1	Title: Short-term neuromuscular electrical stimulation training of the tibialis anterior did not
2	improve strength and motor function in facioscapulohumeral muscular dystrophy patients
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#### 31 ABSTRACT

Objective: To investigate the effects on motor function, muscle strength and endurance of short term neuromuscular electrical stimulation (NMES) training of the *tibialis anterior* (TA) muscles in patients with facioscapulohumeral muscular dystrophy type 1 (FSHD1) in comparison with healthy controls.

36 Design: This prospective study included ten patients with FSHD1 and ten healthy participants 37 (HP). Maximal voluntary isometric contraction (MVC) of ankle dorsiflexion (DF) and a 2-38 minute sustained DF MVC with surface electromyography recordings (sEMG) of the TA and 39 the soleus muscles were measured and motor function clinical tests were performed before 40 and after the training period.

Results: No significant short term training effect was found in any of the investigated variables for either group, although a tendency towards an increase was noted for the manual muscle testing of the FSHD1. Patients with FSHD1 showed lower MVC force and lower maximal TA sEMG amplitude than HP. During the 2-minute sustained MVC, the percentage of force loss was lower for the FSHD1 patients, suggesting that they were experiencing a lower amount of muscle fatigue compared to the HP group.

47 Conclusion: The present NMES protocol was not strenuous enough and/or the parameters of
48 stimulation were not adequate to improve dorsiflexion strength, muscle endurance and motor
49 function in FSHD1 patients and HP.

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- 52 KEY WORDS: Isometric strength; Muscle endurance; Electromyography; Neuromuscular
- 53 disorder
- 54

### 55 INTRODUCTION

56 With a European prevalence of 4/100,000, the facioscapulohumeral muscular dystrophy 57 (FSHD) is the most common inherited muscular dystrophy disease. The FSHD is genetically 58 heterogeneous and two types of FSHD (*i.e.*, FSHD1, 95% of patients and FSHD2, 5% of 59 patients) have been identified.<sup>1</sup> Independently of the type of FSHD (*i.e.*, 1 or 2), the disease is 60 characterized by a progressive asymmetric muscle weakness and atrophy usually spreading form facial to shoulder girdle, arms, abdominal and lower limb muscles.<sup>2</sup> In addition to 61 62 muscle weakness, fatigue and pain are the two other most frequently reported symptoms. In 63 particular, severe fatigue, a major burden in daily life activities, is reported by 61% of patients with FSHD<sup>3</sup> conducting to a sedentary lifestyle through a reduced level of physical activity.<sup>4</sup> 64

65 The reduced level of muscle strength has been identified as a key factor in explaining low level of physical activity and high experienced fatigue.<sup>5</sup> In patients with FSHD1, *tibialis* 66 67 anterior muscles can be affected in earlier stages of the disease than other lower limb muscles <sup>6,7</sup> and this decline in *tibialis anterior* function is frequently considered as the first disabling 68 symptom.<sup>8</sup> Since the *tibialis anterior* has a strong functional role in gait and balance, both its 69 weakness and fatigue may lead to a loss of mobility and increase the risk of falling.<sup>4</sup> Since no 70 therapeutic treatments are yet available for FSHD,<sup>2</sup> it is of interest to propose alternative 71 72 procedures to moderate the progressive loss of strength, endurance and muscle function.

Aerobic exercises have been proposed to improve muscle function in patients with FSHD, but some studies failed to show improvements on strength of such training, even though no deleterious effects were reported.<sup>9,10</sup> Neuromuscular electrical stimulation (NMES) is another type of exercise broadly used in rehabilitation settings.<sup>11</sup> When NMES training was performed on patients suffering from disabling forms of muscular dystrophy, such as Duchenne and Becker dystrophies, tolerance and efficacy were shown to maintain or even improve muscle strength.<sup>12–15</sup> Comparable results in the *tibialis anterior* and the quadriceps muscles were 80 reported in a group of mixed patients with neuromuscular disorders including patients with FSHD.<sup>16</sup> More recently, NMES training, performed on shoulder girdle and knee extensor 81 82 muscles, was found to be safe and effective in improving strength and muscle function in patients with FSHD1.<sup>17</sup> The two studies that have investigated the NMES training programs 83 84 in FSHD<sup>16,17</sup> involved long training periods of 14 and 5 months respectively. Although 85 beneficial effects of short term (less than 8 weeks) NMES training programs on muscle strength and/or endurance in healthy participants<sup>18,19</sup> or patients with muscular dystrophy<sup>12</sup> 86 87 were found, such programs have not been implemented in patients with FSHD. Therefore, the 88 objective of this study was to investigate the effect of a bilateral 8-week NMES training on 89 the *tibialis anterior* muscle in adults with FSHD1. It was hypothesized to observe muscle 90 strength and endurance gains in dorsiflexion as well as improved motor function in patients 91 with FSHD1.

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## 93 METHODS

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### 95 **Participants**

Ten adults with FSHD1 (mean  $\pm$  standard deviation (SD): 5 females and 5 males; age 62.3  $\pm$ 10.2 year; height: 168.5  $\pm$  12.8 cm; body mass: 73.7  $\pm$  15.2 kg) and 10 healthy participants (HP) age matched (7 females and 3 males; age 56  $\pm$  4.8 year; height: 171.5  $\pm$  9.01 cm; body mass: 74.8  $\pm$  12.4 kg) volunteered to take part in the study and written informed consent was obtained from all participants. The study was carried out according to the Declaration of Helsinki and approved by the local Institutional Human Ethics Committee (CPP10.067). The trial was declared (NCT00821548).

103 Adults patients diagnosed with FSHD1 were recruited from the outpatient record of the 104 physical medicine and rehabilitation department at the hospital and were included into the study according to the following criteria: number of 4q35 D4Z4 <11 repeats (mean of the group  $6.89 \pm 1.37$  units), no mutation on *SMCHD1* gene ; muscle weakness of ankle dorsiflexion from 2 to 4 at least on one leg, assessed by manual muscle testing (MMT).<sup>20</sup> Exclusion criteria comprised previous NMES training of the lower-limb; history of cancer, joints pathologies, or collagenopathies, parturient, or breast-feeding woman or simultaneous participation to another research study.

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# 112 Neuromuscular electrical stimulation training

113 Bilateral neuromuscular electrical stimulation (NMES) training sessions of the tibialis 114 anterior muscles were performed with a Compex (Rehab 400, Cefar-Compex, DJO France 115 SAS, Mouguerre, France) portable battery-powered stimulator. Participants either exercised at 116 home or were trained by one of the experimenters or a physiotherapist. All healthy 117 participants as well as four patients with FSHD1 carried out their training sessions at home. In 118 the case participants trained at home, a weekly appointment was set-up with one of the 119 experimenters to provide feedback and to control the quality of the training. During these 120 training sessions participants were seated (hips, knees and ankles angles at 90°) with their feet 121 fixed. During the training sessions, the participants were instructed to place comfortably their 122 feet under a heavy-weighted object so that the feet would be firmly stuck and would not move 123 during the contractions. The participants were simultaneously stimulated bilaterally with self-124 adhesive electrodes (2 mm thick) made of elastomer (5 cm x 5 cm) that were positioned as 125 follows: the positive electrode was placed on the superior part of the muscle, whereas the 126 negative electrode was placed on the medial part of the muscle, over the muscle bulk.

127 The NMES training program lasted for 8 weeks, with 3 sessions a week. Each session was 128 composed of a 2-minute warm-up, followed by the 20-minute working out session, and 129 finishing with 3 minutes of relaxation. The NMES program consisted in isometric 130 contractions of 9s (rise time: 1.5s; steady tetanic stimulation time: 6s; fall time: 1.5s) followed 131 by a pause lasting 7 seconds (duty cycle: 56.25%) at 35 Hz and with a 200µs pulse-width. 132 These stimulation parameters were chosen accordingly to previous successful NMES using 133 low-frequency protocols in patients with neuromuscular disorders to increase muscle strength. 134 <sup>12-17</sup> Participants were encouraged to increase stimulation intensity progressively every 5 135 minutes throughout each session up to individual tolerance threshold (i.e., discomfort/pain) since strength gains would be dependent on the stimulation intensity.<sup>21,22</sup> As individual 136 137 tolerance threshold varied among participants, they were instructed to increase progressively 138 stimulation intensity during the warm-up period to ensure a visible muscle contraction. 139 However, since the feet were secured, no joint movement was induced. Moreover, during 140 each of the training sessions, the participants or the physiotherapist, according to the training 141 modality (i.e., supervised or at home) had to fill-out a questionnaire consisting in reporting the 142 following: date and time of the training session; the mean intensity of the neuromuscular 143 electrical stimulation delivered; self-evaluation of their perceived fatigue after the training 144 session; the discomfort related to the NMES delivered during training sessions. Visual 145 analogue scales (VAS) were used to score perceived fatigue and discomfort. A score of 0 mm 146 indicated no fatigue or no discomfort and 100 mm indicated unbearable fatigue or maximum 147 discomfort.

148

# 149 Study design

To disclose the effect of the 8-week NMES training, at pre and post training, the participants had first a blood sample collection, followed by a clinical examination and neuromuscular tests. Following this, they answered a questionnaire during a 30 min period of rest and then performed a 6-min walk test (6MWT).

156 To establish tolerance to NMES training, Plasma Creatine Kinase (CK) was measured after 157 the fourth week (W4), and once randomly during the training in addition to before and after 158 the 8-week training period (W8). Blood samples were collected and analyzed at the hospital. 159 The first and last CK measurements were determined at rest, whereas the 4-week and the 160 random test were performed within two hours following the NMES training. Plasma CK 161 activity was determined spectrophotometrically by an automatic analyzer using a test kit 162 (Roche/Hitachi Automated Clinical Chemistry Analyzer, Modular P-800, Roche Diagnostics, 163 Meylan, France). The CK activity was considered as a biological marker of training-induced 164 damage for each participant.

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166 Clinical examination

Muscle function and strength of the TA were assessed manually by a physiotherapist, by manual muscle testing - MMT.<sup>20</sup> Depending on the amplitude of the ankle dorsiflexion, without extension of the hallux, scores were ranked from 0 where the muscle is no longer capable of force production to 5 representing the absence of muscle impairment.

The Motor Function Measurement (MFM) assessed the functional capacity of daily life activities: standing still, weight transfers, sitting, proximal and distal motor ability of muscles, walking, standing up, raising up arms, stepping up stairs, brush hairs *etc.* After evaluation, the total score was presented as a percentage, with healthy participants reaching 100%.<sup>23</sup> The physiotherapist performing the clinical examination was experienced to assess patients suffering from neuromuscular disorders and was not blinded to the evaluation.

177

178 Neuromuscular tests

179 Maximal voluntary contractions (MVC) of the dorsiflexor muscles were carried out unilaterally in a custom made device <sup>24</sup> consisting of a fixed footplate, where the foot was 180 181 firmly strapped to avoid any movement and ensure the quality of the isometric force 182 measurement. A strain-gauged transducer (model OMF06M, linear range 0-15 kN, precision 183  $\pm$  0.5 %, sensitivity 10 mV/kN; OMICRO'N, Gambais, France) was placed on the footplate to 184 measure force production. During all contractions, the participants were seated on a chair, 185 with their knee slightly flexed according to the comfort of the participant. The foot was firmly tightened with belts over the footplate with an ankle angle of 90°. The participants were not 186 187 constrained and were allowed to seat as comfortably as they could, however, during MVCs, 188 they were not allowed to hold the seat and were asked to remain as steady as they could.

189 Bipolar surface electromyography (sEMG) electrodes (10 mm diameter, 20 mm inter-190 electrode distance) recorded the electrical activity of the tibialis anterior (TA) and the soleus 191 (SOL) muscles. The reference electrode was placed on the bony part of the contralateral 192 patella. Skin was cleaned and abraded prior to the placement of electrodes, and low resistance 193 impedance between electrodes (<5 k $\Omega$ ) was obtained. A Biopac MP 150 system (Biopac 194 systems, Inc., Holliston, MA, USA) was used to record sEMG data at a sampling rate of 2000 195 Hz. Electromyographic signals were amplified with a bandwidth frequency ranging from 1 Hz 196 to 500 Hz (common mode rejection ratio = 11 dB; impedance input = 1000 MV; gain = 1000). 197

The tests were performed on both legs, one at a time, in a random order with at least 10 minutes of rest period between each leg. Two MVCs of dorsiflexion were performed on each leg to determine the maximal strength production and the concomitant sEMG signals of both the TA and the SOL muscles. A 60-second rest was allowed between each contraction. Then, a fatiguing task consisting of an isometric 2-minute MVC <sup>25</sup> of dorsiflexion was performed with recordings of the sEMG of TA and SOL muscles. No visual feedback was provided to 204 the participants and they were asked to perform an all-out effort while they received strong

205 verbal encouragement.

- 206
- 207 Questionnaire and 6-min walk test
- 208 Quality of life of the participants was evaluated with the Medical Outcomes Study Short-
- 209 Form 36 (SF-36) questionnaire.<sup>26</sup> A 6-min walk test (6MWT) was used to assess the greatest
- 210 distance participants could walk in 6 minutes on a 20-meter shuttle.

211

## 213 Data analysis

214 The MVC was considered as the mean value over a 500-ms period around the peak force. The 215 best of the two trials was analyzed. During the fatiguing task, the percentage of MVC loss 216 was calculated as the difference of a 1-second window width at the start and a 1-second 217 window width at the end of the 2-minute MVCs. All sEMG data were analyzed over the same 218 window width as the force data where the root mean square (RMS) of the TA and the SOL 219 (TA RMS and SOL RMS) was quantified and the loss in TA RMS was computed. RMS was 220 calculated with commercially available software (AcqKnowledge 4.1, Biopac Systems, Inc., 221 Holliston, MA, USA), while the rest of the outcome measures were analyzed with Matlab 222 R2010b (The MathWorks, Inc., Natick, MA, United-States).

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### 224 Statistical analysis

Statistical processing was performed using Statistica<sup>®</sup> software for Microsoft Windows 225 226 (StatSoft, version 8.0, Tulsa, OK, USA). The Shapiro-Wilk test was used to test whether 227 outcome measures were normally distributed, and depending on the results the appropriate 228 statistical test was performed. When data were normally distributed, an unpaired Student t-test 229 was performed to compare FSHD1 and HP groups and a paired Student t-test was used to 230 disclose training-induced changes within group (FSHD1 and HP). When data did not follow a 231 normal distribution, equivalent non-parametric tests, the Mann-Whitney U test and the 232 Wilcoxon signed ranks test were performed. A two-way ANOVA (leg  $\times$  time) with repeated 233 measures on time was performed on the intensity values recorded during each training 234 session, while the Friedman ANOVA was applied for the discomfort and fatigue VAS values 235 as they did not follow a normal distribution. In all statistical analysis the significance level 236 was set at p < 0.05. Unless specified, normal distributed data are expressed as means  $\pm$  SD 237 (standard deviation of the mean), in the entire manuscript and in the tables and figures, while

238 non-normally distributed data are expressed as median  $\pm$  IQR (inter quartile range) in tables 239 and box-plots are used in figures.

240

#### 241 **RESULTS**

# 242 FSHD1 patients and healthy participants before the training period

243 The plasma CK concentration was higher in FSHD1 patients before the training period 244 (t=4.38; p<0.001; Table 1). The MMT (Right: U=5.0; p<0.001; Left: U=0.0; p<0.001;) and 245 MFM scores of the FSHD1 patients were significantly impaired compared to the HP before 246 the training period (U=0.0; p < 0.001; Table 1). Similarly, the distance covered during the 247 6MWT by the FSHD1 patients was shorter compared to the HP (t=-2.63; p=0.02; Table 1). 248 Lastly, quality of life assessed by means of SF-36 questionnaire (Table 2) revealed lower 249 values of FSHD1 patients compared to the HP for the following subscores: physical 250 functioning (U=6.5; p < 0.001), social functioning (U=18.0; p < 0.05), vitality (U=14.5; p < 0.05), 251 general health (U=5.0; p < 0.001) and the standardized physical component (U=7.0; p < 0.01).

252

# 253 *Neuromuscular tests and fatiguing task*

254 As illustrated in the Figure 1A, the peak force during ankle dorsiflexion MVC was 255 significantly lower in FSHD patients than in HP prior to the training period for both legs 256 (Right, Pre: U=88.0; p < 0.001; Left, Pre: U=102.0; p < 0.001). The associated amplitude of the 257 TA RMS during dorsiflexion MVC (Figure 1B) was lower in the FSHD1 patients compared 258 to the HP before (Right: U=95.0; p<0.001; Left: U=90.0; p=0.013) the training period. In 259 addition, the amplitude of the SOL RMS during dorsiflexion MVC was found lower in the 260 FSHD1 patients before on the right leg (U=85.0; p=0.007), but not on the left leg (p=0.282). 261 Although not significantly different for the left leg (p=0.095), the group of patients with 262 FSHD1 exhibited a lower force reduction during the 2-minute MVC than the HP group before 263 the training period on the right leg (Right: U=10.0; p=0.003; Figure 2A). No difference in 264 percentage of TA RMS and SOL RMS reduction between groups was found before the 265 training period for either leg (p>0.05).

266

## 267 Effects of NMES training in FSHD1 patients and healthy participants

268 Individual patient/healthy participant's compliance to the training program was maximal (i.e., 269 100% of the scheduled training sessions attended). Whatever the group considered, plasma 270 CK did not change significantly during the NMES training period (p>0.05). The NMES 271 training did not modify the SF-36 questionnaire subscores and the values of the FSHD1 272 patients remained lower than those of the HP (Table 2). Also, no significant changes of the 273 MFM and 6MWT assessments were observed after the training period (p>0.05) and the values 274 of the FSHD1 patients remained lower than those of the HP (Table 1). Although no 275 significant differences were found, the MMT values of the FSHD1 patients tended to slightly 276 increase after the training period (p=0.067; Figure 3) for both the right and left legs. Also, 277 when considering exclusively legs (i.e., fourteen legs) matching the inclusion criterion of 278 having a MMT score of ankle dorsiflexion comprised between 2 to 4, a significant training 279 effect was observed (p=0.027; data not illustrated).

280

# 281 *Neuromuscular tests and fatiguing task*

No significant training effect was found in dorsiflexion strength (p>0.05; Figure 1A) and in the associated RMS amplitude of the TA (p>0.05; Figure 1B) and the SOL muscles in either group for both sides. All these variables of the FSHD1 patients remained lower than those of the HP (Figures 1 and 2).

The force reduction during the 2-minute MVC did not changed after the training period for both legs in the FSHD1 patients and HP (p>0.05; Figure 2A). Although no significant difference was found for the left leg, the TA RMS reduction of the right leg during the 2minute MVC was significantly greater after the training period for the FSHD1 patients (t=3.33; p>0.05; Figure 2B). No significant change was noted for the HP. Also, no change of the SOL RMS reduction was found after the training period for either leg or group.

292

# 293 Characteristics of the NMES training program

294 As illustrated in the Figure 4, the stimulation intensity of the NMES training was significantly 295 increased for the FSHD1 groups on both legs (F=1.89; p<0.05) as well as for the HP (F=3.26; 296 p < 0.001). The self-reported evaluation of the discomfort level throughout the training reduced 297 on the right leg only in the group of patients with FSHD1 ( $\chi^2=36.1$ ; p=0.041; Left leg: 298  $\chi^2$ =28.2; p=0.208), whereas no change was observed for the group of healthy participants 299 (p>0.05). Likewise, the self-reported fatigue level reduced significantly in the group of 300 patients with FSHD1 along the training only in the left leg (Left leg:  $\chi^2$ =40.3; p=0.014; Right 301 leg:  $\chi^2=34.3$ ; p=0.061). No change was observed for either leg in the HP group (p>0.05).

302

#### **303 DISCUSSION**

304 The purpose of this study was to investigate whether a short term bilateral NMES training on 305 the tibialis anterior muscles in adults with FSHD1 would be well tolerated and would 306 improve muscle strength, endurance and motor function. All participants completed the 8-307 week NMES training program and no side effects were reported during or after the training 308 period. Unfortunately, this program did not improve ankle dorsiflexion maximal muscle 309 strength, nor muscle endurance or motor function in patients with FSHD1, although a 310 tendency towards an increase was observed for the MMT scores. Also, no significant 311 improvements were noted for the healthy participants.

All the participants (*i.e.*, FSHD1 patients and HP) completed the NMES training program and according to the CK measurements, no rhabdomyolysis was induced by the NMES protocol. Also, discomfort and fatigue VAS values reported throughout the protocol remained clinically low (mean VAS<2) and did not significantly increase during the 8-week period. These results agree with previous studies investigating the effects of NMES training programs in neuromuscular diseases<sup>17</sup> and confirm that NMES exercise is well tolerated by FSHD1 patients and HP.

Although well tolerated, this study failed to show a positive significant effect of NMES on muscle strength, muscle endurance and motor function of the ankle dorsiflexor muscles in FSHD1 patients, as well as in the HP. These observations are somehow surprising considering that benefits of NMES training programs on muscle strength are widely reported in literature for similar, or even shorter training periods in healthy participants <sup>18,19</sup>. In addition, the use of NMES was shown to be effective in patients with muscular dystrophies <sup>12–15</sup> and in patients with FSHD1.<sup>16,17</sup>

326 Although, the characteristics of stimulation parameters used here (35 Hz of frequency and 327 200-µs pulse duration) may be questioned, these NMES parameters were chosen since they 328 were successfully used in previous rehabilitation settings in patients with muscular dystrophies.<sup>13,17</sup> However, FSHD1 patients can have fat infiltration in TA muscles <sup>6,27</sup> and 329 strong alteration of the sarcomeric contractile properties, preferentially of type II fibers,<sup>28,1</sup> 330 331 reducing the overall capacity of the muscle to contract. Nevertheless, this reason cannot 332 account for the absence of improvements in the HP. Considering that frequencies above 50 Hz 333 are suggested to maximize the training effect of NMES on muscle strength in healthy participants,<sup>22</sup> it may be suggested that these frequencies should be considered in future 334 335 NMES studies in FSHD1 patients.

336 The lack of significant improvements could also be attributable to the frequency of the 337 sessions and/or the duration of the training. Only three sessions per week for eight weeks were performed, whereas Colson et al.<sup>17</sup> trained their FSHD1 patients five days per week over 338 a 5-month training period. Similarly, Milner-Brown and Miller<sup>16</sup> obtained significant 339 340 improvement in strength after a 2-hour session performed 5 days per week during 14 months. 341 Therefore, a higher volume training period (greater number of sessions or duration) might be 342 required to obtained significant strength improvements in FSHD1 patients. Finally, as 343 previously suggested, the stimulation intensity was constantly increased throughout the training period to ensure strength adaptations.<sup>22</sup> However, this stimulation intensity increment 344 345 might have been too moderate to improve muscle strength. Therefore, it seems that the main 346 reason for the absence of strength increase in FSHD1 patients has to be related to the 347 frequency and/volume of the NMES sessions. Moreover, in the FSHD1 patients, the impaired 348 muscle function of the TA at the beginning of the study can be suggested as a possible reason 349 candidate for the training to be ineffective. Since no training effect was seen in the HP group 350 either, this cannot be stated with certitude. Finally, the soleus muscle activity of the right leg 351 during the dorsiflexion was found to be of lower magnitude compared to the left leg for the 352 FSHD1 patients before the training. This observation confirms that important imbalance exits 353 between limbs (i.e., asymmetric muscle weakness) and that particular neuromuscular 354 adjustments/compensations could occur with the disease in order to maintain functional 355 movements. These neuromuscular imbalances and their influence on functional daily tasks 356 such as balance/walking should be further investigated in FSHD patients.

Interestingly and although it did not change with the training period, the MVC loss was much lower in the FSHD1 patients than in the HP during the 2-minute fatiguing task. This may indicate that patients with FSHD1 experienced a lower amount of muscle fatigue compared to the HP,<sup>25</sup> before and after the training, likely for several reasons. First, as the amplitude of the

361 TA EMG RMS reduced similarly, this suggests that the neural drive to the muscles would 362 have become suboptimal with fatigue for both groups in the same fashion during the fatiguing exercise.<sup>29</sup> Second, patients with FSHD1 have strong alteration of the sarcomeric contractile 363 properties of type II fibers,<sup>1,28</sup> which could lead to muscles more resistant to fatigue. Third, 364 365 weaker participants are shown to be less fatigable than stronger ones,<sup>30</sup> as the intramuscular 366 pressure is lower the negative feedback from afferent groups III and IV is therefore diminished.<sup>30</sup> Even though, the group of patients with FSHD1 showed a greater TA EMG 367 368 RMS loss after the training in comparison to the loss before the training, this decrease was 369 similar in both groups. Since the patients group showed lower force losses, it can be suggested 370 that at the task truncation, the group of patients with FSHD were experiencing a lower amount 371 of muscle fatigue compared to the HP group.

372

373 Study limitations

374 A limitation of this study is related to the low number of FSHD1 patients and HP included. 375 The reasons may be as follows: i) the pool of patients with FSHD1 is rather low and/or 376 geographically spread, ii) it is unlikely to include enough patients with FSHD1 that have the 377 identical muscle weakness as well as possible matching response to the training program. 378 Nevertheless, all the participants completed the NMES training sessions scheduled. Second, 379 the heterogeneity of the adaptations to the NMES training program may have been too 380 important to highlight specific adaptations within each group. Also, no FSHD1 control group 381 (i.e., FSHD 1 patients not receiving NMES) was included in order to assess the possible 382 changes of measured variables due to the progression of the disease during the 8-week period. 383 Then, although the reliability of strength measurements is often questionable in fragile 384 populations, the measurements seemed to be sufficiently accurate since interclass correlation 385 coefficient for the ankle dorsiflexion MVC ranged from 0.96 (right leg) to 0.98 (left leg) and 386 from 0.87 (right leg) to 0.93 (left leg) for the associated TA EMG RMS values. 387 Notwithstanding these limitations, the present results may be useful to assist clinicians to plan 388 in the design of rehabilitation programs with the use of NMES in FSHD1 patients. For 389 example, in light of the present results and based on the literature, we proposed that future 390 clinical studies should design NMES training programs including between three to five 391 training sessions per week (for a muscle group) with frequencies ranging above 50 Hz with a 392 pulse duration ranging from 100µs to 500µs for a minimum duration of 20 to 30 minutes 393 (duty cycle ~50%). Although the exact training duration period has yet to be determined, a 394 minimum of three months seemed required to expect positive adaptations.

395

# **396 CONCLUSION**

397 In summary, the present results show that an 8-week bilateral NMES training (20 minutes per 398 session, 3 sessions per week) of the tibialis anterior muscle did not improve muscle strength, 399 endurance and motor function in patients with FSHD1. Whether this non-responsiveness is 400 due to the impaired neuromuscular function of the ankle dorsiflexor muscles and/or to the 401 duration of the NMES protocol or to the stimulation intensity level reached during the NMES 402 sessions still remain to be shown. As suggested by Colson et al.<sup>17</sup>, it is likely that the efficacy 403 of the NMES training would depend on rapidity of starting NMES training as soon as the 404 FSHD1 diagnosis is made to maximize the training effects.

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## 493 FIGURES LEGENDS

**Figure 1A** Box-plots of the dorsiflexion Maximal Voluntary Contraction (N) for the FSHD1 patients and the HP groups for the right and left legs, before (dark fill-in) and after (white fillin) the NMES training. Boxes represent group median and interquartile range values and whiskers are the highest and lowest values. Significant group differences p<0.001 (\*\*\*).

Figure 1B Box-plots of the RMS amplitude of the Tibialis Anterior during the dorsiflexion Maximal Voluntary Contraction for the FSHD1 patients and the HP groups for the right and left legs, before (dark fill-in) and after (white fill-in) the NMES training. Boxes represent group median and interquartile range values and whiskers are the highest and lowest values. Significant group differences p<0.05 (\*) and p<0.001 (\*\*\*).

503 Figure 2. Box-plot of the percentage of force production loss (A, left panel) and of the RMS 504 of the tibialis anterior (TA) (B, right panel) during the 2-minute sustained ankle dorsiflexion 505 endurance exercise, for the right and left legs, before (dark fill-in) and after (white fill-in) the 506 8-week training for patients with facioscapulohumeral muscular dystrophy (FSHD1) and 507 healthy participants (HP). Boxes represent group median and interquartile range values and 508 whiskers are the highest and lowest values. Columns represent group mean values and error 509 bars the standard error of the group mean. Significant group differences: p < 0.05 (\*), p < 0.01510 (\*\*).

**Figure 3** Box-plot of the manual muscle testing (MMT) of the dorsiflexion for both for legs obtained before (dark fill-in) and after (white fill-in) the 8-week training period for facioscapulohumeral muscular dystrophy (FSHD1). Boxes represent group median and interquartile range values and whiskers are the highest and lowest values. Dashed lines display individual data.

- 516 Figure 4 Mean and standard error (mean  $\pm$  SE) of the stimulation intensity (mA) for the
- 517 FSHD1 (grey line) and the HP (black line) for the right (plain lines) and left (dashed lines)
- 518 throughout the 24 sessions of the 8-week NMES training.

Title: Short-term neuromuscular electrical stimulation training of the tibialis anterior did not
 improve strength and motor function in facioscapulohumeral muscular dystrophy patients

3

#### 4 ABSTRACT

5 Objective: To investigate the effects on motor function, muscle strength and endurance of 6 short term neuromuscular electrical stimulation (NMES) training of the *tibialis anterior* (TA) 7 muscles in patients with facioscapulohumeral muscular dystrophy type 1 (FSHD1) in 8 comparison with healthy controls.

9 Design: This prospective study included ten patients with FSHD1 and ten healthy participants 10 (HP). Maximal voluntary isometric contraction (MVC) of ankle dorsiflexion (DF) and a 2-11 minute sustained DF MVC with surface electromyography recordings (sEMG) of the TA and 12 the soleus muscles were measured and motor function clinical tests were performed before 13 and after the training period.

Results: No significant short term training effect was found in any of the investigated variables for either group, although a tendency towards an increase was noted for the manual muscle testing of the FSHD1. Patients with FSHD1 showed lower MVC force and lower maximal TA sEMG amplitude than HP. During the 2-minute sustained MVC, the percentage of force loss was lower for the FSHD1 patients, suggesting that they were experiencing a lower amount of muscle fatigue compared to the HP group.

20 Conclusion: The present NMES protocol was not strenuous enough and/or the parameters of
21 stimulation were not adequate to improve dorsiflexion strength, muscle endurance and motor
22 function in FSHD1 patients and HP.

23

- 25 KEY WORDS: Isometric strength; Muscle endurance; Electromyography; Neuromuscular
- 26 disorder
- 27

### 28 INTRODUCTION

29 With a European prevalence of 4/100,000, the facioscapulohumeral muscular dystrophy 30 (FSHD) is the most common inherited muscular dystrophy disease. The FSHD is genetically 31 heterogeneous and two types of FSHD (*i.e.*, FSHD1, 95% of patients and FSHD2, 5% of 32 patients) have been identified.<sup>1</sup> Independently of the type of FSHD (*i.e.*, 1 or 2), the disease is 33 characterized by a progressive asymmetric muscle weakness and atrophy usually spreading form facial to shoulder girdle, arms, abdominal and lower limb muscles.<sup>2</sup> In addition to 34 35 muscle weakness, fatigue and pain are the two other most frequently reported symptoms. In 36 particular, severe fatigue, a major burden in daily life activities, is reported by 61% of patients with FSHD<sup>3</sup> conducting to a sedentary lifestyle through a reduced level of physical activity.<sup>4</sup> 37

38 The reduced level of muscle strength has been identified as a key factor in explaining low level of physical activity and high experienced fatigue.<sup>5</sup> In patients with FSHD1, *tibialis* 39 40 anterior muscles can be affected in earlier stages of the disease than other lower limb muscles <sup>6,7</sup> and this decline in *tibialis anterior* function is frequently considered as the first disabling 41 42 symptom.<sup>8</sup> Since the *tibialis anterior* has a strong functional role in gait and balance, both its weakness and fatigue may lead to a loss of mobility and increase the risk of falling.<sup>4</sup> Since no 43 therapeutic treatments are yet available for FSHD,<sup>2</sup> it is of interest to propose alternative 44 45 procedures to moderate the progressive loss of strength, endurance and muscle function.

Aerobic exercises have been proposed to improve muscle function in patients with FSHD, but some studies failed to show improvements on strength of such training, even though no deleterious effects were reported.<sup>9,10</sup> Neuromuscular electrical stimulation (NMES) is another type of exercise broadly used in rehabilitation settings.<sup>11</sup> When NMES training was performed on patients suffering from disabling forms of muscular dystrophy, such as Duchenne and Becker dystrophies, tolerance and efficacy were shown to maintain or even improve muscle strength.<sup>12–15</sup> Comparable results in the *tibialis anterior* and the quadriceps muscles were 53 reported in a group of mixed patients with neuromuscular disorders including patients with FSHD.<sup>16</sup> More recently, NMES training, performed on shoulder girdle and knee extensor 54 55 muscles, was found to be safe and effective in improving strength and muscle function in patients with FSHD1.<sup>17</sup> The two studies that have investigated the NMES training programs 56 57 in FSHD<sup>16,17</sup> involved long training periods of 14 and 5 months respectively. Although 58 beneficial effects of short term (less than 8 weeks) NMES training programs on muscle strength and/or endurance in healthy participants<sup>18,19</sup> or patients with muscular dystrophy<sup>12</sup> 59 60 were found, such programs have not been implemented in patients with FSHD. Therefore, the 61 objective of this study was to investigate the effect of a bilateral 8-week NMES training on 62 the *tibialis anterior* muscle in adults with FSHD1. It was hypothesized to observe muscle 63 strength and endurance gains in dorsiflexion as well as improved motor function in patients 64 with FSHD1.

65

#### 66 **METHODS**

67

#### 68 **Participants**

Ten adults with FSHD1 (mean  $\pm$  standard deviation (SD): 5 females and 5 males; age 62.3  $\pm$ 10.2 year; height: 168.5  $\pm$  12.8 cm; body mass: 73.7  $\pm$  15.2 kg) and 10 healthy participants (HP) age matched (7 females and 3 males; age 56  $\pm$  4.8 year; height: 171.5  $\pm$  9.01 cm; body mass: 74.8  $\pm$  12.4 kg) volunteered to take part in the study and written informed consent was obtained from all participants. The study was carried out according to the Declaration of Helsinki and approved by the local Institutional Human Ethics Committee. The trial was declared.

76 Adults patients diagnosed with FSHD1 were recruited from the outpatient record of the 77 physical medicine and rehabilitation department at the hospital and were included into the study according to the following criteria: number of 4q35 D4Z4 <11 repeats (mean of the group  $6.89 \pm 1.37$  units), no mutation on *SMCHD1* gene ; muscle weakness of ankle dorsiflexion from 2 to 4 at least on one leg, assessed by manual muscle testing (MMT).<sup>20</sup> Exclusion criteria comprised previous NMES training of the lower-limb; history of cancer, joints pathologies, or collagenopathies, parturient, or breast-feeding woman or simultaneous participation to another research study.

84

# 85 Neuromuscular electrical stimulation training

86 Bilateral neuromuscular electrical stimulation (NMES) training sessions of the tibialis 87 anterior muscles were performed with a Compex (Rehab 400, Cefar-Compex, DJO France 88 SAS, Mouguerre, France) portable battery-powered stimulator. Participants either exercised at 89 home or were trained by one of the experimenters or a physiotherapist. All healthy 90 participants as well as four patients with FSHD1 carried out their training sessions at home. In 91 the case participants trained at home, a weekly appointment was set-up with one of the 92 experimenters to provide feedback and to control the quality of the training. During these 93 training sessions participants were seated (hips, knees and ankles angles at 90°) with their feet 94 fixed. During the training sessions, the participants were instructed to place comfortably their 95 feet under a heavy-weighted object so that the feet would be firmly stuck and would not move 96 during the contractions. The participants were simultaneously stimulated bilaterally with self-97 adhesive electrodes (2 mm thick) made of elastomer (5 cm x 5 cm) that were positioned as 98 follows: the positive electrode was placed on the superior part of the muscle, whereas the 99 negative electrode was placed on the medial part of the muscle, over the muscle bulk.

100 The NMES training program lasted for 8 weeks, with 3 sessions a week. Each session was 101 composed of a 2-minute warm-up, followed by the 20-minute working out session, and 102 finishing with 3 minutes of relaxation. The NMES program consisted in isometric

103 contractions of 9s (rise time: 1.5s; steady tetanic stimulation time: 6s; fall time: 1.5s) followed 104 by a pause lasting 7 seconds (duty cycle: 56.25%) at 35 Hz and with a 200µs pulse-width. 105 These stimulation parameters were chosen accordingly to previous successful NMES using 106 low-frequency protocols in patients with neuromuscular disorders to increase muscle strength. <sup>12-17</sup> Participants were encouraged to increase stimulation intensity progressively every 5 107 108 minutes throughout each session up to individual tolerance threshold (i.e., discomfort/pain) since strength gains would be dependent on the stimulation intensity.<sup>21,22</sup> As individual 109 110 tolerance threshold varied among participants, they were instructed to increase progressively 111 stimulation intensity during the warm-up period to ensure a visible muscle contraction. 112 However, since the feet were secured, no joint movement was induced. Moreover, during 113 each of the training sessions, the participants or the physiotherapist, according to the training 114 modality (i.e., supervised or at home) had to fill-out a questionnaire consisting in reporting the 115 following: date and time of the training session; the mean intensity of the neuromuscular 116 electrical stimulation delivered; self-evaluation of their perceived fatigue after the training 117 session; the discomfort related to the NMES delivered during training sessions. Visual 118 analogue scales (VAS) were used to score perceived fatigue and discomfort. A score of 0 mm 119 indicated no fatigue or no discomfort and 100 mm indicated unbearable fatigue or maximum 120 discomfort.

121

# 122 Study design

To disclose the effect of the 8-week NMES training, at pre and post training, the participants had first a blood sample collection, followed by a clinical examination and neuromuscular tests. Following this, they answered a questionnaire during a 30 min period of rest and then performed a 6-min walk test (6MWT).

129 To establish tolerance to NMES training, Plasma Creatine Kinase (CK) was measured after 130 the fourth week (W4), and once randomly during the training in addition to before and after 131 the 8-week training period (W8). Blood samples were collected and analyzed at the hospital. 132 The first and last CK measurements were determined at rest, whereas the 4-week and the 133 random test were performed within two hours following the NMES training. Plasma CK 134 activity was determined spectrophotometrically by an automatic analyzer using a test kit 135 (Roche/Hitachi Automated Clinical Chemistry Analyzer, Modular P-800, Roche Diagnostics, 136 Meylan, France). The CK activity was considered as a biological marker of training-induced 137 damage for each participant.

138

139 Clinical examination

Muscle function and strength of the TA were assessed manually by a physiotherapist, by manual muscle testing - MMT.<sup>20</sup> Depending on the amplitude of the ankle dorsiflexion, without extension of the hallux, scores were ranked from 0 where the muscle is no longer capable of force production to 5 representing the absence of muscle impairment.

The Motor Function Measurement (MFM) assessed the functional capacity of daily life activities: standing still, weight transfers, sitting, proximal and distal motor ability of muscles, walking, standing up, raising up arms, stepping up stairs, brush hairs *etc.* After evaluation, the total score was presented as a percentage, with healthy participants reaching 100%.<sup>23</sup> The physiotherapist performing the clinical examination was experienced to assess patients suffering from neuromuscular disorders and was not blinded to the evaluation.

150

151 Neuromuscular tests

152 Maximal voluntary contractions (MVC) of the dorsiflexor muscles were carried out unilaterally in a custom made device <sup>24</sup> consisting of a fixed footplate, where the foot was 153 154 firmly strapped to avoid any movement and ensure the quality of the isometric force 155 measurement. A strain-gauged transducer (model OMF06M, linear range 0-15 kN, precision 156  $\pm$  0.5 %, sensitivity 10 mV/kN; OMICRO'N, Gambais, France) was placed on the footplate to 157 measure force production. During all contractions, the participants were seated on a chair, 158 with their knee slightly flexed according to the comfort of the participant. The foot was firmly tightened with belts over the footplate with an ankle angle of 90°. The participants were not 159 160 constrained and were allowed to seat as comfortably as they could, however, during MVCs, 161 they were not allowed to hold the seat and were asked to remain as steady as they could.

162 Bipolar surface electromyography (sEMG) electrodes (10 mm diameter, 20 mm inter-163 electrode distance) recorded the electrical activity of the tibialis anterior (TA) and the soleus 164 (SOL) muscles. The reference electrode was placed on the bony part of the contralateral 165 patella. Skin was cleaned and abraded prior to the placement of electrodes, and low resistance 166 impedance between electrodes (<5 k $\Omega$ ) was obtained. A Biopac MP 150 system (Biopac 167 systems, Inc., Holliston, MA, USA) was used to record sEMG data at a sampling rate of 2000 168 Hz. Electromyographic signals were amplified with a bandwidth frequency ranging from 1 Hz 169 to 500 Hz (common mode rejection ratio = 11 dB; impedance input = 1000 MV; gain = 1000). 170

The tests were performed on both legs, one at a time, in a random order with at least 10 minutes of rest period between each leg. Two MVCs of dorsiflexion were performed on each leg to determine the maximal strength production and the concomitant sEMG signals of both the TA and the SOL muscles. A 60-second rest was allowed between each contraction. Then, a fatiguing task consisting of an isometric 2-minute MVC <sup>25</sup> of dorsiflexion was performed with recordings of the sEMG of TA and SOL muscles. No visual feedback was provided to 177 the participants and they were asked to perform an all-out effort while they received strong

178 verbal encouragement.

- 179
- 180 Questionnaire and 6-min walk test
- 181 Quality of life of the participants was evaluated with the Medical Outcomes Study Short-
- 182 Form 36 (SF-36) questionnaire.<sup>26</sup> A 6-min walk test (6MWT) was used to assess the greatest
- 183 distance participants could walk in 6 minutes on a 20-meter shuttle.
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- 185

#### 186 Data analysis

187 The MVC was considered as the mean value over a 500-ms period around the peak force. The 188 best of the two trials was analyzed. During the fatiguing task, the percentage of MVC loss 189 was calculated as the difference of a 1-second window width at the start and a 1-second 190 window width at the end of the 2-minute MVCs. All sEMG data were analyzed over the same 191 window width as the force data where the root mean square (RMS) of the TA and the SOL 192 (TA RMS and SOL RMS) was quantified and the loss in TA RMS was computed. RMS was 193 calculated with commercially available software (AcqKnowledge 4.1, Biopac Systems, Inc., 194 Holliston, MA, USA), while the rest of the outcome measures were analyzed with Matlab 195 R2010b (The MathWorks, Inc., Natick, MA, United-States).

196

### 197 Statistical analysis

Statistical processing was performed using Statistica<sup>®</sup> software for Microsoft Windows 198 199 (StatSoft, version 8.0, Tulsa, OK, USA). The Shapiro-Wilk test was used to test whether 200 outcome measures were normally distributed, and depending on the results the appropriate 201 statistical test was performed. When data were normally distributed, an unpaired Student t-test 202 was performed to compare FSHD1 and HP groups and a paired Student t-test was used to 203 disclose training-induced changes within group (FSHD1 and HP). When data did not follow a 204 normal distribution, equivalent non-parametric tests, the Mann-Whitney U test and the 205 Wilcoxon signed ranks test were performed. A two-way ANOVA (leg × time) with repeated 206 measures on time was performed on the intensity values recorded during each training 207 session, while the Friedman ANOVA was applied for the discomfort and fatigue VAS values 208 as they did not follow a normal distribution. In all statistical analysis the significance level 209 was set at p < 0.05. Unless specified, normal distributed data are expressed as means  $\pm$  SD 210 (standard deviation of the mean), in the entire manuscript and in the tables and figures, while 211 non-normally distributed data are expressed as median  $\pm$  IQR (inter quartile range) in tables 212 and box-plots are used in figures.

213

## 214 **RESULTS**

# 215 FSHD1 patients and healthy participants before the training period

216 The plasma CK concentration was higher in FSHD1 patients before the training period 217 (t=4.38; p<0.001; Table 1). The MMT (Right: U=5.0; p<0.001; Left: U=0.0; p<0.001;) and 218 MFM scores of the FSHD1 patients were significantly impaired compared to the HP before 219 the training period (U=0.0; p < 0.001; Table 1). Similarly, the distance covered during the 220 6MWT by the FSHD1 patients was shorter compared to the HP (t=-2.63; p=0.02; Table 1). 221 Lastly, quality of life assessed by means of SF-36 questionnaire (Table 2) revealed lower 222 values of FSHD1 patients compared to the HP for the following subscores: physical 223 functioning (U=6.5; p < 0.001), social functioning (U=18.0; p < 0.05), vitality (U=14.5; p < 0.05), 224 general health (U=5.0; p < 0.001) and the standardized physical component (U=7.0; p < 0.01).

225

# 226 *Neuromuscular tests and fatiguing task*

227 As illustrated in the Figure 1A, the peak force during ankle dorsiflexion MVC was 228 significantly lower in FSHD patients than in HP prior to the training period for both legs 229 (Right, Pre: U=88.0; p < 0.001; Left, Pre: U=102.0; p < 0.001). The associated amplitude of the 230 TA RMS during dorsiflexion MVC (Figure 1B) was lower in the FSHD1 patients compared 231 to the HP before (Right: U=95.0; p<0.001; Left: U=90.0; p=0.013) the training period. In 232 addition, the amplitude of the SOL RMS during dorsiflexion MVC was found lower in the 233 FSHD1 patients before on the right leg (U=85.0; p=0.007), but not on the left leg (p=0.282). 234 Although not significantly different for the left leg (p=0.095), the group of patients with 235 FSHD1 exhibited a lower force reduction during the 2-minute MVC than the HP group before the training period on the right leg (Right: U=10.0; p=0.003; Figure 2A). No difference in percentage of TA RMS and SOL RMS reduction between groups was found before the training period for either leg (p>0.05).

239

# 240 Effects of NMES training in FSHD1 patients and healthy participants

241 Individual patient/healthy participant's compliance to the training program was maximal (i.e., 242 100% of the scheduled training sessions attended). Whatever the group considered, plasma 243 CK did not change significantly during the NMES training period (p>0.05). The NMES 244 training did not modify the SF-36 questionnaire subscores and the values of the FSHD1 245 patients remained lower than those of the HP (Table 2). Also, no significant changes of the 246 MFM and 6MWT assessments were observed after the training period (p>0.05) and the values 247 of the FSHD1 patients remained lower than those of the HP (Table 1). Although no 248 significant differences were found, the MMT values of the FSHD1 patients tended to slightly 249 increase after the training period (p=0.067; Figure 3) for both the right and left legs. Also, 250 when considering exclusively legs (i.e., fourteen legs) matching the inclusion criterion of 251 having a MMT score of ankle dorsiflexion comprised between 2 to 4, a significant training 252 effect was observed (p=0.027; data not illustrated).

253

## 254 *Neuromuscular tests and fatiguing task*

No significant training effect was found in dorsiflexion strength (p>0.05; Figure 1A) and in the associated RMS amplitude of the TA (p>0.05; Figure 1B) and the SOL muscles in either group for both sides. All these variables of the FSHD1 patients remained lower than those of the HP (Figures 1 and 2).

The force reduction during the 2-minute MVC did not changed after the training period for both legs in the FSHD1 patients and HP (p>0.05; Figure 2A). Although no significant 261 difference was found for the left leg, the TA RMS reduction of the right leg during the 2-262 minute MVC was significantly greater after the training period for the FSHD1 patients 263 (t=3.33; p>0.05; Figure 2B). No significant change was noted for the HP. Also, no change of 264 the SOL RMS reduction was found after the training period for either leg or group.

265

# 266 Characteristics of the NMES training program

267 As illustrated in the Figure 4, the stimulation intensity of the NMES training was significantly 268 increased for the FSHD1 groups on both legs (F=1.89; p<0.05) as well as for the HP (F=3.26; 269 p < 0.001). The self-reported evaluation of the discomfort level throughout the training reduced 270 on the right leg only in the group of patients with FSHD1 ( $\chi^2=36.1$ ; p=0.041; Left leg: 271  $\chi^2$ =28.2; p=0.208), whereas no change was observed for the group of healthy participants 272 (p>0.05). Likewise, the self-reported fatigue level reduced significantly in the group of 273 patients with FSHD1 along the training only in the left leg (Left leg:  $\chi^2$ =40.3; p=0.014; Right 274 leg:  $\chi^2=34.3$ ; p=0.061). No change was observed for either leg in the HP group (p>0.05).

275

#### 276 **DISCUSSION**

277 The purpose of this study was to investigate whether a short term bilateral NMES training on 278 the tibialis anterior muscles in adults with FSHD1 would be well tolerated and would 279 improve muscle strength, endurance and motor function. All participants completed the 8-280 week NMES training program and no side effects were reported during or after the training 281 period. Unfortunately, this program did not improve ankle dorsiflexion maximal muscle 282 strength, nor muscle endurance or motor function in patients with FSHD1, although a 283 tendency towards an increase was observed for the MMT scores. Also, no significant 284 improvements were noted for the healthy participants.

All the participants (*i.e.*, FSHD1 patients and HP) completed the NMES training program and according to the CK measurements, no rhabdomyolysis was induced by the NMES protocol. Also, discomfort and fatigue VAS values reported throughout the protocol remained clinically low (mean VAS<2) and did not significantly increase during the 8-week period. These results agree with previous studies investigating the effects of NMES training programs in neuromuscular diseases<sup>17</sup> and confirm that NMES exercise is well tolerated by FSHD1 patients and HP.

Although well tolerated, this study failed to show a positive significant effect of NMES on muscle strength, muscle endurance and motor function of the ankle dorsiflexor muscles in FSHD1 patients, as well as in the HP. These observations are somehow surprising considering that benefits of NMES training programs on muscle strength are widely reported in literature for similar, or even shorter training periods in healthy participants <sup>18,19</sup>. In addition, the use of NMES was shown to be effective in patients with muscular dystrophies <sup>12–15</sup> and in patients with FSHD1.<sup>16,17</sup>

299 Although, the characteristics of stimulation parameters used here (35 Hz of frequency and 300 200-µs pulse duration) may be questioned, these NMES parameters were chosen since they 301 were successfully used in previous rehabilitation settings in patients with muscular dystrophies.<sup>13,17</sup> However, FSHD1 patients can have fat infiltration in TA muscles <sup>6,27</sup> and 302 strong alteration of the sarcomeric contractile properties, preferentially of type II fibers,<sup>28,1</sup> 303 304 reducing the overall capacity of the muscle to contract. Nevertheless, this reason cannot 305 account for the absence of improvements in the HP. Considering that frequencies above 50 Hz 306 are suggested to maximize the training effect of NMES on muscle strength in healthy participants,<sup>22</sup> it may be suggested that these frequencies should be considered in future 307 308 NMES studies in FSHD1 patients.

309 The lack of significant improvements could also be attributable to the frequency of the 310 sessions and/or the duration of the training. Only three sessions per week for eight weeks were performed, whereas Colson et al.<sup>17</sup> trained their FSHD1 patients five days per week over 311 a 5-month training period. Similarly, Milner-Brown and Miller<sup>16</sup> obtained significant 312 313 improvement in strength after a 2-hour session performed 5 days per week during 14 months. 314 Therefore, a higher volume training period (greater number of sessions or duration) might be 315 required to obtained significant strength improvements in FSHD1 patients. Finally, as 316 previously suggested, the stimulation intensity was constantly increased throughout the training period to ensure strength adaptations.<sup>22</sup> However, this stimulation intensity increment 317 318 might have been too moderate to improve muscle strength. Therefore, it seems that the main 319 reason for the absence of strength increase in FSHD1 patients has to be related to the 320 frequency and/volume of the NMES sessions. Moreover, in the FSHD1 patients, the impaired 321 muscle function of the TA at the beginning of the study can be suggested as a possible reason 322 candidate for the training to be ineffective. Since no training effect was seen in the HP group 323 either, this cannot be stated with certitude. Finally, the soleus muscle activity of the right leg 324 during the dorsiflexion was found to be of lower magnitude compared to the left leg for the 325 FSHD1 patients before the training. This observation confirms that important imbalance exits 326 between limbs (i.e., asymmetric muscle weakness) and that particular neuromuscular 327 adjustments/compensations could occur with the disease in order to maintain functional 328 movements. These neuromuscular imbalances and their influence on functional daily tasks 329 such as balance/walking should be further investigated in FSHD patients.

Interestingly and although it did not change with the training period, the MVC loss was much lower in the FSHD1 patients than in the HP during the 2-minute fatiguing task. This may indicate that patients with FSHD1 experienced a lower amount of muscle fatigue compared to the HP,<sup>25</sup> before and after the training, likely for several reasons. First, as the amplitude of the

334 TA EMG RMS reduced similarly, this suggests that the neural drive to the muscles would 335 have become suboptimal with fatigue for both groups in the same fashion during the fatiguing exercise.<sup>29</sup> Second, patients with FSHD1 have strong alteration of the sarcomeric contractile 336 properties of type II fibers,<sup>1,28</sup> which could lead to muscles more resistant to fatigue. Third, 337 weaker participants are shown to be less fatigable than stronger ones,<sup>30</sup> as the intramuscular 338 339 pressure is lower the negative feedback from afferent groups III and IV is therefore diminished.<sup>30</sup> Even though, the group of patients with FSHD1 showed a greater TA EMG 340 341 RMS loss after the training in comparison to the loss before the training, this decrease was 342 similar in both groups. Since the patients group showed lower force losses, it can be suggested 343 that at the task truncation, the group of patients with FSHD were experiencing a lower amount 344 of muscle fatigue compared to the HP group.

345

346 Study limitations

347 A limitation of this study is related to the low number of FSHD1 patients and HP included. 348 The reasons may be as follows: i) the pool of patients with FSHD1 is rather low and/or 349 geographically spread, ii) it is unlikely to include enough patients with FSHD1 that have the 350 identical muscle weakness as well as possible matching response to the training program. 351 Nevertheless, all the participants completed the NMES training sessions scheduled. Second, 352 the heterogeneity of the adaptations to the NMES training program may have been too 353 important to highlight specific adaptations within each group. Also, no FSHD1 control group 354 (i.e., FSHD 1 patients not receiving NMES) was included in order to assess the possible 355 changes of measured variables due to the progression of the disease during the 8-week period. 356 Then, although the reliability of strength measurements is often questionable in fragile 357 populations, the measurements seemed to be sufficiently accurate since interclass correlation 358 coefficient for the ankle dorsiflexion MVC ranged from 0.96 (right leg) to 0.98 (left leg) and 359 from 0.87 (right leg) to 0.93 (left leg) for the associated TA EMG RMS values. 360 Notwithstanding these limitations, the present results may be useful to assist clinicians to plan 361 in the design of rehabilitation programs with the use of NMES in FSHD1 patients. For 362 example, in light of the present results and based on the literature, we proposed that future 363 clinical studies should design NMES training programs including between three to five 364 training sessions per week (for a muscle group) with frequencies ranging from above 50 Hz 365 with a pulse duration ranging from 100 to 500 µs for a minimum duration of 20 to 30 366 minutes (duty cycle  $\sim$ 50%). Although the exact training duration period has yet to be 367 determined, a minimum of three months seemed required to expect positive adaptations.

368

# 369 CONCLUSION

370 In summary, the present results show that an 8-week bilateral NMES training (20 minutes per 371 session, 3 sessions per week) of the tibialis anterior muscle did not improve muscle strength, 372 endurance and motor function in patients with FSHD1. Whether this non-responsiveness is 373 due to the impaired neuromuscular function of the ankle dorsiflexor muscles and/or to the 374 duration of the NMES protocol or to the stimulation intensity level reached during the NMES sessions still remain to be shown. As suggested by Colson et al.<sup>17</sup>, it is likely that the efficacy 375 376 of the NMES training would depend on rapidity of starting NMES training as soon as the 377 FSHD1 diagnosis is made to maximize the training effects.

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#### 466 FIGURES LEGENDS

Figure 1A Box-plots of the dorsiflexion Maximal Voluntary Contraction (N) for the FSHD1 patients and the HP groups for the right and left legs, before (dark fill-in) and after (white fillin) the NMES training. Boxes represent group median and interquartile range values and whiskers are the highest and lowest values. Significant group differences p<0.001 (\*\*\*).

Figure 1B Box-plots of the RMS amplitude of the Tibialis Anterior during the dorsiflexion Maximal Voluntary Contraction for the FSHD1 patients and the HP groups for the right and left legs, before (dark fill-in) and after (white fill-in) the NMES training. Boxes represent group median and interquartile range values and whiskers are the highest and lowest values. Significant group differences p<0.05 (\*) and p<0.001 (\*\*\*).

476 Figure 2. Box-plot of the percentage of force production loss (A, left panel) and of the RMS 477 of the tibialis anterior (TA) (B, right panel) during the 2-minute sustained ankle dorsiflexion 478 endurance exercise, for the right and left legs, before (dark fill-in) and after (white fill-in) the 479 8-week training for patients with facioscapulohumeral muscular dystrophy (FSHD1) and 480 healthy participants (HP). Boxes represent group median and interquartile range values and 481 whiskers are the highest and lowest values. Columns represent group mean values and error 482 bars the standard error of the group mean. Significant group differences: p < 0.05 (\*), p < 0.01483 (\*\*).

**Figure 3** Box-plot of the manual muscle testing (MMT) of the dorsiflexion for both for legs obtained before (dark fill-in) and after (white fill-in) the 8-week training period for facioscapulohumeral muscular dystrophy (FSHD1). Boxes represent group median and interquartile range values and whiskers are the highest and lowest values. Dashed lines display individual data.

- 489 Figure 4 Mean and standard error (mean ± SE) of the stimulation intensity (mA) for the
- 490 FSHD1 (grey line) and the HP (black line) for the right (plain lines) and left (dashed lines)
- 491 throughout the 24 sessions of the 8-week NMES training.

**Table 1**. Mean and standard deviation (mean  $\pm$  SD) of the plasma Creatine Kinase (CK) values obtained before training (Pre), after the fourth week (Mid), after the 8-week training period (Post) and during the random measurement made during the training period after the training session (Random), as well as the motor function measurement (MFM) and the 6-min walk test performance obtained before (Pre) and after (Post) the 8-week training period for facioscapulohumeral muscular dystrophy (FSHD1) and healthy participants (HP).

CK (U/L)	FSHD1	HP
CK (U/L)		
Pre	$213.1\pm46.7$	$118.6 \pm 38.3 ***$
Mid	$185.5\pm52.7$	$131.4\pm49.7$
Post	$208.3\pm48.5$	$124.8 \pm 40.6^{***}$
Random	$205.8\pm32.4$	$119.9 \pm 37.4 ***$
MFM		
Pre	$68.86 \pm 19.35$	$100.0 \pm 0.0$ ***
Post	$66.97 \pm 17.42$	$100.0 \pm 0.0$ ***
6-min walk test (m)		
Pre	$309.67 \pm 132.14$	$462.22 \pm 113.66*$
Post	$311.11 \pm 126.88$	$475.25 \pm 131.07*$

Significantly different from FSHD1: \*\*\* *p*<0.001; \*\* *p*<0.01; \* *p*<0.05

**Table 2**. Medical Outcomes Study Short-Form 36 (SF-36) scores obtained before (Pre) and after (Post) the 8-week training period for patients with facioscapulohumeral muscular dystrophy (FSHD1) and healthy participants (HP).

	FSHD1		Н	НР	
	Pre	Post	Pre	Post	
SF-36 subscores					
Physical Functioning	$43.0\pm30.1$	$35.0\pm22.2$	$92.5 \pm 12.8$ ***	$96.5\pm6.7$	
Physical role	$70.0\pm 32.9$	$71.9\pm41.1$	$92.5\pm23.8$	$90.0\pm21.1$	
Bodily pain	$57.5\pm23.6$	$52.6\pm24.9$	$80.0\pm23.6$	$80.9 \pm 23.1$	
Mental Health	$75.6 \pm 14.9$	$73.0\pm16.1$	$84.0 \pm 13.7$	$81.6\pm20.0$	
Emotional role	$96.3 \pm 11.1$	$70.8\pm 45.2$	$100.0\pm0.0$	$100.0\pm0.0$	
Social Functioning	$75.0\pm 21.5$	$75.0\pm22.2$	$97.5 \pm 7.9*$	$93.8\pm15.9$	
Vitality (Energy/Fatigue)	$48.9\pm 20.4$	$47.3\pm17.8$	$74.0\pm16.6\texttt{*}$	$72.5\pm22.9$	
General Health	$59.9 \pm 14.9$	$50.4 \pm 17.6$	$87.4 \pm 11.9$ ***	$87.4 \pm 15.6$	
Health Change	$50.0\pm28.9$	$43.8\pm17.7$	$57.5\pm23.7$	$57.5\pm16.9$	
Standardized physical component	$34.9 \pm 11.5$	$34.8\pm~7.9$	53.1 ± 6.2**	$53.4\pm5.3$	
Standardized mental component	$55.8\pm~3.7$	$52.0\pm10.4$	$56.6\pm5.3$	$54.9\pm9.3$	

Significantly different from FSHD1: \*\*\* *p*<0.001; \*\* *p*<0.01; \* *p*<0.05









LEFT

RIGHT

RIGHT LEFT FSHD1

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