

1 **The survival of Atlantic salmon (*Salmo salar*) eggs during dewatering in a river**  
2 **subjected to hydropeaking**

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25 **ABSTRACT**

26 Hydropeaking in regulated rivers is likely to become more frequent with increasing demands for  
27 renewable energy. Sudden fluctuations affect surface and subsurface flow regimes and change  
28 hydrological interactions occurring in the hyporheic zone. The hyporheic zone plays an important role  
29 for salmon embryonic development, and groundwater influx may create refuges for egg survival  
30 during low flow in hydropeaking regulated rivers. The links between salmon embryo survival and  
31 hyporheic hydrological processes during hydropeaking have hardly been investigated.

32 A field experiment was undertaken in a 5 x 20 m side gravel bar subject to dewatering due to  
33 hydropeaking. Eleven cylindrical boxes composed of 8 compartments were placed in the permanently  
34 wet area and the ramping zone. Sixty eggs were placed in two compartments (at 10 and 30 cm depth)  
35 in each box. Surface and interstitial water levels and temperatures were monitored at 2 min resolution.  
36 Data was collected for a period of three months, coinciding with early stages of salmonid egg  
37 development in this catchment. Egg compartments were checked on 6 occasions for survival after  
38 different hydropeaking events. Dead eggs were counted and removed. Survival rates were lower in the  
39 top compartments in the ramping zone (78%) compared to the boxes in the permanently wet area and  
40 the lowermost compartments in the ramping (survival rates >99%). With no water quality issues in the  
41 catchment and very low inputs of fine sediments in the egg compartments, exposure to dry conditions  
42 and sub-zero temperatures were the main factors explaining egg mortality in the top compartments of  
43 the ramping zone. The rate of survival will thus depend on the surface water and groundwater  
44 interactions. Site specific hydrological interactions occurring in the hyporheic zone should be actively  
45 considered when managing fish populations in rivers with hydropeaking.

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47 **Keywords:** hydropeaking, salmon egg survival, hyporheic zone

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## 59 1 Introduction

60 The demand for electric energy is growing and suppliers search for efficient and sustainable sources.  
61 Storage potential and load balancing have become key issues in the current deregulated energy market  
62 with an increasing production of renewable energy from wind and solar sources. Norway, with a 50%  
63 of total energy storage potential in Europe through its hydropower system has a great potential for  
64 storage and load balancing. Hydropower is a well suited source for load balancing being the only  
65 renewable with a feasible storage potential and with high production flexibility. This has led to an  
66 increased use of hydropeaking, causing more frequent and rapid changes in flow downstream of power  
67 plants, thereby creating unnatural flow changes.

68 Flow fluctuations are a natural phenomenon in temperate rivers, and flow dynamics play an important  
69 role for aquatic organisms. In general, regulated rivers have different instream flows without large  
70 short-term variations, but rapid flow changes during hydropeaking operations are gaining increasing  
71 interest, as they may alter the riverine habitat dramatically, leading to dewatered riverbeds and  
72 affecting riverine organisms through stranding (Cushman, 1985; Hunter, 1992; Hvidsten, 1985;  
73 Saltveit *et al.*, 2001). In particular, hydropeaking may also lead to the dewatering of redds containing  
74 developing eggs for various periods and lengths of time (Young *et al.*, 2011).

75 Atlantic salmon (*Salmo salar*) typically spawn in the autumn, by burying their eggs in redds 10 to 30  
76 cm into river gravels (de Gaudemar *et al.*, 2000; Mills, 1989). The high embryo and alevin survival  
77 rates in natural conditions, typically about 100% (Elliott, 1984), illustrate that these stages are well  
78 protected in the gravel, although they have no capacity to evade malign abiotic factors.

79 Egg and embryo development occurs during winter and their survival is dependent on the relationship  
80 between subsurface and surface water (Schmidt and Hahn, 2012) and on a range of biotic and abiotic  
81 factors, including hyporheic water quality, water delivery rate, temperature and gravel composition  
82 and the complex interaction between these (Gibbins *et al.*, 2008; Malcolm *et al.*, 2003; Malcolm *et al.*,  
83 2008). The varying patterns of subsurface-surface water interactions may generate a spatial and  
84 temporal mosaic and consequently complex conditions for egg development and egg survival  
85 (Malcolm *et al.*, 2009). The hyporheic zone may therefore be functionally important, creating  
86 heterogeneity in habitat and spawning sites with regards to flow, temperature and oxygen (Power *et*  
87 *al.*, 1999).

88 The active use of the hyporheic zone by salmonids has been studied with respect to redd site selection,  
89 egg deposition and survival, as well as fry development and the use of favourable groundwater inflow  
90 sites for spawning and juvenile fish survival (reviewed by Heggenes *et al.* (2011)). The hyporheic  
91 zone provides low velocity micro-niches, protection or refuges against extreme temperatures,

92 desiccation and predation, and provides stable substrate during bedload movement. Salmonids often  
93 spawn in habitats where groundwater inflows occur, and their spawning success may be dependent on  
94 such habitats (Baxter and McPhail, 1999; Garrett *et al.*, 1998; Hansen, 1975). For brook trout, the  
95 presence of groundwater in the spawning and incubation habitat appears to be critical for reproductive  
96 success (Curry *et al.*, 1991; Fraser, 1985; Gunn, 1986). However, living conditions in the hyporheic  
97 can also be negatively affected, such as fish embryo mortality due to domination of hypoxic  
98 groundwater (Malcolm *et al.*, 2008). Dissolved oxygen (DO) plays a critical role in the development  
99 of the juvenile stages of benthic spawning fish and salmonids in particular (Sear *et al.*, 2012).  
100 Upwelling groundwater in some Scottish rivers has been identified as the most likely cause of the  
101 major decrease in dissolved oxygen (DO) at redds and the discrepancy found between the numbers of  
102 spawning females and the level of juveniles (Soulsby *et al.*, 2005).

103 The degradation and destruction of valuable spawning and rearing habitats due to anthropogenic  
104 changes (e.g. hydropower, flow modification and channelization) is known to have dramatic impacts  
105 on fish populations (Enders *et al.*, 2007). The dewatering of salmonid redds (Malcolm *et al.*, 2012) is  
106 of great concern for water resource management in regulated rivers. If spawning occurs at high flows,  
107 the consequence will be dewatering, especially under hydropeaking operations (McMichael *et al.*,  
108 2005; Vollset *et al.*, submitted), or if spawning is encouraged at flow levels that cannot be maintained  
109 (Bauersfeld, 1978; Skoglund *et al.*, 2012).

110 Physical and chemical conditions in the redds, such as temperature and relative humidity, will be  
111 altered when spawning areas are dewatered (Neitzel and Becker, 1985; Young *et al.*, 2011). However,  
112 because of unpredictable spatial variability in the intergravel environment and complex interactions  
113 between environmental conditions in natural rivers and the varying response of embryonic stages,  
114 Eggs with embryos seem most tolerant (Becker *et al.*, 1982), although the consequences of dewatering  
115 are not always straightforward (Malcolm *et al.*, 2012). Under natural conditions, eggs of fall-spawners  
116 may freeze and die in cold areas during low flow periods in late winter. This may also occur in  
117 regulated rivers with large annual variations in discharge or during hydropeaking, if flow is reduced  
118 after spawning. This has been documented for Atlantic salmon by Skoglund *et al.* (2012) for regulated  
119 rivers in Norway. However, where there are groundwater inputs, egg mortality due to freezing in  
120 winter can be reduced as groundwater is typically warmer than surface water, rising survival chances  
121 of fry and recruitment (Garrett *et al.*, 1998) and showing an increase in the relative importance of  
122 groundwater during low flows in regulated rivers (Casas-Mulet *et al.*, submitted; Colleuille *et al.*,  
123 2005; Saltveit and Brabrand, 2013).

124

125 Reviewing five case histories of redd dewatering, Becker and Neitzel (1985) concluded that onsite  
126 studies are needed to obtain data for assessment of potential impacts of dewatering situations, and for  
127 development of effective mitigation procedures. In many instances, apparent dewatering of rivers does  
128 not lead to dewatering of the gravels, especially where groundwater upwelling occurs (Curry *et al.*,  
129 1994). Consequently, the complicated interactions between abiotic controls and biotic response mean  
130 that it is hard to predict the impacts of dewatering in the absence of site-specific information (Malcolm  
131 *et al.*, 2012). Furthermore, the complexity of stream processes during winter underscores the need for  
132 interdisciplinary research to quantify biological changes (Cunjak *et al.*, 1998), and knowledge  
133 concerning the interaction between fluctuating flows and hyporheic processes. Of particular interest  
134 are the consequences of somewhat regular sudden stops in hydropower production on hyporheic  
135 processes at a scale relevant for the response of hyporheic fauna. Findings will be important both for  
136 understanding impacts and for mitigation of adverse impacts and management in regulated rivers.

137 The main objective of this study was to evaluate the effect of dewatering on survival of eggs of  
138 Atlantic salmon during several hydropeaking episodes of varying length during different winter  
139 conditions in a regulated river, enabling differentiation between impacts from different hydrographical  
140 conditions and the surrounding environments, focussing especially on the incubation.

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## 142 **2 Methods**

### 143 2.1. Study site

144 The River Lundesokna, located in central Norway, is a 41.2 km long regulated tributary to the River  
145 Gaula (Figure 1A). The Lundesokna hydropower system encompasses the Lundesokna and parts of  
146 other catchments with a total area of 395 km<sup>2</sup> with an average of 3.8 m<sup>3</sup>y<sup>-1</sup> in annual runoff. The  
147 hydropower system consists of three reservoirs, three interbasin transfers and three power plants  
148 (Figure 1A) with a total installed capacity of 61MW and an average annual production of 278 GWh.  
149 Sokna hydro power plant (Figure 1A) operates according to daily and weekly market price fluctuations  
150 vs water availability in the three reservoirs. The lower 4km river stretch below Sokna power plant is  
151 subject to hydropeaking operations (Figure 2) with a typical flow range from 20 m<sup>3</sup> s<sup>-1</sup> to 0.45 m<sup>3</sup> s<sup>-1</sup>.

152 The study site was located in a 30m long and 20m wide lateral gravel bar (Figure 3) with a stable  
153 armoured layer present. It is located 700m downstream from Sokna hydropower plant outlet (Figure  
154 1B) with a hydraulic gradient of 0.29% along the river bend.

### 155 2.2. Experimental design

156 The experimental design was based on the main methods developed in Malcolm *et al.* (2010). A total  
157 of 11 cylindrical boxes were vertically placed in the gravel at both the permanently wet and at the  
158 fluctuating flow areas at the study site (Figure 3). Each box was formed by 8 stacking plastic  
159 compartments screwed together. The internal height and diameter of each compartment was 3 and 6.2  
160 cm, respectively, and each compartment was perforated with several 5 mm diameter holes to permit  
161 water flow through.

162 Thirty eggs were inserted into the second and seventh compartments from the top of each box. These  
163 two compartments were protected with a 1 mm mesh net on the inside to avoid excess of fine  
164 sediments. A 0.5 m long piece of surgical tubing was connected to the two compartments containing  
165 the eggs to allow the extraction of water samples. The other 6 box compartments were filled with  
166 small stones, pebbles and gravel from the river to resemble the surrounding natural conditions and to  
167 exclude light from above. The boxes were then buried, so that the egg compartments were situated at  
168 approximately 0.1 and 0.3 m below the ground. They were numbered and marked and located in pairs  
169 (1m apart) at 5 sites, except for a single box in the furthestmost downstream permanently wet site  
170 (W3).

171 The Atlantic salmon eggs were acquired from a single female from the local hatchery, and fertilized  
172 one week ahead of starting the experiments. The experiments were conducted from 2 December 2011  
173 to 11 April 2012, when the first hatching was registered.

174 A total of six 0.032 m inside diameter Durapipe<sup>TM</sup> were used to construct the piezometers next to each  
175 pair of egg containers (Figure 3). Eijkelkamp<sup>TM</sup> Diver water pressure transducers with integrated  
176 temperature loggers were inserted at each of the piezometers and provided 1 to 4 min resolution water  
177 pressure ( $\pm 0.5$  cm accuracy) and temperature ( $\pm 0.1^{\circ}\text{C}$  accuracy). One Eijkelkamp<sup>TM</sup> Baro Diver was  
178 located at the left bank of the site to measure air pressure ( $\pm 0.5$  cm accuracy) to compensate the  
179 absolute pressure readings in the piezometers, and air temperature ( $\pm 0.1^{\circ}\text{C}$  accuracy). Water elevations  
180 (both surface and interstitial) were computed for each container by interpolation of the surrounding  
181 piezometers. At boxes 1, 4 and 8, ground temperature was monitored at 1 minute resolution at 0.15 and  
182 0.3 m below the ground level using Vemco Minilog II temperature loggers. At box 1, temperature and  
183 dissolved oxygen were monitored by means of Campbell Scientific® CR200 Series.

184 The compartments containing eggs were checked for survival at 6 occasions after periods with several  
185 hydropeaking episodes of varying length. Sampling periods occurred at 11, 18, 38, 48, 78, and 100  
186 days after installation. Dead eggs were counted and removed from the container to avoid the  
187 development of fungi. Eggs were replaced with a new set of 30 eggs only in the compartments with  
188 zero survival. This happened three times during the two first sampling periods. Elevation changes in

189 the container locations due to re-burial were recorded with the aid of a Leica Viva differential GPS.  
190 Water samples were collected from the river and extracted from each of the egg compartments using a  
191 vacuum pump. Sampling was carried out in 5 occasions comprising *in situ* analysis for dissolved  
192 oxygen, conductivity, pH and temperature using a WTW Multi 3410 meter and laboratory analysis for  
193 turbidity. Two 30cm depth granulometry samples were collected manually in the river bed of the  
194 ramping zone at the downstream and upstream points of the gravel bar where the field experiments  
195 took place.

196

### 197 2.3. Data analysis

198 All water quality values were calculated for the top and bottom compartments located in the  
199 permanently wet area and top and bottom compartments located in the ramping zone. These were  
200 compared to the values from the surface water in the river.

201 The granulometry distribution was calculated for each of the two collected samples in the gravel bar.

202 Survival rates were calculated in each egg compartment at the end of each sampling period as the  
203 proportion of surviving eggs in comparison to the initial number of eggs in the compartment.

#### 204 Survival by periods

205 A total of 16 variables were identified and calculated after each of the sampling periods (Table 1, top).  
206 In order to assess their influence on survival related to each individual period, linear regressions  
207 between the proportion of survival and each of the variables were undertaken as a basis for developing  
208 a GLM model with the most significant variables.

#### 209 Survival by locations

210 The survival in the ramping zone was compared to the survival in the permanently wetted area. In the  
211 ramping zone, a total of 9 variables were identified as potentially influencing mortality at the  
212 compartment level. Those are listed and described in Table 1(bottom). Durations of exposure to dry  
213 conditions were calculated by computing the time steps at which interstitial water elevations were  
214 below the bottom of each compartment. Durations of exposures to dry conditions combined with air  
215 temperature below or above zero were also calculated. The depth of each compartment below the  
216 ground was calculated by comparing the ground elevation with the elevation of the compartment top at  
217 its specific location. These data were obtained for every single compartment during each of the

218 surveyed periods. In order to simplify the number of variables, a correlation analysis ( $>0.7$ ) was  
219 undertaken between the 10 variables and only 5 were finally selected for further analysis.

220 A matrix with 96 observations (6 periods in 16 compartments) of the 5 selected variables was created  
221 to assess their relationship with the proportion of survival. A mixed effect model was used to fit all  
222 relevant combinations of the variables, considering the 16 compartments as a random effect. The  
223 simplest model approach was taken by choosing a significant model built with the least possible  
224 amount of variables. Selection was based on significance in variables and the Aikake (AIC) and  
225 Bayesian (BIC) information criterion. Similar fitted values to those in a more complex model were  
226 also taken in account.

227 To further understand the differences in survival between the top and bottom compartments, the  
228 temperature data obtained from cylinders 1, 4 and 8 was analyzed. Temperature distributions in the top  
229 and bottom compartments were analyzed during both exposure to dry conditions and exposure to dry  
230 combined with air temperature below zero. Air temperature below zero was potentially considered an  
231 indicator of frost in the ground.

232 Statistical analyses were carried out using the software package R, version 2.14.1 (R Development  
233 Core Team, 2012). Significance thresholds were established at 0.05. Sigma Plot version 12.0. was  
234 used for graphical presentations.

235

### 236 **3 Results**

237 Values of oxygen, temperature, electrical conductivity, pH and turbidity were not significantly  
238 different ( $p>0.05$ ) between the river water and the water in any of the egg compartments (permanently  
239 wetted area and ramping zone) (Figure 4). Water quality parameters were also non-significant  
240 ( $p>0.05$ ) between compartments and therefore not considered to influence the survival of Atlantic  
241 salmon eggs in the River Lundesokna.

242 The substrate in the Lundesokna river gave a  $D_{95}$  of 33 to 36 mm and  $D_{50}$  between 12 and 17.5 mm  
243 (upstream and downstream sampling points respectively), classified as gravel size-class and coinciding  
244 with typical spawning sites sediment characteristics according to studies elsewhere (Moir *et al.*, 2002).

245 The proportion of surviving eggs for each of the periods and locations is summarized in Table 2. The  
246 mean survival rate (tops and bottoms) in the ramping zone compared to the survival in the  
247 permanently wetted area is shown. By periods, the lowest proportion of surviving (0.75 in average)  
248 was found after period 5, with peaking events occurring between 19 January and 18 February,



249 followed by period 1 (events from 2 to 13 December) with a survival rate of 0.84. The highest  
250 survival rate (1.00) was found in period 4 (events from 18 February to 11 March).

251 The data presented here is for egg survival until March, when the first eggs hatched. However, the  
252 eggs remained in the experimental site until 16 June, when all the eggs had hatched. Egg survival was  
253 >99% during the later periods.

#### 254 Survival by periods

255 The values of the 16 physical and chemical environmental variables calculated for each of the periods  
256 are summarized in Table 3. The linear regression undertaken between each of these variables and the  
257 egg survival concluded that only two variables had a significant ( $p < 0.04$ ) relationship with the  
258 proportion survival. These were minimum air temperature ( $R^2 = 0.67$ ) and maximum duration of low  
259 flows combined with air temperature below zero ( $R^2 = 0.76$ ). The applied Generalized Linear Model  
260 (GLM) showed a significant intercept ( $p < 0.0002$ ), but it showed no significant ( $p > 0.05$ ) relationship  
261 for either of the two variables. Periods 5 and 1, with events leading to the lowest survival rates,  
262 showed amongst the lowest minimum air temperature and had the two longest lasting events of low  
263 flows in combination with air temperature below zero. To the contrary, period 4 (highest survival) had  
264 the warmer minimum air temperature and the shortest duration of low flow events combined with frost  
265 and the highest minimum ground temperature (Table 3).

#### 266 Survival by locations

267 Egg mortality occurred only in the top compartments in the ramping zone with a mean survival rate of  
268 0.78. In contrast, survival rate was 1 in the bottom compartments of the ramping zone and >0.99 in the  
269 permanent wet areas (Table 2). Out of the initial 10 variables that could potentially influence mortality  
270 at the compartment level, only the variables *Max Exp Dry & AT < 0*, *N Exp Dry & AT < 0*, *Max Exp Dry*  
271 *& AT > 0*, *N Exp Dry & AT > 0* and *Depth* (abbreviations defined in Table 1) were considered for further  
272 analysis.

273 The outputs of the mixed effect model combining the 5 selected variables are summarized in Table 4.  
274 Model number 5 was chosen to explain the relationship with survival given its significant and that it  
275 was the model with the lowest AIC and BIC with values fitted to the more complicated models.

276 Model 5 indicates that *Max Exp Dry & AT < 0* and *Depth* are the variables that significantly ( $p < 0.0005$   
277 and  $p < 0.007$  respectively) influence mortality individually. The deeper the egg boxes were buried in  
278 the substrate, the higher the survival; and the longer the exposure to dry conditions combined with air

279 temperatures below zero, the higher the mortality. The model, however did not indicate any significant  
280 ( $p>0.05$ ) effect of a combination of the two variables in explaining survival.

281 The duration of individual episodes with combinations of water levels above or below the  
282 compartments and air temperatures above or below zero is illustrated for each of the top and bottom  
283 compartments and for each of the 6 surveyed periods (Figure 5).

284 As expected, the boxes located in the permanently wetted area (W1, W2 and W3) were not exposed to  
285 dry conditions. For the boxes located in the ramping zone (1 to 8), both the top and bottom  
286 compartments, were exposed to dry conditions when air temperatures were below zero (Figure 5).

287 The bottom compartments had a higher numbers of single exposures to dry and to dry and frost  
288 conditions than the tops due to fluctuations in water levels. When exposed, the durations of exposures  
289 were also much lower in the bottom compartments (Figure 6, top and bottom left panels). Whilst the  
290 top compartments were exposed permanently for a long period, the water level fluctuated in the  
291 bottom compartments. The longest duration of exposure to dry conditions was found during period 3  
292 followed by period 6. However, the longest duration of exposure to a combination of dry and air  
293 temperature below zero was during periods 1 and 5 respectively. Those two periods had the highest  
294 mortality.

295 Figure 7 illustrates the temperature distribution and the durations of exposure to dry conditions and  
296 subzero conditions combined at the compartment scale. The variations in temperatures during both dry  
297 and dry and freezing conditions were higher in the bottom compartments than in the top ones. Dry  
298 conditions did not always coincide with air temperatures below zero, but on both occasions with  
299 subzero temperatures, the duration of exposure were much lower in the bottom compartments than in  
300 the top ones.

301 The top compartments experienced longer durations of exposure to both dry conditions and dry and  
302 freezing conditions. During exposure, the top compartments experienced lower temperatures than  
303 those at the bottom.

304 The conditions of exposure to dry and freezing conditions varied between periods showing some  
305 mortality in the top compartments. Only in periods with mortality, temperature reached  $<-1^{\circ}\text{C}$ .

306 Mortality in the top compartments occurred in periods 1, 2, 5 and 6, showing some variability in  
307 durations of exposure to dry conditions and dry and freezing conditions combined. Only in periods 1,  
308 2 and 5, temperature reached  $<-1^{\circ}\text{C}$ . The highest survival rates in the top compartment (100%) were  
309 found in period 3 and 4 with no exposure to combined dry and freezing conditions. This coincides

310 with the results in the bottom compartments, with very low exposure to dry conditions and dry and  
311 frost and temperatures above or very close to zero.

312

#### 313 **4 Discussion**

314 A high percentage of the Atlantic salmon (*Salmo salar*) eggs subjected to dewatering conditions in the  
315 Lundesokna River were able to survive despite being desiccated for long periods. Although high, the  
316 survival rates in the ramping zone were sub-optimal in comparison to the survival in the permanently  
317 wetted area (>99%) and the typically 100% survival reported under natural conditions (Elliott, 1984).

318 There were no significant differences in water quality between the top and bottom compartments of  
319 the permanently wetted area and the ones in the ramping zone. Also, no significant differences were  
320 found between the compartments and the surface river water quality. Therefore, water quality in the  
321 interstitial was similar to the surface water. This emphasizes that water quality, in particular DO did  
322 not influence the mortality of embryos in the Lundesokna river.

323 These findings are in contrast to that found by Youngson *et al.* (2004) and Soulsby *et al.* (2005),  
324 where the influence of interstitial water seemed to be the cause of high mortality in Atlantic salmon  
325 embryos. Upwelling groundwater in some Scottish rivers has been identified as the most likely cause  
326 of the major decrease in DO in redds and the cause for egg mortality. Dissolved oxygen plays a critical  
327 role in the development of the juvenile stages of benthic spawning fish and salmonids in particular  
328 (Sear *et al.*, 2012). No eggs survived in redds where average oxygen levels were less than 7 mg.l<sup>-1</sup>  
329 (Malcolm *et al.*, 2003). In Lundesokna, with or without groundwater influence, the level of oxygen  
330 was never below 10 mg.l<sup>-1</sup>.

331 During low flows the interface between surface and groundwater changes, with groundwater becoming  
332 more important. Subsurface water in Norway generally originates from very shallow aquifers, with  
333 short residence times and a high precipitation regime, resulting in partially oxygenated interstitial  
334 water (Brabrand *et al.*, 2002). The origin of the subsurface water in the study site was from shallow  
335 groundwater, giving it similar characteristics to the surface water (Schmidt and Hahn, 2012).  
336 Therefore, water quality of the subsurface water cannot be considered as a mortality factor for  
337 salmonid embryos in this situation.

338 The mortality in the ramping zone of the Lundesokna was mainly due to long exposures to dry and  
339 freezing conditions. This finding is supported by the fact that later (March to June), survival in the top  
340 compartments was >99%, when air temperature were above zero and there were much shorter periods

341 of low flows as a consequence of stop in production. Findings elsewhere (Bauersfeld, 1978; Casas-  
342 Mulet et al., submitted; Chadwick, 1982; Saltveit & Braband, 2013; Skoglund *et al.*, 2012; Young *et*  
343 *al.*, 2011), also describe higher or total mortality due to desiccation of spawning redds as a  
344 consequence of low flow after spawning or from stranding for long periods during hydropeaking  
345 events in regulated rivers. The main environmental conditions were the same throughout the whole  
346 study site, and during each of the periods the egg boxes were exposed to the same hydropeaking flow  
347 regime. Therefore, based on the analysis on survival by periods, it can be concluded that the highest  
348 mortality is likely to occur in periods with long duration hydropeaking events occurring when air  
349 temperatures are below zero.

350 The analysis of local conditions in each compartment permitted determination of the effect of the  
351 hydropeaking regime on survival. Mortality in the ramping zone was only apparent in the top  
352 compartments as the bottom compartments showed a 100% survival at all times. This is due to the fact  
353 that the bottom compartments were in contact with interstitial subsurface water during low flow events  
354 for longer periods than the top compartments.

355 On some occasions, the bottom compartments showed some degree of exposure to dry conditions  
356 coinciding with air temperatures below zero. However, such exposures were much shorter in duration  
357 than the eggs in the top compartments experienced. In addition, temperatures in the bottom  
358 compartments never reached temperatures as low as those in the top compartments. The degree of  
359 exposures to dry conditions coinciding with *in situ* temperatures below zero in the bottom  
360 compartments was very short compared to exposures in the top compartments, alleviating the  
361 possibility of mortality because of freezing temperatures.

362 The high survival rate in the bottom compartments also reinforces the assumption that water quality is  
363 not a cause for the mortality of salmonid eggs in the river Lundesokna, leaving the exposure to dry and  
364 to dry and freezing conditions as the main cause.

365 Freezing of redds may occur even in a suitable spawning environment (Reiser and Wesche, 1979). The  
366 lower temperature limit for freezing of Atlantic salmon eggs is probably close to the freezing point of  
367 water, though probably slightly lower due to a small content of salt (DeVries and Cheng, 2005).

368 The main difference in survival between eggs in the top and bottom compartments was the degree of  
369 exposure to dry and freezing conditions and the burial depth in the substrate. The important influence  
370 of subsurface water (with no water quality issues) to the bottom compartments aids in keeping the  
371 eggs wet or with some degree of moisture at all times and, not least, an incubation environment above  
372 zero. Under experimental laboratory conditions, salmonid eggs could survive for weeks in dewatered

373 gravel if they are moist (at least 4% moisture by weight) and not subjected to extreme temperatures,  
374 heat or near freezing (about 0.0°C) (Becker and Neitzel, 1985; Becker *et al.*, 1982; Becker *et al.*, 1983;  
375 Reiser and White, 1983). High mortality was, however, associated with only small reductions in  
376 humidity and increases in exposure time (Neitzel and Becker, 1985). The extent and duration of flow  
377 alteration and the stage of embryo development will also influence survival (Becker and Neitzel,  
378 1985). In addition, fine sediments were never observed as a potential issue (Sear *et al.*, 2012),  
379 providing good conditions for survival in the bottom compartments.

380 Although maximum duration of exposure to dry and freezing conditions and burial depth were  
381 considered, within periods and specific compartments, some variability in specific survival exist. This  
382 illustrates, on a local scale, the highly variable flow in the hyporheic between subsurface and surface  
383 water, primarily due to the heterogeneous nature of substrate (Schmidt and Hahn, 2012), and can  
384 explain the high influx of interstitial water at a low depth in the boxes located at sites 1 and 2, where  
385 survival was 100% at all times.

386 Both durations of exposures to dry and freezing conditions and the depth of burial are key factors  
387 influencing survival in rivers impacted by hydropeaking. Exposures to dry conditions coinciding with  
388 air temperatures below zero were more influential to mortality than exposure to dry conditions only. In  
389 particular, the maximum duration of an individual exposure event was the most influential factor  
390 driving mortality. In terms of burial depth, the deeper, the higher is the chance of survival.

391 Long duration of low flows during cold periods can be detrimental for survival. However, factors such  
392 as the cumulative or total duration of low flows and air temperatures below zero and numbers of single  
393 power plant stops did not seem to influence the overall mortality, even if production stopped during  
394 the night with lower temperatures. The minimum water elevation reached during each production  
395 stops in Lundesokna was very similar, indicating that the production pattern involved simply stopping  
396 the power plant.

397 The population effect of such additional mortality depends on the extent to which the availability and  
398 distribution of spawning habitat and reproduction is a bottleneck for the population (Enders *et al.*,  
399 2007). Suitable reproductive habitats are a prime necessity for population sustainability, and river  
400 regulation may reduce the abundance and quality of spawning habitat. The dewatering of spawning  
401 areas due to hydropeaking might expose intergravel developmental phases to suddenly changed  
402 physical and chemical conditions (Becker and Neitzel, 1985), thus directly affecting the potential  
403 recruitment of salmonid populations.

404 From a management point of view, adapting power production to avoid extremely cold air  
405 temperatures is strongly recommended. An increase in minimum instream flow during a stop in  
406 production is one measure to maintain a higher proportion of the substrate wet or moist by increasing  
407 the subsurface water level, and thereby improving the degree of embryo survival. Excavation of the  
408 river gravels in armoured surface substrates (occurs in the Lundesokna and commonly observed in  
409 other seasonally regulated and hydropeaking rivers), is another potential mitigation measure, creating  
410 potentially more suitable and readily available habitat for spawning and increasing the burial depth,  
411 thus augmenting survival during dewatering events. From the fact groundwater influx to spawning  
412 redds is likely to increase egg survival, groundwater influx in regulated rivers should be actively  
413 considered to achieve optimal embryo survival.

414

## 415 **5 Conclusions**

416 Dewatering of spawning redds due to hydropeaking regulation does not always mean absolute egg  
417 mortality. If redds are influenced by subsurface water typically warmer than surface water during  
418 winter and not deficient in oxygen, egg of Atlantic salmon may survive for longer periods even if the  
419 air temperatures are below zero. A stable flow covering the redds underlines the importance of  
420 subsurface flow between egg hatching and swim-up as an advantage for successful survival during  
421 eggs incubation.

422 With no water quality issues and no major input of fine sediments, the maximum duration of exposure  
423 to dry conditions and freezing during dewatering were identified as the main factors influencing  
424 Atlantic salmon egg mortality.

425 These findings suggest that future management of hydropower plants with hydropeaking operations  
426 should consider a potential change in their operational strategy during cold periods in winter to the  
427 account of subsurface flow influx to achieve optimal survival of salmonid embryos.

428

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436

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