

A New Level Set Numerical Wave Tank with Improved Density Interpolation for Complex Wave Hydrodynamics

Hans Bihs^{a,*}, Arun Kamath^a, Mayilvahanan Alagan Chella^a, Ankit Aggarwal^a, Øivind Asgeir Arntsen^a

^a*Department of Civil and Transport Engineering, Norwegian University of Science and Technology (NTNU), NO-7491 Trondheim, Norway*

Abstract

A new three-dimensional numerical wave tank is developed for the calculation of wave propagation and wave hydrodynamics by solving the incompressible Navier-Stokes equations. The free surface is modeled with the level set method based on a two-phase flow approximation, allowing for the simulation of complex phenomena such as wave breaking. The convection terms of the momentum and the level set equations are discretized with the finite difference version of the fifth-order WENO scheme. Time stepping is handled with the third-order TVD Runge-Kutta scheme. The equations are solved on a staggered Cartesian grid, with a ghost cell immersed boundary method for the treatment of irregular cells. Waves are generated at the inlet and dissipated at the numerical beach with the relaxation method. The choice of the numerical grid and discretization methods leads to excellent accuracy and stability for the challenging calculation of free surface waves. The performance of the numerical model is validated and verified through several benchmark cases: solitary wave interaction with a rectangular abutment, wave forces on a vertical cylinder, wave propagation over a submerged bar and plunging breaking waves on a sloping bed.

Keywords: numerical wave tank, wave propagation, wave hydrodynamics, breaking waves, wave forces

1. Introduction

2 The choice of model for the wave propagation and transformation calculation depends on the
3 required detail and resolution. For large scale wave modeling, such as the wave transformation

*Corresponding author

Email address: `hans.bihs@ntnu.no` (Hans Bihs)

4 from deep to shallow waters, spectral wave models such as SWAN [5] are used. This type of model
5 solves the wave action or energy balance equation, which describes the wave spectrum evolution
6 in space and time. The modeled waves are consequently phase averaged. Spectral wave models
7 have been successfully applied to a variety of coastal problems [41][55]. For a range of water
8 wave engineering problems, more detail is required concerning the wave transformation process,
9 including phase information. Phase resolving models based on the Boussinesq equations [32][37] or
10 the parabolic mild-slope equation [28] have the capability to accurately model wave reflection and
11 diffraction. The mild-slope approach is based on the assumption of a mildly sloping sea bottom
12 and linear monochromatic waves. Standard Boussinesq-type models are based on the shallow water
13 equations for non-dispersive linear wave propagation. Extended versions of the Boussinesq equations
14 make it possible to predict wave propagation and transformation from deep to shallow water with
15 the help of improved dispersive terms [31]. When it comes to engineering applications, such as
16 wave propagation in nearshore and harbor areas, Boussinesq-type models are often the preferred
17 engineering solution.

18 Yet another approach to wave modeling is the class of Fully Nonlinear Potential Flow Models
19 (FNPF), which neglect the effects of viscosity and rotational flow. Here, the Laplace equation
20 for the flow potential is solved with the Boundary Element Method. A Finite Element Method
21 (FEM) and a Mixed Finite Element (MFEM) based method based on the potential theory was
22 presented [54]. A Mixed Eulerian-Lagrangian (MEL) method was shown [19] and could simulate
23 wave transformation up to the point of wave breaking. A higher-order spectral method for the
24 simulation of nonlinear waves was presented [11] with application to the evolution of a wave packet.
25 The potential flow models work well for a range of problems, such as wave propagation in deep
26 water [12] or wave shoaling in shallow water [17]. All mentioned wave models have in common,
27 that they give up a certain level of detail for the benefit of reduced computational cost. For a lot
28 of water wave engineering problems, this is a perfectly reasonable choice.

29 On the other hand there are complex cases, such as breaking wave kinematics or flow around
30 slender structures, where a more detailed solution is required in order to capture the relevant flow
31 physics. The solution of the three-dimensional Navier-Stokes equations resolves even more detail
32 of the flow processes. Here, the approach is to solve for the basic underlying flow variables, such
33 as the velocities, the pressure and turbulence. Together with the appropriate algorithms for the
34 interface capturing, the free surface and resulting water wave dynamics can be calculated based on

35 the three-dimensional flow field. In order to avoid the unphysical damping of propagating waves
36 due to numerical diffusion, the usage of the Navier-Stokes equations imposes strict criteria for
37 the mesh resolution, the time step size as well as the general accuracy of the numerical algorithm.
38 There have been several studies where Navier-Stokes solvers in conjunction with interface capturing
39 schemes have been used to calculate complex free surface flows such as [50], [58], [9] and [6]. In
40 the current study the focus is exclusively on the demanding problem of wave propagation and wave
41 hydrodynamics. Some successful efforts have been made to use a CFD program as a numerical wave
42 tank, e.g. [25] or [21]. In these methods, the CFD model calculates the free surface with a Volume-of-
43 Fluid (VOF) algorithm, based on convection of the fraction function and interface-compression [51].
44 The governing equations are solved on a collocated unstructured grid with second-order accuracy for
45 the spatial and temporal discretization. In both cases [25] [21], algorithms for the wave generation
46 and absorption were implemented, resulting in a three-dimensional numerical wave tank. The
47 models were applied to typical laboratory experiments for wave propagation, showing that with
48 today's efficient numerical models and computational resources, very complex wave propagation
49 simulations can be performed [39][23][42].

50 In this work, the open-source model REEF3D [1] is presented with alternative approaches for
51 the underlying grid architecture, discretization of the governing equations and treatment of the
52 complex free surface. As mentioned above, numerical accuracy and stability are essential for the
53 good performance of a Navier-Stokes equations based numerical wave tank. Under this premise,
54 the appropriate numerical algorithms were chosen for REEF3D. The level set method is used for
55 the capturing of the free water surface [38]. It has been used for describing two-phase flow with
56 water-air interfaces in several studies [58][56][9]. Geometric Volume-of-Fluid (VOF) algorithms have
57 shown to give better mass conservation properties than the level set method [50]. On the other
58 hand, high-order temporal and spatial discretization can be used for the level set function, which
59 avoid unphysical damping of the propagating water waves. Further, the equations of fluid motion
60 are solved on a staggered grid, ensuring tight velocity-pressure coupling. The Cartesian grid makes
61 it possible to employ the fifth-order Weighted Essentially Non-Oscillatory (WENO) scheme [27] for
62 convection discretization, which delivers accurate and stable solutions. Also for the discretization in
63 time, a high-order method is selected with the third-order total variation diminishing (TVD) Runge-
64 Kutta scheme [43]. As a result, wave propagation and transformation can be calculated throughout
65 the wave steepness range up to the point of wave breaking and beyond, with no artificially high

66 air velocities impacting the quality of the free surface. In Section 2, the numerical methods for
 67 the solution of the Navier-Stokes equations are discussed. In Section 3, the free surface treatment
 68 and the details of the numerical wave tank implementation are presented. The numerical results of
 69 several benchmark wave applications are given in Section 4, before the conclusion in Section 5.

70 2. Numerical Model

71 2.1. Governing Equations

72 The incompressible fluid flow is described by the three-dimensional Reynolds-Averaged Navier-
 73 Stokes equations (RANS), which are solved together with the continuity equation for prescribing
 74 momentum and mass conservation:

$$\frac{\partial u_i}{\partial x_i} = 0 \quad (1)$$

$$\frac{\partial u_i}{\partial t} + u_j \frac{\partial u_i}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \frac{\partial}{\partial x_j} \left[(\nu + \nu_t) \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \right] + g_i \quad (2)$$

75 where u is the velocity averaged over time t , ρ is the fluid density, p is the pressure, ν is the
 76 kinematic viscosity, ν_t is the eddy viscosity and g the acceleration due to gravity.

77 The eddy viscosity ν_t in the RANS equations is determined through the two-equation k - ω model
 78 [53], with the equations for the turbulent kinetic energy k and the specific turbulent dissipation ω
 79 as follows:

$$\frac{\partial k}{\partial t} + u_j \frac{\partial k}{\partial x_j} = \frac{\partial}{\partial x_j} \left[\left(\nu + \frac{\nu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_j} \right] + P_k - \beta_k k \omega \quad (3)$$

$$\frac{\partial \omega}{\partial t} + u_j \frac{\partial \omega}{\partial x_j} = \frac{\partial}{\partial x_j} \left[\left(\nu + \frac{\nu_t}{\sigma_\omega} \right) \frac{\partial \omega}{\partial x_j} \right] + \frac{\omega}{k} \alpha P_k - \beta \omega^2 \quad (4)$$

80 where P_k is the turbulent production rate, the coefficients have the values $\alpha = \frac{5}{9}$, $\beta_k = \frac{9}{100}$, $\beta = \frac{3}{40}$,
 81 $\sigma_k = 2$ and $\sigma_\omega = 2$. In the oscillatory flow motion that characterizes the wave flow field, the mean
 82 rate of strain \mathbf{S} can be large. The boundary layer is not resolved explicitly in the model but is
 83 accounted for with the wall laws in the turbulence model. In order to avoid overproduction of
 84 turbulence in highly strained flow outside the boundary layer, the turbulent eddy viscosity ν_t is

85 bounded through the following limited formulation [13]:

$$\nu_t = \min \left(\frac{k}{\omega}, \sqrt{\frac{2}{3}} \frac{k}{|\mathbf{S}|} \right) \quad (5)$$

86 The turbulent length scales in the water are reduced in the proximity of the free surface, leading
 87 to increased turbulent dissipation in this region. Also, the turbulent fluctuations normal to the free
 88 surface are damped, as their intensity is redistributed to the ones parallel to the interface. When
 89 modeling two-phase flow, this behavior is not directly captured by a RANS turbulence model. As
 90 \mathbf{S} can be large especially in the vicinity of the interface between water and air, standard RANS tur-
 91 bulence closure will incorrectly predict maximum turbulence intensity at the free surface. Through
 92 the implementation of an additional turbulence damping scheme, a more realistic representation of
 93 the free surface effect on the turbulence can be achieved [35]. The specific turbulent dissipation at
 94 the free surface is defined as:

$$\omega_s = \frac{c_\mu^{-\frac{1}{4}}}{\kappa} k^{\frac{1}{2}} \cdot \left(\frac{1}{y'} + \frac{1}{y^*} \right) \quad (6)$$

95 where $c_\mu = 0.07$ and $\kappa = 0.4$. The variable y' is the virtual origin of the turbulent length scale,
 96 and was empirically found to be 0.07 times the mean water depth [24]. Including the distance y^*
 97 from the nearest wall gives a smooth transition from the free surface value to the wall boundary
 98 value of ω . The term for the specific turbulent dissipation ω_s is activated around the interface of
 99 thickness ϵ by multiplying it with the Dirac delta function $\delta(\phi)$:

$$\delta(\phi) = \begin{cases} \frac{1}{2\epsilon} \left(1 + \cos \left(\frac{\pi\phi}{\epsilon} \right) \right) & \text{if } |\phi| < \epsilon \\ 0 & \text{else} \end{cases} \quad (7)$$

100 The pressure gradient term in the RANS equations is modeled with Chorin's projection method
 101 [8] for incompressible flow on a staggered grid. The staggered grid configuration ensures a tight
 102 velocity-pressure coupling. The pressure gradient is removed from the momentum equations. The
 103 updated velocity after each Euler step of the Runge-Kutta time discretization is the intermediate
 104 velocity u_i^* . Then the Poisson equation for the pressure is formed by calculating the divergence of

105 the intermediate velocity field:

$$-\frac{\partial}{\partial x_i} \left(\frac{1}{\rho(\phi^n)} \frac{\partial p}{\partial x_i} \right) = -\frac{1}{\Delta t} \frac{\partial u_i^*}{\partial x_i} \quad (8)$$

106 The Poisson equation is solved using the fully parallelized Jacobi-preconditioned BiCGStab
 107 algorithm [48]. The pressure is then used to correct the intermediate velocity field, resulting in the
 108 divergence free velocity at the new time step:

$$u_i^{n+1} = u_i^* - \frac{\Delta t}{\rho(\phi^n)} \frac{\partial p}{\partial x_i} \quad (9)$$

109 2.2. Discretization of the Convective Terms

110 The convective terms of the RANS equations are discretized with the fifth-order WENO scheme
 111 [27] in the conservative finite-difference framework. The convection term of the velocity component
 112 in x -direction is approximated as follows:

$$u_i \frac{\partial u_i}{\partial x_i} \approx \frac{1}{\Delta x} (\tilde{u}_{i+1/2} u_{i+1/2} - \tilde{u}_{i-1/2} u_{i-1/2}) \quad (10)$$

113 Here \tilde{u} is the convection velocity, which is obtained at the cell faces through simple interpolation.
 114 For the cell face $i + 1/2$, $u_{i+1/2}$ is reconstructed with the WENO procedure:

$$U_{i+1/2}^\pm = \omega_1^\pm U_{i+1/2}^{1\pm} + \omega_2^\pm U_{i+1/2}^{2\pm} + \omega_3^\pm U_{i+1/2}^{3\pm} \quad (11)$$

115 The \pm sign indicates the upwind direction. U^1 , U^2 and U^3 represent the three possible ENO
 116 stencils. For upwind direction in the positive i -direction, they are:

$$\begin{aligned} U_{i+1/2}^{1-} &= \frac{1}{3}u_{i-2} - \frac{7}{6}u_{i-1} + \frac{11}{6}u_i, \\ U_{i+1/2}^{2-} &= -\frac{1}{6}u_{i-1} + \frac{5}{6}u_i + \frac{1}{3}u_{i+1}, \\ U_{i+1/2}^{3-} &= \frac{1}{3}u_i + \frac{5}{6}u_{i+1} - \frac{1}{6}u_{i+2} \end{aligned} \quad (12)$$

117 The nonlinear weights ω_n^\pm are determined for each ENO stencil and calculated based on the
 118 smoothness indicators IS [27]. Large smoothness indicators indicate a non-smooth solution in the
 119 particular ENO stencil. Accordingly, the non linear weights ω_n for this stencil will be small. The

120 WENO scheme favors stencils with a smooth solution and assigns them the largest weights ω_n .
 121 As a result the scheme can handle large gradients right up to the shock very accurately. In the
 122 worst-case situation, the WENO scheme will achieve a third-order of accuracy. In the areas where
 123 the solution is smooth, it will deliver fifth-order accurate results. In comparison to high resolution
 124 schemes such as MUSCL [49] or TVD [20] schemes, the WENO scheme does not smear out the
 125 solution. Instead, it maintains the sharpness of the extrema. The conservative WENO scheme is
 126 used to treat the convective terms for the velocities u_i , while the Hamilton-Jacobi version is used
 127 for the variables of the free surface and turbulence algorithms.

128 2.3. Time Advancement Scheme

129 For the time treatment of the momentum and the level set equations, a third-order accurate
 130 TVD Runge-Kutta scheme is employed, consisting of three Euler steps [43].

$$\begin{aligned}
 \phi^{(1)} &= \phi^n + \Delta t L(\phi^n) \\
 \phi^{(2)} &= \frac{3}{4}\phi^n + \frac{1}{4}\phi^{(1)} + \frac{1}{4}\Delta t L(\phi^{(1)}) \\
 \phi^{n+1} &= \frac{1}{3}\phi^n + \frac{2}{3}\phi^{(2)} + \frac{2}{3}\Delta t L(\phi^{(2)})
 \end{aligned} \tag{13}$$

131 This scheme provides a high-order of temporal accuracy, and for CFL numbers below 1 it shows
 132 very good numerical stability through its TVD properties. Adaptive time stepping is used in order
 133 to control the CFL number and takes the influence from velocity, diffusion and the source term S,
 134 such as for example gravity, into account [16]. The time step size Δt is determined as follows:

$$\Delta t \leq 2 \left(\left(\frac{|u|_{max}}{dx} + D \right) + \sqrt{\left(\frac{|u|_{max}}{dx} + D \right)^2 + \frac{4|S_{max}|}{dx}} \right)^{-1} \tag{14}$$

135 with the contribution from the diffusion term D:

$$D = \max(\nu + \nu_t) \cdot \left(\frac{2}{(dx)^2} + \frac{2}{(dy)^2} + \frac{2}{(dz)^2} \right) \tag{15}$$

136 For a RANS model, where the turbulence magnitude is expressed through the eddy viscosity,
 137 the diffusion criterion of the order ν_{max}/dx^2 can become prohibitively restrictive. As a solution,
 138 the diffusion part of the RANS equation is treated implicitly in the current numerical model,

139 thus removing it from the CFL criterion. The third-order accurate TVD Runge-Kutta scheme is
140 used for all transport equations in the numerical wave tank with the exception of the turbulence
141 model. A special characteristic of two-equation turbulence models is that they are mostly source
142 term driven, namely by the turbulent production and dissipation terms. In comparison to the
143 momentum equation, the convective and diffusive terms play only a minor role. For explicit time
144 discretization of the k and ω equations, the large source terms result in a significantly smaller time
145 step than for the momentum equations due to the CFL criterion. Instead of letting the turbulence
146 model determine the time step, its equations are discretized with a first-order implicit Euler scheme.

147 *2.4. Immersed Boundary*

148 The numerical model uses a Cartesian grid in order to employ high-order discretization schemes.
149 An additional benefit comes from the straightforward implementation of numerical algorithms, as
150 the geometry of the numerical cells is trivial in this case. The challenge of irregular, non-orthogonal
151 solid boundaries is overcome with the implementation of the immersed boundary method. In
152 REEF3D, a ghost cell immersed boundary method (GCIBM) is used [4]. In this method, the
153 solution is analytically continued through the solid boundary by updating fictitious ghost cells in
154 the solid region by extrapolation. This way, the numerical discretization does not need to account
155 for the boundary conditions explicitly, instead they are enforced implicitly. The algorithm is based
156 on the local directional approach [4], which was implemented in two dimensions. For the current
157 model it has been extended to three dimensions. In the original GCIBM, the fluid values are
158 extrapolated orthogonal to the boundary into the solid [47][34], which can become difficult for
159 sharp corners. In the local directional GCIBM the values from the fluid are extrapolated into the
160 solid along the coordinate directions [4].

161 In REEF3D, grids can be generated based on geometric primitives, such as boxes, cylinders and
162 wedges. More complex geometries can be read in .STL format and immersed into the Cartesian
163 grid, following the strategy presented in [57]. For natural bathymetries with measured x , y and
164 z coordinates, the solid boundary can be represented by a level set function. Then, the location
165 of the level set function is calculated from the coordinates with either inverse-distance or kriging
166 interpolation.

167 *2.5. Parallelization*

168 The efficient computation of CFD results depends to a large extent on the strategy for the
 169 parallelization of the numerical model. In REEF3D, parallelization is achieved through domain
 170 decomposition. Here, the simulation domain is split into smaller parts, each of them communicating
 171 with their neighbors through ghost cells. Because REEF3D already uses the ghost cell method for
 172 the solid boundaries, this approach is straightforward to code and consistent with the treatment of
 173 the other domain boundaries. The message passing interface (MPI) is used for the implementation
 174 of the ghost cell value exchange. Since a fifth-order WENO scheme is used for the convection
 175 discretization of the velocities, the level set function and the variables of the turbulence model,
 176 three ghost cell levels are required. For the pressure, only one level of ghost cells is needed. The
 177 code is employed on NOTUR’s supercomputer Vilje [36], which is an “SGI Altix 8600” cluster.
 178 Vilje consists of 1404 nodes with two 8-core processors on each node, resulting in a total of 22464
 179 cores.

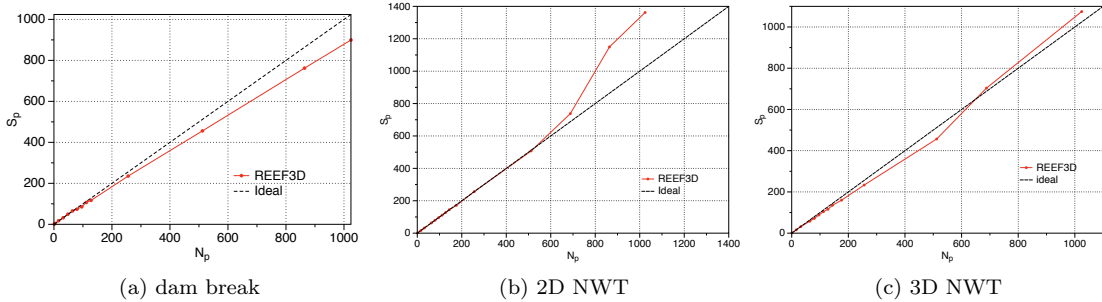


Figure 1: Parallel scaling test for dam break, 2D wave tank and 3D wave tank

180 In order to investigate the parallel efficiency of REEF3D, a 3D dam break test, a 2D numerical
 181 wave tank (NWT) and a 3D NWT were simulated for 100 iterations. For the 3D dam break, a
 182 domain of size $(L_x \times L_y \times L_z = 1 \text{ m} \times 1 \text{ m} \times 1 \text{ m})$ is used with a grid size $dx = 0.005 \text{ m}$ with a total
 183 of 8 million cells. A water column 0.8 m high and 0.3 m long collapses along the 1 m width of the
 184 domain. For the 2D NWT test, a rectangular wave tank with the domain size $(L_x \times L_z = 62 \text{ m} \times 4 \text{ m})$
 185 and a mesh size of $dx = 0.005 \text{ m}$ has a total of 9.92 million cells. For the 3D NWT test, a wave tank
 186 with the domain size of $(L_x \times L_y \times L_z = 250 \text{ m} \times 5 \text{ m} \times 8 \text{ m})$, a mesh size of $dx = 0.1 \text{ m}$ and a total
 187 of 10 million cells is used. As seen from Fig. (1a), the parallel speedup (S_p) for the 3D dam break
 188 follows the ideal scaling up to 80 processors. Further, the speedup (S_p) is slightly reduced and the

N_p	dam break		2D NWT		3D NWT	
	CPU time (s)	S_p	CPU time (s)	S_p	CPU time (s)	S_p
1	998.4	1	7968	1	950	1
4	249.6	4	1992	4	237.5	4
16	57.2	17	498	16	59.5	16
32	31.2	32	249	32	30	32
48	20.3	49	165.3	48	21.1	45
64	15.3	65	126	63	16.4	58
80	13.5	74	100	80	13.3	71
96	11.7	85	83.2	96	10.8	88
112	9.4	107	71.7	111	9.1	104
128	8.5	117	62.3	128	8.2	116
256	4.2	235	31.1	256	4.1	233
512	2.2	456	15.7	508	2.1	457
864	1.3	762	6.9	1150	1.1	841
1024	1.1	900	5.9	1362	0.8	1075

Table 1: CPU times and Speedup (S_p) for each test case

189 speedup for 1024 processors is 899.5. For the 2D NWT in Fig. (1b), the ideal scaling is followed
190 up to 688 processors. After that, the speedup is even further improved. For the 3D NWT, the
191 parallel speedup of the model shown in Fig.(1c) is close to the ideal situation up to the maximum
192 number of tested processor cores. A difference is seen between the speedup in the different test
193 cases. This can be attributed to the geometry of the numerical domain used in the test cases. In
194 the case of the 3D dam break, the geometry is uniformly spread in the three directions with a 1:1:1
195 aspect ratio. Then, the decomposition is homogenous for all the processors and the number of ghost
196 cells is the same in all three coordinate directions. The slight reduction in speedup seen with the
197 increase in the number of processors is due to the overhead from parallel communication. In the
198 case of the NWT test in 2D, the spatial domain along the x - direction is much larger than the
199 extent along the y - and z - directions. In these cases, as the number of processors are increased,
200 the decomposition of the spatial domain results in the smaller partitions. Due to the skewed aspect
201 ratio, the length of the domain along the x - direction is smaller compared to the y - direction.
202 As the number of processors is further increased, the gain in computational speed outweighs the
203 parallel communication overhead and a near-ideal speedup is obtained. The 3D NWT test follows
204 a similar trend. A summary of the CPU times taken and the speedup calculated for each test case
205 is listed in Table (1).

206 **3. Numerical Wave Tank**

207 *3.1. Free Surface Capturing*

208 The location of the free water surface is represented implicitly by the zero level set of the
 209 smooth signed distance function $\phi(\vec{x}, t)$ [38]. The level set function gives the closest distance to
 210 the interface Γ and the two phases are distinguished by the change of the sign. This results in the
 211 following properties:

$$\phi(\vec{x}, t) \begin{cases} > 0 \text{ if } \vec{x} \in \text{phase 1} \\ = 0 \text{ if } \vec{x} \in \Gamma \\ < 0 \text{ if } \vec{x} \in \text{phase 2} \end{cases} \quad (16)$$

212 In addition, the Eikonal equation $|\nabla\phi| = 1$ is valid. When the interface Γ is moved under an
 213 externally generated velocity field \vec{u} , a convection equation for the level set function is obtained:

$$\frac{\partial\phi}{\partial t} + u_j \frac{\partial\phi}{\partial x_j} = 0 \quad (17)$$

214 The convection term in Eq. (17) is solved with the Hamilton-Jacobi version of the WENO scheme
 215 [26]. For time stepping, the third-order TVD Runge-Kutta scheme is used [43]. When the interface
 216 evolves, the level set function loses its signed distance property. In order to maintain this property
 217 and to ensure mass conservation, the level set function is reinitialized after each time step. In the
 218 present paper, a PDE based reinitialization equation is solved [45]:

$$\frac{\partial\phi}{\partial t} + S(\phi) \left(\left| \frac{\partial\phi}{\partial x_j} \right| - 1 \right) = 0 \quad (18)$$

219 where $S(\phi)$ is the smoothed sign function [40].

220 *3.2. Density Location*

221 With the level set function in place, the material properties of the two phases can be defined
 222 for the whole simulation domain. On a staggered grid, the cell face density is required for the
 223 calculation of the Poisson equation for the pressure in Eq. (8) and the correction of the velocity
 224 with the pressure gradient in Eq. (9). In previous level set based numerical models with staggered

225 grids [50], [6], the density is usually determined at the cell centers with the smoothed Heaviside
 226 function in a first step:

$$\rho_i = \rho_1 H(\phi_i) + \rho_2 (1 - H(\phi_i)), \quad (19)$$

227 with ρ_1 and ρ_2 representing the densities of the two fluids and the Heaviside function defined
 228 as:

$$H(\phi_i) = \begin{cases} 0 & \text{if } \phi_i < -\epsilon \\ \frac{1}{2} \left(1 + \frac{\phi_i}{\epsilon} + \frac{1}{\pi} \sin\left(\frac{\pi\phi_i}{\epsilon}\right) \right) & \text{if } |\phi_i| < \epsilon \\ 1 & \text{if } \phi_i > \epsilon \end{cases} \quad (20)$$

229 Typically the thickness of the smoothed out interface is chosen to be $\epsilon = 1.6dx$ on both sides of
 230 the interface. In a second step, the density at the cell faces is evaluated through simple averaging
 231 of the density at the two neighboring cell centers [9]:

$$\rho_{i+\frac{1}{2}} = \frac{1}{2} (\rho_i + \rho_{i+1}) \quad (21)$$

232 In another example [56], the cell face density is calculated through a linear interpolation based
 233 on the location of the interface in the second step. In the current numerical model for the calculation
 234 of propagating waves, it was observed that this two-step strategy for the cell face density evaluation
 235 leads to small scale oscillations of the free surface. For other types of free-surface flows, such as
 236 open-channel flow, this phenomenon could not be reproduced. For the simulation of waves, the
 237 oscillations are more pronounced for lower steepness waves. In general, the problem occurs when
 238 the free surface is mildly sloped with respect to the orientation of the gridlines in the presence of a
 239 vertical velocity component, as is the case for waves.

240 In order to illustrate the effect, 2nd-order Stokes waves with a wavelength $L = 4$ m and a wave
 241 height $H = 0.05$ m are generated in a 30 m long and 1 m high 2D wave flume with a water depth
 242 $d = 0.5$ m on a mesh with $dx = 0.01$ m. The relative wave steepness is $ka = 0.04$ and the relative
 243 water depth $kd = 0.79$. Fig. (2a) shows the computed wave surface elevation after 90 s. Comparing
 244 it with the theoretical wave profile along the wave flume, the free surface oscillations and a phase

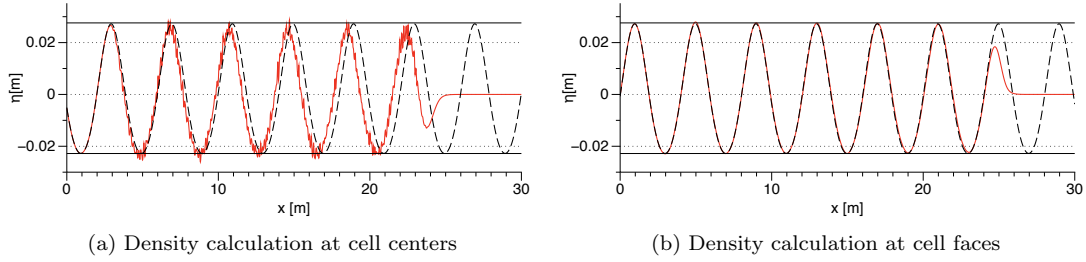


Figure 2: Influence of cell face density calculation on the free surface for periodic waves with wave length $L = 4$ m, wave height $H = 0.05$ m and still water level $d = 0.5$ m in a 30 m long wave flume with $dx = 0.01$ m after 90 s. The black dashed line shows the wave theory, the black solid line the theoretical wave envelope and the red line the numerical model.

245 shift become visible. The relatively long simulation time of 90 s is chosen, so that the oscillations are
 246 fully developed. Even though the quality of the numerical results is clearly degraded, the numerical
 247 solution remains stable throughout the simulation with neither excess velocities nor pressure values
 248 occurring. As a remedy for the free surface oscillations, the density at the cell faces is calculated in
 249 a modified manner. Using a single step, the density at the cell face is calculated with the smoothed
 250 Heaviside function right away:

$$\rho_{i+\frac{1}{2}} = \rho_1 H\left(\phi_{i+\frac{1}{2}}\right) + \rho_2 \left(1 - H\left(\phi_{i+\frac{1}{2}}\right)\right), \quad (22)$$

251 The level set function at the cell face is calculated through averaging:

$$\phi_{i+\frac{1}{2}} = \frac{1}{2} (\phi_i + \phi_{i+1}) \quad (23)$$

252 As can be seen in Fig. (2b), the resulting free surface is oscillation-free and the numerical
 253 solution matches the theoretical wave profile in both amplitude and phase. Similar to the current
 254 findings, [52] identified the importance of the density averaging for the quality of the free surface
 255 in the context of the VOF method on a staggered grid. Fig. (3) shows the density profile for the
 256 cell faces i across the interface, in a case where the interface is normal to the x -direction. Three
 257 different situations are considered: the interface located directly on the cell face, between the cell
 258 face and the cell center and directly at the cell center. The density calculation at the cell centers is
 259 denoted ρ_{center} , and the density calculation at the cell faces ρ_{face} . Compared to the curve for the

260 cell-centered density evaluation ρ_{center} with $\epsilon = 1.6dx$, the density profile is actually less smoothed
 261 out across the interface for ρ_{face} with $\epsilon = 1.6dx$, because the second step with the averaging of
 262 the densities is missing. In order to account for this, the current method of the cell face density
 263 evaluation uses the interface thickness $\epsilon = 2.1dx$. As can be seen from Fig. (3), for ρ_{face} with
 264 $\epsilon = 2.1dx$, the width of the density transition area and the magnitude of the density gradient across
 265 the interface at the cell faces is the same as for ρ_{center} with $\epsilon = 1.6dx$.

266 3.3. Wave Generation and Absorption

267 Typical inlet boundary conditions for free surface flow applications are of Dirichlet type. When
 268 generating waves at the inlet, the free surface is in constant motion and the flow direction is changing
 269 periodically. As a result, simple Dirichlet type wave generation does not necessarily deliver waves
 270 of the highest quality. In REEF3D, waves are generated with the relaxation method, which is
 271 presented in [33] and extended for CFD models in [25]. Here, the wave generation takes place in a
 272 relaxation zone with a typical size of one wavelength (see Fig. (4)).

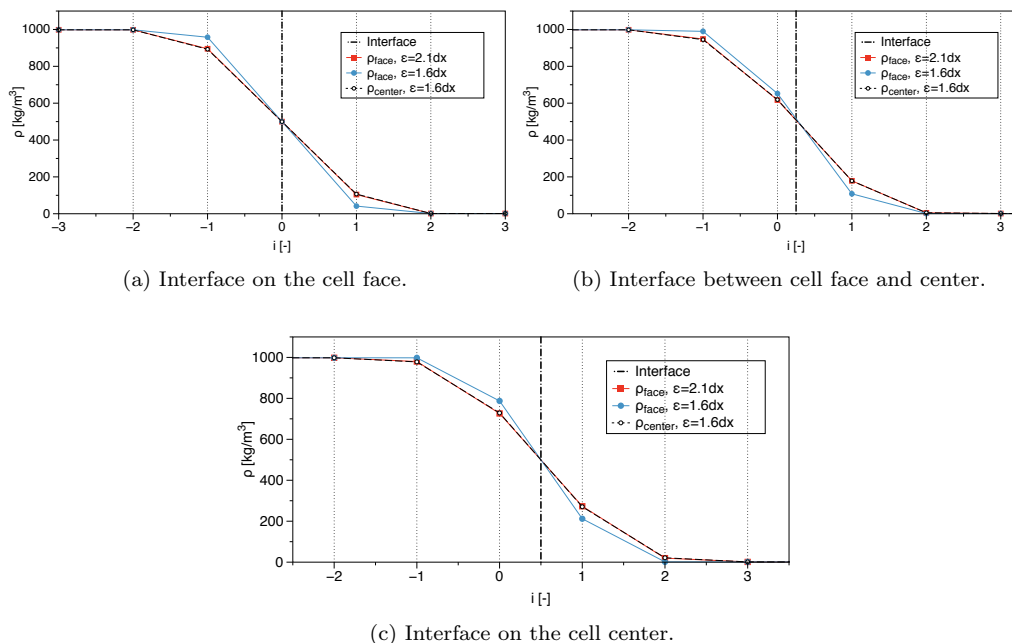


Figure 3: Density profile along the interface at the cell faces for different interface locations and density evaluation schemes. The x -axis i represents the cell centers.

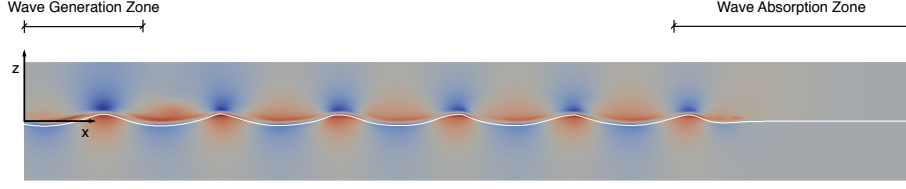


Figure 4: Sketch of the numerical wave tank with wave generation and absorption zones. The contour shows the horizontal velocity component.

273 The values for the velocities and the free surface are ramped up from the computational values to
 274 the values obtained from wave theory (Eq. (24)). The waves are generated without any disturbances
 275 occurring at the interface. In addition, reflected waves that travel back towards the inlet are
 276 absorbed with this method. At the outlet of a wave flume, the waves need to be dissipated in order
 277 to avoid reflections that can negatively impact the numerical results. This can be achieved with
 278 the relaxation method. In the numerical beach relaxation zone, the computational values for the
 279 horizontal and vertical velocities are smoothly reduced to zero, the free surface to the still water
 280 level and the pressure is relaxed to the hydrostatic distribution for the still water level. Thus, the
 281 wave energy is effectively absorbed and reflections are prevented.

$$\begin{aligned}
 u(\tilde{x})_{relaxed} &= \Gamma(\tilde{x})u_{analytical} + (1 - \Gamma(\tilde{x}))u_{computational} \\
 w(\tilde{x})_{relaxed} &= \Gamma(\tilde{x})w_{analytical} + (1 - \Gamma(\tilde{x}))w_{computational} \\
 p(\tilde{x})_{relaxed} &= \Gamma(\tilde{x})p_{analytical} + (1 - \Gamma(\tilde{x}))p_{computational} \\
 \phi(\tilde{x})_{relaxed} &= \Gamma(\tilde{x})\phi_{analytical} + (1 - \Gamma(\tilde{x}))\phi_{computational}
 \end{aligned} \tag{24}$$

282 The relaxation function presented in [25] is used. The wave generation zone has the length of
 283 one wavelength, the numerical beach extends over two wavelengths.

$$\Gamma(\tilde{x}) = 1 - \frac{e^{(\tilde{x}^{3.5})} - 1}{e - 1} \text{ for } \tilde{x} \in [0; 1] \tag{25}$$

284 The coordinate \tilde{x} is scaled to the length of the relaxation zone. Several wave theories are
 285 implemented in REEF3D: linear waves, 2nd-order and 5th-order Stokes waves, 1st-order and 5th-
 286 order cnoidal waves, 1st-order and 5th-order solitary waves and first-order irregular and focused

287 waves. As an example, the equations used in the case of linear waves for general water depths, the
 288 horizontal and vertical velocities u and w and the level set function ϕ for the free surface location
 289 are prescribed over the water domain in the model as:

$$\begin{aligned}
 u(x, z, t)_{analytical} &= \frac{\pi H}{T} \frac{\cosh[k(z+d)]}{\sinh(kd)} \cos\theta \\
 w(x, z, t)_{analytical} &= \frac{\pi H}{T} \frac{\sinh[k(z+d)]}{\sinh(kd)} \sin\theta \\
 \phi(x, z, t)_{analytical} &= \frac{H}{2} \cos\theta - z + d
 \end{aligned}
 \tag{26}$$

290 The wave number k and the wave phase θ are defined as follows:

$$\begin{aligned}
 k &= \frac{2\pi}{L} \\
 \theta &= kx - \omega t
 \end{aligned}
 \tag{27}$$

291 where H is the wave height, L the wavelength, T the wave period, ω the angular wave frequency
 292 and z the vertical coordinate with the origin at the still water level d . In the wave generation
 293 zone, the pressure is not prescribed in the current numerical model, in order not to over define the
 294 boundary conditions. The omission of the pressure prescription in the wave generation zone has not
 295 shown a loss in wave quality. At the numerical beach, the pressure is always set to its hydrostatic
 296 values based on the still water level d , independent of the wave input.

297 In order to generate higher order waves, the equations for velocities and the free surface are
 298 calculated in the wave generation zone using the relevant wave theories such as the *2nd*-order
 299 Stokes wave theory [10], the *5th*-order Stokes theory [14], the *5th*-order cnoidal wave theory [15]
 300 and *3rd*-order solitary wave theory [18], to name a few. The classification of waves based on the
 301 wave height, wave period and water depth given by Le Méhauté [30] is used to determine the wave
 302 theory to generate the desired wave type. In this way, the relaxation method employs different
 303 wave theories to generate different waves based on the wave type selected by the user.

304 3.4. Numerical Calculation of Wave Forces

305 Wave forces can be determined by the numerical model in a straightforward manner. The
306 pressure and the normal component of viscous stress tensor τ are integrated over the surface Ω of
307 the structure. The integration is performed in a discrete manner, by using p and τ for each cell
308 surface of the structure:

$$F = \int_{\Omega} (-\mathbf{n}p + \mathbf{n} \cdot \tau) d\Omega \quad (28)$$

309 here \mathbf{n} is the unit normal vector to the surface, pointing into the fluid. The Navier-Stokes
310 equations in Eq. (2) are solved including the gravity term. Then the pressure obtained from the
311 projection method includes the hydrostatic part in addition to the dynamic part. Consequently, it
312 is the total force acting on a structure that is determined by Eq. (28).

313 4. Results

314 In this section, several numerical results for wave propagation benchmark cases are presented.
315 The numerical model is tested in order show the numerical accuracy and convergence in addition
316 to the overall capabilities of REEF3D.

317 4.1. Grid and Time Step Convergence Tests

318 At first the general performance of the numerical model regarding wave propagation is tested
319 in a rectangular wave flume with a two-dimensional setup. Regular waves are generated based
320 on wave theory. Since there is no obstacle or other change in geometry along the wave flume, no
321 wave transformation should take place and the wave should maintain the exact same shape and
322 propagation speed as in the generation zone. As a consequence, the grid and time step convergence
323 tests can be evaluated by comparing the numerical wave profile along the wave flume with the
324 theoretical profile.

325 For these tests, a wave height of $H = 0.1$ m and a wave length of $L = 2$ m are selected for a
326 still water depth of $d = 0.5$ m in a 20 m long wave flume. The resulting wave is of moderately
327 high steepness with relative wave steepness $ka = 0.16$ and relative water depth $kd = 1.57$, requiring
328 wave generation with the 5th-order Stokes theory. This makes it also more challenging for the
329 numerical model to maintain the wave height along the flume without numerical damping. The grid

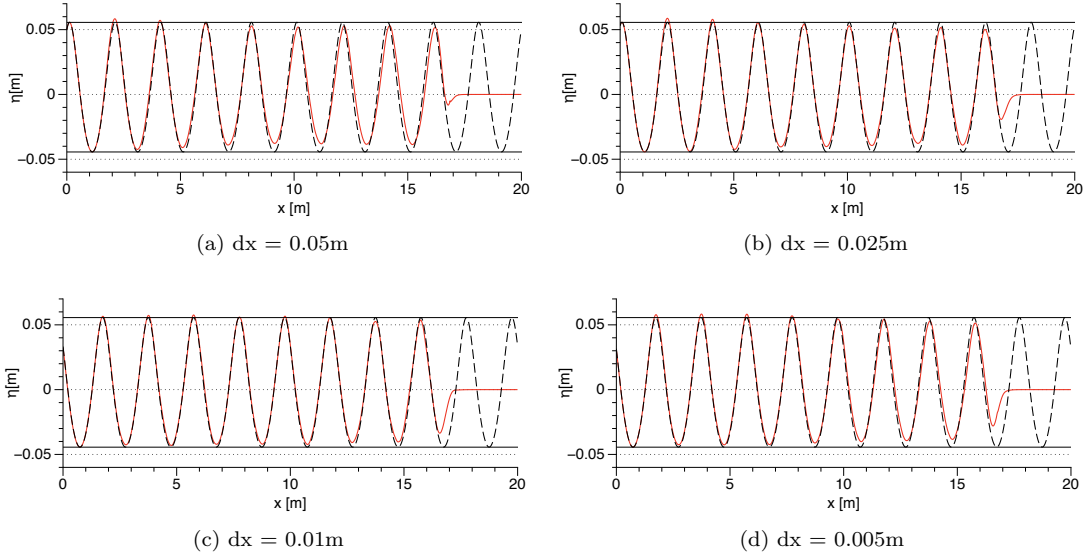


Figure 5: Grid convergence test in a 20 m long 2D wave flume with wave height $H = 0.1$ m, wave length $L = 2$ m and a CFL number of 0.1. The black dashed line shows the wave theory, the black solid line the theoretical wave envelope and the red line the numerical model.

330 convergence test is performed on four different meshes with $dx = (0.05 \text{ m}, 0.025 \text{ m}, 0.01 \text{ m}, 0.005 \text{ m})$.
 331 For the comparisons in Figs. (5) and (6), the result after 90 s is used. For the grid convergence, the
 332 CFL number is kept at 0.1. Fig. (5a) shows the result for $dx = 0.05$ m. Here, the simulated wave
 333 troughs and crests are damped out. Also, the wave goes slightly out of phase. For $dx = 0.025$ m
 334 (Fig. (5b)) the numerical result improves. Wave crest damping occurs only towards the second half
 335 of the wave flume and the wave is in phase. From $dx = 0.01$ m on, the numerical model converges
 336 to the theoretical solution (Fig. (5c)). For both $dx = 0.01$ m and $dx = 0.005$ m, no wave crest
 337 damping occurs. Only a very slight under prediction of the wave troughs can be observed.

338 For the time step convergence test, the same wave conditions as for the grid convergence are
 339 used. Since the grid convergence tests showed a converged solution for $dx = 0.01$ m for these wave
 340 conditions, this grid size is used here. As presented above, the numerical model employs adaptive
 341 time stepping, so instead of testing fixed time step sizes, the CFL numbers 0.5, 0.25, 0.1 and 0.05
 342 are tested. Fig. (6a) with $\text{CFL} = 0.5$ shows wave damping and a phase shift towards the end of the
 343 flume. For $\text{CFL} = 0.25$, the wave is in phase, but minor wave crest damping occurs at the end of the
 344 flume. For $\text{CFL} = 0.1$ and $\text{CFL} = 0.05$, the numerical results look similar (Fig. (6c-d)). No wave

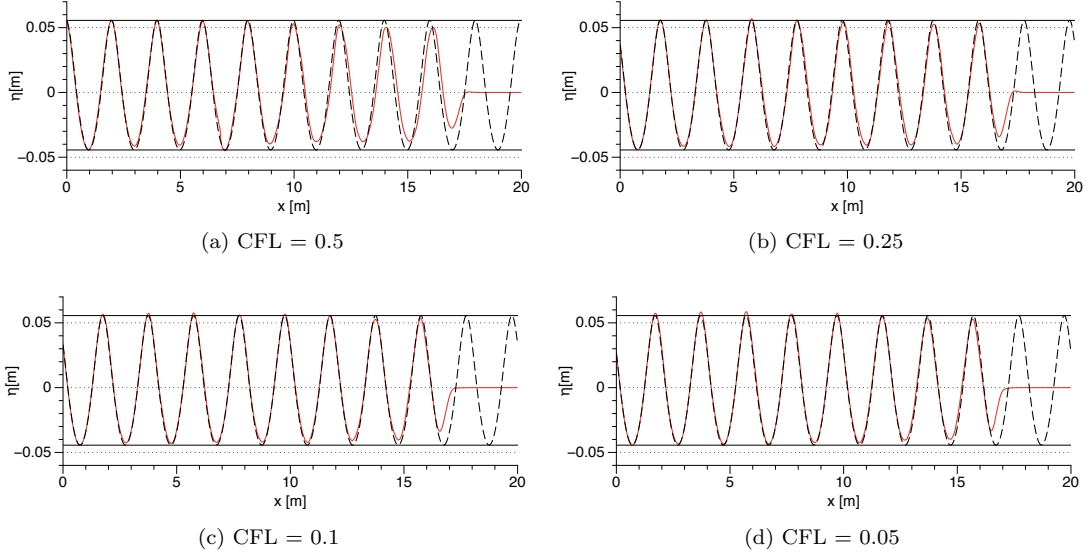


Figure 6: Time step convergence test in a 20 m long 2D wave flume with wave height $H = 0.1$ m, wave length $L = 2$ m and $dx = 0.01$ m. The black dashed line shows the wave theory, the black solid line the theoretical wave envelope and the red line the numerical model.

345 crest damping is observed, just a slight under prediction of the the wave trough. The CFL number
 346 incorporates information about the mesh width dx , so $CFL = 0.1$ is used for all of the following
 347 numerical applications. The mesh width on the other hand is tested for all cases individually.

348 A convergence study of the numerical wave tank is carried out by calculating the difference along
 349 the horizontal and vertical at the peaks and troughs of the generated wave and the theoretically
 350 expected waveform. The difference in the location of the peaks along the horizontal provide an
 351 estimate of the dispersion error in the numerical wave tank. The amplitude error is obtained from
 352 the difference along the vertical. The calculations are carried out for every time step for every 1
 353 m in the working zone of the wave tank. The waves are simulated in a 2D numerical wave tank
 354 20 m long and 1 m high in a water depth $d = 0.5$ m with wave height $H = 0.1$ m and wavelength
 355 $L = 2$ m. The relative wave steepness $ka = 0.157$ and the relative water depth $kd = 1.57$. The
 356 simulations are carried out for different grid sizes $dx = 0.1$ m, 0.05 m, 0.025 m, 0.01 m and 0.005
 357 m with $CFL=0.1$ to demonstrate the convergence rate of the model as well.

358 Fig.(7a) shows the RMS error along the horizontal at the peaks and troughs in the wave tank
 359 for $t = 30.0$ s to $t = 90.0$ s. It is seen that the difference between the location of the peaks and the

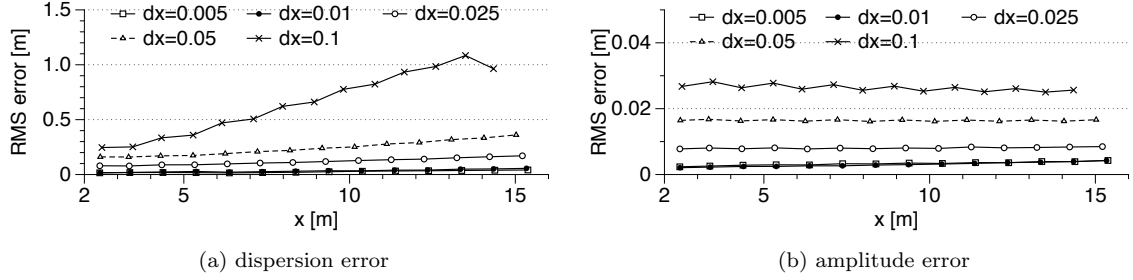


Figure 7: Convergence study for the NWT with dispersion and amplitude RMS errors

360 troughs increases along the length of the wave tank. This difference is reduced as the grid size is
 361 reduced and the RMS error at $x = 10.0$ m in the wave tank is 0.78 m for $dx = 0.1$ m and 0.03 m for
 362 $dx = 0.005$ m. This means that the difference in the location of the peaks and troughs is 1.5% at
 363 $dx = 0.005$ m. From the RMS error along the vertical shown in Fig.(7b) for $t = 30.0$ s to $t = 90.0$
 364 s, it is seen that the overall amplitude error is low in the numerical wave tank. The largest errors
 365 are calculated for $dx = 0.1$ m, with an RMS error of 0.026 m at $x = 10.0$ m. The RMS errors for
 366 the other grid sizes at $x = 10$ m in the wave tank reduce as the grid is refined, with RMS errors of
 367 0.016 m, 0.008 m, 0.004 m and 0.003 m for $dx = 0.05$ m, 0.025 m, 0.01 m and 0.005 m respectively.

368 Further, the verification method presented by Stern et al. [44] is used to analyse the convergence
 369 study presented above and obtain the convergence ratio and the rate of convergence of the model
 370 in calculating the wave profile. The analysis is carried at three different points in the numerical
 371 wave tank, $x = 4.0$ m, $x = 10.0$ m and $x = 14.0$ m with the grid sizes $dx_c = 0.025$ m, $dx_m = 0.01$
 372 m and $dx_f = 0.005$ m considered as the coarse, medium and fine grids respectively at $t = 30.0$ s.
 373 The change in the numerical error between dx_c and dx_m is denoted ϵ_{cm} and the change between
 374 dx_m and dx_f is ϵ_{mf} . The convergence ratio is defined as:

$$R = \epsilon_{mf} / \epsilon_{cm} \quad (29)$$

375 The rate of convergence can be determined as

$$p = \frac{\ln(\epsilon_{cm} / \epsilon_{mf})}{\ln(r_{mf})} + \frac{1}{\ln(r_{mf})} \left[\ln(r_{cm}^p - 1) - \ln(r_{mf}^p - 1) \right] \quad (30)$$

376 where r_{cm} is the refinement ratio for the coarse and medium grid and r_{mf} is the refinement ratio

377 for the medium and fine grid. The rate of convergence p is calculated iteratively.

378 The details of the dispersion ($\epsilon_{\Delta x}$) and amplitude ($\epsilon_{\Delta z}$) errors in the numerical wave tank cal-
379 culated at the three points at $t = 30.0$ s in the wave tank are presented in Table (2). According
380 to Stern et al. [44], when the convergence ratio $R \in [0, 1]$, the model is monotonically converging.
381 This is seen to be the case here based on the results from the analysis of the wave profile in the
382 NWT. The results for the rate of convergence p at the three different points can be interpreted
383 as follows. At $x = 4.0$ m, just outside the wave generation zone, the numerical results are close
384 to the theoretically expected results on every grid. Thus, the errors for the three grid sizes are
385 very small, leading to small values for the rate of convergence with $p = 0.87$ for dispersion and
386 $p = 0.05$ for amplitude convergence. As the wave propagates in the wave tank, the effect of the
387 grid size becomes more apparent. At $x = 10.0$ m, the coarsest grid shows some dispersion and this
388 dispersion error is reduced as the grid is refined. The rate of convergence for dispersion error is
389 $p = 1.12$ at this location. For the wave heights at $x = 10.0$ m, the error on the coarser grids are
390 higher than at $x = 4.0$ m due to amplitude diffusion, which is reduced with grid refinement and a
391 convergence rate of $p = 0.29$ is obtained. At the last location used for the analysis, $x = 14.0$ m, the
392 dispersion error for the coarser grids is large, whereas the fine grid still represents the wavelength
393 well. The convergence rate for dispersion error at $x = 14.0$ m is $p = 1.30$. There is some amplitude
394 diffusion at the coarser grids which is reduced by grid refinement and a convergence rate of $p = 0.22$
395 is obtained.

396 The relative dispersion error $\epsilon'_{\Delta x} = \epsilon_{\Delta x}/L$ and the relative amplitude error $\epsilon'_{\Delta z} = \epsilon_{\Delta z}/H$
397 at the three locations for grid sizes $dx = 0.10$ m, 0.05 m, 0.025 m, 0.01 m and 0.005 m are
398 presented in Fig.(8). The reduction in the error and convergence of the numerical results towards
399 the theoretically expected values on grid refinement is clearly seen. The relative dispersion error
400 $\epsilon'_{\Delta x} = 0.06$ for $dx = 0.025$ m at $x = 14.0$ m in the wave tank. This is reduced to 0.04 and 0.03 on
401 further refinement of the grid to $dx = 0.01$ m and $dx = 0.005$ m respectively. The amplitude errors
402 in the model are seen to be low throughout the analysis. At $x = 14.0$ m, the relative amplitude
403 error $\epsilon'_{\Delta z} = 0.03$ for $dx = 0.025$ m and is reduced to 0.009 and 0.003 for $dx = 0.01$ m and $dx = 0.005$
404 m respectively.

405 It seen from the results that the grid size affects wave dispersion more than the wave amplitude.
406 A grid resolution of 80 cells per wavelength ($dx = 0.025$ m for $L = 2.0$ m) is found to give
407 sufficiently satisfactory results in the NWT. Wave dispersion is seen to be the governing criterion

parameter	$x = 4.0$ m		$x = 10.0$ m		$x = 14.0$ m	
	$\epsilon_{\Delta x}$	$\epsilon_{\Delta z}$	$\epsilon_{\Delta x}$	$\epsilon_{\Delta z}$	$\epsilon_{\Delta x}$	$\epsilon_{\Delta z}$
$dx_c = 0.10$ m	0.2	0.0027	0.6	0.0067	0.85	0.0095
$dx_m = 0.025$ m	0.035	0.0013	0.075	0.0025	0.12	0.0032
$dx_f = 0.005$ m	0.004	0.0002	0.012	0.0003	0.056	0.0003
ϵ_{cm}	0.165	0.0014	0.525	0.0042	0.73	0.0063
ϵ_{mf}	0.031	0.0011	0.063	0.0022	0.064	0.0029
R	0.18	0.79	0.12	0.52	0.08	0.46
p	0.87	0.05	1.12	0.29	1.30	0.36

Table 2: Dispersion and amplitude errors at three points in the wave tank on a coarse (0.10 m), medium (0.025 m) and fine grid (0.005 m) and the convergence statistics at each point at $t = 30.0$ s

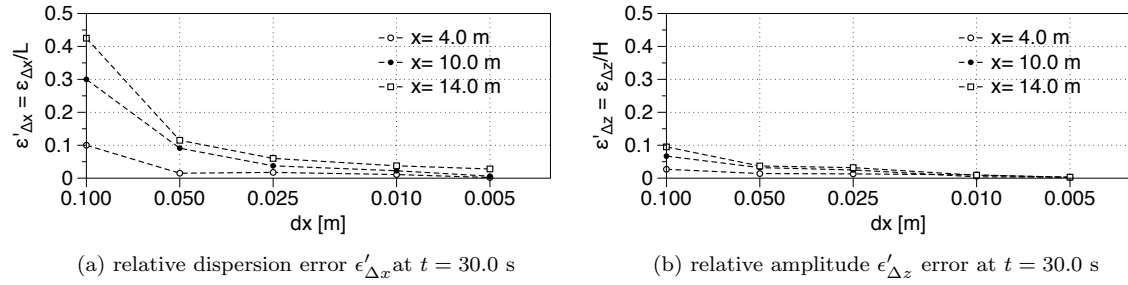


Figure 8: Relative dispersion and amplitude errors at $x = 4.0$ m, $x = 10.0$ m and $x = 14.0$ m at $t = 30.0$ s in the NWT

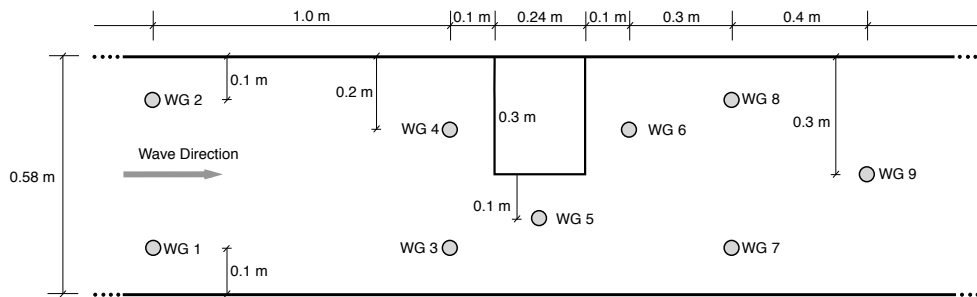
408 for the selection of the grid size in the model and the wave heights are generally well represented
409 on the grid chosen with the requirements for the wavelength. The results for the wave height are
410 calculated with low errors even for the coarser grids in the model and the wave height is seen to be
411 less dependent on the grid size. This is a very important aspect as the accurate representation
412 and propagation of the wave height through the wave tank is essential for various wave engineering
413 problems.

414 4.2. Solitary Wave Interaction with a Rectangular Abutment

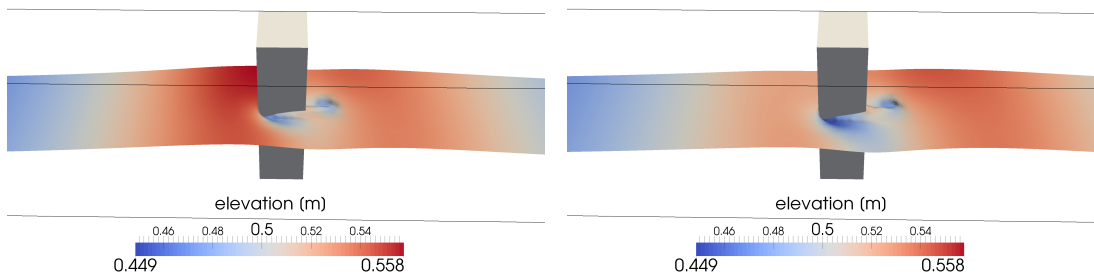
415 In this benchmark case, solitary wave propagation and the interaction with a rectangular abutment
416 is investigated. The simulated results are compared with experimental data [29][22]. In the
417 experiments, a rectangular abutment is placed in a 0.58 m wide wave flume, obstructing the flow
418 over a width of 0.28 m. The side wall and the bottom of the wave flume are made of glass. The still
419 water level is $d = 0.45$ m, a solitary wave with height $H = 0.1$ m is generated with a piston-type
420 wavemaker. A fully reflective wall is placed at the end of the wave flume. In Fig. (9a) the plan view

421 of the setup, including the wave gage locations, can be seen. In the numerical model, the solitary
 422 wave is generated from third-order theory [18] in a relaxation zone with the length $l = 8$ m. The
 423 numerical domain has the size of $(L_x \times L_y \times L_z = 23.8 \text{ m} \times 0.58 \text{ m} \times 0.9 \text{ m})$. The front face of the
 424 abutment is located 14.84 m away from the inlet boundary. This distance is 4 m longer than in the
 425 experimental setup, in order to accommodate the wave generation zone. For the grid convergence
 426 tests, four different meshes are used with $dx = (0.1 \text{ m}, 0.05 \text{ m}, 0.02 \text{ m}, 0.01 \text{ m})$, resulting in meshes
 427 with totals of 0.012 million, 0.1 million, 1.54 million and 12.36 million cells. As can be seen in
 428 Fig. (9a), there are nine wave gages placed around the abutment, both in the experimental and the
 429 numerical setup and the free surface data comparison is shown in Fig. (10).

430 All wave gages show two peaks. The first one is for the incoming solitary wave originating from
 431 the wavemaker. Then the wave passes the vertical structure and is reflected from the downstream
 432 wall. The reflected wave is recorded by the wave gages as the second peak. In order to perform
 433 the grid convergence tests, wave gage 7 is selected for comparison, as it is located downstream of
 434 the abutment and the influence of the structure can be seen for the first wave. Remarkably, the



(a) Top view of the setup with wave gage locations.



(b) Incident solitary wave just before passing the abutment.

(c) Incident solitary wave just after it passing the abutment.

Figure 9: Solitary wave interaction with a rectangular abutment with setup and numerical free surface results.

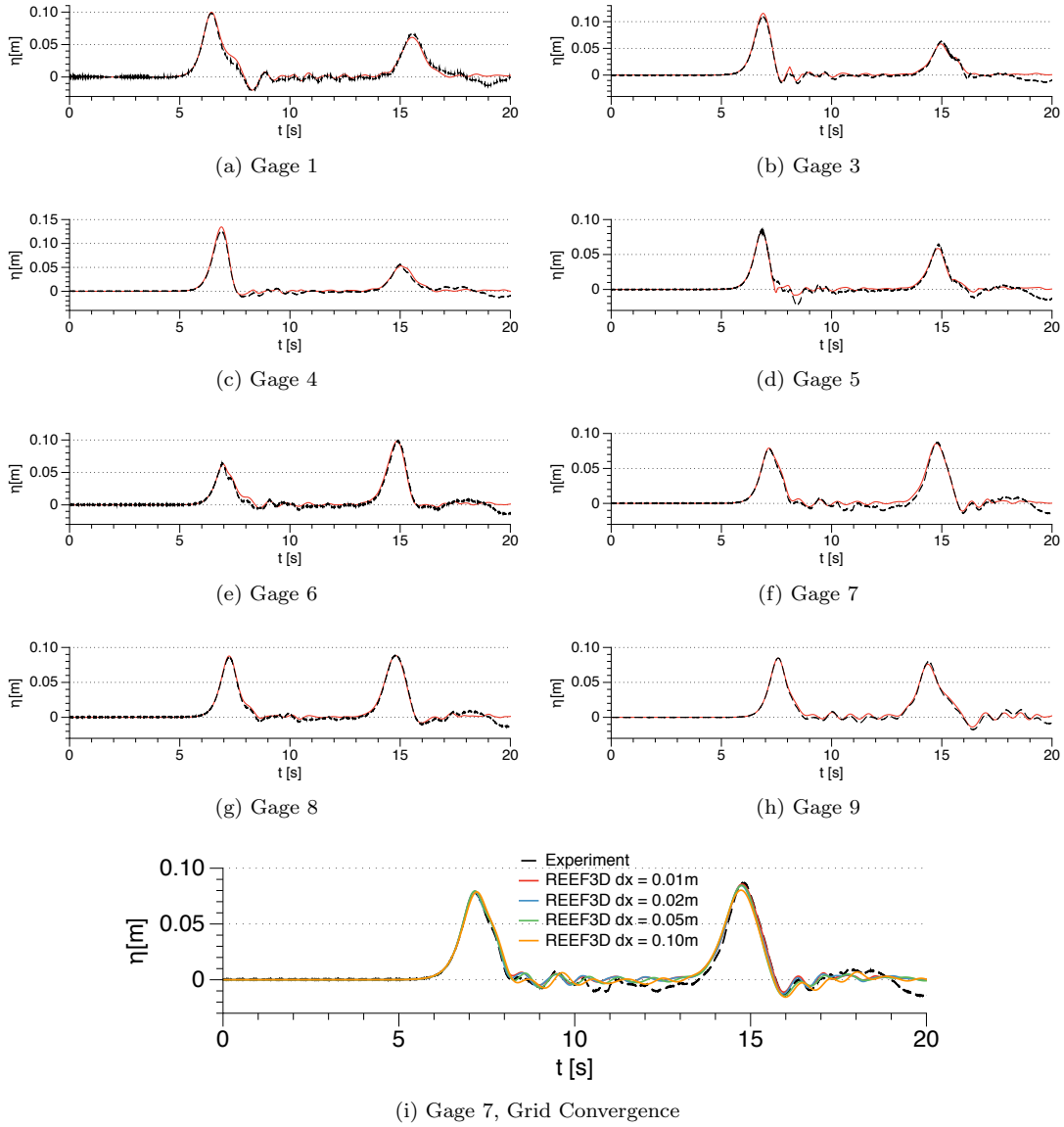


Figure 10: Solitary wave interaction with a vertical structure, black lines are laboratory experiments, red lines are REEF3D.

435 first peak is reproduced equally well on all four grids. Only for the reflected wave, the coarsest grid
 436 with $dx = 0.1$ m shows a reduced wave peak. The solitary wave is a single crest wave. The higher
 437 order WENO discretization of the convection terms ensures that there is no damping of the soliton,

438 making the accurate solution less dependent on the grid size. In Figs. (10a-10h), the results from
439 the fine grid $dx = 0.01$ m are presented.

440 Gage 1 in Fig. (10a) shows the generated solitary wave. The crest of the incident solitary wave
441 is still unaffected by the abutment, maintaining the input wave height of $H = 0.1$ m. Directly after
442 the peak, a slight bump in the wave shape occurs, which is attributed to the partial reflection from
443 the abutment structure. The second peak resulting from the wave reflected by the downstream wall
444 is clearly reduced. Gages 3 and 4 in Fig. (10b-c) show the effect of the channel narrowing. The
445 numerical model calculates increased waves heights of $H = 0.11$ m and $H = 0.13$ m respectively
446 for the incoming wave, slightly higher than the experimental data. For gage 4, the reflected wave is
447 reduced with $H = 0.05$ m as it is shadowed by the vertical structure. Wave gage 5 (Fig. (10d))
448 is located in the part of the flume that is constricted by the abutment. Here the incoming wave
449 height is reduced. As the flow accelerates and the pressure decreases, a considerable drop in the
450 free surface elevation in the vicinity of the abutment can be observed (Fig. (9b-c)) for the incoming
451 wave. Wave gage 6 (Fig. (10e)) is situated on the downstream side of the abutment. Here the
452 incoming wave height is lower than the reflected wave, mirroring the behavior for gage 4. For
453 gages 7 to 9 (Fig. (9f-h)), the incoming and reflected waves are nearly of the same magnitude. The
454 reason is that between the incoming wave and the reflection, the wave is not further transformed.
455 In general, the numerical model maintains all the wave peaks and also predicts the wave celerity
456 correctly.

457 *4.3. Wave Interaction with a Vertical Circular Cylinder*

458 Data from the experiments carried out at DHI, Denmark [7] is used for the comparison of the
459 numerical results for wave interaction with a single vertical cylinder. The shallow water basin used
460 in the experiments is 35 m long, 25 m wide and a water depth of 0.505 m. A cylinder of diameter
461 $D = 0.25$ m is placed at a distance of 7.52 m from the wavemaker. Regular waves of period $T = 1.22$
462 s and wave height $H = 0.07$ m are generated. The wave force on the cylinder is measured using
463 four load cells placed on the top of the cylinder. The free surface elevation at various locations
464 in the wave basin are measured. In the numerical wave tank, second-order Stokes waves of height
465 $H = 0.07$ m, period $T = 1.22$ m are generated in a water depth $d = 0.505$ m. The relative wave
466 steepness $ka = 0.11$ and the relative water depth $kd = 1.51$. The numerical wave tank is 20 m long,
467 3 m wide and 1 m high and a cylinder of diameter $D = 0.25$ m is placed at a distance of 7.52 m

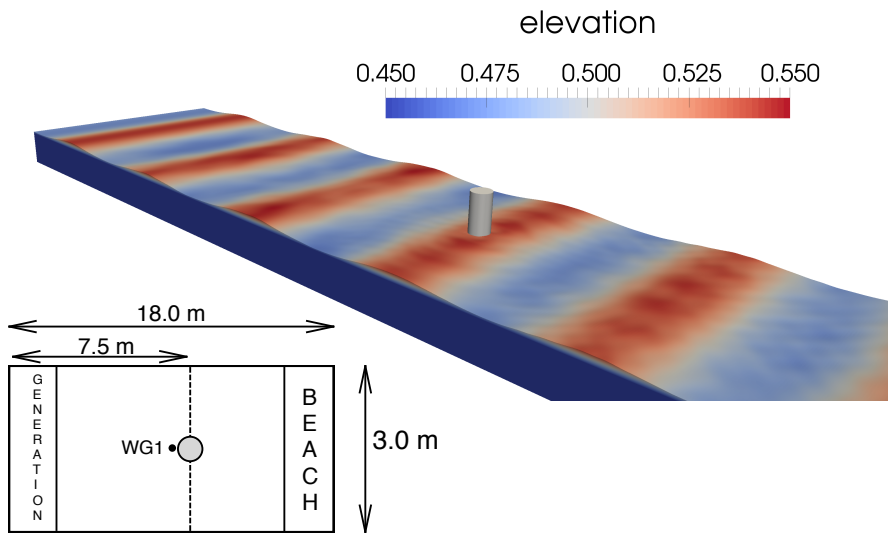


Figure 11: Numerical setup for calculating wave forces on a vertical circular cylinder

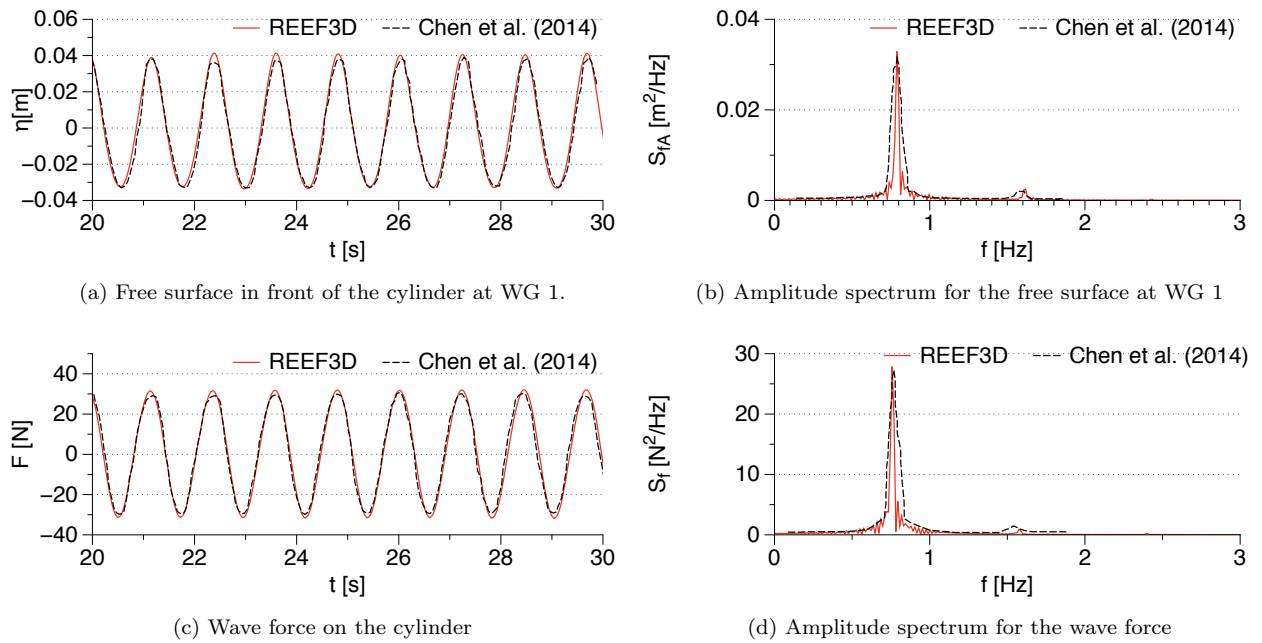


Figure 12: Comparison of experimental [7] and numerical results for wave interaction with a vertical cylinder

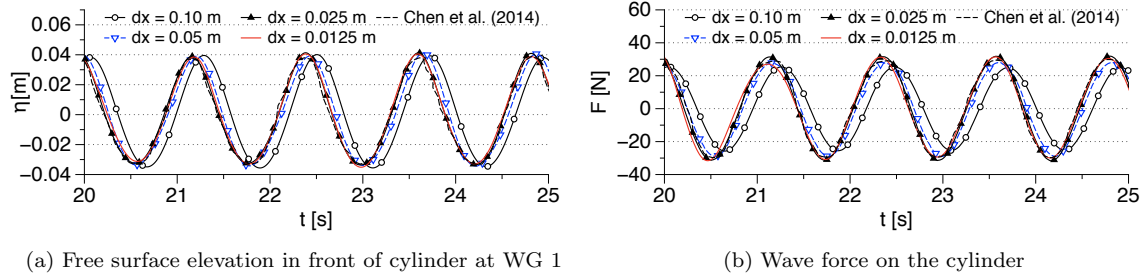


Figure 13: Grid convergence study for wave interaction with a vertical cylinder

468 from the wave generation zone. A grid size of $dx = 0.025$ m is used, resulting in 3.456 million cells.
 469 No-slip boundary conditions are enforced on the lateral walls, the bottom of the wave tank and on
 470 the surface of the cylinder. The numerical setup is illustrated in Fig.(11).

471 The computed free surface elevation in front of the cylinder (WG 1) is compared to the experi-
 472 mental data in Fig.(12a) and a good agreement is seen. The amplitude spectrum of the computed
 473 free surface is compared to the amplitude spectrum of the free surface elevation measured in the
 474 experiments in Fig.(12b). It is seen that the free surface elevation has one major peak at $f_p = 0.79$
 475 Hz, close to the fundamental frequency of the incident waves $f_0 = 0.82$ Hz. A small amplitude is
 476 seen for the first harmonic $f_1 = 1.64$ Hz, as the wave steepness is not very high. The calculated
 477 wave force on the cylinder is compared to the experimental measurements in Fig. (12c) and the
 478 amplitude spectra of the calculated and measured forces are compared in Fig.(12d). A good agree-
 479 ment is seen between the numerical and experimental results. The amplitude spectrum shows that
 480 the force at higher harmonics is negligible in this case.

481 A grid resolution study is carried out with $dx = 0.10$ m, 0.05 m and 0.0125 m and the computed
 482 wave force and free surface elevation converges to the experimental result at $dx = 0.025$ m as shown
 483 in Fig.(13). The selected grid resolution is found to be sufficient for the computation of the wave
 484 force on the cylinder and the free surface in the numerical wave tank.

485 4.4. Wave Propagation over a Submerged Bar

486 A well known benchmark is the submerged bar case by [2]. Here, monochromatic regular waves
 487 are generated in a rectangular wave flume of size ($L_x \times L_y \times L_z = 37.7$ m \times 0.8 m \times 0.75 m). A
 488 trapezoidal submerged bar is placed 6 m downstream of the wave maker, see Fig. (14). Nine wave
 489 gages are placed along the wave flume. The incident wave height is $H = 0.02$ m with a wave period

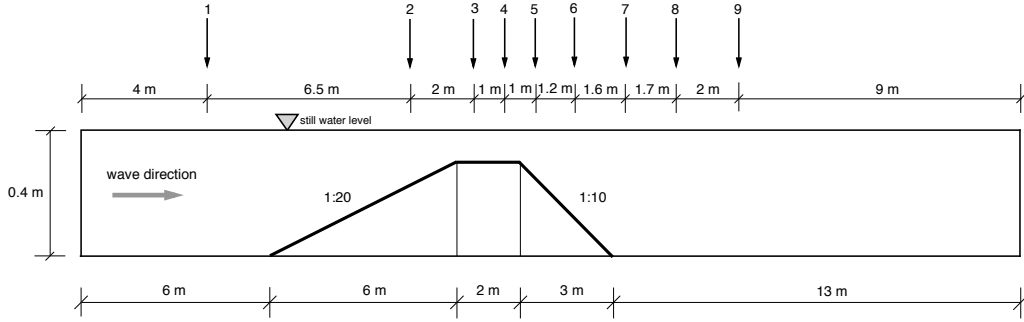


Figure 14: Submerged Bar setup with wave gage locations

490 of $T = 2$ s, resulting in a wavelength $L = 3.73$ m. The incident relative wave steepness $ka = 0.017$
 491 and relative water depth $kd = 0.68$.

492 In the numerical model, linear waves are generated in a relaxation zone of one wavelength.
 493 On the upslope of the bar, the waves shoal, yet breaking does not occur. After the crest of the
 494 bar, wave decomposition takes place and higher wave harmonics are formed. As a result, the free
 495 surface is typically very difficult to predict in the downslope and downstream region of the bar [3].
 496 High-order numerical discretization schemes are needed in order to predict the correct dispersion
 497 characteristics and avoid wave crest damping and wave phase shifting. Thus, this case is well suited
 498 to test the accuracy of the proposed numerical wave tank.

499 For the grid convergence study, two wave gages are selected: wave gage 4 on the crest of the sub-
 500 merged bar and wave gage 9 on the downstream side. Grids with $dx = (0.05$ m, 0.02 m, 0.01 m, 0.005 m)
 501 are tested. Fig. (15i) reveals that the two finer meshes closely match the experimentally observed
 502 effect from shoaling. For the two coarser meshes, the shoaling is under predicted with lower free
 503 surface elevations in addition to slower moving waves. In Fig. (15j), it can be seen that the mesh
 504 with $dx = 0.005$ m can capture the transformed wave very well, both in amplitude and phase. The
 505 phase is also maintained for $dx = 0.01$ m, while the wave crest is slightly reduced. For $dx = 0.025$ m,
 506 the phase shift and the amplitude reduction is clearly visible, for $dx = 0.05$ m even more. As a
 507 result, the mesh with $dx = 0.005$ m is selected for the comparison with the experimental data.
 508 Wave gage 1 shows the input wave, with the wave crests and trough symmetric around the still
 509 water level, the typical characteristics for linear waves. Gages 3 and 4 show the waves on the crest
 510 of the submerged bar. The loss of the sinusoidal shape indicates appearance of the secondary crests.

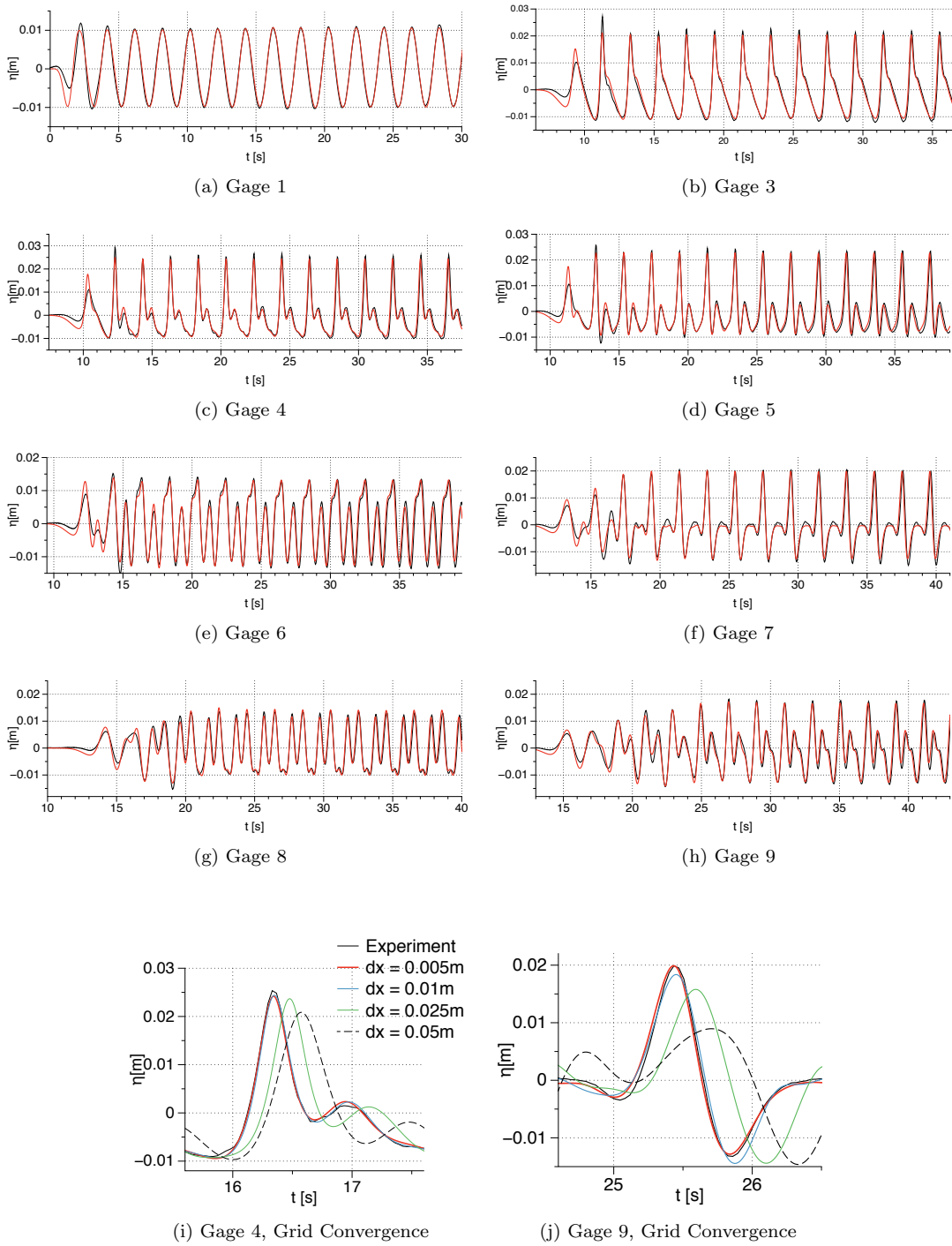


Figure 15: Wave transformation on a submerged bar, black lines are laboratory experiments [2], red lines are REEF3D.

511 This becomes more prominent on the downslope (gages 5 and 6) and on the downstream side of
 512 the submerged bar (gages 7-8).

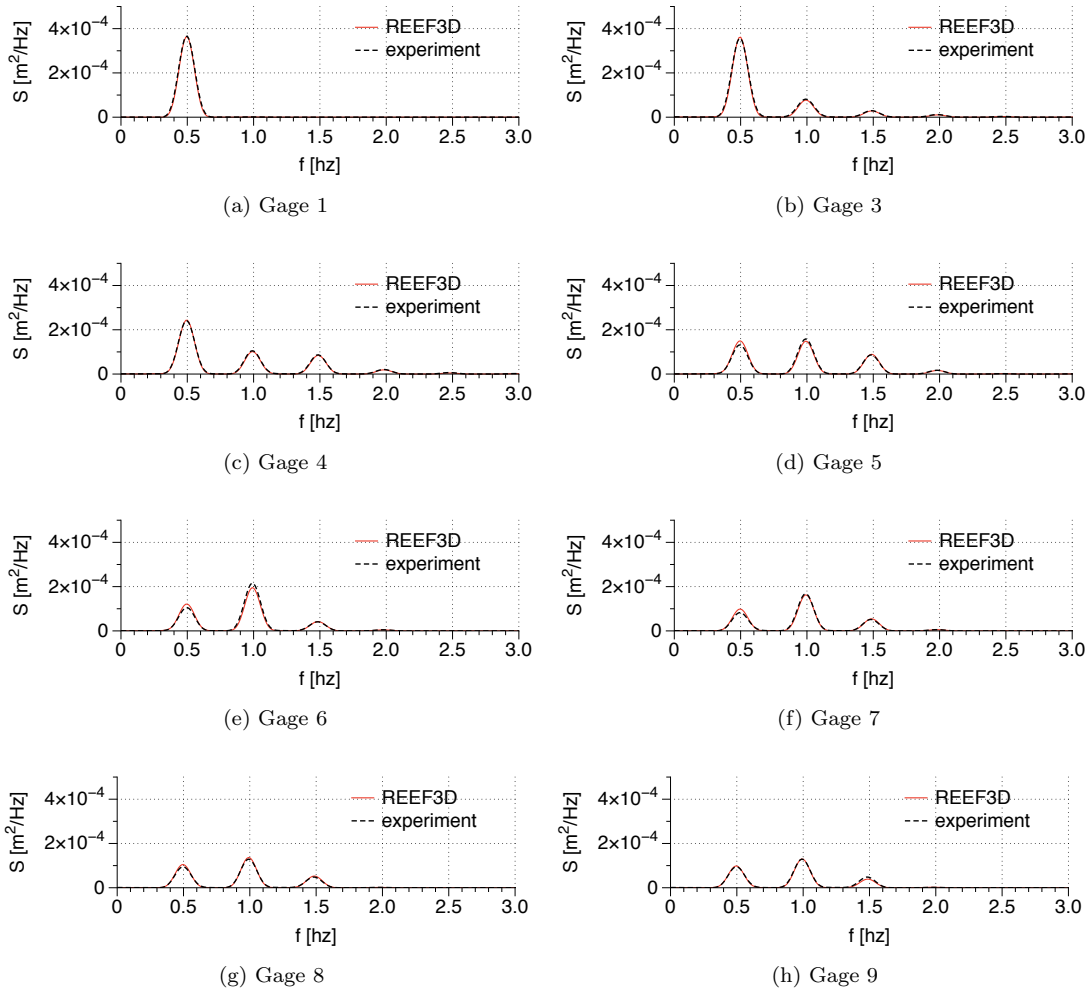


Figure 16: Comparison of numerical and experimental [2] results for power spectral density at various locations on the submerged bar

513 For all gages, the free surface predicted by the numerical model closely follows the one recorded
 514 in the laboratory experiment. In order to further demonstrate the accuracy in the calculation of the
 515 higher harmonics in the model, power spectra at the various locations are calculated using the free
 516 surface elevation data presented in Fig.(15). The power spectra obtained from the numerical and
 517 experimental data are compared in Fig.(16) and a very good agreement is seen. All the wave energy

518 is concentrated in the fundamental frequency $f_0 = 0.5$ Hz shown by a single peak in Fig.(16a). As
 519 the wave propagates over the submerged bar, the wave energy is transferred to higher harmonics
 520 $f_1 = 1$ Hz, $f_2 = 1.5$ Hz and $f_3 = 2.0$ Hz as seen from Figs.(16b-h). Most of the wave energy
 521 is transferred from f_0 to f_1 over the toe of the leeward slope of the bar as seen in Fig.(16h).
 522 The figure also shows the reduction in the wave energy as the wave propagates over the bar and
 523 the peaks of the power density plots reduce along the length of the submerged bar. The good
 524 agreement of the numerical results with the experimental data for both the free surface elevations
 525 and the power spectra demonstrates the capabilities of REEF3D in complex wave modeling. The
 526 model can represent complex wave transformation and free surface details due to the high-order
 527 spatial WENO and temporal TVD Runge-Kutta discretization in addition to the staggered grid
 528 arrangement. Also, the immersed boundary handles the irregular grid cells well on the slopes of
 529 the submerged bar.

530 *4.5. Plunging Breaking Waves over a Sloping Bed*

531 In the previous section, shoaling non-breaking waves were modeled. A more difficult situation
 532 arises, when the shoaling effect is so strong, that the steepened wave crest becomes unstable and
 533 breaks. A sloping seabed with a slope of $1/35$ is chosen for the case study of wave breaking over a
 534 plane slope. The computational setup and wave parameters in the present case study are similar to
 535 the experimental conditions reported by [46]. The wave tank has a horizontal bed with the water
 536 depth of $d = 0.4$ m. A 4 m long stretch with a flat bottom is followed by the slope. The laboratory
 537 arrangements and the computational domain for the plunging breaker case are shown in Fig. (17).
 538 The origin of the horizontal and vertical coordinates is at the toe of the slope at the still water level.
 539 A fifth-order cnoidal wave theory developed by [15] is used to represent the incident wave with the
 540 height of $H = 0.128$ m and period of $T = 5.0$ s. The relative wave steepness and relative water
 541 depth of the incident wave are $ka = 0.041$ and $kd = 0.256$ respectively. A simulation length of 30
 542 s is used to obtain a quasi-steady state for the mean wave quantities. Then the simulated values
 543 from the last five waves are used for the evaluation of the breaking point and breaking height.

544 The sensitivity of the computational results to the grid resolution is investigated with four
 545 different mesh sizes $dx = (0.025$ m, 0.01 m, 0.005 m, 0.0025 m). The simulated breaking location
 546 (x_b) and the breaker height (H_b) are compared with the measured data in Fig. (18). The simulated
 547 waves break later shoreward with slightly larger breaker height on coarser grids ($dx = 0.025$ m and

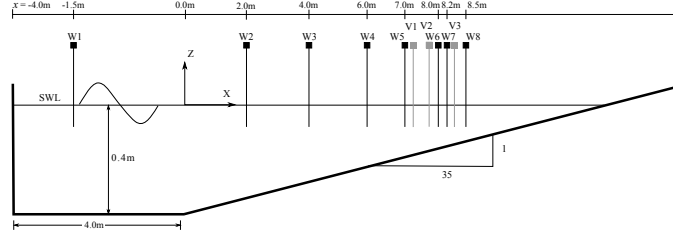


Figure 17: Computational set-up: W1-W8 are wave gauge locations and V1-V3 are velocity probe locations

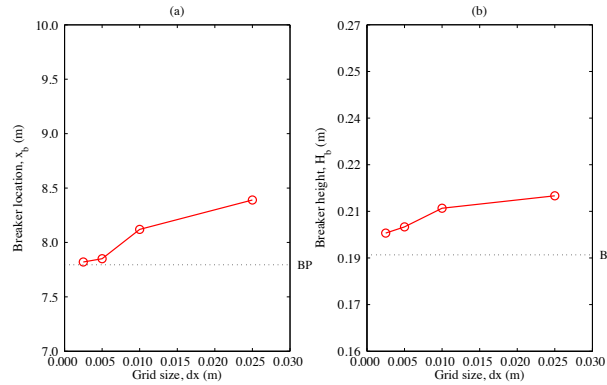


Figure 18: Grid sensitivity study on simulated results (a) breaker location (x_b) and (b) breaker height (H_b). The dotted line shows the breaking point observed in the experiments.

548 $dx = 0.01$ m) than in the experiments. Whereas on finer grids ($dx = 0.005$ m and $dx = 0.0025$ m)
549 waves break at almost the same location $x_b = 7.84$ m with the breaker height $H_b = 0.205$ m as
550 in the experiments, where waves break at $x_b = 7.795$ m with $H_b = 0.196$ m. The comparison of
551 the experimental and numerical values indicates that the best comparison with experimental data
552 occurs with the finer grids ($dx = 0.005$ m and $dx = 0.0025$ m). The grid size $dx = 0.005$ m is
553 selected for the computation since the simulated waves on this grid size yield good results with
554 reasonable computational time and the difference between the $dx = 0.005$ m and $dx = 0.0025$ m is
555 also insignificant. Compared to the previous section, a finer mesh is required. Here, the additional
556 challenge arises not from the wave shoaling, but from the breaking process. The breaking occurs
557 at a much smaller scale, than the wave propagation itself. Also, wave breaking is a true two-phase
558 flow problem, where complex interface deformations occur.

559 The simulated free surface elevations are compared with experimental data at different locations
560 along the wave tank in order to assess the ability of the numerical model to simulate hydrodynamic

561 processes from wave generation to the surf zone. The free surface elevations are computed at eight
 562 different locations (W1-W8): $x = -1.5$ m, 2.0 m, 4.0 m, 6.0 m, 7.0 m, 8.0 m, 8.2 m and 8.5 m
 563 from the toe of the slope (see Fig. (17)). Fig. (19) shows the comparison of the simulated free
 564 surface elevations with the experimental measurements [46] for the plunging breaker case. The
 565 free surface profile evolves continuously from a wide crest to a narrow and steep crest. The wave
 566 height increases due to shoaling, as the wave propagates over the slope. The wave crest becomes
 567 unstable and breaks at $x_b = 7.84$ m with a breaker height of $H_b = 0.205$ m. The numerical breaking
 568 condition is almost the same as measured in the experiments. It can be seen from Figs. 19 (f),
 569 (g) and (h), that the wave height diminishes after breaking as the wave approaches the shore. The

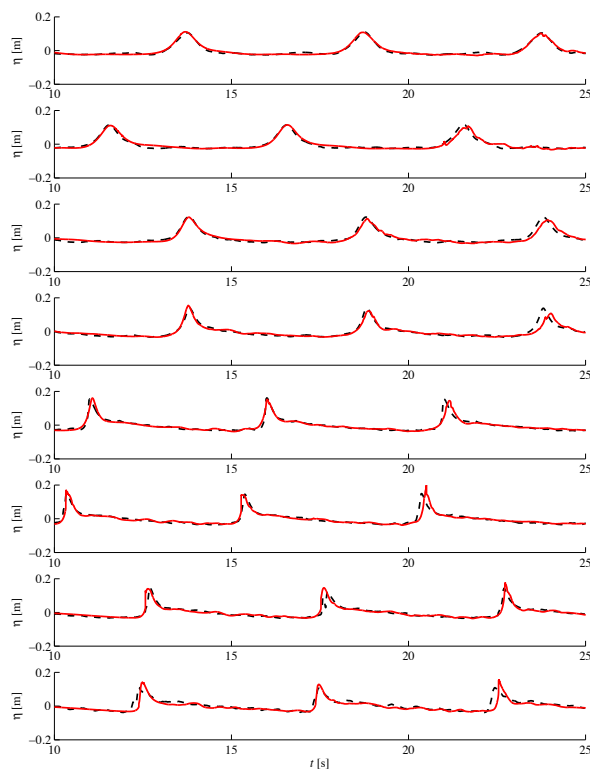


Figure 19: Comparison of simulated and measured water surface elevations for plunging breaker case at $x = -1.5$ m (a), 2.0 m (b), 4.0 m (c), 6.0 m (d), 7.0 m (e), 8.0 m (f), 8.2 m (g) and 8.5 m (h). Red lines: present numerical model; Black lines: experimental data by [46]

570 simulated free surface profiles precisely represent the characteristics of the cnoidal waves in shallow
 571 water and display a good match with the experimental data.

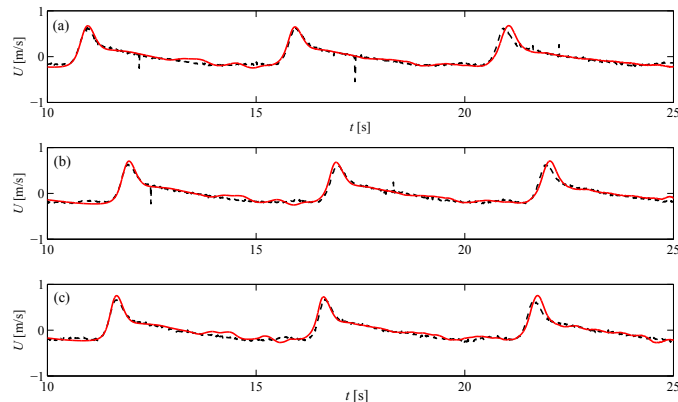


Figure 20: Comparison of simulated and measured horizontal velocities for plunging breaker case at $x=7.295$ m and $z=-0.05$ m (a), -0.10 m (b), and -0.15 m (c). Red lines: present numerical model; Black lines: experimental data by [46]

572 The computed horizontal component of the fluid velocity at $x = 7.295$ m (before breaking),
 573 $x = 7.795$ m (during breaking) and $x = 8.345$ m (after breaking) are compared with the experimental
 574 data in Fig. (20) - Fig. (22). As can be seen from Fig. (20), in the region just prior to breaking,
 575 the variation of the horizontal velocity is almost constant with the water depth, which is consistent
 576 with the experimental observation by [46]. As the wave propagates further over the slope, the wave
 577 height increases due to shoaling. This leads to a rise in the potential energy in the region close to
 578 the wave crest. When the fluid particle velocity exceeds the wave speed, wave breaking occurs at
 579 $x = 7.84$ m, with the maximum velocity at the tip of the horizontal overturning jet followed by a
 580 small velocity gradient over the depth (Fig. (21)). At the point of jet impingement, the horizontal
 581 velocity increases as the distance from the free surface increases, as shown in Fig. (22). This is
 582 due to the penetration of the large scale water jet into the preceding wave surface. The present
 583 model predicts the horizontal velocity variation along the water depth accurately and the simulated
 584 results are in good agreement with the experimental measurements.

585 The evolution of the wave breaking process with the velocity magnitude and velocity vector
 586 distribution is shown in Fig. (23). At the incipient breaking stage, the wave profile gets steeper and
 587 sharper and a portion of the wave crest attains the maximum fluid velocity. The total wave energy
 588 is focused near the wave crest and eventually wave breaking occurs. The portion of the wave crest

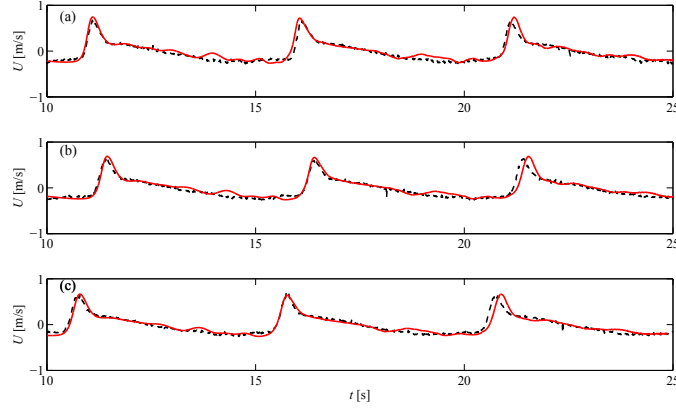


Figure 21: Comparison of simulated and measured horizontal velocities for plunging breaker case at $x=7.795$ m and $z=-0.05$ m (a), -0.10 m (b), and -0.145 m (c). Red lines: present numerical model; Black lines: experimental data by [46]

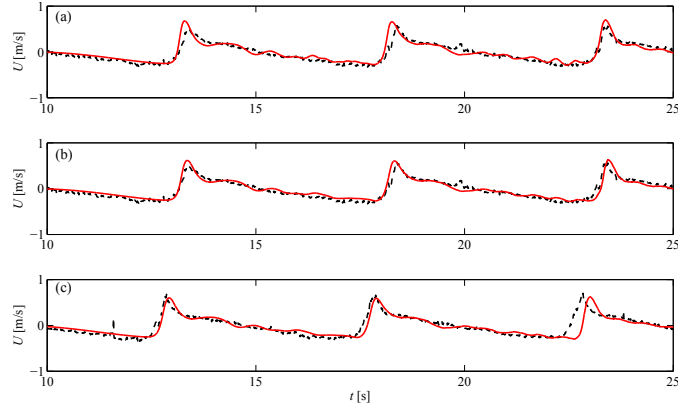


Figure 22: Comparison of simulated and measured horizontal velocities for plunging breaker case at $x=8.345$ m and $z=-0.05$ m (a), -0.10 m (b), and -0.13 m (c). Red lines: present numerical model; Red lines: experimental data by [46]

589 with high velocity moves forward and evolves into an overturning plunging jet (Fig. (23a)). When
 590 the plunging jet impinges on the surface of the preceding wave (Fig. (23b)), a splash-up occurs as
 591 shown in Fig. (23c) and Fig. (23d). This creates a secondary wave followed by a pocket of air with
 592 different characteristics than the original wave. The rapid transition from a strong plunger vortex
 593 into small scale turbulence at the free surface takes place over a short distance. The simulated
 594 physical flow features of the plunging breaker during the wave breaking process such as wave profile
 595 evolution, the generation of the overturning water jet, the enclosed air pocket and the secondary

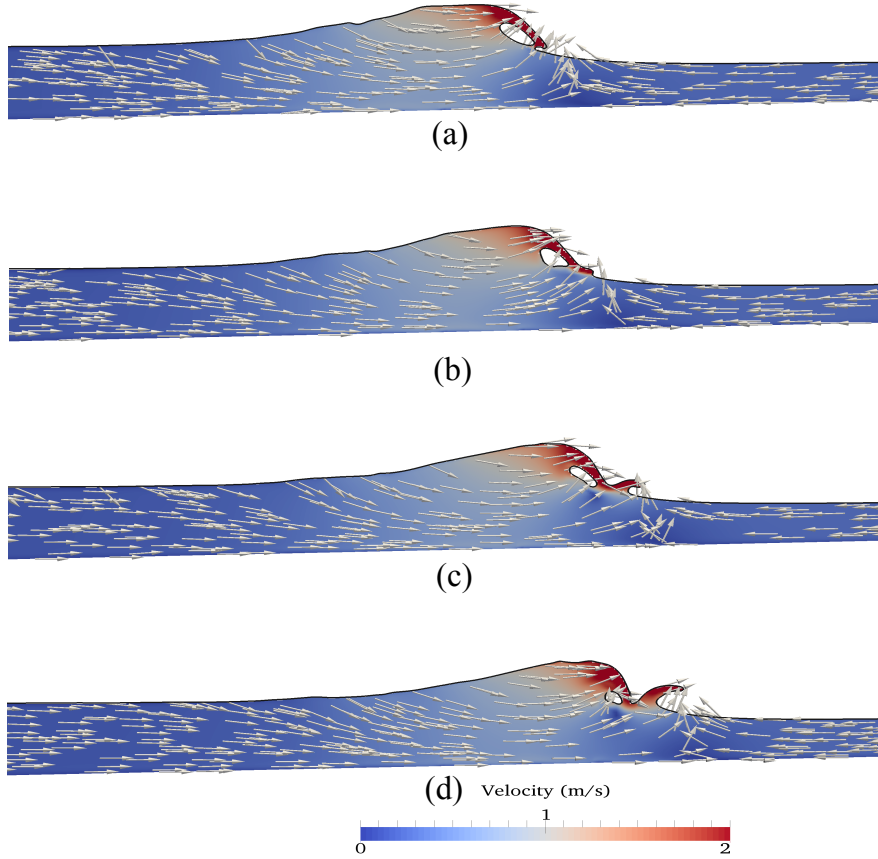


Figure 23: Snapshots of simulated wave profile during breaking process over a slope at $t = 10.90$ s (a), 10.95 s (b), 11.00 s (c) and 11.05 s (d)

596 wave, the splash-up phenomenon and the mixing of air and water in the surf zone are consistent
 597 with the experimental observation [46].

598 5. Conclusions

599 The new numerical wave tank REEF3D has been presented. The incompressible Navier-Stokes
 600 equations are solved with RANS turbulence closure. In order to achieve stable and accurate wave
 601 propagation results, high-order numerical discretization schemes on a Cartesian mesh are selected.
 602 For the convection terms of the momentum equations, the fifth-order WENO scheme is chosen.
 603 Time-stepping is performed with the third-order TVD Runge-Kutta scheme. The pressure is solved
 604 on a staggered grid with the projection method, ensuring tight pressure-velocity coupling. Irregular

605 boundaries are taken into account with an extension of an existing ghost cell immersed boundary
606 method to three dimensions. The numerical model is fully parallelized based on the domain de-
607 composition strategy and MPI (message passing interface). The free surface is modeled with the
608 level set method. Special attention has been given to the evaluation of the density. It was found
609 that density evaluation at the cell center leads to small-scale free surface oscillations, when periodic
610 regular waves are simulated. The proposed density calculation scheme at the cell face showed a
611 much improved free surface, comparing well against the theoretical wave profile. The waves are
612 generated and absorbed with the relaxation method.

613 The performance of the proposed numerical wave tank has been tested with several benchmark
614 applications. At first, grid and time step convergence tests have been performed for periodic reg-
615 ular waves. Next, the interaction of a solitary wave with a vertical structure was calculated. The
616 comparison with experimental free surface measurements showed good agreement. Also, the coarse
617 grids performed well for the solitary wave propagation problem. Further, the model was used to
618 calculate non-breaking wave forces on a vertical cylinder. The model matched the experimental
619 free surface, velocity and wave force data well, showing that the model also predicts the wave kin-
620 matics and wave dynamics very realistically. The challenging submerged bar case revealed that
621 the numerical wave tank has the capability to accurately predict wave shoaling and the following
622 wave transformation. In the last test, plunging breaking waves were modeled. The model com-
623 pared favorably against the experimentally recorded free surface and velocity data. The plunging
624 breaking waves were simulated in a realistic manner and all the stages of the breaking process were
625 captured. The benchmark tests show that the new numerical wave tank REEF3D achieves the
626 goal of accurately representing the physics of wave propagation and hydrodynamics, including the
627 complex problem of wave breaking.

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