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Alginate microbeads are complement compatible, in contrast to polycation 2 containing microcapsules, as revealed in a human whole blood model 2

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ABSTRACT

Alginate microbeads and microcapsules are presently under evaluation for future cell-based therapy. Defining their inflammatory properties with regard to humans is therefore essential. A lepirudine-based human whole blood model was used as an inflammation predictor by measuring complement and leukocyte stimulation. Alginate microbeads were complement-compatible since they did not activate complement as measured by the soluble terminal complement complex (sTCC), Bb or the anaphylatoxins C3a and C5a. In addition, alginate microbeads were free of surface adherent leukocytes. In contrast, microcapsules containing poly-L-lysine (PLL) induced elevated levels of sTCC, Bb, C3a and C5a, surface active C3 convertase and leukocyte adhesion. The soluble PLL induced elevated levels of sTCC and up-regulated leukocyte CD11b expression. PMCG microcapsules containing poly(methylene-co-guanidine) complexed with sodium alginate and cellulose sulfate triggered a fast sTCC response and C3 deposition. The PMCG microcapsules were still less activating than PLL-containing microcapsules as a function of time. The amounts of anaphylatoxins C3a and C5a were diminished by the PMCG microcapsules, whereas leukocyte Q1 adherence demonstrated surface activating properties. We propose the whole blood model as an important tool for measuring bioincompatibility of microcapsules and microbeads for future applications as well as determining the mechanisms leading to inflammatory reactions.

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1. Introduction 46

Cell-based therapy using alginate containing microspheres has 47 been suggested for the treatment of hormone deficiencies [1] as 48 well as brain cancer [2]. The long-term function in experimental 49 animals is, however, often hampered by overgrowth reactions 50 leading to reduced graft performance [1]. The factors contributing 51 to graft failure of the encapsulated cells are only partly understood. 52 There is currently a need for experimental models relevant to hu-53 mans reflecting the complexity of host factors that are encountered 54 55 upon transplantation. Human blood contains most of the cells and 56 effectors of the inflammatory machinery, thus it could be used as a 57 source. The critical steps required to mimic the physiological 58 in vivo situation using whole blood lie in the sampling, anticoagulation and incubation conditions, which need to be fully controlled. 59

Biomaterials in direct contact with blood induce immediate inflammatory responses through plasma cascades like the complement, coagulation and contact systems, with subsequent interplay with inflammatory cells [3]. The level of activation is closely related to the surface properties of the materials. The activation of complement may thus be a sensitive indicator of the ability of a biomaterial to trigger inflammatory reactions. In the present work we have used the novel whole blood model anti-coagulated with the hirudin analog lepirudin [4] to study different microcapsules containing alginate with a focus on complement and leukocyte activation. Lepirudin specifically inhibits thrombin in the coagulation cascade while not affecting the complement cascade and inflammatory cells [4]. In this way it has been possible to study the mutual interactions between complement and leukocytes, as well as between other branches of the inflammatory network.

Complement is a major pro-inflammatory system that acts upstream of the leucocyte and cytokine responses. The complement system consists of around 30 plasma and membrane-bound proteins. The central event of complement activation is cleavage

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79 of the C3 protein into the opsonin C3b and the anaphylatoxin C3a. 80 C3 is cleaved by the C3 convertase from the classical/lectin path-81 way (C4bC2a) or from the alternative pathway (C3bBb), depending 82 on the activators. The activators on a biomaterial surface may be 83 adsorbed IgG inducing classical activation or various forms of 84 C3b (e.g. conformationally changed C3 [5] and/or spontaneously 85 hydrolyzed C3b analog C3(H₂O)) inducing alternative pathway 86 activation. Irrespective of the initial event leading to C3b deposi-87 tion, this essential step initiates amplification by the alternative pathway convertase, leading to escalated C3 activation. Surface 88 bound C3b is further assembled with the C3 convertases to form 89 90 the C5 convertases (C4bC2aC3b and C3bBbC3b), which cleave C5 91 to C5a and C5b. The anaphylatoxin C5a is the most potent inflammatory mediator of complement, whereas C5b is the staging point 92 93 for formation of the terminal C5b-9 complement complex (TCC) on 94 cell membranes or in solution, sC5b-9 (sTCC) [6]. The ability of bio-95 materials to trigger complement activation seems to be directly re-96 lated to whether C3b is able to form covalent links to the surface 97 hydroxyl or amino groups. This linkage may be formed directly on biomaterial surfaces [7] or through adsorbed proteins exposing 98 99 hydroxyl or amino groups [8]. In addition, the amount of bound 100 water to the surface polymers might be important for their complement activating abilities [9]. 101

102 To our knowledge, complement reactions to alginate microbeads (Ca²⁺ and Ba²⁺ crosslinked alginate) and microcapsules (an 103 104 alginate core with a polycation/polyanion complexed membrane) 105 have not been addressed before. By using the lepirudin-based whole blood model the ability of various types of microspheres 106 107 to activate complement could be studied under identical condi-108 tions. Alginate microbeads [10,11] and PMCG microcapsules [12-109 14] considered for future pancreatic islet transplantation were evaluated. In addition, poly-L-lysine (PLL) containing microcap-110 sules were included to further elucidate the mechanisms behind 111 their inflammatory reactivity [15-18]. 112

113 Thus, the aim of the present study was to compare the inflam-114 matory potential of different alginate microspheres, as their ability 115 to activate complement and leukocytes using the human lepirudin 116 anti-coagulated whole blood model.

2. Materials and methods 117

2.1. Study design 118

119 The study included five different types of microspheres: Ca/Ba 120 beads (gelled in 1 mM BaCl₂/50 mM CaCl₂), Ba beads (gelled in 121 20 mM BaCl₂), APA microcapsules (Ca beads coated with PLL and 122 alginate), AP microcapsules (Ca beads coated with PLL) or PMCG 123 microcapsules, formed by polyelectrolyte complexation between sodium alginate (SA)/cellulose sulfate (CS) with polycation 124 125 poly(methylene-co-guanidine) hydrochloride (PMCG) and calcium 126 cations. All microspheres were made with ultrapure alginate (spec-127 ified in Section 2.2). In addition, dissolved UP-MVG was evaluated 128 as the alginate source for the microbeads.

2.2. Reagents and equipments 129

130 Ultrapure sodium alginates acquired from FMC BioPolymer AS (NovaMatrix, Sandvika, Norway) were used: Pronova UP-MVG 131 132 (67% guluronic acid, intrinsic viscosity 1105 ml g⁻¹, endotoxin <43 EU g⁻¹), Pronova UP-LVG (66% guluronic acid, intrinsic viscos-133 ity 830 ml g⁻¹, endotoxin <100 EU g⁻¹) or Pronova UP100 M (Mac-134 rocystis pyrifera, 44% guluronic acid, intrinsic viscosity 908 ml g⁻¹, 135 136 endotoxin <26 EU g^{-1}). The protein content was less than 0.3% for 137 all alginates, as specified by the manufacturer. Cellulose sulfate 138 (CS) sodium salt was from Acros Organics (Geel, Belgium), PMCG

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hydrochloride supplied as a 35% aqueous solution was from 139 Scientific Polymer Products Inc. (Ontario, NY). Sodium chloride, 140 calcium chloride, barium chloride and sodium citrate of analytical 141 grade were from Merck (Darmstadt, Germany). Poly-L-lysine 142 hydrochloride (P2658, lot No. 96H5502), Tween 20, zymosan, 143 phosphate-buffered saline (PBS) with calcium and magnesium, 144 ethylenediaminetetraacetic acid (EDTA), paraformaldehyde and 145 LDS-751 were all purchased from Sigma-Aldrich (St Louis, MO). 146 Other reagents, were mannitol (HPLC degree, BDH Analar, VWR 147 International, Pool, UK), sterile saline (0.9% NaCl), non-pyrogenic 148 (B. Braun, Melsungen, Germany), lepirudin (Celgene Europe, Bou-149 dry, Switzerland). Antibodies had the following specifications: 150 fluorescein isothiocyanate (FITC) control antibody (BD555057), 151 anti-CD14 FITC (BD347497) and anti-CD11b phycoerythrin (PE; 152 BD347557), all from Becton Dickinson (San Jose, CA). In addition, 153 FITC-conjugated rabbit anti-human C3c (F0201, Dako, Glostrup, 154 Denmark), FITC-conjugated poly rabbit anti-mouse (F0261, Dako, 155 Glostrup, Denmark), anti-human C5b-9 (clone aE11, Diatec, Oslo, 156 Norway) and biotinylated 9C4 [19] were used. For blood sampling 157 the following equipment was used: Nunc 1.8 and 4.5 ml polypro-158 pylene vials (Nunc, Roskilde, Denmark) and a BD vacutainer top 159 (Becton Dickinson, Plymouth, UK). 160

2.3. Microsphere preparation

2.3.1. Alginate microbeads and microcapsules

Ca/Ba beads and Ba beads as well as APA and AP microcapsules 163 were made as described previously using 1.8% UP-MVG alginate 164 [20]. The gelling solution varied according to the type of micro-165 sphere: Ca/Ba beads, 1 mM BaCl₂/50 mM CaCl₂ in 0.15 M mannitol; Ba beads, 20 mM BaCl₂ in 0.15 M mannitol; APA and AP, 50 mM CaCl₂ in 0.15 M mannitol. PLL was used at a concentration of 168 0.05% and incubation was for 10 min. As the outer coating for the APA microcapsules a solution of 0.1% Pronova UP100 M in 0.15 M mannitol was used. The microspheres were made with sterile solutions and under strictly sterile conditions, using autoclaved equipment and a sterile hood for all steps.

2.3.2. PMCG microcapsules

Before the formation of PMCG microcapsules the polyelectrolytes were prepared as follows. PMCG was isolated by lyophilization, ending with a residual water content of less than 2%. CS was purified by treatment with activated charcoal, filtration and precipitation in acetone as described previously [21]. The residual water content of CS was about 10%.

PMCG microcapsules were prepared as described previously [14], except for the following concentration changes in the polymer solutions. The polyanion solution contained 0.90% UP-LVG, 0.90% CS (taking into account the residual water content) in 0.9% NaCl. The polycation solution contained 1.2% PMCG, 1% CaCl₂, 0.9% NaCl and 0.025% Tween 20. A multi-loop reactor [22] provided a continuous encapsulation process with a reaction time of about 40 s for polyelectrolyte complexation. Equilibration of membrane composition was obtained by treatment with 50 mM sodium citrate in 0.9% NaCl solution, pH 7.4 for 10 min. The additional coating layer was made with 0.1% CS in 0.9% NaCl solution for 10 min.

2.4. Whole blood model

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2.4.1. Preparation of microspheres and controls for experiments

It is essential that the proportions by volume between blood 194 and additives are equal in the whole blood experiments. The total 195 volume of each additive was 200 µl. Of this, 100 µl consisted of sal-196 ine containing either 50 µl microspheres, 900 µg UP-MVG alginate, 197 10 µg zymosan (positive control) or saline (negative control). The 198 amount of soluble alginate corresponds to the alginate content 199

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200 within 50 µl of microbeads. To these additives was added 100 µl of 201 PBS (with $CaCl_2/MgCl_2$) immediately before addition of 500 µl of 202 whole blood.

2.4.2. Whole blood model performance 203

Single experiments for each blood donor were performed as 204 previous described using lepirudin (50 µg ml⁻¹) as the anticoagu-205 lant [4]. Blood was withdrawn into low activating polypropylene 206 Nunc tubes (4.5 ml). Immediately thereafter, 500 µl of whole blood 207 were added to the various additives in low activating sterile Nunc 208 tubes (1.8 ml). Avoiding blood contamination of the screw cap is 209 essential to avoid biased activation. The samples were incubated 210 for 30, 120 and 360 min in an incubator (37°C) under continuous 211 212 rotation. Complement activation was stopped by adding EDTA 213 (10 mM final concentration) and centrifugation (3000 r.p.m., 15 min). Aliquots of plasma were stored at -80 °C before analysis. 214

2.5. C3 deposition 215

216 After incubation of the microspheres in whole blood (Section 217 2.4) the complement cascade was stopped by addition of EDTA (10 mM final concentration). Microspheres were harvested and 218 washed $(3\times)$ in a wash solution (0.1% bovine serum albumin, 219 220 2 mM CaCl₂, 0.02% sodium azide in saline). For each type of microsphere one fraction was added to 50 µg ml⁻¹ FITC-conjugated poly 221 rabbit anti-human C3c (C3 deposition) and the other FITC-conju-222 gated poly rabbit anti-mouse (control) antibodies. The samples 223 were protected from light and continuously agitated for 30 min. 224 225 Thereafter the microspheres were washed $(3 \times)$. The deposition of 226 C3 was visualized by confocal laser scanning microscopy (CLSM) (Zeiss LSM 510, Carl Zeiss MicroImaging GmbH, Göttingen, Ger-227 many) with a 488 nm laser source (BP 505-530). Identical laser 228 settings were used on all microspheres using PMCG and APA 229 230 microcapsules incubated for 120 min as references.

231 2.6. Expression of CD11b

Expression of CD11b was measured after 15 min incubation in 232 whole blood as described in Section 2.4. Whole blood was fixed 233 with 0.5% PFA in an equal volume for 4 min at 37 °C, and then 234 235 stained with PE anti-CD11b, FITC anti-CD14 and the nuclear dye 236 LDS-751 and analyzed using a flow cytometer (Beckman Coulter Epics XL-MCL, Coulter Corp, FL). To exclude red cells and debris 237 238 the threshold was set at FL-3. Granulocytes and monocytes were 239 gated in a SSC/FITC anti-CD14 dot plot, and CD11b expression mea-240 sured as mean fluorescence intensity (MFI).

241 2.7. Cell adherence

Microspheres were prepared as described in Section 2.4 and 242 incubated for 180 min. Blood was removed and the microspheres 243 fixed in 0.5% PFA for 20 min. In order to keep the cells attached 244 the blood samples were prepared without addition of EDTA, as 245 would otherwise have been used to stop the complement cascade. 246 From each sample one fraction was stained with antibodies 247 (7.5 µg ml⁻¹ in PBS) against CD14 (FITC anti-CD14) and CD11b 248 (PE anti-CD11b) or with control antibodies (FITC mouse $IgG2b.\kappa/$ 249 250 PE mouse IgG2a, κ). Both combinations were incubated for 251 30 min in the dark and under continuous agitation, thereafter carefully washed and finally 0.15% PFA was added. Evaluation of the 252 microspheres was performed using CLSM (Zeiss LSM 510, Carl Zeiss 253 254 MicroImaging GmbH, Göttingen, Germany), with 488 nm (BP 505-255 530) and 543 nm (LP 650) excitation and emission wavelengths, 256 respectively.

2.8. Assay of complement activation

2.8.1. **§TCC**

The terminal sC5b-9 complex (sTCC) was quantified by electroimmunoassay using mAb aEll specific for C9 incorporated in the sC5b-9 complex and biotinylated 9C4 specific for C6 in the respective complex. The assay has been described in detail previously [6] and was performed according to a later modification [19].

2.8.2. Bb. C3a and C5a

C3a and Bb was analyzed by ELISA using kits from Quidel (San Diego, CA). C5a was analyzed using an ELISA kit from BD Bioscience (San Diego, CA).

2.9. Statistical methods

One-way repeated measurements ANOVA with Dunnet's multiple comparison test were used to define statistical differences be-270 tween saline and the other additives at a given time point. 271 Differences for the various additives over time were tested using 272 a two-way ANOVA. The data was not normally distributed due to 273 274 the low sample numbers (n = 5), therefore the data were log transformed before analysis. Differences were considered significant at *P* < 0.05.

2.10. Ethics

The use of human whole blood for basal experiments was approved by the Regional Ethic Committee at the Norwegian University of Science and Technology. The experiments were performed in accordance with their guidelines.

3. Results

3.1. Activation of the complement cascade detected as sTCC formation in human whole blood

The formation of sTCC indicates activation of the complement cascade and is suggested to be the most sensitive and specific marker of complement activation. Fig. 1A shows the time kinetics of sTCC formation after addition of saline, Ca/Ba beads and APA and PMCG microcapsules as well as zymosan. The kinetics of sTCC activation were significantly different (P < 0.0001) for each additive. Fig. 1B–D shows the data for the entire panel of additives at each time point. After 30 min incubation the amount of sTCC was similar for the Ca/Ba beads, Ba beads and saline control (Fig. 1B). Over time, the generation of sTCC was slower for Ca/Ba beads and Ba beads compared with the saline control (Fig. 1C and D). This resulted in significantly lower values (P < 0.05) for Ba beads after 120 and 360 min incubation and for Ca/Ba beads after 360 min (Fig. 1D). The polycation containing microcapsules, APA, AP and PMCG, resulted in significant increases (P < 0.05) in sTCC compared with saline at all time points (Fig. 1B-D). The APA and AP microcapsules showed a time-dependent increase in sTCC (Fig. 1B-D). The PMCG microcapsules induced a rapid initial increase in sTCC, detected after 30 min (Fig. 1B). This was followed by a slower increase compared with the APA and AP microcapsules at 120 (Fig. 1C) and 360 min (Fig. 1D). The dissolved UP-MVG alginate induced a small increase in sTCC after 30 min, although non-significant (Fig. 1B). After 120 and 360 min the sTCC amounts where slightly lower than for the saline control (Fig. 1C and D). The amount of UP-MVG alginate (900 µg) corresponded to the amount of alginate in the aliquots of microbeads. The data therefore indicate minor differences between dissolved and gelled alginate.

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312 3.2. Alternative pathway factor B activation

313 The amount of Bb, an activation product of the alternative 314 pathway factor B, increased with time (Fig. 2A-D) in a pattern 315 resembling the sTCC data. The increase in Bb was, however, 316 slower compared with sTCC. The kinetics of Bb formation were 317 significantly affected (P < 0.0001) by each additive (Fig. 2A). In samples containing Ca/Ba beads, Ba beads or dissolved UP-MVG 318 319 alginate the amounts of Bb were lower than for the saline control 320 over time (Fig. 2C and D), with a significant (P < 0.05) difference at 360 min (Fig. 2D). The highest concentration of Bb was found 321 322 in samples containing APA and AP microcapsules. The increase 323 was significant for AP at 120 and 360 min (Fig. 2C), and for APA at 360 min (Fig. 2D). A non-significant elevation of Bb was found 324 325 in the samples incubated with PMCG microcapsules for 30 min 326 (Fig. 2B). The early induction at 30 min was followed by an evi-327 dent and significant (P < 0.05) increase after 120 min (Fig. 2C). 328 Interestingly, the amount of Bb was not further increased by 329 the PMCG microcapsules at 360 min (Fig. 2D). The saline control, 330 however, showed a steady increase, which resulted in similar Bb 331 amounts for the PMCG microcapsules and saline control after 332 360 min (Fig. 2D).

333 3.3. Anaphylatoxin C3a and C5a release

334 The anaphylatoxins C3a and C5a are potent pro-inflammatory 335 molecules derived from the cleavage of C3 and C5. The kinetics 336 of C3a production are shown in Fig. 3A after addition of Ca/Ba 337 beads and APA and PMCG microcapsules and for the controls. The kinetics were significantly different (P < 0.0001) for each addi-338 339 tive (Fig. 3A). At the specific time points only a few of the additives resulted in significantly different C3a amounts relative to the sal-340 ine control. The trends in stimulation were still consistent with 341 342 the sTCC and Bb findings, with the exception of the PMCG micro-343 capsules. Briefly, addition of Ca/Ba beads and Ba beads resulted 344 in lower concentrations of C3a after 120 and 360 min (Fig. 3C 345 and D), while addition of APA and AP microcapsules increased 346 C3a (Fig. 3B–D). The PMCG microcapsules initially gave a slight ele-347 vation of C3a (Fig. 3B). However, after 120 and 360 min the PMCG 348 microcapsules resulted in significantly (P < 0.05) lower amounts of 349 C3a than saline (Fig. 3A-D). The dissolved UP-MVG alginate induced a slight but significant (P < 0.05) increase in C3a after 350 30 min (Fig. 3B), but after 120 and 360 min the amount of C3a 351 352 was lower than for the saline control (Fig. 3C and D). A particular finding for the saline solution was the pronounced increase in 353 354 C3a over time. This may be due to activation by the plastic surface 355 [23].

356 The kinetics of C5a production were also significantly different 357 (P < 0.0001) in response to Ca/Ba beads and APA and PMCG micro-358 capsules and the controls (Fig. 4A). After 30 min Ca/Ba beads and 359 Ba beads resulted in non-significant, slight increases in C5a levels relative to the saline control (Fig. 4B). The C5a increase was slower 360 for Ca/Ba beads and Ba beads (Fig. 4C and D) compared with saline, 361 resulting in significantly (P < 0.05) lower amounts after 360 min 362 363 (Fig. 4D). A rise in C5a was detected on addition of APA and AP microcapsules, giving significant increases (P < 0.05) for APA at 364 365 all time points and for AP at 120 and 360 min (Fig. 4B-D). PMCG microcapsules initially gave a modest, but statistically significant 366 (P < 0.05), increase in C5a (Fig. 4B). Over time the PMCG microcap-367 368 sules resulted in lower C5a amounts compared with the saline con-369 trol, with a significant (P < 0.05) difference after 360 min (Fig. 4D).

370 3.4. C3 deposition on the microsphere surface

Deposition of C3 on the different microsphere surfaces is shown in Fig. 5. The detected C3c fragment of the C3 molecule is present in both the native C3 molecule, the active C3b conver-373 tase and its analog C3(H₂O). Detected C3 may, therefore, repre-374 sent either adsorbed native C3 molecule or the active C3 375 convertase (C3b or the analog $C3(H_2O)$) on the microsphere sur-376 face. The deposition of C3 on the surface of Ca/Ba beads and 377 APA, AP and PMCG microcapsules is shown at different incubation 378 times (Fig. 5A-L). After 30 min a slight C3 staining was detected 379 for Ca/Ba beads (Fig. 5A) and APA (Fig. 5B) and AP microcapsules 380 (Fig. 5C). In contrast, the PMCG microcapsules showed pro-381 nounced staining at 30 min (Fig. 5D). The C3 staining on the 382 PMCG microcapsules revealed surface irregularities and ruptures 383 (Fig. 5D). No further increase in C3 staining was observed for 384 the PMCG microcapsules after 120 (Fig. 5H) and 360 min 385 (Fig. 5L). The surfaces of the APA (Fig. 5F and J) and AP microcap-386 sules (Fig. 5G and K) showed increased staining with time. C3 387 staining also increased with time for the Ca/Ba beads (Fig. 5E 388 and I). However, Ca/Ba beads with low detectable staining were 389 estimated to form approximately 70-90% of the microbead popu-390 lation at all time points. The C3 distribution pattern was smooth 391 and evenly distributed on the Ca/Ba beads (Fig. 5E and I). For the 392 APA (Fig. 5B, F and I) and AP (Fig. 5C, G and K) microcapsules, C3 393 accumulated at certain points, resulting in spotted patterns. Fur-394 ther, equatorial sections of the microspheres at 360 min showed 395 C3 located on the surface of the microspheres (Fig. 5M-P). The 396 depth of penetration of C3 was estimated by LSM510 software 397 fluorescence intensity profile analysis, as shown in Fig. A1. This 398 analysis indicated that the Ca/Ba beads were most permeable, 399 since C3 was found to penetrate $10-125 \mu m$ into the microbeads 400 (S1A–B). Shorter penetration depths was found for the microcap-401 sules, estimated at 20–40 µm for APA (Fig. A1C and D), 10–20 µm 402 for AP (Fig. A1E and F) and 20 µm for the PMCG microcapsules 403 (Fig. AIG and H). The staining was specific for C3, as demon-404 strated by the negative controls with corresponding insets show-405 ing light transmission (Fig. 5Q-T). 406

3.5. Leukocyte activation as measured by CD11b expression and cell adherence to the different microspheres

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CD11b is the receptor for iC3b and an early activation marker 409 of leukocytes. Granulocyte and monocyte CD11b expression was 410 analyzed by flow cytometry 15 min after addition of microspheres 411 or controls (Fig. 6A and B). The PMCG microcapsules showed sig-412 nificantly (P < 0.05) higher granulocyte CD11b expression com-413 pared with the saline control (Fig. 6A). CD11b expression was 414 also higher on monocytes, although not statistically significantly 415 so (Fig. 6B). The APA and AP microcapsules also resulted in a 416 slight increase in granulocyte CD11b, although not significantly 417 (Fig. 6A). An overall, moderate increase in CD11b expression 418 was found on addition of the different microcapsules compared 419 with yeast zymosan. 420

Leukocyte adherence on microspheres was evaluated after incu-421 bation in whole blood for 3 h (Fig. 6C–J). Leukocytes did not adhere 422 to the Ca/Ba beads (Fig. 6C) or Ba beads (Fig. 6D). However, adher-423 ent leukocytes were found on the surface of APA (Fig. 6E and F), AP 424 (Fig. 6G and H) and PMCG microcapsules (Fig. 6I and J). Cells ap-425 peared as small circular dots on the surface of the microcapsules 426 and in the surrounding area, as seen by transmitted light (Fig. 6F, 427 H and J). The larger fraction of the adherent cells stained positive 428 for CD11b, while a smaller fraction stained positive for CD14 429 (Fig. 6E, G and I). CD11b is present on both granulocytes and mono-430 cytes in only slightly different amounts, while CD14 is expressed in 431 higher amounts on monocytes. The monocytes in the present study 432 displayed 23-50 times higher CD14 expression compared with the 433 granulocytic population (data not shown). This indicates that the 434 adherent cells were mainly granulocytes, with fewer numbers of 435 436 monocytes.

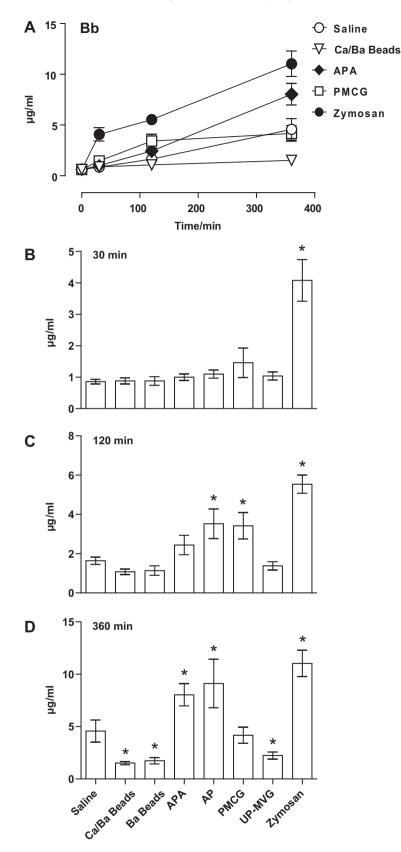
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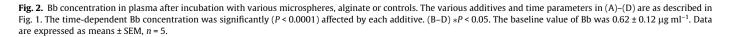
O Saline Α 250 sTCC ∇ Ca/Ba Beads 200 ΑΡΑ -----PMCG 150 AU/mI 🕈 Zymosan 100 50 0 100 200 300 0 400 Time/min В 80 30 min 60 40 5 AU/mI 4 3 2 \pm 1 0 С 100 120 min 90 80 25 AU/mI 20 15 10 5 0 D 400 360 min 300 200 * 100 AU/mI 40 30 20 Ŧ 10 0 calBa Beads UP.MVG PMCG BaBeads. Lymosan APA Saline 29

Fig. 1. sTCC concentration in plasma after incubation with various microspheres, alginate and controls in human lepirudin anti-coagulated whole blood. (A) Time-dependent sTCC amounts after addition of Ca/Ba Beads, APA, PMCG microcapsules, saline and zymosan. The additives significantly (P < 0.0001) affect sTCC formation over time. In the lower three panels each time point is shown (B) 30, (C)120 and (D) 360 min with additional Ba beads, AP microcapsules and dissolved UP-MVG alginate included. (B–D) *P < 0.05. The baseline sTCC value measured in the sample at the start of the experiment was 0.55 ± 0.16 AU ml⁻¹. Data are expressed as means \pm SEM, n = 5.

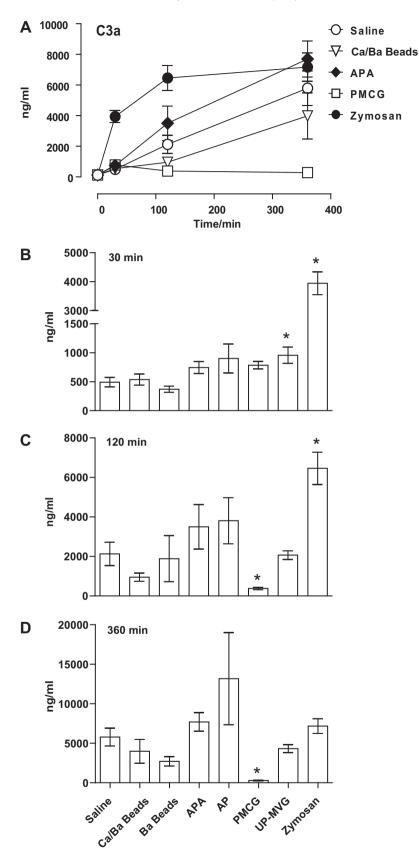
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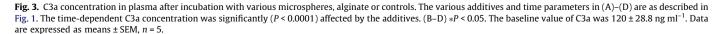
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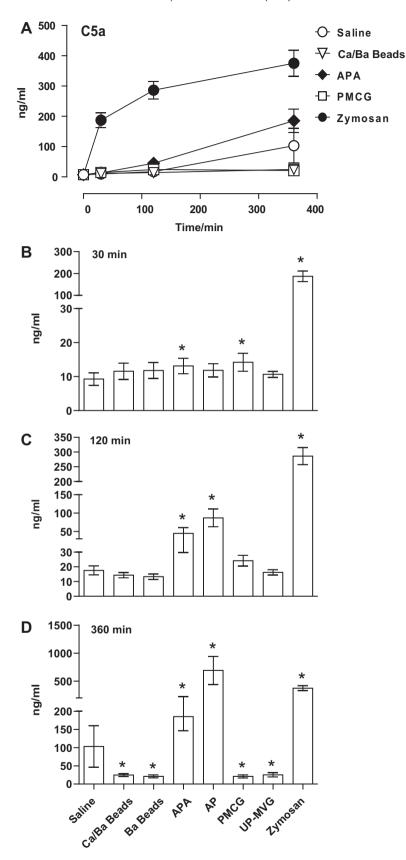


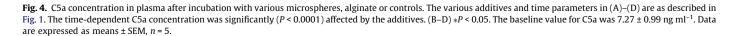
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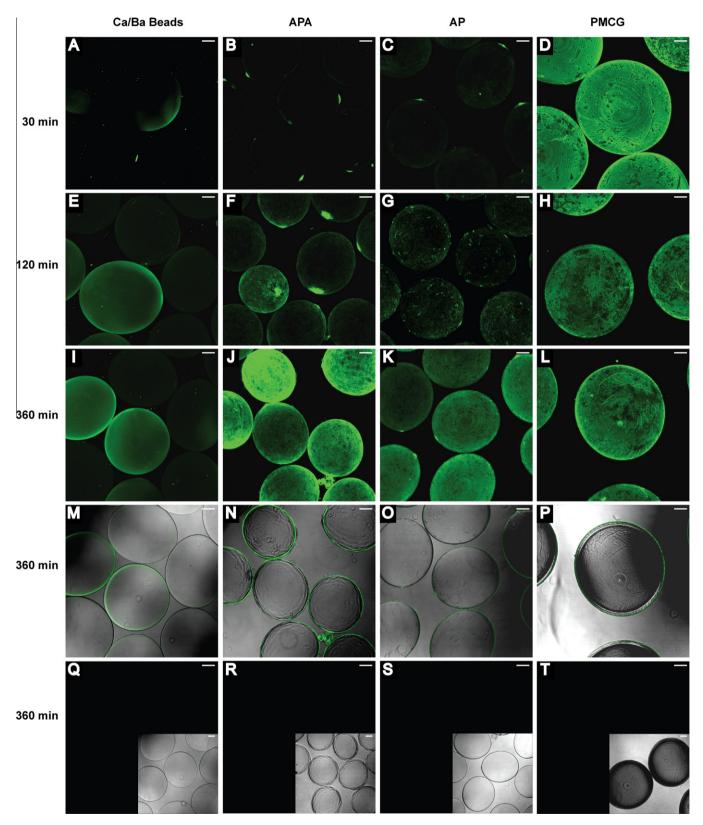


Fig. 5. Deposition of C3 on the microsphere surface after incubation in human lepirudin anti-coagulated whole blood. (A-L) 3D projections made by sectioning entire microspheres after incubation for 30, 120 and 360 min. (M-P) Projections through the equator overlaid with transmitted light images after 360 min. (Q-T) Controls are given in the lower panels as projections (black pictures). The inserts show transmitted light equatorial sections for visualization. Bars are 100 µm.

437 3.6. Effect of soluble PLL, CS and PMCG

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438 The activation potential of dissolved PLL, CS and PMCG was 439 evaluated by measuring sTCC production and CD11b expression.

A clear dose-dependent induction of sTCC was found by addition 440 of PLL (Fig. 7A). The polycation PMCG resulted in increased sTCC formation at the highest dose (1000 μ g ml⁻¹), whereas addition of CS showed a weak inhibitory effect (Fig. 7A). In contrast, CS gave 443

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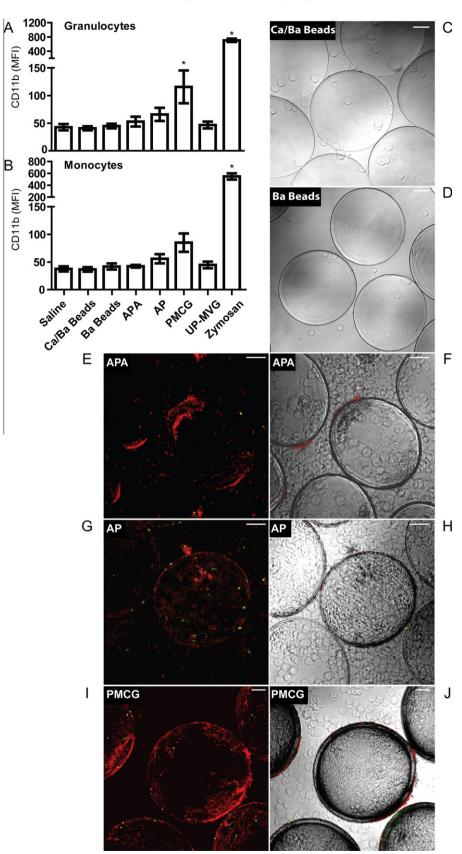


Fig. 6. Leukocyte CD11b expression and surface adhesion after the addition of various microspheres to lepirudin anti-coagulated whole blood. Leukocyte CD11b expression detected by flow cytometry 15 min after addition of microspheres, UP-MVG alginate and controls. (A) Granulocytes CD11b expression and (B) monocytes CD11b expression. Results are given as means \pm SEM, n = 5. *P < 0.05. (C–J) Leukocyte adhesion on microspheres after 3 h incubation. Images taken by CLSM are presented as optical sections at the equator and 3D projections were produced from several sections through the microspheres. (C) Ca/Ba beads section, (D) Ba beads section, (E) APA projection, (F) APA section, (G) AP projection, (H) AP section, (I) PMCG projection. CD11b is shown in red and CD14 in green. Bars are 100 µm.

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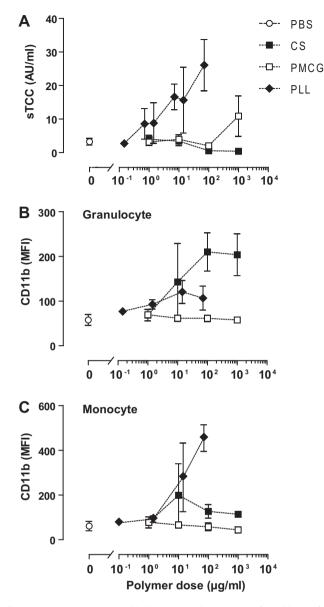


Fig. 7. sTCC concentrations and leukocyte CD11b expression after addition of PLL, CS and PMCG in solution to lepirudin anti-coagulated human whole blood. (A) sTCC after 120 min incubation, (B) granulocyte CD11b expression 15 min after addition, (C) monocyte CD11b expression 15 min after addition. Data are given as means $s \pm SEM$, n = 3 for sTCC and n = 2 for CD11b expression.

a clear dose-dependent elevation of CD11b expression in granulo-444 445 cytes (Fig. 7B). Increased granulocyte CD11b expression was also 446 found after addition of PLL (Fig. 7B), although with lower potency 447 than CS. In contrast, dissolved PMCG showed a slight dose-depen-448 dent reduction in CD11b expression in both granulocytes (Fig. 7B) and monocytes (Fig. 7C). PLL was a more potent inducer of CD11b 449 expression than CS in monocytes (Fig. 7C). It is important to stress 450 the time difference between the sTCC data from 120 min incuba-451 452 tion and the CD11b expression data measured after 15 min incubation. 453

454 4. Discussion

The ability of different types of microspheres to provoke complement and leukocyte activation was evaluated using a whole blood model. The whole blood model made it possible to unmask differences in complement and leukocyte activation between the various types of microspheres. The three types of microcapsules containing polycations activated complement, while the two types of alginate microbeads did not induce activation. The activation was mainly through the alternative pathway, as the patterns of product Bb specific for this pathway corresponded well with the end product sTCC. Also, the level of C4d, formed by activation of the classical or lectin pathway, remained low (data not shown), confirming that activation occurred mainly through the alternative pathway.

The low complement activation from the alginate microbeads suggests a high degree of complement biocompatibility. The slow increase in complement activation in the saline control is consistent with activation of complement induced by the surface of the polypropylene vials used. The lower formation of complement activation products over time by alginate microbeads shows that they are less activating than the polypropylene vials and clearly supports their low activation property. The lower activity of the alginate microbeads compared with the control could be explained by absorption of complement components in the open structured gel matrix, as these alginate microbeads are estimated to be permeable for IgG (150 kDa) [24] and proteins of up to 350 kDa [11]. The C3 protein in its native form has a molecular weight of 185 kDa, thus it should be able to diffuse into the alginate matrix. Penetration of C3 was found in the alginate microbeads to variable depths of 10–125 µm (Fig. A1). Despite this absorption, we emphasize that accessible amounts of C3 should be available for formation of C3 convertase since C3 is abundant in plasma $(0.7-1.8 \text{ g l}^{-1})$.

It is suggested that the ability of materials to bind proteins and to induce conformational changes in the proteins is essential for their complement activating capability [8]. Alginate is rich in carboxylic groups, thus negatively charged plasma proteins such as albumin (PI 4.7-5.1), C3 (PI 5.75) and C5 (PI 4.10) will have low affinities for the alginate matrix. C3 will, upon binding to the surface and undergoing a conformational change, expose highly reactive thioester [25] groups, leading to an amplification loop of the alternative pathway [5]. From the present study we could not verifv whether the deposited C3 was in its native C3 form or in one of its activated or inactivated forms, as the anti-C3c antibody detects any part of C3 containing the C3c fragment. However, given the low sTCC and Bb concentrations found after incubation with alginate microbeads we suggest that this staining is mainly due to C3 present in its native form, absorbed in the permeable alginate matrix. This is also deduced from the smooth distribution pattern that indicates freely diffusive molecules rather than a process involving active convertases (discussed below). The low complement activation property of the alginate microbeads was also confirmed by a lack of adherent leukocytes, which is consistent with complement being the most likely candidate for leukocyte activation in this model.

In contrast to the alginate microbeads, the APA and AP microcapsules induced elevated levels of sTCC, Bb and anaphylatoxins C3a and C5a (Figs. 1-4), collectively demonstrating substantial complement activation. The anaphylatoxins C3a and C5a are potent inflammatory mediators which may play an active role in the initiation of inflammatory reactions against the APA microcapsules. The present data also demonstrate deposition of C3 fragments on the APA surface, starting as local spots and increasing with time, with prominent deposition after 360 min (Fig. 5). While the detected C3c fragment is present in both native C3 and active C3b, the present data indicate active C3b on the APA surface since: (1) deposition started at specific points giving a spotted pattern, indicating activation loop formation of active convertases; (2) elevated levels of C3a, which is produced in equimolar amounts to activated C3b, were found; (3) elevated levels of C5a indicated C5 convertase formation on the capsule membrane; (4) elevated levels of sTCC indicated formation of active convertases.

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Complement activation is shown to be initiated when C3b forms amide bonds with exposed amino groups [7]. Amino groups are abundant within the polypeptide PLL and are likely candidates for C3b surface binding. Soluble PLL also induced an increase in sTCC, demonstrating the complement stimulatory property of PLL.

Ideally the PLL should be neutralized by alginate, as previously demonstrated for soluble polyelectrolytes [17]. However, in APA microcapsules PLL and alginate interact at the surface [20] and PLL is found in relatively high amounts within the 100 Å thick outermost layer of [26]. Although the complex of alginate and PLL has been shown to be evenly distributed in the outermost surface, the degree of interaction may vary, resulting in exposure of PLL in a stimulatory state [26]. Insufficient neutralization of PLL could therefore explain both the stimulatory properties of PLL present in the APA microcapsules as well as the small difference between the AP and APA microcapsules in the present study.

541 Surface bound iC3b is an important ligand for CD11b/CD18 (CR3 542 receptor) on leukocytes [27]. CD11b positive cells were found to at-543 tach to the surface of APA microcapsules, thus iC3b may have been involved in the observed cell attachment. However, we cannot ex-544 545 clude involvement of other ligands, including surface bound fibrin-546 ogen, since this is also a known ligand for the CD11b receptor [28]. 547 The adherent cells on APA microcapsules were mainly granulo-548 cytes with a scattered distribution of monocytes. These findings 549 are consistent with normal inflammatory reactions, the early 550 stages of which are dominated by neutrophilic granulocytes. The 551 anapylatoxin C5a up-regulates neutrophil CD11b expression [29] 552 and may thus contribute to the observed cell attachment.

553 The PMCG microcapsules initiated the fastest complement acti-554 vation, as reflected in the early detectable levels of sTCC and Bb and 555 deposition of C3. In combination with the sTCC data this may reflect 556 active convertase formation. However, no further increase in C3 557 staining was seen after 30 min incubation, indicating reduced con-558 vertase formation with time. This was also reflected in the sTCC re-559 sponse, which only doubled between 120 and 360 min incubation, 560 while the APA microcapsules induced an increase of 6-10-fold over 561 the same time period. The explanation for these differences may be 562 found in the ability of the various polyelectrolytes to stimulate or in-563 hibit complement activity, affect surface properties and their ability 564 to form stable complexes. When forming PMCG microcapsules 565 poly(methylene-co-guanidine) diffuses into the CS/alginate micro-566 bead and complexes predominantly with CS. Leakage of polyelectrolytes may occur as a result of loose complexation or excess 567 568 polymer. In the present study PMCG in solution was found to induce an increase in sTCC. Moreover, the soluble CS induced rapid up-reg-569 570 ulation of leukocyte CD11b. Thus, rapid activation by the PMCG 571 microcapsules might be explained by the release of polymer, with 572 CS a likely candidate for early leukocyte CD11b up-regulation and 573 PMCG for rapid complement activation. In contrast, soluble CS gave 574 a slight concentration-dependent decrease in sTCC response com-575 pared with the control. This finding points towards the reported 576 complement inhibiting activity of cellulose sulfate [30-32] and 577 may thus explain the lower complement stimulatory abilities of 578 the PMCG microcapsules with time.

A particularly interesting finding with the PMCG microcapsules 579 580 was the low amounts of the anaphylatoxins C3a and C5a detected 581 after the longer incubation times. The increased amount of Bb and 582 particularly sTCC indicates that the anaphylatoxins had been 583 formed. The most plausible explanation is therefore adsorption of 584 the highly positively charged C3a (PI 9.7) and C5a (PI 8.6) to the 585 negatively charged CS on the surface of PMCG microcapsules. This 586 implies that the fluid phase concentrations do not necessarily re-587 flect the activation potential of a surface. Anaphylatoxins present 588 on the surface may still be biologically active and contribute to 589 the leukocyte adherence on the PMCG microcapsule, in addition 590 to the opsonic effect of bound iC3b, as previously discussed.

The complement activation profiles of alginate microbeads and 591 APA microcapsules in the present study correspond well with pre-592 vious biocompatibility studies showing polycation containing 593 microcapsules to be less biocompatible [15-18,33,34]. In such 594 studies bioincompatibility has been measured as overgrowth reac-595 tions that might have been caused by inflammatory reactions. The 596 complement system is a primary inductor of inflammation were its 597 protein effectors reacts upstream of leukocytes and cytokines 598 [4,23,35–37]. Complement activity may therefore be a useful 599 parameter for revealing bioincompatibility. The lepirudin whole 600 blood model could be used as a rapid "screening" assay to unmask 601 reactive surfaces, as in the case of the APA microcapsules in the 602 present study. The presence of platelets and coagulation proteins 603 are likely to provide a tougher environment in the whole blood 604 model compared with, for example, the peritoneal cavity, which 605 is a common implantation site for microspheres. Also, platelets 606 may contribute to enhanced complement reactions [38]. However, 607 complement and leukocytes are present in body fluids [39] and 608 blood may come into direct contact with the capsule material dur-609 ing implantation, thus the same inflammatory mechanisms must 610 be anticipated, but perhaps at lower intensities. A sensitive model 611 like the one used in the present study is advantageous for safety 612 evaluations, since it reveals the immune incompatibility of the im-613 planted material in a human model. 614

5. Conclusion

The present study has demonstrated the effectiveness of the 616 lepirudin-based whole blood model to reveal reactive surfaces by 617 triggering complement and activating leukocytes. Polycation con-618 taining APA and PMCG microcapsules triggered complement and 619 leukocyte activation, while alginate microbeads consisting of only 620 alginate and divalent cations did not provoke complement reac-621 tions. The human whole blood model seems to be a sensitive and 622 efficient method of revealing bioincompatibility. The method could 623 therefore be used to determine the safety of different microcap-624 sules for transplantation purposes. 625

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Appendix A. Figures with essential colour discrimination

Certain figures in this article, particularly Figs. 5 and 6, are difficult to interpret in black and white. The full colour images can be found in the on-line version, at doi:doi:10.1016/j.actbio. 2011.03.011.

Appendix A. Supplementary data

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Supplementary data associated with this article can be found, in 642 the online version, at doi:10.1016/j.actbio.2011.03.011. 643

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