

1 Title: Lower survival probability of house sparrows severely
2 infected by the gapeworm parasite.

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18 Abstract

19 The effect of parasites in natural populations has received increasing attention in recent years.
20 Studies have shown that parasites may play an important part in population ecology due to
21 their potential effects on host fitness. The main purpose of the present study was to
22 investigate the effect of a nematode parasite (gapeworm, *Syngamus trachea*) on survival
23 probability of house sparrows (*Passer domesticus*) from six natural populations located on 4
24 islands in the Helgeland archipelago in northern Norway. Infection status was obtained from
25 feces samples collected from 603 house sparrows in the summer and autumn of 2007 - 2011.
26 We also collected data on a visible symptom of severe infection (gaspings for air) in 1391
27 house sparrows in the summer and autumn 2004-2011. We took advantage of recent advances
28 in disease modeling in a multievent capture-mark-recapture framework to account for
29 imperfect observations (state uncertainty). Each dataset was separately analyzed, in both
30 analyses the relationships of year, island, individual body condition, age class and population
31 density with survival probabilities. The relationship between infection (determined by feces
32 egg counts) on annual survival of house sparrows was not statistically significant. However,
33 the probability of annual survival was found to be significantly lower for adult house
34 sparrows exhibiting a symptom of severe gapeworm infection, gasping for air. The present
35 study demonstrates that severe infection by a parasite can have a negative relationship with
36 survival probability of short lived avian hosts in wild populations.

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38 **Key words:** Fitness, gapeworm, house sparrow, metapopulation, Survival , *Syngamus*
39 *trachea*.

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42 Introduction

43

44 The presence of parasites in natural populations may result in reductions of average fitness of
45 host individuals, measured as survival probability or lifetime reproductive success (Gulland
46 1992, Hudson et al. 1992, Møller et al. 2009). Historically, the effects of parasites on host
47 population dynamics were assumed to be of minor importance (Lack 1954). This view was
48 changed by the milestone work of Anderson and May (1978) where they established a
49 theoretical framework that described how parasites can have a regulatory/destabilizing effect
50 on the population dynamics of hosts. This was first observed in red-grouse (*Lagopus lagopus*
51 *scoticus*) populations (Hudson et al. 1998 but see also Albon et al. 2002, Pedersen and
52 Greives 2008). The importance of parasites in host population dynamics may potentially be
53 severely underestimated by observational studies (Tompkins et al. 2002). Anderson (1995)
54 provides an example of how this may happen if the proportion of hosts that suffer mortality
55 as a consequence of infection is directly proportional to the growth rate of the population. If
56 an observational study is conducted when the host population has a low growth rate, the
57 mortality caused by the parasite and the prevalence of the parasite may be very low
58 (Anderson 1995). This may lead the observer to wrongly conclude that the parasite has little
59 or no effect on the host population when the parasite is in fact regulating the population
60 (Anderson 1995).

61 A parasite may cause the death of the host, and itself, while increasing its fitness
62 (Hudson and Dobson 1991). The effects of parasites may differ among host individuals in a
63 population. For example, compared to adults, juveniles which are going through major
64 ontogenetic changes may be particularly vulnerable and suffer more from a parasite infection
65 (Loman 1980, Souchay et al. 2013). Ultimately, the total impact of parasites on host fitness
66 may depend on complex interactions between the virulence (i.e. the tendency to lead to host
67 mortality) of the parasite and factors affecting host vulnerability to infection and individual

68 fitness. Virulence of parasites is expected to be relatively high when the natural mortality rate
69 of hosts is high (Poulin 2007). This relationship is caused by the expected positive
70 relationship between parasite reproduction rate and virulence (Poulin 2007).

71 When studying the effect of parasites on host fitness, such as annual survival, one
72 challenge will be to disentangle the relative importance of the parasite versus other factors.
73 Variation in phenotypic traits has been shown to strongly influence survival of many species,
74 and in particular individual variation in body mass and body condition (e.g. Ringsby et al.
75 1999, Naef-Daenzer et al. 2001, Blums et al. 2005). Body condition has been shown to be
76 related to parasite load (e.g. de Lope et al. 1998, Neuhaus 2003, Tomas et al. 2008).
77 Demographic properties, such as population density may also affect host fitness (Begon et al.
78 2005). Substantial negative density-dependent effects on survival have been detected as
79 population size increases (Arcese et al. 1992, Norman and Peach 2013). High population
80 density is also expected to be positively related to the transmission rate of certain parasites
81 (Tompkins et al. 2002). High host population density may therefore lead to an increase in
82 parasite abundance (Arneberg 2001).

83 In the present study, we made use of two comprehensive datasets to examine the
84 relationship between infection by the parasitic gapeworm (*Syngamus trachea*) and individual
85 variation in annual survival probability of house sparrows (*Passer domesticus*). Using
86 multievent capture-mark-recapture modeling we examined variation in annual survival
87 among house sparrows that were either infected or not. The infection status of hosts was
88 determined both from collected samples of feces and observation of a symptoms of severe
89 gapeworm infection, gasping for air. Even though it is known from domestic species that *S.*
90 *trachea* may increase mortality (Permin and Hansen 1998), there are very few studies of its
91 effect on wild populations. A study by Wissler and Halvorsen (1975) on harvested willow
92 grouse (*Lagopus lagopus*) indicated that *S. trachea* may reduce host survival in northern

93 Norway. Loman (1980) also found that nestling hooded crows (*Corvus cornix*) showing
94 gapeworm sickness symptoms 24 days after hatching had a significantly lower chance of
95 surviving the summer. To our knowledge, ours is the first study to assess the relationship
96 between survival and infection of *S. trachea* based on feces sampling from wild populations.

97

98 Materials and methods

99

100 Study area

101

102 The study was carried out in an archipelago consisting of 18 islands covering ca. 1600 km² in
103 the Helgeland district in northern Norway (Supplementary material Appendix 1). The house
104 sparrows on these islands have been systematically captured, marked and reencountered
105 several times during their lifetime since 1993 (e.g. Sæther et al. 1999, Ringsby et al. 2002,
106 Jensen et al. 2008). In this study we apply the term “reencounter” instead of “recapture”
107 because birds were detected after being marked and released either by recapture or resighting
108 of unique band combinations (more below). We focused on 4 of the study islands (Aldra,
109 Gjerøy, Hestmannøy and Indre Kvarøy). Within two of the four islands (Hestmannøy and
110 Gjærøy), the local population of house sparrows is naturally divided into northern and
111 southern components which we assume to be separate populations as they are separated by
112 more than 4 kilometers of agricultural, meadow and forest land and dispersal between the
113 populations has been rare (Myhre et al., unpubl.). Accordingly, we included 6
114 subpopulations, distributed among 4 islands, in our models. On these islands a high
115 proportion of individuals have been individually marked (> 90%). Symptoms of gapeworm
116 infection (gasping for air, a sign of severe infection) have been collected since 2004, and
117 feces samples used for egg counts have been collected since 2007 (see below). Because of the

118 sedentary nature of the house sparrows, the large size of the total study area, the high
119 reencounter probability and the high proportion of individually marked birds in our
120 metapopulation, we can identify the majority of individuals that moves between islands in the
121 study area (Pärn et al. 2009, 2012) and distinguish mortality from dispersal unless individuals
122 leave the study area or are captured without a mark.

123

124 Study species

125

126 The parasite of interest in this study is the nematode worm *S. trachea*. *S. trachea* has a
127 cosmopolitan distribution and has been found in most terrestrial bird genera (Yamaguti
128 1961). The parasite is known as “gapeworm” in the domestic bird industry, where outbreaks
129 have caused substantial problems (Atkinson et al. 2008). *S. trachea* has a lifecycle that may
130 include one paratenic host (i.e. not necessary for development) in addition to the final bird
131 host. These Intermediates can be earthworms, snails or insects (Atkinson et al. 2008). After
132 ingestion by a bird, larvae make their way from the stomach of the bird through the blood
133 vascular system to the lungs or trachea, where they grow into adults and then permanently
134 attach to a mate (Atkinson et al. 2008). The adult male parasite is 3-5 mm long and the adult
135 female is 17-30 mm long (Barus and Blazek 1965). After a female and male are joined, the
136 female releases eggs which are coughed up by the host ,swallowed, and passed out through
137 feces (Atkinson et al. 2008). The lifespan of adult *S. trachea* in domestic chickens (*Gallus*
138 *gallus domesticus*) has been reported as 2 – 4 months. The adult parasite feeds on blood in the
139 trachea tissue causing mechanical damage, anemia, inflammation and excess mucus
140 production. The birds that are severely infected may develop symptoms consisting of
141 gasping, wheezing and shaking of the head. This discomfort may affect food uptake, and
142 combined with the blood loss, may cause death (Atkinson et al. 2008).

143 The house sparrow is a small passerine with a global distribution (Anderson 2006).
144 The house sparrows live in close association with human settlements, in particular dairy
145 farms. House sparrows over-wintering on dairy farms forage on cattle food and seeds from
146 cultivated crops (Anderson 2006). In summer, house sparrows feed, to a large extent, on
147 different types of seeds and insects (Anderson 2006). House sparrows shelter inside farm
148 buildings when the weather is harsh, especially in winter (Summers-Smith 1988). Survival
149 probability of house sparrows may vary between years, populations and age classes (Ringsby
150 et al. 1999) but is generally found to be low (especially for juveniles). This means that even if
151 individuals survive their first winter (i.e. become adults), few survive more than 2 years
152 (Anderson 2006). House sparrows can become exposed to *S. trachea* infection (mainly
153 during the summer/autumn, Holand et al. 2013) through oral ingestion of parasite larvae (e.g.
154 contaminated food items) (Atkinson et al. 2008).

155

156 Field work and sampling

157

158 Adult and juvenile house sparrows were captured using mist-nets. Once marked, individuals
159 were identified at a distance by the unique combinations of color rings on their tarsi.
160 Following capture, we measured right tarsus length and body mass. We accounted for
161 measurement variation among fieldworkers by obtaining the relationship between each
162 fieldworker and the most experienced fieldworker using general linear regression techniques
163 (see Ringsby et al. 2002, Jensen et al. 2008, Pärn et al. 2009, for detailed description of field
164 work). Feces samples were collected and symptom status was noted when birds were
165 captured. Feces samples were collected by placing the bird in a paper bag with a small hole at
166 the top to prevent asphyxiation. Feces was removed from the bag and the number of *S.*
167 *trachea* eggs were then counted under a microscope using egg flotation techniques. For

168 detailed description of feces sample collection and analysis, see Holand et al. (2013). To
169 avoid bias in survival estimates (Anderson et al. 1994), we included only individuals that had
170 been initially captured as juveniles (i.e. all encounter histories started when the bird was
171 juvenile). Our first dataset consisted of encounter histories from 603 individuals that provided
172 1019 feces samples collected during the summer and autumn of 2007 – 2011 (01 May – 25
173 Oct). Our second dataset consisted of encounter histories from 1391 individuals that provided
174 3362 observations of symptom (gasping for air) status during the summer and autumn of
175 2004 – 2011 (01 May – 25 Oct).

176 We estimated a body condition index (BC) as the unstandardized residuals (see
177 Schulte-Hostedde et al. 2005, and references therein) obtained by regressing body mass on
178 tarsus length with a linear model (all years and islands pooled). BC was estimated separately
179 for juveniles, adult males and adult females since morphology may differ significantly
180 between the groups (Jensen et al. 2004). Male and female juveniles were pooled due to
181 difficulties in determining sex based on plumage. BC provides an index of the deviance in
182 body mass from the average of the population accounting for skeleton size as a continuous
183 variable..

184 We applied a standardized annual estimate of relative population size (see
185 supplementary materials in Hansen et al. 2013) that was obtained by calculating the standard
186 z-score (subtracting mean and dividing by standard deviation) of population size estimates
187 within 6 sub-populations among the 4 islands. This would correspond to a population density
188 (PD) within each sub-population in the period 2004 - 2011. BC and PD were used as
189 continuous covariates in our mark-recapture models (more below).

190

191 Observed symptoms and number of *S. trachea* eggs

192

193 In the years 2007-2011, we had data on both number of eggs in feces and symptom status (n
194 = 2396). We tested the repeatability of our counting procedure by regressing the first count
195 on the second count from 20 samples. The coefficient of determination (r^2) from the
196 regression was estimated to be 0.97 ($F = 12.14$, $df = 38$, $P = 0.001$). In order to test whether
197 individuals with visible symptoms also had a higher number of eggs in feces, we ran a
198 generalized linear model (glm procedure, R version 2.15.3; R Core Team 2013) with
199 symptoms (yes/no) as the response variable and number eggs as the explanatory variable.

200

201 Capture-Mark-Recapture Analyses

202

203 We used a type of multievent capture-mark-recapture model (more below) to estimate annual
204 survival of birds in our study population separately for each dataset. We defined two states in
205 our models. In the analysis of dataset one, states were infected/not infected; for dataset two,
206 symptomatic/non-symptomatic. Birds could be classified as one of these states when
207 recaptured. However, state was uncertain ("unknown") for resighted birds. For these birds,
208 state allocation was determined using a probabilistic framework implemented in our
209 multievent models (more below). This means that an individual could be recorded as not
210 infected (state = 1), infected (state = 2), not recorded (0), or only resighted (3) in a given
211 year. For example, the individual capture history "1 3 2 0" from dataset one indicated that the
212 individual was recorded as not infected the first year, recorded as alive but not caught in the
213 second year (state uncertain), recorded as infected the third year and not recorded at all in the
214 fourth year. Below we refer to state (3) as an "unknown" state because birds in this state may
215 be infected or not infected (dataset 1); not symptomatic or symptomatic (dataset 2). To
216 account for state uncertainty when birds were resighted, we used a type of multievent state-
217 uncertainty model, hidden Markov model (HMM), proposed and developed by Conn and
218 Cooch (). Parameters in this model are S_i^s , p_i^s , Ψ_i^{ab} , δ_i^s and π_i^s where S_i^s is the probability that

219 an individual in state s at time t survives to time $t + 1$ and does not emigrate from the study
220 area; p_t^s is the probability that an individual in state s at time t is encountered at time t ; Ψ_t^{ab} is
221 the probability that an individual in state a at time t will be in state b at time $t + 1$ given that it
222 survives to $t + 1$; δ_t^s is the probability that the state of an animal is observed given that it is in
223 state s at time t and encountered at time t ; and π_t^s is the probability that an animal originally
224 encountered at time t is in state s (for more details, see Conn and Cooch 2009).

225 We used the model fitting options provided in WinBUGS (Lunn et al. 2000) through
226 the interface of R version 2.15.3 (R Core Team 2013, package R2WinBUGS version 2.1 –
227 18) to perform our analyses. WinBUGS uses MCMC simulations to obtain a Bayesian
228 posterior stationary distribution of parameters in a given model (Kéry and Schaub 2011). For
229 each model that we considered we used 3 chains each with 10 000 iterations and a thinning
230 rate of 3; the first 5000 iterations were discarded (“burn in”). Mixing and convergence of
231 chains to a stationary distribution was evaluated by visual inspection of time-series plots
232 produced by WinBUGS (Kéry and Schaub 2011) and the Brooks-Gelman-Rubin criterion (*R-*
233 *hat*, Brooks and Gelman 1998). We applied vague priors for variables with normal ($N(0,$
234 $1000)$) and uniform $(0, 1)$ distribution.

235 We included the additive covariates of age (juvenile/adult), body condition and
236 population density in the survival component of all our models. Significant differences in
237 survival probability between juveniles and adults have been documented previously in the
238 study system (Ringsby et al. 1998, 1999). Body condition has been shown to correlate with
239 individual survival probability in several passerine species (e.g. Hochachka and Smith 1991,
240 Magrath 1991, Ringsby et al. 1998, Both et al. 1999, Naef-Daenzer et al. 2001). Population
241 density has also been shown to correlate with individual survival probability of several bird
242 species (for review see Newton 1998). While maintaining these covariates in our models, we
243 also investigated the relationship of island (Aldra, Gjerøy, Hestmannøy and Indre Kvarøy),

244 year, and their interaction, with survival and reencounter probability. We did not include state
245 in the survival or reencounter components during the model selection (see below). δ , π and Ψ
246 were kept constant in all models (i.e. we assumed no differences with covariates).

247 We considered parameter estimates (e.g. survival probability of groups) in our models
248 as significantly different if the Bayesian 95% credibility interval (CI) of the posterior
249 distribution of their difference (Delta) did not include zero. This is analogous to the “corner
250 point parameterization” in generalized linear models (see Dobson and Barnett 2008) where
251 group estimates are defined as differences from a reference category (e.g. the intercept) and
252 estimates of the slopes of continuous variables are considered significant if different from
253 zero (i.e. CI does not include zero). This parameterization can be readily formulated in
254 WinBUGS (Kéry and Schaub 2011).

255 For model selection, we applied the deviance information criteria (DIC) which
256 assesses the fit of a model based on the posterior mean deviance (\bar{D}) and effective number of
257 parameters (p_D) (Spiegelhalter et al. 2002). We are aware that the effectiveness of DIC for
258 model selection has been a topic of discussion (Kéry and Schaub 2011). However, DIC
259 values have been used for model selection in several studies (e.g. Guo and Carlin 2004,
260 Papadatou et al. 2012, Youdom et al. 2012) and the performance of DIC in model selection
261 has also received support in simulation studies where the underlying (true) model was known
262 (see Wilberg and Bence 2008, and references therein). Nonetheless, we also investigated
263 parameter estimates and posterior distributions in order to evaluate a model’s explanatory
264 ability.

265

266 Protocol of analyses

267

268 As the potential differences between years and islands may have been explained by
269 differences in survival and/or reencounter probabilities, we ran a set of candidate models
270 which included year and island either together, separately or in interaction in the survival
271 and/or reencounter part of the model (Table 1 and 2). The model that obtained the lowest DIC
272 value was then used to investigate the relationships between survival and infection status
273 either measured as eggs found in feces or symptom status. We started this investigation by
274 first including state in the survival and reencounter part of the model as an additive covariate.
275 We subsequently added interactions with other covariates in the survival component of the
276 model. Interactions were investigated separately in separate models and finally in a “full”
277 model with all interactions present in the survival component of the model (Supplementary
278 material Appendix 2).

279

280 Results

281 Visible Symptoms and *S. trachea* eggs in feces

282 The generalized linear model analysis revealed a significant difference in number of *S.*
283 *trachea* eggs in feces between individuals exhibiting symptoms and those that did not exhibit
284 such symptoms ($\chi^2 = 71.09$, *d.f.* = 1, $P < 0.001$). Symptomatic individuals had on average a
285 higher number of eggs in their feces samples compared to non-symptomatic individuals (Fig.
286 1). Over the period 2007 – 2011 77.08 % of feces samples taken from juveniles with
287 symptoms ($n = 49$) and 82.50 % of feces samples from adults with symptoms ($n = 41$)
288 contained eggs of *S. trachea*. 80.94% of individuals with parasite eggs in the feces did not
289 have visible symptoms of disease.

290 Survival and *S. trachea* eggs in feces

291

292 For the 2007-2011 dataset the top model (lowest DIC value) contained only the relationship
293 of year with reencounter probability (Table 1). The top model also included a significant and
294 positive relationship of body condition index with survival probability ($BC = 0.13$, $CI =$
295 $(0.07, 0.19)$); and indicated a significant decline in survival probability with increasing
296 population density ($PD = -0.27$, $CI = (-0.47, -0.08)$). Despite age being in the top model,
297 the difference in survival between juveniles and adults was not significant ($\Delta_{S(A)-S(J)} =$
298 0.07 , $CI = (-0.01, 0.15)$).

299 We added the relationship between infection (infected/not infected) to the reencounter
300 and survival components of the top model. The difference in reencounter probability for
301 infected/not infected house sparrows was not significant ($\Delta_{p(1)-p(2)} = 0.30$, $CI = (-0.08,$
302 $0.68)$); neither was the difference for survival for these two states ($\Delta_{S(1)-S(2)} = -0.06$, CI
303 $= (-0.16, 0.04)$). The interaction term between age classes and infection status revealed no
304 significant difference for either juveniles ($\Delta_{S(J1)-S(J2)} = -0.06$, $CI = (-0.16, 0.05)$) or
305 adults ($\Delta_{S(A1)-S(A2)} = -0.10$, $CI = (-0.33, 0.19)$). Furthermore, there was no significant
306 difference in the relationship of BC ($\Delta_{S(BC1)-S(BC2)} = -0.15$, $CI = (-0.34, 0.03)$) or
307 population density ($\Delta_{S(PD1)-S(PD2)} = 0.28$, $CI = (-0.26, 0.85)$) with survival probability
308 between non-infected and infected individuals, respectively (Supplementary material
309 Appendix 2, Table B1).

310

311 Survival and symptoms of infection

312

313 For the 2004 – 2011 dataset, the highest ranked model contained the additive covariates of
314 both islands and years with reencounter probability. The survival part of this model contained
315 the relationship with island (Table 2). Estimates from this model revealed a significant
316 difference in survival probability between juveniles and adults ($\Delta_{S(A)-S(J)} = 0.05$, $CI =$

317 (0.01, 0.10)); a significant positive relationship of body condition ($BC = 0.08$, $CI = (0.04,$
318 $0.11)$); and a significant negative relationship of population density ($PD = - 0.16$, $CI = (-$
319 $0.28, - 0.05)$). When we added symptom status to the top model we found a significant
320 difference in mean reencounter probability ($\Delta_{p(1)-p(2)} = 0.35$, $BCI = (0.27, 0.42)$) between
321 individuals showing symptoms of severe infection and those that did not show such
322 symptoms. We also found a significantly lower mean survival probability ($\Delta_{S(1)-S(2)} =$
323 0.16 , $CI = (0.09, 0.23)$) for symptomatic individuals compared to non-symptomatic
324 individuals. Estimates suggested no significant difference ($\Delta_{S(J1)-S(J2)} = - 0.01$, $CI = (-$
325 $0.16, 0.13)$) in survival probability between non-symptomatic and symptomatic juveniles; and
326 a significant difference ($\Delta_{S(A1)-S(A2)} = 0.23$, $CI = (0.15, 0.32)$) in survival probability
327 between non-symptomatic and symptomatic adults. Symptomatic adults had a lower survival
328 probability compared to non-symptomatic adults (Fig. 2). We found no significant difference
329 in the relationship between body condition ($\Delta_{S(BC1)-S(BC2)} = 0.09$, $CI = (- 0.02, 0.20)$),
330 population density ($\Delta_{S(PD1)-S(PD2)} = - 0.27$, $CI = (- 0.58, 0.05)$) or islands with survival
331 probability between non-symptomatic and symptomatic individuals (Supplementary material
332 Appendix 2, Table B2).

333

334 Discussion

335

336 Based on data from an insular metapopulation of house sparrows off the coast of Helgeland
337 in northern Norway, our results suggest that adult house sparrows carrying obvious
338 symptoms (gasping behavior) of severe infection by the parasite *S. trachea* had reduced
339 annual survival compared to local conspecifics that showed no observable signs of infection
340 (no gasping). This suggests that the presence of *S. trachea* may have a negative effect on
341 individual lifetime reproductive success in the sampled host populations through its

342 relationship with individual lifespan (). In contrast, we found no statistically significant
343 differences in annual survival probability between symptomatic and non-symptomatic
344 juveniles, and infected and non-infected adults or juveniles based on presence/absence of *S.*
345 *trachea* eggs in host feces.

346 The reason why analyses using infection status obtained by feces examination failed
347 to find a relationship with survival probability may be due to several factors. The most
348 obvious hypothesis is that only the most severely infected individuals suffer a reduced
349 probability to survive to the next year and these are few in number in the host population in
350 our study area. If moderate cases of infection also suffered lower survival or severe infection
351 was more widespread then we would likely have found a difference in survival between
352 infected and non-infected house sparrows in our study. In order to evaluate our hypothesis,
353 the only reliable and practical way of determining the severity of an infection is by counting
354 the number of adult *S. trachea* inhabiting a house sparrow is *post mortem* examinations. This
355 was not an option for this non-invasive long-term study. This leaves us with the number of
356 eggs found in feces as a possible proxy which may be positively correlated with the number
357 of parasites and/or the severity of infection. Such positive correlations between number of
358 adult parasites and eggs found in feces have been reported for the parasite *Trichostrongylus*
359 *tenuis* in red grouse (*Lagopus lagopus scoticus*, Shaw and Moss 1989), strongyle parasites in
360 soay sheep (*Ovis aries*, Clutton-Brock and Pemberton 2004) and *Heterakis gallinarum* in
361 chickens (*Gallus gallus domesticus*, Das et al. 2011). To our knowledge, no such study has
362 been done with *S. trachea*. However, the present study has revealed a positive relationship
363 between the number of eggs found in feces and obvious symptoms of infection (Fig. 1).
364 Whether or not the number of eggs is the direct cause of the symptoms (as an irritant in the
365 respiratory system) or an indicator of the number of egg-producing adult parasites should be
366 topics for further study. A relationship between the number of eggs/larvae found in feces and

367 survival has been reported in other host and parasite species (Festa Bianchet 1991, Coltman
368 et al. 1999). A direct investigation of this relationship would require expansion of the HMM
369 to estimate fecal egg count in addition to the state of an individual. This extended feature is
370 currently not available, but should be developed for future studies.

371 We found a substantially lower reencounter probability of individuals exhibiting
372 symptoms of severe infection compared to individuals not exhibiting such symptoms
373 (Supplementary material Appendix 2, Table B2). This may be caused by a reduced level of
374 activity due to respiratory distress or a general lack of energy. Apathy has been reported in
375 individuals infected with *S. trachea* in domestic bird species (de Wit 1995). One may expect
376 a highly active individual to have a higher probability of both encountering our mist nets and
377 being observed and identified by the combination of rings on its tarsi. This difference in
378 reencounter probability between symptomatic and non-symptomatic individuals may
379 underline the severe effect of the symptoms. Similar differences in reencounter probability
380 have been detected in other parasite and host species (Retallick et al. 2004, Lachish et al.
381 2011).

382 We did not find a difference in survival probability between symptomatic and non-
383 symptomatic juveniles. This may be due to the relatively low annual survival probability of
384 juveniles in general (Appendix 2 and Ringsby et al. 1998, 1999). Therefore, a reduction in
385 survival caused by infection of *S. trachea* may be very difficult to detect without relatively
386 large sample sizes. We also found that only 77.08 % of juveniles showing symptoms of
387 severe infection had feces samples containing eggs of *S. trachea* in their feces (2007-2011
388 dataset) which implies that we may have misclassified some uninfected birds as infected (this
389 would increase survival for 'infected' birds in our models).. If a bird experiences a high level
390 of stress when captured then ensuing symptoms of that stress may be misinterpreted by an
391 inexperienced fieldworker as being the gasping symptoms of severely infected individuals.

392 Alternatively, the bird may indeed be infected but show no signs because the parasites have
393 not started to produce eggs at time of capture. Detection of *S. trachea* eggs in feces after
394 parasite maturity has been shown to be remarkably reliable (Barus 1966a, b, HH, HJ, HP,
395 BES, THR, unpubl.).

396 We found that adult survival of symptomatic individuals was significantly lower
397 compared to non-symptomatic adult individuals (see Fig. 2). A higher classification success
398 may be due to highly visible distress and breathing difficulties that can be quite severe in
399 adult house sparrows (personal observation). As mentioned above, Loman (1980) found a
400 negative relationship between fledgling survival and symptoms of *S. trachea*. This pattern
401 appears to be different from the one found in this study (effect on adults only). Since Loman
402 (1980) did not investigate the relationship between survival of adult hooded crows and
403 symptoms, we do not know if our pattern with adult survival was present in his population.
404 However, one may have expected that the same pattern should be present in juvenile survival.
405 One possible explanation for the difference may be caused by a difference between
406 uncertainty of symptoms in our study of juveniles (77.08 %) and in Loman (1980).
407 Nonetheless, analyses only using gapeworm sickness as a sign of infection will in most cases
408 overlook the majority of infected individuals as many individuals with parasite eggs in the
409 feces did not have visible symptoms of disease caused by *S. trachea* (80.94%). Using
410 symptoms can thus give an under-exaggerated picture of the “general” effect of *S. trachea* on
411 its hosts. Analyses using data from feces examinations are more consistent with prevalence
412 but this technique faces the challenge of estimating the severity of the infection. Another
413 difference between the two datasets applied in the study was that the dataset on observed
414 symptoms included three additional years of data compared to the dataset on collected feces
415 samples. If a strong temporal effect of infection on survival was present during the years
416 2004-2006, this effect would be expressed in the results from the dataset on observed

417 symptoms (2004-2011), but not in the results from the dataset on collected feces samples
418 (2007-2011), which may thus have contributed to the difference in results in analyses of the
419 two datasets. Caution should be taken in interpreting results between “similar” analyses when
420 the datasets are of different lengths and sample sizes. Indeed, such effects may have been the
421 explanation for why the highest ranked models for reencounter and survival probabilities
422 differed between the two datasets (see Table 1 and 2).

423 In addition to the possible effect of *S. trachea*, interactions between one or more
424 parasites may also contribute to variation in survival in house sparrows. We have detected
425 several other parasite species inhabiting our study populations (Holand et al. 2013), both
426 from our feces samples (endoparasites: *Isospora spp.*, *Capillaria spp.*, *Choanotaenia spp.*)
427 and visual inspection of house sparrows (ectoparasites: *Hippoboscidae spp.*, *Ornithonyssus*
428 *spp.*, *Ceratophyllus spp.*). The combined effects among these parasite species and *S. trachea*
429 on fitness among house sparrows are not presently known.

430 This study has shown that parasites may have the ability to reduce the survival
431 probability of severely infected adult hosts in natural populations of a short lived host
432 species. Life span has been shown to influence life time reproductive success of individuals
433 in such populations (Jensen et al. 2004) and is also an important factor affecting the
434 population growth rate of short lived species (Sæther et al. 1999). However, our study also
435 shows that the majority of infected hosts may not suffer a significant parasite induced
436 reduction in survival probability. Furthermore, we found a significant difference in
437 reencounter probability between sick and healthy individuals. This illustrates the importance
438 of carefully modeling detection probability to avoid reporting biased estimates of survival.
439 Due to the natural aggregation of parasites in host populations their effects may often be
440 underestimated (Anderson 1995). Understanding the effect of parasites on host fitness may be

441 crucial to our understanding of host species population dynamics (Hudson et al. 1998, Albon
442 et al. 2002, Pedersen and Greives 2008).

443

444

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646 Figure legends

647 **Fig. 1** Observations of Gapeworm sickness symptom status (1 = yes, 0 = no) and number of
648 *Syngamus trachea* eggs counted in feces in the years 2007-2011 in a metapopulation of house
649 sparrows on 4 islands at the coast of Helgeland, northern Norway ($n = 2396$). Line created by
650 logistic regression based on a generalized linear model with symptoms (1/0) as the response
651 variable and number of eggs as the only explanatory variable

652

653 **Fig. 2** Estimated mean survival probability (S) of juvenile and adult house sparrows which
654 were observed as non-symptomatic and symptomatic for gapeworm sickness, respectively, in
655 the years 2004 – 2011 on 4 islands at the coast of Helgeland, northern Norway. Lines
656 indicates upper and lower limit of a 95 % Bayesian credibility interval of the mean value. For
657 model details, see Material and Methods and Supplementary material Appendix 2

658

659 Tables

660

661 Table 1: Set of ecologically relevant candidate models for the annual survival probability
 662 (Logit (S)) and reencounter probability (Logit (p)) of house sparrows in parasite infection
 663 data from feces samples collected from a metapopulation on the coast of Helgeland in
 664 northern Norway. Data was collected on 4 islands during in the years 2007-2011. Where
 665 *AGECLASS* is a factor with the two levels: adult/juvenile, *BC* is individual body condition
 666 (continuous) and *PD* is population density (continuous). The highest ranked model according
 667 to the DIC criteria was chosen for further analyses. The effective number of parameters (p_D)
 668 and difference in DIC values compared to the highest ranked model (Δ DIC) is shown for
 669 each model. Components for δ , π and Ψ were kept constant in all models. Interaction terms
 670 between two variables are denoted $A \times B$. Note that models with interactions also include the
 671 main effects of A and B.

Model no.	Survival component	Reencounter component	p_D	Δ DIC
1	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	<i>YEAR</i>	431.22	0
2	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	<i>ISLAND</i> + <i>YEAR</i>	425.26	6.99
3	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	<i>YEAR</i>	452.14	35.00
4	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	<i>ISLAND</i> \times <i>YEAR</i>	435.41	46.75
5	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	<i>ISLAND</i> + <i>YEAR</i>	463.06	58.31
6	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	<i>ISLAND</i> \times <i>YEAR</i>	642.55	275.95
7	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	<i>ISLAND</i>	761.73	413.25
8	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	(intercept only)	835.50	481.50
9	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	<i>ISLAND</i>	820.18	488.96
10	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	(intercept only)	835.63	495.16
11	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>YEAR</i>	<i>ISLAND</i>	1260.13	989.55

12	<i>AGECLASS + BC + PD + YEAR</i>	<i>(intercept only)</i>	1410.99	1134.13
13	<i>AGECLASS + BC + PD + ISLAND + YEAR</i>	<i>ISLAND</i>	1444.74	1193.14
14	<i>AGECLASS + BC + PD + ISLAND + YEAR</i>	<i>(intercept only)</i>	1506.28	1251.00
15	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>(intercept only)</i>	1754.51	1554.50
16	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>ISLAND</i>	1827.91	1664.77
17	<i>AGECLASS + BC + PD + ISLAND + YEAR</i>	<i>ISLAND + YEAR</i>	1750.83	1751.77
18	<i>AGECLASS + BC + PD + ISLAND + YEAR</i>	<i>YEAR</i>	3222.41	3184.43
19	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>ISLAND + YEAR</i>	3297.61	3294.07
20	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>ISLAND x YEAR</i>	5342.52	5270.86
21	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>YEAR</i>	5654.37	5661.09
22	<i>AGECLASS + BC + PD + ISLAND + YEAR</i>	<i>ISLAND x YEAR</i>	5761.50	5679.19
23	<i>AGECLASS + BC + PD + YEAR</i>	<i>YEAR</i>	7594.30	7483.50
24	<i>AGECLASS + BC + PD + YEAR</i>	<i>ISLAND x YEAR</i>	9730.15	9488.70
25	<i>AGECLASS + BC + PD + YEAR</i>	<i>ISLAND + YEAR</i>	11565.73	11299.60

672

673

674 Table 2: Set of ecologically relevant candidate models for the annual survival probability
675 (Logit (S)) and reencounter probability (Logit (p)) of house sparrows in parasite symptom
676 data collected from a metapopulation on the coast of Helgeland in northern Norway. Data
677 was collected on 4 islands during in the years 2004-2011. Where *AGECLASS* is a factor with
678 the two levels: adult/juvenile, *BC* is individual body condition (continuous) and *PD* is
679 population density (continuous). The highest ranked model according to the DIC criteria was
680 chosen for further analyses. The effective number of parameters (p_D) and difference in DIC
681 values compared to the highest ranked model (Δ DIC) is shown for each model. Components
682 for δ , π and Ψ were kept constant in all models. Interaction terms between two variables are
683 denoted $A \times B$. Note that models with interactions also include the main effects of A and B.

Model no.	Survival component	Reencounter component	p_D	Δ DIC
1	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	<i>ISLAND</i> + <i>YEAR</i>	1545.09	0
2	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	<i>ISLAND</i> + <i>YEAR</i>	1596.03	36.09
3	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	<i>YEAR</i>	1611.14	45.17
4	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	<i>YEAR</i>	1622.43	69.30
5	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	<i>ISLAND</i> \times <i>YEAR</i>	1556.48	117.42
6	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	<i>ISLAND</i> \times <i>YEAR</i>	1667.28	202.29
7	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	<i>ISLAND</i>	2066.44	658.15
8	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	<i>ISLAND</i>	2100.85	705.97
9	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i>	(intercept only)	2141.63	729.67
10	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i>	(intercept only)	2182.88	784.39
11	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>YEAR</i>	(intercept only)	2721.28	1428.84
12	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i> + <i>YEAR</i>	<i>YEAR</i>	2421.45	1473.48
13	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>YEAR</i>	<i>ISLAND</i>	2756.34	1474.42
14	<i>AGECLASS</i> + <i>BC</i> + <i>PD</i> + <i>ISLAND</i> + <i>YEAR</i>	(intercept only)	2809.28	1542.99

15	<i>AGECLASS + BC + PD + YEAR</i>	<i>YEAR</i>	2556.53	1592.06
16	<i>AGECLASS + BC + PD + ISLAND + YEAR</i>	<i>ISLAND + YEAR</i>	2542.28	1605.36
17	<i>AGECLASS + BC + PD + YEAR</i>	<i>ISLAND + YEAR</i>	2653.64	1677.84
18	<i>AGECLASS + BC + PD + ISLAND + YEAR</i>	<i>ISLAND</i>	2946.81	1685.21
19	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>(intercept only)</i>	2982.10	1803.06
20	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>ISLAND</i>	2981.12	1805.43
21	<i>AGECLASS + BC + PD + YEAR</i>	<i>ISLAND x YEAR</i>	10206.93	9124.74
22	<i>AGECLASS + BC + PD + ISLAND + YEAR</i>	<i>ISLAND x YEAR</i>	10316.67	9265.28
23	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>ISLAND x YEAR</i>	12076.08	11035.12
24	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>ISLAND + YEAR</i>	12187.48	11231.10
25	<i>AGECLASS + BC + PD + ISLAND x YEAR</i>	<i>YEAR</i>	22847.66	21801.33

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