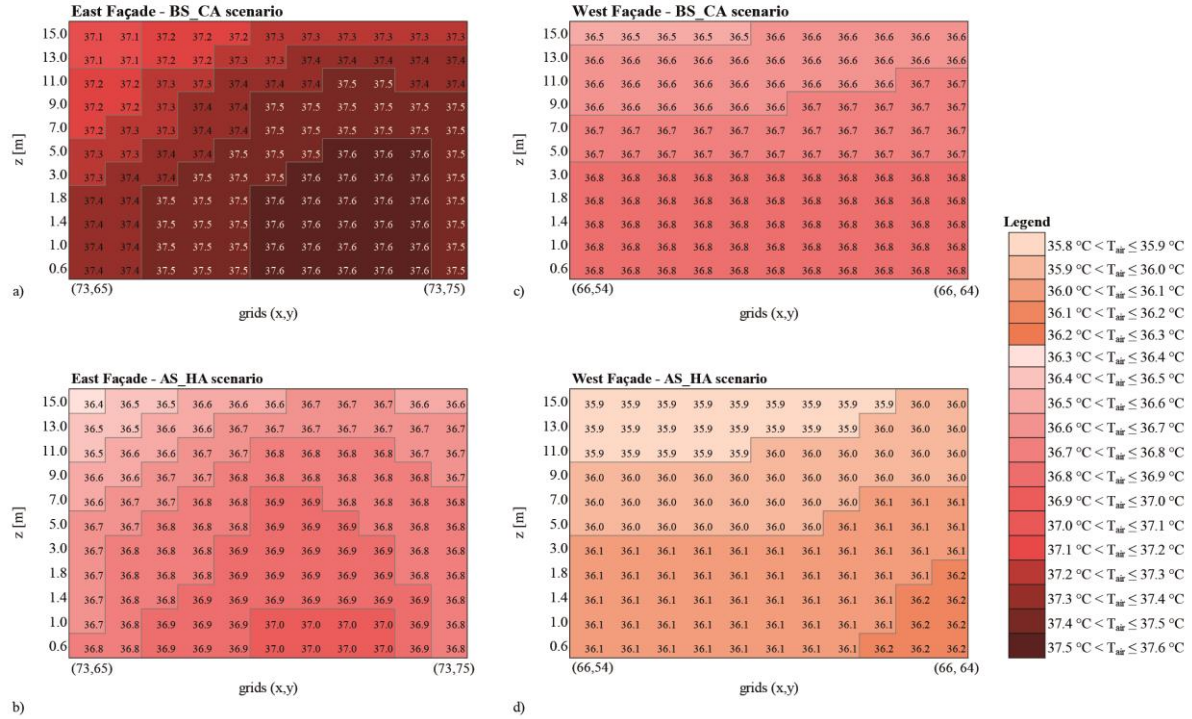
586 a) b)  
 587 Figure 12 – Spatial distribution of  $T_{air}$  (a) and the correlation of spatial distribution between the  $T_{mrt}$  and  $Irr_{SW}$  (b) at 15:00 at 1 m height from  
 588 the ground. The visualizations have been generated by Leonardo tool.

589 Secondly, the temperature during the central hours of the day remains high and thermal stress cannot be reduced  
 590 in any canyon, despite the presence of aboveground leafy growth in the district and the wide garden in-between  
 591 the two analyzed buildings. Concerning this evidence, it is reasonable to state that by reducing the vegetation, the  
 592 district would be hit by even higher temperatures. Indeed, according to Santamouris et al. [74], the low evaporative  
 593 heat flux in cities is the most significant factor causing the increase of temperatures in urban areas. This is observed  
 594 to some extent in the *Garden*, where the evapotranspiration from the natural ground (loamy soil and grass)  
 595 contributes to reduce the  $T_{air}$ ,  $T_s$  and  $T_{mrt}$  compared to the other canyons with asphalt.

#### 596 4.2.2. Impacts of urban morphology modifications on the microclimate

597 The modifications of urban surfaces, which have been tested in the different scenarios, does not provide any  
 598 significant change on microclimate conditions. Indeed, only  $T_{air}$  and  $T_{mrt}$  slightly vary, without any relevant  
 599 consequent improvements on human thermal comfort ( $PET > 36$  °C).

600 However, some local benefits could be noted. In the configuration with one added story, AS\_CA, the maximum  
 601 value of  $T_{air}$  is lowered by almost 1°C and the benefit is confirmed also in the scenario with higher albedo, AS\_HA.  
 602 The  $T_{mrt}$  decreases no more than 1.8 °C in both scenarios; such decrement does not improve considerably the PET  
 603 values compared to the BS\_CA scenario. Nevertheless, locally and in proximity to the façades of the building, the  
 604  $T_{air}$  present some improvements. In the scenarios BS\_HA and AS\_HA, the distribution of  $T_{air}$  was analyzed on the  
 605 film layer adjacent to the East and West façades of building Bld\_S2 (Figure 13) registering a reduction of 0.7 °C.  
 606 This is caused by the high albedo finishing material on the building façade as demonstrated in several studies in  
 607 literature [75,76].

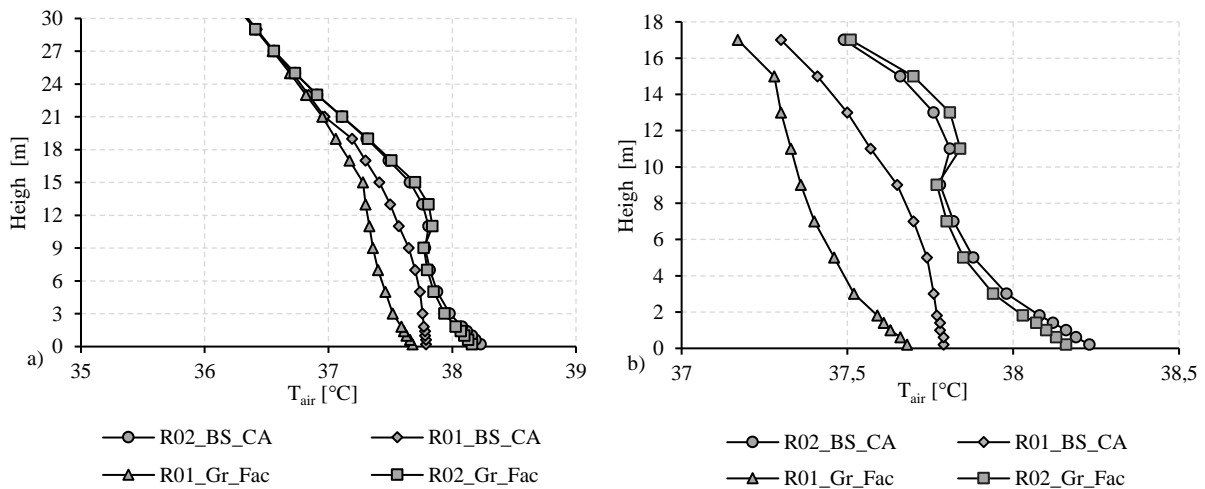


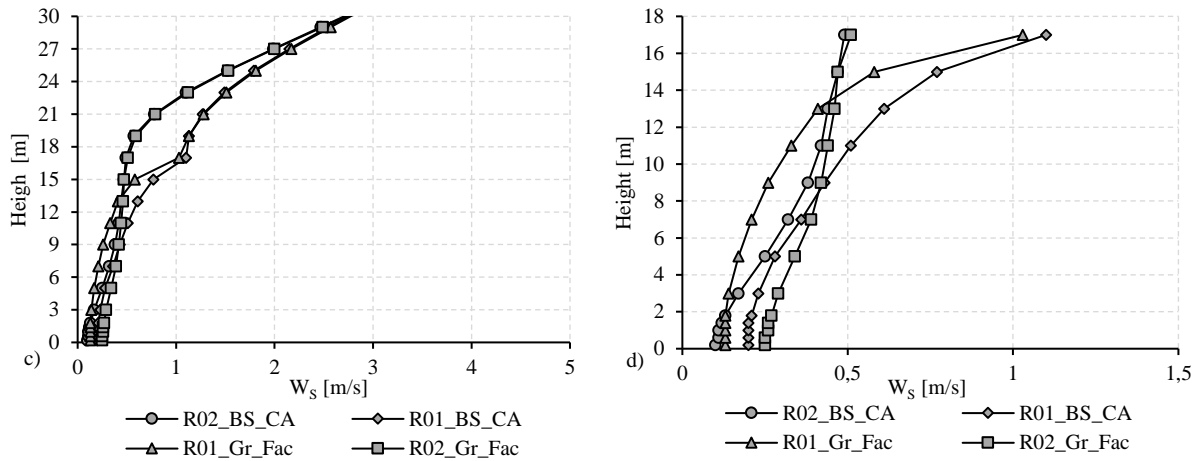
608

609 Figure 13 – Comparison between BS\_CA and AS\_HA scenarios of  $T_{air}$  distribution on film layer of East (a,b) and West (c,d) façades of  
 610 Bld\_S2.

611 4.2.3. Impacts of green façade installation on microclimate

612 On the building Bld\_S2, the installation of the green façade has been evaluated as further potential design  
 613 intervention. The outcomes of the microclimate analyses show that the presence of the green façade allows to  
 614 reduce of about 0.3 °C the  $T_{air}$  at the adjacent layer of the analyzed façade, and up to 0.5 °C the  $T_{air}$  at the hot spot  
 615 in Via Palermo (Figure 14). With regard to thermal comfort conditions, PET in the hot spot is decreased of 0.8 °C,  
 616 from 49.1 °C in the baseline scenario BS\_CA to 48.3 °C in the Gr\_Fac one, although the level of thermal stress  
 617 on the human body remains in the grade of physiological extreme heat stress (PET > 41 °C).





618 Figure 14 – Trend of  $T_{air}$  (a) along the entire domain and (b) for the height of the façades, and  $W_s$  (c) at R01 along the film layer adjacent of  
 619 the analyzed façade of Bld\_S2 and (d) at R02 in proximity of the hot spot in *Via Palermo* in BS-CA and Gr\_Fac scenarios.

620 The trend of the  $T_{air}$  varies along the height of the façade (data from receptor R01 positioned at the bottom of  
 621 the façade). The highest reduction is registered in the middle, while, on the bottom and top, the benefit given by  
 622 the presence of the green is almost negligible (Figure 14b). Furthermore, the presence of the vertical greening  
 623 halves the intensity of the  $W_s$  in the bottom and central part of the façade (Figure 14 c and d). Almost no benefits  
 624 have been observed, due to the presence of the green façade, at receptor R02, which is positioned at the hot spot  
 625 in *Via Palermo*. These findings are quite aligned to the outcomes of similar studies in literature [77–83] and the  
 626 small effect strongly depends on the low density of the chosen foliage of the trees' crown. Still, given the local  
 627 benefits in terms of air temperature mitigation and consequently on thermal stress at pedestrian level, the  
 628 installation of the green façade has been taken into account for the first floors of the Bld\_S1.

#### 629 4.3. Urban airflow analysis

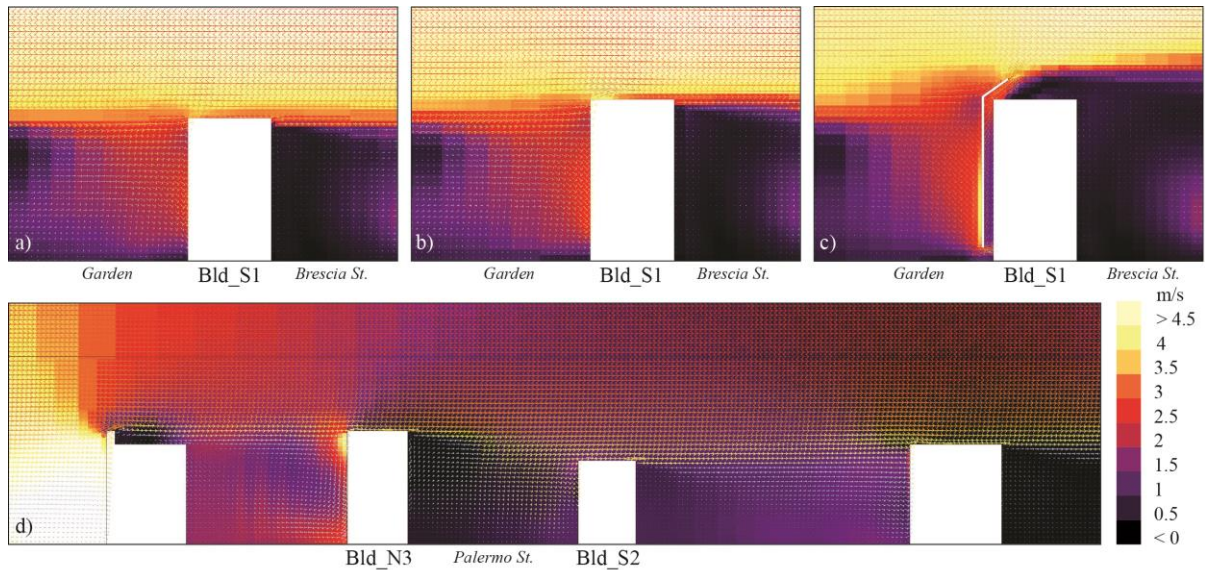
630 In this study, CFD analyses at urban scale were conducted in order to study the ventilation effect given by the  
 631 airflow conditions in proximity to the East façades of both buildings Bld\_S1 and Bld\_S2 for assessing the  
 632 effectiveness of a technological solution consisting of a DSF coupled with BiPV (AS\_DSFBIPV scenario).

##### 633 4.3.1. Assessment of ventilation effect for the installation of a double skin façades

634 In the scenario BS (Figure 15a), the wind speed in proximity to the façade varies from 1 m/s at the bottom floor  
 635 to 2.5 m/s in the upper part of the façade of Bld\_S1. With the addition of one story (i.e. AS scenario), the wind  
 636 speed increases to values greater than 2 m/s along almost the entire façade and reaches 3.5 m/s on the top floor  
 637 and on the roof (Figure 15b). This is explained by the fact that adding one story, Bld\_S1 becomes higher than  
 638 Bld\_N2 and, hence, the influence of the nearby buildings is reduced in the upper part of the building causing an  
 639 increase of the wind speed. Furthermore, the wind flow is quite perpendicular to the façade and the wind shadow  
 640 caused by Bld\_N2 and Bld\_S2 has only a moderate influence. In AS\_DSFBIPV scenario, the wind speed in the  
 641 cavity of the double-skin façade is around 1.5 m/s on almost the entire height of the building and increases to 2.5  
 642 m/s on the upper part of the façade, which was the area defined for installing BiPV from the previous analysis  
 643 described in Section 4.1.1 (Figure 15c). As demonstrated in previous studies [84–86], the reached intensity of the  
 644 wind speed through the cavity is suitable to reduce the operative temperature of the solar system and increase the  
 645 efficiency and the durability of the PV modules [87,88]. An additional benefit provided by this solutions was  
 646 already proved by Mirzaei [85] who demonstrated that the presence of an air cavity behind the PV can decrease



647 the mean surface temperature of around 8 °C and the maximum surface temperature of more than 10 °C for wind  
648 velocities around 2 m/s and incident radiation of 200 kWh/(m<sup>2</sup> a).



649  
650 Figure 15 – Wind speed profile resulting from the CFD analyses. In the section AA' (Figure 10) (a) Building Bld\_S1 - Scenario BS; (b)  
651 Building Bld\_S1 - Scenario AS; in the section BB' (Figure 10) (c) Building Bld\_S1 - Scenario AS\_DSF; (d) Building Bld\_S2 - Scenario AS.

652 A CFD analysis was conducted for Bld\_S2 in order to define whether the wind speed and direction in proximity  
653 to the East façade are convenient for installing a DSF (Figure 15d). The wind speed up to the first half of the façade  
654 (i.e. lower three floors) is around 1 m/s and decreases to about 0.5 m/s on the fourth and fifth floor, as a result of  
655 the shadow caused by Bld\_N3. On the top floor and the roof, the wind velocity reaches values around 1.5 m/s.  
656 Furthermore, the prevalent direction of the airflow is not perpendicular but quite parallel to the façade, due to the  
657 presence of the urban canyon of *Via Palermo* that channel the wind flow along its direction without providing any  
658 advantage to the analyzed façade. For all these reasons, Bld\_S2 was not considered suitable for installing a DSF  
659 and the roof was maintained flat.

#### 660 4.4. Solar potential analyses to assess the installation of BIPV systems on double skin façade

661 The last set of simulations was conducted on the surfaces of the DSFs, in the configuration resulted from the  
662 CFD analysis, in order to estimate the suitability of solar systems installation, such as the integration of PV panels.  
663 The maximum levels of global solar radiation have been calculated for (i) horizontal surfaces and (ii) surfaces with  
664 optimal tilt (38° from the horizontal) and azimuth (7.5° West) (Table 14). The solar potential of the tilted surfaces  
665 of Bld\_S1 resulted 12% lower than the corresponding maximum value for tilted angle and azimuth. The reason  
666 for this significant reduction is twofold: (i) the azimuth of the building (8° E) is rotated 15.5° compared to the  
667 optimal one (7.5° W), and (ii) the incoming solar radiation is influenced by the complex overshadowing effects  
668 due to the presence of the surrounding buildings. However, the solar potential of the tilted surfaces is higher than  
669 the horizontal ones; therefore, those surfaces are more suitable for the installation of solar systems than the flat  
670 roof, which has been considered in the added story scenarios. The upper part of the vertical façade (i.e. from fifth  
671 floor up) results also suitable for installing solar systems with average  $Irr_{gl}$  values of around 600 kW h/(m<sup>2</sup> a). With  
672 regard to Bld\_S2, solar analyses were conducted for two different configurations. Initially, the solar potential of  
673 East façade surfaces with optimal tilt was estimated. In this configuration, the average  $Irr_{gl}$  resulted 21% lower  
674 than the maximum solar radiation for unobstructed surfaces with optimal tilt and azimuth.

675 Table 14 – Solar analyses on the surfaces of the DSFs of Bld\_S1 and Bld\_S2.

| Object                           | Surface description   | Irr <sub>gl</sub> [kW h/(m <sup>2</sup> a)] | Δi   |
|----------------------------------|---|---|------|
| Maximum values on a surface      | Horizontal surface*   | 1046  |      |
|                                  | Surface with optimal tilt (38 ° from horizontal) and azimuth (7.5 West) * | 1173  |      |
| Values on the roof of Bld_S1     | Bld_S1 - Roof with optimal tilt (38 ° from horizontal)                    | 1047  | -12% |
| Values on the roof of the Bld_S2 | Bld_S2 - Roof with optimal tilt (38 ° from horizontal)                    | 970   | -21% |
|                                  | Bld_S2 - Flat Roof  | 1017  | -3%  |

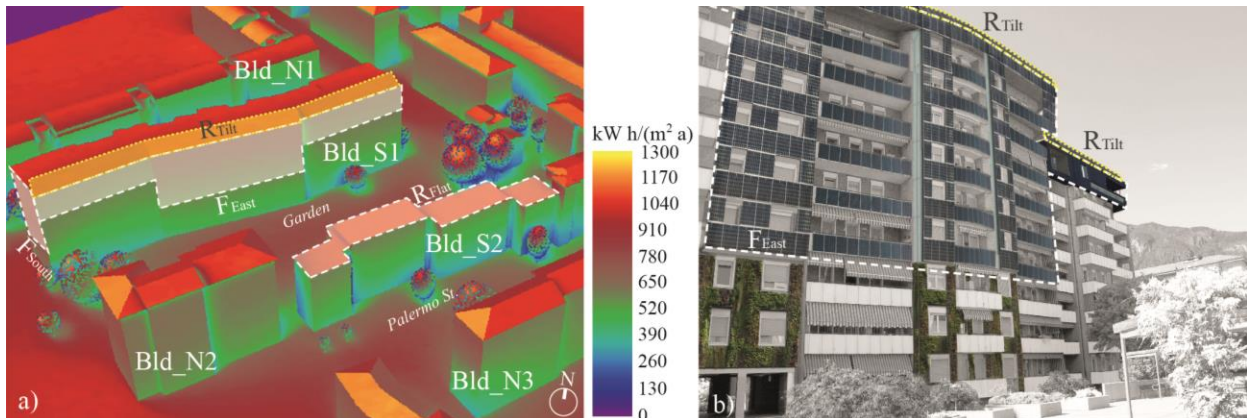
676 Irr<sub>gl</sub>: average annual global solar radiation; Δi: percentage of variation compared to the reference maximum values

677 \* Solar radiation calculated on unobstructed surfaces with optimal azimuth and tilt

678 In the second configuration, the Irr<sub>gl</sub> of the flat roof was estimated equal to 1017 kW h/(m<sup>2</sup> a). This value is 3%  
 679 lower than the maximum Irr<sub>gl</sub> for an unobstructed horizontal surface (Table 14). The results obtained in the  
 680 previous steps of the study already highlighted the low solar radiation levels (section 4.1) and the poor airflow in  
 681 the proximity of the building (section 4.3). Therefore, the findings from these further solar potential analyses  
 682 confirm the inconvenience to install a double-skin façade for Bld\_S2, while the horizontal surface of the roof has  
 683 adequate solar radiation (Irr<sub>gl</sub> higher than 1000 kW h/(m<sup>2</sup> a)) for installing solar systems.

684 *4.5. Final configuration of the design and technological interventions to optimize the use of the buildings’*  
 685 *surfaces to exploit RES*

686 The conducted analyses have provided clear indications on the design and technological interventions that might  
 687 be applied in the case study district. From the solar analyses (Section 4.1 and 4.4), the most suitable surfaces to  
 688 install solar systems (highlighted in dashed line in Figure 16a) have been identified.



689 Figure 16 – (a) Identification of the surfaces suitable for installing solar systems in the final optimized scenario. (b) Visualization of the final  
 690 design interventions on the façade of Bld\_S1 in the scenario with one added story volume: green façade on the first three floors and DSF  
 691 system coupled with BIPV from the fourth floor.  
 692

693 When designing the installation of solar systems on the façades of the Bld\_S1, only the upper floors (i.e. from  
 694 fourth floor up to R<sub>Tilt</sub> in Figure 16a) should be considered in order to avoid both the overshadowing effect from  
 695 the surrounding buildings on the bottom floors and any eventual impact of the systems in increasing the T<sub>s</sub> and  
 696 outdoor T<sub>air</sub>, with consequently effect on the thermal stress at pedestrian level. In that regard, in order to exploit  
 697 the local benefits in terms of air temperature’s reduction, the green façade should be installed on the first floors  
 698 (Figure 16b), while the DSF system should be inserted from the fourth floor up, in order to avoid the wind shadow  
 699 caused by the buildings in front (i.e. Bld\_S1 and Bld\_N2). The conducted analyses have also demonstrated that,  
 700 for Bld\_S2, different design interventions should be applied. For example, high albedo materials should be used  
 701 on the façades in order to increase the solar radiation reflection that allows to counterbalance the overshadowing

702 effect created by Bld\_S1, as demonstrated by the conducted solar analyses. The same design intervention should  
703 be applied on the Northern side of Bld\_S1 in order to counterbalance the overshadowing effect created on Bld\_N1.  
704 Finally, on Bld\_S2, solar active systems such as PV or ST should be installed on the flat roof ( $R_{Flat}$  in Figure 16a),  
705 which is the most radiated surface.

## 706 **5. Limitations of the study**

707 This section presents some limitations of the study that might represent its possible future developments. Firstly,  
708 the energy production from solar systems has not been estimated in this study given that its main focus is related  
709 to demonstrate the application of the proposed holistic approach in the early design phases to assess the exploitation  
710 of RES for bioclimatic strategies as well as design and technological interventions. The calculation of energy  
711 production derived from the PV and ST panels might be conducted in a further phase of the design process, when  
712 all the design choices have been taken. In that regard, more accurate software such as *PVsyst* and/or *Polysun*,  
713 which calculate the energy production not only using the efficiency of the systems, but also considering all energy  
714 losses of the plant components and the grid, should be used. Good et al. [9] demonstrated that, by combining  
715 modelling design tools (i.e. *Rhinoceros* and *Grasshopper*) with dynamic solar simulation software (i.e. *DIVA-for-*  
716 *Rhino*, *Radianc* etc.) and dedicated tools for energy outputs estimation (i.e. *PVsyst* and/or *Polysun*), a thorough  
717 and precise calculation of the energy production from solar systems could be reached. In this way, all the critical  
718 aspects, from overshadowing effect and solar reflections, to the energy losses from the systems and the grid can  
719 be taken into account. Furthermore, a future development of the study in terms of solar accessibility might include  
720 analyses of indoor and outdoor daylight at ground level, given its significant influence on the users' perceived  
721 visual comfort in and around the buildings, as well as on the energy consumption of the buildings.

722 Secondly, in relation to the microclimate analysis, some remarks on the results presented in this study are worth  
723 to be observed. The model outputs strongly depend on the quality of the data used to force the model. For this  
724 study, the input climatic data (i.e.  $T_{air}$ , RH, average  $W_s$ , and prevalent wind direction) were not collected directly  
725 on site, as this was not possible at the time of the investigation, but from a weather station located on the city  
726 hospital roof, at a distance of about 1700 m North-West from the neighborhood site. Furthermore, some other  
727 uncertainties must be considered in relation to  $T_{air}$ ,  $T_s$ ,  $T_{mrt}$ , and  $Irr_{SW}$ , which can suffer from overestimation due  
728 to the following reasons:

- 729 • The model does not account for possible positive effects caused by local increments of wind speed at  
730 street level. Indeed, *ENVI-met* computes the  $W_s$  starting from the mean value measured at 10 m height,  
731 but the turbulence in a street canyon is normally higher than at higher levels [42], and hence might  
732 favorite a local reduction of  $T_{air}$ .
- 733 • *ENVI-met* does not allow taking into account the shadow given by far objects such as the mountains.  
734 In this regard, it has to be observed that the critical location of the district within the city of Bolzano,  
735 which is located in a valley surrounded by mountains, could have relevant impact on the total incoming  
736  $Irr_{SW}$ .
- 737 • *ENVI-met* cannot simulate moving water systems like rivers and fountains [11]. Therefore, the  
738 evaporative cooling effect of the river on the Southern part of the case study district cannot be  
739 analyzed, thus contributing to overestimate the  $T_{air}$  and PET [72].

- 740           • On the other hand, the overestimation due to reasons above explained might be counterbalanced by  
741           the impossibility to take into account the anthropogenic heat from both cooling systems [12] and traffic  
742           during most critical periods.
- 743           • Modelling the green façade in *ENVI-met* resulted quite difficult due to the lack of a specific function  
744           in the program. This aspect was overcome by creating an own solution, which presents some  
745           limitations in terms of modeling and elements' properties.

746           Regarding the methodology adopted for the CFD analyses conducted in this study, the limited computational  
747           power of the workstation used for running the simulations (Intel Core i7-4720HQ @ 2.60GHz, 8 GB RAM) forced  
748           the authors to adopt some simplifications in the model. The presence of trees and vegetation has not been  
749           considered due to difficulties in creating the related meshes with the aim of not increasing excessively the  
750           computational time. However, several studies demonstrated the relevant influence of urban greenery on the airflow  
751           in urban areas [89]. Furthermore, the dimensions of the computational domain were reduced in comparison to the  
752           one recommended as best practice for CFD analyses of urban areas [90,91].

753           Finally, the effect on the indoor thermal conditions of finishing materials installed on the building envelope  
754           should be deeper analyzed. As resulted from several studies, the application of “cool materials” (i.e. materials with  
755           high solar reflectance) on the building envelope produces benefits in terms of indoor thermal comfort [92,93].  
756           Advantages are registered also on energy savings by decreasing the heat flow entering the building [93] as well as  
757           reducing the cooling loads in air-conditioned buildings and by increasing comfort in free-running buildings.  
758           Furthermore, the application of cool materials could also provide benefits on thermal heat stress and reduction of  
759           global warming [94].

760           The future developments of the study will focus to address all these limitations.

## 761           **6. Conclusions**

762           The main purpose of the study was to develop and test a holistic approach of environmental analyses for  
763           optimizing the use of urban surfaces at district level. The adoption of the proposed methodology, in which several  
764           environmental parameters were considered, and different numerical models were used, led to the optimized  
765           identification of potential design interventions in term of (i) solar active and passive strategies, (ii) outdoor  
766           microclimate and thermal comfort, and (iii) ventilation strategies. The findings of the study have both practice and  
767           policy implications.

### 768           6.1. *Practical implications*

769           The preliminary analysis of the climate of the city demonstrates the importance of taking into consideration  
770           multiple climatic factors already before, and during the actual early design phase, to propose bioclimatic strategies,  
771           and to make the best use of the RES potentiality at district scale.

772           The solar analyses have demonstrated the important role played by urban morphology characteristics, such as  
773           aspect ratio of urban canyon, and building envelope characteristics, such as finishing materials of the façades,  
774           when enhancing solar accessibility and solar potential at district scale. The analyses provided evidence that:

- 775           • As demonstrated in previous studies [95], preliminary 2D and/or 3D sun path and solar potential analyses  
776           on a building or group of buildings located in a complex built environment are an essential support  
777           instrument to study the impact of the urban surrounding in terms of solar accessibility and overshadowing  
778           effect [96,97]. Early stage analyses allow to localize the most suitable areas for solar systems installation

779 on the buildings' envelope and to minimize the losses given by urban compactness and high density of  
780 the existing urban areas, especially in the case of refurbishment interventions [98].

- 781 • The shadowing on the surrounding buildings, caused by the addition of one story, can be compensated  
782 by an increase of the building surfaces albedo.
- 783 • The added story, being usually unshaded, is suitable for installing active solar systems and therefore can  
784 strongly contribute to the overall efficiency of the district through the production of solar energy, which  
785 can cover a quite consistent amount of the total energy needs.
- 786 • When designing solar systems with the objective to maximize the solar potential, it is not sufficient to  
787 consider only the optimal tilt angle of the surfaces on which they are installed. The azimuth of the systems  
788 and the characteristics of the surrounding environment (e.g., buildings and trees creating overshadowing)  
789 also have a great influence and should be taken into account.

790 In terms of microclimate conditions, the major evidences emerged are:

- 791 • A passive solution such as the change of finishing materials' albedo does not consistently modify the  
792 climate parameters of air temperature, surface temperature, and mean radiant temperature in the urban  
793 canyons. Instead, the increment of the height of the buildings reduces the air temperature in the urban  
794 canyons at street level and along the film layer close to the façades.
- 795 • Thermal comfort cannot be easily achieved during a typical hot summer day in a continental climate city  
796 [99].
- 797 • The air temperature at street level tends to increase when high albedo materials are employed, although  
798 the increment is negligible. Instead, the air temperature decreases slightly by incrementing the height of  
799 buildings due to the consequent increase of the aspect ratio of the urban canyon. This fact reduces the sun  
800 exposure of the hot spot areas during the warmest hours of the day

801 The combination of solar analyses with CFD simulations plays a key role in the design of DSFs and BIPV  
802 systems. The results from these analyses highlight the following aspects:

- 803 • The airflow in complex urban environment could be channeled in urban canyons and create turbulences  
804 and prevalent wind directions suitable to activate active and passive strategies at building and district  
805 scales.
- 806 • Conducting ventilation analyses in the preliminary phases of the design process allows to define the  
807 optimal location and geometry of the façade, and to guarantee a level of airflow on the back of the PV  
808 modules suitable to reduce their surface temperatures, hence increasing their efficiency and durability  
809 [87,88].
- 810 • The aspect ratio of the urban canyons, the finishing materials of the façades, and the design of the building  
811 envelope in terms of ventilation strategies and green solutions are key aspects that designers and  
812 municipalities should take into account before and during the early planning phases of design  
813 interventions.

814 Finally, a further development of the presented study might be the economic evaluation for the proposed  
815 design interventions. An interesting progress of the research might be the quantification of the investment  
816 costs for the installation of solar active systems, double-skin and green façades in order to estimate the  
817 economic feasibility of the interventions by assessing, for example, the payback time of the interventions.

818           6.2. Policy implications

819           The proposed approach could be successfully employed to optimize the use of urban surfaces to enhance  
820 climatic-driven building design interventions and their impact on the microclimate of existing neighborhoods.  
821 Buildings can be considered as “climate modifiers” which should be shaped to take advantage of local weather to  
822 enhance their architectural integrity and environmental quality [100], and to improve outdoor microclimate  
823 conditions in their surroundings. Therefore, the numerical assessment combining environmental and numerical  
824 analyses is helpful to improve the design interventions before the definitive stage. In this way, it is possible to  
825 consider both climate boundary conditions and outdoor impact of retrofit solutions, and to take effective design  
826 decision in the early design stages when adjustments are still possible. Furthermore, this work could be  
827 successfully used to establish a constructive dialog between urban planners, designers and researchers in order to  
828 develop design guidelines and urban planning recommendations to prioritize design interventions in existing urban  
829 areas aimed at optimizing the use of urban surfaces. Finally, the produced energy on site could cover part of the  
830 energy demand of the district in order to initiate a transition towards a self-sustainable urban district. This may  
831 become a good practice for the Italian municipalities and construction decision makers, especially because  
832 interventions of building refurbishment and urban renewal will become very common due to the age of a relevant  
833 part of the Italian building stocks.

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840           **References**

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