

1 Modelling winter operational strategies of a hydropower system

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10

11 **Abstract**

12 The ice conditions in regulated rivers can be very complicated due to both intake
13 and release of water to and from hydropower plants. The optimal operational
14 strategies for the hydropower system must involve ice management in the river
15 basin and finding a balance can be a challenge for the hydropower operator.

16 Issues with ice occur during both freezeup and breakup, and at certain
17 conditions both situations can occur in a basin at nearly the same time. In this
18 study, a series of modelling tools have been used to investigate the consequences
19 of a forced shutdown of a power plant in the Orkla river. The associated impacts
20 on the stability of the ice cover in a downstream bypass reach and the ice and
21 ecological conditions in the reaches upstream of the power plant have been
22 explored. The reason for this is restrictions on upstream water releases during
23 the shutdown period given in the regulation permit to prevent ice breakup in the
24 downstream bypass reach and subsequent flooding problems downstream. The
25 study demonstrates a wide application of numerical tools for environmental

26 impact assessment, providing knowledge for better decision-making and for
27 optimal operational strategies for hydropower systems during winter.
28 In summary, the analysis shows that a shutdown period shorter than the travel
29 time of water from the upstream hydropower plants to the bypassed reach does
30 not guarantee a reduction of the ice problems in the bypass reach. Since the
31 intake pond is too small to store already released water from the upstream
32 power plants, spill and ice breakup will occur. It is rather found that a shutdown
33 of the upstream power plants can induce environmental problems due to the
34 rapid dewatering of the river, and an ice breakup during the restart of the
35 upstream power plants.

36

37 **1. Introduction**

38 The interaction between hydropower generation and river-ice is a complex
39 process which is determined by climatic conditions, river morphology and
40 hydropower operational strategies. In regulated catchments, changes of the flow
41 regime, the thermal regime and the river ice regime are often observed (Tesaker,
42 1990; Gebre et al., 2013). Downstream of power plant outlets, warm water
43 release from reservoirs leads to long reaches of open water which are ice
44 covered under natural conditions (Timalsina et al., 2013). On the contrary,
45 bypassed reaches often have a small environmental flow and freezeup
46 completely and form a stable ice cover during winter. Open river reaches and
47 openings in the ice covers are sources of increased frazil ice generation during
48 cold periods. Thus, frazil formation episodes are increased downstream of
49 hydropower outlets in regulated rivers compared to a natural case (Stickler and
50 Alfredsen, 2009; Timalsina et al., 2013). As reported by Wigle et al., (1990) and

51 Gebre et al. (2013), a number of problems related to ice might occur for the
52 operation of hydropower systems from freeze-up to break-up. Furthermore,
53 formation of ice and changes in the ice regime can influence the river ecology
54 (Morse and Hicks, 2005; Prowse et al., 2011), the utilization of the river and it
55 can also be a threat for structures in and along the river (Beltaos, 1995).
56 Therefore, most regulated rivers have operational constraints during winter to
57 prevent excessive ice effects in the river (Wigle et al., 1990; Asvall, 2008; Gebre
58 et al., 2013). However, such constraints could have impacts on other parts of the
59 river system and a balance of operational strategies and corresponding effects
60 must be assessed to take care of all objectives and to optimize the outcome for all
61 stakeholders.

62 The Orkla river in middle Norway (Figure 1) has a history of ice production
63 which was important for the planning of the hydropower system (Kanavin,
64 1974). After the regulation the ice regime downstream of the hydropower
65 outlets show a marked change, and the combination of outlets and bypass
66 reaches makes this a complex ice environment. Of particular interest is the reach
67 from the Brattset outlet down to the Svorkmo outlet that is both important for
68 hydropower production and important as habitat for Atlantic salmon.

69 The regulation permit states that if the Svorkmo power plant is shut down
70 suddenly due to an operational failure, the upstream power plants at Brattset
71 and Grana should reduce or stop their production immediately to prevent water
72 from spilling the Bjørset dam and into the reach below. The main reason for this
73 constraint is to prevent an ice breakup and the formation of ice jams in the
74 bypassed reach downstream of Bjørset dam due to the sudden flow increase
75 from spilled water. Once Svorkmo is restarted, the upstream power plants can

76 also be started again. Most of the documented shutdown events at Svorkmo
77 show a duration of less than two hours (Hiller et al., 2010), which is shorter than
78 the travel time of water from the plant outlets at Brattset/Grana down to the
79 Bjorset dam. Therefore, a shutdown of the upstream power plants as a response
80 on a production stop in Svorkmo may not prevent an ice breakup and a possible
81 ice jam flooding in the bypass reach since water already released from the upper
82 plants at the time of Svorkmo shutdown will inevitably spill the dam. Moreover,
83 the reach upstream of Svorkmo intake will experience a sudden drop in water
84 level inducing rapid dewatering and drying of living areas for fish and
85 invertebrates. Further, in cold periods with low residual flow a freezeup and
86 subsequently breakup at the restart of the upper power plant can be a
87 consequence of the production stop. As the water takes time to reach the Bjørset
88 dam from the upstream hydropower outlets after a restart, a delayed restart of
89 Svorkmo power plant will result in revenue loss. The current requirement given
90 in the operational permit is based on a theoretical assessment with the aim at
91 avoiding unfavorable ice conditions in the bypass reach downstream of the
92 Bjørset dam. However, no scientific assessment of the effects of this operational
93 constraint on the upstream river reach has been made.

94 With this background, a quantitative evaluation of the consequences due to the
95 sudden stop/start of the power plants has been assessed. This paper illustrates
96 the balancing act between power production, ice management and the mitigation
97 of ecological impacts in the regulated river Orkla during winter. The presented
98 assessment strategies and methods to evaluate the impacts on both ice and
99 winter ecology can be extended and applied to similar problems in different
100 rivers.

101

102 **2. Materials and Methods**

103 **2.1 Study site**

104 The Orkla hydropower system was put into operation in 1983 and consists of
105 five hydropower plants with a total installed capacity of 320 MW. The water
106 storage and transfer system also consists of three large reservoirs and several
107 brook intakes, which have a considerable impact on the hydrological, thermal
108 and ice regime of the river. The catchment has an area of 3,053 km² and an
109 average annual discharge of 70 m³s⁻¹. The river is designated as a national
110 salmon river and the protection of the Atlantic salmon stock is a priority for the
111 river management.

112 This study focuses on the reach from Brattset outlet to Bjørset dam where the
113 Svorkmo intake is located, and on the bypassed reach downstream of Bjørset
114 dam. The Brattset-Bjørset reach is strongly dominated by production flows in the
115 range of 20 - 60 m³s⁻¹ released from the Grana (20 m³s⁻¹) and Brattset (40 m³s⁻¹)
116 power plants. As result of the warm production water coming from the
117 reservoirs, the reach has a variable ice regime during winter. The bypassed reach
118 has a stable ice regime and a minimum flow release of 4 m³s⁻¹ during the winter
119 period.

120

121 **2.2 Design of the flow scenarios**

122 The investigated flow scenarios used in this study were developed based on the
123 winter hydropower operational constraints outlined in the regulation permit,
124 which is described by Hiller et al. (2010). Their study considered the period
125 1985-2010. During this period the power plants stopped 36 times, of which 29

126 times the duration of the shutdown was between one and two hours. Using this
127 information, the scenarios to be investigated were developed based on a set of
128 shutdown durations of the Svorkmo power plant and the compulsory shutdown
129 of the upstream power plants Brattset and Grana. The considered shutdown
130 durations for Svorkmo were 2, 5, 10, 15 and 24 hours. The current minimum
131 flow requirement in the reach downstream of the Brattset outlet is $10 \text{ m}^3\text{s}^{-1}$, but
132 since the regulation permit does not clearly define if the minimum flow should
133 be released in the case of a shutdown in Svorkmo, both scenarios with a full stop
134 and thereby a residual discharge of $0.5 \text{ m}^3\text{s}^{-1}$ (SC_A) and scenarios with a
135 minimum flow release of $10 \text{ m}^3\text{s}^{-1}$ (SC_B) were developed.

136

137 **2.3 Ice breakup and flooding potential**

138 During the illustrated shutdown scenarios in Table 1, water spills the Bjørset
139 dam when Svorkmo power plant is shut down. The spilled water flow into the
140 bypassed reach during these events is considerably larger than the minimum
141 flow of $4 \text{ m}^3\text{s}^{-1}$. Therefore, the potential for ice breakup and the flooding
142 potential in the bypass reach must be assessed. The breakup is evaluated based
143 on the empirical method developed by Beltaos (1997). The flooding potential
144 due to a formation of ice jams in the case of breakup was assessed using the HEC-
145 RAS hydraulic model (Beltaos et al., 2012).

146

147 **2.3.1 Ice breakup evaluation**

148 In the literature, the onset of ice breakup has been tested using empirical and
149 semi-empirical methods with an aim to generalize the involved processes and to
150 make parameters transferable to other sites. In this study, the empirical method

151 described by Beltaos (1997) has been used to assess the possibilities for
152 breakup. This method has been previously tested and applied in a small stream
153 in Norway (Heggen and Alfredsen, 2013). The empirical method by Beltaos
154 (1997) states that:

$$155 \quad H_B - H_F = Kh_{i0} - F(S_5) \quad (1)$$

156 Where H_B is the water level at which the ice cover starts to move; H_F is the
157 freeze-up water level, K is a dimensionless site-specific coefficient, h_{i0} is the ice
158 cover thickness prior to the start of the breakup and the function F is a site
159 specific function. F has the dimension of length and by definition $F(0) = 0$
160 (Beltaos, 1997). S_5 is an index of accumulated heat input to the ice cover, defined
161 at a base air temperature of -5°C .

162 Measurements of ice thickness downstream of the Bjørset dam are missing,
163 therefore a wide range of thicknesses have been used to compute a range of
164 resisting factors. These thicknesses are compared to measurements taken in
165 nearby streams during the winter of 2012/2013 that are within a realistic range.
166 Since it is difficult to validate the parameters due to a lack of observations in the
167 reach, the second term of the right hand side of the equation is neglected. By
168 doing so the method gives a higher resisting factor which will be compensated by
169 a lower value of K . Based on the water levels at a freeze-up and break-up event in
170 February of 2007 recorded at the Storsteinhølen gauge located just downstream
171 of Bjørset dam, a value for K was determined and calibrated. The air temperature
172 measured in the field on the day of breakup was -2.9°C and accumulated degree-
173 day factor was 60°C-days . The thickness of the ice was estimated based on the
174 Stefan formula (Comfort and Abdelnour, 2013). An ice growth coefficient of 2.7

175 $\text{mm}^0\text{C}^{-1/2}\text{day}^{-1/2}$ has been taken from a previous study conducted in nearby
176 streams (Heggen and Alfredsen, 2013), which yielded a thickness of ~21 cm.

177

178 **2.3.2 Flood potential due to breakup jams**

179 During the observed shutdowns of Svorkmo power plant, ice jams have been
180 observed in the bypass reach, particularly at Svorkmo (Hiller et al., 2010). Based
181 on the damage potential of an ice jam flooding, the ice jams directly upstream of
182 Svorkmo outlet were selected for modelling. A comparatively milder slope in this
183 area, low flow velocity and an accumulation of incoming ice discharge reduces
184 the ice transport capacity and creates ice jams at this location. There are two
185 types of ice jams reported in the literature, the narrow and the wide river ice
186 jams. However the distinction between these two is unclear (Beltaos, 1995).

187 Therefore both types of ice jams have been considered for the analysis.

188 A 1D HEC-RAS model was set up for the Svorkmo area for ice jam modelling. The
189 cross sections were obtained from the Norwegian Water Resources and Energy
190 Directorate (NVE) and originally used for flood map calculations. The geometric
191 data covers the reach from Svorkmo bridge (cross-section CS_46, Figure 3) to the
192 Svorkmo power plant outlet further downstream. Ice jam measurements from a
193 breakup and jam event that occurred after a spill event in 2007 show that the ice
194 jam was starting just downstream of Svorkmo Bridge. Therefore, additional cross
195 sections were added upstream of the bridge by combining field GPS
196 measurements with the digital elevation model of the area. Extra cross-sections
197 were interpolated with a maximum distance of 50 m from the measured data.

198 A static intact ice thickness of one meter has been used at a cross-section located
199 close to Svorkmo outlet to define the downstream toe of the jam. At the head of

200 the jam upstream, a static intact ice thickness of four meter has been used. The
201 ice jam configuration is based on observations from the 2007 event. The applied
202 HEC-RAS model is able to predict an ice jam level comparable to the
203 measurements made by NVE in 2007 when running with a constant flow of 4
204 m^3s^{-1} . The ice jam at Svorkmo area was created during a flow of $70 \text{ m}^3\text{s}^{-1}$, and the
205 sudden flow reduction to $4 \text{ m}^3\text{s}^{-1}$ points in the direction of a grounded ice jams as
206 discussed by (Beltaos, 1995).

207 Two types of scenarios for ice jam floods have been evaluated. The first scenario
208 considered a static ice jam with a measured ice jam extent and estimated the
209 flood potential with a flow of $70 \text{ m}^3\text{s}^{-1}$. The second scenario also estimated the
210 flood potential with a flow of $70 \text{ m}^3\text{s}^{-1}$ and a measured ice jam extent, but added
211 an additional influx of ice from the upstream river reach. The Manning
212 coefficient depends on the types of accumulations: Loose slush, dense slush and
213 ice floes. For the analysis, different Manning coefficients for loose slush and
214 dense slush have been used. The details of the parameters for the ice jam flood
215 simulations are shown in Table 2.

216

217 **2.4 Consequences for the Brattset-Bjørset reach**

218 **2.4.1 Computation of dry areas and stranding potential**

219 To estimate the dewatering of the river reaches for the different shutdown
220 scenarios and thereby the potential for stranding of fish, spawning sites and
221 invertebrates, a HEC-RAS hydraulic model (Brunner, 2010) was applied. HEC-
222 RAS was chosen due to previous experiences with using the model for stranding
223 studies (Casas-Mulet et al., 2014). The same cross-sections as for the ice
224 simulations and a time resolution of one hour were used. It is worth noting that

225 the uppermost part of the river contains a long section of interpolated cross
226 sections, which perform well for the hydraulic modelling but for a detailed
227 assessment of dried out areas they may not be as accurate as the measured
228 cross-sections. The model was calibrated against measured water levels for one
229 discharge ($36 \text{ m}^3\text{s}^{-1}$) collected in the field using a Leica RTK-GPS, and the ability
230 to reproduce flow dynamics was calibrated against the observed discharge
231 hydrograph from the Syrstad gauge for a period of two years. For all simulations
232 with HEC-RAS and MIKE-Ice we used the Nash-Sutcliffe coefficient of efficiency
233 R^2 (Nash and Sutcliffe, 1970) as a measure of goodness of fit. With few
234 exceptions, differences between simulated and observed water level were within
235 centimeters. The exceptions occurred at locations where interpolated cross
236 sections were used, which mean a few cross sections must be handled with care
237 in the further assessment. The model fit well with the observed flow hydrograph,
238 giving a R^2 equal to 0.82. For more details see Beckers (2014). Based on the
239 hydraulic simulations, the stranding potential was described using three metrics,
240 the dried out width of the cross section, the dry down speed and the duration of
241 dry areas The dried out width gives us the amount of dry areas for any given
242 time in each cross section computed by subtracting the top width of the water
243 surface at a certain stage from the top width at full flow. By extrapolation
244 between cross sections we can compute the total dry area of the reach. This
245 metric provides the magnitude of the shutdown event. The dry down speed
246 provides the rate of reduction in water level per hour and is evaluated against a
247 defined critical level for stranding (Harby et al., 2004). This metrics provides us
248 with a measure of stranding risk. The duration of dry areas provides the
249 duration of the dry period, a parameter that is particularly important in winter

250 when frost is critical for stranded juveniles or eggs. The three parameters were
251 computed using a script in R and Microsoft Excel (Beckers, 2014). The effect of
252 frost on the dried out areas has been estimated using a simple energy loss
253 assessment based on air temperature scenarios.

254

255 **2.4.2 Ice conditions**

256 The ice conditions have been simulated for both possible minimum flows (0.5
257 m^3s^{-1} and $10 \text{ m}^3\text{s}^{-1}$) using the Mike-Ice model (Thériault et al., 2010). Mike-Ice
258 simulates the hydrodynamics, water temperature, frazil ice formation, transport
259 of frazil and surface ice, ice cover formation from border ice progression and the
260 juxtaposition of drifting ice and thermal ice cover retreat. The Mike-Ice model
261 was calibrated and validated for the reach from the Grana outlet to the Svorkmo
262 intake. The evaluation was based on observed flow at Systad gauge, water
263 temperature measured at several locations and observed ice from field
264 campaigns and time-lapse cameras. The discharge comparison gives a R^2 of 0.79,
265 and water temperature shows an average R^2 of 0.81 over all locations.

266 Furthermore, it was observed that the model managed to predict both the
267 development of ice cover and the presence of drifting frazil ice with good
268 accuracy. A more detailed description of the model and the Orkla setup can be
269 found in Timalina et al. (2013). The calibrated model has been extended up to
270 Brattset outlet and used to study the ice conditions of the entire reach from
271 Brattset outlet to Svorkmo intake.

272 The scenarios presented in the previous section are used as input hydrographs
273 for the simulation. The water temperature input at the upstream boundary (at
274 the Brattset outlet) was taken as 1.0°C based on measurements at the Brattset

275 outlet. For simulations of ice formation we have used constant air temperatures
276 of -10°C, -20°C and -30°C combined with the flow scenarios. A measured water
277 level at Bjorset dam is used as the lower boundary condition.

278

279 **3 Results**

280

281 **3.1 Ice break-up in the bypass reach**

282 The results suggest that the driving force computed from the measured water
283 level at Storsteinhølen is higher than the stabilizing force for the full range of
284 possible ice thicknesses and values of K (Figure 2). Therefore, based on the
285 measurement made during the ice jam in February 2007 it can be concluded that
286 the full production flow of $70 \text{ m}^3\text{s}^{-1}$ will break-up the ice in the bypass reach at
287 all reasonable ice thicknesses.

288

289 **3.2 Flood potential from ice jams**

290 The HEC-RAS simulation both on static ice with and without influx of ice floes
291 from upstream show that there is minimal flooding potential due to ice jams in
292 the study area. The inundation map shows minimal flooding in the Svorkmo area
293 with dense slush type accumulation of the ice jams (Figure 3).

294

295 **3.3 Dewatering and stranding in the upper reach**

296 Figure 4 a) and 4 b) shows the dried out width for the five shutdown scenarios
297 for a minimum flow of $10 \text{ m}^3\text{s}^{-1}$ and $0.5 \text{ m}^3\text{s}^{-1}$, respectively. A similar pattern of
298 variation in dried out width is seen for both situations with the largest impacts in
299 the region from Syrstad to the village Å (10 km upstream of Bjørset dam). This

300 indicates that the areas of the river with the highest total stranding risk do not
301 change dependent on the scenario or minimum flow. For the situation when the
302 river is lowered to $0.5 \text{ m}^3\text{s}^{-1}$ we see an increase of dried out width can be seen
303 over the entire river which is most pronounced in the upper part of the river. It
304 can also be seen that the 24 hour stop leads to a significant larger dried out
305 width at some cross sections, particularly in the lower river at the lowest
306 minimum flow. Figure 5 shows the dry down speed summarized for all scenarios
307 and minimum flows, and shown for areas upstream and downstream of the
308 Grana outlet. A critical value for the dry down speed for stranding of juvenile
309 Atlantic salmon of 0.13 m/hour found from experiments (Harby et al. 2004) is
310 shown on the figure as a dotted line. It is seen from this that critical dry down
311 speeds are frequent in the river upstream of Grana outlet for both scenarios, but
312 less evident in the reach between Grana outlet and Bjørset dam. But critical
313 cross-sections are still found in the lower river which are critical. It is also worth
314 noting that the duration of the stop has very little impact on the distribution of
315 critical dry down speed.

316 Figure 6 a) and 6 b) shows the duration of dry areas for the two minimum flows
317 for all the five shutdown levels, ergo for all scenarios. For the shorter duration
318 shutdowns, longer durations of dry areas are mostly seen in the upper river for
319 both minimum flows, so the duration of power plant shutdown has a large
320 impact on the duration of dry area as expected.

321

322 **3.4 Freeze-up in the upper reach**

323 The simulation results from Mike-Ice shown in Figure 7 demonstrate that ice
324 forms in the reach between Brattset outlet to Bjørset dam nearly immediately

325 after the power plants shut down during cold conditions. The results shows that
326 the ice cover length in the reach varies widely and that it depends on the
327 minimum flow of the scenarios, the stoppage duration of the power plants and
328 the surrounding air temperature. For the scenarios with a minimum flow of 0.50
329 m^3s^{-1} , an air temperature of -10°C and a shut down duration of 2 hours, about
330 5% of the river reach is ice covered. Whereas an air temperature of -30°C and a
331 shut down duration of 24 hours shows that 95% of the reach is ice covered
332 (Figure 7, SC_A scenarios). Similarly, for the scenarios with a minimum flow of
333 $10 \text{ m}^3\text{s}^{-1}$, an air temperature of -10°C and a shut down duration of 2 hours, about
334 2% of the river reach is ice covered. With an air temperature of -30°C and a shut
335 down duration of 24 hours about 42% of the reach is ice cover (Figure 7, SC_B
336 scenarios).

337 In addition, the results indicate that the quick start of the Brattset and Grana
338 power plants can induce ice breakup in the scenarios with a minimum flow of
339 $0.5 \text{ m}^3\text{s}^{-1}$ (Figure 7, SC_A). The breakup is most pronounced during long shut
340 down durations and low air temperatures.

341

342 **4. Discussion and Conclusion**

343 The purpose of the current shutdown requirement of the river Orkla is to
344 mitigate the ice problems in the bypass reach downstream of Svorkmo intake
345 and Bjorset dam. The study reveals that this requirement does not prevent an ice
346 breakup in the bypass reach. The findings indicate that ice breakup occurs for all
347 tested shutdown scenarios since water from the upstream river reach is directed
348 into the bypass reach even if a shutdown is carried out. As a result, a shutdown
349 of the upstream hydropower plants Grana and Brattset due to an accidental

350 shutdown of Svorkmo has no mitigating effect on the ice breakup in the bypass.
351 It may only reduce ice problems if the duration of the stop in Svorkmo is longer
352 than the travel time of water from the upstream hydropower plants to Bjørset
353 dam where the intake to Svorkmo is located. The assessment of the flooding
354 potential induced by an ice jams in the Svorkmo area shows that there is a low
355 flooding potential when considering both wide and narrow jams. A large impact
356 on the river occurs in the reach upstream of Bjorset dam. During a shutdown, the
357 suddenly decreasing flow discharge induces a rapid drawdown of the water level
358 with a potential to dry out large areas of the riverbed. This can be detrimental to
359 Atlantic salmon in the reach due to stranding of fish and dewatering of spawning
360 sites (Saltveit et al. 2001; Scruton et al. 2008, Casas-Mulet et al. 2015).

361 Furthermore, during a shutdown event the ice production in the reach is
362 increased. The formed ice can break up and move downstream when the power
363 plants are put back to normal operation. This can cause significant dynamics in
364 the stream with similar consequences as reported by Billfalk (1992).

365 In the case of shutdown periods of Svorkmo of more than 4 hours, further
366 investigations of the ice breakup and transport of ice floes in the bypass reach
367 using numerical modelling tools are recommended. This investigation already
368 helps to identify the critical area of ice jams and potential flooding. Moreover, a
369 continuous operation of the Brattset power plant while shutting down Grana
370 power plant completely may help to minimize ice problems in the Brattset -
371 Bjørset reach as well as in the bypass reach. The reason is that the distance from
372 Grana outlet to Bjørset dam is comparatively shorter than from Brattset outlet to
373 Bjørset dam and ergo the travel time of water is less. However this option is not
374 explored in this study and detailed investigations are recommended. In addition,

375 a sudden stop of Svorkmo can also create dynamic behaviour downstream of the
376 Svorkmo outlet, which can induce ice formation and breakup in a similar manner
377 as seen for the Brattset – Bjørset reach.

378 This study demonstrates how winter operational strategies of a hydropower
379 system can be evaluated and designed based on a numerical modelling study of
380 the ice processes in a river. The current practice to evaluate ice-hydropower
381 interactions in cold regions is often based on conservative strategies and on
382 experience. With the application of numerical models that have been developed
383 and tested recently, the experience based assessment can be augmented with
384 more data and provide a better what-if analysis which strengthens the decision
385 making strategies.

386 The main challenge of implementing the modelling strategy is a lack of data.
387 Hence, it is recommended to improve the collection of ice data in rivers where
388 ice is an issue, e.g. by continuous camera surveillance of critical areas. This would
389 greatly enhance the capability of the modelling approaches.

390 The conclusion of the current study is that a sudden shutdown of the upstream
391 hydropower plants as a response on an accidental shutdown of Svorkmo power
392 plant, does not prevent ice break-up in the bypass reach but has potentially large
393 impacts on the environment and the ice conditions in the upstream river reach
394 (Brattset – Bjørset). For shutdowns of more than 4 hours, the impacts in the
395 bypass can be mitigated but the problems and impacts in the upstream reach
396 (Brattset – Bjørset) remain and even increase.

397

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491
492

493 Table 1: Different flow scenarios based on Svorkmo shutdown durations and
494 minimum flow releases from Brattset outlet.

Flow Scenario	Svorkmo shutdown durations [hours]	Grana and Brattset shutdown	Minimum flow downstream of Brattset outlet [m ³ s ⁻¹]	
			SC_A	SC_B
1	2	Yes	0.5	10
2	5	Yes	0.5	10
3	10	Yes	0.5	10
4	15	Yes	0.5	10
5	24	Yes	0.5	10

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497

498 Table 2: Selected and calibrated parameters for ice jam modelling at the
 499 Svorkmo site. Notes: *Model generated as function of ice jam, **Loose
 500 slush/Dense slush, ***Sui and Karney (2005), **** Simulated only for the static ice
 501 jams

HEC-RAS parameter	Scenario 1		Scenario 2	
Discharge [m ³ s ⁻¹]	4	70	4	70
Simulation purpose	Calibration	Flooding	Calibration	Flooding
Inflow boundary condition (normal flow depth, NFD)	NFD	NFD	NFD	NFD
Manning coefficient river bed	0.035 - 0.030	0.035 - 0.030	0.035 - 0.030	0.035 - 0.030
Manning coefficient ice sheet	-	-	0.02	0.02
Manning coefficient below ice jam	0.04	0.04/0.07**	Model*	0.04/0.07**
Thickness of intact ice sheet at cross section #. Measured as ice sheet elevation in m.a.s.l.	44: 36.75 43: 34.25		44: 36.75 43: 34.25	
Angle of jam	45 ⁰	45 ⁰	45 ⁰	45 ⁰
Initial ice thickness [m]	-	-	0.1	0.1***
Porosity of rubble comprising the jam	0****	0****	0.4	0.4
Lateral to longitudinal stress (Beltaos, 2012)	0.33	0.33	0.33	0.33
Max allowable flow velocity underneath [m/s]	1.54	1.54	1.54	1.54

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505 Figure 1. Study area in the Orkla basin.

506

507 Figure 2. Potential ice break-up study at Bjørset- Svorkmo reach, the whole
508 horizontal line shows the driving force ($H_B - H_F$), the dotted horizontal line is the
509 idealized onset of ice breakup. The arrow indicates the ice thickness estimated
510 for the calibration case.

511

512 Figure 3. Inundation map of ice jam study; the blue transparent color stands for
513 the flow of $70 \text{ m}^3\text{s}^{-1}$ and type 2 accumulation. The black rectangles in the maps
514 are building/houses in the area. The black lines show cross-sections used in the
515 model. The hatched red region is the analyzed static ice jam extent

516

517 Figure 4. The dried out width of the river reaches from Brattset outlet to Bjørset
518 dam. Upper panel (a) shows a minimum flow of $10 \text{ m}^3\text{s}^{-1}$ at Brattset outlet, and
519 the lower panel (b) shows a minimum flow of $0.5 \text{ m}^3\text{s}^{-1}$ at Brattset outlet.

520

521 Figure 5. Dry down speed upstream and downstream of Grana power plant for
522 all the scenarios and both minimum flow of $10 \text{ m}^3\text{s}^{-1}$ (labeled B) and $0.5 \text{ m}^3\text{s}^{-1}$ at
523 Brattset outlet. A box in the plot represents dry down speed from all the cross-
524 sections divided into two groups, upstream (US) and downstream (DS) of the
525 Grana power plant. The horizontal dashed line represents the critical threshold
526 value 0.13 m/hour referred from Harby et al. (2004). The boxes represent the
527 inner quartile with median marked. Whiskers are at $1.5 \cdot \text{inner quartile range}$,
528 and the open circles show outliers.

529

530 Figure 6. Duration of dry areas at the reach between Brattset outlet and Bjørset
531 dam. Upper panel (a) shows a minimum flow of $10 \text{ m}^3\text{s}^{-1}$ at Brattset outlet and
532 the lower panel (b) shows a minimum flow of $0.5 \text{ m}^3\text{s}^{-1}$ at Brattset outlet.

533

534 Figure 7. Mike-Ice results: Percentage of river reach covered with ice, for various
535 scenarios based on the Svorkmo shutdown duration and the remaining
536 minimum flow in the reach downstream of Brattset and different air
537 temperatures (T_a). The vertical dotted line displays the moment when Svorkmo
538 power plant shuts down. The lead time is assumed to be 20 hours. The line
539 marked Sc_0 in the figure show the ice forming process without a stop in the
540 power plants, and the others shows ice formation during the different shutdown
541 scenarios given in Table 1.

542