

Modeling the maintenance of egg polymorphism in avian brood parasites and their hosts

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1	ARTICLE

3	Modeling the maintenance of egg polymorphism in avian brood parasites and their
4	hosts
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32 Abstract (Max 150 words)

33	In avian brood parasitism, egg phenotype plays a key role both for host and parasite
34	reproduction. Several parrotbill species of the genus Paradoxornis are parasitized by the
35	common cuckoo Cuculus canorus and clear polymorphism in egg phenotype is
36	observed. In this article, we develop a population genetics model in order to identify
37	key parameters that control maintenance of egg polymorphism. The model analyses
38	show that egg polymorphism can be maintained either statically as an equilibrium or
39	dynamically with frequency oscillations depending on the sensitivity of the host against
40	unlike eggs and how the parasite targets host nests with specific egg phenotypes. Based
41	on the model we discuss egg polymorphism observed in parrotbills and other host
42	species parasitized by the cuckoo. We suggest the possibility that frequencies of egg
43	phenotypes oscillate and we appeal for monitoring of cuckoo-host interactions over a
44	large spatiotemporal scale.
45	

46 Keywords: Avian brood parasitism, co-evolution, egg phenotype, frequency-dependent

47 selection, oscillation, population genetics model

48 Introduction

Polymorphism in natural populations occurs as discontinuous, discrete assemblages of 49individuals with a shared phenotype. Such polymorphism may evolve and can be 50maintained as a consequence of frequency-dependent selection (Kettlewell, 1973; 51Majerus, 1998; Bond, 2007). Rare mutants for novel discrete phenotypes may spread if 52they enjoy a selective frequency-dependent advantage during interactions with any 5354selective agents. Here we focus on polymorphic phenotypes of the eggs that birds produce because they can be a crucial component for successful reproduction by both 5556host and parasite in avian brood parasitism.

57

Accepting brood parasitism usually results in significant reduction in reproductive 58success for the host (Rothstein, 1990; Davies, 2000). This strong parasitism pressure 5960 constitutes a driving force for co-evolutionary interactions between the parasite and the host where the host evolves defenses against parasitism like the ability to recognize and 6162 reject parasitic eggs that look dissimilar in appearance to its own eggs (Rothstein, 1975; Davies & Brooke, 1988; Moksnes et al., 1990) and the parasite evolves better egg 63 mimicry to counter the host defense (Brooke & Davies, 1988). The host may further 64 counter egg mimicry by the parasite by decreasing intra-clutch variation and increasing 65inter-clutch variation in egg phenotype (Øien et al., 1995; Stokke et al., 2002; Stokke et 66 al., 2007). 67

68

69 The common cuckoo *Cuculus canorus*, one of the best studied avian brood parasites,

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70	parasitizes several parrotbill species of the genus Paradoxornis. Kim et al. (1995)
71	showed that the vinous-throated parrotbill P. webbianus in Korea exhibits clear
72	dimorphism in egg color; a clutch contains either white or blue eggs. Lee & Yoo (2004)
73	and Lee et al. (2005) demonstrated that the vinous-throated parrotbill has the ability to
74	recognize and reject unlike eggs as do many other cuckoo hosts. Despite the
75	dimorphism in egg color of the parrotbill, however, only blue eggs are found in the
76	cuckoo in Korea (Kim et al., 1995; Lee & Yoo, 2004; Lee et al., 2005).
77	
78	Recently, Yang et al. (2010) showed that the ashy-throated parrotbill P. alphonisianus in

southern China, a closely related species of *P. webbianus*, shows clear polymorphism in egg color, with white, pale blue and blue eggs occurring, both in the parrotbill and the cuckoo population. Yang et al. (2010) also demonstrated that the ashy-throated parrotbill has a fine-tuned ability to recognize and reject eggs that are dissimilar beyond a certain threshold. They suggested the possibility that egg polymorphism both in the parrotbill and the cuckoo has evolved as a result of co-evolutionary interaction between them.

86

Apparent absence of white cuckoo eggs in Korea should favor parrotbills that produce white eggs because such "white parrotbills" can reject blue cuckoo eggs better than "blue parrotbills" (Lee et al., 2005). Increase in the frequency of white parrotbills may be followed by emergence of "white cuckoos" that can exploit white parrotbills more efficiently than blue cuckoos. This parasitic interaction naturally raises an intriguing

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question about the maintenance of such mutual egg dimorphism in both host and parasite under frequency-dependent selection. Presence of the three egg colors both in the parrotbill and the cuckoo in China also poses the same question. How can egg polymorphism be maintained in both host and parasite in this co-evolutionary arms race?

97

98 Egg phenotype including background color, patterns like spots, blotches and lines is likely genetically determined and a female produces eggs of a constant phenotype 99 100 throughout her lifetime (Collias, 1993; Gosler et al., 2000; Gibbs et al., 2000; Mahler et 101 al., 2008; Moksnes et al., 2008). Several independent cases of evolution of egg 102polymorphism (Kilner, 2006) suggest that the underlying genetic mechanisms are simple and possibly only involve one or at most a few loci. A recent study on the 103 104 common cuckoo indicates that the genes determining egg coloration are most likely found on autosomal loci, rather than the W-chromosome as previously assumed, and 105106 therefore is subject to Mendelian inheritance (Fossøy et al., 2011). Also, several empirical studies suggest that the background egg coloration is governed by at least two 107 autosomal loci in birds (Wei et al., 1992; Collias, 1993; Ito et al., 1993). Because egg 108phenotype plays a key role both for the host and the parasite to successfully reproduce 109 110 in avian brood parasitism, frequency-dependent selection is expected to work on egg phenotype to cause the egg polymorphism we observe in Korea and China. 111

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113 Previous theoretical studies have demonstrated that host-parasite co-evolution can

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promote polymorphism in the levels of host resistance and parasite virulence with their 114levels fluctuating cyclically and that such co-evolutionary cycles are likely to occur in 115antagonistic interactions in general (Sasaki, 2000; Tellier & Brown, 2007a; 2007b; 116 Nuismer & Thompson, 2006; Nuismer et al., 2007). Thus, the polymorphism observed 117in the cuckoo and parrotbill interactions could be conceptually understood by these 118previous models; egg polymorphism may be maintained with oscillating frequencies of 119120 each egg phenotype. However, these models are based on simplified assumptions such that hosts and parasites encounter each other completely randomly and that the trait in 121122focus is asexually inherited in haploid organisms. We consider these models too 123simplistic to provide any quantitative and empirically testable predictions on frequency 124changes of egg phenotypes observed in avian brood parasitism.

125

In order to understand how egg polymorphism can be maintained in avian brood 126parasitism and to provide quantitative predictions that can be empirically tested, we here 127128 construct a population genetics model with biologically plausible genetic and ecological assumptions. Based on the model analysis, we identify key parameters that control 129maintenance of egg polymorphism in avian brood parasitism. We suggest the possibility 130 131 that the parrotbill-cuckoo interactions exhibit oscillations in frequencies of distinct egg colors and that egg polymorphism can be statically or dynamically maintained in the 132host and the parasite population depending on the sensitivity of the host when 133recognizing unlike eggs and how the parasite targets to parasitize host nests with a 134certain egg phenotype. We discuss egg polymorphism observed in other brood parasitic 135

136	interactions with implications for the importance of studying the behavior by the
137	parasite when utilizing hosts with polymorphic eggs as well as the genetic mechanism
138	of egg phenotype. Finally, we emphasize that our findings have general applications
139	outside avian brood parasitism to include antagonistic interactions in general.
140	
141	The model
142	We assume sexual and diploid population both for the host and the parasite. We assume
143	three distinct egg colors, white, pale blue and blue, as observed in the parrotbill-cuckoo
144	interaction (Yang et al., 2010).
145	
146	We assume the following genetics for the expression of egg phenotype. Egg color is
147	controlled by two autosomal loci. The first locus controls the presence or absence of
148	blueness with two alleles, b and B . The allele B expresses blueness and is assumed to be
149	dominant over b . The second locus controls the expression of the blueness with two
150	alleles, m and M . The allele M modifies the expression of blueness and makes eggs pale
151	blue and is assumed to be dominant over m . This diallelic two-locus assumption is
152	based on sexual inheritance of egg color observed in chickens Gallus gallus, village
153	weavers Ploceus cucullatus, and Japanese quails Coturnix japonica (Wei et al., 1992;
154	Collias, 1993; Ito et al., 1993). Recombination rate of the two loci is $r (0 \le r \le 1/2)$.
155	
156	As a notation, we hereafter denote egg color as 0 (white), $1/2$ (pale blue) and 1 (blue).
157	Ten genotypes are possible in a population, each indexed as <i>i</i> and having a phenotypic

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158	value as follows ($i = 1, 2,, 10$). (Genotype i , its phenotype): (<i>bm/bm</i> , 0), (<i>bm/bM</i> , 0),
159	(<i>bM/bM</i> , 0), (<i>bm/Bm</i> , 1), (<i>bm/BM</i> , 1/2), (<i>bM/Bm</i> , 1/2), (<i>bM/BM</i> , 1/2), (<i>Bm/Bm</i> , 1),
160	(<i>Bm/BM</i> , 1/2), (<i>BM/BM</i> , 1/2). Let h_i and p_i be the frequency of genotype i in the host
161	and the parasite population, respectively. The phenotypic frequency of egg color 0, 1/2,
162	1, respectively, is denoted as $f_{h0} = h_1 + h_2 + h_3$, $f_{h1/2} = h_5 + h_6 + h_7 + h_9 + h_{10}$, $f_{h1} = h_4 + h_8$
163	for the host and $f_{p0} = p_1 + p_2 + p_3$, $f_{p1/2} = p_5 + p_6 + p_7 + p_9 + p_{10}$, $f_{p1} = p_4 + p_8$ for the
164	parasite. We assume infinitely large population, random mating, and non-overlapping
165	generations.

We next assume the following ecological situations. Each host female builds a nest and 167 168completes a clutch of egg color determined by her genotype. Let P denote the probability that a host nest is parasitized. The probability that a nest of host female 169 170 genotype *i* is parasitized by a parasite genotype *j* conditional on the nest is parasitized is assumed to be $\phi_i p_i$ where ϕ_i is the probability that a parasite targets the nest of a host 171172genotype *i*. We here assumed that a host nest is parasitized once at most. Multiple parasitism is ignored in our model, which is a close approximation of reality because the 173probability of multiple parasitism is low in parrotbills and most other hosts (but see 174Moskát & Honza (2002), Takasu & Moskát (2011) for high parasitism rate that 175176 remained constant among years).

177

178 It remains unknown how a parasite decides to parasitize a host nest having a certain 179 color of eggs in the presence of egg polymorphism in the host population. Because

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parasites often visit host nests without laying parasitic egg presumably to check nest 180 content (Moksnes et al., 2000), the way a parasite utilizes a host nest may not be 181 random (Aviles et al., 2006; Cherry et al., 2007), i.e., it may be influenced by 182frequencies of egg phenotypes in the host population and ϕ_i might not necessarily be the 183 same as the frequency h_i of host genotype *i*. Such non-random search has been 184demonstrated as frequency-dependent switching when birds use search images to look 185for prey of a particular color or pattern (Bond, 1983). In order to consider 186 non-randomness of parasitic behavior, we assume that ϕ_i is given as follows using the 187188host phenotype frequencies, f_{h0} , $f_{h1/2}$, f_{h1} .

189

190

191
$$\phi_i = \frac{f_{h0}^n}{f_{h0}^n + f_{h1/2}^n + f_{h1}^n} \frac{h_i}{f_{h0}} \qquad \text{for} \quad i = 1, 2, 3 \text{ (host phenotype 0)}$$

192

193
$$\phi_i = \frac{f_{h1/2}^n}{f_{h0}^n + f_{h1/2}^n + f_{h1}^n} \frac{h_i}{f_{h1/2}} \quad \text{for} \quad i = 5, \, 6, \, 7, \, 9, \, 10 \text{ (host phenotype 1/2)}$$

194

195
$$\phi_i = \frac{f_{h1}^n}{f_{h0}^n + f_{h1/2}^n + f_{h1}^n} \frac{h_i}{f_{h1}} \qquad \text{for} \quad i = 4, 8 \text{ (host phenotype 1)}$$

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where the parameter *n* controls the propensity that the parasite is attracted to parasitize hosts having a certain egg color; the parasite utilizes hosts just randomly according to the actual frequencies (n = 1), the parasite is more likely to utilize a host with rare egg

- 10 -

- color than would be expected by chance (n < 1), or the parasite is more likely to utilize a host with common egg color than would be expected by chance (n > 1) (Fig. 1).
- 203
- [Fig. 1: How parasites parasitize host nests. Dependency on *n*.]
- 205

We assume that all host males and females have the same ability to recognize and reject 206 207 unlike eggs and this recognition ability is innate, not learnt. The probability that a host with egg color $C_{\rm H}$ accepts parasitic egg $C_{\rm P}$ in the nest is denoted as $A(C_{\rm H}, C_{\rm P})$ and it is 208assumed to be a decreasing function of the absolute difference in color $|C_{\rm H} - C_{\rm P}|$ (C_H, 209 $C_{\rm P} = 0, 1/2, 1$; the greater the contrast in color, the smaller the acceptance probability 210211as has been demonstrated in many host species and modeled (Higuchi, 1998; Takasu, 2003; Stokke et al., 2007; Yang et al., 2010). To simplify the notation we denote $a_0 =$ 212213 $A(0, 0) = A(1/2, 1/2) = A(1, 1), a_{1/2} = A(0, 1/2) = A(1/2, 0) = A(1, 1/2) = A(1/2, 1), a_1 = A(1/2, 1) = A(1/2, 1)$ A(0, 1) = A(0, 1) $(1 \ge a_0 \ge a_{1/2} \ge a_0 \ge 0)$. A parasitized host breeding pair can produce 214own offspring only when it rejects parasitism successfully. Otherwise, a parasite chick 215fledges from the parasitized host nest. Sex ratio is fixed 1:1 and the genotype 216frequencies are the same in males and females both in the host and the parasite. Table 1 217summarizes these ecological assumptions. 218

219

[Insert Table 1 around here]

221

222 Using vector notation $\mathbf{h} = (h_1, h_2, ..., h_{10})$ and $\mathbf{p} = (p_1, p_2, ..., p_{10})$, the genotype

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frequencies at the next generation **h**' and **p**' are given as follows

224

225

226
$$\mathbf{h} = \frac{1}{\overline{w}_H} \mathbf{T}_H \mathbf{W}_H (\mathbf{h} \otimes \mathbf{h})$$
(1)

227

228
$$\mathbf{p}' = \frac{1}{\overline{w}_p} \mathbf{T}_p \mathbf{W}_p (\mathbf{p} \otimes \mathbf{p})$$
 (2)

229

230

Here, \overline{w}_{H} and \overline{w}_{P} is the average fitness of the host and the parasite, respectively. \mathbf{T}_{H} 231and $\mathbf{T}_{\rm P}$ is a 10 by 10² transmission matrix that describes the distribution of offspring 232genotypes produced from a pair of two genotypes as a male and a female for the host 233and the parasite, respectively. $W_{\rm H}$ and $W_{\rm P}$ is a 10² by 10² diagonal matrix with 234coefficients of fitness as the reproductive success from a pair of two genotypes as a 235male and a female for the host and the parasite, respectively. \otimes is Kronecker product 236237and $\mathbf{h} \otimes \mathbf{h}$ and $\mathbf{p} \otimes \mathbf{p}$ gives frequencies of mating pairs for the host and the parasite, respectively. See Appendix for the derivation. 238

239

The coupled dynamics of equation (1) and (2) describes temporal change in the genotype frequencies h_i and p_i (i = 1, 2, ..., 10) and hence the phenotype frequencies f_{h0} , $f_{h1/2}$, f_{h1} , f_{p0} , $f_{p1/2}$, f_{p1} under the genetic and ecological assumptions explained above. In the next section we analyze the frequency dynamics of egg colors, mainly focusing on

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maintenance of egg polymorphism, firstly for asexual and secondly for sexual inheritance of egg color. **Results** *Asexual inheritance of egg color* When egg color is asexually inherited to daughters, the coupled dynamics (1) and (2) is reduced to the simpler dynamics of the six phenotype frequencies f_{h0} , $f_{h1/2}$, f_{h1} , f_{p0} , $f_{p1/2}$, f_{p1} (see Appendix). When the parasite utilizes host nests randomly according to their

frequencies (n = 1), the reduced dynamics is analytically tractable.

253

We first look for equilibria at which the six phenotype frequencies temporarily remain unchanged, i.e., $f'_{h0} = f_{h0} = f^*_{h0}$, $f'_{h1/2} = f_{h1/2} = f^*_{h1/2}$, $f'_{h1} = f_{h1} = f^*_{h1}$, $f'_{p0} = f_{p0} = f^*_{p0}$, $f'_{p1/2} = f_{p1/2} = f^*_{p1/2}$, $f'_{p1} = f_{p1} = f^*_{p1}$ (* denotes equilibrium). Under the ecological assumptions that parasites randomly parasitize (n = 1) and that unlike eggs are more likely to be rejected ($1 \ge a_0 > a_{1/2} > a_1 \ge 0$), there exists a unique trimorphic equilibrium where all colors are present both in the host and the parasite population as

260

261

262
$$\begin{pmatrix} f_{h0}^{*} \\ f_{h1/2}^{*} \\ f_{h1}^{*} \end{pmatrix} = \begin{pmatrix} f_{p0}^{*} \\ f_{p1/2}^{*} \\ f_{p1}^{*} \end{pmatrix} = \frac{1}{3a_{0} - 4a_{1/2} + a_{1}} \begin{pmatrix} a_{0} - a_{1/2} \\ a_{0} - 2a_{1/2} + a_{1} \\ a_{0} - a_{1/2} \end{pmatrix}$$
(4)

263

if and only if the condition

266

267

268
$$a_0 - 2 a_{1/2} + a_1 > 0$$
 (5)

269

270

is satisfied. The condition (5) requires that the host accepts moderately mimetic eggs with probability $a_{1/2}$ being less than the arithmetic average of a_0 and a_1 , which biologically means that the host has high sensitivity to discriminate unlike eggs (Fig. 2).

[Insert Fig. 2 around here. Relation of the acceptance probabilities a_0 , $a_{1/2}$ and a_1]

276

Besides the trimorphic equilibrium (4), there are fourteen equilibria where hosts and parasites are either monomorphic or dimorphic; nine equilibria where both hosts and parasites are monomorphic with one of the three phenotypes, one equilibrium where hosts are dimorphic with 0 and 1 and parasites are monomorphic with 1/2, one where hosts are monomorphic with 1/2 and parasites are dimorphic with 0 and 1, three where both hosts and parasites are dimorphic with 0 and 1, three where both hosts and parasites are dimorphic with 0 and 1/2, 0 and 1, and 1/2 and 1, respectively (Fig. 3). These fourteen equilibria are possible irrespective of condition (5).

[Fig. 3: List of all possible equilibria]

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Local stability of these equilibria can be analyzed by linearizing the dynamics (1) and (2) (Murray, 2007). It turns out that all of the equilibria derived above are unstable and that the linearized dynamics when at least two phenotypes are present both in the host and the parasite population shows oscillations with a period dependent on a_0 , $a_{1/2}$, a_1 and *P*. See Appendix for derivation of these results.

292

293Instability of equilibria where both the host and the parasite are monomorphic can be readily shown as follows (see Fig. 3a). When both are monomorphic with the same 294295phenotype, rare host mutants having different phenotype can always invade the host 296population because these have higher chance to reject parasitism and increase in frequency. In the same logic, when both are monomorphic but with different phenotype, 297 rare parasite mutants having phenotype more mimetic to that of hosts can always invade 298299 the parasite population because these have higher chance of parasitism acceptance and increase in frequency. Therefore, monomorphic hosts and monomorphic parasites 300 301 cannot be maintained stably. The same logic applies to equilibria where either hosts or parasites, or both, are dimorphic lacking a particular egg color (Fig. 3b, c). 302

303

Fig. 4 shows typical frequency dynamics for asexual inheritance of egg color. For dimorphic hosts and dimorphic parasites with white and blue eggs (pale blue egg is absent in both populations) and the parasite utilizes hosts randomly (n = 1), the frequencies of the two phenotypes continue to oscillate. Amplitude of oscillation is larger in the parasite than in the host and parasite frequencies apparently converge to a

- 15 -

heteroclinic cycle where one phenotype dominates for a longer and longer time but is eventually replaced by another phenotype (Fig. 4a) (Seger, 1988). When the parasite utilizes hosts with egg color in minority more than its frequency (n < 1), the frequency dynamics can be stabilized and the two phenotypes coexist but dynamically (Fig. 4b). In contrast, when the parasite utilizes hosts with egg color in majority more than the frequency (n > 1), the dynamics is more destabilized and shows a heteroclinic cycle similar to the case n = 1 (not shown).

316

317 For trimorphic hosts and trimorphic parasites when condition (5) is satisfied and the 318 parasite utilizes hosts randomly (n = 1), the frequencies of the three phenotypes exhibit 319 complex dynamics, eventually converging to a heteroclinic cycle (Fig. 4c). For *n* being sufficiently small (n < 1), an equilibrium can be reached where all three phenotypes are 320 321stably maintained both in the host and the parasite (Fig. 4d). When the parasite utilizes hosts with egg color in majority more than the frequency (n > 1), the frequencies exhibit 322323complex behaviors and eventually show a heteroclinic cycle similar to the case n = 1(not shown). When condition (5) is not met, the dynamics starting from all phenotypes 324 present converges to an equilibrium where hosts are dimorphic with white and blue and 325 326 parasites are monomorphic with pale blue (not shown).

327

[Insert Fig. 4 around here. Asexual case, n=1 or n<1, two colors or all colors present]

Fig. 5 shows the dependency of the period of oscillation in the early dynamics on the

- 16 -

331	parasitism rate P. The phenotype frequencies oscillate roughly with a period
332	proportional to the inverse of the square root of the parasitism rate P as predicted by
333	local stability analysis (see Appendix).
334	
335	[Insert Fig. 5 around here. Dependency of the oscillation period on the parasitism rate
336	<i>P</i> .]
337	
338	Sexual inheritance of egg color
339	We next focus on the case that egg color is sexually inherited, a likely case in the
340	cuckoo-parrotbill interactions. The dynamics (1) and (2) is intractable and we
341	numerically analyze the behavior.
342	
343	Fig. 6 shows typical frequency dynamics for sexual inheritance of egg color. For
344	dimorphic hosts and dimorphic parasites where there are no pale blue eggs (the allele M
345	is absent) and the parasite utilizes hosts randomly $(n = 1)$, the phenotypic frequencies
346	oscillate but the oscillation lasts more stably with a longer period compared with the
347	asexual case (Fig. 6a, cf. Fig. 4a). When the parasite utilizes hosts with egg color in
348	minority more than its frequency $(n < 1)$, the dynamics is stabilized where both the two
349	phenotypes coexist nearly equally (Fig. 6b). In contrast, when the parasite utilizes hosts
350	with egg color in majority more than the frequency $(n > 1)$, the dynamics is more
351	destabilized and shows a heteroclinic cycle similar to the asexual case $n = 1$ (not
352	shown).

354	For trimorphic hosts and trimorphic parasites when condition (5) is satisfied and the
355	parasite parasitizes the host randomly $(n = 1)$, the frequencies of the three phenotypes
356	exhibit complex dynamics, eventually converging to a heteroclinic cycle (Fig. 6c). For n
357	being sufficiently small, a stable equilibrium can be reached where all three phenotypes
358	are stably maintained both in the host and the parasite (Fig. 6d). When condition (5) is
359	not met, the dynamics starting from all phenotypes present converges to an equilibrium
360	where hosts are dimorphic with white 0 and blue 1 and parasites are monomorphic with
361	pale blue 1/2 (not shown).
362	
363	[Insert Fig. 6 around here. Sexual case, $n=1$ or $n<1$, two colors or all colors present]
364	
365	Dependency of the period of oscillation on the parasitism rate P for the sexual case is
366	shown in Fig. 5. Oscillation period is proportional to the inverse of the square root of P
367	but it is nearly two times larger than that of the asexual case.
368	
369	We also investigated the effect of recombination rate of the two loci, $r_{\rm H}$ and $r_{\rm P}$, on the
370	frequency dynamics. The dynamics are quantitatively similar unless the recombination
371	rate is nearly zero, which is consistent with linkage disequilibrium decreasing
372	exponentially whereby all genotypes are eventually created (Crow & Kimura, 1970).
373	
374	Discussion

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We have shown that the frequency dynamics of genotypes/phenotypes critically depends on ecological factors, the sensitivity of hosts when recognizing unlike eggs (acceptance probabilities a_0 , $a_{1/2}$, and a_1), and how the parasite utilizes the host (parameter *n*).

379

Egg polymorphism with three phenotypes present can be maintained only when the host has a high sensitivity to reject moderately mimetic eggs $(a_0 - 2a_{1/2} + a_1 > 0)$. Otherwise, an equilibrium is reached where the parasite shows monomorphism with the intermediate pale blue color and the host showing dimorphism with two extremes of white and blue.

385

The way the parasite targets hosts, parameter n, critically affects if polymorphism is 386 387 statically or dynamically maintained; only when n is sufficiently small so that hosts with an egg color in minority is more parasitized, the frequency dynamics converges to a 388stationary polymorphism. With smaller n, a negative feedback operates on the risk of a 389 host being parasitized and this contributes to stabilize the frequency dynamics. 390 Otherwise, frequencies oscillate with a period roughly proportional to the inverse square 391 root of the parasitism rate and polymorphism is dynamically maintained. In this case, 392 the amplitude of oscillation is always larger in the parasite than in the host. This is 393 because selection operates stronger in the parasite than in the host; all parasites 394experience judgment of a host's accepting or rejecting parasitism while not all hosts are 395parasitized. If the amplitude is large enough, a certain phenotype may be lost by chance 396

in the parasite population when the frequency becomes extremely low. This
demographic stochasticity has been ignored in our model but it could be significant as
we argue in the real system below.

400

These results remain qualitatively the same, irrespective of asexual or sexual inheritance of egg color, although it quantitatively affects the period with which frequencies oscillate; sexual inheritance results in nearly two times longer period of frequency oscillation compared with asexual case.

405

406 We assumed that the acceptance probabilities $A(C_{\rm H}, C_{\rm P})$ are a decreasing function of the difference in color | $C_{\rm H}$ - $C_{\rm P}$ | ($C_{\rm H}$, $C_{\rm P}$ = 0, 1/2, 1), and that these can be represented by 407three parameters, a_0 , $a_{1/2}$, and a_1 $(1 \ge a_0 \ge a_{1/2} \ge a_1 \ge 0)$, i.e., the dynamical system (1) 408 409 and (2) is structurally symmetric. We have carried out numerical analyses where each of the acceptance probabilities $A(C_{\rm H}, C_{\rm P})$ is randomly perturbed around $a_0, a_{1/2}, a_1$ with a 410 certain range to incorporate asymmetry and found that all the results remain 411 qualitatively similar. Thus, we conclude that our results are robust and not artifacts 412caused by the symmetry of the model structure. 413

414

We assumed diallelic two-locus genetics where both the blue allele B and the modifier allele M are dominant. We confirmed that modification of this genetic assumption of dominance does not change the results greatly. Simple genetics as we assumed might be justified by independent evolution of polymorphism in egg color in avian brood parasitism (Kilner, 2006). Further empirical study to elucidate detailed genetic
 mechanisms underlying egg phenotype expression is certainly needed.

421

The importance of host sensitivity against unlike egg has been suggested in theoretical 422studies where egg phenotype is assumed to be a continuous trait which is asexually 423inherited; the higher the host sensitivity to discriminate unlike eggs, the more discrete 424425egg phenotypes can coexist both in the host and the parasite population (Takasu, 2003; 2005). We have obtained qualitatively the same result in our model. Our results also 426427 corroborate previous theoretical studies that co-evolutionary dynamics of adaptive traits 428 in antagonistic interactions between prey/host and predator/parasite tend to exhibit oscillation in the level of adaptive traits of hosts and prey (resistance to parasitism or 429predation) and parasites and predators (virulence or attack rate) (Seger, 1988; Gavrilets 430 431 & Hastings, 1998; Gandon, 2002; Nuismer et al., 2005; Nuismer & Thompson, 2006; Kopp & Gavrilets, 2006; Tellier & Brown, 2007a; 2007b). In these previous models, 432however, simpler assumptions like haploid populations, asexual inheritance of 433phenotypes and random encounters of antagonistic organisms (n = 1 in our model) are 434assumed. Random encounters that many of the previous models have assumed may be 435justified for micro-parasites like viruses that passively contact with target hosts. In 436 contrast, avian brood parasites do not necessarily parasitize hosts randomly (Avilés et 437 al., 2006; Cherry et al., 2007) as complex cognitive mechanisms may be involved in 438search for target hosts (Bond, 1983). We have shown that relaxing the random encounter 439rule results in the novel finding that polymorphism can be statically maintained if a 440

rarer host egg phenotype attracts more parasites. The way the parasite utilizes hosts is
likely to evolve although it has not been considered in our model. We stress the need for
theoretical study that focuses on the evolution of parasite behaviors coupled with the
evolution of egg polymorphism.

445

The vinous-throated parrotbill in Korea shows dimorphism in egg color as white and 446 447blue and the ratio of white to blue varies from 0.21:0.79 to 40:60, while only blue cuckoo eggs are found (Kim et al., 1995; Lee & Yoo, 2004). The two egg colors may 448 449 coexist either statically or dynamically depending on how the cuckoo parasitizes the 450dimorphic parrotbill as our model has shown. Frequency of the cuckoo parasitism in blue or white parrotbills paralleled the egg-color ratio of the parrotbill population (Lee 451et al., 2005). This implies that the cuckoo parasitizes the parrotbill in Korea just 452randomly. In this case, we expect frequency oscillation with the period in the order of a 453few hundred generations because 5.3% of nests (10 out of 190) were found parasitized 454in Korea (Lee et al., 2005), and actual parasitism rate would be higher as unlike cuckoo 455egg had been rejected before detection (Fig. 5). Then, the apparent absence of white 456cuckoo eggs in Korea may have occurred by chance; cuckoos producing white eggs 457were once too small in frequency and they were lost by chance. We speculate that white 458cuckoos, if emerging by some reason like immigration from other area, are likely to 459increase in frequency and frequency oscillation may last. Studying the way the cuckoo 460 selects host nests is needed together with long-term monitoring of egg color frequencies 461in Korea. 462

- 22 -

The ashy-throated parrotbill in southern China shows polymorphism in egg color, white, 464 pale blue, and blue, as well as in the cuckoo population. The ratio of white:pale 465blue:blue is 0.564:0.014:0.422 in the parrotbill, while it is 0.417:0.125:0.453 in the 466 cuckoo (Yang et al., 2010). The parrotbill in southern China recognizes and rejects 467unlike eggs sensitively (Yang et al., 2010), and it is likely that condition (5) is satisfied, 468 469 so that all the three colors can be maintained either statically or dynamically. Temporal variation in the three egg colors does not clearly show a trend of oscillation in 470 471frequencies over the past 10 years (Yang et al., 2010). Most likely, 10 years monitoring 472is too short to detect frequency changes because 4.3% of the parrotbill nests (24 out of 473555) were found to be parasitized (Yang et al., 2010) and this gives an oscillation period in the order of a few hundred generations (Fig. 5). We suggest that the frequencies will 474 475likely change in the next several decades if the cuckoo in China parasitizes the parrotbill nearly randomly, irrespective of parrotbill egg color. Further long-term monitoring and 476477 behavioral study about the way the cuckoo parasitizes the parrotbill is needed.

478

Discrete polymorphism in egg phenotype is rare but in avian brood parasitism, and egg polymorphism has likely evolved through co-evolutionary interactions between brood parasites and their hosts (Kilner, 2006). The common cuckoo as a species produces eggs with a variety of phenotypes but the cuckoo as a whole consists of several independent host races, each of which is specialized on a particular host species by producing eggs mimetic to those of the host (Moksnes & Røskaft, 1995; Davies, 2000). A similar

- 23 -

485system is found in the Red-chested cuckoo Cuculus solitarius which has three distinct egg phenotypes as chocolate/coffee brown, green-blue with red/brown spots, and coffee 486 with brown freckling (Kuiper & Cherry, 2002; Honza et al., 2005). Egg polymorphism 487 in avian brood parasitism like the cuckoo and the parrotbill interaction (Kim et al., 488 1995; Lee & Yoo, 2004; Lee et al., 2005; Yang et al., 2010) constitutes an ideal system 489 for studying how polymorphism can be maintained in a co-evolutionary context 490 491 (Rothstein, 1990). It is ideal also because the time scale of the life cycle for the parasite and the host is nearly equal in avian brood parasitism so that evolution of novel egg 492493 phenotypes would proceed at equal pace in the two parties. Although empirical data on 494 phenotypic frequencies and their temporal changes are too limited to draw any conclusion, we suggest the possibility that egg polymorphism in avian brood parasitism 495is dynamically maintained with temporarily varying phenotypic frequencies. 496

497

In this model we assumed that both the host and the parasite populations are closed in 498the sense that there is no gene flow to and from the outside. Extending our model to 499 consider spatial structure enables us to study a "metapopulation" genetics in which we 500might expect a geographical gradient in phenotypic frequencies (Thompson, 2005). The 501502absence of white cuckoos in Korea and the presence of the three egg colors in southern China may be continuously linked at a larger spatial scale. We may further observe 503temporally and spatially fluctuating phenotypic frequencies where egg polymorphism is 504dynamically maintained. Study focused at a larger spatial scale is certainly needed in 505order to better understand how egg polymorphism is maintained spatially and to 506

507	demonstrate co-evolution in action in avian brood parasitism, which will certainly
508	contribute to enrich our general understanding of co-evolution in antagonistic
509	interactions.

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520	

522 Appendix

523 Derivation of the model

In general, the frequency of genotype i in the next generation, x_i ', is given as follows

525

526

527
$$x'_{i} = \sum_{j,k=1}^{N} T(i \leftarrow j,k) w_{j} x_{j} x_{k} / \overline{w}$$
 $(i = 1, 2, ..., N)$ (A1)

528

529

where *N* is the number of genotypes (N = 10 in our model), $T(i \leftarrow j, k)$ is the transition probability that a breeding pair of genotype *j* as female and *k* as male produces offspring of genotype *i*, w_j is the fitness as the reproductive success of the breeding pair in which the female has genotype *j* (males do not lay eggs and the male *k* does not influence the reproductive success in our model), and \overline{w} is the average fitness defined by

535

536

537
$$\overline{w} = \sum_{j=1}^{N} w_j x_j$$

538

539

to normalize the genotype frequencies x'_i (i = 1, 2, ..., 10) to sum up to 1. In vector and matrix notation, equation (A1) is written as equation (1) and (2) by replacing x_i with h_i and p_i for the host and the parasite, respectively.

- 27 -

We here describe the details of fitness matrices W_H , W_P and the transmission matrices $\mathbf{T}_{\mathrm{H}}, \mathbf{T}_{\mathrm{P}}.$ Fitness matrix for the host \mathbf{W}_{H} is given as follows using parasitism rate P $\mathbf{W}_{H} = (1 - P)\mathbf{I} + P\tilde{\mathbf{W}}$ where the first term in the r.h.s. is reproductive success when not parasitized and the second term is that when parasitized. Here I is the 10^2 by 10^2 identity matrix with 1 along diagonal elements and 0 elsewhere. $\tilde{\mathbf{W}}$ consists of ten 10 by 10 diagonal matrices \mathbf{W}_i defined as $\tilde{\mathbf{W}} = \begin{pmatrix} \tilde{\mathbf{W}}_{1} & 0 & \mathsf{L} & 0 \\ 0 & \tilde{\mathbf{W}}_{2} & 0 & 0 \\ \mathsf{M} & 0 & \mathsf{O} & 0 \\ 0 & 0 & 0 & \tilde{\mathbf{W}} \end{pmatrix}, \quad \tilde{\mathbf{W}}_{i} = \begin{pmatrix} w_{i} & 0 & \mathsf{L} & 0 \\ 0 & w_{i} & 0 & 0 \\ \mathsf{M} & 0 & \mathsf{O} & 0 \\ 0 & 0 & 0 & \mathsf{W} \end{pmatrix}$ where w_i is host reproductive success from host female genotype *i* (*i* = 1, 2, ..., 10). For

the host to successfully reproduce, parasitism has to be rejected. Thus, from Table 1, w_i is obtained as products of the probability of being parasitized by a parasite *j* and the probability of rejecting the parasitism

567

568
$$w_i = \sum_{j=1}^{10} \{1 - A(C_H(i), C_P(j))\} p_j \phi_i \frac{1}{h_i}$$

569

570

where $C_{\rm H}(i)$ and $C_{\rm P}(i)$ refers to the egg color of genotype *i* of the host and the parasite, respectively. We have assumed that the probability that a host genotype *i* is parasitized is given by φ_i in which the parameter *n* controls the way the parasite searches host nests. Thus we have to divide the term by h_i to derive fitness (when n = 1, $\varphi_i = x_i$)

575

For the parasite to successfully reproduce, parasitism has to be accepted. Thus the fitness matrix for the parasite \mathbf{W}_{P} is given as equally as above but the element w_i is replaced with

579

580

581
$$w_i = \sum_{j=1}^{10} A(C_H(j), C_P(i))\phi_j$$

582

584	as products of the p	proba	bilit	ty of	parasitism	to host ge	enoty	pe j	and t	he p	probability of	the
585	parasitism being ac	cepte	d, s	umn	ned over al	l possible I	host g	enc	otypes			
586												
587	Transmission matr	ix T	con	sists	of ten 10	by 10 sq	uare	mat	rices	\mathbf{T}_i ((i = 1, 2,,	10)
588	arranged horizontally											
589												
590												
591	$\mathbf{T} = (\mathbf{T}_1)$	\mathbf{T}_2 L	-	T ₁₀)								
592												
593												
594	The <i>j</i> -th column of	f T _i re	epre	sent	s the frequ	encies of	offspr	ing	geno	type	es produced b	y a
595	breeding pair (the female is genotype i and the male is j) and the column sum amounts											
596	to 1 (<i>j</i> = 1, 2,, 10). E.g.,											
597												
598												
	(1	1/2	0	1/2	(1-r)/2	r/2	0	0	0	0)		
	0	1/2	1	0	r/2	r/2 (1-r)/2	1/2	0	0	0		
	0			0	0	0	0	0	0	0		
	0	0	0	1/2	r/2	(1-r)/2	0	1	1/2	0		
		0	0	0	(1-r)/2		1/2	0	1/2	1		
599	$\mathbf{T}_{1} = \begin{bmatrix} 0\\0 \end{bmatrix}$		0	0	0	0	0	0	0	0		
	0		0	0	0	0	0	0	0	0		
	0		0	0	0	0	0	0	0	0		

 $\begin{bmatrix} 0\\ 0 \end{bmatrix}$

0 0

0

0

0

0

0

0

0

0

0

0)

0 0 0

0

- using recombination rate r of the two loci. In the same way, T_2 through T_{10} are obtained.
- 603 The host and the parasite have a recombination rate $r_{\rm H}$ and $r_{\rm P}$, respectively.

604

605 Asexual inheritance

Asexual inheritance of egg phenotypes (no male contribution to offspring egg color) can be implemented by setting the matrix \mathbf{T}_i to have 0 in all elements except the *i*th row being 1 (*i* = 1, 2, ..., 10).

609

610

611
$$\mathbf{T}_{i} = \begin{pmatrix} 0 & 0 & \mathsf{L} & & 0 \\ \mathsf{M} & & & \\ 1 & 1 & \mathsf{L} & & 1 \\ 0 & 0 & \mathsf{L} & & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

612

613

This applies both to the host and the parasite. In asexual inheritance, the frequency dynamics of 10 genotypes in the host and the parasite populations (20 genotypes in total) can be reduced to the frequency dynamics of six phenotypes, $\mathbf{h} = (f_{h0}, f_{h1/2}, f_{h1})$, \mathbf{p} $= (f_{p0}, f_{p1/2}, f_{p1})$.

618

620 Local stability

621	Local stability of an equilibrium can be studied by examining eigenvalues of the
622	linearized dynamics around the equilibrium.
623	
624	For the equilibrium (4) when condition (5) is met and all three colors are present, we
625	have two zero and four complex eigenvalues
626	
627	
628	$\lambda_1 = \lambda_2 = 0$
629	
630	$\lambda_{3,4} = 1 \pm (a_0 - a_{1/2})(a_0 - a_1)\beta i$
631	
632	$\lambda_{5,6} = 1 \pm (a_0 - a_{1/2})(a_0 - 2a_{1/2} + a_1)\beta i$
633	
634	
635	where
636	
637	
638	$\beta = \sqrt{\frac{P}{(a_0^2 - 2a_{1/2}^2 + a_0a_1)\{3a_0 - 4a_{1/2} + a_1 - P(a_0^2 - 2a_{1/2}^2 + a_0a_1)\}}$
639	
C 40	

641 is a positive real value when the condition (5) is satisfied and the parasitism rate *P* is 642 small enough. The absolute value of the four complex eigenvalues is always larger than 643 unity and the equilibrium (4) is unstable; once perturbed the six frequencies oscillate 644 approximately with periods 645 646 647 $T_1 = \frac{2\pi}{\tan^{-1}(a_0 - a_{1/2})(a_0 - a_1)\beta} \propto \frac{1}{\sqrt{P}}$ 648 649 and

650

651
$$T_2 = \frac{2\pi}{\tan^{-1}(a_0 - a_{1/2})(a_0 - 2a_{1/2} + a_1)\beta} \propto \frac{1}{\sqrt{P}},$$

652

653

both of which are proportional to the inverse of square root of parasitism rate P. A

shorter period will dominate actual frequency oscillation.

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828	
829	

830 **Table 1**

831 Combinations of host and parasite genotypes conditional on parasitism having occurred

832 with probability *P*. The upper is host fitness as the probability of rejecting parasitism.

⁸³³ The lower is parasite fitness as the probability of parasitism being accepted.

Hosts	Phenotype: 0	Phenotype: 1/2	Phenotype: 1
	Genotype freq.: x_1 ,	Genotype freq.: x_5 ,	Genotype freq.: x_4 ,
	x_2, x_3	x_6, x_7, x_9, x_{10}	<i>x</i> ₈
Parasites	Prob.: φ_1 , φ_2 , φ_3	Prob.: φ ₅ , φ ₆ , φ ₇ , φ ₉ ,	Prob.: φ ₄ , φ ₈
		φ ₁₀	
Phenotype: 0	$1 - a_0$	$1 - a_{1/2}$	$1 - a_1$
Genotype freq.: y ₁ ,	a_0	<i>a</i> _{1/2}	a_1
<i>y</i> ₂ , <i>y</i> ₃			
Phenotype: 1/2	$1 - a_{1/2}$	$1 - a_0$	$1 - a_{1/2}$
Genotype freq.: y ₅ ,	<i>a</i> _{1/2}	a_0	<i>a</i> _{1/2}
<i>y</i> 6, <i>y</i> 7, <i>y</i> 9, <i>y</i> 10			
Phenotype: 1	$1 - a_1$	$1 - a_{1/2}$	$1 - a_0$
Genotype freq.: y ₄ ,	a_1	<i>a</i> _{1/2}	a_0
<i>y</i> ₈			

834

835

836 Figure legends

837 Fig. 1

The way the parasite targets to parasitize a host nest. The inner disk represents host 838 phenotypic frequency of the three colors, 0, 1/2 and 1, each being 16.7%, 33.3% and 839 50.0% (1/6, 2/6, 3/6), respectively, as an example. The outer disk represents relative 840 frequencies of host nests being actually parasitized, $\phi_1 + \phi_2 + \phi_3$, $\phi_5 + \phi_6 + \phi_7 + \phi_9 + \phi_{10}$, 841 and $\phi_4 + \phi_8$. If parasites randomly search for host nests to parasitize (n = 1), the relative 842 frequencies become identical to the actual frequencies of the three colors (Left). If 843 parasites utilize hosts with an egg color in minority more than actual frequencies (n < 1), 844 845 hosts with color 0 is more likely parasitized (Middle). If parasites utilize hosts with an egg color in majority more than actual frequencies (n > 1), hosts with color 1 are more 846 likely parasitized (Right). 847

848

849 Fig. 2

The acceptance probabilities of parasitic eggs by the host, a_0 , $a_{1/2}$, and a_1 , plotted against the difference in egg color. The host does not tolerate moderately mimetic eggs (thick lines) and host sensitivity is high $(a_0 - 2a_{1/2} + a_1 > 0)$. The host tolerates the moderately mimetic eggs (gray lines) and sensitivity is low $(a_0 - 2a_{1/2} + a_1 < 0)$. The unique trimorphic equilibrium (4) is possible if and only if the condition (5), $a_0 - 2a_{1/2} + a_1 < 0$, is satisfied.

856

857

858 Fig. 3

Possible equilibria of the frequency dynamics of (1) and (2) when egg color is asexually 859 inherited and the parasite parasitizes randomly (n = 1). The horizontal axis represents 860 egg color of the host and the parasite. The vertical axis represents frequency (scale is 861 arbitrary except for monomorphic equilibria). a) Both the host and the parasite are 862 monomorphic in egg color. b) The host is dimorphic with 0 and 1 and the parasite 863 864 monomorphic with 1/2. Or the host is monomorphic with 1/2 and the parasite dimorphic with 0 and 1. c) Both the host and the parasite are dimorphic. d) All colors are present 865 866 both in the host and the parasite. This trimorphic equilibrium is possible if and only if 867 condition (5) is satisfied.

868

869 Fig. 4

870 Frequency dynamics of the three phenotypes of the host and the parasite when egg color is asexually inherited. Black curve represents frequency of white 0, gray curve for blue 871 872 1 and dotted curve for pale blue 1/2. a) Pale blue eggs (1/2) are absent both in the host and the parasite. Parasites search for host nests randomly (n = 1). b) Same as a) but 873 parasites utilize host nests with an egg color in minority more often than its frequency 874 875 (n = 0.5). c) All colors are present and parasites search for host nests randomly (n = 1). d) Same as c) but parasites are attracted to hosts with an egg color in minority (n = 0.5). 876 Initial frequencies of the three color in the host and the parasite $(f_{h0}, f_{h1/2}, f_{h1}, f_{p0}, f_{p1/2}, f$ 877 f_{p1}) are set equal to those observed in Yang et al. (2010), (313/547, 0, 234/547, 10/21, 0, 878 11/21) for a) and b), (313/555, 8/555, 234/555, 10/24, 3/24, 11/24) for c) and d), 879

- 44 -

respectively. Parameters used in common are $a_0 = 0.8$, $a_{1/2} = 0.3$, $a_1 = 0.1$ and P = 0.05based on Yang et al. (2010).

882

883 Fig. 5

Dependency of oscillation period *T* on the parasitism rate *P*. Dot and rectangle shows period *T* for asexual and sexual inheritance, respectively. Dotted and thick curve represents least-square fit of the inverse of square root of the parasitism rate *P*; 20.0/ \sqrt{P} (asexual) and 41.9/ \sqrt{P} (sexual). Oscillation period *T* was calculated by Fourier analysis from time series of the phenotypic frequencies where pale blue was absent and parasites utilize hosts randomly (*n* = 1). For asexual inheritance, time series data in the early 300 generations were used to avoid the effect caused by heteroclinic cycle.

891

892 Fig. 6

Frequency dynamics of the three phenotypes of the host and the parasite when egg color 893 is sexually inherited. Black curve represents frequency of white 0, gray curve for blue 1 894 and dotted curve for pale blue 1/2. a) Pale blue eggs (1/2) are absent both in the host and 895 the parasite (the allele M is absent). Parasites search for host nests randomly (n = 1). b) 896 Same as a) but parasites utilize host nests with an egg color in minority more often than 897 its frequency (n = 0.5). c) All colors are present and parasites search for host nests 898 randomly (n = 1). d) Same as c) but parasites are attracted to hosts with an egg color in 899 minority (n = 0.5). Note that the dynamics (1) and (2) are calculated up to 4,000 900 generations for c) and d). Initial frequencies of ten genotypes in the host and the parasite 901

902	are set equal to the Hardy-Weinberg equilibrium whose phenotypic frequencies match
903	those observed in Yang et al. (2010), $(f_{h0}, f_{h1/2}, f_{h1}, f_{p0}, f_{p1/2}, f_{p1}) = (313/547, 0, 234/54$
904	10/21, 0, 11/21) for a) and b), (313/555, 8/555, 234/555, 10/24, 3/24, 11/24) for c) and
905	d), respectively. Parameters used in common are $a_0 = 0.8$, $a_{1/2} = 0.3$, $a_1 = 0.1$, $P = 0.05$
906	and recombination rate $r_{\rm H} = r_{\rm P} = 0.5$ (the two loci are not linked).
907	

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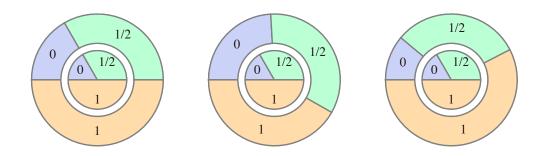


Figure 1

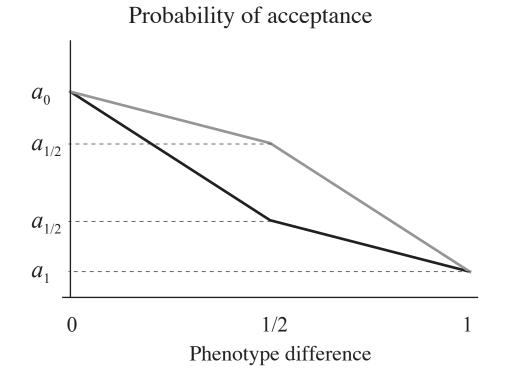


Figure 2

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