1	Loss or mislocalization of aquaporin-4 affects diffusion properties and intermediary
2	metabolism in gray matter of mice
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### 1 Abstract

2 The first aim of this study was to determine how complete or perivascular loss of aquaporin-4 3 (AQP4) water channels affects membrane permeability for water in the mouse brain grey 4 matter in the steady state. Time-dependent diffusion magnetic resonance imaging was 5 performed on global Aqp4 knock out (KO) and  $\alpha$ -syntrophin ( $\alpha$ -syn) KO mice, in the latter 6 perivascular AQP4 are mislocalized, but still functioning. Control animals were 7 corresponding WT mice. By combining in vivo diffusion measurements with the effective 8 medium theory and previously-measured extra-cellular volume fractions, the effects of 9 membrane permeability and extracellular volume fraction were uncoupled for Aqp4 and  $\alpha$ -10 syn KO. The second aim was to assess the effect of  $\alpha$ -syn KO on cortical intermediary metabolism combining *in vivo* [1-<sup>13</sup>C]glucose and [1,2-<sup>13</sup>C]acetate injection with *ex vivo* <sup>13</sup>C 11 12 MR spectroscopy. Aqp4 KO increased the effective diffusion coefficient at long diffusion 13 times by 5%, and a 14% decrease in membrane water permeability was estimated for Aqp414 KO compared with WT mice.  $\alpha$ -syn KO did not affect the measured diffusion parameters. In the metabolic analyses, significantly lower amounts of [4-<sup>13</sup>C]glutamate and [4-15 <sup>13</sup>C]glutamine, and percent enrichment in [4-<sup>13</sup>C]glutamate were detected in the  $\alpha$ -syn KO 16 17 mice. [1,2-<sup>13</sup>C]acetate metabolism was unaffected in  $\alpha$ -syn KO, but the contribution of 18 astrocyte derived metabolites to GABA synthesis was significantly increased. Taken 19 together,  $\alpha$ -syn KO mice appeared to have decreased neuronal glucose metabolism, partly 20 compensated for by utilization of astrocyte derived metabolites. 21 22 23

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#### 1 Introduction

2 Transmembrane water transport in the brain grey matter is supported predominantly by 3 aquaporin-4 (AQP4) water channels expressed in astrocytes and ependymocytes [1, 2]. The 4 highest concentration of AQP4 is in astrocytic endfoot membranes abutting blood vessels, but 5 the protein is also present in astrocytic processes in the tripartite synapse [1, 2]. AQP4 is 6 anchored to endfoot membranes via  $\alpha$ -syntrophin ( $\alpha$ -syn) and other molecules in the 7 dystrophin associated protein complex [1]. Loss of  $\alpha$ -syn leads to redistribution of AQP4 8 from the perivascular endfeet to other membrane domains within the neuropil, including 9 those facing the excitatory synapses, without altering the function of the water channel [3, 4]. 10 AQP4 is considered to induce bulk movement of water across the brain's extracellular space 11 (ECS) and along blood vessels, and thus also be implicated in the clearance of 12 macromolecules from the brain parenchyma [5, 6]. The main pathophysiological role of 13 AQP4 is induction and resolution of brain edema in response to various conditions such as 14 brain trauma, ischemia, hemorrhage, and peri-tumor edema [2, 7]. Furthermore, changes in 15 AQP4 expression and localization occur in epilepsy, possibly contributing to epileptogenesis 16 and changes in seizure threshold [8-10]. In addition, autoantibodies to AQP4 are involved in 17 the pathogenesis of neuromyelitis optica [11]. Changes in AQP4 expression or function have 18 also been suggested to decrease clearance of extracellular macromolecules and thereby 19 contribute to neurodegenerative diseases such as Alzheimer's disease [12]. In summary, 20 alterations in AQP4 expression are seen across major neurological disorders, but we still lack 21 a complete understanding of AQP4's physiological and pathophysiological functions. This 22 study examines the role of AQP4 modifications on water diffusion measured in vivo, and 23 brain intermediate metabolism ex vivo thereby offering new phenotypic measures of Aqp4 24 knock out (KO) and  $\alpha$ -syn KO mice. By implementing MRI/MRS techniques that are 25 applicable to human research, this study also has translational potential, providing a link

between research on gene modified animals and human disorder(s) where AQP4 expression
 or localization is altered.

3

4 The first aim of the present study was to determine the role of AQP4 channels on water 5 movement and thus membrane permeability, in the brain grey matter during anesthesia 6 induced rest using time-dependent diffusion magnetic resonance imaging (dMRI) 7 methodology and mice with either complete loss of AQP4 (global Aqp4 KO mice) or 8 selective removal of AQP4 from astrocytic endfeet ( $\alpha$ -syn KO mice). Many of the previous 9 magnetic resonance (MR) studies of AQP4 mediated water movement across biological 10 barriers were *in vitro* studies relying on the paramagnetic contrast agent MnCl<sub>2</sub> [13-15]. They 11 reported that the AQP4 channels account for 50%-66% of total water diffusion across the 12 membrane under isotonic conditions [14, 15], which is corroborated by other *in vitro* 13 methodology [16]. Noninvasive MR techniques such as the Filter-exchange PGSE 14 Spectroscopy technique have also been used to investigate water movements across 15 biological barriers [17]. Still, the far most commonly used in vivo technique for assessing 16 AQP4's impact on water movement in the brain is standard diffusion weighted MRI and the 17 resulting apparent diffusion coefficient (ADC) with or without other MR techniques added to 18 improve the methodology [18-20]. However, although ADC is extremely sensitive to 19 microstructural changes, it is also inherently unspecific, i.e. several microstructural changes 20 can lead to the same change in ADC value. An improvement over the standard ADC 21 measurement is to measure the ADC at different diffusion times and then model the time-22 dependence of restricted diffusion using biophysical models of tissue microstructure [21-24]. 23 Such models describe the tissue in terms of various microstructural parameters; examples are 24 surface-to-volume (S/V) ratio of the cells and tortuosity which can be estimated from short 25 and long diffusion times, respectively. These measures are known to change in pathological

1 conditions (e.g., S/V increases with cell swelling and tortuosity increases with an increase in 2 the extracellular space), hence any non-invasive method that has the sensitivity to detect 3 changes in these parameters would have a great diagnostic value. To our knowledge, time-4 dependent dMRI has not been applied to *in-vivo* studies of water transport in animals. Based 5 on the *in vitro* and *in vivo* literature, we hypothesized that Aqp4 KO mice have increased ECS 6 volume and decreased membrane permeability. In  $\alpha$ -syn KO mice, on the other hand, we 7 predicted limited effect on water movement since  $\alpha$ -syn KO leads to redistribution of 8 normally functioning AQP4 channels [3]. Our time-dependent dMRI protocol is based on a 9 standard diffusion-weighted MRI and can easily be implemented on clinical scanners for the 10 study of AQP4 function in human brain under normal and disease conditions [25].

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12 The second aim of the present study was to assess cortical glucose and acetate intermediary 13 metabolism in the awake resting state in  $\alpha$ -syn KO mice using in vivo glucose and acetate injection and ex vivo <sup>13</sup>C MRS. a-syn KO leads to redistribution of functioning AQP4 14 15 channels from perivascular endfeet to astrocyte membranes facing excitatory synapses and 16 other neural elements. These changes have been associated with changes in seizure threshold 17 and increased seizure severity [3, 4, 26, 27]. Phenotyping the effect of  $\alpha$ -syn KO on in vivo 18 cortical glucose and acetate intermediary metabolism, provides new knowledge on the 19 possible role of changes in neurotransmitter metabolism for the observed changes in seizure 20 threshold and severity. The only previous study of brain metabolism in  $\alpha$ -syn KO mice used 21 <sup>14</sup>C labeled glucose and demonstrated a non- significant decrease in the levels of radioactive 22 glucose and lactate in the brain in the baseline condition [28]. In the present study we 23 addressed the effect of  $\alpha$ -syn KO on intermediary metabolism by simultaneous in vivo injection of  $[1-^{13}C]$  glucose and  $[1,2-^{13}C]$  acetate in  $\alpha$ -syn KO and WT mice followed by ex 24 25 vivo analysis of cortical metabolism using MR spectroscopy (MRS), a technique that gives a

1	higher resolution with regard to both differentiation of metabolites and anatomical specificity
2	than <sup>14</sup> C-based studies [29-32]. Similar methodologies can be implemented <i>in vivo</i> [33, 34].
3	

4 Materials and Methods

#### 5 Animals

6 Animal experiments were approved by and performed according to the Institutional Animal

7 Care and Use Committee and conformed to the National Institutes of Health guidelines.

8 Global Aqp4 KO [35] and  $\alpha$ -syn KO mice [36], both backcrossed into C57BL6/J, were used.

9 The AQP4 and  $\alpha$ -syn KO models used in the present study do not have compensatory up- or

10 downregulation of genes coding for other proteins involved in water and ion transport [2].

11

### 12 Experiment 1: Time dependent diffusion experiment for water permeability estimation

13 Male and female Aqp4 KO mice (age 3–6 months) and  $\alpha$ -syn KO mice (age 3–6 months)

14 were used. Controls were male and female WT littermates. The animals received food and

15 water *ad libitum* and kept at a light/dark cycle of 12 h, humidity 60%, temperature 22°C.

16

### 17 Introduction of the theoretical and methodological background

18 Water movement across a biological membrane, i.e. membrane permeability, can either be 19 driven by a net macroscopic osmotic gradient which produces a net flux of water across the 20 membrane, or results from passive molecular diffusion during which there is no net flux of 21 water across the membrane [37]. These two types of water movement give rise to osmotic 22  $(P_{osm})$  and diffusional  $(P_d)$  membrane permeability, respectively. In pure lipid membranes, 23 the ratio of osmotic-to-diffusional water permeability  $(\Delta P_{\rm osm}/\Delta P_{\rm d})$  is equal to unity, which 24 means that osmotic gradients do not affect the permeability of the membrane and water 25 transport across the lipid bilayer is due to simple diffusion. On the other hand, when the

1 membrane contains AQP4 channels,  $P_{osm}$  will surpass  $P_d$ , and the ratio of  $(\Delta P_{osm}/\Delta P_d)$  will 2 become greater than unity. This theoretical derivation is supported by results obtained in 3 primary astrocytic cultures from Aqp4 KO and WT mice where a 7-fold reduction in  $P_{osm}$ 4 was present in the astrocytes from the KO group estimated with a calcein fluorescence 5 quenching method [38]. For a general review of water permeability measurements in living 6 cells and complex tissues using light-based imaging techniques, see Verkman [39].

7

8 NMR is an ideal technique to investigate to which extent AQP4 channels contribute to  $P_{d}$ 9 under isotonic condition since NMR measures diffusional, not osmotic permeability. Several 10 NMR studies have addressed this question *in vitro*, e.g. suspended renal proximal tubules 11 [13], red blood cells from rodents [14] and bovina [15]. Common to these studies is the use of 12 the paramagnetic contrast agent MnCl<sub>2</sub>. MnCl<sub>2</sub> moves through potassium channels from the ECS to the intracellular space (ICS) affecting the relaxation properties of water in these 13 spaces due to its paramagnetic properties. By measuring either the  $T_1$  or  $T_2$  relaxation time of 14 15 water in the presence and absence of AQP4 inhibitors and then modeling the NMR signal 16 using a two-compartment exchange model (ECS-ICS), the contribution of AQP4 channels to the  $P_d$  can be determined. It has been estimated that the contribution of AQP4 channels to  $P_d$ 17 18 is best described by a channel-to-lipid water diffusional permeability ratio between 1:1 [15] 19 to 2:1 [14]. In other words, in the cells studied, AQP4 channels accounted for between 50%-20 66% of total water diffusion across the membrane under isotonic conditions. These results 21 have been corroborated recently using an ultra-high-speed line-scan coherent anti-stokes 22 Raman scattering microscopy technique where a  $\sim 3.3$  fold increase in  $P_d$  was found in cells 23 expressing AQP4 versus cells without AQP4 [16].

24

25 One problem with using intracellular contrast agents such as  $MnCl_2$  to determine  $P_d$  is the

1 toxicity which limits the extension of the technique to *in-vivo* studies. A safer approach is to 2 measure water self-diffusion coefficient, which depends on the presence of barriers to 3 diffusive motion and is directly affected by membrane permeability. Initial studies using 4 dMRI [40] on human red blood cells modeled the diffusion signal as arising from the ECS 5 and ICS with notably different diffusivities and exchange between the two compartments 6 [41]. This technique allowed the determination of the exchange rate between the intra- and 7 extracellular compartments. Most recently, the Filter-exchange PGSE Spectroscopy 8 technique was used to measure the intracellular lifetime and the membrane permeability of 9 baker's yeast [17]. This technique utilizes a diffusion filter that removes the signal from the 10 molecules having a large ADC value (e.g. those in ECS) and then measures its recovery due 11 to the water exchange with the ICS. However, to our knowledge, none of these dMRI 12 techniques have been applied to *in-vivo* studies of water transport in animals.

13

14 In vivo dMRI studies of AQP4's impact on water movement in the brain have so far been 15 limited to studies investigating the ADC with standard diffusion weighted MRI [18-20]. 16 These studies demonstrated abnormal ADC values in animals where AQP4 levels have been 17 manipulated in the baseline condition [20], and in response to pathological conditions [18, 18 19]. By implementing a combination of ADC mapping and  $T_2$  relaxation times, Badaut and 19 colleagues [20] concluded that the observed 50% decrease in the ADC values reflected 20 changes in membrane permeability rather than in the intra-extracellular volume fractions. 21 Although this is a reasonable interpretation, it underscores one of the main problems of 22 standard diffusion weighted MRI; while ADC is extremely sensitive to microstructural 23 changes, it is inherently unspecific, i.e. several microstructural changes could lead to the 24 same change in the ADC value. An improvement over the standard ADC measurement is to 25 measure the ADC at different diffusion times and then model the time-dependence of

1 diffusion using biophysical models of tissue microstructure [21-24]. In the case of restricted 2 diffusion, the ADC will decay as a function of diffusion time as water spins encounter new 3 barriers to diffusive motion. In the limit of zero diffusion times, the spins move freely, so the 4 ADC approaches the free diffusion coefficient,  $D_0$ . As diffusion time increases, spins 5 encounter progressively more barriers on their diffusive path, so the ADC decreases. 6 Eventually, as all spins sample the characteristic length scale/dimension of the system, the 7 ADC reaches a plateau value,  $D_{\rm eff}$ , which, together with the free diffusion coefficient, defines 8 the tortuosity of the system,  $1/T = D_{eff}/D_0$ . The effective diffusion coefficient and, therefore, 9 the tortuosity, will be affected by membrane permeability,  $\kappa$ , as well as the extracellular fluid 10 volume fraction,  $\phi$ , which defines the diffusive pathway. Therefore, by measuring  $D_{\text{eff}}$  at long 11 diffusion times one indirectly probes changes related to  $\kappa$  and  $\phi$  [22]. To further extract  $\kappa$  and 12  $\phi$  from the diffusion signal, a theoretical model of tissue geometry is required. 13

The model used in this work is the effective medium theory developed by Latour et al. [22]
together with previously-measured changes in extra-cellular volume fraction of *Aqp4* KO
mice [42] in order to un-couple the effects of membrane permeability and extracellular
volume fraction.

18

19 *MRI* 

20 MRI was performed on a 7T Bruker Biospec 70/20 AS with BGA-12 400 mT/m gradients

and a 72 mm volume resonator for transmit and an actively decoupled mouse brain

22 quadrature surface coil for receive-only (Bruker Corporation, Ettlingen, Germany).

23 ParaVision 4.0 was used for all experiments. Anesthesia was induced by isoflurane 3.5% in

24 67.5% N<sub>2</sub>/32.5% O<sub>2</sub> in a closed chamber. During imaging, mice lay prone in a dedicated

animal bed heated with circulating water, and anesthesia was delivered to the spontaneously

1	breathing animals through a snout mask using a small animal ventilator (Harvard Apparatus,
2	Massachusetts, US) and maintained with 1.5-2.5% isoflurane in 67.5% $N_2\!/32.5\%$ O2. Body
3	temperature was maintained at 37±1°C, respiration at 70±10 breaths/min.

5 Thirty-two male and female mice (8 Aqp4 KO and 8 WT littermates, 8 α-syn KO and 8 WT 6 littermates), were included in the study of time-dependent diffusion. The MRI protocol 7 consisted of scout scans, localized shimming using Fastmap, single-shot EPI acquisition for 8 optimization of EPI parameters. Time-dependent diffusion measurements were obtained 9 using diffusion-weighted single-shot echoplanar imaging pulse sequence (EPI). For diffusion 10 times from 6.67 to 10.67 ms, a standard Stejskal-Tanner spin echo (PGSE) preparation was 11 used (10 b values from 0.05 to 0.5 ms/ $\mu$ m<sup>2</sup>, 3 diffusion directions,  $\delta$ =4 ms,  $\Delta$ =8, 9, 10, 11,12 12 ms), while for diffusion times from 11.17 to 148.67 ms, the stimulated spin-echo (PGSTE) preparation was used (16 b values from 0.05 to 0.8 ms/ $\mu$ m<sup>2</sup>, 3 diffusion directions,  $\delta$ =4 ms, 13 14  $\Delta$ =12.5, 13, 15, 20, 50, 100, 150 ms). Imaging parameters were: TE/TR=26.15 ms/2000 ms, 15 NEX=1, acquisition time=1min 38s (max), BW=25 kHz, FOV=20x20 mm2, matrix=84x60 16 as a single 2 mm thick slice.

17

Image analysis was performed in Matlab (R\_2015b, MathWorks). A region-of-interest (ROI)
was drawn in thalamus due to artifacts in cortical grey matter in some animals. To avoid
partial volume effect that would contaminate the ROI with white matter structures, the ROI
was positioned in a region with minimal or no diffusion anisotropy obtained from examining
the diffusion signal versus *b*-value data.

23

Apparent diffusion coefficients (ADC) at each time point were obtained using Paravision 4.0
(Bruker Corporation, Ettlingen, Germany) by fitting a mono-exponential decay to the signal

intensity as a function of *b* value. For each diffusion time, a mean ADC and the standard error
of the mean (SEM) were computed by averaging across 8 animals in each group. 95%
confidence intervals were constructed for a sample size of 8 by multiplying the standard error
with the factor of 2.365 instead of the commonly used factor of 2.000 because of the small
sample size.

6

7 To estimate the changes in membrane permeability in Aqp4 KO and  $\alpha$ -syn KO from the time-8 dependent ADC measurement, we applied the theoretical framework developed by [22], 9 which is an extension of the model proposed by [43]. In the Latour model, the diffusion 10 coefficient at long diffusion times,  $D_{\text{eff}}=\lim_{t\to\infty} D(t)$ , is dependent not only on the bulk 11 diffusion coefficient, permeability of cell membranes, and the size of restrictions (spacing 12 between a periodic array of parallel barriers), but also on the volume fraction of ESC, which 13 forms a connective network of diffusion pathways and is a function of the geometrical 14 arrangement of cell membranes. The presence of ECS means that the time-dependent 15 diffusion coefficient does not drop to zero in the limit of impermeable membranes. Instead, it 16 approaches an asymptotic value, defined as  $D_{\rm eff}$ . The relationship between effective diffusion, 17  $D_{\rm eff}$ , membrane permeability,  $\kappa$ , and extracellular water fraction,  $\phi$ , is complex and expressed 18 by [22]:

19 
$$\left(\frac{D_{eff}c_{eff} - D_1c_{int}}{D_{ext}c_{ext} - D_1c_{int}}\right) \left(\frac{D_{ext}c_{ext}}{D_{eff}c_{eff}}\right)^{1/3} = \phi$$

20 where

21 
$$D_1c_{int} = \frac{\kappa a D_{int}c_{int}}{\kappa a + D_{int}c_{int}}$$

22 
$$c_{eff} = \phi c_{ext} + (1 - \phi) c_{int}$$

and  $D_{\text{ext}}$  and  $D_{\text{int}}$  are extra- and intracellular diffusion coefficients,  $c_{\text{ext}}$  and  $c_{\text{int}}$  are extra- and intracellular water concentrations, and *a* the characteristic dimension of the cell (e.g., if the cell is modeled as a sphere, then *a* is the radius). From the biophysical point of view,  $D_{\text{eff}}$ should be treated as the dependent variable, while  $\kappa$  and  $\phi$  should be two independent variables. However, the above equation has no simple symbolic solution for  $D_{\text{eff}}$ . On the other hand, it is straightforward to rearrange the above equations to obtain an expression for  $\kappa$ :

8 
$$\kappa = \frac{\varphi b^{1/3} - b}{a\left(\frac{\varphi b^{1/3} - 1}{D_{ext}} - \frac{\varphi b^{1/3} - b}{D_{int}}\right)}$$

9 For simplicity, we assumed that  $c_{\text{eff}}=c_{\text{ext}}=c_{\text{int}}$ , and  $b=D_{\text{eff}}/D_{\text{ext}}=1/T$  where T is the tortuosity of 10 the system. This relationship allows one to compute the membrane permeability,  $\kappa$ , if the 11 intra-/extracellular diffusion coefficients, extracellular volume fraction, cell dimension, and 12 the diffusion coefficient at long diffusion times are known. The latter can be determined from 13 the time-dependent diffusion measurements, while  $D_{int}$ ,  $D_{ext}$ ,  $\phi$ , and a can be approximated 14 from values in the literature. Alternatively, if the typical cell dimension is not known, it is 15 straightforward to determine the relative change in membrane permeability resulting from a 16 known change in extracellular water fraction and a known change in the effective diffusion coefficient. We assumed that  $D_{\text{ext}}=2.12*10^{-5} \text{ cm}^2/\text{s}$ ,  $D_{\text{int}}=1.56*10^{-5} \text{ cm}^2/\text{s}$  [22], and that  $\phi_{\text{AOP4}}$ 17 18 WT = 0.2 [42], while  $D_{eff AOP4 WT}$  was obtained from our time-dependent diffusion measurements and equaled approximately  $0.65*10^{-5}$  cm<sup>2</sup>/s. The change in extracellular 19 20 volume fraction of Aqp4 KO was set to 28% [42]. 21

Since we are interested in group differences in ADC at each time point, we used an unpaired
two-tail non-equal variance *t*-test at each time point. After applying the Bonferroni correction

- 1 for multiple comparisons, only p values <0.05/8=0.00625 were considered significant.
- 2

#### 3 Experiment 2: Cortical intermediary metabolism of glucose and acetate

4 Animals, <sup>13</sup>C injection and cortical extraction

Six α-syn KO mice and 6 WT mice were used. The average mouse weight in both groups was
25 g. [1-<sup>13</sup>C]glucose and [1,2-<sup>13</sup>C]acetate (both 99% <sup>13</sup>C enriched) and D<sub>2</sub>O (99.9%) were
purchased from Cambridge Isotopes Laboratories (Woburn, MA, US), ethylene glycol from
Merck (Darmstadt, Germany).

9

Animals were given an i.p. injection of [1-<sup>13</sup>C]glucose (543mg/kg or 30µmoles/kg glucose; 10 0.3 M solution) plus [1,2-<sup>13</sup>C]-acetate (504 mg/kg or 60 µmoles/kg acetate; 0.6 M solution). 11 12 15 min later animals were sacrificed using microwave fixation at 4 kW, 2.2 s (Model 13 GA5013, Gerling Applied Engineering, California, US). The mice were decapitated and 14 cerebral cortex was removed. Tissue samples were stored at -75°C till extraction with ice 15 cold 0.7% perchloric acid (w/v). The tissue was homogenized by applying ultrasound using a 16 Vibra Cell sonicator (Model VCX 750, Sonics & Materials, Newtown, CT, USA) followed 17 by centrifugation at 4400 rpm at 4°C for 5 minutes. Supernatants were removed and pH was 18 adjusted to 6.5-7.5 and the samples were lyophilized prior to analysis with MRS. Lyophilized samples were dissolved in 200 µl 99% D<sub>2</sub>O with an internal standard (0.5% ethylene glycol) 19 20 and pH was adjusted to values between 6.5 and 7.5. Samples were transferred into 5 mm 21 Shigemi NMR (Shigemi Inc., Allison Park, PA, US). 22 MRS 23 Proton decoupled <sup>13</sup>C MR spectra were acquired using a BRUKER DRX-500 spectrometer

24 (BRUKER Analytik GmbH, Rheinstetten, Germany). Spectra were recorded at 25°C with the

25 following parameters; a 30° pulse angle and 30 kHz spectral width with 64K data points. The

number of scans was typically 10,000. The acquisition time was 1.08 s, the relaxation delay
 0.5 s.

3

4	<sup>1</sup> H MR spectra were acquired using the same spectrometer and with the following
5	parameters; a 90° pulse angle and a spectral width with 32K data points, number of scans was
6	128. The acquisition time was 1.36 s and relaxation delay was 10 s. Water suppression was
7	achieved by applying a low-power presaturation pulse at the water frequency.
8	
9	Relevant peaks in the <sup>13</sup> C and <sup>1</sup> H spectra were identified and integrated using XWINNMR
10	software (Bruker BioSpin, Rheinstetten, Germany). The amounts of <sup>13</sup> C labeling and the total
11	amounts of metabolites were quantified from the integrals of the peak areas using ethylene
12	glycol as internal standard. Correction factors for nuclear Overhauser effects and incomplete
13	relaxation were applied to all relevant resonances in the <sup>13</sup> C spectra. Results for mono-labeled
14	substrates were corrected for the $1.1\%$ natural abundance of $^{13}C$ .
15	
16	Interpretation of labeling patterns resulting from TCA cycle metabolism
17	Combined [1- <sup>13</sup> C]glucose and [1,2- <sup>13</sup> C]acetate injection allows simultaneous detection of
18	neuronal and astrocytic metabolism, and the metabolic interactions between these cell types
19	[44, 45]. This is due to the fact that neurons are more efficient in metabolizing glucose [46,
20	47] and the ability of astrocytes to convert acetate to acetyl-CoA and the absence of this
21	process in neurons [48].
22	
23	Glucose enters presumably equally both astrocytes and neurons [49], 2007, but is
24	metabolized predominantly in the neuronal TCA cycle [50]. In neurons, label from [1-
	12

25 <sup>13</sup>C]glucose can only be introduced into the TCA cycle as acetyl CoA via pyruvate

1	dehydrogenase (PDH; EC 1.2.4.1), and subsequently gives rise to [4-13C]glutamate. The
2	majority of glutamate is found in glutamatergic neurons [51]. In the astrocyte, [4-
3	<sup>13</sup> C]glutamate is either converted directly to [4- <sup>13</sup> C]glutamine or reintroduced into the TCA
4	cycle. The exchange of the aforementioned amino acids between astrocytes and neurons is
5	called the (GABA)-glutamate-glutamine cycle. In GABAergic neurons [4-13C]glutamate is
6	rapidly converted to [2- <sup>13</sup> C]GABA, and very little glutamate is present in these neurons [51].
7	In astrocytes [3- <sup>13</sup> C]pyruvate from [1- <sup>13</sup> C]glucose can enter the TCA cycle via either PDH or
8	pyruvate carboxylase (PC, EC 6.4.1.1). Pyruvate carboxylase is the brain's principal
9	anaplerotic enzyme [52] and is only found in astrocytes in the brain [53]. Metabolism of [1-
10	$^{13}$ C]glucose via PC activity gives rise to [2- $^{13}$ C]glutamine formation in the astrocyte, which
11	can be converted to $[2-^{13}C]$ glutamate and $[4-^{13}C]$ GABA in the neuronal compartments.
12	
13	[1,2- <sup>13</sup> C]Acetate is metabolized by acetyl CoA synthetase (EC 6.2.1.1) to [1,2- <sup>13</sup> C]acetyl CoA
14	which enters the TCA cycle in astrocytes and finally gives rise to [4,5- <sup>13</sup> C]glutamate. In the
15	astrocyte [4,5- <sup>13</sup> C]glutamate is converted to [4,5- <sup>13</sup> C]glutamine by the glia specific enzyme
16	glutamine synthetase (GS, EC 6.3.1.2) [54]. Glutamate is present only in low concentrations
17	in astrocytes [51, 55]. [4,5- <sup>13</sup> C]Glutamine is released from astrocytes and taken up by neurons
18	where glutamate is regenerated by phosphate activated glutaminase (PAG, EC 3.4.1.2) [56],
19	which converts [4,5- <sup>13</sup> C]glutamine to [4,5- <sup>13</sup> C]glutamate. In GABAergic neurons [4,5-
20	<sup>13</sup> C]glutamate can be converted to [1,2- <sup>13</sup> C]GABA by glutamate decarboxylase (GAD; EC
21	4.1.1.15). The contribution from astrocytic precursors to neuronal glutamate and GABA
22	formation can be derived from the PC/PDH ratio, which provides an estimate of the
23	contribution from the anaplerotic pathway (astrocytic) versus the oxidative (neuronal) in the
24	formation of glutamate, glutamine and GABA [57]. The excess amounts of [2-
25	<sup>13</sup> C]glutamate/glutamine compared to [3- <sup>13</sup> C]glutamate/glutamine are a minimum estimate of

1	label incorporation via PC activity compared to PDH activity in glutamate and glutamine. For
2	GABA it is the excess labeling in [4- <sup>13</sup> C]GABA compared to [3- <sup>13</sup> C]GABA. Thus the
3	PC/PDH activity was estimated for glutamine and glutamate as total amount of <sup>13</sup> C, corrected
4	for naturally abundant <sup>13</sup> C in ([2- <sup>13</sup> C]-[3- <sup>13</sup> C]glutamine(glutamate))/[4- <sup>13</sup> C]
5	glutamine(glutamate), and for GABA ([4- <sup>13</sup> C]-[3- <sup>13</sup> C]GABA)/[2- <sup>13</sup> C]GABA. Another ratio
6	expressing the contribution from astrocytic precursors to neuronal glutamate and GABA
7	formation is the acetate/glucose utilization ratio which is defined as amounts of [4,5-
8	<sup>13</sup> C]glutamate(glutamine)/[4- <sup>13</sup> C]glutamate(glutamine), or [1,2- <sup>13</sup> C]GABA/[2- <sup>13</sup> C])GABA.
9	

10 All NMRS results are given as mean  $\pm$  SEM. Statistical comparisons were performed with an 11 independent sampled t-test and threshold for statistical significance set to  $p \le 0.05$ . Effect 12 sizes were calculated with Cohen's d based on difference between two means of the two 13 groups divided by the pooled standard deviation for the data. Cohen's d is often classified 14 into small (d=0.15-0.40), medium (d=0.40-0.75), or large (d>0.75) effect sizes. An 15 alternative way of interpreting Cohen's d is to use the measure to describe the average 16 percentile standing between the mean of one group relative to the other group investigated. 17 For instance, a Cohen's d d between 0.40-0.75 demonstrates a group difference between the 28- 44<sup>th</sup> percentile, a Cohen's d > 0.75 is equivalent to a standing at the 45<sup>th</sup> percentile, a 18 19 Cohen's d > 1.0 at the 84<sup>th</sup> percentile, a Cohen's d > 1.5 is at the 93<sup>rd</sup> percentile standing and a 20 Cohen's d > 2.0 at the 98 percentile. Statistical analyses in small groups are prone to type II 21 errors. By including the effect size, a measure of the substantive difference, not the statistical 22 significance of a result, is presented as well [58].

23

24 **Results** 

#### 25 Experiment 1: Time-dependent diffusion

1 Figure 1 shows an example of the logarithm of the MRI signal intensity (normalized to initial 2 signal intensity) from the thalamus ROI in an Aqp4 WT mouse as a function of b value. The 3 circles show the signal decay for the shortest diffusion time (6.7 ms), while the squares show 4 the decay for the longest diffusion time (148.7 ms). The slope of the linear fit is equal to the 5 ADC. We clearly see from Figure 1 that the ADC at short diffusion times was smaller than 6 the ADC at long diffusion times. This is a characteristic signature of a restricted system. Note 7 that for  $b < 1 \text{ ms/}\mu\text{m}^2$ , there was no significant deviation from a mono-exponential decay, 8 which agrees with the assumption that the diffusion was Gaussian. This condition is satisfied 9 when  $qL \ll 1$ , where q is the area under the gradient and L is the characteristic size of the 10 restrictions in the system. In our case,  $q_{\text{max}} = 0.073/\mu \text{m}$ , giving L<<14  $\mu \text{m}$ . Since restrictions 11 due to AQP4 channels are much smaller than the gradient grading of 14µm, the Gaussian 12 phase approximation is valid. Additionally, for the diffusion process to be Gaussian two 13 conditions have to be fulfilled. First, the diffusion distance of spins has to be bigger than the 14 average size of the restrictions, so that spins sample a wide range of restrictions during the 15 diffusion time. In the present study, the diffusion length was 5 µm and 20 µm for the shortest 16 and longest diffusion time, respectively, which are both bigger than the size of restrictions. 17 Second, the condition for fast exchange regime depends on the diffusion time. Here the 18 longest diffusion time of approximately 150 ms is still much smaller than the predicted 19 exchange time which is in the range of 1.25 - 2.5 sec as measured by the Flexi method [59]. 20 Therefore, we can safely assume to be in the fast-exchange regime.

21

Figures 2a and 3a show time-dependent diffusion for the *Aqp4* KO and the *α-syn* KO groups,
respectively, compared to the corresponding WT groups. All diffusion curves demonstrated
time-dependence of ADC characteristic of a system with restriction. While unrestricted
diffusion coefficient is time independent, the ADC of restricted diffusion decreases with

1 diffusion time and approaches an asymptotic value known as the tortuosity of the system at 2  $t \rightarrow \infty$  ( $D_{\text{eff}}/D_0 = 1/T$ , where  $D_0$  is the free-diffusion coefficient at t=0 and T is the tortuosity 3 value). On the other hand, in the limit of zero diffusion time  $(t \rightarrow 0)$ , the ADC approaches the 4 free diffusion coefficient of the medium [21, 22]. However, our measured  $D_{\text{eff}}$  values showed 5 a distinctive jump (marked by the arrow in Figure 2a and 3a) at the transition from the spin-6 echo to the stimulated-echo diffusion-weighted EPI pulse sequence, which was present in all 7 animal groups. We attributed this effect to the variation of the effective diffusion gradient 8 present in the spin-echo versus the stimulated pulse sequence, and as a consequence, we had 9 to exclude the short diffusion-time data from our analysis.

10

11 Figures 2b and 3b show the mean  $D_{\rm eff}$  coefficients and the 95% confidence intervals for the 12 stimulated-echo part of the data since only the  $D_{\rm eff}$  data from the stimulated-echo pulse 13 sequence were used in the statistical group comparisons. The Aqp4 KO mice have a higher 14 mean  $D_{\text{eff}}$  coefficient compared to the Aqp4 WT mice for all diffusion times, while the *a-syn* KO had similar  $D_{\text{eff}}$  as the corresponding WT animals. When the mean  $D_{\text{eff}}$  values for all 15 16 animal groups are plotted together as in Figure 4a (error bars are omitted for clarity), the  $D_{eff}$ 17 of both WT groups are the same within the experimental uncertainty, which is to be expected 18 since there should be no structural differences between the two WT groups. Moreover, the 19  $D_{\rm eff}$  of the  $\alpha$ -syn KO group was similar to the values in both WT groups. In contrast, the 20 depletion of AQP4 channels in the Aqp4 KO had a statistically significant effect on  $D_{\rm eff}$ . The 21 *p*-value for each time point was smaller than 0.003, which is half of the *p*-value needed for 22 5% significance (see Methods section).

23

Figure 4b demonstrates that if the  $D_{eff}$  values of Aqp4 KO were scaled by a constant factor equal to 0.955, the  $D_{eff}$  values of the Aqp4 KO and Aqp4 WT mice overlapped at all time

1 points. A similar scaling approach was used by Yao et al. [42] to show that the tortuosities of 2 Aqp4 KO and WT were the same, since  $1/T = D_{eff}/D_0$ . However, this conclusion cannot be 3 supported by evidence in the present study due to lack of reliable short diffusion time data, 4 which is necessary for accurate estimation of  $D_0$ .

5

6 Two-dimensional plots showing the relationship between changes in membrane permeability, 7 effective diffusion coefficient and extracellular volume fraction based on Latour's model are presented in Figures 5a and 5b. In the simulation we assumed that  $D_{\text{ext}}=2.12*10^{-5} \text{ cm}^{2}/\text{s}$ , 8  $D_{\text{int}}=1.56*10^{-5} \text{ cm}^2/\text{s}$  [22],  $\phi_{\text{AOP4 WT}}=0.2$  [42], while  $D_{\text{eff AOP4 KO}}$  was obtained from the time-9 dependent diffusion measurements and equaled approximately  $0.65 \times 10^{-5} \text{ cm}^2/\text{s}$  (Figure 2b). 10 11 From these plots it is easy to see that the increase in extracellular water fraction and the 12 decrease in the membrane permeability in the Aqp4 KO group counteract each other. 13 Estimations based on literature values demonstrated that if the extracellular volume fraction 14 were to increase by 28% as measured by Yao and colleagues [42], while  $D_{\text{eff}}$  changed by 5% 15 as measured in this work, the membrane permeability of the Aqp4 KO would have to 16 decrease by approximately 14%. Similarly, if the tortuosity of the two groups were 17 unchanged (equivalent to  $\Delta D_{\text{eff}}=0$  in our plot), while the extracellular volume fraction 18 changed by 28%, the membrane permeability of Aqp4 KO would have to decrease by 19 approximately 24%.

20

### 21 Experiment 2: Cortical intermediate metabolism of glucose and acetate

22 A representative <sup>13</sup>C MR spectrum with relevant peaks is presented in Figure 6. Table 1

23 shows the % enrichment and total amounts of <sup>13</sup>C isotopomers of amino acid

24 neurotransmitters and other metabolites in  $\alpha$ -syn KO and WT mice. Significantly lower %

25 enrichment was only present for [4-<sup>13</sup>C]glutamate in the  $\alpha$ -syn KO group. There was a trend

1	towards a lower % enrichment from glucose with [4-13C]glutamine and [2-13C]GABA, and
2	the effect sizes of these group differences were large. Furthermore, total amounts of labeling
3	from [1- <sup>13</sup> C]glucose was significantly lower with [4- <sup>13</sup> C]glutamate and [4- <sup>13</sup> C]glutamine in
4	the $\alpha$ -syn KO group, and a similar tendency was observed with [2- <sup>13</sup> C]GABA also with a
5	large effect size. There was a trend towards lower total [1- <sup>13</sup> C]glucose and [3- <sup>13</sup> C]lactate
6	amounts in brain tissue of the $\alpha$ -syn KO group, with moderate large effect sizes. The
7	enrichment with [3- <sup>13</sup> C]lactate was not significantly lower in the $\alpha$ -syn KO group, but the
8	effect size suggest lower lactate enrichment. The reduction in total [1- <sup>13</sup> C]glucose amounts
9	was on average 11.1%, while for $[4-^{13}C]$ glutamate the reduction was on average 20.4%, for
10	[4- <sup>13</sup> C]glutamine: 26.0%, for [2- <sup>13</sup> C]GABA: 19.8%, and for [3- <sup>13</sup> C] lactate.: 29.3% There was
11	no significant difference in % enrichment with or total amounts of [4,5- <sup>13</sup> C]glutamate, [4,5-
12	<sup>13</sup> C]glutamine or [1,2- <sup>13</sup> C]GABA, all derived from [1,2- <sup>13</sup> C]acetate, between the KO and WT
13	group, but a trend towards increased enrichment with [1,2-13C]GABA and total [1,2-
14	<sup>13</sup> C]GABA was observed in the KO mice and large effect sizes were present. In the $\alpha$ -syn KO
15	mice, significantly more [1,2- <sup>13</sup> C]acetate than [1- <sup>13</sup> C]glucose was used in GABA synthesis,
16	while in glutamate and glutamine this was a trend only, with large effect sizes (Table 2).
17	There was no significant effect of $\alpha$ -syn KO on PC/PDH ratios, but a tendency towards more
18	PC activity was seen in glutamine (Table 2). There were no significant differences in the
19	concentration of glutamate, glutamine, or GABA between the $\alpha$ -syn KO and WT groups
20	(Table 3). Total amounts of lactate tended towards increased in the $\alpha$ -syn KO group
21	compared to the WT group, with a large effect size (Table 3). The amount of succinate was
22	somewhat reduced in the $\alpha$ -syn KO mice (Table 3). For NAA, content was similar in the two
23	groups (Table 3).

# **Discussion**

In the present study depletion of *Aqp4* was demonstrated to affect water movement *in vivo* in
the intact animal using methodology that can also be implemented in clinical studies. *α-syn*KO, on the other hand, did not affect the measured diffusion parameters. However, *α-syn* KO
reduced cortical glucose levels slightly while acetate metabolism was unaffected. A
significant decrease in neuronal glutamate synthesis and increase use of astrocytic
metabolites in GABA synthesis were present in *α-syn* KO mice.

7

8 Impact of Aquaporin 4 deletion or mislocalization on grey matter diffusion and water

9 movement

10 In the present study Aqp4 KO status was demonstrated to affect the self-diffusion of water as 11 measured by dMRI. In particular, we demonstrated, for the first time, that the ADC in mouse 12 gray matter followed diffusion-time dependence characteristic of a system with restrictions 13 for all animal groups studied, and that Aqp4 KO increased the effective diffusion coefficient 14 at long diffusion times by 5%. The theoretical framework describing time-dependence of 15 water diffusion signal that was used to interpret the data is directly applicable to biological 16 systems, as it takes into account not only the effect of membrane permeability on the 17 diffusion signal, but also the effect of extracellular water volume fraction [22], both of which 18 are believed to change in the Aqp4 KO. By using Latour's theoretical framework we were 19 able to understand why the differences in the ADC values between the Aqp4 KO and WT 20 groups were small despite significant differences in membrane permeability and extracellular 21 water volume fraction. The reason is that the two biological properties have opposing effects 22 on the measured ADC value. An increase in the volume of the ECS results in a reduction of 23 hindrances to water diffusion, so the ADC measured at long diffusion times (which equals 24  $D_{\text{eff}}$  in Latour's model [22]) will increase. On the other hand, a depletion of AQP4 channels 25 decreases the permeability of the membrane, which leads to a more-restricted water

movement and therefore a lower ADC value. Since *Aqp4* KO mice are believed to have an
increased extracellular volume fraction as well as reduced membrane permeability, the two
effects together will reduce the net change in the ADC values. We believe this is a very
important point that has been overlooked in previous research on the effect of AQP4 deletion
or blockage on the measured diffusion signal.

6

7 The current study showed no difference in ADC between *Aqp4* WT and *α-syn* WT within the
8 experimental error. This is expected since the two WT groups do not differ in terms of
9 number or distribution of AQP4 protein channels [4]. These results therefore strengthen our
10 experimental method.

11

12 The average 5% increase in the ADC in Aqp4 KO in the current study is in stark contrast to 13 the 50% decrease in ADC value after a 27% AQP4-specific silencing in the rodent brain 14 under normal physiological and steady-state conditions [20]. Referring back to Figure 5, the 15 most likely explanation for this discrepancy is an increase in the extracellular volume 16 fraction,  $\phi$ , in the Aqp4 KO mice. An extracellular volume fraction increase is unlikely to 17 occur acutely in response to silencing normal functioning AQP4. In KO models, on the other 18 hand, there is an inborn complete loss of gene function, and adaptive and/or developmental 19 processes may take place from conception. Indeed, significantly increased ECS in Aqp4 KO 20 mice has been reported using tetramethylammonium ions real-time iontophoresis [42]. Yao et 21 al. [42] observed a 28% increase in the extracellular volume fraction of Aqp4 KO mice, but 22 could not obtain membrane permeability measures because the tetramethylammonium ions 23 were too big to cross into cells. Our study builds upon theirs in that we are sensitive to both; 24 permeability change as well as the change in extracellular water volume fraction. Since we 25 cannot decouple the two with the measurement obtained, we relied on the validity and

1 applicability of Yao et al. [42]. Using the measured 28% increase in extracellular water 2 volume fraction in Aqp4 KO mice [42] as one of the input parameters of the Latour's model, 3 a 14% decrease in membrane permeability of Aqp4 KO as compared to the WT was found. If 4 the tortuosity was unaffected in Aqp4 KO mice, a 24% decrease in membrane permeability 5 was found. Both these estimates of Aqp4 KO associated changes in membrane permeability 6 may seem very small compared to other studies, but bear in mind that this study examined 7 change in  $P_d$  while most other measurements of permeability were performed under osmotic 8 challenge when APQ4 will have a prominent effect.

9

10 Based on the finding that  $\alpha$ -syn KO mice show a redistribution of normally functioning 11 AQP4 channels [3, 27], we predicted that  $D_{\text{eff}}$  would be unaffected by  $\alpha$ -syn status as net 12 water diffusion through the astrocytic membrane depends on the total number of AQP4 13 protein channels rather than their distribution. Indeed, our time-dependent diffusion 14 measurements were consistent with this hypothesis, as  $D_{eff}$  was similar in  $\alpha$ -syn KO and WT 15 groups. However, research has shown morphological differences between  $\alpha$ -syn KO and WT 16 groups, such as swollen astrocyte endfoot processes which might affect the value of  $D_{\text{eff}}$ . In 17 addition, using a standard dMRI protocol at 4.7T a significant increase in ADC has been 18 observed in  $\alpha$ -syn KO compared to WT previously [26]. These discrepant findings might be 19 explained by differences in diffusion methodologies. It is possible that the increased 20 intracellular space of the endfoot processes, possible changes in blood-brain barrier 21 permeability, and altered AQP4 distribution together produce no detectable net *D*<sub>eff</sub> change. 22 23 As mentioned above, the specificity of diffusion MRI is low, in particular in complex cellular

24 structures while Latour's model is based on a simple single cell model. Several assumptions

25 were made in the present work to generate the relationship between  $D_{\text{eff}}$ ,  $\kappa$  and  $\phi$  presented in

Figure 5. For instance, we assumed that intra- and extracellular water concentrations in ICS
 and ECS were equal and the cell diameter unchanged in the KO compared to the WT group.
 3

It should also be noted that it has been debated whether an accurate measurement of  $P_{d}$  is 4 5 possible with dMRI techniques, in particular, in complex *in vivo* systems [13, 39]. According 6 to Verkman [39], accurate measurements of  $P_d$  using NMR techniques are only possible in 7 simple systems such as suspended red blood cells and liposomes and not in complex systems 8 in vivo due to the presence of the so-called unstirred layers [60] which surround big cells and 9 cause underestimation of  $P_d$  by several orders of magnitude. Verkman's conclusions would 10 imply that the ADC changes resulting from AQP4 silencing [20] or AQP4 up-regulation [19], 11 do not relate directly to changes in water permeability, but instead reflect secondary changes, 12 such as redistribution of water between ICS and ECS, and swelling of cells. In the present 13 study, the 5% increase in the ADC in Aqp4 KO could result from cell swelling that was not 14 part of our model which assumed a constant cell radius. Swelling leads to an increase in 15 intracellular water diffusion coefficient, and if fractions of intra- and extracellular water 16 remained approximately the same, the measured ADC would increase. In reality, the 17 processes of cell swelling and water redistribution are not independent of each other, so the 18 observed changes are not easy to interpret with simple models, such as Latour's model used 19 in this study.

20

Our experimental design could be improved by including MRI methods that are sensitive to changes in extracellular volume fractions. For instance, one could measure the relaxation times in the presence of a contrast agent, but these techniques are invasive and not readily translatable into the clinic. A simple  $T_2$  mapping technique could possibly be sensitive enough to changes in water distribution between the ICS and ECS, but it is time consuming

and was not part of our imaging protocol. Another option would be to obtain reliable shorttime diffusion data using an oscillating-gradient spin echo diffusion sequence [61]. Sen [61]
has shown that the short-time diffusion behavior depends on membrane permeability in the
linear term of the time-dependent diffusion equation. It would then be possible to estimate κ
from short time diffusion, and use the long-time diffusion data to determine φ.

6

### 7 Intermediary metabolism in cortex of α-syn KO mice

8 Glucose metabolism appeared altered in  $\alpha$ -syn KO mice at rest. Mitochondrial metabolism of glucose via PDH was lower in the  $\alpha$ -syn KO group as total amounts of [4-<sup>13</sup>C]glutamate and 9 [4-<sup>13</sup>C]glutamine derive from [1-<sup>13</sup>C]glucose metabolism were significantly reduced, and a 10 11 similar tendency was present for  $[2-^{13}C]GABA$ . Moreover, % enrichment was significantly lower in [4-<sup>13</sup>C]glutamate, and a trend was seen in [2-<sup>13</sup>C]GABA and [4-<sup>13</sup>C]glutamine. The 12 more marked reduction of label and enrichment in [4-<sup>13</sup>C]glutamate may be due to glutamate 13 having the greatest enrichment with <sup>13</sup>C. The effect size measurements suggested that % 14 15 enrichment in GABA and glutamine would have reached statistical significance if groups had 16 been larger. Further, the somewhat lower succinate content in  $\alpha$ -syn KO mice provided some 17 additional support to the notion of reduced TCA cycle activity. There was also a slight reduction in total amounts of  $[1^{-13}C]$ glucose in cortex of  $\alpha$ -syn KO compared to WT mice 18 19 accompanied by a trend towards a reduction in the total amount of and enrichment in [3-20 <sup>13</sup>C]lactate. These findings are in line with a previous study reporting slightly reduced amounts <sup>14</sup>C labeled glucose and lactate in the brains of  $\alpha$ -syn KO mice in the baseline 21 22 condition [28]. There is no obvious explanation of the slight reduction in cortical glucose 23 levels, but one might speculate that astrocytic endfoot swelling observed in  $\alpha$ -syn KO mice 24 [62] may reduce glucose transport into the brain. It is impossible to rule out a systemic effect 25 of  $\alpha$ -syn KO on blood glucose levels and subsequently on cortical glucose levels, as blood

1 glucose was not measured in the current study where manipulation of the animals was kept to 2 a minimum to emulate the awake, resting state. However,  $\alpha$ -syn KO mice have been shown to 3 have similar blood glucose levels as their WT counterparts [28]. Importantly, the reduction in 4 glucose content and thereby availability, cannot fully explain the reduced amount of labelled 5 metabolites in the current study. Indeed, the average reduction in  $^{13}$ C labeled isotopomers was about two-threefold greater in the amino acids compared to the reduction in  $[1-^{13}C]$ glucose 6 7 content, thus indicating a more significant effect of  $\alpha$ -syn KO status on glucose metabolism 8 than on glucose level in the brain. Additional evidence for a particular effect of  $\alpha$ -syn KO 9 status on glucose metabolism was provided by the reduced amount of and enrichment in [3-10 <sup>13</sup>C]lactate combined with a trend towards significant increased lactate content. The tendency 11 towards increased lactate level and reduction in lactate enrichment suggested lactate 12 accumulation. Lactate accumulation may result from reduced 13 release of lactate from the astrocytic endfeet into the perivascular space [63] in  $\alpha$ -syn KO 14 mice. Taken together  $\alpha$ -syn KO appeared to affect glucose metabolism more than glucose 15 transport into the brain, and lactate efflux may also be hindered. 16 17 On the other hand, astrocytic mitochondrial acetate metabolism was unaltered in  $\alpha$ -syn KO 18 mice, and transport of label from astrocytes to neurons in  $\alpha$ -syn KO mice appeared unimpeded. There was even a significantly greater use of  $[4,5^{-13}C]$  glutamine from  $[1,2^{-13}C]$ 19 <sup>13</sup>C]acetate in [1,2-<sup>13</sup>C]GABA synthesis in the  $\alpha$ -syn KO mice. Also PC activity relative to 20 21 PDH was unaffected, tending towards increased for glutamine, indicating preservation of 22 astrocytic pyruvate metabolism via PC. Taken together, the current findings demonstrated 23 that  $\alpha$ -syn KO has heterogeneous effects on TCA cycle metabolism depending on cell type, 24 enzymatic pathway and/or substrate.

25

The reduced incorporation of label from glucose and preserved to increased use of [1,2 <sup>13</sup>C]acetate was accompanied by similar total glutamate, glutamine and GABA
 concentrations in KO and WT animals demonstrating an overall slower turnover of
 metabolites. Likewise, the trend towards accumulation of unlabeled lactate, accompanied by
 slightly reduced [3-<sup>13</sup>C]lactate levels, suggested that the metabolic processes were slower in
 *a-syn* KO mice.

7

8 The low number of animals available reduced the statistical power and is a limitation. Based 9 on the effect sizes, glucose metabolism was probably more affected than indicated by the 10 significant group differences uncovered. In addition, the heterogeneous mitochondrial 11 responses to  $\alpha$ -syn KO status could have made detecting group differences more difficult. 12 Summarized,  $\alpha$ -syn KO status appeared to reduce brain glucose levels, glycolysis and 13 utilization in the TCA cycle during the awake resting state. Such changes will impact on 14 brain function as well as resilience, and might for instance be connected to the changes in 15 seizure severity observed in  $\alpha$ -syn KO [27].

16

17 Conclusion

18 Using different MR based methods the effects of Aqp4 loss and mislocalization were 19 explored in the present study. The results showed that water diffusion in gray matter was 20 affected in Aqp4 KO mice, but not a-syn KO mice. A redistribution of AQP4 channels did 21 however, affect the brain's intermediary metabolism. The ADC was increased by 22 approximately 5% in the Aqp4 KO group compared to the litter WT group using time-23 dependent diffusion MRI and Latour's model of long-time diffusion behavior while the 24 changes in intermediary metabolism in  $\alpha$ -syn KO mice were specifically linked to reduced 25 glucose metabolism via PDH.

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**Figure 1.** The MRI signal as a function of *b* for the shortest (6.7 ms, *circles*) and longest (148.7 ms, *squares*) diffusion times in a representative *Aqp4* KO

3 mouse and the mono-exponential fits to the data.



Figure 2. Time-dependent diffusion (in μm²/ms) for Aqp4 KO mice (solid curve, circles) compared to corresponding WT animals (interrupted curve, crosses). a) Scatter plot of D<sub>eff</sub> as a function of time for all diffusion times used in the time-dependent diffusion experiment. b) Mean D<sub>eff</sub> with 95%
 confidence interval for the diffusion times obtained with the stimulated-echo diffusion-weighted single-shot EPI.



Figure 3. Time-dependent diffusion (in μm²/ms) for α-syn KO mice (*interrupted-dot curve*, *diamonds*) compared to corresponding WT animals (*dot curve*, *squares*. a) *Scatter plot* of *D*<sub>eff</sub> as a function of time for all diffusion times used in the time-dependent diffusion experiment. )b Mean D<sub>eff</sub> with 95%
 confidence interval for the diffusion times obtained with the stimulated-echo diffusion-weighted single-shot EPI.



Figure 4. Time-dependent diffusion (in μm²/ms) for all animal groups: Aqp4 KO (solid curve, circles), Aqp4 WT (interrupted curve, crosses), α-syn KO
(interrupted-dot curve, diamonds), α-syn WT (dot curve, squares). a) Mean D<sub>eff</sub> as a function of time for all animal groups used in the experiment. b) Same as
left, except that the D<sub>eff</sub> of the Aqp4 KO group has been scaled by a factor of 0.955 to match the mean D eff values of the other groups.



3 Figure 5. Percent changes in  $\kappa$  due to changes in  $\Phi$  and D<sub>eff</sub>. a)  $\Delta \kappa$  as a function of  $\Delta D_{eff}$  for a range of discrete  $\Phi$  values. B)  $\Delta \kappa$  as a function of  $\Delta \Phi$ 





- **Figure 6.** Ex vivo 13C MRS of cortical extract of a  $\alpha$ -syn WT mouse injected with [1-<sup>13</sup>C]glucose and [1,2-<sup>13</sup>C]acetate (for details see
- 4 Materials and methods). The singlets are monolabeled metabolites predominantly derived from [1-<sup>13</sup>C]glucose metabolism, whereas
- 5 doublets are double-labeled (in consecutive positions) metabolites mainly originating from [1,2-13C]acetate metabolism. Peak assignment: 1 alanine C3, 2

1	lactate C3, 3N-acetylaspartate C6, 4 GABA C3, 5 glutamine C3, 6 glutamate C3, 7 glutamine C4, 8 glutamate C4, 9 GABA C2, 10 taurine C2, 11 aspartate C2,
2	12 creatine C4, 13 GABA-4, 14 N-acetylaspartate C2, 15 aspartate C2, 14 N-acetylaspartate C2, 17 creatine C4, 18 glutamine C2, 19 glutamate C2. Parallel
3	lines indicate that peak is truncated.
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**Table 1.** Percent enrichment and total amounts of  ${}^{13}C$  (mean  $\pm$  SEM) in amino acids and lactate in cortex of  $\alpha$ -syntrophin ( $\alpha$ -syn) wild type (WT)

3 (n=6) and knock out (KO) mice (n=6) after *in vivo* intraperitoneal injection of  $[1-^{13}C]$  glucose and  $[1,2-^{13}C]$  acetate and subsequent *ex vivo*  $^{13}C$ 

4 and <sup>1</sup>H NMRS analyses of extracts.

1

Percent enrichment with <sup>13</sup> C labelled metabolite		Total amounts of <sup>13</sup> C (nmol/g tissue)						
Metabolite	a-syn WT	a-syn KO	<i>p</i> -value	Cohen's d	a-syn WT	a-syn KO p	-value	Cohen's d
[4- <sup>13</sup> C]Glutamate	9.23±0.49	7.10±0.82*	0.02	1.63	$1076.6 \pm 40.6$	$856.5 \pm 51.8*$	0.01	2.12
[4- <sup>13</sup> C]Glutamine	3.45±0.18	2.45±0.62	0.21	1.10	$215.8 \pm 12.2$	$158.7\pm13.5*$	0.02	1.99
[2- <sup>13</sup> C]GABA	7.24±1.00	5.83±0.34	0.29	1.89	$119.3 \pm 10.3$	$95.6\pm3.4$	0.07	1.38
[4,5- <sup>13</sup> C]Glutamate	1.18±0.16	1.19±0.11	0.97	0.04	$122.8 \pm 13.0$	$122.7\pm7.4$	0.49	0.00
[4,5- <sup>13</sup> C]Glutamine	2.77±0.52	2.53±0.43	0.74	0.27	$126.8\pm10.1$	$114.8\pm4.3$	0.23	0.69
[1,2- <sup>13</sup> C]GABA	1.89±0.34	3.16±0.57	0.15	1.65	$39.7\pm0.8$	$47.2\pm4.8$	0.26	0.96
[3- <sup>13</sup> C]Lactate	10.53±2.40	7.44±2.42	0.17	1.28	$187.1\pm3.5$	$1667.0\pm13.4$	0.30	0.90
[1- <sup>13</sup> C]Glucose	NA	NA			393.1 ± 18.8	$349.5\pm22.6$	0.12	0.94

5 Statistical comparisons were performed with an independent sample T test,  $p \le 0.05$  was considered significant. Cohen's d was calculated as a

6 measure of effect size. For details see Materials and Methods section. NA, not applicable.

## 1 **Table 2**. Metabolic contribution from astrocytes to neurons as derived from the Pyruvate Carboxylase (PC)

2 versus Pyruvate Dehydrogenase (PDH) ratio and the Acetate (A) versus Glucose (G) ratio (mean± SEM) in

		Glutamate		Glut	amine	GABA	
		A/G ratio	PC/PHD ratio	A/G ratio	PC/PHD ratio	A/G ratio	PC/PHD ratio
a-syn V	VT	$0.13\pm0.04$	$0.18\pm0.01$	$0.79\pm0.20$	$0.48\pm0.06$	$0.45\pm0.05$	NA
a-syn K	0	$0.17\pm0.02$	$0.17\pm0.02$	$1.02\pm0.14$	$0.58\pm0.12$	$0.66 \pm 0.13*$	NA
<i>p</i> -value	!	0.11	0.18	0.10	0.13	0.05	-
Cohen'	s d	1.26	0.63	1.33	1.05	2.13	-

3 cortex in  $\alpha$ -syntrophin ( $\alpha$ -syn) wild type (WT) (n=6) and knock out (KO) mice (n=6).

4 For details on the metabolic ratios see Methods.

- 5 Statistical comparisons were performed with independent sample T test,  $p \le 0.05$  was considered significant.
- 6 Cohen's *d* calculated as a measure of effect size, for details see Materials and Methods section.
- 7 NA, not applicable as labeling in  $[4-^{13}C]$  GABA was not present in reliable amounts.

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### 9

## **Table 3**. Total amounts of metabolites (mean $\pm$ SEM) in cortex in $\alpha$ -syntrophin ( $\alpha$ -syn)

2 wild type (WT) (n=6) and knock out (KO) mice (n=6)

	Total amounts of metabolites in µmol/g						
Metabolite	a-syn WT	a-syn KO	<i>p</i> -value	Cohen's d			
Glutamate	$10.44\pm0.34$	$10.25\pm0.46$	0.33	0.22			
Glutamine	$4.58\pm0.30$	$4.63\pm0.82$	0.46	0.03			
GABA	$1.64 \pm 0.11$	$1.60\pm0.06$	0.43	0.21			
NAA	$6.69\pm0.20$	$6.75\pm0.32$	0.45	0.11			
Succinate	$0.31\pm0.02$	$0.27\pm0.03$	0.19	0.72			
Lactate	$1.80\pm0.24$	$2.26\pm0.12$	0.07	1.07			

- 3 Amounts of metabolites were measured in brain tissue extracts using <sup>1</sup>H MRS.
- 4 For details see Material and Methods section.
- 5 Statistical comparisons were performed with independent sample T test,  $p \le 0.05$  was considered significant.
- 6 Cohen's *d* calculated as a measure of effect size for details see Material and Methods section. NAA; N-acetyl-aspartate.