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# Ship emissions calculation from AIS

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Norwegian University of Science and Technology  
Department of Marine Technology



MASTER THESIS

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June 24, 2016

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# Preface

This thesis was written during the spring of 2016 at the Norwegian University of Science and Technology (NTNU) in Trondheim, Department of Maritime Engineering. The report is written as a specialization project in the master degree program of Engineering and ICT.

I would like to thank my supervisor, Professor Bjørn Egil Asbjørnslett and co-supervisor at NTNU, Professor Ørnulf Jan Rødseth, for guidance and useful feedback during this thesis writing.

I would also like to give acknowledgment to classmates and other study acquaintances who helped me in the theory of ship design and shipping, as well as computer programming and maritime engineering in general.

Trondheim, June 24, 2016

Stian Glomvik Rakke



# Abstract

A methodology, named ECAIS, is presented to calculate ship emissions based on their fuel consumption from AIS data. This was done to avoid use of commercial ship databases, which can be expensive for research on sizable fleets. Using the approximation method Holtrop-Mennen it was possible to find a distinct ships propulsion power requirements for different speeds. This empirical method uses main ship characteristics for calculation. From AIS data several main ship characteristics could be derived. Remaining characteristics was found by generic ship approximation found in literature surveys. This was used in combination with power prediction and specific fuel consumption, and applied to different ship size categories, as fuel consumption is calculated from speeds in dynamic AIS data. Fuel consumption and CO<sub>2</sub> emission were derived and compared to earlier studies.

Results show a sizable difference from Third IMO GHG study. As this study has only been made for a limited number of data, calculations contains substantial uncertainties which should be investigated further. Further improvements for ECAIS method has been emphasized, which is believed to improve results.





# Sammendrag

I denne masteroppgaven presenteres en metode, kalt for ECAIS, som regner ut utslipp av miljøgasser fra AIS-data. Dette gjøres for å unngå bruk av kommersielle skipsdatabaser, som det kan være dyrt å hente informasjon til forskning på store mengder skip fra. Ved å benytte Holtrop-Mennen er det mulig å finne effektbehovet for propulsjon for ulike hastigheter i et bestemt skip. Denne metoden benytter seg av skipskarakteristikkene for utregninger. Gjennom AIS-data kan man finne noen av disse skipskarakteristikkene. De resterende skipskarakteristikkene ble utledet ved hjelp av generelle approksimasjoner som ble funnet i litteraturstudier. Dette er i en kombinasjon med effektbehovet og et spesifikk forbruksmål satt i sammenheng med skipsstørrelser, brukt til utregning av skipets forbruk ved spesifikke hastigheter. Disse hastighetene er funnet i de dynamiske AIS-dataene. Brenselforbruket og utslippet av miljøgasser ble regnet ut og sammenlignet med tidligere studier.

Resultatet viser en stor forskjell fra utregninger gjort in den tredje IMO GHG-studien. Siden denne studien kun er utført på et mindre datasett er det stor usikkerhet rundt tallene. Dette burde bli analysert of utviklet videre. Videre forbedringer er pekt ut, og dette vil trolig forbedre resultatet til metoden.



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# Nomenclature

## Abbreviations

AIS: Automatic Identification System

DWT: Deadweight Tonnage

EFDB: Emission Factor Database

EEDI: Energy Efficiency Design Index GHG: Green house gases

IMO: International Maritime Organization

IPCC: Intergovernmental Panel on Climate Changes

NTNU: Norwegian University of Science and Technology

TEU: Twenty foot equivalent units

UTC: Coordinated Universal Time

VHF: Very High Frequency

VTS: Vessel Traffic Service

## Symbols

$V$  = Velocity

$P_D$  = Power delivered

$P_E$  = Effective power

$P_B$  = Brake power

$P_T$  = Trust power

$L_{OA}$  = Length overall

$L_{pp}$  = Ship length between perpendicular

$L_{wl}$  = Ship length on waterline

$B$  = Ship breadth on waterline

$T$  = Ship draught amidships

$\nabla$  = Volume displacement

$\Delta$  = Displacement,  $1.025\nabla$

$g$  = Acceleration of gravity,  $9.81m/s^2$

$C_P$  = Prismatic Coefficient

$C_B$  = Block Coefficient

$C_F$  = Frictional Coefficient

$C_{Wp}$  = Waterplane Area Coefficient

$C_M$  = Midship Section Coefficient

$C_{Stern}$  = Stern Shape Parameter

$S$  = Wetted Surface Hull

$S_{App}$  = Wetted Area Appendages

$Z$  = Number of Propeller Blades

$A_T$  = Transom Area

$h_B$  = Center of Bulb Area Above Keel Line

$A_{BT}$  = Transverse Bulb Area

# 1 | Introduction

As a vital enabler for global trade and prosperity shipping constitutes a large share of the worlds transportation of commodities. Hence, ship emissions has received great focus in recent years. GHG emissions from shipping accounted for approximately 2.4% of global emissions in 2012 (Smith et al., 2014). Several goals for reducing emissions has been introduced, in example regulations that prohibit deliberate emissions of ozone depleting substances (IMO, 2016). Extensive work has been done to implement new regulations in the shipping industry to meet these goals. An effort to measure total emissions in world shipping fleet has consequently needed new research, as shipping data earlier has been insufficient.

Automatic Identification System (AIS) was initially introduced as an anti-collision system; providing live ship tracking along with identification number and several main ship characters. This has later been exploited in different research areas as AIS provide and gather a significant amount of data. Emissions calculation studies has been conducted through AIS analysis of ship journeys. This is combined with ship databases that contains ship main characteristics. An example of this is a modelling system for exhaust emission of marine traffic in the Baltic sea presented by Jalkanen, Brink, Kalli, Pettersson, Kukkonen & Stipa (2009).

## 1.1 Objectives

This thesis aims to calculate global emissions from ship traffic. More specifically, the target is to utilize AIS data for development of a method that estimate fuel consumption. It should take use of known ship design rules or approximations, and power prediction approximations. Specific fuel oil consumption for different ship type should be utilised in calculations of fuel consumptions and emissions. This method should only make use of input from AIS data.

## 1.2 Approach

Development of ship categorization on AIS data by Smestad (2015), opens possibilities for differentiate by ship group when extracting AIS data. This is exploited together with power prediction estimation methods in a computer program for calculation of fuel consumption. As AIS does not provide all ship characteristics, missing characteristics are derived from literature survey. The study done by Smith et al. (2014) is used for comparison, together with real authentic ship fuel consumptions.

## 1.3 Contributions

This thesis develops a method for estimating global fuel consumption without ship databases. Emissions is derived from this consumption. In this context main contributions from this work are:

- Avoid unaffordable expenses from buying and retrieving commercial digital databases for whole fleets.
- Simplifies emissions calculation
- Independent of commercial parties

## 1.4 Literature survey

Heuristics for categorizing ships by AIS data was presented in a master thesis in 2015 by Smestad (Smestad, 2015). This opened up several other possibilities in AIS research. An approach to adopt this heuristics to emission calculation was enabled. Third IMO GHG study is a global emissions study by International Maritime Organisation (Smith et al., 2014). This is an updated of earlier research with regards to shipping. This study evaluates shipping emissions during the period 2007-2012. Key findings in this study is shipping emissions relative to other anthropogenic emissions, quality and uncertainties of the emission inventories,

comparison of emissions to second IMO GHG study, fuel trends and future scenarios. Third IMO GHG study is used as basis for comparison to this thesis.

## 1.5 Thesis organization

**Chapter 1** gives an introduction to the thesis. It displays different objectives and contributions, as well as the approach and literature survey carried out to accomplish this.

**Chapter 2** presents theory dealt with in this thesis. This includes AIS, World fleet resistance, power prediction, fuel consumption emissions and Ship dimensions

**Chapter 3** presents the method for simplified ship emissions calculation from AIS called ECAIS. This chapter describes the ECAIS Method put forth in this thesis. Each part of the method is explained. This includes values for all main characteristics used in Holtrop-Mennen and other essential ship characteristics.

**Chapter 4** presents results from calculations done by developed computer program. This includes different tests of constraints. AIS data from a May 1. 2014 until September 15. 2014 are processed. Results are discussed in chapter 5.

**Chapter 5** contains discussion concerning the ECAIS method as a whole. Weaknesses of ECAIS method are discussed and further work is presented.

**Chapter 6** presents conclusion of this thesis and ECAIS method.

## Appendices





# 2 | Theory

In this chapter, theory is presented to give a brief introduction to AIS and to other key elements that are essential to understand how shipping emissions are calculated. The chapter is divided into sections to help the reader get a quicker overview of the issue in hand, giving only brief discussions to each section. Heuristics presented later in this thesis is based on theory from this chapter.

## 2.1 Introduction to AIS Data

Automatic Identification System (AIS) is a communication system introduced in 2002, to enhance: safety of life at sea; the safety and efficiency of navigation; and the protection of the marine environment (IMO, 2003). Messages between ships, and with a base station on shore, will be received by either ships directly, buoys, land based station, and satellites.

AIS uses Very High Frequency(VHF) system. A specified protocol for communication with specific information is transmitted from the ship, which is divided in static data and dynamical data. Recent year specially dedicated satellites has been launched, receiving larger number of AIS messages and wider coverage. This is called S-AIS.

Ruling guidelines for use of AIS reporting is given by SOLAS.<sup>1</sup> It states that ships above 300 gross tonnage engaged in international voyages, cargo ships of 500 gross tonnages and upward not engaged on international voyages as well as all passenger ships built after 2002, or operated after 2008, should have an AIS system installed (IMO, 2003). By May 31. 2013 ships of more than 18 meters were also required to install AIS class A . This was later to apply to all ships of more than 15 meters by May 31. 2014 (European CommissionC, 2011). For VHF transmitters and receivers range of AIS is nominally a little less than 40 km (Navcen, 2016). Coverage will mainly depend upon height of the antenna, while the surrounding geographical landscape and heights also contributes with regards to range.

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<sup>1</sup>International convention for the Safety of lives at sea

### 2.1.1 Types of AIS

AIS differentiate between class A and class B equipment. **Class A** (Message type 1, 2 and 3) autonomously report their position every 2-10 seconds. This will depend on their speed and course. Reporting is less frequent when moored, only every three minutes. Vessel static and voyage related information (Message type 5) will be reported every 6 minutes. Class A may also send safety related information, meteorological and hydrological data, electronic broadcast to mariners, and other information marine safety messages.

**Class B** equipment can also be used together with all AIS base stations, but does not meet all the performance standards adopted by IMO. As for this class they report every third minute or less when moored, similar to Class A stations. As for the their position (message 6/8), messages are sent less often and at a lower power. Static data (message 18/24) will be reported every 6 minutes. They can only receive safety related messages, not send them (Navcen, 2016a).

In all AIS contains of 27 different messages types that can be transmitted. Message type 1-4 are the most frequently used. Message type 28-63 is reserved for future use. From static data information such as destination and ship characteristics are given, whereas dynamic data navigational details, speed and data from sensors are some of the essential data.

### 2.1.2 Use

With the introduction of mandatory AIS reporting in 2002. AIS was primarily introduced as a anti-collision system. However, today there are several areas of AIS usage. Furthermore additional information may also be added to the AIS message, to get an even greater usage. The third IMO GHG study (Smith et al., 2014) uses AIS as a tool to estimate global shipping emissions inventories. Mandatory AIS reporting has lead to a greatly improved shipping emissions estimations.

Position in BitVector	Description
1-6	Message type
7-8	Repeat indicator
9-38	UserID (MMSI)
39-40	AIS Version
41-70	Imo Number
71-112	Call Signal
113-232	Vessel Name
233-240	Ship type
241-249	Dimension to Bow (m)
250-258	Dimension to Stern (m)
259-264	Dimension to Port(m)
256-270	Dimension to Starboard(m)
271-294	ETA at destination (MMDDHHMM)
295-302	Draught (m)
303-422	Destination
423-423	DTE
424-424	Spare

Table 2.1: Message Type 5: Static message (ITU, 2014).

Marine traffic<sup>2</sup> is one of many places where you can get information about ships and ship movement from AIS. It presents a list of research areas where AIS is used. Examples given are; Study of marine telecommunications in respect of efficiency and propagation parameters, and secondly simulation of vessel movements in order to contribute to the safety of navigation and to cope with critical incidents. Moreover other examples are interactive information systems design, design of databases providing real-time information and statistical processing of ports' traffic with applications in operational research. Additional examples given are design of models for the spotting of the origin of pollution-related incidents, design of efficient algorithms for sea path evaluation and for determining the estimated time of ship arrivals. Last examples given are correlation of the collected information with weather data, and cooperation with institutes dedicated to the protection of the environment.

DNV-GL<sup>3</sup> presents another list of AIS usage, with regards to business decisions. Some examples given; how do partners/competitors run their networks? How many direct connections and transshipment do they offer? Which charter vessels have a higher chance of marine growth? Which ports/terminals have congestion issues? How do partners/competitors perform in terms of slow steaming and constant speed profile? How does this affect their fuel bill? What is the operational cost breakdown of other players? (DNV GL, 2016) This shows the wide range of areas where AIS is applicable.

## 2.2 World Fleet

World cargo fleet is about 65% of world fleet in total. About 90% of all transportation is carried by international shipping. Table 2.2 represent the difference between world cargo fleet and total world fleet and Figure 2.1 represent different ship types and fleet size within this category.

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<sup>2</sup>A website that provides free near real-time information to the public regarding vessels' positions and movements as well as other related information for ships. <http://www.marinetraffic.com/>

<sup>3</sup>The world's leading classification society and a recognized advisor for the maritime industry.

World Cargo Fleet	57, 829
Total World Fleet	88, 483

Table 2.2: Number of vessels in the world fleet 2. May 2014 (Smestad, 2015).

Ship type	Number of vessels
Multi-purpose and general cargo ships	18,303
Bulk Carriers	10,053
Handysize	3,095
Handymax	3,008
Panamax	2,405
Capesize	1,590
Oil Tankers (<10,000 dwt)	7,456
Oil Tankers (>10,000 dwt)	5,830
Sub panamax	3,401
Aframax	884
UL&VLCC	624
Suezmax	495
Panamax	416
Offshore (AHTS/PSV)	5,129
Containerships	5,102
Sub panamax	3,019
Post Panamax	1,208
Panamax	875
Reefers	1,438
Ro-Ro vessels	1,311
LPG Carriers	1,258
LNG Carriers	388
Others	1,561

Figure 2.1: World fleet cargo (Smestad, 2015)

### **Bulk Carriers**

Bulk Carrier are defined by carrying bulk cargo, such as grains, coal, ore and cement. It is about 10000 bulk carriers, which is classified into size categories. Design speed is usually between 13-15 knots (MAN Diesel & Turbo, 2013)

### **Oil Tankers**

Oil Tankers are designed for bulk carry of oil. According to MAN Diesel & Turbo (2011) there are two basic types of Oil tankers: Crude Tankers and Product tankers, which move unrefined oil to refinery and refined oil to point near consuming markets, respectively. Oil tankers are defined by size and occupation. Design speed is normally between 13-16 knots (MAN Diesel & Turbo, 2013)

### **Container ships**

Container ships are transporting containers, and are measured in twenty-foot equivalent unit (TEU). Container fleet contains of about 5000 ship and the design speed are between 15-25 knots (MAN Diesel & Turbo, 2011).

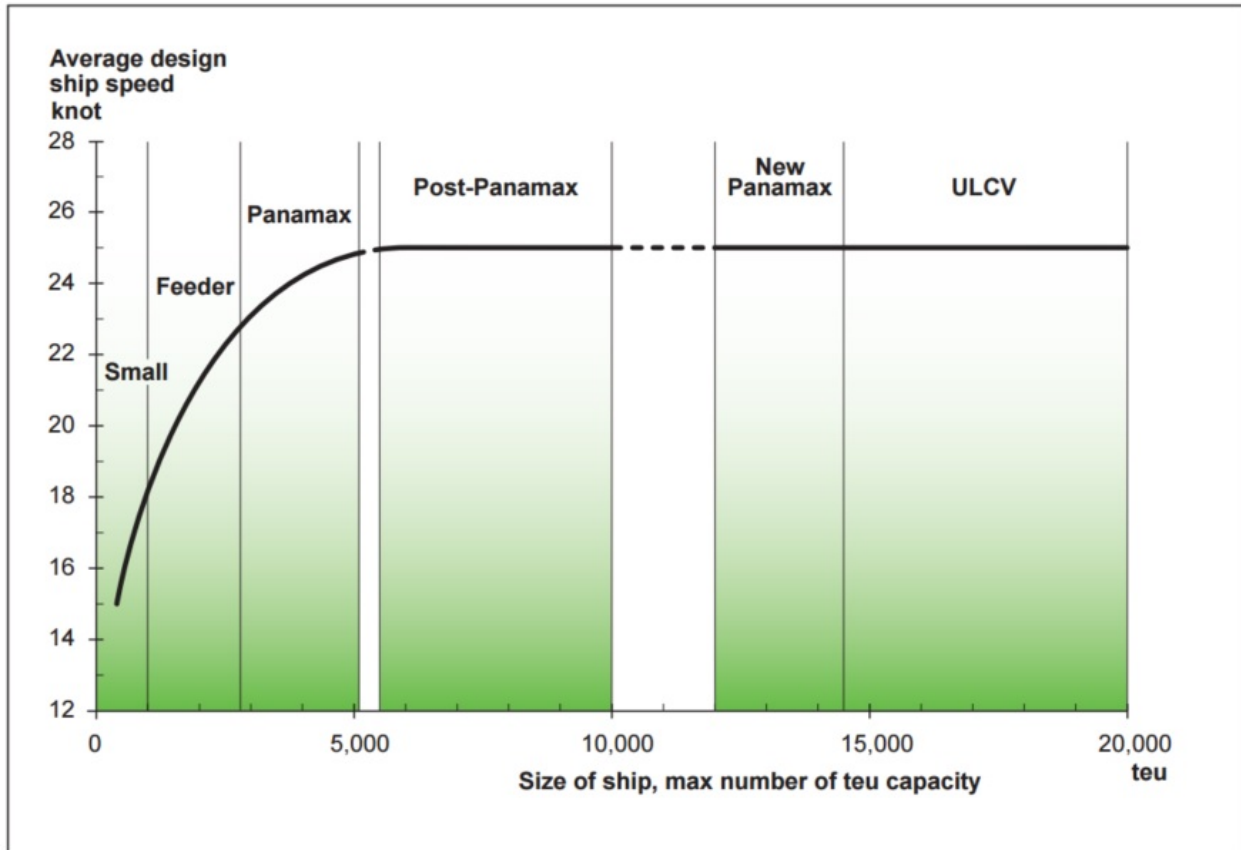


Figure 2.2: Design speed for container vessels (MAN Diesel & Turbo, 2011).

## RO-RO

RO-RO ships has its name from Roll on-Roll off, and are transporting wheeled cargo, such as cars and trains.

## Offshore(AHTS/PSV)vessel

Offshore vessel are vessels that handle offshore service. Vessel are designed with Dynamic Positioning<sup>4</sup> due to difficult working condition and high precision work. Work capabilities often require more installed power and it is often installed with a controllable pitch propeller.

<sup>4</sup>Computer-controlled system to automatically maintain a vessel's position and heading

**Others**

Consists of Reefers, LPG Carriers, LNG carriers (tankers in S-AIS) and other smaller vessels.

Ship type	Number of vessels
Multi-purpose and general cargo ships	18,303
Bulk Carrier	10,053
Handy size	3095
Handy Max	3,008
Panamax	2405
Capesize	7456
Oil Tankers (<10,000 dwt)	7456
Oil Tankers (>10,000 dwt)	5830
Sub panamax	3,401
Aframax	881
ULCC&VLCC	624
Suezmax	495
Panamax	416
Offshore(AHTS/PSV)	5,129
Container ships	5,102
Sub Panamax	3,019
Post Panamax	1,208
Panamax	875
Reefers	1438
Ro-Ro Vessels	1344
LPG Carriers	1258
LNG Carriers	388
Others	1561

Table 2.3: World fleet (Mantell, Benson, Stopfrod, Crowe & Gordon, 2014).



### 2.2.1 Ship sizes

A different solution from sizing ship types in dimensions sizes is offered in Smith et al. (2014). Table 2.4 divide ship sizes into under-groups in respect to capacity.

Vessel	Capacity bin	Capacity unit
Bulk carrier	0 - 9,999	DWT
	10,000–34,999	
	35,000–59,999	
	60,000–99,999	
	100,000–199,999	
	200,000–+	
Chemical tanker	0-4,999	DWT
	5,000–9,999	
	10,000–19,999	
	20,000–+	
Container	0-999	TEU
	1,000–1,999	
	2,000–2,999	
	3,000–4,999	
	5,000–7,999	
	8,000–11,999+	
	12,000–14,500	
	14,500–+	
Cruise	0 - 1,999	GT
	2,000–9,999	
	10,000–59,999	
	60,000–99,999	
	100,000–+	
Ferry - pax only	2,000–+	GT
Ferry - ro-pax	2,000–+	GT
General cargo	0 - 4,999	DWT
	5,000–9,999	
	10,000–+	
Liquefied gas tanker	0 - 49,999	Cubic metres ( $m^3$ )
	50,000–199,999	
	200,000–+	
Oil tanker	0 - 4,999	DWT
	5,000–9,999	
	10,000–19,999	
	20,000–59,999	
	60,000–79,999	
	80,000–119,999	
	120,000–199,999	
	200,000–+	
Other liquids tankers	0–+	DWT
Refrigerated cargo	0-1,999	DWT
Ro-Ro	0-4,999	GT
	5,000–+	
Vehicle	3,999	Vehicles
	4,000–+	

Table 2.4: Vessel type and sizes (Smith et al., 2014).

## 2.3 Resistance

In fluid mechanics resistance is the opposing force on a moving object with respect to a surrounding object. Hull<sup>5</sup> resistance can be found from basic principles of ship propulsion.

### 2.3.1 Total Resistance

Total resistance can be divided into three parts; Frictional resistance, residual resistance and air resistance. This can further divided so that total resistance equation is:

$$\text{Total resistance, } R_T = R_V + R_W + R_A + R_{others} \quad (2.1)$$

Where:

$R_T$  = Total Resistance

$R_V$  = Viscosity resistance

$R_W$  = Wave making resistance

$R_A$  = Correlation allowance

$R_{others}$  = (Air resistance, Appendage resistance,  $R_b$  resistance of bulbous bow,  $R_{rt}$  immersed transom stern)

---

<sup>5</sup>Body of ship

**Viscosity resistance**

Viscous resistance is the predominating resistance force for low-speed ships like bulk, carriers and tankers where it accounts for between 70% to 90% of all resistance (MAN Diesel & Turbo, 2011). Viscous resistance is mainly made out of frictional resistance.

$$\text{Frictional resistance, } R_F = C_F * 1/2 * \rho * S * V^2 \quad (2.2)$$

Where:

$R_F$  = Frictional Resistance

$C_F$  = Frictional Coefficient

$S$  = Hull Wetted Surface

$V$  = Ship speed

**Residual resistance**

Residual resistance is the resistance from waves and eddy-making, hence it is from the loss of energy cause by wave making and flow separation. Residual resistance can be found by using model testing since  $C_{Rmodel}=C_{Rship}$ . Speed of ship is the main factor for how influential residual resistance is. In a low-speed ship it represent from 8% - 25% of total resistance, while in high-speed ship it could be up to 40% - 60%. Furthermore shallow water will affect the impact from residual resistance. However, assuming seawater depth 10 times more than ship draught, residual resistance will not be influencing.

$$R_R = C_R * 1/2 * \rho * S * V^2 \quad (2.3)$$

Where:

$R_R$  = Residual Resistance

$C_R$  = Resistance Coefficient

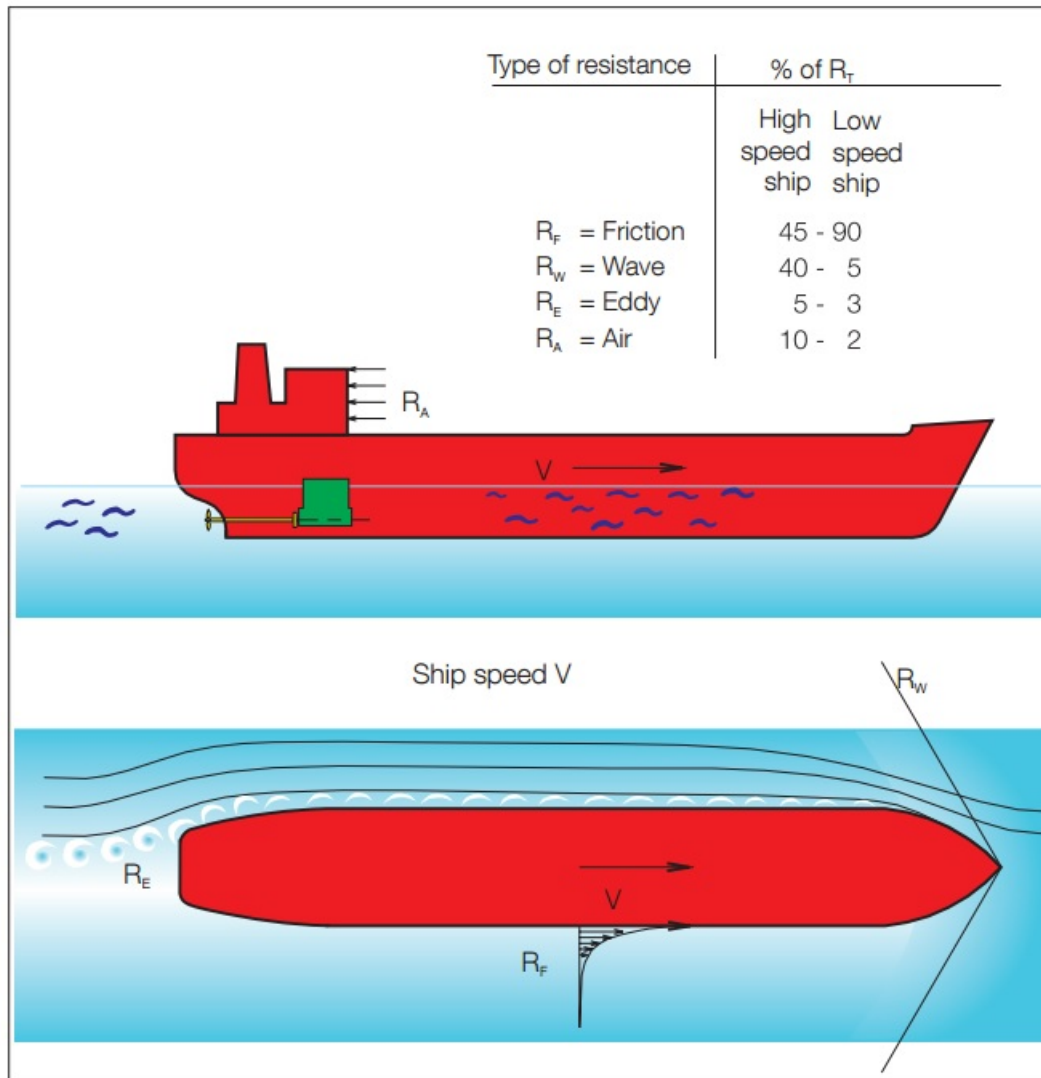


Figure 2.3: Total ship towing resistance (MAN Diesel & Turbo, 2011).

**Air resistance**

Air resistance is given by

$$R_A = C_{AA} * 1/2 * \rho * S * V^2 \quad (2.4)$$

Where:

$R_A$  = Air Resistance

$C_{AA}$  = Air resistance Coefficient

Air resistance may also be based on dynamic pressure of air:

$$R_A = 0.90 * 0.5 * \rho_{air} * V^2 * A_{air} \quad (2.5)$$

Where:

$A_{air}$  = Cross section area of the ship above the water

**Navigational resistance**

Due to sea, current and wind, an additional navigational resistance has to be added to total resistance (MAN Diesel & Turbo, 2011). In figure 2.4 estimations of increases resistance for main routes are presented. This shows the importance of navigational resistance.

**2.3.2 Model coefficients**

Model testing is used in an early stage for finding ship resistance. Ship model resistance is represented through towing resistance.

$$\text{Total resistance coefficient, } C_T = \frac{R_T}{0.5 * \rho * V^2 * S} = (1 + k) * C_F \text{ for } F_N < 0.1 \quad (2.6)$$

Where:

$F_N$  = Froude number

**Estimates of average increase in resistance for ships navigating the main routes:**

North Atlantic route, navigation westward	25-35%
North Atlantic route, navigation eastward	20-25%
Europe-Australia	20-25%
Europe-East Asia	20-25%
<b>The Pacific routes</b>	<b>20-30%</b>

Figure 2.4: Navigational resistance from main routes (MAN Diesel & Turbo, 2011).

**Froude Number** gives an understanding of the relationship between total resistance and viscous resistance. By assuming that wave resistance (due to  $F_N$ ), air resistance and base drag are negligible, frictional resistance equals total resistance.  $C_F = C_T$

$$\text{Froude number, } F_n = \frac{V}{\sqrt{gL_{wl}}} \quad (2.7)$$

For a ship model viscous resistance from viscosity coefficient:

$$\text{Viscosity coefficient, } C_V = C_F + k \times C_F \quad (2.8)$$

Where:

k = Form factor

Form factor can be found by model tests, numerical equations and empirical equations, hence there is several ways to approximate form factor.

This is one example:

$$\text{Form factor } 1+k, k = 19 \frac{\nabla}{L \times B \times T} \times \frac{B^2}{L} \quad (2.9)$$

$$\text{Frictional coefficient, } C_F = \frac{0.075}{(\log R_n - 2)^2} \quad (2.10)$$

Where :

$$\text{Reynolds Number, } R_N = \frac{V * L_{WL}}{\nu} \quad (2.11)$$

$$\nu = 10^{-6} \text{ for } 20^\circ \quad (2.12)$$

<sup>6</sup> This means that frictional resistance depends on the length of the ship at waterline,  $L_{WL}$ .

$$\text{Residual resistance coefficient, } C_{Rm} = C_{Tm} - C_{Fm} \quad (2.13)$$

Correlation allowance is a factor for systematic errors in scaling method and the value  $C_A$  is between  $-0.15 \times 10^{-3}$  and  $-0.3 * 10^{-3}$  (Steen, Unknown) There are also several other resistance coefficients. For low speed ships these resistance coefficients are for the most part negligible.

$$\text{Transom stern, } C_A = \frac{0.029 * S_B / S^{3/2}}{C_F^{1/2}} \quad (2.14)$$

$$\text{Appendix Resistance coefficient, } C_{BD} = \frac{0.029 * (S_B / S)^{3/2}}{C_F^{1/2}} \quad (2.15)$$

$$\text{Roughness allowance, } \Delta C_F = [110.31 \times H \times V_S^{0.21} - 403.33] \times C_{F_s}^2 \quad (2.16)$$

### 2.3.3 Propellers

Ships are traditionally using propellers for propulsion. This can be either fixed or controllable pitch propellers and normally the ship has one or two propellers to move the ship. For fixed propellers pitch is normally 70% of D/2.

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<sup>6</sup>Mean value for sea ocean temperature are varying and kinematic viscosity have a little higher value in salt water than fresh water.



There exists several types of propeller types, and their implementation depends on the ships design purpose. The propeller contains from 2 to 6 blades ( $z$ ). Despite that fewer blades gives more efficiency, normally the blade number is 4 or even 5 and 6 for bigger vessel types. This is due to lack of strength in the blades as they are applied heavy loads (MAN Diesel & Turbo, 2011). Thrust power delivered by the propeller to water is given by the propeller thrust in water with a given speed,  $V_A$ .

$$\text{Thrust power, } P_T = P_E / \eta_H = V_A * T \quad (2.17)$$

where:  $\eta_H$  is the hull efficiency.

Propeller efficiency,  $\eta_O$ , can be found through an open water test carried out in a towing tank. The test measures thrust, torque and speed of advance at fixed revolution rate.

This is expressed with dimensionless constants:

$$K_T = \frac{T}{\rho * n^2 * D^4} \quad (2.18)$$

$$K_Q = \frac{Q}{\rho * n^2 * D^5} \quad (2.19)$$

$$J_A = \frac{V_A}{n * D} \quad (2.20)$$

Where:

$J_A$ : Advance number

$V_A$ : Speed of advance

$n$ : Revolutions per minute

$D$  : Propeller diameter

$K_T$ : Thrust Coefficient

$K_Q$ : Torque Coefficient

$T$ : Thrust

$Q$ : Torque

The friction of the hull makes a friction belt around the hull, which causes wake in the aft part of the ship, around the propellers. This results in lower speed around the propeller area than ship speed, equal to speed of advance. Speed of advance can be found from this formula:

$$V_A = V_S(1 - w) \quad (2.21)$$

Where  $w$  is the wake fraction coefficient

The rotation of the propellers causes the water to be drawn towards the propeller, adding resistance to the propeller and causes trust reduction.

$$t = \frac{T - R_T}{T} \quad (2.22)$$

Where  $t$  is the trust deduction coefficient.

## 2.4 Power prediction

In the course of ship design process, power prediction for ship can be approximated for a given hull form and resistance coefficients. In addition the given characteristics of the proposed hull effective power,  $P_E$ , can be calculated.

Effective power is the power needed for pulling the hull through water.

$$\text{Effective power, } P_E = V * R_T \quad (2.23)$$

Thrust power is the power delivered by the propeller to water in a given speed.  $\eta_H$  is the relationship between thrust power and effective power:

$$\text{Thrust power, } P_T = P_E / \eta_B = V_A * T \quad (2.24)$$

$\eta_B$  is the relationship between thrust power and delivered power to propellers.

$$\text{Delivered power, } P_D = P_T/\eta_B \quad (2.25)$$

where:  $\eta_B = \eta_O * \eta_H$

Brake power of main engine is derived from the relationship between delivered power and brake power. This is the power produced from the engine to deliver a given effective power output.

$$\text{Brake power, } P_B = P_D/\eta_S \quad (2.26)$$

### 2.4.1 Propulsion efficiency

Propulsion efficiency is a measure of total power loss from propulsion engine to water. This is expressed with efficiency coefficients.

$$\text{Total efficiency, } \eta_T = \frac{P_E}{P_B} = \frac{P_E}{P_T} * \frac{P_T}{P_D} * \frac{P_D}{P_B} = \eta_H * \eta_B * \eta_S = \eta_H * \eta_O * \eta_R * \eta_S \quad (2.27)$$

where:

$\eta_B$  = Propeller efficiency - behind hull

$\eta_S$  = Shaft efficiency

$\eta_H$  = Hull efficiency

$\eta_O$  = Propeller efficiency -open water

$\eta_R$  = Relative rotative efficiency

Quasi Propulsion Efficiency,  $\eta_D$ , is the ratio between effective power and power delivered to the propellers.

$$\text{Propulsive efficiency - open water, } \eta_D = \eta_O * \eta_H * \eta_R = P_E/P_D \quad (2.28)$$

Propeller efficiency behind hull is the efficiency of the propeller work behind the ship.

$$\text{Propeller efficiency - behind hull , } \eta_B = \eta_0 * \eta_R = P_T/P_D \quad (2.29)$$

Open water propeller efficiency is the efficiency of the propeller work in open water.

$$\text{Propeller efficiency - behind hull} = \eta_0 \quad (2.30)$$

Efficiency of the hull described the ratio between effective power and thrust power.

$$\text{Hull efficiency, } \eta_H = P_E/P_T = \frac{R_T * V}{T * V_A} = \frac{1 - t}{1 - w} \quad (2.31)$$

Relative rotative efficiency comes from the water flowing to the propeller. The rotation of the water gives a beneficial effect tho the propulsion.

$$\text{Relative rotative efficiency, } \eta_R = P_E/P_T = \frac{R_T * V}{T * V_A} = \frac{1 - t}{1 - w} \quad (2.32)$$

Shaft efficiency,  $\eta_S$ , is the loss from ie. shaft and gearbox losses. This may also be expressed as mechanical efficiency. This efficiency can be from 0.96 to 0.995, but normally around 0.99. The efficiency expresses the ratio between power delivered and brake power delivered by the engine.

$$\text{Shaft efficiency, } \eta_S = P_D/P_B \quad (2.33)$$

Values for the given efficiencies will be discussed further in the next chapter.

## 2.4.2 Power prediction using empirical methods

There are several empirical methods for approximation of power prediction. Primarily empirical methods are used for calculating hull resistance in early stages of design phases. This

includes the form factor, a way to separate viscous resistance and wave resistance and is a correction method for displaced water by the hull.

Renown empirical methods for resistance prediction are Holtrop-Menn, Guldhammer, Lap - Keller, Series-60, Hollenbach and MARINTEK's Formula. Table 2.5 is the deviation between model tests and empirical resistance approximations and shows the numerical difference between the methods (Steen, 2011). Some of the different methods are described shortly in the underlying text.

	Single-screw design draft		Single-screw ballast draft		Twin-screw design draft	
	Mean	Standard deviation	Mean	Standard deviation	Mean	Standard deviation
Holtrop-Mennen	-0.5%	12.8%	6.3%	16.1%	5.8%	18.4%
Guldhammer	-0.8%	11.0%	10.5%	17.9%	11.2%	19.2%
Lap-Keller	-0.5%	12.9%	27.9%	32.9%	14.0%	23.4%
Series - 60	-1.0%	11.6%	37.3%	42.7%	15.2%	23.3%
Hollenbach	-1.0%	9.4%	-0.2%	11.2%	3.5%	13.3%

Table 2.5: Deviation between model tests and empirical methods (Steen, 2011).

### Holtrop-Mennen

Holtrop-Mennen is a method for calculating propulsive power of ships. This is done by using basic hull dimensions. Total ship resistance is divided into component for regression analysis. This was done using an extensive number of models test and trial measures (Holtrop & Mennen, 1982). From Holtrop-Mennen effective power ( $P_E$ ) and resistance  $R_T$  are estimated (Holtrop & Mennen, 1982).

### Guldhammer and Harvald

A ship calculation method was developed by Guldhammer and Harvald from 1965 - 1974 (Guldhammer & Harvald, 1974). Their heuristics uses an extensive analysis of published

model tests, and takes relatively few parameters (Kristensen & Lützen, 2012). Residual resistance is approximated with a function using only three parameters; length/displacement-ratio, prismatic coefficient and Froude number and is given without correction of hull form, bulbous bow or position of LCB (Kristensen & Lützen, 2012).

### Lap - Keller

Lap presented diagrams for determining resistance for single screw ships. This was later extended by Keller for resistance and power prediction for single screw ships (Keller, 1973; Lap, 1954).

### Hollenbach

Hollenbach estimating resistance and propulsion for single screw and twin screw ships. It is base on a extensive number of model tests, and the newest published method for conventional ships (Steen, 2011).

$$C_{RHollenbach} = C_{R,Standard} * C_{R,Fnkrit} \quad (2.34)$$

$$\text{Residual resistance, } C_R \text{ Hollenbach} = \frac{R_R}{\frac{\rho}{2} * V_0^2 * B * T} \quad (2.35)$$

$$C_{TS} = (C_{FS} + \Delta C_F) + \frac{B * T}{S} * C_{RHollenbach} \quad (2.36)$$

### Marinteks formula for formfaktor

Formula base on experimentally decided form factors from regression. (Steen, 2011)

$$k = 0.6\phi + 145\phi^{3.5}\phi = \frac{C_B}{L_{WL}} * \sqrt{T_{AP} + T_{FP} * B} \quad (2.37)$$

## 2.5 Fuel consumption

Fuel cost accounts for a big part of expenses for a voyage. GHG emissions are also a direct consequence of amount of fuel used. Hence fuel consumption is paid close attention from all who have interests in shipping.

A measure for how much fuel engine uses per produced power is called specific fuel consumption (SFC). This is also called Brake specific fuel consumption. SFC is the measure of fuel efficiency for engines, in this case main engine for ships. SFC varies with speed and loads on the vessel. Figure 2.5 shows distribution of SFC compared to engine shaft power.

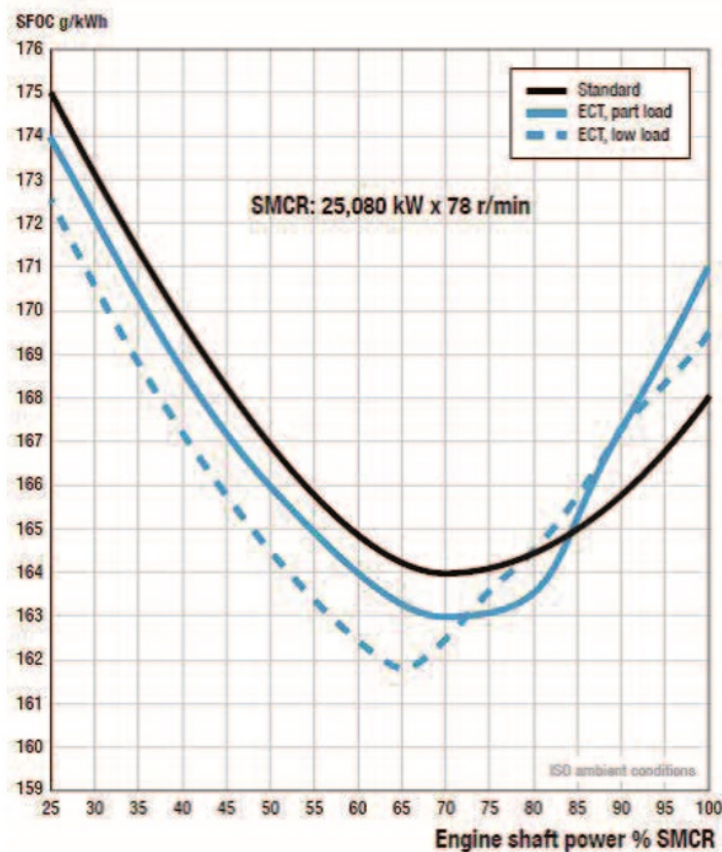


Figure 2.5: SFOC curve with engine control tuning (ECT) (MAN Diesel & Turbo, 2012).

Possible influential factors for SFC are engine type, engine rating, fuel type and whether it meets pre-IMO tier, IMO 1 or 2 requirements (Marakogianni, Papaefthimiou & Zopounidis, 2016).

$$\text{Break specific fuel consumption, } BSFC = \frac{r}{P} \quad (2.38)$$

where:

$r$  is the fuel consumption rate in grams per second (g/s)

$P$  is the power produced in watts where  $P = \tau\omega$

$\omega$  is the engine speed in radians per second (rad/s)

$\tau$  is the engine torque in newton meters (N·m)

BSFC, or SFC, is measured in g/kwh, which is the same as  $3.6 * 10^6$  g/J

SFC depends on engine type size and load, and building year (as engines have become more effective), which will be discussed shortly in this section.

All cargo ships	210
Tankers	191-229
Containers	194-222
Bulk and combined carriers	192-202
General cargo vessels	200-230

Table 2.6: SFC cargo vessels (Eyring, Köhler, van Aardenne & Lauer, 2005).

**Fuel types** used in shipping are: Marine gas oil (MGO), Marine diesel oil (MDO), Intermediate fuel oil (IFO), Marine fuel oil (MFO) and Heavy fuel oil (HFO). These are often combined into two groups, MDO and HFO. A distribution of fuel type usage in shipping from 2007 to 2011 can be found in table 2.6.



Fuel type	2007	2008	2009	2010	2011
MDO	71	73	77	64	73
HFO	258	258	245	256	244
All fuels	329	331	321	319	318
Fuel type	2007	2008	2009	2010	2011
MDO	22%	22%	24%	20%	23%
HFO	78%	78%	76%	80%	77%
All fuels	100%	100%	100%	100%	100%

Figure 2.6: Upper range of top -down fuel type consumption (in million tons) (Smith et al., 2014).

This can be compared with IEA<sup>7</sup> fuel sales (Smith et al., 2014). In marine sector fuel sold distinct types of fuel in 2011 for HFO equal to 177.9, MDO equal to 29.6 and LNG equal to 0 (all in million tons). Possible ways to monitor fuel consumption is to use AIS combined with ship data, bunker delivery note (BDN), installing fuel flow meters or collecting Noon reports (Faber, Nelissen & Smit, 2013).

**Engine age** effects efficiency. This is shown in table 2.7. It could account for as much as 10 % of SFC.

Engine age	SSD	MSD	HSD
before 1983	205	215	225
1984 -2000	185	195	205
post 2001	175	185	195

Table 2.7: SFOC (Smith et al., 2014).

Specific fuel consumption for combination of engine type and fuel type is shown in table

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<sup>7</sup>International Energy Agency

2.7, where: SSD(Slow speed diesel engine), MSD (Medium speed diesel engine), and HSD (High speed diesel engine).

<b>MEs AT SEA</b>	<b>NO<sub>x</sub></b>	<b>SO<sub>2</sub></b>	<b>CO<sub>2</sub></b>	<b>HC</b>	<b>specific fuel consumption</b>
<b>Engine type / Fuel type</b>					
SSD / MGO	17.0	0.9	588	0.6	185
SSD / MDO	17.0	3.7	588	0.6	185
SSD / RO	18.1	10.5	620	0.6	195
MSD / MGO	13.2	1.0	645	0.5	203
MSD / MDO	13.2	4.1	645	0.5	203
MSD / RO	14.0	11.5	677	0.5	213
HSD / MGO	12.0	1.0	645	0.2	203
HSD / MDO	12.0	4.1	645	0.2	203
HSD / RO	12.7	11.5	677	0.2	213
GT / MGO	5.7	1.5	922	0.1	290
GT / MDO	5.7	5.8	922	0.1	290
GT / RO	6.1	16.5	970	0.1	305
ST / MGO	2.0	1.5	922	0.1	290
ST / MDO	2.0	5.8	922	0.1	290
ST / RO	2.1	16.5	970	0.1	305

Figure 2.7: Combination engine fuel/type in ships (Whall et al., 2002).

Engines in a ship is exposed to different loads during different operations. Table 2.8 is an assumption done by Whall et al. (2002) on load distributions during different operations.

	<b>% load of MCR for ME operation</b>	<b>% of time all MEs operating</b>	<b>% of electric power from shaft generators</b>	<b>% load of MCR for AE operation</b>
at sea	80	100	50	30
in port (tankers-using pumps <sup>a)</sup> )	20	100	0	60
in port	20	5	0	40
manoeuvring <sup>b)</sup>	20	100	0	50

Figure 2.8: Assumptions for engine operations (Whall et al., 2002).

## 2.6 Emissions

This section gives a short introduction to emissions and its contributions from shipping.

### 2.6.1 Emissions in general and in shipping

As of year 2010 global anthropogenic emissions of GHG<sup>8</sup> was  $49 \pm 4.5$  GT CO<sub>2</sub>- equivalents/year (Pachauri et al., 2014). Out of this, CO<sub>2</sub> accounted for 76%. Fossil fuel and industrial processes accounted for 65%, and forestry and other land use for 11%. Figure 2.9 shows a growth in from 27GT in 1970 to 49GT in 2010. Consequently global anthropogenic emissions has almost doubled in this period.

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<sup>8</sup>Green house gases

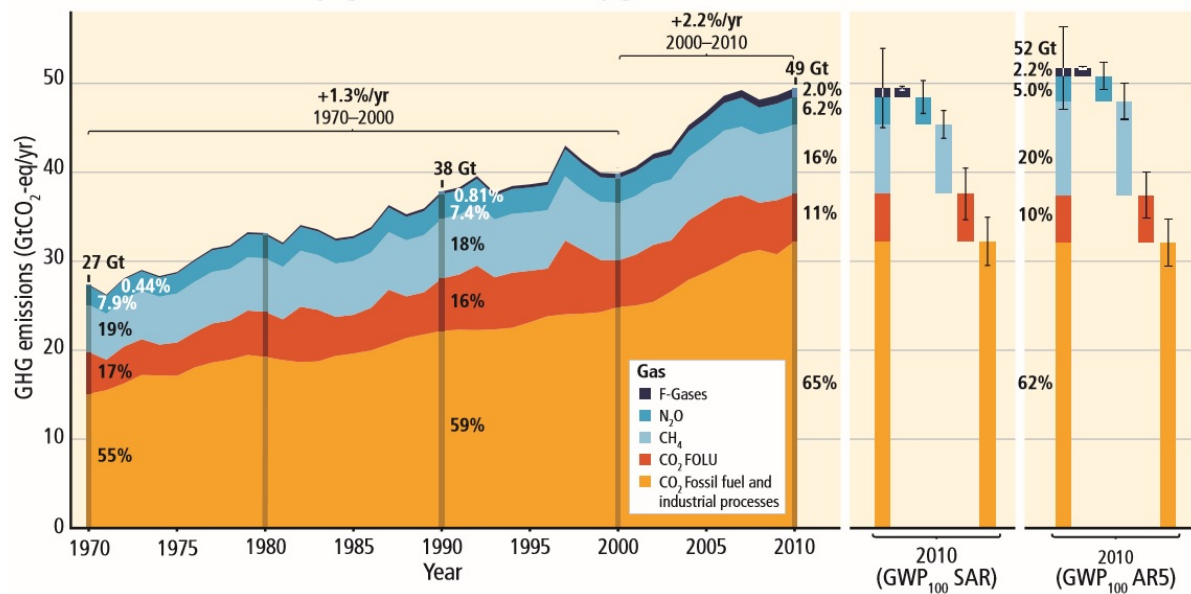


Figure 2.9: Total annual anthropogenic GHG emissions by gases 1970–2010 (Whall et al., 2002).

Further development in global emissions of GHG will depend on both socio-economic development and climate policies. The Paris Climate agreement following COP21<sup>9</sup>, showed that there most likely will be an increase in policies with regards to GHG-emissions in the future.

From 2007 to 2012 shipping accounted for 3.1% of global GHG emissions, and 2.6% of global CO<sub>2</sub> emissions (Smith et al., 2014). Third IMO GHG Study showed a slight reduction in emissions from the Second IMO GHG study in 2009. This can be seen in figure 2.11.

<sup>9</sup>United Nations climate conference, 2015

Year	Global CO <sub>2</sub> <sup>1</sup>	Third IMO GHG Study 2014 CO <sub>2</sub>			
		Total shipping	% of global	International shipping	% of global
2007	31,409	1,100	3.5%	885	2.8%
2008	32,204	1,135	3.5%	921	2.9%
2009	32,047	978	3.1%	855	2.7%
2010	33,612	915	2.7%	771	2.3%
2011	34,723	1,022	2.9%	850	2.4%
2012	35,640	949	2.7%	796	2.2%
<b>Average</b>	<b>33,273</b>	<b>1,016</b>	<b>3.1%</b>	<b>846</b>	<b>2.6%</b>

Year	Global CO <sub>2e</sub> <sup>2</sup>	Third IMO GHG Study 2014 CO <sub>2e</sub>			
		Total shipping	% of global	International shipping	% of global
2007	34,881	1,121	3.2%	903	2.6%
2008	35,677	1,157	3.2%	940	2.6%
2009	35,519	998	2.8%	873	2.5%
2010	37,085	935	2.5%	790	2.1%
2011	38,196	1,045	2.7%	871	2.3%
2012	39,113	972	2.5%	816	2.1%
<b>Average</b>	<b>36,745</b>	<b>1,038</b>	<b>2.8%</b>	<b>866</b>	<b>2.4%</b>

Figure 2.10: Shipping emissions 2007-2012 (Smith et al., 2014).

Year	Global CO <sub>2</sub> <sup>1</sup>	Third IMO GHG Study 2014			
		Total shipping CO <sub>2</sub>	Percentage of global	International shipping CO <sub>2</sub>	Percentage of global
2007	31,409	1,100	3.5%	885	2.8%
2008	32,204	1,135	3.5%	921	2.9%
2009	32,047	978	3.1%	855	2.7%
2010	33,612	915	2.7%	771	2.3%
2011	34,723	1,022	2.9%	850	2.4%
2012	35,640	938	2.6%	796	2.2%
<b>Average</b>	<b>33,273</b>	<b>1,015</b>	<b>3.1%</b>	<b>846</b>	<b>2.6%</b>

<sup>1</sup> Global comparator represents CO<sub>2</sub> from fossil fuel consumption and cement production, converted from Tg C y<sup>-1</sup> to million tonnes CO<sub>2</sub>. Sources: Boden et al., 2013, for years 2007–2010; Peters et al., 2013, for years 2011–2012, as referenced in IPCC (2013).

Figure 2.11: Shipping CO<sub>2</sub> emissions 2007-2012 (Smith et al., 2014).

## 2.6.2 Emission factors

Relationship between emission and fuel consumption can be found through SFOC<sup>10</sup>.

$$\text{Emission, } EF_{baseline}(g \text{ pollutant}/g \text{ fuel}) = \frac{EF_{baseline}(g \text{ pollutant}/kWh)}{SFOC_{baseline}(g \text{ fuel}/kWh)} \quad (2.39)$$

Emissions factors were developed for GHG species by third GHG study 2014 (Smith et al., 2014). This can be used directly with fuel consumption for emission calculations. CO<sub>2</sub> Baseline for the different fuel types used in marine shipping are shown in equations 2.40, 2.41 and 2.42. As for same fuel consumption by a distinct ship, emission for each of the GHG will be decided by the fuel type. Table 2.8 shows CO<sub>2</sub> emissions factor for all the different fuels in marine shipping. This is transmissible to other GHG emissions.

$$\text{HFO } EF_{baseline,CO_2} = 3,114kgCO_2/tonne \text{ fuel} \quad (2.40)$$

$$\text{MDO/MGO } EF_{baseline,CO_2} = 3,206kgCO_2/tonne \text{ fuel} \quad (2.41)$$

$$\text{LNG } EF_{baseline,CO_2} = 2,750kgCO_2/tonne \text{ fuel} \quad (2.42)$$

Type of fuel	Reference	Carbon Content	$C_F$ (t-CO <sub>2</sub> /t-Fuel)
1. Diesel/Gas oil	ISO 8217 Grades DMX through DMB	0.8744	3.206
2. Light Fuel Oil(LFO)	ISO 8217 Grades RMA through RMD	0.8594	3.151
3. Heavy Fuel Oil (HFO)	ISO 8217 Grades RME through RMK	0.8493	3.114
4. Liquefied Petroleum Gas (LNG)	Propan/Butane	0.8182 / 0.8264	3.000 / 3.030
5. Liquefied Natural Gas (LNG)		0.7500	2.750
6. Methanol		0.3750	1.375
7. Ethanol		0.5217	1.913

Table 2.8: Petroleum product CO<sub>2</sub>  $C_F$  factors (Smith et al., 2014).

<sup>10</sup>Specific fuel oil consumption, also named SFC and BSFC

Figure 2.12 contains emission factors for different GHG species. It shows that the amount of emissions depends on both type and species.

Emissions species	Marine HFO emissions factor (g/g fuel)	Marine MDO emissions factor (g/g fuel)	Marine LNG emissions factor (g/g fuel)
CO <sub>2</sub>	3.11400	3.20600	2.75000
CH <sub>4</sub>	0.00006	0.00006	0.05120
N <sub>2</sub> O	0.00016	0.00015	0.00011
NO <sub>x</sub> Tier 0 SSD	0.09282	0.08725	0.00783
NO <sub>x</sub> Tier 1 SSD	0.08718	0.08195	0.00783
NO <sub>x</sub> Tier 2 SSD	0.07846	0.07375	0.00783
NO <sub>x</sub> Tier 0 MSD	0.06512	0.06121	0.00783
NO <sub>x</sub> Tier 1 MSD	0.06047	0.05684	0.00783
NO <sub>x</sub> Tier 2 MSD	0.05209	0.04896	0.00783
CO	0.00277	0.00277	0.00783
NM VOC	0.00308	0.00308	0.00301

Figure 2.12: Emissions factor for GHG (Smith et al., 2014).

### 2.6.3 Climate changes

Climate changes poses a significant risk for human and natural systems. There is strong scientific evidence of climate change that largely is caused by human activities. Global warming is closely linked to climate changes such as: rising sea water levels, increases in intense rainfall events and decrease in snow cover and sea ice. Furthermore global warming are linked to more frequented intense heat waves, increases in wildfires, longer growing seasons and ocean acidification (Matson et al., 2010).

## 2.6.4 Emission prevention

### MARPOL

MARPOL is the main convention for prevention of pollution from ship at sea and was adapted by IMO<sup>11</sup> in 1973. It is meant to cover pollution from operational and accidental causes. As of October 2, 1983 the MARPOL convention entered into force, after the 1978 Protocol absorbed the 1973 Convention (IMO, 2011). Today's MARPOL includes the 1997 protocol and includes in total six Annexes.

- Annex 1 - Prevention of pollution of oil
- Annex 2 - Control of pollution by noxious liquid substance carried in bulk
- Annex 3 - Prevention of pollution by harmful substances carried by sea in packaged form
- Annex 4 - Prevention of pollution by sewage from ships
- Annex 5 - Prevention of pollution by garbage from ships
- Annex 6 - Prevention of air pollution from ships

MARPOL convention needs to be ratified by more than 50 percent of world fleets collective GT to be officially valid, which is also the case for all six annexes.

### EEDI

EEDI<sup>12</sup> is a mandatory technical measure by MEPC<sup>13</sup> of the IMO organization. EEDI was adopted by MARPOL ANNEX 6 in 2011, and put in place to reduce GHG<sup>14</sup> from ships. This is a legal binding treaty, the first since Kyoto Protocol from 1997. The EEDI has requirements for different size and ship segments to follow a minimum of efficiency in level per capacity

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<sup>11</sup>International Maritime Organization

<sup>12</sup>Energy Efficiency design index

<sup>13</sup>Marine Environment Protection Committee

<sup>14</sup>Green House Gases



mile. This is requirements for new ship design. The level of efficiency is adjusted higher every five year. EEDI is accordingly a mean for technological and operational development.

$EEDI = a * b^c$  (GL, 2013). EEDI Calculation formula for  $CO_2$  emissions:

$$\begin{aligned}
 EEDI_{attained} = & \left( \overbrace{\left( \prod_{j=1}^n f_j \right) \left( \sum_{i=1}^{n_{ME}} P_{ME(i)} * C_{FME(i)} * SFC_{ME(i)} \right)}^{\text{Main Engine(s) } CO_2 \text{ emissions}} \right. \\
 & \left. + \overbrace{\left( P_{AE} * C_{FAE} * SFC_{AE} \right) + \left( \left( \prod_{j=1}^n f_j * \sum_{i=1}^{n_{PTI}} P_{PTI(i)} - \sum f_{eff(i)} * P_{AEff(i)} * C_{FAE} * SFC_{AE} \right) \right)}^{\text{Auxiliary engine(s) } CO_2 \text{ emissions}} \right. \\
 & \left. - \overbrace{\left( \sum_{i=1}^{n_{eff}} f_{eff(i)} * P_{eff(i)} * C_{FME} * SFC_{ME} \right)}^{CO_2 \text{ emission reduction due to Innovative technology(s)}} \right) \frac{1}{\underbrace{f_i * f_l * f_w * f_c * Capacity * v_{ref}}_{\text{Transport work}}}
 \end{aligned} \tag{2.43}$$

## Emission reduction

IMO agreement on technical regulations is mandatory for all ships and enter into 94% of world fleet. Introduction of SEEMP<sup>15</sup>, which was adapted in 2013, improve efficiency in a cost effective manner (IMO, 2011). This helps ship owners to actively manage ship and fleet efficiency over time. Within 2020 industry goal is 20% per tonne/km CO2 reduction and within 2050 industry is 50% per tonne/km.<sup>16</sup> (ICS, 2014). Whereas goals for reduction is set, shipping emissions are predicted to increase between 50% - 250% within 2050 (Smith et al., 2014).

Here are a number of suggestions found from ICS (2014) for which reductions can be made:

<sup>15</sup>Ship Energy Efficiency Management Plan

<sup>16</sup>Compared to 2005

bigger ships to improve fuel efficiency, better ship operational measures (for instance speed management), reduced fuel consumption with SEEMP and alternative fuel sources.

European Parliament's framework for reduction of  $CO_2$  emissions from maritime transport can be found in MRV<sup>17</sup> Regulation adopted on April 29, 2015. This demands all big ships using EU ports to obey the MRV Regulations from start of 2018 (Whall et al., 2002).

## 2.7 Ship measurements

Describing size and capacity of a ship can be done by linear dimensions or tonnage. Linear dimensions are in three dimensions; length, breadth and depth. In this case the most important linear dimensions for a ship is Length on Waterline,  $L_{WL}$ , Breadth (also named Beam),  $B$ , and Drought,  $D$ . These dimensions are critical for a ship's performance in water, as resistance from water constitutes majority of total resistance. In addition ship hull has several other dimensions which are shown in figure 2.13 below.

### Length

Overall length ( $L_{OA}$ ) is the absolute length of the ship. The length on waterline ( $L_{WL}$ ) is the length from aft to fore at the waterline and the length between perpendiculars ( $L_{pp}$ ) is the length from fore to aft perpendicular.

### Breadth

Breadth or Beam ( $B$ ) is the absolute breadth of the ship hull. Breadth Moulded ( $B_m$ ) is the breadth measured inside the inner shells of plating.

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<sup>17</sup>Monitoring, reporting and verification

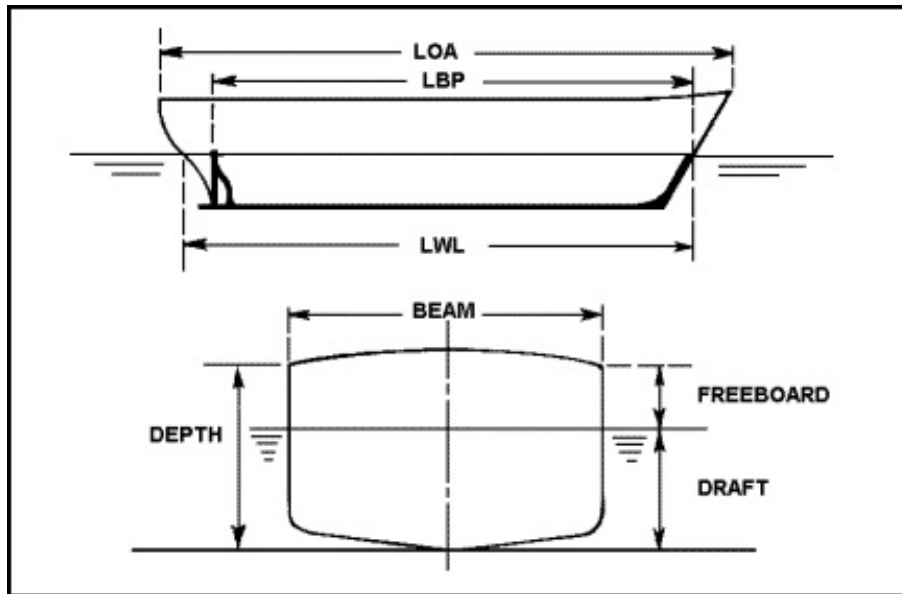


Figure 2.13: Hull dimensions (MarineStudy, 2015).

### Draught

Draught moulded on Fore Perpendicular ( $T_F$ ) is the draught at the fore of the ship where the perpendicular is. Draught moulded on Aft Perpendicular ( $T_A$ ) is the draught at the aft of the ship where the perpendicular is.

### Weight and volume

Displacement ( $\nabla$ ) is the suppressed volume of the ship. This can also be expressed as weight. Deadweight ( $D_{WT}$ ) is a ship's carrying capacity, and is the difference between lightweight and displacement loaded. Lightweight is a ship's weight without cargo, crew, fuel, passengers etc. and displacement refers to the weight of water pushed away by the ship's hull.

### Positions at ship hull

Longitudinal center of buoyancy is where the centroid of the displaced water in the horizontal direction. Center of bulb area above keel ( $h_T$ ) is the distance from keel to the center of bulb

in horizontally.

### Area, shape of hull and coefficients

Transverse bulb area ( $A_{BT}$ ) is the area of bulb in direction of the breadth. Transom area ( $A_T$ ) is the area aft of the ship. Submerged area is the part which is of interest. Wetted area of hull ( $S$ ) is the area of the hull which is submerged. Stern shape ( $C_{STERN}$ ) is the shape of aft most part of the ship expressed as a coefficient. Wetted area of appendages ( $S_{APP}$ ) is the total wetted area of all appendages.

### Propeller

Propeller diameter is the length from the circle made from a rotating propeller. Clearance propeller with keel is the vertical distance from propeller to keel. Number of propeller blades can be between 2-6. A normal number of propeller blades is four.

#### 2.7.1 Hull form

Shape of the hull is given through different coefficients describing different part of ship hull. This is useful when designing ship hull for calculating hull resistance, loading of ship etc. Considerations to be taken with regards to hull form is hull load in different services, hence an understanding of hull form is necessary to know the significance of effects from speed and displacement.

### Block coefficient $C_B$

$C_B$  is the relationship between hull displacement volume and volume of the dimensions from waterline. See figure 2.14

$$C_{BWL} = \frac{\nabla}{L_{WL} * B_{WL} * D} \quad (2.44)$$

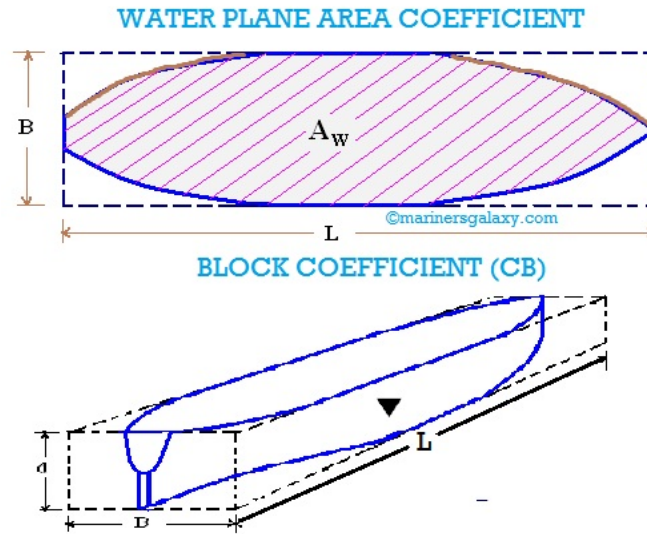


Figure 2.14: Hull form coefficients (Sharma, 2015).

### Longitudinal prismatic coefficient $C_P$

$C_P$  is the ratio between hull displacement volume and the product of the midship frame section area and the waterline length.

$$C_P = \frac{\nabla}{L_{WL} * A_X} \quad (2.45)$$

### Midship section coefficient $C_M$

$C_M$  is the relationship between the immersed midship section area and the aft perpendiculars:

$$C_M = \frac{A_M}{A_M * L_{WL}} \quad (2.46)$$

**Waterplane area coefficient,  $C_{WP}$**

$C_{WP}$  is the ratio between the ship's waterline area and the product of the breadth and the length of the ship on the waterline,

$$C_{WP} = \frac{A_{WL}}{L_{WL} * B_{WL}} \quad (2.47)$$

### 3 | Heuristic for ship emissions calculation based on AIS

The objective for this thesis is to make a simplification of emissions calculation. AIS data provides information about ship main characteristics in addition to position and voyage related messages. This information shall be used with power prediction methods. Furthermore this is used for calculation of fuel consumptions. From fuel consumptions, emissions will be derived and gathered in a global inventory ship emissions calculation. A descriptive overall flow chart for ECAIS model is found in figure 3.1.

Emissions calculation from AIS data (ECAIS) method estimates fuel consumption by using Holtrop-Mennen for ship resistance and power prediction. Holtrop-Mennen takes main ship characteristics as input. Some of these characteristics are given directly from AIS. Rest of the characteristics are found through literature survey which gives an approximate value for given ship sizes and types. From ship resistance power prediction is derived. An approximate fuel consumption table based on the estimated engine power are applied, Ship speeds given from AIS data are used for finding the specific fuel oil consumption for each distinct ship. Computer scripts are applied for calculations for all AIS messages. This gives a an approximated ship consumption for the given data input, and from this emissions are derived.

Total CO<sub>2</sub> emission can be found from fuel consumption together with emission factor for CO<sub>2</sub>. From the use of empirical methods, the heuristic is expected to show some inaccuracy in emission calculation. Those inaccuracies will have to be put into context from earlier inaccurate methods for emission calculation, as well as with ship design methods.

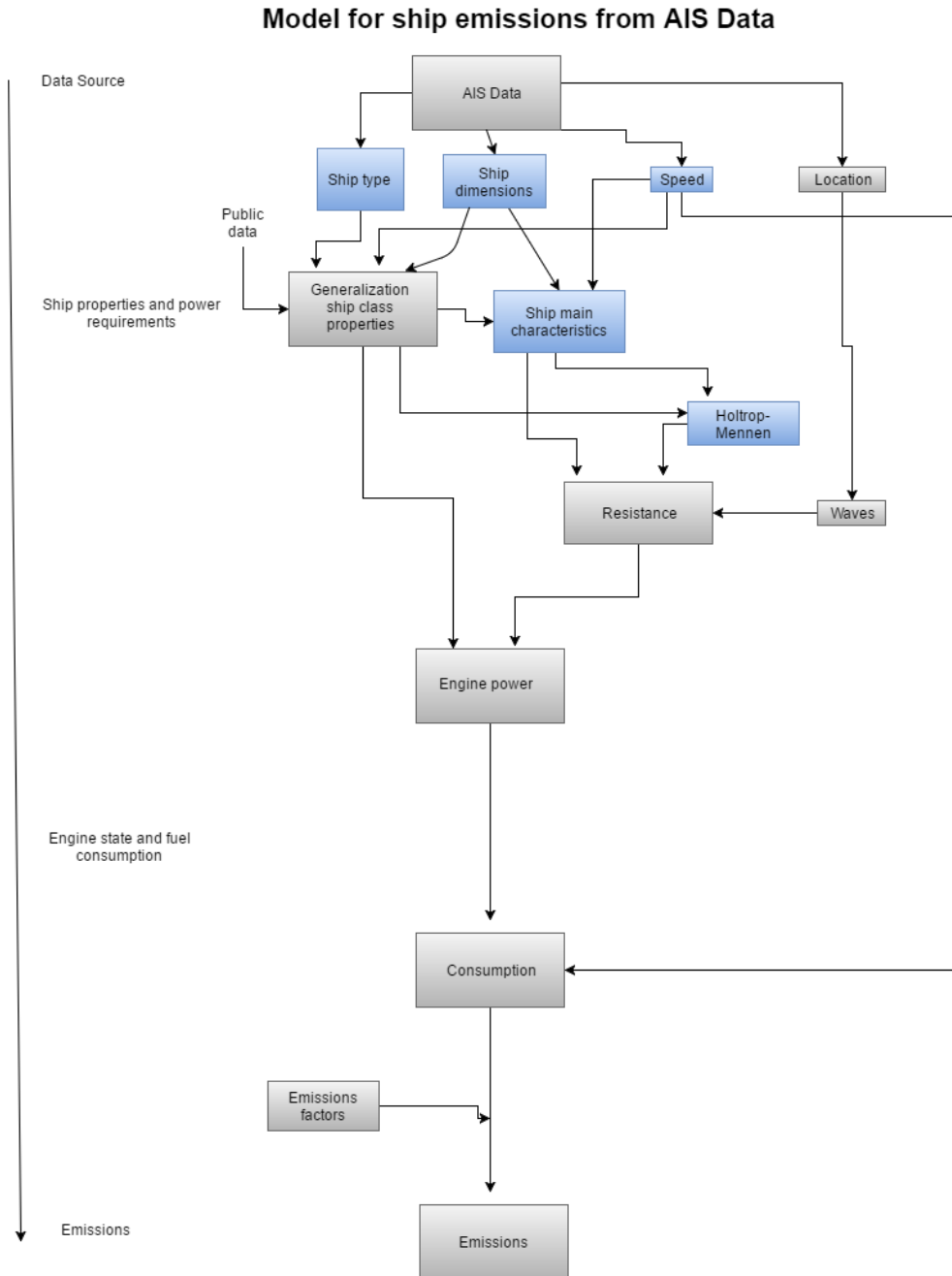


Figure 3.1: Ship emissions model from AIS data



### 3.1 Holtrop-Mennen calculations

Holtrop-Mennen is a well recognized power prediction estimation method, and for that purpose chosen for this theses calculation. The objective is calculate effective power needed for each individual ship from the S-AIS data collection, which can be applied in fuel consumption calculations.

#### AIS inputs

To make use of Holtrop-Mennen for resistance calculations and power prediction several inputs are needed. As AIS cannot provide all of the inputs directly a heuristic for the required inputs are derived from ship classifications and design rules.

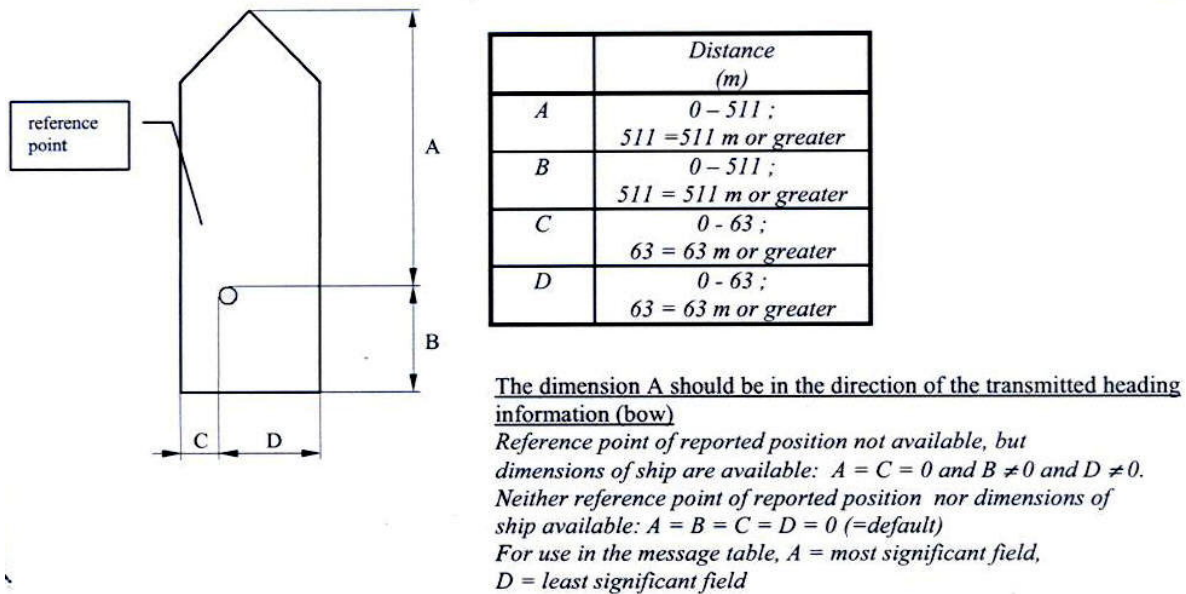


Figure 3.2: Static ship dimensions from AIS (MCA, 2016).

### 3.1.1 Ship characteristics

In this section the main characteristics is described. Using Holtrop-Mennen on a ship fleet that includes characteristics normally found in ship databases, requires assumptions for several of the main characteristics and hull coefficients that are used to derive these characteristics.

Ship type		Container Ship	Container ship	Container ship	Bulk carrier
Capacity	TEU	1300	5000	9000	-
Deadweight	DWT	20355	54240	103000	75000
mass displacement	t	26780	76780	145200	82470
Displacement	$m^3$	26110	74900	141700	84530
Speed	kn	18	22	25	15
Emptyship mass	t	6430	22540	4200	7470
LxBxT	m	152x25.2x11	271x36.5x12	319x44x14.5	210x33x14.1
H	m	14.3	22.9	26.4	18.8
Fn		0.24	0.23	0.24	0.17
Cb		0.62	0.626	0.62	0.86
Cm		0.975	0.978	0.98	0.975
Cp		0.636	0.625	0.69	0.86
S	$m^2$	5166	11419	12632	10820
Cf		$3.09 * 10^{-3}$	$2.575 * 10^{-3}$	$1.32 * 10^{-3}$	$1.147 * 10^{-3}$
Rt	kN	1052	1760	2690	802.7
Ne	kW	1550	40000	69200	10000

Table 3.1: Values for hull characteristics (Charcharlis, 2013).

#### Block coefficient, $C_B$

$C_B$  can be described as an essential coefficients with regards to resistance, and is used here for calculating several of the main characteristics in Holtrop. It describes the difference between the hull form and the volume of waterline dimensions.

$$\text{Block coefficient, } C_B = \frac{\nabla}{L_{WL} * B_{WL} * T} \quad (3.1)$$

Since displacement is not known from AIS messages,  $C_B$  is assumed through literature surveys. There exist several estimation methods, which certainly can be implemented in this method. However, for simplicity of the method,  $C_B$  is chosen as a fixed number for each main ship type described in Smestad (2015). Although ship fleet includes ships with a significant variety of dimensions, a single block coefficient is chosen for each ship group. This is done by using median of coefficient range shown in figure 3.3. which were found in MAN Diesel & Turbo (2011). Further literature surveys substantiates coefficients chosen. Examples given are ABS (2013) and Takahashi (2006). This estimation disregards values of ship dimensions and speeds, hence it is likely that deviation from measured block coefficients are significant. However the size of the fleet is believed to make up for that deviation. As for these assumption for block coefficient, further calculations also disregards differences in displacement between voyages.

Block coefficients are chosen as followed:

- LNG = 0.72
- Bulk Carrier = 0.825
- Container Ships = 0.60
- Oil Tankers = 0.825

As the estimation method below uses the ship specific dimensions, further development of the ECAIS-method might include a more narrow estimation. Hence the global formula by Barrass (2004) is shown:’

$$C_B 1.20 - 0.39(V/L^{0.5}) \tag{3.2}$$

**Examples of block coefficients referred to design draught and  $L_{pp}$**

Ship type	Block coefficient $C_{B, PP}$	Approximate ship speed $V$ in knots
Lighter	0.90	5 – 10
Bulk carrier	0.80 – 0.85	12 – 16
Tanker	0.80 – 0.85	12 – 17
General cargo	0.55 – 0.75	13 – 22
Container ship	0.50 – 0.70	14 – 26
Ferry boat	0.50 – 0.70	15 – 26

Figure 3.3: Block Coefficient example (MAN Diesel & Turbo, 2011).

### Midship section coefficient, $C_M$

As earlier described, midship section coefficient is the ratio between the midship area from waterline and the product of breadth at waterline and draught.

$$\text{Midship coefficient, } C_M = A_M / (B_{WL} * T), \quad (3.3)$$

Since we do not have the midship area for each ship, an approximation method is used to calculate this coefficient:

$$\text{Benford formula, } C_M = 0.977 + 0.085 * (C_B - 0.60) \quad (3.4)$$

Different estimations for midship section coefficient (Charcharlis, 2013) are shown below:

$$C_M = 0.979 \quad (3.5)$$

$$\text{Equation of Schneekluth and Bertram, } C_M = 1.006 - 0.0056 * C_B^{-3.56} \quad (3.6)$$

$$\text{Jensen Equation, } C_M = (1 + (1 - C_B)^{3.5})^{-1} \quad (3.7)$$

$$\text{Norid Equation, } C_M = 0.928 + 0.080 * C_B \quad (3.8)$$

### **Prismatic Coefficient, $C_P$**

Prismatic coefficient describes the vertical distribution of the ships hull. Since AIS messages does not distribute midship area, midship section coefficient provides a solution for the prismatic coefficient. This calculation is used as a part of Holtrop-Mennen method:

$$C_P = \nabla / (C_M * B * L * T) \quad (3.9)$$

### **Length on waterline, $L_{WL}$**

Length on waterline is the length of the ship where it sits in the water.  $L_{WL}$  is a percent of ship overall length, which is the length given by AIS messages. As there was not found any studies about the ratio between those lengths, a suggested 97% is used during calculation.

$$L_{WL} = L_{OA} * 0.97 \quad (3.10)$$

### **Length between perpendiculars, $L_{PP}$**

$L_{PP}$  is the length between perpendiculars and are described here as a percentage of  $L_{WL}$ . In MAN Diesel & Turbo (2011) suggested ratio for conventional hulls between  $L_{PP}$  and  $L_{WL}$  is about 97%. This is also used in the ECAIS method.

$$L_{PP} = L_{WL} * 0.97 \quad (3.11)$$

**Breadth moulded,  $B$** 

Breadth moulded is the maximum beam, normally amid ship. This method uses AIS which contains the distance from the AIS instrument to both port and starboard. Hence the sum of those values gives breadth.

**Draught moulded on F.P. and A.P,  $T_F$  and  $T_A$** 

Draught moulded in fore perpendicular is the depth from waterline to flat keel. Draught moulded in aft perpendicular is the depth from waterline to flat keel. For conventional ships, when loaded draught fore and aft of the ship is equal. This value is draught is normally considered as when having summer load. Draught is given by AIS as ten times the breadth, hence it is divided with ten when used in calculations.

**Displacement volume moulded,  $\nabla$** 

Displacement of the hull is the water that the hull suppress. This is given by the volume of a block dimensions of the hull and the block coefficient. Volume can be directly derived from AIS data, while  $C_B$  must be found from literature with regards to the ship type given by the proposed heuristics of Smestad (2015).

$$\Delta = C_B * L_{WL} * B_{WL} * T \quad (3.12)$$

**Longitudinal center of buoyancy**

Longitudinal center of buoyancy is normally found in shipping fleet ships behind half of the ships length. This is because of main engine place and weight compared to rest of the ship.

$$lcb = -0.75 * (L_{WL}/2.0)/100.0 \quad (3.13)$$

**Transverse bulb area,  $A_{BT}$** 

Transverse bulb area is found using the ratio between itself and the midship area. Moreover suggested 8% of midship area was found in Charcharlis (2013).

$$A_{BT} = 0.08 * A_M \quad (3.14)$$

**Center of bulb area above keel line,  $h_B$**

As there was found no literature on this subject center of bulb area above keel line for this method are expressed as a ratio between itself and ships draught. This ratio was found by using values from the original example of Holtrop & Mennen (1982).

$$h_B = 0.4 * D \quad (3.15)$$

**Waterplane area coefficient,  $C_{WP}$**

Waterplane area coefficient are using the dependency of the block coefficient at maximum draught, found in Kristensen & Lützen (2012).

$$C_{WP} = 0.55 + 0.45 * C_B \quad (3.16)$$

Other approximations found, but not used:

$$C_{WP} = \frac{A_{WL}}{L_{WL} * B_{WL}} \quad (3.17)$$

$$\text{Schneeekluth's equation: } C_{WP} = (1 + 2 * C_B)/3 \quad (3.18)$$

**Transom area,  $A_T$**

Transom area was described as a ratio between itself and midship area. It was found no literature for a ratio, hence ratio from example given in Holtrop & Mennen (1982) was chosen. This was found to be 0.051.

$$A_T = 0.051 * A_M = 0.051 * C_M * Breadth * Draught \quad (3.19)$$

Ship type		Container ship	Container ship	Container ship	Bulk carrier
Capacity	TEU	1300	5000	9000	-
Deadweight	DWT	20355	54240	103000	75000
Mass displacement	t	26780	76780	145200	82470
Displacement	m <sup>3</sup>	26110	74900	141700	84530
Speed	kn	18	22	25	15
Empty ship mass	t	6430	22540	42200	7470
LxBxT	m	152x25.2x11	271x36.5x12	319x44.4x14.5	210x33x14.1
H	m	14.3	22.9	26.4	18.8
Fn		0.24	0.23	0.24	0.17
c <sub>B</sub>		0.62	0.626	0.62	0.86
c <sub>m</sub>		0.975	0.978	0.98	0.975
c <sub>p</sub>		0.636	0.625	0.69	0.86
S	m <sup>2</sup>	5166	11419	12632	10820
c <sub>r</sub>		3.09 · 10 <sup>-3</sup>	2.575 · 10 <sup>-3</sup>	1.32 · 10 <sup>-3</sup>	1.147 · 10 <sup>-3</sup>
R <sub>T</sub>	kN	1052	1760	2690	802.7
Ne	kW	15500	40000	69200	10000

Figure 3.4: Values for propulsion coefficients  
 Values for propulsion coefficients (Charcharlis, 2013)

### Appendage area

Appendages area was chosen to the same value as example given in Holtrop & Mennen (1982). This value is 50m<sup>2</sup>.

### Appendages form factor

Appendages form factor was of simplicity set to 1.5, same as example used by Holtrop & Mennen (1982). The values for each appendage can be found in figure 3.5



Appendage type	$(1 + k_2)$
Rudder behind skeg	1.5–2.0
Rudder behind stern	1.3–1.5
Twin-screw balanced rudders	2.8
Shaft brackets	3.0
Skeg	1.5–2.0
Strut bossings	3.0
Hull bossings	2.0
Shafts	2.0–4.0
Stabiliser fins	2.8
Dome	2.7
Bilge keels	1.4

Figure 3.5: Appendages form factor  
Appendages form factor (Molland, 2011)

### Stern shape parameter, $C_{STERN}$

Stern shape parameter was set to 10 described as a U shaped section with Hogner stern, same as example given in Holtrop & Mennen (1982).

- -25 for pram with gondola
- -10 for Vshaped section
- 0 for normal section ship
- 10 for U shaped section with Hogner stern

### Propeller diameter, $D$

Propeller diameter can be found from the ration between draught and diameter. It was found in literature that a expected value of less than 0.65 for Bulk Carrier and Tanker, and a value of less than 0.74 for container ships (MAN Diesel & Turbo, 2011). ECAIS method sets these values to ships within the classification given by Smestad (2015). Other ships not covered by

this classification was given the ratio of 0.7.

### Number of propeller blades , $Z$

Number of blades are as described in chapter 2. Normally it is between 4 and 6 on merchant ships, even if fewer blades gives higher efficiency. This is due to strength of the propeller blades (MAN Diesel & Turbo, 2011). Number of blades are set to 4 for all ships calculated by the ECAIS method.

### Propeller clearance with keel line

Minimum clearance for construction of new single screw hull was found in DNV GL (2016). This was used as a standard for all ships in this method.

$$\text{Clearance propeller with keel line} = (0.48 - 0.02 * Z) * \text{Radius} \quad (3.20)$$

**Screw** number is set to single screw for all ships, which gives the value 0.2 in Holtrop & Mennen (1982)

## 3.2 Fuel consumption

Effective power are found in Holtrop-Mennen using ship speeds. Brake power from engine is required to calculate fuel consumption. It is shown in chapter 2 how propulsive efficiencies can be used to calculate the required power from engine engines to achieve appropriate effective power.

$$\text{Brake power, } P_B = \frac{R_T * V}{\eta_T * 0.85} \quad (3.21)$$

where:

$R_T$  is the total resistance

$V$ =Ship speed  $\eta_T = \eta_H * \eta_O * \eta_R * \eta_S$

0.85 = Sea margin<sup>1</sup>

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<sup>1</sup>Factor taking into account extra power required because of rough conditions at sea.

Open water propeller efficiency accounts for a substantial part of total efficiency. In literature there are several ways to calculate propeller efficiencies. For ECAIS method numbers from Wageningen series are applied as a fixed number for different ship groups. Found in figure 3.6 median for cargo ships is approximately equal to 0.65, and approximately 0.58 for tanker ships. This is applied to ships categorized into these groups by heuristics found in Smestad (2015). LNG ships, Bulk Carriers and Container ships are here defined as cargo ships. Furthermore other efficiencies are of less substantial since efficiencies at close to 1.0. Size of  $\eta_S$  depends of propeller shaft length, gearbox and number of bearings.

For shaft systems including a gearbox, numbers found in literature varies between 0.93 to 0.97. For a system directly mounted to propeller a range from 0.98 to 0.99 is found. Applied in ECAIS method,  $\eta_S$  is set to 0.98. Range of values for  $\eta_R$  varies between 0.95 to 1.07. This depends on the shape of hull and number of propellers. For single propeller ships it ranges from 1.00 up to 1.07. For simplicity  $\eta_R$  is assumed to be 1.0 for all ship types in this heuristic. Hull efficiency is found from calculations:

$$\eta_H = \frac{1-t}{1-w}$$

where: t and w are found from Holtrop-Mennen approximations Holtrop & Mennen (1982).

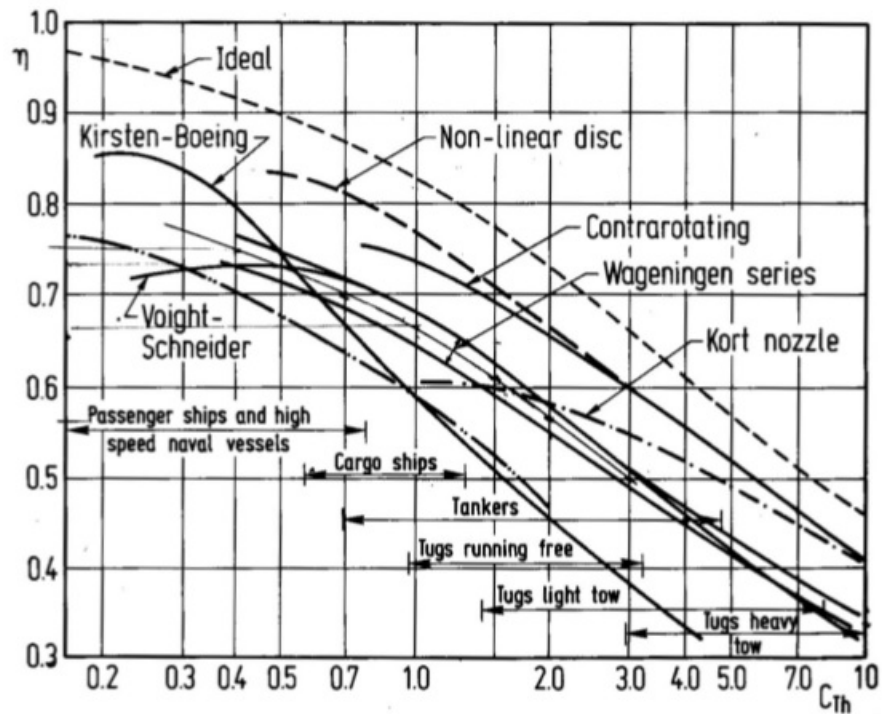


Figure 3.6: Open water efficiency (Kristensen & Lützen, 2012).

For each ship group a specific fuel consumption is given:

- LNG = 215.0 g/kwH
- Container Ships = 208 g/kwH
- Bulk Carrier = 197 g/kwH
- Tanker = 210 g/kwH

This is the median from numbers in 2.6 which was found in MAN Diesel & Turbo (2011)

**Fuel consumption:**

$$FC = SFC * T * P_B \quad (3.22)$$

where:

T = time span between AIS messages given by a ship.

Fuel consumption is given in tons.

### 3.3 Emissions

Emissions is derived from fuel consumption, which is explained in Third IMO GHG study (Smith et al., 2014).

$$EF_{baseline}(g \text{ pollutant}/g \text{ fuel}) = \frac{EF_{baseline}(g \text{ pollutant}/kWh)}{SFOC_{baseline}(g \text{ fuel}/kWh)} \quad (3.23)$$

**Conversion factor,  $C_F$** 

Specific baseline emissions factors are used depending on type and fuel type. It is also important to notice that there is various factors for different GHG species. Conversion factor for the list below is found from Smith et al. (2014) using HFO fuel. HFO fuel is by far the most used fuel type in marine sector (6:1 compared to MDO (Smith et al., 2014)), and factors for MDO often near. As of this reason, factors from HFO are used for the whole fleet.

- Carbon dioxide (CO<sub>2</sub>) = 3.114
- Nitrogen oxides (NO<sub>x</sub>) = 0.0903
- Sulphur oxides (SO<sub>x</sub>) = 0.025
- Particulate matter (PM) = 0.00728
- carbon monoxide (CO) = 0.00277
- Methane (CH<sub>4</sub>) = 0.00006
- Nitrous oxide (N<sub>2</sub>O) = 0.00015

- Non-methane volatile organic compounds (NMVOC) = 0.00308

Note that different emissions factors can be derived from various studies, i.e. Methodology for Calculating Emissions from Ships, written by Swedish Methodology for Environmental Data's (Smestad, 2015).

### Fuel correction factor

Fuel correction factors is used by Smith et al. (2014) to allow for the different fuel types, hence the FCF should be taken into consideration when evaluation emissions numbers. As for now, FCF is not included in the emissions calculation.

Adjusting with FCF,

$$EF_{actual}(g\ pollutant/g\ fuel) = EF_{baseline}(g\ pollutant/g\ fuel) \times FCF \quad (3.24)$$

## 3.4 Ship boundary constraints

Ship type constraints used in this heuristic as proposed in Smestad (2015) :

<b>LNG Carrier Group</b>	
Maximum draught:	13 m
Maximum change in draught:	3.5 m
Maximum speed:	>= 16 kn
AIS Ship type:	80-89 (tanker)

Table 3.2: LNG carriers group

**LNG Carrier types**

Ship size	Breadth (m)	Length (m)
General group	40-42	270-300
Q-Flex	48-50	314-316
Q-Max	46-54	344-345

Table 3.3: LNG carriers

**Container Ship Group**

Maximum speed: $\geq 15.9$ kn
AIS Ship type: 70-79 (cargo ship)

Table 3.4: Container ship group

**Container ship Panamax types**

Size category	Length (m)	Breadth (m)	Draught (m)	Maximum change of draught (m)
Panamax vessel 1	210-269.9	31-33	13	5.5
Panamax vessel 2	270-300	31-33	14	5.5

Table 3.5: Panamax Container

**Container ship types**

Size category	Length (m)	Breadth (m)	Maximum change of draught (m)	Maximum speed (kn)	AIS Ship type
Post Panamax	270-315	40-43	5.5	$\geq 15.9$ kn	70-79
New Panamax	320-370	46-52	5.5	$\geq 15.9$ kn	70-79
Post New Panamax	380-397	54-58	5.5	$\geq 15.9$ kn	70-79
Trippel E	397-401	58-61	5.5	$\geq 15.9$ kn	70-79

Table 3.6: Post Panamax, New Panamax, Post New Panamax and Trippel E

**Bulk carriers group**

<b>Bulk carrier</b>	
Maximum speed:	$\leq 15.0$ kn
AIS ship type:	70-79(cargo group)

Table 3.7: Bulk carrier ship group

**Bulk carrier Panamax type**

Length (m)	Breadth (m)	Minimum draught (m)	Minimum change in draught (m)
180-250	30-34	5	5.5

Table 3.8: Panamax Bulk Carrier

**Bulk carrier types**

Ship category	Length (m)	Breadth (m)	Minimum change in draught (m)	Maximum speed (kn)
Capsize	320-320	36-50	5	15
Handymax	160-180	29-33	5	15
Handysize	130-180	20-29	5	15

Table 3.9: Capsize, Handymax and Handysize

**Tanker ship group**

<b>Oil Tanker Group</b>	
Maximum speed	$\leq 16.0$ kn
AIS ship type:	80-89(tanker)

Table 3.10: Oil Tanker ship group



<b>ULCC &amp; VLCC ships</b>	
<b>ULCC &amp; VLCC</b>	
Maximum draught:	25 m
Minimum draught:	10 m
Maximum change in draught:	8 m
Breadth (m)	50-70
Length (m)	320-400

Table 3.11: ULCC &amp; VLCC

<b>Tanker ship types</b>				
Size category	Length (m)	Breadth (m)	Minimum change in draught (m)	Maximum speed (kn)
Suezmax	265-320	45-50	5(max 20 m draught)	16
Aframax	235-265	38-44	0	16
Panamax	200-235	30-33.5	4	16

Table 3.12: Suezmax, Aframax and Panamax

### 3.5 Computer program build up

Raw Satellite AIS from Norwegian Coastal Service spanning from May 1, 2014 to September 15, 2014 is decoded and put into a SQLite <sup>2</sup> database. Python <sup>3</sup> was used to do decode this raw data.

For the problem in hand it was developed a program with two classes, a Holtrop class and a Ship class, and it was also written in Python programming language

<sup>2</sup>SQL, Structured Query Language is a programming language specifically made to retrieve data from databases. Its development is controlled by the International Electrotechnical Commission and the International Organization for Standardization, ISO. SQLite is a free software library that powers databases that use SQL (<http://www.sqlite.org>).

<sup>3</sup>Python is a programming language that can be found at <https://www.python.org/>.

It contains four files. First file is a main method, which includes the main query and the total emission calculations. Moreover this query has constrains where used to remove false/disrupted AIS data. This includes length constraint which was used for comparing results if adjusted. Message type 5 file includes a MessageType5 class. For different AIS messages, variables are fetched and passed on to ship class. Ship characteristics are found in static messages from Messages Type 5 in AIS. Speed, which include average speed and max speed, are fetched from dynamic messages, which is found in Message Type 1, 2 & 3.

When ship objects has received required values, they are used by Holtrop class for calculation of resistance and power requirement for different speeds. Power prediction is sent back to Ship class, which uses preset SFOC from ship group to calculate each distinct fuel consumption. Furthermore fuel consumption is used together with distinct emission factors for ship emission for different emission types. Fuel consumption and emission for all ship classes are fetch in main method and summed up in total consumption and emission for fleet in query.

# 4 | Results

In this chapter results from calculations for fuel consumption and emissions are presented. Furthermore these results are used in comparison to other data found.

## 4.1 Calculations for original ship heuristics

Total consumption was calculated by utilizing the presented ECAIS method. AIS messages from May 1. 2014 to September 15. 2014 was obtained from Kystverket<sup>1</sup>. This data was decoded and processed for uses in emissions calculation. Results are presented in table 4.1.

<b>Description</b>	<b>Results</b>	<b>Units</b>
Total ships calculated	15,987	(-)
Total ships rejected	4,529	(-)
Ships in total	20,516	(-)
Total estimated fuel consumption	35,420,583	(tons)
Total CO <sub>2</sub> emissions	110,299,695	(tons)
Total CH <sub>4</sub> emissions	2,125	(tons)
Total N <sub>2</sub> O emissions	5,313	(tons)
Total NO <sub>x</sub> emissions	3.198,479	(tons)
Total NMVOC emissions	109,095	(tons)
Total CO emissions	98,115	(tons)
Total PM emissions	257,862	(tons)
Total SO <sub>2</sub> emissions	885,515	(tons)

Table 4.1: Ship emissions calculation with original constraints

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<sup>1</sup>Norwegian Coastal Administration

### 4.1.1 Adapted constraints

A second calculation was done using new constraints. Max speed for Bulk carriers was set to 16.0 (kn) and max speed for Tankers was set to 18.0 (kn). All other constraints are kept as previous. Results can be found in table 4.2

<b>Description</b>	<b>Results</b>	<b>Units</b>
Total ships calculated	19013	(-)
Total ships rejected	1503	(-)
Ships in total	20516	(-)
Total estimated fuel consumption	39,091,541	(tons)
Total CO <sub>2</sub> emissions	121,731,060	(tons)
Total CH <sub>4</sub> emissions	2,345	(tons)
Total N <sub>2</sub> O emissions	5,864	(tons)
Total NO <sub>x</sub> emissions	3,529,966	(tons)
Total NMVOC emissions	120,402	(tons)
Total CO emissions	108,283	(tons)
Total PM emissions	284,586	(tons)
Total SO <sub>2</sub> emissions	977,288	(tons)

Table 4.2: Ship emissions calculation with adapted constraints

## 4.2 Distributions

This consumption was reviewed closer for consumption distribution by ship types. Results is presented in table 4.3.

Ship group	Ship type	Ship count	Total consumption (tons)	Avg. consumption (tons)	Avg. displacement (tons)
<b>Bulk carrier</b>		6,001	<b>4,536,946</b>	<b>756</b>	<b>55,448</b>
	Handysize	10	2,766	277	22,419
	Handymax	11	6,605	600	38,397
	Panamax	1,069	1,196,415	828	49,777
	Capsize	371	433,302	1,167	126,106
	None of above	4,163	2,897,859	696	54,008
<b>Container Ships</b>		8290	<b>26,348,514</b>	<b>3,178</b>	<b>49,876</b>
	Panamax Container 1	748	2,730,521	3,650	45,443
	Panamax Container 2	279	1,510,843	5,415	61,101
	Post Panamax	416	2,893,069	6,954	81,505
	New Panamax	285	3,022,325	10,604	134,544
	Post New Panamax	4	74,071	18,518	187,888
	Trippel E	10	174,283	17,428	195,842
	None of above	4,163	2,897,859	2,434	43,902
<b>LNG Carrier</b>		1069	<b>3,434,086</b>	<b>3,212</b>	<b>56,915</b>
	General Group	31	141,786	4,573	86,911
	Q-Flex	26	226,384	8,707	119,335
	Q-Max	10	116,187	11,619	139,319
	None of above	1002	2,949,730	2,944	53,545
<b>Oil Tankers</b>		3,654	<b>4,743,751</b>	<b>1,299</b>	<b>88,886</b>
	Panamax	208	267,922	1,288	61,814
	Aframax	552	720,382	1,305	93,079
	Suezmax	311	489,741	1,575	130,577
	ULCC & VLCC	327	987,417	3,020	256,229
	None of above	2256	2,949,730	1,011	60,353
<b>Ships outside ship groups</b>		1,485	-	-	-
<b>None</b>		16	-	-	-

Table 4.3: Distribution of AIS

### 4.3 Case study

This section compare results from ECAIS method with real consumption from selected ships in our S-AIS collection. As these consumptions numbers are difficult to apprehend, it counts only for a small number of ships. A comparison is made between their actual consumption and consumption calculated in ECAIS method. A total of 10 ships are compared. This is presented in table 4.4.

<b>Ship number</b>	<b>Deviation</b>
Ship 1	+13.91 %
Ship 2	-0.70 %
Ship 3	-4.62 %
Ship 4	+5.45 %
Ship 5	+11.22 %
Ship 6	-1.78 %
Ship 7	-7.02 %
Ship 8	-20.10 %
Ship 9	+3.35 %
Ship 10	+15.33 %
<b>Total</b>	<b>-5.19 %</b>

Table 4.4: Fuel consumption vs actual consumption in percent

# 5 | Discussion

In this chapter results from fuel consumption and emissions calculation are discussed.

## 5.1 Case studies

This section addresses the different cases that were showed in Chapter 4. A discussion the different cases is presented with its own subsection.

### 5.1.1 Third IMO GHG study comparison

Total number of ships in database in hand was 47089 ships. This was reduced to 20516 by adding constraints to program query. This is approximately 2/5 of world cargo fleet, found from table 2.2. All ships below 130 meter was not considered, since heuristics presented by Smestad (2015) was limited to ships above this length. Also, ships above 460 meters was not taken into account since larger ship has never been built. Furthermore, it was noticed that AIS messages contained erroneous MMSI and IMO numbers. Messages did not consist of correct number of digits. These messages could not be considered, although these messages may concern ships within ship heuristics. From the ships evaluated by the constructed computer program, 4529 ships was rejected. This makes out 22.1% of total ships evaluated by ship heuristics. This is a fairly high number of ships rejected, and would account for a significant uncertainty for total fuel consumption.

Overall consumption was calculated to 35,420,583 tons of HFO fuel ???. Fuel oil statistics from IEA shows that in 2011 it was sold 178.9 million tons of marine fuel in shipping, having a relatively steady sale over several years (Smith et al., 2014). Total estimated fuel consumption compared to one year of sales are about 19.8%. This is as mentioned earlier, in a time period of 5 and a half month during summer. A further review on sailing days will be done in next subsection. Emissions from this consumption was found directly from emissions factors and are also presented in table ???.

### 5.1.2 Adapted constraints

During test runs with different length constraints, it came visible that amount of rejects were a sizable share of evaluated ships??. Tankers were found to be overrepresented in rejected ships. Although samples picked out matched the heuristic for AIS ship type, it failed at max speed test. Some had speeds above 100 knots, that is clearly not correct. Others had a slightly higher max speed than 16 knots, mostly up to around 18.0 knots and in some occasions 18.5 knots. It is claimed here that a ship with a calculated consumption is better than no consumption numbers, if purpose is to calculate emissions derived from consumptions. While a ship of course can give a presumptive wrong value of fuel consumption, it is far more likely that no consumption will make a more sizable impact on the total consumption number. Hence the ship constraints should try to include as many ships as possible. This must be if a group of ship is not within the constraints. The heuristic should instead mitigate its restrictions, although ships might wrongly be misplaced in another ship group. For this instance it may be that LNG Carriers is wrongfully identified as Tanker, although the overall performance for calculating fuel consumption improves.

Same test are performed for Ore Carriers and Bulk Carriers, with same results. Most values are within the given constraints, while a few are outside. This makes the program reject the ships that should clearly add to the total fuel consumption. A new proposal for max speed for Bulk Carrier is introduced, setting boundary as up to 16.0 (kn) from up to 15.0 (kn). Max speed for Tankers is set from below 16.0 (kn), to below 18.0(kn)

Max speeds of more than 100 knots are still excluded, although rejected ships might well be a real ship. This is most likely messages that has been wrongfully set in some way. Calculation of ships with max speed more than 100 knots will be most likely be much higher than it should, and instead should be added afterwards.

From the ships evaluated by the constructed computer program only 1503 ships was rejected. This makes out 7.3% of total ships evaluated by ship heuristics. A sizable difference



from the original heuristics. Of the rejected ships 1485 of those ships did not match any ship groups in the heuristics. 2 ships was rejected with breadth equals 0, 13 ships was rejected for having registered no speeds, and 3 was rejected for having breadth more than half of ships length. Overall consumption was calculated to 39,063,298 tons of HFO fuel. This is 21.8% all fuel consumption compared to sales registered by IEA (Smith et al., 2014).

Findings from calculations was compared with numbers found in G from Third IMO GHG study. Numbers are from 2012. Since only average deadweight is given in these figures, a connection between displacement and deadweight was found from Kristensen (2013). As displacement is the sum of lightweight and deadweight combined, using lightweight factors with regards to deadweight, it was possible to compare the two results. Factor ranges between 0.07 to 0.17, while for this selection of ships the range is smaller. Most ships dealt with in this thesis will be in the area 0.08-0.10. As numbers for LNG was not found, factors for Bulk carriers was used instead. As container ships was measured as TEU in Smith et al. (2014), there was not done any calculation for this group.

The comparison of ECAIS and Smith et al. (2014) shows limited coinciding numbers. If it can be assumed that consumption rate is equal over a whole year, ECAIS calculates only between 20% to 45% of IMO calculations for Bulk Carriers. For Oil Tankers results show results between 43% to 76% of compared numbers. For the last group, LNG, calculated results where from 214% to 298% of IMO calculations. There were a difference between average deadweights in Smith et al. (2014) and compared result from ECAIS. However, this difference is not similar to contrast between fuel consumption.

Although numbers from Smith et al. (2014) are from year 2012, a relatively steady consumption rates from past years makes comparison for fuel consumption of different years feasible.

As size categories are somewhat organized slightly different it was difficult to compare av-

verage deadweight and number of ships in each category directly. Fleet size from ECAIS was also compared with table 2.3. Adjusted comparable fleet number for Mantell, Benson, Stopfrod, Crowe & Gordon (2014) was calculated as 19570 ships. Fleet calculated by ECAIS method came to 19015 ships. Compared in groups, Tankers and Bulk carriers give smaller numbers in ECAIS, while Container ships and LNG are greater. Although ship groups did not correlate, total fleet size for ECAIS and Mantell, Benson, Stopfrod, Crowe & Gordon (2014) was comparable.

### **5.1.3 Real fuel consumption comparison**

These ships does not represent a wide range of of ship types, hence the comparison may be constricted to a specific ship type. Results show a overall good match with real fuel consumption, although results varies for distinct ships from +20% to -15%. As number of ships is only 10, it was impossible to conclude on this result.

## **5.2 Discussion of method in general**

### **5.2.1 AIS Data**

For this thesis it has only been conducted research for one data set. This AIS data set was for the period May 1. 2014 to September 15. 2014 and contained 47089 distinct ships. For a proper evaluation of ECAIS method, a research of more than one data set should be conducted, and contain a continuous period for more than 365 days. As these data only covers 5 and a half month of messages, comparison will be affected by the different in summer and winter season. Furthermore, quality of AIS as a data source could not be properly tested with regards erroneous messages without being compared to other data sets.

However, quality of this S-AIS data was tested during calculations. A check for false IMO(7 digits) and MMSI(9 digits) numbers was carried out. This showed that there was 9150 distinct ships which contained erroneous IMO or MMSI numbers. During calculations more

erroneous messages was discovered. ? number of ships did not contain average or max speed, hence they did not have any reports of speed. If a report from static messages is picked up so should speeds from dynamic messages be. Moreover it was found that some messages contained speed values of more than 100 knots, which can not be the case for any larger ship constructed. A few ships were also registered with a length of more than 460 m, which is the size of the biggest ship ever built.

### 5.2.2 Applying Holtrop-Mennen with

From table 2.5 calculated values using Holtrop-Mennen returns a mean value of -1.0 % greater than model tests, with a standard deviation of 12.8 %. This is the closest mean value to model tests, and the reason for applying this empirical method instead of other mentioned. As Holtrop-Mennen uses ship characteristics not available in AIS data, approximations had to be conducted to be able to carry out the research. This suggests that deviation from actual consumption will be greater than initially mentioned. Holtrop-Mennen was perceived as applicable for this type of computational research, although sources of error were found in the process.

### 5.2.3 Ship characteristics

As a part of Holtrop-Mennen, ship characteristics are applied as input for performing power calculations. AIS messages only reports of length, breadth, draught and speed as inputs used in Holtrop-Mennen. Remaining characteristics are either attempted to derived from this, or from a literature survey. Some characteristics had plenty of research adequate for what was trying to find. Other characteristics where more difficult. Some characteristics was in the end done by a calculated guess. More research would have to be done to find better approximations for characteristics needed. A characteristic that pinched out as an important number for other calculations, was Block Coefficient. Implementing this for coefficient for each ship type could be suggestions for further work, as this was only chosen as a median for a range for each ship group.

### 5.2.4 Propulsion efficiencies

Propulsion efficiencies represents a sizable share of brake power. Especially a variation in open water propeller efficiency will have decisive impact on results. These numbers are approximated numbers for ship groups taken from literature studies and should be developed further to adopted the ship types described.

### 5.2.5 Fuel and efficiency

Fuel type consumed in marine traffic showed a over-representation of HFO. This was considered when choosing to apply features from HFO to all ship classes. Further development of this method would include fuel correction, as implemented in Third IMO GHG study. Specific fuel consumption was available available in different research. A mean value for each group was selected, and used in calculation for fuel consumption. These values were a approximation for all ships represented in ship group. A further development of this would include dividing ships by their engine type and fuel, and to include ship age.

### 5.2.6 Emissions factors

Emissions factor were directly obtained from Third IMO GHG Study (Smith et al., 2014), and considered as correct if applied with correct engine type and fuel.

### 5.2.7 Sea margin

As sea margin is a sizable addition to resistance, it was included in power estimation. A sea margin of 15% was chosen for all ships, obtained from literature studies. Initially it was thought of using position for calculation sea margin, while only having limited time for this research, geographical position was not accounted for in calculations. For different areas of ship routes ships experience various weather conditions, there is considerable differences between upstream and downstream, and headwind and tailwind will represent a difference in ship resistance. Further work should include this work for more reliable sea margins.

### 5.2.8 Suggestions for further work

Improvements for more accurate fuel consumptions, hence emissions calculations can be done. Development of inputs applied, for each ship type could enhance results. This will also allow further research for distinct ship types. Furthermore, development of ship heuristics should include reducing rejected ships as this accounts for more than 10 % of ship fleet used in calculations. Mentioned above is sea margin, which represents a sizable uncertainty for fewer number of ships. Ship characteristics, including installed power, SFC, age and fuel type could also be included.

This method could be applied for use in smaller, more specific areas. with selective targeting of flag type, positioning, ship types, dates and time would provide new research opportunities. In this method all other activities than service was disregarded. A further development should include port and maneuvering consumption. This could be applied with engine usage factors found in Third IMO GHG study. This includes auxiliary engines.

Lastly, to improve this method, studies should be performed on different data sets: This can be used to compare results, with probable



## 6 | Conclusion

Results show a sizable difference from Third IMO GHG study. As this study has only been made for a limited number of data, calculations contains substantial uncertainties which should be investigated further. Further improvements for ECAIS method has been emphasized, which is believed to improve results.





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# A | AIS Data Content

Information item	Information generation, type and quality of information
<b>Static</b>	
Maritime Mobile Service Identity(MMSI)	Set on installation Note that this might need amending if the ship changes ownership
Call sign and name	Set on installation Note that this might need amending if the ship changes ownership
IMO Number	Set on installation
Length and beam	Set on installation or if changed
Type of ship	Select from pre-installed list
Location of electronic position fixing system (EPFS )antenna	Set on installation or may be changed for bi-directional vessels or those fitted with multiple antennas
<b>Dynamic</b>	
Ship's position with accuracy indication and integrity status	Automatically updated from the position sensor connected to AIS. The accuracy indication is for better or worse than 10 m.
Position time stamp in UTC	Automatically updated from the position sensor connected to AIS
Course over ground (COG)	Automatically updated from ship's main position sensor connected to AIS, if that sensor calculates COG. This information might not be available
Speed over ground (SOG)	Automatically updated from the position sensor connected to AIS This information might not be available.

APPENDIX A. AIS DATA CONTENT

---

Heading	Automatically updated from the ship's heading sensor connected to AIS
Navigational status	<p>Navigational status information has to be manually entered by the OOW1 and changed as necessary, for example:</p> <ul style="list-style-type: none"> <li>• underway by engines</li> <li>• at anchor</li> <li>• not under command (NUC)</li> <li>• restricted in ability to manoeuvre (RI ATM)</li> <li>• moored</li> <li>• constrained by draught</li> <li>• aground</li> <li>• engaged in fishing</li> <li>• underway by sail</li> </ul> <p>-</p> <p>In practice, since all these relate to the COLREGs2, any change that is needed could be undertaken at the same time that the lights or shapes were changed</p>
Rate of turn (ROT)	<p>Automatically updated from the ship's ROT sensor or derived from the gyro.</p> <p>This information might not be available</p>
<b>Voyage-related</b>	

Ship's draught	To be manually entered at the start of the voyage using the maximum draft for the voyage and amended as required (e.g.– result of de-ballasting prior to port entry)
Hazardous cargo (type)	To be manually entered at the start of the voyage confirm whether or not hazardous cargo is being carried, namely: <ul style="list-style-type: none"> <li>• DG (Dangerous goods)</li> <li>• HS (Harmful substances)</li> <li>• MP (Marine pollutants)</li> </ul> Indications of quantities are not required
Destination and ETA	To be manually entered at the start of the voyage and kept up to date as necessary
Route plan (Waypoints)	To be manually entered at the start of the voyage, at the discretion of the master, and updated when required
<b>Safety-related</b>	
Short safety-related messages	Free format short text messages would be manually entered, addressed either a specific addressee or broadcast to all ships and shore stations

Table A.1: Data sent by ship (IMO, 2002).





# B | AISdecode.py

```
1 /usr/bin/python
2
3 port aisparser
4 port sqlite3 as lite
5 port sys
6 port os
7
8
9 f extractMessages(filepath):
10 global messageType1
11 global messageType2
12 global messageType3
13 global messageType4
14 global messageType5
15 global timeStamps1
16 global timeStamps2
17 global timeStamps3
18 global timeStamps4
19 global timeStamps5
20 messageType1 = []
21 messageType2 = []
22 messageType3 = []
23 messageType4 = []
24 messageType5 = []
25 timeStamps1 = []
26 timeStamps2 = []
27 timeStamps3 = []
28 timeStamps4 = []
29 timeStamps5 = []
30 s = []
31 i = 0
32 f = open(filepath, 'r')
33 for line in f:
34     s.append('c:'+line.split('c:')[1].split('*')[0]+'!BSVDM'+line.split('!BSVDM')[1])
35 ais_state = aisparser.ais_state()
36 for p in s:
37     #print p
38     result = aisparser.assemble_vdm( ais_state, p )
39     if( result == 0):
40         timestamp = p.split('c:')[1].split('*')[0].split('!')[0]
41         ais_state.msgid = aisparser.get_6bit( ais_state.six_state, 6 )
42         i = i+1
43         if ais_state.msgid == 1:
44             msg = aisparser.aismsg_1()
45             aisparser.parse_ais_1( ais_state, msg )
46             timeStamps1.append(timestamp)
47             messageType1.append(msg)
48         elif ais_state.msgid == 2:
49             msg = aisparser.aismsg_2()
50             aisparser.parse_ais_2( ais_state, msg )
51             timeStamps2.append(timestamp)
52             messageType2.append(msg)
53         elif ais_state.msgid == 3:
54             msg = aisparser.aismsg_3()
55             aisparser.parse_ais_3( ais_state, msg )
56             timeStamps3.append(timestamp)
57             messageType3.append(msg)
58         elif ais_state.msgid == 4:
59             msg = aisparser.aismsg_4()
60             aisparser.parse_ais_4( ais_state, msg )
61             (status,lat_dd,long_ddd) = aisparser.pos2ddd(msg.latitude, msg.longitude)
```

## APPENDIX B. AISDECODE.PY

---

```
62         timeStamp4.append(timestamp)
63         messageType4.append(msg)
64         elif ais_state.msgid == 5:
65             msg = aisparser.aismsg_5()
66             aisparser.parse_ais_5( ais_state, msg )
67             timeStamp5.append(timestamp)
68             messageType5.append(msg)
69
70
71 f createDatabase(databasepath):
72
73     con = lite.connect(databasepath)
74
75     with con:
76
77         cur = con.cursor()
78         cur.execute("CREATE TABLE MessageType1(unixtime int, cog INT, latitude INT, longitude INT, msgid INT, nav_status INT, pos_acc INT, raim INT,
↵ regional INT, repeat INT, rot INT, slot_timeout INT, sog INT, spare INT, sub_message INT, sync_state INT, true INT, userid INT, utc_sec
↵ INT)")
79         cur.execute("CREATE TABLE MessageType2(unixtime int, cog INT, latitude INT, longitude INT, msgid INT, nav_status INT, pos_acc INT, raim INT,
↵ regional INT, repeat INT, rot INT, slot_timeout INT, sog INT, spare INT, sub_message INT, sync_state INT, true INT, userid INT, utc_sec
↵ INT)")
80         cur.execute("CREATE TABLE MessageType3(unixtime int, cog int,keep INT,latitude INT,longitude INT,msgid INT,nav_status INT,num_slots
↵ INT,pos_acc INT,raim INT,regional INT,repeat INT,rot INT,slot_increment int,sog int,spare INT,sync_state INT, true int,userid INT, utc_sec
↵ INT)")
81         cur.execute("CREATE TABLE MessageType4(unixtime int, latitude INT,longitude INT,msgid INT,pos_acc INT,pos_type INT,raim INT,repeat
↵ INT,slot_timeout INT,spare int,sub_message int,sync_state INT,userid INT,utc_day INT,utc_hour INT,utc_minute INT,utc_month INT,utc_second
↵ INT,utc_year int)")
82         cur.execute("CREATE TABLE MessageType5(unixtime int, callsign string,dest string,dim_bow int,dim_port INT,dim_starboard INT,dim_stern
↵ int,draught INT,dte INT,eta INT,imo INT,msgid INT,name text,pos_type INT,repeat INT,ship_type INT,spare INT ,userid INT,version INT)")
83         cur.execute("CREATE INDEX userid_index ON MessageType1 (userid)")
84         cur.execute("CREATE INDEX userid_index2 ON MessageType2 (userid)")
85         cur.execute("CREATE INDEX userid_index3 ON MessageType3 (userid)")
86         cur.execute("CREATE INDEX userid_index4 ON MessageType4 (userid)")
87         cur.execute("CREATE INDEX userid_index5 ON MessageType5 (userid)")
88         cur.execute("CREATE INDEX unixtime_index ON MessageType1 (unixtime)")
89         cur.execute("CREATE INDEX unixtime_index2 ON MessageType2 (unixtime)")
90         cur.execute("CREATE INDEX unixtime_index3 ON MessageType3 (unixtime)")
91         cur.execute("CREATE INDEX unixtime_index4 ON MessageType4 (unixtime)")
92         cur.execute("CREATE INDEX unixtime_index5 ON MessageType5 (unixtime)")
93
94 f writeToDatabase(databasepath):
95     con = lite.connect(databasepath)
96     con.isolation_level = None
97
98     with con:
99         cur = con.cursor()
100         cur.execute('BEGIN TRANSACTION')
101         for i in range(0, len(messageType1)):
102             (status,lat_dd,long_ddd) = aisparser.pos2ddd(messageType1[i].latitude, messageType1[i].longitude)
103             cur.execute("INSERT OR IGNORE INTO MessageType1(unixtime, cog , latitude , longitude , msgid , nav_status , pos_acc , raim , regional ,
↵ repeat , rot , slot_timeout , sog, spare, sub_message, sync_state, true, userid, utc_sec) VALUES(?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?)",
104             (timeStamps1[i], messageType1[i].cog, lat_dd, long_ddd, ord(messageType1[i].msgid),ord(messageType1[i].nav_status),
105             ord(messageType1[i].pos_acc), ord(messageType1[i].raim), ord(messageType1[i].regional), ord(messageType1[i].repeat),
↵ messageType1[i].rot,
106             ord(messageType1[i].slot_timeout),messageType1[i].sog, ord(messageType1[i].spare), messageType1[i].sub_message,
↵ ord(messageType1[i].sync_state),
107             messageType1[i].true, messageType1[i].userid, ord(messageType1[i].utc_sec))
108         for i in range(0, len(messageType2)):
109             (status,lat_dd,long_ddd) = aisparser.pos2ddd(messageType2[i].latitude, messageType2[i].longitude)
110             cur.execute("INSERT OR IGNORE INTO MessageType2(unixtime, cog , latitude , longitude , msgid , nav_status , pos_acc , raim , regional ,
↵ repeat , rot , slot_timeout , sog, spare, sub_message, sync_state, true, userid, utc_sec) VALUES(?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?)",
111             (timeStamps2[i], messageType2[i].cog, lat_dd, long_ddd, ord(messageType2[i].msgid),ord(messageType2[i].nav_status),
112             ord(messageType2[i].pos_acc), ord(messageType2[i].raim), ord(messageType2[i].regional), ord(messageType2[i].repeat),
↵ messageType2[i].rot,
```

---

```

113         ord(messageType2[i].slot_timeout),messageType2[i].sog, ord(messageType2[i].spare), messageType2[i].sub_message,
↪   ord(messageType2[i].sync_state),
114         messageType2[i].true, messageType2[i].userid, ord(messageType2[i].utc_sec)))
115     for i in range(0, len(messageType3)):
116         (status,lat_dd,long_ddd) = aisparser.pos2ddd(messageType3[i].latitude, messageType3[i].longitude)
117         cur.execute("INSERT OR IGNORE INTO MessageType3(unixtime,
↪   cog,keep,latitude,longitude,msgid,nav_status,num_slots,pos_acc,raim,regional,repeat,rot,slot_increment,sog,spare,sync_state, true,userid,
↪   utc_sec) VALUES(?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,(timeStamps3[i], messageType3[i].cog, ord(messageType3[i].keep) ,
118         lat_dd, long_ddd, ord(messageType3[i].msgid) , ord(messageType3[i].nav_status) , ord(messageType3[i].num_slots) ,
↪   ord(messageType3[i].pos_acc) ,
119         ord(messageType3[i].raim) , ord(messageType3[i].regional) , ord(messageType3[i].repeat) , messageType3[i].rot,
↪   messageType3[i].slot_increment, messageType3[i].sog,
120         ord(messageType3[i].spare) , ord(messageType3[i].sync_state) ,messageType3[i].true, messageType3[i].userid,
↪   ord(messageType3[i].utc_sec))
121     for i in range(0, len(messageType4)):
122         (status,lat_dd,long_ddd) = aisparser.pos2ddd(messageType4[i].latitude, messageType4[i].longitude)
123         cur.execute("INSERT OR IGNORE INTO MessageType4(unixtime,
↪   latitude,longitude,msgid,pos_acc,pos_type,raim,repeat,slot_timeout,spare,sub_message,sync_state,userid,utc_day,utc_hour,utc_minute,utc_month,utc_second,utc_year)
↪   VALUES(?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,(timeStamps4[i], lat_dd, long_ddd, ord(messageType4[i].msgid) , ord(messageType4[i].pos_acc)
↪   ,
124         ord(messageType4[i].pos_type) , ord(messageType4[i].raim) , ord(messageType4[i].repeat) , ord(messageType4[i].slot_timeout) ,
↪   messageType4[i].spare, messageType4[i].sub_message,
125         ord(messageType4[i].sync_state) , messageType4[i].userid, ord(messageType4[i].utc_day) , ord(messageType4[i].utc_hour) ,
↪   ord(messageType4[i].utc_minute) , ord(messageType4[i].utc_month) ,
126         ord(messageType4[i].utc_second) , messageType4[i].utc_year))
127     for i in range(0, len(messageType5)):
128         messageType5[i].dest = messageType5[i].dest.replace(" ", "")
129         messageType5[i].dest = messageType5[i].dest.replace("@", "")
130         messageType5[i].callsign = messageType5[i].callsign.replace(" ", "")
131         messageType5[i].callsign = messageType5[i].callsign.replace("@", "")
132         #messageType5[i].name = messageType5[i].name.replace(" ", "")
133         messageType5[i].name = messageType5[i].name.replace("@", "")
134         cur.execute("INSERT OR IGNORE INTO MessageType5(unixtime, callsign, dest, dim_bow, dim_port, dim_starboard, dim_stern, draught, dte, eta,
↪   imo, msgid, name, pos_type, repeat, ship_type, spare , userid, version) VALUES(?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,?,(timeStamps5[i],
↪   messageType5[i].callsign , messageType5[i].dest, messageType5[i].dim_bow, ord(messageType5[i].dim_port) ,
135         ord(messageType5[i].dim_starboard) , messageType5[i].dim_stern, messageType5[i].draught, ord(messageType5[i].dte) ,
↪   messageType5[i].eta, messageType5[i].imo, ord(messageType5[i].msgid) , messageType5[i].name ,
136         ord(messageType5[i].pos_type) , ord(messageType5[i].repeat) , messageType5[i].ship_type, ord(messageType5[i].spare) ,
↪   messageType5[i].userid, ord(messageType5[i].version)))
137     cur.execute('COMMIT')
138 __name__ == "__main__":
139     #databasepath = ''
140     createDatabase("masterdatabase1")
141     for foldername in os.listdir('../AIS-Data/Kystverket/'):
142         print foldername
143         for filename in os.listdir('../AIS-Data/Kystverket/'+foldername):
144             #print filename
145             if filename.split('.')[1]=='dat':
146                 extractMessages('../AIS-Data/Kystverket/'+foldername+'/'+filename)
147                 print len(messageType5)
148                 writeToDatabase("masterdatabase1")
149                 #print filename
150             else:
151                 print 'Dropped file: '+foldername+'/'+filename

```

---



# C | Ship.py

---

```
1 port getFlagState
2 port datetime
3
4
5 ass Ship(object):
6     def __init__(self, mmsi):
7         self._mmsi = mmsi
8         self._name = None
9         self._imo = None
10        self._status = None
11        self._status_description = None
12        self._numSpeedRecords = None
13        self._length = None
14        self._lpp = None
15        self._breadth = None
16        self._shiptype = None
17        self._displacement = None
18        self._callsign = None
19        self._draught = None
20        self._msgID = None
21        self._flagstate = None
22        self._pitch = 0.7
23        self._maxdraught = None
24        self._mindraught = None
25        self._maxspeed = None
26        self._avgspeed = None
27        self._shiptypename = None
28        self._shiptypegroup = None
29        self._cb = None
30        self._sfc = None
31        self._pe = None
32        self._totalconsumption = None
33        self._longcofb = None
34        self._transbulbarea = None
35        self._cobkeel = None
36        self._cm = None
37        self._cwp = None
38        self._transom = None
39        self._sapp = None
40        self._sternparameter = None
41        self._propdiameter = None
42        self._numberOfPropellerBlades = None
43        self._propellerClearance = None
44        self._co2factor = 3.114
45        self._ch4factor = 0.00006
46        self._n20factor = 0.00015
47        self._noxfactor = 0.0903
48        self._nmvocfactor = 0.00308
49        self._cofactor = 0.00277
50        self._pmfactor = 0.00728
51        self._so2factor = 0.025
52        self._noeff = None
53        self._nseff = 0.98
54        self._nreff = 1.0
55        self._nheff = None
56        self._pb = None
57        self._totalSailingDays = None
58
59 @property
60 def mmsi(self):
61     return self._mmsi
```

## APPENDIX C. SHIP.PY

---

```
62
63 @mmsi.setter
64 def mmsi(self, mmsi):
65     self._mmsi = mmsi
66
67 @property
68 def name(self):
69     return self._name
70
71 @name.setter
72 def name(self, name):
73     self._name = name
74
75 @property
76 def imo(self):
77     return self._imo
78
79 @imo.setter
80 def imo(self, imo):
81     self._imo = imo
82
83 @property
84 def status(self):
85     return self._status
86
87 @status.setter
88 def status(self, status):
89     self._status = status
90
91 @property
92 def statusDescription(self):
93     return self._status_description
94
95 @statusDescription.setter
96 def statusDescription(self, statusDescription):
97     self._status_description = statusDescription
98
99 @property
100 def numSpeedRecords(self):
101     return self._numSpeedRecords
102
103 @numSpeedRecords.setter
104 def numSpeedRecords(self, numSpeedRecords):
105     self._numSpeedRecords = numSpeedRecords
106
107 @property
108 def shiptype(self):
109     return self._shiptype
110
111 @shiptype.setter
112 def shiptype(self, type):
113     self._shiptype = type
114
115 # @property
116 @property
117 def cb(self):
118     return self._cb
119
120 @cb.setter
121 def cb(self, cb):
122     self._cb = cb
123
124 @property
125 def deltadraught(self):
126     maxdraught = self.maxdraught
```

```
127     mindraught = self.mindraught
128
129     if maxdraught == None or mindraught == None:
130         return None
131     deltdraught = maxdraught - mindraught
132     return deltdraught
133
134 @property
135 def flagstate(self):
136     return self._flagstate
137
138 @flagstate.setter
139 def flagstate(self, flagstate):
140     self._flagstate = flagstate
141
142 @property
143 def callsign(self):
144     return self._callsign
145
146 @callsign.setter
147 def callsign(self, callsign):
148     self._callsign = callsign
149
150 @property
151 def msgid(self):
152     return self._msgid
153
154 @msgid.setter
155 def msgid(self, msgid):
156     self._msgid = msgid
157
158 @property
159 def maxspeed(self):
160     return self._maxspeed
161
162 @maxspeed.setter
163 def maxspeed(self, maxspeed):
164     self._maxspeed = maxspeed
165
166 @property
167 def avgspeed(self):
168     return self._avgspeed
169
170 @avgspeed.setter
171 def avgspeed(self, avgspeed):
172     self._avgspeed = avgspeed
173
174 # Length
175 @property
176 def length(self):
177     return self._length
178
179 @length.setter
180 def length(self, length):
181     self._length = length
182
183 @property
184 def lengthwl(self):
185     length = self._length
186     if length == None:
187         return None
188     lwl = length * 0.97
189     return lwl
190
191 # Length between perpendiculars
```

## APPENDIX C. SHIP.PY

---

```
192 @property
193 def lpp(self):
194     if self.lengthwl == None:
195         return None
196     lpp = self.lengthwl * 0.97
197     return lpp
198
199 @lpp.setter
200 def lpp(self, lpp):
201     self._lpp = lpp
202
203 # Breadth
204 @property
205 def breadth(self):
206     return self._breadth
207
208 @breadth.setter
209 def breadth(self, breadth):
210     self._breadth = breadth
211
212 # Draught
213 @property
214 def draught(self):
215     return self._draught
216
217 @draught.setter
218 def draught(self, draught):
219     self._draught = draught
220
221 @property
222 def maxdraught(self):
223     return self._maxdraught
224
225 @maxdraught.setter
226 # draughtforep=10.0 #AIS
227 # draughtaftp=10.0 #AIS
228 def maxdraught(self, maxdraught):
229     self._maxdraught = maxdraught
230
231 @property
232 def mindraught(self):
233     return self._mindraught
234
235 @mindraught.setter
236 # draughtforep=10.0 #AIS
237 # draughtaftp=10.0 #AIS
238 def mindraught(self, mindraught):
239     self._mindraught = mindraught
240
241 # Displacement volume moulded
242 @property
243 def displacement(self):
244     density = 1.025
245     if self.cb == None or self.lpp == None or self.breadth == None or self.draught == None:
246         return None
247     displacement = self.cb * self.lpp * self.breadth * self.draught * density
248     return displacement
249
250 @displacement.setter
251 def displacement(self, displacement):
252     self._displacement = displacement
253
254 # Longitudinal center of buoyancy
255 @property
256 def longcofb(self):
```



```
257     if self.lengthwl == None:
258         return None
259     longcofb = -0.75 * (self.lengthwl / 2.0) / 100.0
260     return longcofb
261
262     @longcofb.setter
263     def longcofb(self, longcofb):
264         self._longcofb = longcofb
265
266     @property
267     def noeff(self):
268         return self._noeff
269
270     @noeff.setter
271     def noeff(self, no):
272         self._noeff = no
273
274     @property
275     def nreff(self):
276         return self._nreff
277
278     @nreff.setter
279     def nreff(self, nr):
280         self._nreff = nr
281
282     @property
283     def nseff(self):
284         return self._nseff
285
286     @nseff.setter
287     def nseff(self, ns):
288         self._nseff = ns
289
290     @property
291     def nheff(self):
292         return self._nheff
293
294     @nheff.setter
295     def nheff(self, nh):
296         self._nheff = nh
297
298     # Midship section Coefficient
299     @property
300     def cm(self):
301         #  $A_m / (BWL + Draught)$   $BWL =$  beam waterline
302         if self.cb == None:
303             return None
304         cm = 0.977 + 0.085 * (self._cb - 0.60)
305         return cm
306
307     @cm.setter
308     def cm(self, cm):
309         self._cm = cm
310
311     # Center of bulb area above the keel line
312     @property
313     def cobkeel(self):
314         if self.draught == None:
315             return None
316         cobkeel = self.draught * 0.4
317         return cobkeel
318
319     @cobkeel.setter
320     def cobkeel(self, cobkeel):
321         self._cobkeel = cobkeel
```

## APPENDIX C. SHIP.PY

---

```
322
323 # Waterplane area coefficient
324 @property
325 def cwp(self):
326     # Midship section area/WLBeam *Body draught
327     if self._cb == None:
328         return None
329
330     cwp = 0.55 + 0.45 * self._cb # Results of statistical analysis of IHS Fairplay data
331
332     return cwp
333     # return 0.75
334
335 @cwp.setter
336 def cwp(self, cwp):
337     self._cwp = cwp
338
339 # Transveres Bulb area
340 @property
341 def transbulbarea(self):
342     # file: // / C: / Users / DagIvar / Downloads / CHARCHALIS % 20(1).pdf
343     if self.cm == None or self.breadth == None or self.draught == None:
344         return None
345     transbulbarea = 0.08 * self.cm * self.breadth * self.draught
346     return transbulbarea
347
348 @transbulbarea.setter
349 def transbulbarea(self, transbulbarea):
350     self._transbulbarea = transbulbarea
351
352 # Transom Area
353 @property
354 def transom(self):
355     if self.cm == None or self.breadth == None or self.draught == None:
356         return None
357     transom = 0.051 * self.cm * self.breadth * self.draught
358     if transom == None:
359         return None
360     return transom
361
362 @transom.setter
363 def transom(self, transom):
364     self._transom = transom
365
366 # Wetted appendages Areas, Sapp
367 @property
368 def sapp(self):
369     # ?
370     return 50.0
371
372 @sapp.setter
373 def sapp(self, sapp):
374     self._sapp = sapp
375
376 # stern shape parameter
377 @property
378 def sternparameter(self):
379     return 10.0
380
381 @sternparameter.setter
382 def sternparameter(self, sternparameter):
383     self._sternparameter = sternparameter
384
385 @property
386 def shiptypename(self):
```

```

387     return self._shiptypename
388
389 @shiptypename.setter
390 def shiptypename(self, name):
391     self._shiptypename = name
392
393 @property
394 def shiptypegroup(self):
395     return self._shiptypegroup
396
397 @shiptypegroup.setter
398 def shiptypegroup(self, name):
399     self._shiptypegroup = name
400
401 # Propeller diameter
402 @property
403 def propdiameter(self):
404     # Propeller diameter from ship type and size d/D
405     if self.draught == None:
406         return None
407     if self._shiptypename == "Container ship":
408         return 0.74 * self.draught
409     elif self._shiptypename == "Bulk carrier":
410         return 0.65 * self.draught
411     elif self._shiptypename == "Oil tanker":
412         return 0.65 * self.draught
413     return self.draught * 0.7
414
415 @propdiameter.setter
416 def propdiameter(self, propdiameter):
417     self._propdiameter = propdiameter
418
419 # Number of Propeller blades
420 @property
421 def numberOfPropellerBlades(self):
422     # Number of propeller from ship type and size
423     return 4.0
424
425 @numberOfPropellerBlades.setter
426 def numberOfPropellerBlades(self, numberofblades):
427     self._numberOfPropellerBlades = numberofblades
428
429 # Clearance propeller with keel line
430 @property
431 def propellerClearance(self):
432     # Clearance propeller from keel line from ship type and size
433     if self.numberOfPropellerBlades == None or self.propdiameter == None:
434         return None
435     clear = (0.48 - 0.02 * self.numberOfPropellerBlades) * self.propdiameter / 2
436     return clear
437
438 @propellerClearance.setter
439 def propellerClearance(self, clearance):
440     self._propellerClearance = clearance
441
442 # Prismatic Coefficient
443 @property
444 def cp(self):
445     if self.displacement == None or self.cm == None or \
446         self.breadth == None or self.draught == None or self.length == None:
447         return None
448     if self.cm == 0 or self.breadth == 0 or self.draught == 0 or self.length == 0:
449         return None
450     cp = self.displacement / (self.cm * self.breadth * self.draught * self.length)
451     return cp

```

```
452
453 @property
454 def sfc(self):
455     return self._sfc
456
457 @sfc.setter
458 def sfc(self, sfc):
459     self._sfc = sfc
460
461 # Pitch
462 @property
463 def pitch(self):
464     return self._pitch
465
466 @pitch.setter
467 def pitch(self, pitch):
468     self._pitch = pitch
469     return
470
471 # Coefficient Screw
472 def calck(self):
473     k = 0.2
474     return k
475
476 @property
477 def pe(self):
478     return self._pe
479
480 @pe.setter
481 def pe(self, pe):
482     self._pe = pe
483
484 @property
485 def totalSailingDays(self):
486     return self._totalSailingDays
487
488 @totalSailingDays.setter
489 def totalSailingDays(self, days):
490     self._totalSailingDays = days
491
492 @property
493 def totalconsumption(self):
494     if self._totalconsumption > 80000.0:
495         return None
496
497     return self._totalconsumption
498
499 @totalconsumption.setter
500 def totalconsumption(self, totalconsumption):
501     self._totalconsumption = totalconsumption
502
503 # Emission
504 @property
505 def co2emission(self):
506     if self.totalconsumption == None:
507         return None
508     return self.totalconsumption * self._co2factor
509
510 @property
511 def ch4emission(self):
512     if self.totalconsumption == None:
513         return None
514     return self._totalconsumption * self._ch4factor
515
516 @property
```

```

517 def n20emission(self):
518     if self.totalconsumption == None:
519         return None
520     return self._totalconsumption * self._n20factor
521
522 @property
523 def noxemission(self):
524     if self.totalconsumption == None:
525         return None
526     return self._totalconsumption * self._noxfactor
527
528 @property
529 def nmvoemission(self):
530     if self.totalconsumption == None:
531         return None
532     return self._totalconsumption * self._nmvocfactor
533
534 @property
535 def coemission(self):
536     if self.totalconsumption == None:
537         return None
538     return self._totalconsumption * self._cofactor
539
540 @property
541 def pmission(self):
542     if self.totalconsumption == None:
543         return None
544     return self._totalconsumption * self._pmfactor
545
546 @property
547 def so2emission(self):
548     if self.totalconsumption == None:
549         return None
550     return self._totalconsumption * self._so2factor
551
552 def __str__(self):
553     shipstring = ''
554     shipstring += 'Ships MMSI number: ' + str(self._mmsi) + '\n'
555     shipstring += 'Ships IMO number: ' + str(self._imo) + '\n'
556     shipstring += 'Ship name: ' + str(self._name) + '\n'
557     shipstring += 'Ships call sign: ' + str(self._callsign) + '\n'
558     shipstring += 'This ship is of Ship Type (defined by AIS message): ' + str(self._shiptype) + '\n'
559     shipstring += 'This ship is from : ' + str(self._flagstate) + '\n'
560     # shipstring+='This message was sent: ',self.Uniutime()
561     # shipstring+='Destiantion of ship for this message: ',self.Dest()
562     shipstring += 'Length of ship LOA is:' + str(self._length) + 'm \n'
563     shipstring += 'Length of ship LWL is:' + str(self.lengthwl) + 'm \n'
564     shipstring += 'Length between perpendiculars of ship Lpp is:' + str(self.lpp) + 'm \n'
565     shipstring += 'Breadth of ship, B : ' + str(self.breadth) + 'm \n'
566     shipstring += 'Draught of ship, T: ' + str(self._draught) + 'm \n'
567     shipstring += 'Displacement of ship is:' + str(self.displacement) + 'm3 \n'
568     shipstring += 'Longitudinal C of B from AP: ' + str(self.longcofb) + 'm \n'
569     shipstring += 'Transveres bulb area: ' + str(self.transbulbarea) + 'm \n'
570     shipstring += 'Center of bulb area above keel line: ' + str(self.cobkeel) + 'm \n'
571     shipstring += 'Midship section Coefficient: ' + str(self.cm) + ' - \n'
572     shipstring += 'Waterplane area coefficient: ' + str(self.cwp) + ' - \n'
573     shipstring += 'Transom Area: ' + str(self.transom) + 'm2 \n'
574     shipstring += 'Wetted area appendages: ' + str(self.sapp) + 'm2 \n'
575     shipstring += 'Stern shape parameter: ' + str(self.sternparameter) + ' - \n'
576     shipstring += 'Propeller diameter: ' + str(self.propdiameter) + 'm \n'
577     shipstring += 'Number of propeller blades: ' + str(self.numberOfPropellerBlades) + ' - \n'
578     shipstring += 'Clearance propeller with keel line: ' + str(self.propellerClearance) + 'm \n'
579     shipstring += 'CP : ' + str(self.cp) + ' - \n'
580     shipstring += 'CB : ' + str(self.cb) + ' - \n'
581     shipstring += 'Delta draught : ' + str(self.deltadraught) + 'm \n'

```

## APPENDIX C. SHIP.PY

---

```
582     shipstring += 'Max draught : ' + str(self.maxdraught) + ' m \n'
583     shipstring += 'Min draught : ' + str(self.mindraught) + ' m \n'
584     shipstring += 'Shiptypename : ' + str(self.shiptypename) + ' \n'
585     shipstring += 'Shiptypegroup : ' + str(self.shiptypegroup) + ' \n'
586     shipstring += 'Total consumption for this ship : ' + str(self.totalconsumption) + ' tonn \n'
587     shipstring += 'Flagstate : ' + str(self.flagstate) + ' \n'
588     shipstring += 'Max speed : ' + str(self.maxspeed) + ' \n'
589     shipstring += 'Average speed message type 1 : ' + str(self.avgspeed) + ' \n'
590     # shipstring+='CP1 : '+str(self.cpi) +' - \n'
591
592
593     return shipstring
594
595 def getCSV(self):
596     shipstring = ''
597     shipstring += str(self.mmsi) + ','
598     shipstring += str(self.imo) + ','
599     shipstring += str(self.name) + ','
600     shipstring += str(self.status) + ','
601     shipstring += str(self.statusDescription) + ','
602     shipstring += str(self.callsign) + ','
603     shipstring += str(self.shiptype) + ','
604     shipstring += str(self.shiptypename) + ','
605     shipstring += str(self.shiptypegroup) + ','
606     shipstring += str(self.totalSailingDays) + ','
607     shipstring += str(self.totalconsumption) + ','
608     shipstring += str(self.co2emission) + ','
609     shipstring += str(self.ch4emission) + ','
610     shipstring += str(self.n20emission) + ','
611     shipstring += str(self.noxemission) + ','
612     shipstring += str(self.nmvocemission) + ','
613     shipstring += str(self.coemission) + ','
614     shipstring += str(self.pnemission) + ','
615     shipstring += str(self.so2emission) + ','
616
617     shipstring += str(self.flagstate) + ','
618     shipstring += str(self.maxspeed) + ','
619     shipstring += str(self.avgspeed) + ','
620     shipstring += str(self.numSpeedRecords) + ','
621     shipstring += str(self.length) + ','
622     shipstring += str(self.lengthwl) + ','
623     shipstring += str(self.lpp) + ','
624     shipstring += str(self.breadth) + ','
625     shipstring += str(self.draught) + ','
626     shipstring += str(self.deltadraught) + ','
627     shipstring += str(self.maxdraught) + ','
628     shipstring += str(self.mindraught) + ','
629     shipstring += str(self.displacement) + ','
630     shipstring += str(self.longcofb) + ','
631     shipstring += str(self.transbulbarea) + ','
632     shipstring += str(self.cobkeel) + ','
633     shipstring += str(self.cm) + ','
634     shipstring += str(self.cwp) + ','
635     shipstring += str(self.transom) + ','
636     shipstring += str(self.sapp) + ','
637     shipstring += str(self.sternparameter) + ','
638     shipstring += str(self.propdiameter) + ','
639     shipstring += str(self.numberOfPropellerBlades) + ','
640     shipstring += str(self.propellerClearance) + ','
641     shipstring += str(self.cp) + ','
642     shipstring += str(self.cb) + ','
643
644     return shipstring
645
646 def getCsvHeader(self):
```

```
647 shipstring = ''
648 shipstring += 'mmsi' + ','
649 shipstring += 'imo' + ','
650 shipstring += 'name' + ','
651 shipstring += 'status' + ','
652 shipstring += 'statusDescription' + ','
653 shipstring += 'callsign' + ','
654 shipstring += 'shiptype' + ','
655 shipstring += 'shiptypename' + ','
656 shipstring += 'shiptypegroup' + ','
657 shipstring += 'total sailing days' + ','
658 shipstring += 'totalconsumption' + ','
659 shipstring += 'co2emission' + ','
660 shipstring += 'ch4emission' + ','
661 shipstring += 'n20emission' + ','
662 shipstring += 'noxemission' + ','
663 shipstring += 'nmvoemission' + ','
664 shipstring += 'coemission' + ','
665 shipstring += 'pmemission' + ','
666 shipstring += 'so2emission' + ','
667 shipstring += 'flagstate' + ','
668 shipstring += 'maxspeed' + ','
669 shipstring += 'avgspeed' + ','
670 shipstring += 'numSpeedRecords' + ','
671 shipstring += 'length' + ','
672 shipstring += 'lengthwl' + ','
673 shipstring += 'lpp' + ','
674 shipstring += 'breadth' + ','
675 shipstring += 'draught' + ','
676 shipstring += 'deltadraught' + ','
677 shipstring += 'maxdraught' + ','
678 shipstring += 'mindraught' + ','
679 shipstring += 'displacement' + ','
680 shipstring += 'longcofb' + ','
681 shipstring += 'transbulbarea' + ','
682 shipstring += 'cobkeel' + ','
683 shipstring += 'cm' + ','
684 shipstring += 'cwp' + ','
685 shipstring += 'transom' + ','
686 shipstring += 'sapp' + ','
687 shipstring += 'sternparameter' + ','
688 shipstring += 'propdiameter' + ','
689 shipstring += 'numberOfPropellerBlades' + ','
690 shipstring += 'propellerClearance' + ','
691 shipstring += 'cp' + ','
692 shipstring += 'cb' + ','
693
694 return shipstring
```





# D | Holtrop.py

---

```
1 Holtrop.py
2 master thesis
3 Author: Stian Rakke
4 Spring 2016
5 port math
6 port sqlite3 as lite
7 port sys
8 om Ship import Ship
9 port numpy as np
10
11
12 ass Holtrop(object):
13     def __init__(self, ship, speeds):
14         self._ship = ship
15
16         self._speeds = speeds
17         self.shipspeed = None # AIS
18         self.gravity = 9.802 # Greenwich
19         self.rho = 1025.0
20         self.kinviscosity = 0.00000118831
21
22     def getBrakePowers(self):
23         brakepower = {}
24         for speed in self._speeds:
25             self.shipspeed = speed[0] / 10.0
26             brakepower[speed[0] / 10.0] = self.brakePower()
27
28         return brakepower
29
30 ##### Functions Holtrop #####
31
32     def calcc1(self):
33         breadth = self._ship.breadth
34         draughtforep = self._ship.draught
35         ie = self.calcie()
36         c1 = 2223105.0 * self.calcc7() ** (3.78613) * (draughtforep / breadth) ** (1.07961) * (90.0 - ie) ** (-1.37566)
37         return (c1)
38
39     def calcc2(self):
40         c2 = math.exp(-1.89 * math.sqrt(self.calcc3()))
41         return (c2)
42
43     def calcc3(self):
44         transbulb = self._ship.transbulbarea
45         breadth = self._ship.breadth
46         draughtforep = self._ship.draught
47         centerofbulb = self._ship.cobkeel
48
49         c3 = 0.56 * transbulb ** 1.5 / \
50             (breadth * draughtforep * (0.31 * math.sqrt(transbulb) + draughtforep - centerofbulb))
51         return (c3)
52
53     def calcc4(self):
54         lengthwl = self._ship.lengthwl
55         draughtforep = self._ship.draught
56         if draughtforep / lengthwl <= 0.04:
57             c4 = draughtforep / lengthwl
58         else:
59             c4 = 0.04
60         return c4
61
```

## APPENDIX D. HOLTROP.PY

---

```
62 def calcc5(self):
63     transom = self._ship.transom
64     breadth = self._ship.breadth
65     midshipC = self._ship.cm
66     draughtforep = self._ship.draught
67     c5 = 1.0 - 0.8 * transom / (breadth * draughtforep * midshipC)
68     return c5
69
70 def calcc6(self):
71     if self.calcfnfnt() < 5.0:
72         c6 = 0.2 * (1.0 - 0.2 * self.calcfnfnt())
73     else:
74         c6 = 0.0
75     return c6
76
77 def calcc7(self):
78     breadth = self._ship.breadth
79     lengthwl = self._ship.lengthwl
80
81     if (breadth / lengthwl) < 0.11:
82         c7 = 0.229577 * (breadth / lengthwl) ** 0.33333
83     elif (breadth / lengthwl) > 0.11 and (breadth / lengthwl) < 0.25:
84         c7 = (breadth / lengthwl)
85     else:
86         c7 = 0.5 - 0.625 * (breadth / lengthwl)
87     return c7
88
89 def calcc8(self):
90     breadth = self._ship.breadth
91     lengthwl = self._ship.lengthwl
92     draughtaftp = self._ship.draught
93     propdiameter = self._ship.propdiameter
94     if breadth / draughtaftp < 5.0:
95         c8 = breadth * self.calcs() / (lengthwl * propdiameter * draughtaftp)
96     else:
97         c8 = self.calcs() * (7.0 * breadth / draughtaftp - 25.0) / (
98             lengthwl * propdiameter * (breadth / draughtaftp - 3.0))
99     return c8
100
101 def calcc9(self):
102     if self.calcc8() < 28.0:
103         c9 = self.calcc8()
104     else:
105         c9 = 32.0 - 16.0 / (self.calcc8() - 24.0)
106     return c9
107
108 def calcc10(self):
109     breadth = self._ship.breadth
110     lengthwl = self._ship.lengthwl
111     if lengthwl / breadth > 5.0:
112         c10 = breadth / lengthwl
113     else:
114         c10 = 0.25 - 0.003328402 / (breadth / lengthwl - 0.134615385)
115     return c10
116
117 def calcc11(self):
118     breadth = self._ship.breadth
119     draughtaftp = self._ship.draught
120     propdiameter = self._ship.propdiameter
121     if draughtaftp / propdiameter < 2.0:
122         c11 = draughtaftp / propdiameter
123     else:
124         c11 = 0.0833333 * (draughtaftp / propdiameter) ** 3.0 + 1.33333
125     return c11
126
```

```

127 def calcc12(self):
128     breadth = self._ship.breadth
129     lengthwl = self._ship.lengthwl
130     draughtforep = self._ship.draught
131     proppdiameter = self._ship.proppdiameter
132     if draughtforep / lengthwl > 0.05:
133         c12 = (draughtforep / lengthwl) ** 0.2228446
134     elif draughtforep / lengthwl > 0.02 and draughtforep / lengthwl < 0.05:
135         c12 = 48.2 * (draughtforep / lengthwl - 0.02) ** 2.078 + 0.479948
136     else:
137         c12 = 0.479948
138     return c12
139
140 def calcc13(self):
141     c13 = 1.0 + 0.003 * self.calcsternc()
142     return c13
143
144 def calcc15(self):
145     disp = self._ship.displacement
146     lengthwl = self._ship.lengthwl
147     if lengthwl ** 3 / disp < 512:
148         c15 = -1.69385
149     elif lengthwl ** 3 / disp > 1727.0:
150         c15 = 0
151     else:
152         c15 = -1.69385 + (lengthwl / disp ** (1.0 / 3.0) - 8.0 / 2.36)
153     return c15
154
155 def calcc16(self):
156     cp = self._ship.cp
157     if cp < 0.8:
158         c16 = 8.07981 * cp - 13.8673 * cp ** 2.0 + 6.984388 * cp ** 3
159     else:
160         c16 = 1.73014 - 0.7067 * cp
161     return c16
162
163 def calcp0minpv(self):
164     p0minpv = 99047.0
165     return p0minpv
166
167 def calcrgh(self):
168     rgh = self.rho * self.gravity * self._ship.cobkeel
169     return rgh
170
171 def calcshipspeed(self):
172     speed = self.shipspeed * 0.5144
173     return speed
174
175 def calcs(self):
176     lengthwl = self._ship.lengthwl
177     draughtforep = self._ship.draught
178     breadth = self._ship.breadth
179     midshipC = self._ship.cm
180     cb = self._ship.cb
181     cwp = self._ship.cwp
182     transbulb = self._ship.transbulbarea
183     s = lengthwl * (2 * draughtforep + breadth) * math.sqrt(midshipC) * (
184     0.453 + 0.4425 * cb - 0.2862 * midshipC - 0.003467 * (
185     breadth / draughtforep) + 0.3696 * cwp) + 2.38 * transbulb / cb
186     return s
187
188 def calcsapp(self):
189     sapp = self._ship.sapp
190     return sapp
191

```

## APPENDIX D. HOLTROP.PY

---

```
192 def calcsternc(self):
193     sternCo = self._ship.sternparameter
194     return sternCo
195
196 def calcdisp(self):
197     disp = self._ship.displacement
198     return disp
199
200 def calccep(self):
201     cp = self._ship.cp
202     return cp
203
204 def calccp1(self):
205     cp1 = 1.45 * self.calccp() - 0.315 - 0.0225 * self.calclcb()
206     return cp1
207
208 def calcm1(self):
209     lengthwl = self._ship.lengthwl
210     breadth = self._ship.breadth
211     draughtforep = self._ship.draught
212     disp = self._ship.displacement
213     m1 = 0.0140407 * lengthwl / draughtforep - 1.75254 * disp ** (
214         1.0 / 3.0) / lengthwl - 4.79323 * breadth / lengthwl - self.calcc16()
215     return m1
216
217 def calcm2(self):
218     cp = self._ship.cp
219     m2 = self.calcc15() * cp ** 2.0 * math.exp(-0.1 * self.calcfm() ** -2.0)
220     return m2
221
222 def calc Reyn(self):
223     lengthwl = self._ship.lengthwl
224     reyn = self.calcshipspeed() * lengthwl / self.kinviscosity
225     return reyn
226
227 def calclambda(self):
228     lengthwl = self._ship.lengthwl
229     breadth = self._ship.breadth
230     cp = self._ship.cp
231     if lengthwl / breadth < 12.0:
232         lambda1 = 1.446 * cp - 0.03 * lengthwl / breadth
233     else:
234         lambda1 = 1.446 * cp - 0.36
235     return lambda1
236
237 def calcfm(self):
238     lengthwl = self._ship.lengthwl
239     fm = self.calcshipspeed() / math.sqrt(self.gravity * lengthwl)
240     return fm
241
242 def calcfni(self):
243     draughtforep = self._ship.draught
244     centerofbulb = self._ship.cobkeel
245     transbulb = self._ship.transbulbarea
246
247     fni = self.calcshipspeed() / (math.sqrt(self.gravity * (
248         draughtforep - centerofbulb - 0.25 * math.sqrt(transbulb)) + 0.15 * self.calcshipspeed() ** 2))
249     return fni
250
251 def calcfnt(self):
252     transomarea = self._ship.transom
253     breadth = self._ship.breadth
254     cwp = self._ship.cwp
255     fnt = self.calcshipspeed() / math.sqrt(2 * self.gravity * transomarea / (breadth + breadth * cwp))
256     return fnt
```

```

257
258 def calcca(self):
259     lengthwl = self._ship.lengthwl
260     cb = self._ship.cb
261     ca = 0.006 * (lengthwl + 100.0) ** -0.16 - 0.00205 + 0.003 * math.sqrt(
262         lengthwl / 7.5) * cb ** 4 * self.calcc1() * (0.04 - self.calcc4())
263     return ca
264
265 def calccf(self):
266     cf = 0.075 / (math.log10(self.calcreyn()) - 2.0) ** 2.0
267     return cf
268
269 def calcct(self):
270     ct = 0
271     return ct
272
273 def calccb(self):
274     cb = self._ship.cb
275     return cb
276
277 def calccv(self):
278     cv = self.calcformfactor() * self.calccf() + self.calcca()
279     return cv
280
281 def calcie(self):
282     breadth = self._ship.breadth
283     lengthwl = self._ship.lengthwl
284     cwp = self._ship.cwp
285     cp = self._ship.cp
286     lpp = self._ship.lpp
287     lcb = -0.75 * (lpp / 2.0) / 100.0
288     lr = lengthwl * (1.0 - cp + ((0.06 * cp * lcb) / (4.0 * cp - 1.0)))
289     displacement = self._ship.displacement
290     ie = 1.0 + 89.0 * math.exp(
291         -(lengthwl / breadth) ** 0.80856 * (1.0 - cwp) ** 0.30484 * (1.0 - cp - 0.0225 * lcb) ** 0.6367 * (
292         lr / breadth) ** 0.34574 * (100.0 * displacement / lengthwl ** 3.0) ** 0.16302)
293     return ie
294
295 def calcclcb(self):
296     lpp = self._ship.lpp
297     lcb = -0.75 * (lpp / 2) / 100
298     return lcb
299
300 def calclengthofrun(self):
301     lengthwl = self._ship.lengthwl
302     cp = self._ship.cp
303     cb = self._ship.cb
304     lcb = self.calcclcb()
305     lr = lengthwl * (1.0 - cp + ((0.06 * cp * lcb) / (4.0 * cp - 1.0)))
306     return lr
307
308 def calcpitch(self):
309     pitch = self._ship.pitch
310     return pitch
311
312 def calcd(self):
313     # from Holtrop approximation sheet
314     d = -0.9
315     return d
316
317 def calcformfactor(self):
318     breadth = self._ship.breadth
319     cp = self._ship.cp
320     formfactor = self.calcc13() * (
321     0.93 + self.calcc12() * ((breadth / self.calclengthofrun()) ** 0.92497) * ((0.95 - cp) ** -0.521448) * (

```

## APPENDIX D. HOLTROP.PY

---

```

322     (1.0 - cp + 0.0225 * self.calclcb()) ** 0.6906)
323     return formfactor
324
325 def calcformfactor2(self, sapprudderbehindskeg, sapprudderbehindstern, sapptwinscrewbalancerudder,
326                    sappshaftbrackets, sappskeg, sappstrutbossings, sapphullbossings, sappshafts,
327                    sappstabilazerfins, sappdome, sappbilgekeels):
328     return 1.50
329
330 def calct(self, a=''):
331     lengthwl = self._ship.lengthwl
332     breadth = self._ship.breadth
333     cb = self._ship.cb
334     propdiameter = self._ship.propdiameter
335     draughtforep = self._ship.draught
336     cp1 = self.calccp1()
337     sternprop = self._ship.sternparameter
338     if a is 'twinscrew': # twinscrew
339         t = 0.325 * cb - 0.1885 * propdiameter / math.sqrt(breadth * draughtforep)
340     elif a is 'singlescrewopenstern': # singlescrew, open sternon slender fast sailing ships:
341         t = 0.1
342     elif a is 'singlescrewconventionalstern': # single screw, conventional stern
343         t = 0.001979 * lengthwl / (
344             breadth - breadth * cp1) + 1.0585 * self.calcc10() - 0.00524 - 0.1418 * propdiameter ** 2.0 / (
345             breadth * draughtforep) + 0.0015 * sternprop
346     else:
347         t = 0.001979 * lengthwl / (
348             breadth - breadth * cp1) + 1.0585 * self.calcc10() - 0.00524 - 0.1418 * propdiameter ** 2.0 / (
349             breadth * draughtforep) + 0.0015 * sternprop
350     return t
351
352 def calcw(self, a=''):
353     cb = self._ship.cb
354     propdiameter = self._ship.propdiameter
355     breadth = self._ship.breadth
356     draughtforep = self._ship.draught
357     lengthwl = self._ship.lengthwl
358     cp1 = self.calccp1()
359     cp = self._ship.cp
360     sternc = self._ship.sternparameter
361     if a is 'twinscrew': # twinscrew
362         w = 0.3095 * cb + 10.0 * self.calccv() * cb - 0.23 * propdiameter / math.sqrt(breadth * draughtforep)
363     elif a is 'singlescrewopenstern': # singlescrew, open sternon slender fast sailing ships:
364         w = 0.3 * cb + 10.0 * self.calccv() * cb - 0.1
365     elif a is 'singlescrewconventionalstern': # single screw, conventional stern
366         w = self.calcc9() * self.calccv() * lengthwl / draughtforep * (
367             0.0661875 + 1.21756 * self.calcc11() * self.calccv() / (1.0 - cp1)) + 0.24558 * math.sqrt(
368             breadth / (lengthwl * (1.0 - cp1))) - 0.09726 / (0.95 - cp) + 0.11434 / (
369             0.95 - cb) + 0.75 * sternc * self.calccv() + 0.002 * sternc
370     else:
371         w = self.calcc9() * self.calccv() * lengthwl / draughtforep * (
372             0.0661875 + 1.21756 * self.calcc11() * self.calccv() / (1.0 - cp1)) + 0.24558 * math.sqrt(
373             breadth / (lengthwl * (1.0 - cp1))) - 0.09726 / (0.95 - cp) + 0.11434 / (
374             0.95 - cb) + 0.75 * sternc * self.calccv() + 0.002 * sternc
375     return w
376
377 def calclrdll(self):
378     cp = self._ship.cp
379     cb = self._ship.cb
380     lrdll = 1.0 - cp + 0.06 * cp * cb / (4.0 * cp - 1.0)
381     return lrdll
382
383 def calcdeltacd(self):
384     delcd = (2.0 + 4.0 * self.calctdlc075()) * (
385         0.003605 - (1.89 + 1.62 * math.log10(self.calcc075() / self.calckp())) ** -2.5)
386     return delcd

```

```

387
388 def calctdlc075(self):
389     nopropblades = self._ship.numberOfPropellerBlades
390     propdiameter = self._ship.propdiameter
391     tdlc075 = (0.0185 - 0.00125 * nopropblades) * propdiameter / self.calcc075()
392     return tdlc075
393
394 def calcc075(self):
395     propdiameter = self._ship.propdiameter
396     nopropblades = self._ship.numberOfPropellerBlades
397     c075 = 2.073 * (self.calcaedlao()) * propdiameter / nopropblades
398     return c075
399
400 def calcaedlao(self):
401     nopropblades = self._ship.numberOfPropellerBlades
402     propdiameter = self._ship.propdiameter
403     k = self.calck()
404     aedlao1 = k + (1.3 + 0.3 * nopropblades) * (self.calcthrust() * 1000) / (
405     propdiameter ** 2.0 * (self.calcp0minpv() + self.calcrgh()))
406     return aedlao1
407
408 def calcz(self):
409     z = self._ship.numberOfPropellerBlades
410     return z
411
412 def calck(self):
413     # k=0.1
414     k = self._ship.calck()
415     return k
416
417 def calckp(self):
418     # standard
419     kp = 0.00003
420     return kp
421
422 def calckts(self):
423     #
424     kts = 0
425     return kts
426
427 def calckqs(self):
428     #
429     kqs = 0
430     return kqs
431
432 def calcnr(self):
433     # From B-series polynomials
434     nr = self._ship.nreff
435     return nr
436
437 def calcn0(self):
438     # From B-series polynomials
439     n0 = self._ship.noeff
440     return n0
441
442 def calcns(self):
443     #
444     ns = self._ship.noeff
445     return ns
446
447 def calcnh(self):
448     nh = (1.0 - self.calct()) / (1.0 - self.calcw())
449     return nh
450
451 def calcnd(self):

```

## APPENDIX D. HOLTROP.PY

---

```
452     nd = self.calcnh() * self.calcn0() * self.calcnr()
453     return nd
454
455 def calcrf(self):
456     rf = 0.5 * self.rho * self.calcshpspeed() ** 2.0 * self.calcs() * self.calccf()
457     rf = rf / 1000.0
458     return rf
459
460 def calcrapp(self):
461     rapp = 0.5 * self.rho * self.calcshpspeed() ** 2.0 * self.calcsapp() * (
462         self.calcformfactor2(1, 1, 1, 1, 1, 1, 1, 1, 1, 1)) * self.calccf()
463     rapp = rapp / 1000.0
464     return rapp
465
466 def calcrw(self):
467     rw = self.calcc1() * self.calcc2() * self.calcc5() * self.calcdisp() * self.rho * self.gravity * math.exp(
468         self.calcm1() * self.calcfn() ** (self.calcd() + self.calcm2() * math.cos(
469             self.calclambda() * self.calcfn() ** (-2.0)))
470     rw = rw / 1000.0
471     return rw
472
473 def calcrb(self):
474     transbulb = self._ship.transbulbarea
475     rb = 0.11 * math.exp(-3.0 * self.calcpb() ** (-2.0)) * self.calcfni() ** 3.0 * transbulb ** (
476         1.5) * self.rho * self.gravity / (1.0 + self.calcfni() ** 2.0)
477     rb = rb / 1000.0
478     return rb
479
480 def calcrtr(self):
481     transomearea = self._ship.transbulbarea
482     rtr = 0.5 * self.rho * self.calcshpspeed() ** 2.0 * transomearea * self.calcc6()
483     rtr = rtr / 1000.0
484     return rtr
485
486 def calcra(self):
487     ra = 0.5 * self.rho * self.calcshpspeed() ** 2 * self.calcs() * self.calcca()
488     ra = ra / 1000.0
489     return ra
490
491 def calcrt(self):
492     rt = (
493         self.calcrf() * self.calcformfactor() + self.calcrapp() + self.calcrw() + self.calcrb() + self.calcrtr() + self.calcra()
494     )
495     return rt
496
497 def calcpe(self):
498     pe = self.calcrt() * self.calcshpspeed()
499     return pe
500
501 def calcpb(self):
502     transbulb = self._ship.transbulbarea
503     draughtforep = self._ship.draught
504     centerofbulb = self._ship.cobkeel
505     pb = 0.56 * math.sqrt(transbulb) / (draughtforep - 1.5 * centerofbulb)
506     return pb
507
508 def calcthrust(self):
509     thrust = self.calcpe() / self.calcshpspeed() * 1.0 / (1.0 - self.calct())
510     return thrust
511
512 def calcps(self):
513     ps = self.calcpe() / (
514         self.calcnr() * self.calcn0() * self.calcnr() * (1.0 - self.calct()) / (1.0 - self.calcw())
515     )
516     return ps
517
518 def calcpt(self):
```



```
517     pt = self.calcpe() / self.calcnh()
518     return pt
519
520 def calcpd(self):
521     # Arguments
522
523     pd = self.calcpe() / self.calcnd()
524     return pd
525
526 def addseamargin(self):
527     # Arguments
528     seamargin = 0.85
529     sm = self.calcpd() / seamargin
530     return sm
531
532 def add85MCoperation(self):
533     # Arguments
534     mcr85 = 0.85
535     mcr = self.addseamargin() / mcr85
536     return mcr
537
538 # Find ship brakepower
539 def brakePower(self):
540     ns = self._ship.nseff
541     brakePower = self.addseamargin() * ns
542     return brakePower
```

---



# E | MessageType5.py

---

```
1 MessageType 5
2
3 port sqlite3 as lite
4 port sys
5 om Ship import Ship
6 om holtrop3 import Holtrop
7 om collections import Counter
8 port time
9 port datetime
10
11
12 f getShipMessage5(databasepath, MMSI, length):
13     con = lite.connect(databasepath)
14     messagetype = ("MessageType1", "MessageType2", "MessageType3", "MessageType4", "MessageType5",)
15
16     # for x in messagetype[:1]:
17     mmsi = MMSI
18     table = messagetype[4]
19
20     # print table
21     with con:
22         curstring = 'select t1.* from MessageType5 t1 where t1.userid={userid} and (t1.dim_bow+t1.dim_stern)={length}'
23
24         cur = con.execute(curstring.format(userid=mmsi, length=length))
25         row = cur.fetchone()
26         x = row
27         ship = Ship(x[17])
28
29         # Unixtime
30         unixtime = x[0]
31
32         # Callsign
33         ship.callsign = x[1]
34         callsign = x[1]
35
36         # Destination
37         destination = x[2]
38
39         # Length
40         length = x[3] + x[6]
41         ship.length = length
42
43         # Breadth
44         breadth = x[4] + x[5]
45         ship.breadth = breadth
46         if (ship.breadth + 0.01) / (ship.length + 0.01) > 0.5:
47             ship.status = "rejected"
48             ship.statusDescription = "Ship breadth more than 0.5x length"
49         return ship
50         draught = x[7]
51
52
53     curstring = 'SELECT AVG (draught) FROM ' + table + ' where draught>0 and userid = {userid}'
54     cur = con.execute(curstring.format(userid=mmsi))
55     row = cur.fetchone()
56
57     draught = row[0]
58     if row[0] == 0 or row[0] == None:
59         ship.status = "rejected"
60         ship.statusDescription = "Ship has no draught"
61     return ship
```

## APPENDIX E. MESSAGETYPE5.PY

---

```
62     ship.draught = draught / 10.0
63
64     curstring = 'SELECT MAX (draught) FROM ' + table + ' where draught>0 and userid = {userid}'
65     cur = con.execute(curstring.format(userid=mmsi))
66     row = cur.fetchone()
67     maxdraught = row[0]
68     if maxdraught == 0 or maxdraught == None:
69         ship.status = "rejected"
70         ship.statusDescription = "Ship has no draught"
71         return ship
72     ship.maxdraught = maxdraught / 10.0
73
74     curstring = 'SELECT MIN (draught) FROM ' + table + ' where draught>0 and userid = {userid}'
75     cur = con.execute(curstring.format(userid=mmsi))
76     row = cur.fetchone()
77     mindraught = row[0]
78
79     if mindraught == 0 or mindraught == None:
80         ship.status = "rejected"
81         ship.statusDescription = "Ship has no draught"
82         return ship
83     ship.mindraught = mindraught / 10.0
84
85     # Dte
86     dte = x[8]
87
88     # eta
89     eta = x[9]
90
91     # IMO
92     ship.imo = x[10]
93     imo = x[10]
94
95
96     # msgid
97     ship.msgid = x[11]
98     msgid = x[11]
99
100    # Name
101    ship.name = x[12]
102    name = x[12]
103
104    # Pos_Type
105    ship.postype = x[13]
106    postype = x[13]
107
108    # Repeat
109    repeat = x[14]
110
111    # Ship type
112    ship.shiptype = x[15]
113    shiptype = x[15]
114
115    # Spare
116    spare = x[16]
117
118    # Userid / MMSI
119    userid = x[17]
120
121    # Version
122    version = x[18]
123
124
125
126    curstring = 'SELECT max(sog) FROM MessageType1 where userid = {userid}'
```

```

127     # print curstring
128     cur = con.execute(curstring.format(userid=mmsi))
129     row = cur.fetchone()
130     # print row
131     maxspeed = row[0]
132
133     curstring = 'SELECT max(sog) FROM MessageType2 where userid = {userid}'
134     # print curstring
135     cur = con.execute(curstring.format(userid=mmsi))
136     row = cur.fetchone()
137     if row[0] > maxspeed:
138         maxspeed = row[0]
139     curstring = 'SELECT max(sog) FROM MessageType3 where userid = {userid}'
140     cur = con.execute(curstring.format(userid=mmsi))
141     row = cur.fetchone()
142     if row[0] > maxspeed:
143         maxspeed = row[0]
144
145     if maxspeed == None:
146         ship.status = "rejected"
147         ship.statusDescription = "Ship has no speed records"
148         return ship
149     maxspeed = maxspeed / 10.0
150     ship.maxspeed = maxspeed
151
152     curstring = 'SELECT sum(sog),count(*) FROM MessageType1 where userid = {userid}'
153     cur = con.execute(curstring.format(userid=mmsi))
154     m1 = cur.fetchone()
155     curstring = 'SELECT sum(sog),count(*) FROM MessageType2 where userid = {userid}'
156     cur = con.execute(curstring.format(userid=mmsi))
157     m2 = cur.fetchone()
158     curstring = 'SELECT sum(sog),count(*) FROM MessageType3 where userid = {userid}'
159     cur = con.execute(curstring.format(userid=mmsi))
160     m3 = cur.fetchone()
161     # print m1
162     # print m2
163     # print m3
164     if (m1[0] == None and m2[0] == None and m3[0] == None):
165         ship.status = "rejected"
166         ship.statusDescription = "Ship has no speed records"
167         return ship
168     else:
169         numRecords = 0
170         sumSpeed = 0
171         if (m1[0] <> None):
172             sumSpeed += m1[0]
173             numRecords += m1[1]
174         if (m2[0] <> None):
175             sumSpeed += m2[0]
176             numRecords += m2[1]
177         if (m3[0] <> None):
178             sumSpeed += m3[0]
179             numRecords += m3[1]
180         avgspeed = sumSpeed / numRecords
181         avgspeed = avgspeed / 10.0
182         ship.avgspeed = avgspeed
183
184     # LNG Ships
185     if ship.maxdraught <= 13.0 and ship.deltadraught <= 3.5 and ship.maxspeed >= 16.0 and shiptype >= 80 and \
186         shiptype <= 89 and ship.breadth > 0:
187         ship.cb = 0.72
188         ship.sfc = 215.0
189         ship.noeff = 0.65
190         ship.shiptypegroup = "LNG carrier"
191     # General group

```

## APPENDIX E. MESSAGE5.PY

---

```
192     if ship.breadth >= 40 and ship.breadth <= 52 and ship.length >= 270 and ship.length <= 300:
193         ship.shiptypename = "General group"
194     # Q-Flex
195     elif ship.breadth >= 48 and ship.breadth <= 50 and ship.length >= 314 and ship.length <= 316:
196         ship.shiptypename = "Q-Flex"
197     # Q-Max
198     elif ship.breadth >= 46 and ship.breadth <= 54 and ship.length >= 344 and ship.length <= 345:
199         ship.shiptypename = "Q-Max"
200     # No match
201     else:
202         ship.shiptypename = "None of the above LNG ship size"
203
204 # Container ships
205 elif ship.maxspeed >= 15.9 and shiptype >= 70 and shiptype <= 79 and ship.breadth > 0:
206     ship.cb = 0.60
207     ship.sfc = 208.0
208     ship.nocoeff = 0.65
209     ship.shiptypegroup = "Container ship"
210
211     # Panamax Container Vessels 1
212     if ship.breadth >= 31 and ship.breadth <= 33.0 and ship.length >= 210.0 and ship.length <= 269.9 and \
213         ship.maxdraught <= 10.0 and ship.maxdraught <= 13.0 and ship.deltadraught <= 5.5:
214         ship.shiptypename = "Panamax Container Vessels 1"
215     # Panamax Container Vessels 2
216     elif ship.breadth >= 31 and ship.breadth <= 33.0 and ship.length >= 270.0 and ship.length <= 300.0 and \
217         ship.maxdraught >= 11.0 and ship.maxdraught <= 14.0 and ship.deltadraught <= 5.5:
218         ship.shiptypename = "Panamax Container Vessels 2"
219     # Post Panamax Container Vessels
220     elif ship.breadth >= 40 and ship.breadth <= 43 and ship.length >= 270 and ship.length <= 315 and \
221         ship.deltadraught <= 5.5:
222         ship.shiptypename = "Post Panamax Container Vessels"
223     # New Panamax Container Vessels
224     elif ship.breadth >= 46 and ship.breadth <= 52 and ship.length >= 320 and ship.length <= 370 and \
225         ship.deltadraught <= 5.5:
226         ship.shiptypename = "New Panamax Container Vessels"
227     # Post New Panamax Container Vessels
228     elif ship.breadth >= 54 and ship.breadth <= 58 and ship.length >= 380 and ship.length <= 397 and \
229         ship.deltadraught <= 5.5:
230         ship.shiptypename = "Post New Panamax Container Vessels"
231     # Trippel E Container Vessels
232     elif ship.breadth >= 58 and ship.breadth <= 61 and ship.length >= 397 and ship.length <= 401 and \
233         ship.deltadraught <= 5.5:
234         ship.shiptypename = "Trippel E Container Vessels"
235     else:
236         ship.shiptypename = "None of the above container ship size"
237
238 # Bulk carrier
239 elif ship.maxspeed <= 16.0 and shiptype >= 70 and shiptype <= 79 and ship.breadth > 0:
240     ship.cb = 0.825
241     ship.sfc = 197.0
242     ship.nocoeff = 0.65
243     ship.shiptypegroup = "Bulk carrier"
244
245     if ship.breadth >= 30 and ship.breadth <= 34 and ship.length >= 180 and ship.length <= 250 and \
246         ship.mindraught >= 5.0 and ship.deltadraught >= 5.5:
247         ship.shiptypename = "Panamax Bulk Carrier"
248     elif ship.breadth >= 36 and ship.breadth <= 50 and ship.length >= 230 and ship.length <= 320 and \
249         ship.deltadraught >= 5.0 and ship.maxspeed <= 15.0:
250         ship.shiptypename = "Capsize"
251     elif ship.breadth >= 29 and ship.breadth <= 33 and ship.length >= 160 and ship.length <= 180 and \
252         ship.deltadraught >= 5.0 and ship.maxspeed <= 15.0:
253         ship.shiptypename = "Handymax"
254     elif ship.breadth >= 20 and ship.breadth <= 29 and ship.length >= 130 and ship.length <= 180 and \
255         ship.deltadraught >= 5.0 and ship.maxspeed <= 15.0:
256         ship.shiptypename = "Handysize"
```

```

257     else:
258         ship.shiptypename = "None of the above bulk carrier size"
259
260     # Oil tanker
261     elif ship.maxspeed <= 18.0 and shiptype >= 80 and shiptype <= 89:
262         ship.cb = 0.825
263         ship.sfc = 210.0
264         ship.nocoeff = 0.58
265         ship.shiptypegroup = "Oil Tanker"
266
267     # VLCC & VLCC
268     if ship.breadth >= 50 and ship.breadth <= 70 and ship.length >= 320 and ship.length <= 400 and \
269         ship.maxdraught <= 25.0 and ship.mindraught >= 10.0 and ship.deltadraught >= 8.0:
270         ship.shiptypename = "VLCC & VLCC"
271
272     # Suezmax
273     elif ship.breadth >= 45 and ship.breadth <= 50 and ship.length >= 265 and ship.length <= 320 and \
274         ship.deltadraught >= 5 and ship.draught <= 20.0:
275         ship.shiptypename = "Suezmax"
276
277     # Aframax
278     elif ship.breadth >= 38 and ship.breadth <= 44 and ship.length >= 235 and ship.length <= 265 and \
279         ship.deltadraught >= 0.0:
280         ship.shiptypename = "Aframax"
281
282     # Panamax Oil Tanker
283     elif ship.breadth >= 30 and ship.breadth <= 33.5 and ship.length >= 200 and ship.length <= 235 and \
284         ship.deltadraught >= 4.0:
285         ship.shiptypename = "Panamax Oil Tanker"
286
287     else:
288         ship.shiptypename = "None of the above Oil tankers size"
289
290     else:
291         ship.shiptypegroup = "Type: Ship outside ship groups. Not matching ship constraints"
292         if ship.length < 100:
293             ship.status = "rejected"
294             ship.statusDescription = "Ship length < 100m"
295             return ship
296         else:
297             ship.status = "rejected"
298             ship.statusDescription = "Ship outside ship groups. Not matching ship constraints. Ship reports length above 100 m"
299             return ship
300
301     # Test to reject vessels with faulty AIS information
302     if ship.length == None:
303         ship.status = "rejected"
304         ship.statusDescription = "ship.length == None"
305         return ship
306
307     if ship.lpp == None:
308         ship.status = "rejected"
309         ship.statusDescription = "ship.lpp == None"
310         return ship
311
312     if ship.breadth == None or ship.breadth == 0:
313         ship.status = "rejected"
314         ship.statusDescription = "ship.breadth == " + str(ship.breadth)
315         return ship
316
317     if ship.shiptype == None:
318         ship.status = "rejected"
319         ship.statusDescription = "ship.shiptype == None"
320         return ship
321
322     if ship.displacement == None:
323         ship.status = "rejected"
324         ship.statusDescription = "ship.displacement == None"
325         return ship
326
327     if ship.draught == None:
328         ship.status = "rejected"
329         ship.statusDescription = "ship.draught == None"

```

## APPENDIX E. MESSAGETYPE5.PY

---

```
322     return ship
323 if ship.maxdraught == None:
324     ship.status = "rejected"
325     ship.statusDescription = "ship.maxdraught == None"
326     return ship
327
328 if ship.mindraught == None:
329     ship.status = "rejected"
330     ship.statusDescription = "ship.mindraught == None"
331     return ship
332 if ship.maxspeed == None:
333     ship.status = "rejected"
334     ship.statusDescription = "ship.maxspeed == None"
335     return ship
336 if ship.avgspeed == None:
337     ship.status = "rejected"
338     ship.statusDescription = "ship.avgspeed == None"
339     return ship
340 if ship.shiptypegroup == None:
341     ship.status = "rejected"
342     ship.statusDescription = "ship.shiptypegroup == None"
343     return ship
344
345 if ship.cb == None:
346     ship.status = "rejected"
347     ship.statusDescription = "ship.cb == None"
348     return ship
349
350 if ship.sfc == None:
351     ship.status = "rejected"
352     ship.statusDescription = "ship.sfc == None"
353     return ship
354
355 if ship.longcofb == None:
356     ship.status = "rejected"
357     ship.statusDescription = "ship.longcofb == None"
358     return ship
359 if ship.transbulbarea == None:
360     ship.status = "rejected"
361     ship.statusDescription = "ship.transbulbarea == None"
362     return ship
363 if ship.cobkeel == None:
364     ship.status = "rejected"
365     ship.statusDescription = "ship.cobkeel == None"
366     return ship
367 if ship.cm == None:
368     ship.status = "rejected"
369     ship.statusDescription = "ship.cm == None"
370     return ship
371 if ship.cwp == None:
372     ship.status = "rejected"
373     ship.statusDescription = "ship.cwp == None"
374     return ship
375 if ship.transom == None:
376     ship.status = "rejected"
377     ship.statusDescription = "ship.transom == None"
378     return ship
379 if ship.sapp == None:
380     ship.status = "rejected"
381     ship.statusDescription = "ship.sapp == None"
382     return ship
383 if ship.sternparameter == None:
384     ship.status = "rejected"
385     ship.statusDescription = "ship.sternparameter == None"
386     return ship
```



```

387     if ship.propdiameter == None:
388         ship.status = "rejected"
389         ship.statusDescription = "ship.propdiameter == None"
390         return ship
391     if ship.numberOfPropellerBlades == None:
392         ship.status = "rejected"
393         ship.statusDescription = "ship.numberOfPropellerBlades == None"
394         return ship
395     if ship.propellerClearance == None:
396         ship.status = "rejected"
397         ship.statusDescription = "ship.propellerClearance == None"
398         return ship
399
400     if ship.totalconsumption > 90000:
401         ship.status = "rejected"
402         ship.statusDescription = "Total consumption way to high, something's wrong!"
403         return ship
404
405     curstring = 'SELECT distinct sog FROM MessageType1 where userid = {userid} and sog > 0 ORDER BY sog'
406     cur = con.execute(curstring.format(userid=mmsi))
407     rows = cur.fetchall()
408     curstring = 'SELECT distinct sog FROM MessageType2 where userid = {userid} and sog > 0 ORDER BY sog'
409     cur = con.execute(curstring.format(userid=mmsi))
410     rows += cur.fetchall()
411     curstring = 'SELECT distinct sog FROM MessageType3 where userid = {userid} and sog > 0 ORDER BY sog'
412     cur = con.execute(curstring.format(userid=mmsi))
413     rows += cur.fetchall()
414
415     speeds = set(rows)
416
417     hm = Holtrop(ship, speeds)
418     pb = hm.getBrakePowers()
419     ship.pb = pb
420
421     curstring = 'SELECT unixtime,sog FROM MessageType1 where userid = {userid} ORDER BY unixtime'
422     cur = con.execute(curstring.format(userid=mmsi))
423     rows = cur.fetchall()
424
425     curstring = 'SELECT unixtime,sog FROM MessageType2 where userid = {userid} ORDER BY unixtime'
426     cur2 = con.execute(curstring.format(userid=mmsi))
427     rows += cur2.fetchall()
428
429     curstring = 'SELECT unixtime,sog FROM MessageType3 where userid = {userid} ORDER BY unixtime'
430     cur3 = con.execute(curstring.format(userid=mmsi))
431     rows += cur3.fetchall()
432     rows.sort()
433
434     speed = None
435     time = None
436     totalconsumption = 0.0
437     total_days = 0
438     ship.numSpeedRecords = len(rows)
439     for row in rows:
440         if speed != None and speed > 0:
441             timespan = row[0] - time
442             target = speed / 10.0
443             if target > 5: # Record sea days
444                 total_days += timespan
445
446         power = pb[target]
447
448         if timespan == 0:
449             totalconsumption = totalconsumption
450         elif target < 30:
451             stepconsumption = (ship.sfc / 1000000) * (timespan / 3600) * power

```

## APPENDIX E. MESSAGE5.PY

---

```
452         totalconsumption += stepconsumption
453
454         speed = row[1]
455         time = row[0]
456     ship.totalconsumption = totalconsumption
457     ship.totalSailingDays = total_days / (3600.0 * 24.0)
458     ship.status = "success"
459     ship.statusDescription = "Ship consumption calculated without any errors"
460     return ship
```

---

# F | Main.py

---

```
1 ain
2 port MessageType5
3 port sqlite3 as lite
4 port time
5 om tqdm import tqdm
6 port datetime
7
8 om timeit import default_timer as timer
9
10 om Ship import Ship
11
12 obal allconsumption
13 lconsumption=0
14 2emission = 0
15 4emission = 0
16 0emission = 0
17 xemission = 0
18 vocemission = 0
19 emission = 0
20 emission = 0
21 2emission = 0
22
23 obal mmsi
24
25 obal databasepath
26 tabasepath="data/masterdatabase1.db"
27 atabasepath="data/masterdatabase_reduced.db"
28
29 __name__ == '__main__':
30 ships=[]
31 con = lite.connect(databasepath)
32 rejected_ships = 0
33 count =0
34
35 with con:
36     curstring = 'select distinct t.userid, (t.dim_bow + t.dim_stern) from ' \
37                 '(select t1.* from MessageType5 t1 inner join ' \
38                 '(select userid, min(dim_stern+dim_bow) minlength from MessageType5 group by userid) t2 ' \
39                 'on (t1.userid=t2.userid and (t1.dim_bow+t1.dim_stern)=t2.minlength) ' \
40                 'where (t1.dim_bow+t1.dim_stern)>=130 and ' \
41                 '(t1.dim_bow+t1.dim_stern)<460 and ' \
42                 't1.ship_type<100 and ' \
43                 'LENGTH(t1.imo)=7 and ' \
44                 'LENGTH(t1.userid)=9) t'
45
46     cur = con.execute(curstring)
47
48     rows = cur.fetchall()
49     bar = tqdm(total=len(rows))
50
51     for row in rows:
52
53         ship=MessageType5.getShipMessage5(databasepath,row[0],row[1])
54         if ship.status == "rejected":
55             ships.append(ship)
56             rejected_ships += 1
57         else:
58             ships.append(ship)
59             allconsumption += ship.totalconsumption
60             co2emission += ship.co2emission
61             ch4emission += ship.ch4emission
```

## APPENDIX F. MAIN.PY

---

```
62         n20emission += ship.n20emission
63         noxemission += ship.noxemission
64         nmvocemission += ship.nmvocemission
65         coemission += ship.coemission
66         pmission += ship.pmission
67         so2emission += ship.so2emission
68         count =count+1
69         bar.update(1)
70
71
72     bar.write("[ " + str(count) + "/" + str(rejected_ships) + "]")
73     print "Total rejects",rejected_ships
74     print "Total ships calculated " ,count
75     print "Consumption for all ships within limits: ", allconsumption
76     print "Final consumption: ", allconsumption
77     print "Total CO2 emission ", co2emission
78     print "Total CH4 emission ", ch4emission
79     print "Total N2O emission ", n20emission
80     print "Total NOx emission ", noxemission
81     print "Total NMVOC emission ", nmvocemission
82     print "Total CO emission ", coemission
83     print "Total PM emission ", pmission
84     print "Total SO2 emission ", so2emission
85     dateString = datetime.datetime.strftime(datetime.datetime.now(), '%Y-%m-%d %H:%M:%S')
86     f = open('output/output['+dateString+'.csv', 'w')
87     f.write(ships[0].getCsvHeader()+"\n")
88     for ship in ships:
89         f.write(ship.getCsv()+"\n")
90     f.close()
```

---



# G | IMO GHG study inventory

Ship type	Size category	Units	Number active		Decimal AIS coverage of in-service ships	Avg. dead-weight (tonnes)	Avg. installed power (kW)	Avg. design speed (knots)	Avg. days at sea	Avg.* sea speed (knots)	Avg.* consumption ('000 tonnes)			Total CO <sub>2</sub> emissions ('000 tonnes)
			IHSF	AIS							Main	Auxiliary	Boiler	
Bulk carrier	0-9,999	dwt	1,216	670	0.55	3,341	1,640	11.6	167	9.4	0.9	0.5	0.1	5,550
	10,000-34,999	dwt	2,317	2,131	0.92	27,669	6,563	14.8	168	11.4	3.0	0.5	0.1	24,243
	35,000-59,999	dwt	3,065	2,897	0.95	52,222	9,022	15.3	173	11.8	4.0	0.7	0.1	44,116
	60,000-99,999	dwt	2,259	2,145	0.95	81,876	10,917	15.3	191	11.9	5.4	1.1	0.3	45,240
	100,000-199,999	dwt	1,246	1,169	0.94	176,506	17,330	15.3	202	11.7	8.5	1.1	0.2	36,340
	200,000-+	dwt	294	274	0.93	271,391	22,170	15.7	202	12.2	11.0	1.1	0.2	10,815
	0-4,999	dwt	1,502	893	0.59	2,158	1,387	11.9	159	9.8	0.8	0.5	0.6	5,479
	5,000-9,999	dwt	922	863	0.94	7,497	3,292	13.4	169	10.6	1.6	0.6	0.4	7,199
	10,000-19,999	dwt	1,039	1,004	0.97	15,278	5,260	14.1	181	11.7	3.0	0.6	0.4	12,318
	20,000-+	dwt	1,472	1,419	0.96	42,605	9,297	15.0	183	12.3	5.0	1.4	0.4	30,027
Container	0-999	TEU	1,126	986	0.88	8,634	5,978	16.5	190	12.4	2.8	0.9	0.2	12,966
	1,000-1,999	TEU	1,306	1,275	0.98	20,436	12,578	19.5	200	13.9	5.2	2.2	0.4	31,015
	2,000-2,999	TEU	715	689	0.96	36,735	22,253	22.2	208	15.0	8.0	3.1	0.5	25,084
	3,000-4,999	TEU	968	923	0.95	54,160	36,549	24.1	236	16.1	13.9	3.9	0.6	53,737
	5,000-7,999	TEU	575	552	0.96	75,036	54,838	25.1	246	16.3	19.5	4.1	0.6	42,960
	8,000-11,999	TEU	331	325	0.98	108,650	67,676	25.5	256	16.3	24.4	4.5	0.7	30,052
	12,000-14,500	TEU	103	98	0.95	176,783	83,609	28.9	241	16.1	23.7	4.9	0.8	8,775
	14,500-+	TEU	8	7	0.88	158,038	80,697	25.0	251	14.8	25.3	6.1	1.1	806
	0-4,999	dwt	11,620	5,163	0.44	1,925	1,119	11.6	161	8.7	0.5	0.1	0.0	23,606
	5,000-9,999	dwt	2,894	2,491	0.86	7,339	3,320	13.6	166	10.1	1.4	0.4	0.1	16,949
Liquefied gas tanker	10,000-+	dwt	1,972	1,779	0.90	22,472	7,418	15.8	174	12.0	3.4	1.2	0.1	27,601
	0-49,999	cbm	1,104	923	0.84	6,676	3,815	14.2	180	11.9	2.4	0.6	0.4	11,271
	50,000-199,999	cbm	463	444	0.96	68,463	22,600	18.5	254	14.9	17.9	4.1	0.6	29,283
200,000-+	cbm	45	43	0.96	121,285	37,358	19.3	277	16.9	33.5	4.0	1.0	5,406	

Figure G.1: Fleet description (Smith et al., 2014).

Ship type	Size category	Units	Number active		Decimal AIS coverage of in-service ships	Avg. dead-weight (tonnes)	Avg. installed power (kW)	Avg. design speed (knots)	Avg. days at sea	Avg. sea speed (knots)	Avg.* consumption ('000 tonnes)			Total CO <sub>2</sub> emissions ('000 tonnes)
			IHSF	AIS							Main	Auxiliary	Boiler	
Oil tanker	0-4,999	dwt	3,500	1,498	0.43	1,985	1,274	11.5	144	8.7	0.6	0.6	0.2	14,991
	5,000-9,999	dwt	664	577	0.87	6,777	2,846	12.6	147	9.1	1.1	1.0	0.3	4,630
	10,000-19,999	dwt	190	171	0.90	15,129	4,631	13.4	149	9.6	1.6	1.7	0.4	2,121
	20,000-39,999	dwt	659	624	0.95	43,763	8,625	14.8	164	11.7	3.7	2.0	0.6	12,627
	60,000-79,999	dwt	391	381	0.97	72,901	12,102	15.1	183	12.2	5.8	1.9	0.6	9,950
	80,000-119,999	dwt	917	890	0.97	109,259	13,813	15.3	186	11.6	5.9	2.6	0.8	25,769
	120,000-199,999	dwt	473	447	0.95	162,348	18,796	16.0	206	11.7	8.0	3.1	1.0	17,230
	200,000-+	dwt	601	577	0.96	313,396	27,685	16.0	233	12.5	15.3	3.6	1.1	36,296
Other liquids tankers	0-+	dwt	149	39	0.26	670	558	9.8	116	8.3	0.3	1.3	0.5	5,550
Ferry - pax only	0-1,999	gt	3,081	1,145	0.37	135	1,885	22.7	182	13.9	0.8	0.4	0.0	10,968
	2,000-+	gt	71	52	0.73	1,681	6,594	16.6	215	12.8	3.9	1.0	0.0	1,074
Cruise	0-1,999	gt	198	75	0.38	137	914	12.4	102	8.8	0.3	1.0	0.5	1,105
	2,000-9,999	gt	69	53	0.77	1,192	4,552	16.0	161	9.9	1.3	1.1	0.4	580
	10,000-59,999	gt	115	108	0.94	4,408	19,657	19.9	217	13.8	9.1	9.2	1.4	6,929
	60,000-99,999	gt	87	85	0.98	8,425	53,293	22.2	267	15.7	30.8	26.2	0.6	15,415
	100,000-+	gt	51	51	1.00	11,711	76,117	22.7	261	16.4	47.2	25.5	0.5	10,906
Ferry - ro-pax	0-1,999	gt	1,669	732	0.44	401	1,508	13.0	184	8.4	0.6	0.2	0.0	4,308
	2,000-+	gt	1,198	1,046	0.87	3,221	15,491	21.6	198	13.9	6.0	1.4	0.0	26,753
Refrigerated bulk	0-1,999	dwt	1,090	763	0.70	5,695	5,029	16.8	173	13.4	3.0	2.3	0.4	17,945
Ro-ro	0-4,999	dwt	1,330	513	0.39	1,031	1,482	10.7	146	8.8	1.1	2.5	0.3	15,948
	5,000-+	dwt	415	396	0.95	11,576	12,602	18.6	209	14.2	6.8	3.6	0.4	13,446
Vehicle	0-3,999	vehicle	279	261	0.94	9,052	9,084	18.3	222	14.2	5.4	1.6	0.3	6,200
	4,000-+	vehicle	558	515	0.92	19,721	14,216	20.1	269	15.5	9.0	1.4	0.2	18,302
Yacht	0-+	gt	1,750	1,110	0.63	171	2,846	16.5	66	10.7	0.4	0.5	0.0	3,482
Service - tug	0-+	gt	14,641	5,043	0.34	119	2,313	11.8	100	6.7	0.4	0.1	0.0	21,301

Figure G.2: Fleet description part 2 (Smith et al., 2014).





# H | IMO GHG study inventory

ECAIS			Calculations						IMO GHG	ECAIS	IMO GHG	ECAIS	ECAIS vs IMO
Group	Shiptyoe	Displacement	1+factor	dwt	Kristensen			Avg consumption	Avg cons (5.5 month)	AVG days at sea	AVG days at sea	Weighted	
Bulk		55448			Factor	bulker	Size	ME(tons)	tons				
	Handysize	22419	1,13	19840	0,13	handysize	(10000 - 25000 DWT)	3000	467	168	80	32,69 %	
	Handymax	38397	1,1	34906	0,1	handymax	(25000 - 55000 DWT)	3000	600	168	87	38,65 %	
	Panamax	54008	1,08	50007	0,08	panamax	(55000 - 80000 DWT)	4000	828	173	90	39,79 %	
	Capsize	126106	1,075	117308	0,075	capsize	(85000 - 200000 DWT)	8500	1168	202	103	26,95 %	
	None	49777	1,1	45252	0,1	handymax	(25000 - 55000 DWT)	4000	696				
<b>Container</b>		<b>49876</b>			<b>Factor</b>		<b>Size</b>	<b>ME(tons)</b>	<b>tons</b>				
	Panamax Container Vessels 1	45443	1	45443									
	Panamax Container Vessels 2	61101	1	61101									
	Post Panamax Container Vessels	81505	1	81505									
	New Panamax Container Vessels	134544	1	134544									
	Post New Panamax Container Vessels	187888	1	187888									
	Trippel E Container Vessels	195842	1	195842									
	None	43903	1	43903									
<b>LNG</b>		<b>56915</b>			<b>Factor</b>	<b>From bulk</b>	<b>Size</b>	<b>ME(tons) from LNG</b>	<b>tons</b>				
	General group	86912	1,08	80474	0,08	panamax	(55000 - 80000 DWT)	17900	5739	254	112	72,71 %	
	Q-flex	119335	1,075	111009	0,075	capsize	(85000 - 200000 DWT)	33500	8707	277	111	64,86 %	
	Q-max	139319	1,075	129599	0,075	capsize	(85000 - 200000 DWT)	33500	11619	277	122	78,75 %	
	None	53545	1,1	48677	0,1	handymax	(25000 - 55000 DWT)	2400	1989		95		
<b>Oil tanker</b>		<b>88886</b>			<b>Factor</b>	<b>Tanker</b>	<b>Size</b>	<b>ME(tons)</b>	<b>tons</b>				
	Aframax	61814	1,085	56972	0,085	panamax	55000 - 80000 DWT)	3700	1288	164	88	64,88 %	
	Panamax Oil Tanker	93079	1,08	86184	0,08	aframax	(80000 - 120000 DWT)	5900	1305	184	89	45,73 %	
	Suezmax	130577	1,08	120904	0,08	suezmax	(120000 - 170000 DWT)	8000	1575	206	96	42,24 %	
	ULCC & VLCC	256229	1,08	237249	0,08	ULCC	(170000 - 330000 DWT)	15300	3020	233	107	42,98 %	
	None of the above Oil tankers size	60353	1,08	55882	0,085	panamax	55000 - 80000 DWT)	3700	1011				

Figure H.1: Consumption comparison between AIS and third IMO GHG study