



Sex-linked inheritance, genetic correlations and sexual dimorphism in three melanin-based color traits in the barn owl

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1 **Sex-linked inheritance, genetic correlations and sexual**
2 **dimorphism in three melanin-based color traits in the barn**
3 **owl**

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17 Short title: Heritability of sexual dimorphism

18

19 **Abstract**

20 Theory states that genes on the sex chromosomes have stronger effects on sexual
21 dimorphism than genes on the autosomes. Although empirical data are not
22 necessarily consistent with this theory, this situation may prevail because the relative
23 role of sex-linked and autosomally inherited genes on sexual dimorphism has rarely
24 been evaluated. We estimated the quantitative genetics of three sexually dimorphic
25 melanin-based traits in the barn owl (*Tyto alba*), in which females are on average
26 darker reddish pheomelanic and display more and larger black eumelanic feather
27 spots than males. The plumage traits with higher sex-linked inheritance showed
28 lower heritability and genetic correlations, but contrary to prediction these traits
29 showed less pronounced sexual dimorphism. Strong offspring sexual dimorphism
30 primarily resulted from daughters not expressing male-like melanin-based traits and
31 from sons expressing female-like traits to similar degrees as their sisters. We
32 conclude that in the barn owl polymorphism at autosomal genes rather than at sex-
33 linked genes generate variation in sexual dimorphism in melanin-based traits.

34

35 Introduction

36 Sexual dimorphism refers to traits that are differentially expressed in the two sexes,
37 which often results from selection favoring one sex to express a trait to larger values
38 than the other sex. For instance, sexual selection can promote the evolution of showy
39 male ornaments that confer mating benefits, whereas natural selection can favor
40 camouflage in females (Lande, 1980; Andersson, 1994; Cuervo & Møller, 2000).
41 Males can thus be positively selected to express an ornament to larger values and
42 females selected to display the same trait but to reduced values (Bonduriansky &
43 Chenoweth, 2009). Examples of so-called “sexually antagonistic selection” can also
44 be found in other, non-ornamental traits and across different taxa (e.g. sexual size
45 dimorphism in birds, mammals, insects; Preziosi & Fairbairn, 2000; Kruger, 2005;
46 Lindenfors, 2002). However, in scenarios where selection acts differently in males
47 and females, evolutionary change in trait expression is largely constrained because
48 both sexes share most of their genome. When the genetic correlation between the
49 sexes for an ornament is strong, selection exerted on one sex to be ornamented will
50 affect the evolution of the ornament not only in this sex, but also in the other sex
51 (Lande, 1987; Rhen, 2000; Poissant *et al.*, 2009). Selection exerted on genetically
52 correlated phenotypic traits will have similar constraining evolutionary effects. Indeed,
53 counter-selected alleles encoding a sexually dimorphic trait can be maintained in a
54 population owing to their positive effects on genetically correlated traits (Lande, 1980;
55 Chenoweth *et al.*, 2008; Gosden *et al.*, 2012; Kirkpatrick, 2009). For instance, in the
56 European kestrel (*Falco tinnunculus*) the degree of melanin-based coloration, a
57 sexually dimorphic trait, is genetically correlated with body mass (Kim *et al.*, 2013)
58 implying that selection on body mass can affect the evolution of sexual dimorphism.
59 The situation can be even more complex when selection exerted on traits in females

60 constrains the evolution of the sexually dimorphic trait in males due to the genetic
61 correlations among homologous and non-homologous traits across sexes (Jensen *et al.*,
62 2008; Poissant *et al.*, 2009; Harano *et al.*, 2010). The allocation of genes on the
63 sexual chromosomes may have evolved to alleviate this situation, as it is expected to
64 decrease genetic correlations between the sexes and thereby allow each sex to
65 express phenotypes to their optimal value. However, the relative role of
66 polymorphisms at autosomally and sex-linked genes on sexual dimorphism
67 (Reinhold, 1998) has rarely been considered in natural populations (Roulin *et al.*,
68 2010; Husby *et al.*, 2012; Larsen *et al.*, 2014). This is a critical issue because, for
69 instance, sex-linked and autosomally inherited components of phenotypic variation
70 are not similarly sensitive to selection (Rice, 1984).

71 Sex-linked genes control the expression of secondary sexual characters
72 because these genes can be expressed to higher levels in the homogametic than
73 heterogametic sex (e.g. in birds males are homogametic ZZ and females
74 heterogametic ZW) (Naurin *et al.*, 2009). The exact role played by sex chromosomes
75 and autosomes on sexual dimorphism is currently debated (Dean & Mank, 2014),
76 further justifying studies on the quantitative genetics of sexual dimorphism.
77 Depending on the genetic architecture, offspring sexual dimorphism can take several
78 forms. The simplest situation is when only males express a secondary sexual
79 character. In that case, the extent of offspring sexual dimorphism can depend on
80 whether sons inherit alleles encoding an exaggerated or a small version of the
81 sexually dimorphic trait (fig. 1a). When the two sexes express the secondary sexual
82 trait, the extent of offspring sexual dimorphism may vary depending on the degree of
83 resemblance between parents and their sons and daughters. When the resemblance
84 between parents and sons is identical to the resemblance between parents and

85 daughters (fig. 1b), the degree of sexual dimorphism (i.e. the lower trait expression in
86 female offspring compared to their brothers) is of similar magnitude across families
87 (value α in fig. 1b). When the lower trait expression in females differs between
88 families, there might be specific situations where male offspring closely resemble
89 their sisters (in fig. 1c when parents display a female-like version of the sexually
90 dimorphic trait) and other situations where brothers and sisters are clearly different
91 (in fig. 1c when parents display a male-like version of the trait). These effects may,
92 furthermore, differ if we consider mothers and fathers (fig. 1d and e), for instance if
93 polymorphic genes encoding sexually dimorphic traits are located on the sex
94 chromosomes. In birds, for example, mothers pass on their single Z sex chromosome
95 to sons but not to daughters, whereas males transmit a copy of the Z sex
96 chromosome in both sons and daughters. Because genes located on sex
97 chromosomes are predicted to result in higher phenotypic differences between males
98 and females, we can expect a correlation between the degree to which phenotypic
99 traits are coded by sex-linked genes and the degree of sexual dimorphism.
100 Surprisingly, the current evidence for this prediction is rather limited (Dean & Mank,
101 2014).

102 Recently, quantitative genetic tools have been developed to estimate the extent
103 to which polymorphism at genes located on the sex chromosomes and autosomes
104 explain variation in phenotypic traits (Roulin *et al.*, 2010; Husby *et al.*, 2013; Larsen
105 *et al.*, 2014; Evans *et al.*, 2014). This offers the possibility to examine the prediction
106 that sexual dimorphism is positively associated with the extent to which
107 polymorphism at sex-linked genes participate in the expression of the sexually
108 dimorphic trait (Dean & Mank, 2014). To this end, we considered the barn owl (*Tyto*
109 *alba*) because this bird displays three sexually dimorphic melanin-based plumage

110 traits, with at least one trait showing sex-linked inheritance (Roulin *et al.*, 2010;
111 Larsen *et al.*, 2014). Although members of the two sexes can express any
112 phenotype, females are on average darker reddish than males (pheomelanin-based
113 color trait) and display more and larger black spots located on the feather tips (two
114 eumelanin-based color traits) (Roulin *et al.*, 2001). These three melanin-based color
115 traits are genetically correlated to a different extent in the two sexes (darker birds
116 display more and larger black spots, particularly males) (Roulin *et al.*, 2001; Roulin &
117 Dijkstra, 2003). Because the three color-traits are already expressed in nestlings, we
118 can perform powerful quantitative genetic analyses and compare the level of
119 offspring sexual dimorphism with parental plumage traits. Our aim is therefore to
120 measure the degree of offspring sexual dimorphism, estimate Z-linked and
121 autosomal components of phenotypic variation, measure the phenotypic and
122 genotypic correlations among traits within males and females, and measure the
123 phenotypic and genotypic correlation between homologous and non-homologous
124 traits among sexes. In traits for which sex-linked genes have a strong effect on trait
125 expression, we predict heritabilities and genetic correlations to be lower (because
126 females have only one copy of the Z sex-chromosome) but sexual dimorphism to be
127 more pronounced than in traits for which the Z-linked component of phenotypic
128 variation is lower.

129

130 **Materials and methods**

131 **Study organism**

132 The worldwide distributed barn owl shows pronounced variation in the expression of
133 the three melanin-based color traits (reddish pheomelanic coloration and number and
134 size of eumelanic black spots; Roulin *et al.*, 2009). Although members of the two

135 sexes can express these three heritable phenotypes in the range of any possible
136 values, females are on average darker reddish and display on average more and
137 larger black spots than males (Roulin *et al.*, 2001). Spot size is positively selected in
138 females and negatively selected in males, the magnitude of sexually antagonistic
139 selection being population-specific (Roulin *et al.*, 2010, 2011). Spot size is
140 associated, particularly in females, with numerous phenotypic attributes such as
141 growth, appetite, resistance to various stressful factors including free-radicals,
142 pathogens and predators (Roulin & Ducrest, 2011; Van den Brink *et al.*, 2012). The
143 number of spots is associated with thermoregulation and sibling competition (A.
144 Roulin unpubl. data) and pheomelanin-based coloration is involved in foraging, with
145 differently colored individuals being adapted to different ecological conditions (Roulin,
146 2004a; Dreiss *et al.*, 2012; Charter *et al.*, 2012). Extra-pair paternity is rare in this
147 species (Henry *et al.*, 2013).

148

149 **General method**

150 Between 1996 and 2010, we studied barn owls in western Switzerland
151 (46°49'N/06°56'E) in an area of 190 km² where 196 nest-boxes were available.
152 Nestling sex was identified using sex-specific molecular markers (Roulin *et al.*, 1999),
153 whereas breeding females were distinguished from breeding males by the presence
154 of a brood patch. Age of the breeding birds was known precisely if ringed as
155 nestlings in previous years. For other individuals, we estimated age based on molt
156 pattern (Taylor, 1993).

157 Melanin-based traits were reliably recorded (Roulin, 1999; 2004b). As feathers
158 of each body part are similarly colored, a single person (AR) compared pheomelanin-
159 based coloration of the breast, belly, flank and underside of the wings with eight

160 chips ranging from -VIII for white to -I for reddish. A mean value over the four body
161 parts was calculated. A 60 x 40 mm² frame was then placed on the breast and black
162 spots were counted and their diameter measured to the nearest 0.1 mm. Mean spot
163 diameter was used in the statistical analyses. At the age of 45 days, we can already
164 record pheomelanin-based coloration, but not yet count and measure black spots,
165 which were measured at 50-55 days. Thus, in a few cases, we could record only one
166 plumage trait if these individuals disappeared from their nest (either because they
167 died or left the nest prematurely) before we could record the other plumage traits.
168 This explains discrepancies in sample sizes between plumage traits.

169

170 **Offspring sexual dimorphism**

171 From 1996 to 2010, plumage traits were measured in the two parents and in at least
172 one daughter and one son in 431 families. This represents a sample of 1,099 female
173 nestlings and 1,121 male nestlings, 259 different breeding males and 309 different
174 breeding females. Because environmental effects on the expression of melanin-
175 based traits are very weak in the barn owl (Roulin & Dijkstra, 2003; Roulin *et al.*,
176 2010), we pooled all offspring produced by a given pair in several broods produced in
177 the same (barn owls can produce two annual broods) or different years giving a total
178 of 400 families. We thus calculated mean daughters' and mean sons' pheomelanin-
179 based reddish coloration, number of black spots and spot size in pooled families of
180 400 different breeding pairs. To compare the relative degree of sexual dimorphism in
181 the three melanin-based plumage traits, we standardized plumage traits across the
182 two sexes and the 400 different families (i.e. to calculate mean and standard
183 deviations, we had 800 values for each plumage trait). For each plumage trait and
184 family, offspring sexual dimorphism was defined as "standardized daughter value –

185 standardized son value". Thus, larger values of offspring sexual dimorphism indicate
186 that daughters are darker reddish than sons, or that daughters display more or larger
187 black spots than sons. Note that if offspring sexual dimorphism is defined as
188 "daughters' value / sons' value", results are qualitatively similar. However,
189 dimorphism defined as a difference rather than a ratio is more appropriate because
190 ratios obtained with very small denominators tend towards infinity.

191

192 **Statistical procedure**

193 *Animal model to derive quantitative genetic parameters*

194 We used an estimate of the 1-year size of traits for all birds (fledglings and adults)
195 because in a previous study, we showed that melanin-based plumage traits change
196 with age in a sex-specific way (Dreiss & Roulin, 2010). This was done by estimating
197 the relationship between age, in years, and trait size for all birds. In these models, we
198 allowed for differences between sexes, effects of age, age², interactions sex*age and
199 sex*age². Each of these parameters was included in the final model if *P*-values were
200 smaller than 0.10. We then re-ran the model when also identity was included as fixed
201 factor to derive individual intercepts because we often captured each individual in
202 more than one year. The relationships found between age and plumage traits in
203 these models were used to estimate 1-year trait size for all birds by summing the
204 overall intercept of the model, the individual's intercept and the sex-specific effect. To
205 this value, we then added the (sex-specific) change in trait size from age 0 (i.e.
206 intercept trait size) to age 1-year as given by the slope(s) for age, age², sex*age
207 and/or sex*age². For birds measured as fledglings, the hatch year was known. For
208 other birds, we used estimated age at first breeding to calculate their year of
209 hatching.

210 Animal models are general mixed models, which utilize information from
211 individuals with different levels of relatedness (i.e. not only parent-offspring) in a
212 pedigree to estimate quantitative genetic quantities and various environmental effects
213 (e.g. Lynch & Walsh, 1998; Kruuk & Hill, 2008; Charmantier *et al.*, 2014). In the
214 animal models, we estimated the proportion of variance due to differences among
215 years (because this may explain some proportion of the trait variance; Roulin *et al.*,
216 2010), and environmental maternal effects by including year and maternal identity as
217 random factors, respectively. Birds from the same brood were assigned the same
218 unique dummy mother ID's if their mother was missing, and birds without nest
219 information were also assigned unique dummy mother ID's. Maternal environmental
220 effects may be particularly important to control for in analyses of sex-chromosomal
221 inheritance (Fairbairn & Roff, 2006). To avoid any bias due to differences in means
222 and/or variance of traits between males (at least one plumage trait was measured in
223 1,703 individuals) and females (at least one trait measured in 1,922 individuals), trait
224 sizes were standardized within each sex to have a phenotypic mean of 0 and
225 phenotypic variance of 1 when estimating quantitative genetic parameters within and
226 across sexes. The pedigree consisted of 4,343 individuals, 2,065 males and 2,278
227 females. Both parents were known for 70.4% of the individuals in the pedigree, only
228 the mother for 5.1% and only the father for 0.3%. 1,047 individuals (i.e. 24.1%) in the
229 pedigree had no known parents. Among the 334 individuals born before 1996 only 6
230 had one or two known parents. In contrast, among every cohort born 1996-2011 at
231 least one parent was known for on average 80% of the individuals (range: 54-100%).

232 Additive genetic (co)variances, heritabilities and genetic correlations of reddish
233 pheomelanin-based coloration, number and diameter of black spots was estimated
234 by implementing a restricted maximum likelihood animal model using the VCE6

235 software (Neumaier & Groeneveld, 1998; Groeneveld *et al.*, 2010). To estimate intra-
236 and intersexual additive genetic (co)variances, and corresponding heritabilities and
237 genetic correlations, we assumed that males and females represented two different
238 environments and that each homologous trait in the two sexes in reality consists of
239 two separate traits, one of which is expressed only in males and one of which is
240 expressed only in females. Hence, male traits are missing in females, and *vice versa*.
241 This is equivalent to estimating the additive genetic variances and covariances within
242 and across two environments, which in our case are the two sexes (Roff, 1997).

243 To test whether heritability estimates were significantly different from zero, and
244 whether estimates of genetic correlations between traits were significantly different
245 from each other, or from zero or one, we calculated z-scores that were tested against
246 a large sample standard normal distribution, following the procedure outlined in
247 Jensen *et al.* (2003). Unfortunately, a likelihood ratio test cannot be carried out in
248 VCE because the likelihood value calculated by VCE is different from the real
249 likelihood as only the part of the likelihood required for optimization is computed
250 (Groeneveld *et al.*, 2010).

251 To examine whether any of the phenotypic variance observed in the reddish
252 pheomelanin-based coloration, number and diameter of black spots was due to
253 genes located on the Z-chromosomes (see Roulin *et al.*, 2010; Larsen *et al.*, 2014),
254 we estimated autosomal and Z-chromosomal additive genetic variances by using
255 Bayesian animal models and the INLA framework (Steinsland & Jensen, 2010;
256 Holand *et al.*, 2013; Larsen *et al.*, 2014). These Bayesian animal models can
257 currently only be used for single-trait models, and could hence not be used to
258 estimate additive genetic covariances within and across sexes. In the Bayesian
259 animal models, we followed the recommendations of Larsen *et al.* (2014): we

260 standardized the data across both sexes instead of within each sex as above, we
261 present the Z-chromosomal additive genetic variance for males, and we regard Z-
262 chromosomal additive genetic variance to be present if the model with both Z- and
263 autosomal additive genetic variance has a DIC which is at least 10 units lower than
264 the model with only autosomal inheritance.

265

266 *Relationship between offspring sexual dimorphism and parental phenotypes*

267 We examined whether the degree of offspring sexual dimorphism is associated more
268 strongly with maternal or paternal phenotypes. Offspring sexual dimorphism in
269 pheomelanin-based coloration, number of spots and spot diameter were entered as
270 dependent variable in separate linear mixed models, where the identities of the two
271 biological parents were entered as random variables. Six independent variables were
272 simultaneously introduced in the models, i.e. standardized (mean = 0, SD = 1)
273 maternal and paternal pheomelanin-based coloration and number and size of black
274 spots. Non-significant variables were backward removed starting with the least
275 significant ones (threshold level was 0.05). However, all significant variables in the
276 reduced model were already significant in the initial model.

277 Analyses on sexual dimorphisms were carried out with the software JMP
278 (version n° 8, SAS software, Inc. Carey NC). All tests were two-tailed and *P*-values
279 smaller than 0.05 considered significant.

280

281 **Results**

282 **Quantitative genetics**

283 For sexes combined, pheomelanin-based coloration was more strongly heritable (h^2
284 = 0.836) than spot diameter ($h^2 = 0.668$) and number of spots ($h^2 = 0.570$) (Table 1).

285 Hatch year explained approximately 8 and 6% of the variation in number and
286 diameter of spots, respectively, but explained none of the color variation (Table 1).
287 Mother identity explained between 1 and 5% of phenotypic variation (Table 1). When
288 sexes were analyzed separately, we found a tendency that the heritability was higher
289 in males than in females (Table 1); this difference was however not significant for any
290 of the three traits ($P = 0.65$, $P = 0.13$, $P = 0.09$ for pheomelanin-based color, number
291 and diameter of spots, respectively). These patterns nevertheless support Bayesian
292 single-trait animal models showing significant Z-chromosomal additive genetic
293 variance for both number and diameter of spots but not for pheomelanin-based
294 coloration (Table 2).

295 The three plumage traits were positively correlated within males and females,
296 both phenotypically and genetically (Table 3a). The genetic correlations were more
297 strongly positive within males than within females ($P < 0.0001$, $P = 0.06$, $P < 0.0001$
298 for pheomelanin-based color, number and diameter of spots, respectively; Table 3a).
299 Although phenotypic correlations were less strong than their associated genetic
300 correlation, they were highly correlated (Spearman's correlation: $r_s = 1$, $n = 6$, $P <$
301 0.0001) (Table 3a), which is not surprising given that heritabilities were very high
302 (Table 1, see also Hadfield et al. 2007).

303 The genetic correlations between sexes for homologous traits were very strong
304 and only significantly lower than 1 for number of spots ($P = 0.002$, values in the
305 diagonal of Table 3b). Genetic correlations between males and females for non-
306 homologous traits ranged from 0.145 for male pheomelanin-based color and female
307 number of spots up to 0.841 for male number of spots and female diameter of spots,
308 and were significantly higher than 0 and lower than 1 (all P -values < 0.0027 , values
309 off the diagonal in Table 3b).

310

311 **Offspring sexual dimorphism**

312 Offspring sexual dimorphism was more pronounced in pheomelanin-based coloration
313 than in the size of eumelanic spots (mean standardized values \pm SE: 1.03 ± 0.04
314 versus 0.74 ± 0.05 ; paired t -test: $t_{399} = 6.07$, $P < 0.0001$), which was itself more
315 pronounced than offspring sexual dimorphism in the number of eumelanic spots
316 (0.54 ± 0.05 ; paired t -test: $t_{339} = 4.70$, $P < 0.0001$). In 367 of the 400 different
317 breeding pairs (91.8%), sisters were on average darker pheomelanic than their
318 brothers (sign test comparing sexual dimorphism with 0, $M = 158.50$, $P < 0.0001$); in
319 313 families (78.3%), sisters displayed on average larger black spots than their
320 brothers ($M = 113.50$, $P < 0.0001$); in 267 families (66.8%), sisters displayed on
321 average more black spots than their brothers ($M = 67.50$, $P < 0.0001$). In 229 families
322 (57.3%), sisters were simultaneously on average darker pheomelanic and displayed
323 more and larger eumelanic spots than their brothers (note that in some families
324 females can be darker reddish than their brothers but not necessarily more spotted).
325 Offspring sexual dimorphism in pheomelanin-based coloration was strongly
326 correlated with offspring sexual dimorphism in both number and size of black spots,
327 i.e. when sisters were darker reddish than their brothers, they also displayed more
328 black spots (Pearson's correlations: $r = 0.48$, $n = 400$ families, $P < 0.0001$) and larger
329 spots ($r = 0.45$, $P < 0.0001$). The correlation between offspring sexual dimorphism in
330 both eumelanic traits (i.e. number and size of spots) was stronger than the
331 relationships with offspring sexual dimorphism in the degree of pheomelanism ($r =$
332 0.64 , $P < 0.0001$; when sisters displayed more black spots than their brothers, these
333 spots were also larger). This is consistent with the genetic correlation analyses
334 (Table 3).

335

336 Relationship between offspring sexual dimorphism and parental phenotypes

337 Standardized offspring sexual dimorphism was more often and more strongly
338 associated with standardized maternal than paternal plumage traits (Table 4, Fig. 2).
339 Furthermore, offspring sexual dimorphism in pheomelanin-based coloration was
340 more strongly related to the homologous trait (i.e. pheomelanin-based coloration) of
341 their parents than it was for number and size of black spots (Table 4, Fig. 2).

342 Offspring sexual dimorphism in pheomelanin-based coloration increased (i.e.
343 daughters were darker reddish than sons) particularly when their mother and father
344 were pale rather than dark reddish (Fig. 2 and 3a) and when their mother displayed
345 small rather than large black spots (Table 4). Similarly, daughters displayed more
346 black spots than sons particularly when their mother was pale rather than dark
347 reddish and when the mother and father displayed small rather than large black spots
348 (Table 4; Fig. 2 and 3b). Finally, daughters displayed larger black spots than sons
349 when their mother was light rather than dark reddish and when the mother exhibited
350 small rather than large black spots (Table 4; Fig. 2 and 3c).

351

352

Discussion

353 We identified the determinants of offspring sexual dimorphism in three heritable
354 melanin-based traits in the barn owl. Quantitative genetic analyses showed that the
355 three traits are heritable and genetically correlated within and across sexes (Tables
356 1, 2 and 3; Fig. 2 and 3). As expected, the trait with the lowest Z-linked component,
357 reddish pheomelanin-based coloration, showed the highest heritability and genetic
358 correlation between the sexes (Tables 1, 2 and 3). In contrast to prediction, this trait
359 was a more strongly sexually dimorphic trait compared to eumelanin-based plumage

360 traits (i.e. number and size of black spots), which showed a significant Z-linked
361 component (Table 2; Fig. 3). Another important result is that the degree to which
362 daughters are more pigmented (i.e. display a darker reddish coloration, more or
363 larger black spots) than their brothers increases when their parents are less
364 pigmented (Table 4, Fig. 2).

365

366

Heritability

367 Heritabilities tended to be smaller in female than in male barn owls (Table 1; Fig. 3), a
368 situation that seems to contrast with other organisms (e.g. Jensen *et al.*, 2003). The
369 cause is probably that two of the plumage traits are partially encoded by polymorphic
370 genes located on the Z sex chromosome (Table 2). Since females do not pass on
371 their Z to daughters, this might reduce the magnitude of the heritability. Up to date,
372 few researchers have demonstrated that genes located on sex chromosomes are
373 responsible for variation in color traits using Mendelian genetics (Southern, 1946;
374 Munro *et al.*, 1968; Zann, 1996), parent-offspring regression (Potti & Canal, 2011) or
375 animal models (Roulin *et al.*, 2010; Husby *et al.*, 2012; Evans *et al.*, 2014; Larsen *et al.*,
376 2014). A recent study in collared flycatchers (*Ficedula albicollis*) and zebra
377 finches (*Taenipygia guttata*) has suggested that sexually selected traits are not more
378 often encoded by polymorphic genes located on sex chromosomes than other
379 morphological traits (Husby *et al.*, 2012), but rather the expression of genes
380 underlying sex-specific phenotypes could be controlled by sex hormones (something
381 that is not taken into account in animal models). Although theory postulates that sex
382 chromosomes play an important role in the evolution of sexual dimorphism, since
383 their transmission is sex biased or sex limited (Rice, 1984), many sexually dimorphic

384 traits are encoded by polymorphic genes located on autosomes (Mank, 2009; Mank
385 & Ellegren, 2009).

386 In the barn owl, variation in the most sexually dimorphic plumage trait
387 (pheomelanin-based coloration) did not show any significant Z-component in contrast
388 to the two least sexually dimorphic traits (number and size of black spots) (Table 2).
389 This suggests that sex-linked inheritance is not a prerequisite for sexual dimorphism.
390 For both number and size of black spots, the slopes of the resemblance between
391 father and sons and between father and daughters were of similar magnitude but not
392 the intercepts (Fig. 3b,c). In contrast, the resemblance mother-sons was more
393 pronounced than mother-daughters for the three plumage traits (Fig. 3) probably
394 because the maternal Z chromosome is transmitted only to sons. As a consequence,
395 the intensity of offspring sexual dimorphism was reduced when mothers displayed
396 many and large black spots and when they were darker reddish (Table 4; Fig. 2),
397 since they produced similarly spotted sons and daughters (absence of sexual
398 dimorphism). This suggests that, in contrast to intuition (Rice, 1984; Mank, 2009),
399 polymorphism at genes located on sex chromosomes does not necessarily increase
400 the degree of offspring sexual dimorphism because at some specific parental trait
401 values, parents produce similarly plumaged sons and daughters (Fig. 3).

402

403 *Genetic correlations between plumage traits within sexes*

404 The evolution of a given phenotype can result from selection being exerted on it
405 directly but also on genetically correlated traits, as shown for the specific case of
406 secondary sexual characters (Brooks & Endler, 2001; Jensen *et al.*, 2008; Poissant
407 *et al.*, 2008). Genetic correlations between traits can evolve because selection
408 exerted on the different traits is correlated (McGlothlin *et al.*, 2005; Roff & Fairbairn,

409 2012). Correlational selection implies that functionally related traits should not be
410 expressed independently from each other because individuals derive more fitness
411 benefits from some specific trait combinations than other combinations. For instance,
412 alternative foraging modes may require different combinations of characters (Sinervo
413 & Svensson, 2002), e.g. foraging upon a given type of prey may necessitate a
414 particular coloration to be cryptic which in turn requires specific morphological
415 structures (Roulin & Wink, 2004). Mechanistically, genetic correlations can arise if a
416 given gene regulates different traits (pleiotropy), if several genes encoding a given
417 phenotype are physically linked (Johnston *et al.*, 2010) or if these genes are in
418 linkage disequilibrium due to e.g. non-random mating (Lynch & Walsh, 1998).

419 In the barn owl, we studied three melanin-based color traits that necessarily
420 share part of the melanogenic biochemical cascade. As could be predicted, the
421 strongest genetic correlations were between the two eumelanin-based plumage traits
422 (number and diameter of black spots), mean of male and female genetic correlations
423 being 0.794, twice as strong as the genetic correlation between number of eumelanin
424 spots and pheomelanin-based coloration (0.348). Interestingly, genetic correlations
425 were on average 1.6 times stronger in males than in females (Table 3), possibly as a
426 consequence of Z-linked genes (Table 2), implying that the evolution of a given
427 plumage trait should be particularly constrained by the evolution of the two other
428 traits in males. This finding suggests that the three melanin-based traits may have a
429 more redundant function in males than in females. Accordingly, in females (and to a
430 lower extent in males) the size of black spots is related to behavior and physiology
431 (Roulin & Ducrest, 2011), while pheomelanin-based coloration plays a role in the
432 adaptation to local conditions (Dreiss *et al.*, 2012), probably associated with predator-
433 prey relationships (Charter *et al.*, 2015).

434 Genetic correlations between the three plumage traits were all positive (Table
435 3), i.e. darker pheomelanin individuals displayed more and larger black spots. This
436 suggests that the production of pheomelanin and eumelanin pigments is not traded
437 off against each other. Therefore, the expression of the melanogenic genes that
438 allow the production of the precursors of both melanin types may have an
439 overwhelming effect on plumage traits compared to genes that trigger the expression
440 of pheomelanin pigments at the expense of eumelanin pigments. To test these
441 scenarios measurement of gene expression is needed (e.g. Emaresi *et al.*, 2013).

442

443 **Genetic correlations between homologous traits in the two sexes**

444 Each sex can evolve more rapidly towards its phenotypic optimum if the genetic
445 correlation between the sexes is low rather than high (e.g. Chenoweth *et al.*, 2007)
446 as shown in a review of the literature (Poissant *et al.*, 2009). This is particularly
447 relevant in species in which a trait is the target of sexually antagonistic selection
448 where males are positively selected and females negatively selected (or *vice versa*).
449 When the genetic correlation between the sexes is high, positively selected males
450 will not only produce sons having a selective advantage but also counter-selected
451 daughters, and the other way round with positively selected females (Foerster *et al.*,
452 2007; Mills *et al.*, 2012). In such a situation, selection should favor the breakdown of
453 the inter-sexual genetic correlation, a process that may, however, take many
454 generations (Lande, 1987). As a consequence, males who inherit the counter-
455 selected female-like version of a sexually antagonistically selected trait (and females
456 who inherit a counter-selected male-like trait) may evolve compensatory strategies to
457 reduce the cost of sexually antagonistic selection (e.g. Abbott *et al.*, 2013).

458 In the barn owl, we found evidence for the hypothesis that spot size is sexually
459 antagonistically selected (positive selection in females and negative selection in
460 males; Roulin *et al.*, 2010, 2011). Although some of the underlying genes are located
461 on sex chromosomes (Roulin *et al.*, 2010; Larsen *et al.*, 2014; Table 2), the genetic
462 correlation between males and females for spot size is very strong (0.963; Table 3b)
463 implying that small-spotted fathers will produce counter-selected daughters.
464 Furthermore, if the absolute strength of negative selection exerted in males is weaker
465 than positive selection in females, males will evolve away from their phenotypic
466 optimum, as we could demonstrate in Switzerland (Roulin *et al.*, 2010). This may
467 explain why offspring sex ratio is correlated with parental spot diameter; the
468 probability of producing sons being higher when both parents displayed a male-like
469 plumage (i.e. small spots) and lowest when at least one of the parent displayed a
470 female-like plumage (i.e. large spots). Furthermore, male-like females and female-
471 like males produced sons and daughters with a high survival prospect, respectively
472 (Roulin *et al.*, 2010). These two compensatory mechanisms may have evolved as a
473 consequence of the very strong genetic correlation between the sexes for spot size.

474 Interestingly, the degree of offspring sexual dimorphism was related to parental
475 phenotype (Table 4, Fig. 2), implying that some parents produce daughters and sons
476 that closely resemble each other while other parents produce very distinct daughters
477 and sons. For the three plumage traits, the degree of sexual dimorphism decreased
478 with parental melanism, i.e. when parents (particularly mother) were darker reddish
479 or displayed more and larger black spots, sons resembled their daughters to a larger
480 degree than when their parents were pale reddish or lightly spotted (Table 4). From a
481 proximate of view, a potential explanation is that a gene of major phenotypic effect
482 (such as *MC1R*) determines the amount of pigments produced in the two sexes and

483 another gene of minor phenotypic effect (such as those of the melanocortin system)
484 is responsible for the slight over-expression of melanin in females compared to
485 males. In that case, when parents possess a mutation of the gene of major
486 phenotypic effect that triggers the expression of a large amount of melanin pigments,
487 feathers become saturated in melanin implying that the gene of minor phenotypic
488 effect will have hardly any effect on sexual dimorphism.

489 With respect to spot size, sons and daughters differed the most when their
490 mother displayed small rather than large black spots. Because this trait is sexually
491 antagonistically selected (Roulin *et al.*, 2010), the resulting intralocus genetic conflict
492 may be particularly strong when mothers are large-spotted, since they will produce
493 counter-selected large-spotted sons. As can be seen in fig. 3c, large-spotted mothers
494 produce sons and daughters who are similarly spotted, whereas small-spotted
495 mothers produce offspring displaying spots at the size that is typical for their sex (i.e.
496 large-spotted daughters and small-spotted sons). This finding is particularly
497 interesting as it suggests that positive selection on female spot size is associated
498 with more intense intralocus genetic conflict, whereas negative selection on male
499 spot size is associated with a reduced genetic conflict. This further suggests that
500 mutations that increase the expression of larger spots are associated with more
501 intense genetic conflict, whereas mutations that suppress the expression of large
502 spots are related to a reduced conflict. It would be particularly interesting to identify
503 the genes involved in the expression of large black spots but also to determine
504 whether they are ancestral or derived (i.e. if barn owls were originally large- or small-
505 spotted).

506

507 **Genetic correlations between non-homologous traits within and across the**
508 **sexes**

509 Genetic correlations between non-homologous traits have been shown to reduce the
510 rate of adaptation (Teplitsky *et al.*, 2014a,b). In contrast, a study in the house
511 sparrow (*Passer domesticus*) showed that indirect selection had a larger contribution
512 to the predicted evolution of a melanin-based trait in males than direct selection
513 (Jensen *et al.*, 2008). Of particular interest was the finding that selection exerted on
514 female morphology can affect the evolution of male ornamentation. This reinforces
515 the idea that a particular trait cannot be considered independently from other
516 phenotypic characters. This statement is not trivial because the importance of natural
517 selection on the evolution of sexually selected traits may be considerable, but the
518 role played by indirect selection exerted on genetically correlated traits in males and
519 females is rarely studied or even discussed (see reviews in Jensen *et al.*, 2008 and
520 Teplitsky *et al.*, 2014a,b).

521

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528

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711 University Press, Oxford.

712 **Table 1.** Quantitative genetics of plumage traits in the barn owl. Estimates of the proportion of variation in pheomelanin-based
 713 coloration, number and diameter of black spots due to additive genetic effects (i.e. the heritability, h^2), maternal environmental
 714 effects and year of hatching. Estimates \pm SE are from a model where both sexes and all three traits were included. We first
 715 assumed sexes to be the same environment; estimates for sexes combined. We also report heritability of plumage traits of male
 716 and female barn owls separately. These estimates are from a model where males and females were assumed to be two different
 717 environments, i.e. that females had missing values for male traits and vice versa. Both male and female traits were included in the
 718 same model. Variation in trait sizes due to any effects of year of hatching and maternal environmental effects were accounted for.

Trait	Variance components – sexes combined			h^2 – sexes separately	
	Hatch year	Mother ID	h^2	Males	Females
Pheomelanin-based colour	0.005 \pm 0.003	0.012 \pm 0.011	0.836 \pm 0.021	0.872 \pm 0.124	0.793 \pm 0.125
Number of black spots	0.077 \pm 0.021	0.040 \pm 0.012	0.570 \pm 0.030	0.716 \pm 0.136	0.500 \pm 0.040
Diameter of black spots	0.055 \pm 0.016	0.052 \pm 0.013	0.668 \pm 0.025	0.805 \pm 0.032	0.576 \pm 0.132

719

720 **Table 2.** Autosomal and Z-chromosomal inheritance of three melanin-based plumage traits in the barn owl. Additive genetic
 721 variances were calculated in one model assuming autosomal and Z-linked inheritance of the traits and another model assuming
 722 autosomal inheritance only. Z-chromosomal additive genetic variance is given for males (i.e. approximately twice that of females).
 723 Additive genetic variance estimates (V_a) are posterior means and credible intervals are given in parentheses.

	Autosomal V_a	Z-chromosomal V_a	Hatch year V_y	Maternal identity V_m	Residual V_r	DIC
<i>Pheomelanin coloration</i>	0.524 (0.453; 0.607) 0.651 (0.593; 0.715)	0.169 (0.104; 0.250) -	0.003 (0.001; 0.008) 0.003 (0.001; 0.009)	0.003 (0.000; 0.011) 0.003 (0.000; 0.011)	0.136 (0.110; 0.167) 0.135 (0.108; 0.165)	5618.161 5586.007
<i>Number of black spots</i>	0.154 (0.081; 0.272) 0.548 (0.476; 0.628)	0.511 (0.411; 0.625) -	0.053 (0.025; 0.101) 0.059 (0.028; 0.116)	0.042 (0.021; 0.074) 0.035 (0.014; 0.065)	0.320 (0.278; 0.365) 0.306 (0.262; 0.355)	7845.770 7895.389
<i>Diameter of black spots</i>	0.224 (0.161; 0.300) 0.544 (0.481; 0.615)	0.454 (0.365; 0.563) -	0.041 (0.018; 0.084) 0.046 (0.020; 0.093)	0.030 (0.012; 0.059) 0.035 (0.014; 0.068)	0.206 (0.171; 0.248) 0.208 (0.169; 0.254)	6664.644 6758.495

724 The model with both autosomal and Z-chromosomal inheritance is regarded as significantly better if its DIC is >10 lower than DIC for the autosomal model
 725 only (Larsen *et al.*, 2014). Hatch year and maternal identity were included in the models as random effects and sex was included as fixed effect. The best
 726 model for each trait is given in bold.

Table 3. Phenotypic and genetic correlations between plumage traits (pheomelanin-based coloration, number and diameter of black spots) within males (above diagonal, in bold) and females (below diagonal) (a), and across-sex genetic correlations between homologous and non-homologous plumage traits in the barn owl (b). All phenotypic correlations are significant at $P < 0.001$. Estimates of genetic correlations (\pm SE) are from a model where males and females were assumed to be two different environments, i.e. females had missing values for male traits and vice versa. All male and female traits were included in the same model. Variation in trait sizes due to any effects of year of hatching and maternal identity was accounted for.

a) Phenotypic and genetic correlations between plumage traits within each sex

	Phenotypic correlation			Genetic correlation		
	Pheomelanin color	Number of black spots	Diameter of black spots	Pheomelanin color	Number of black spots	Diameter of black spots
Pheomelanin color	-	0.369	0.418	-	0.501\pm0.023	0.557\pm0.067
Number of black spots	0.182	-	0.713	0.194 \pm 0.041	-	0.926\pm0.028
Diameter of black spots	0.332	0.527	-	0.380 \pm 0.068	0.661 \pm 0.037	-

b) Genetic correlations across sexes in homologous and non-homologous traits

Male trait	Female trait		
	Pheomelanin color	Number of black spots	Diameter of black spots
Pheomelanin color	0.996 \pm 0.014	0.145 \pm 0.035	0.346 \pm 0.041
Number of black spots	0.543 \pm 0.026	0.903 \pm 0.031	0.841 \pm 0.053
Diameter of black spots	0.591 \pm 0.025	0.710 \pm 0.035	0.963 \pm 0.019

Estimates in the diagonal refer to the genetic correlations between homologous plumage traits in related males and females (e.g. pheomelanin-based coloration in females vs. males [0.996 \pm 0.014]). Off-diagonal elements refer to the genetic correlations between non-homologous plumage traits in males and females (e.g. pheomelanin-based coloration in females vs. number of black spots in males [0.543 \pm 0.026]).

737 **Table 4.** Offspring sexual dimorphism in melanin-based traits (pheomelanin-based coloration, number and size of eumelanic spots)
 738 in relation to parental plumage phenotypes in the barn owl. Linear mixed models included the identity of the two biological parents
 739 as random variables and the three standardized paternal and maternal plumage traits as six independent variables. Non-significant
 740 terms are eliminated starting with the least significant ones. Significant terms are written in bold and estimates (\pm SE) are given.
 741 Sample size is 400 different breeding pairs. Offspring sexual dimorphism was defined as the difference between standardized
 742 daughters' and sons' plumage trait values. Negative estimates indicate a reduced offspring sexual dimorphism when parents are
 743 more melanic.

	Offspring sexual dimorphism in standardized melanin-based plumage traits		
	Pheomelanin-based color	Number of black spots	Diameter of black spots
<i>Standardized paternal plumage traits</i>			
Pheomelanin color	$F_{1,184.5} = 13.72, P = 0.0003$ (-0.171\pm0.046)	$F_{1,230.8} = 0.007, P = 0.93$	$F_{1,201.4} = 0.005, P = 0.94$
Number of black spots	$F_{1,203} = 0.58, P = 0.45$	$F_{1,136} = 0.48, P = 0.49$	$F_{1,242.7} = 0.11, P = 0.74$
Diameter of black spots	$F_{1,164.6} = 0.03, P = 0.86$	$F_{1,158} = 4.19, P = 0.04$ (-0.081\pm0.039)	$F_{1,170.3} = 0.09, P = 0.76$
<i>Standardized maternal plumage traits</i>			
Pheomelanin colour	$F_{1,285.3} = 24.16, P < 0.0001$ (-0.238\pm0.048)	$F_{1,274.6} = 24.49, P < 0.0001$ (-0.223\pm0.045)	$F_{1,310.2} = 17.49, P < 0.0001$ (-0.203\pm0.048)
Number of black spots	$F_{1,305} = 0.90, P = 0.34$	$F_{1,293} = 0.88, P = 0.35$	$F_{1,319} = 1.23, P = 0.27$
Diameter of black spots	$F_{1,175.3} = 14.05, P = 0.0002$ (-0.183\pm0.049)	$F_{1,187.1} = 86.53, P < 0.0001$ (-0.429\pm0.046)	$F_{1,251.6} = 54.45, P < 0.0001$ (-0.370\pm0.050)

744 For instance, the degree of offspring sexual dimorphism in pheomelanin-based coloration is negatively correlated with paternal and maternal pheomelanin-
 745 based coloration and the size of maternal black spots.

746 **Figure legends**

747 **Fig. 1.** Sons' and daughters' expression of a secondary sexual character in relation
748 to parental trait values. In these examples, males express the sex-trait to larger mean
749 values than females, and hence large values are denoted "male-like" and low values
750 "female-like". (a) Complete sexual dimorphism (or sex-limited dimorphism): only
751 males express the secondary sexual character and in this case males can express
752 different values of the trait, whereas all females display the same "female-like trait".
753 (b-d) The dimorphism is not sex-limited and offspring resemble each of their parents
754 to varying degrees. (b) Sons and daughters similarly resemble their parents but
755 females express the trait to lower values than males (by a value α). (c) Sons and
756 daughters resemble their parents but to different values; females express the trait to
757 lower values than males but the extent of the decreased expression (values α_1 and
758 α_2) is correlated with parental trait value. (d, e) The values α_1 and α_2 can be different
759 when comparing offspring phenotype with paternal (d) and maternal (e) phenotype.
760 These phenotypic models cannot make any assumptions or predictions regarding the
761 underlying genetic basis.

762

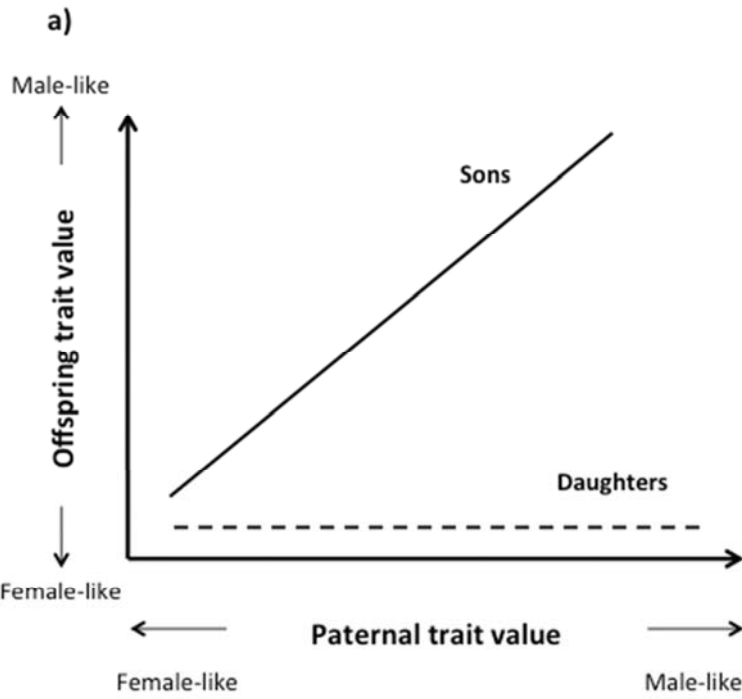
763 **Fig. 2.** Relationship between sexual dimorphism in offspring plumage traits in relation
764 to parental plumage traits in the barn owl. Offspring' mean plumage trait values were
765 standardized across the 400 different breeding pairs and for each pair daughters'
766 standardized value was subtracted from the sons' standardized value so that sexual
767 dimorphism in the three plumage traits had the same scale and could be compared.
768 Parental plumage traits were also standardized within each sex. For each of the
769 three plumage traits (i.e. pheomelanin-based coloration, number and size of black
770 spots) standardized offspring sexual dimorphism was regressed on the standardized

771 homologous trait value of their mother and father, separately. For instance, the line
772 “Maternal spot diameter” is for the relationship between standardized offspring sexual
773 dimorphism in spot diameter and maternal spot diameter. Significant regressions are
774 indicated with the symbols *** $P < 0.0001$ and * $P < 0.05$.

775

776 **Fig. 3.** Relationship between mean offspring and parental pheomelanin-based
777 coloration (a), mean offspring and parental number of spots (b), and between
778 offspring and parental spot diameter (c) in the barn owl. Regression lines are based
779 on 400 different breeding pairs.

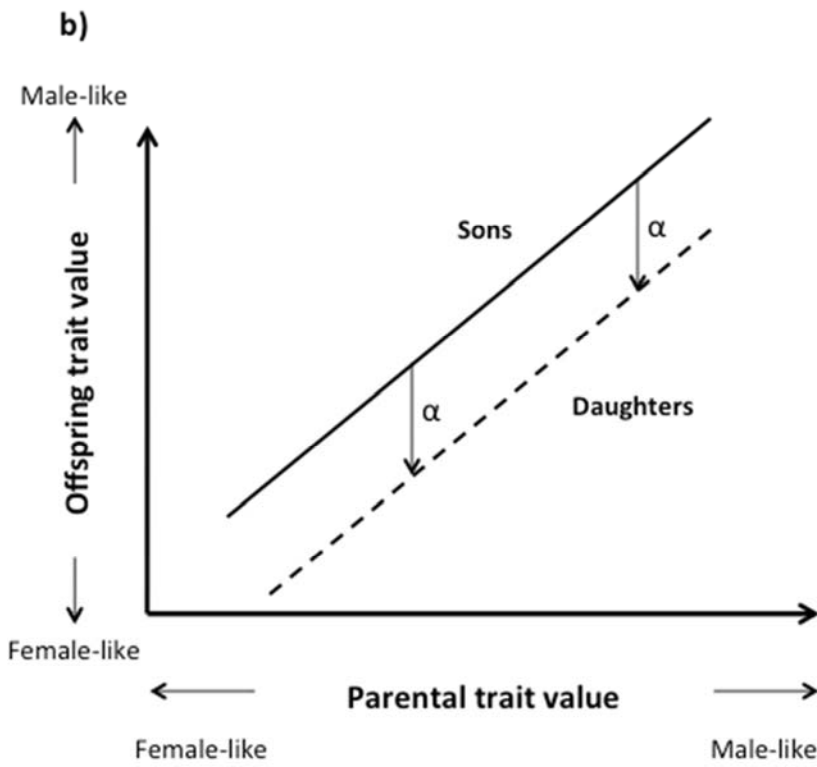
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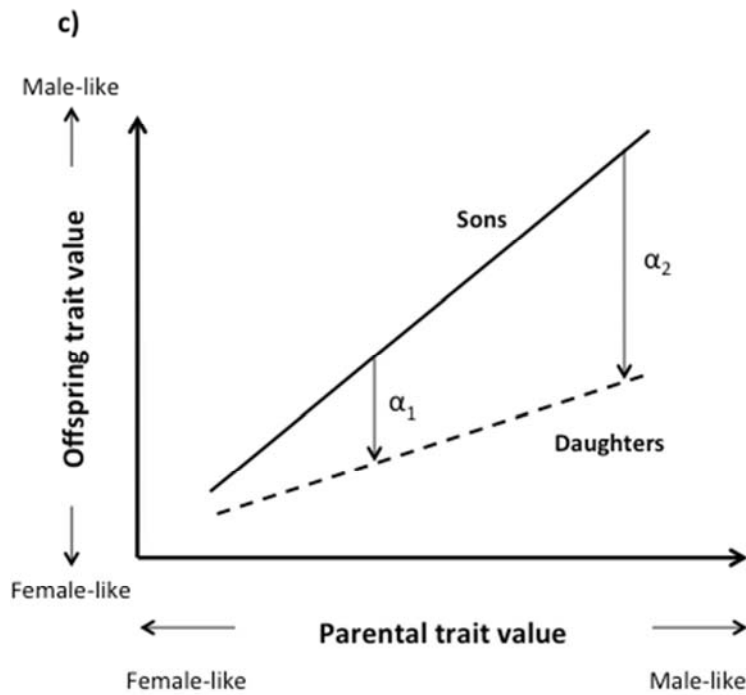
782 Fig. 1a.

783



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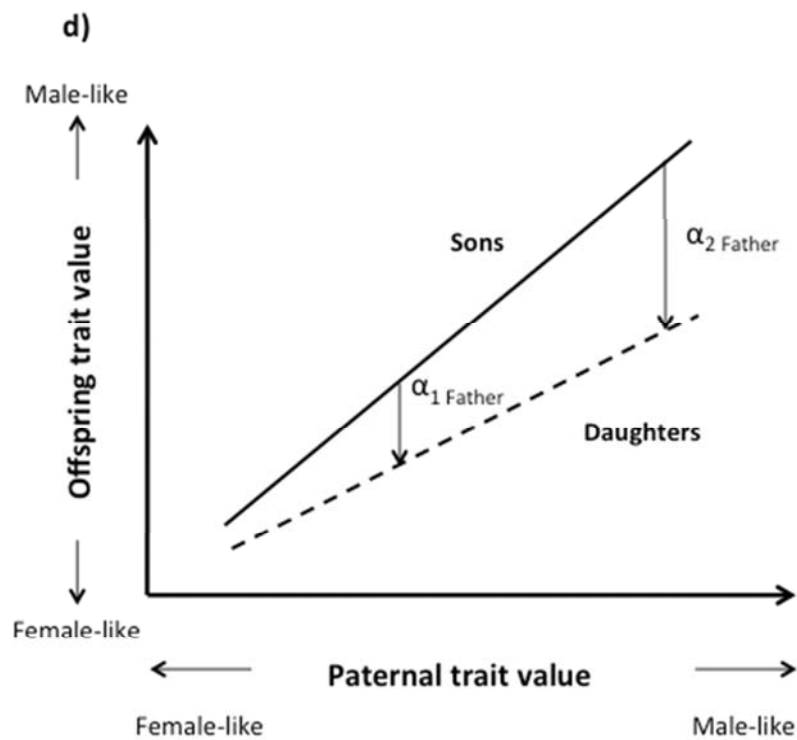
785 Fig. 1b.



786

787 **Fig. 1c.**

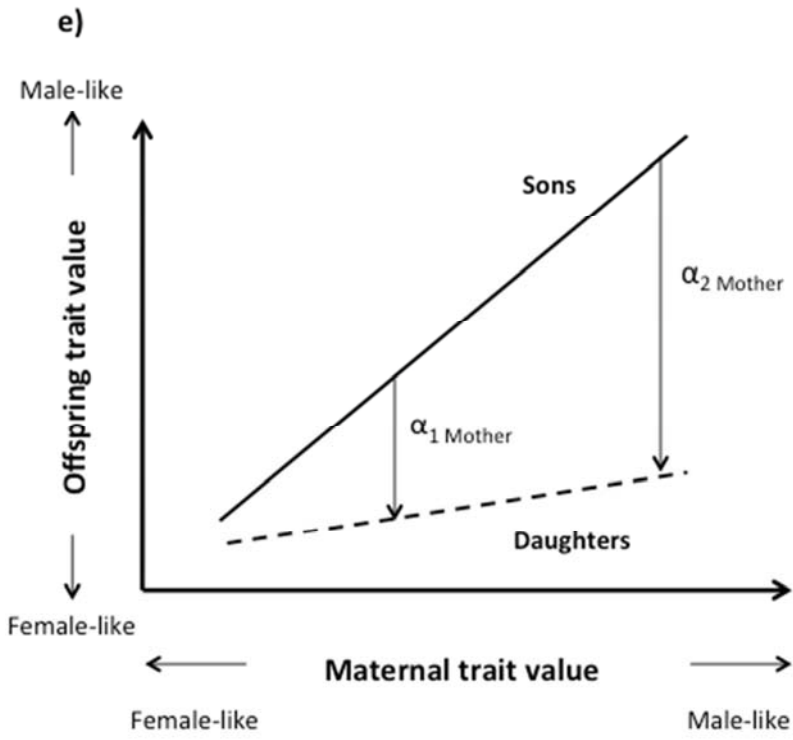
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790 **Fig. 1d.**

791

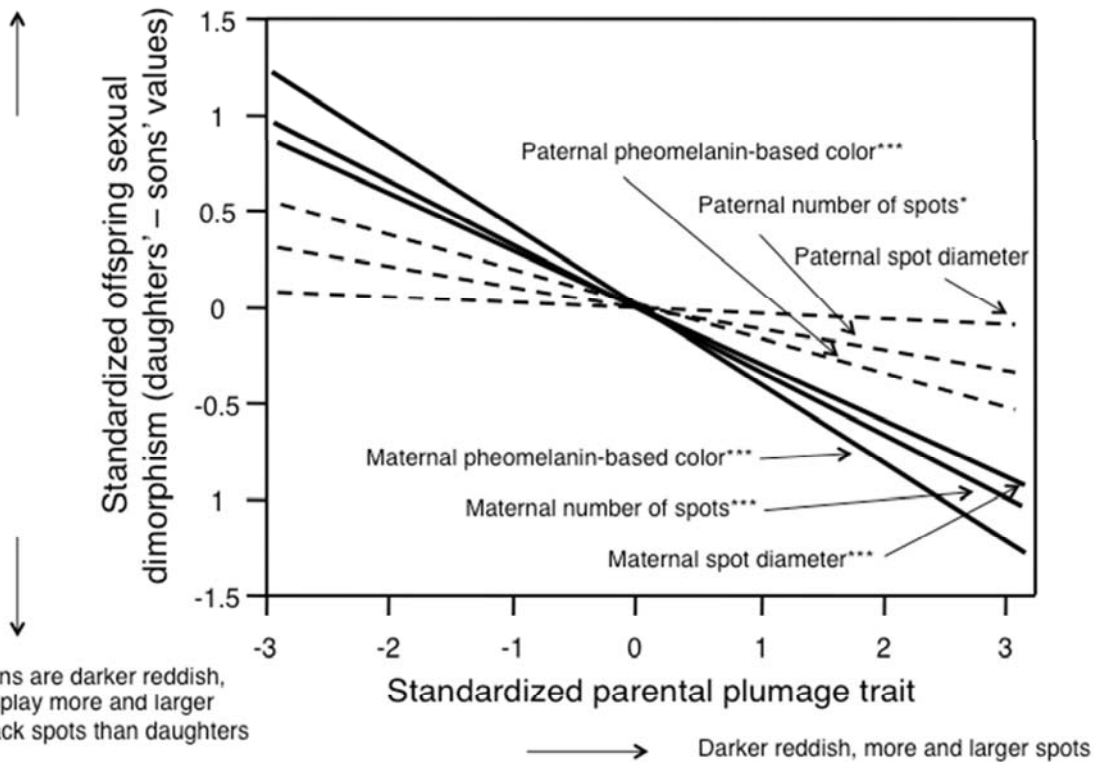


792

793 Fig. 1e.

794

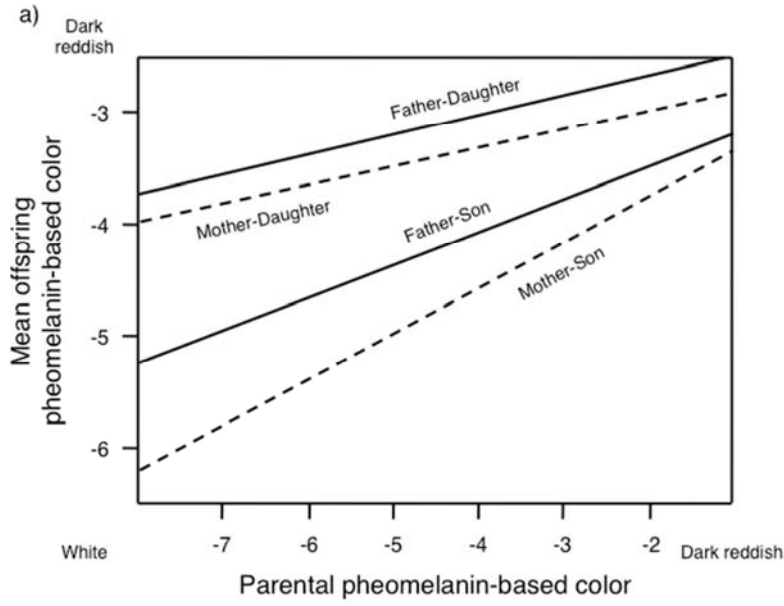
Daughters are darker reddish,
display more and larger
black spots than sons



795

796 **Fig. 2.**

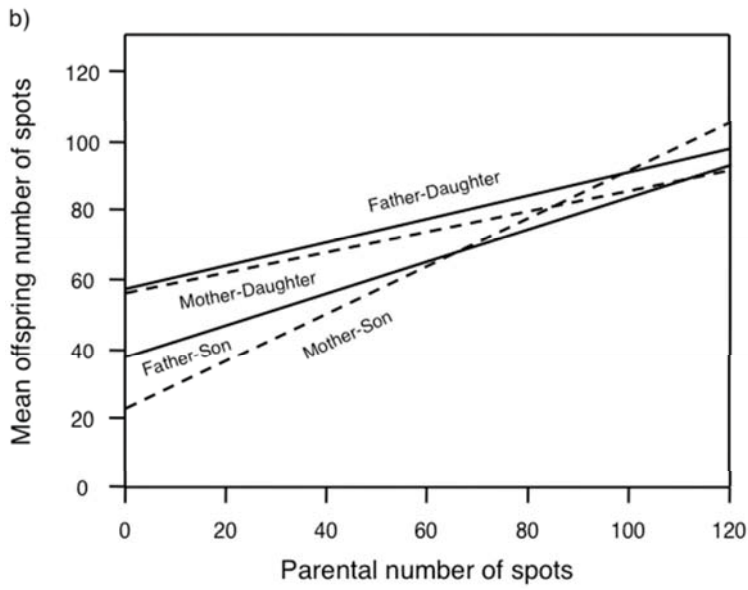
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799 **Fig. 3a.**

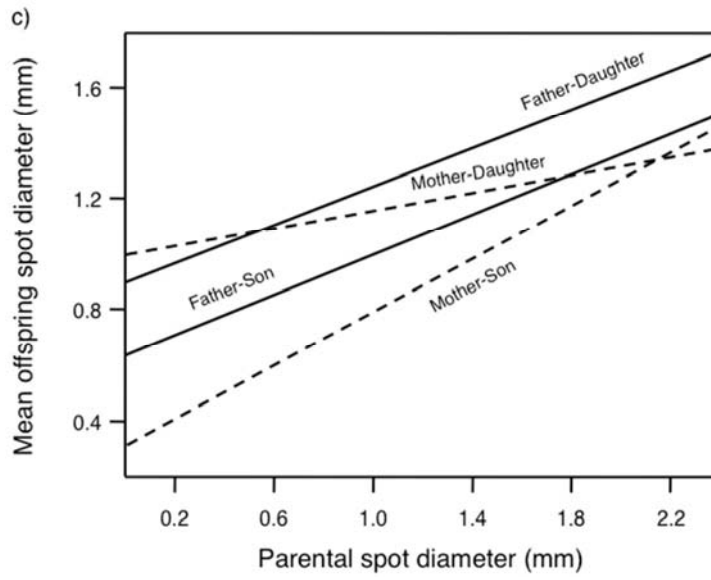
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801

802 **Fig. 3b.**

803



804

805 **Fig. 3c.**