



**NTNU – Trondheim**  
Norwegian University of  
Science and Technology

# A reliability study of a Deepwater Vertical Xmas Tree with attention to XT retrieval rate

**Oda Ingeborg**  
**Stendebakken**

Marine Technology

Submission date: June 2014

Supervisor: Jan Erik Vinnem, IMT

Co-supervisor: Endre Willmann, GE Oil & Gas

Norwegian University of Science and Technology  
Department of Marine Technology





NTNU  
Norwegian University of  
Science and Technology

*Faculty of Engineering Science and Technology  
Department of Marine Technology*

**MASTER THESIS 2014**

**for**

**M.Sc. student Oda I. Giske Stendebakken**

**Department of Marine Technology**

**RELIABILITY STUDY OF A DEEPWATER VERTICAL XMAS TREE WITH  
ATTENTION TO XMAS TREE RETRIEVAL RATE**

*Pålitelighetsstudie av dypvannsvertikalt tre med fokus på trekkerate av treet*

Thousands of subsea Xmas trees have been installed since first introduced subsea in the 1950's. Now, there are a number of subsea tree variations, including vertical, horizontal, dual bore, mono bore, TFL (Through Flow Line), drill-thru horizontal, vertical with tubing head spool, mudline vertical and mudline horizontal trees.

The primary function is control of flow, usually hydrocarbons from the well, but also injection of gas or water to maintain reservoir pressure, or injection of lift gas to assist the flow of hydrocarbons. A tree often provides numerous additional functions including chemical injection, monitoring (such as valve positions, pressure, temperature, corrosion, erosion, sand detection, flow rate, flow composition, etc.) and well intervention means. Tree complexity and functionality has increased over the last few decades.

In relation to subsea development projects, reliability and availability performance targets are normally part of the contractual requirements. As a general requirement, the subsea contractors are also responsible for optimizing the system design, in a life cycle perspective, taking account of various aspects including production availability, installation/intervention risks as well as ability to support reservoir management operations.

In order to optimize the tree system design/configuration with respect to reliability and availability performance, there is a need for proper reliability models that are able to differentiate between different options and variants.

There are two main approaches to predicting the reliability of subsea Xmas trees:

1. Bottom-up: Reliability modeling of system, component by component, using component reliability data available in industry recognized sources such as the OREDA Handbooks.
2. Top-down: High-level reliability data for systems, captured from actual field experience/operations, typically reflecting the reliability performance as seen from the operators point of view.

The two approaches results in totally different results. For instance, an attempt to model a conventional tree using component data from OREDA may typically predict an “Xmas tree retrieval rate” in the order of once per 15-20 Xmas tree years, as opposed to once per 150-200 years expected or experienced by the operators/customers.

The idea is to use actual in-field performance data to calibrate the ‘bottom-up models’, such that the top-level predictions are in accordance with field experience. Although GE Oil & Gas have a good understanding of the overall reliability and availability performance as seen from the customers, they do not really have a well-documented understanding of actual field experience for their Xmas trees (number of trees installed, years in operation, number of failures, corresponding repair activity etc.).

The main objective is to study a specific Xmas Tree (XT) system and to estimate the retrieval rate due to tree failures based on commercially available reliability data. Further this shall be compared to high level experience data presented. This is to initiate the process to alleviate the gap seen between generic calculations of the tree retrieval rate compared to known field experience.

The master thesis shall cover the following tasks:

1. Literature study: The candidate shall perform a literature survey and, on the basis of this survey, describe:
  - a) Main types of Xmas trees, and main Xmas tree sub-systems and components
  - b) Describe the most important differentiating factors with respect to reliability and availability performance of Xmas Tree Systems (including installation/intervention issued and downhole operations).
  - c) Essential terms, definitions and industry standards for performing probabilistic analysis of subsea tree systems, and describe main methodologies
  - d) Relevant reliability data source(s), with emphasize on limitations and applicability in relation to the current topic.
2. Component-level FMECA of a selected Xmas tree configuration (excluding control module).
  - a) Develop an understanding of main components with essential functional requirements and criticality and effect resulting from functional failures.
3. Probabilistic Reliability Analysis of the selected Xmas Tree System
  - a) Bottom-up approach:
    - i. Based on reliability data sources, develop a Xmas Tree specific database containing component reliability data for Xmas Tree components/items.
    - ii. Use this to develop a first pass reliability model for the Xmas Tree System.

- b) Top-down approach:
  - i. View statistics on the high level reliability and availability performance of subsea trees.
  - ii. Seek literature for relevant comparative information.
  - iii. Use this information to develop a ‘simplified’ top down reliability model for the selected Xmas Tree configuration.
- c) Comparative assessment: Derived from a comparative assessment of results, recommend a baseline set of component reliability data, additional model parameters, modifications factors and other refinements as required for calibration of the bottom-up model

**Outlook:** We are currently struggling to obtain Reliability Targets for the next generation of subsea trees and associated sub components. We believe this should be driven by a Systems top-down approach rather than assigning arbitrary values at the component level (bottom-up approach).

In the process of identifying Design Practices for 2014, the thesis work will be seen in relation to this. This will include methodologies for collection of field statistics on subsea trees.

The main objective is to establish a standard benchmark model (simple probabilistic rather than time-based) and associated process (Design Practice) for the allocation of component reliability targets based on goals for overall system reliability and availability.

The thesis must be written like a research report, with an abstract, conclusions, contents list, reference list, etc.

During preparation of the thesis it is important that the candidate emphasizes easily understood and well-written text. For ease of reading, the thesis should contain adequate references at appropriate places to related text, tables and figures. On evaluation, a lot of weight is put on thorough preparation of results, their clear presentation in the form of tables and/or graphs, and on comprehensive discussion.

The thesis is to be handed in electronically.

**Thesis supervisors:**

Prof. Jan-Erik Vinnem, NTNU  
Endre Willmann, GE Oil & Gas

**Deadline: 10<sup>th</sup> June 2014**



## PREFACE

This work comprises my master thesis for the Department of Marine Technology at the Norwegian University of Science and Technology, NTNU, spring 2014. The master thesis account for 30 credits in the last semester of the final year, and completes a Master of Science degree in Marine Technology, within the specialization Marine Operations and Maintenance. The master thesis has been executed in collaboration with GE Oil & Gas.

The main purpose of this thesis is to estimate the retrieval rate of a specific tree system due to tree failures based on commercially available data and further compare the results to experiential data presented. This is to initiate a process alleviating the gap seen between generic calculations of the tree retrieval rate compared to known field experience.

As I did not have any significant knowledge about XTs, a part of the thesis was to gain knowledge about different XT systems, their function and reliability issues thereof. The work has been awarding and exciting. It has been especially interesting to work with an actual problem and to get insight into the reliability engineering industry.

I would like to give my most genuine thanks to my responsible supervisor at NTNU, Jan-Erik Vinnem, for valuable help, input and for always being online, even when he was not campus. I would also like to give my most sincere thanks for my supervisor in GE Oil & Gas, Endre Willmann, for taking the time and for this give valuable insight, feedback and patience throughout the thesis.

Finally, I would like to thank Oline Giske Stendebakken for moral support and input on my writing.

Trondheim  
10<sup>th</sup>  
June  
2014

*Oda Stendebakken*

---

Oda Ingeborg Stendebakken





## EXECUTIVE SUMMARY

The first subsea XT was installed in the Gulf of Mexico in 1961. Since then, the XTs are an essential part of the subsea fields. Now there are a number of XT variations with technology modified to fit each unique well. Tree complexity and functionality has increased over the last few decades.

The focus of this thesis is towards the subsea Xmas Tree (XT) system. The XT is recognized by the industry as an overall reliable configuration, but as in all development projects, it is a constant battle to optimize the design in a life cycle perspective taking account of several aspects such as safety, availability, maintainability and reliability. The ambient seabed conditions and continuously increasing intervention cost require a higher standard on the equipment and keep pushing the technology development.

There are two main approaches for predicting reliability of tress:

- Bottom-up: Reliability modeling of system, component by component, using component reliability data available in industry recognized sources, such as the OREDA handbook.
- Top-down: High-level reliability data for systems, captured from actual field experience/operations, typically reflecting the reliability performance as seen from the operators point of view.

The main objective is to study a specific XT system and to estimate the retrieval rate due to tree failures based on commercially available reliability data. Further this has been compared to high-level experience data presented. This is to initiate the process to alleviate the gap seen between generic calculations of the tree retrieval rate compared to known field experience. The XT configuration chosen to evaluate, is the Deepwater Vertical Xmas Tree (DVXT). A generalized case was constructed as the DVXT with help from Endre Willmann, the supervisor in GE Oil & Gas.

The scope is limited in this context to the DVXT system and systems that influence the DVXT system in terms of tree retrieval rate and downtime due to failures in the tree system. Therefore, the subsea control systems with associated monitoring equipment are excluded from the analysis.

To assess the DVXT system, a reliability analysis is performed. The reliability analysis is achieved in the following steps with proven methods from the reliability engineering discipline:

1. FMECA/Failure analysis
2. RBD/Reliability analysis

A component-level FMECA is conducted to develop an understanding of main components with essential functional requirements, criticality and effect resulting from functional failure. The results from the reliability analysis, conducted as a bottom-up approach, indicate a retrieval rate of the production tree near 22 years.

Through the performed reliability analysis, the DVXT system has confirmed its reputation as a reliable configuration with high operating reliability and associated low risk. Nonetheless, several assumptions have been made. The focus of this thesis is not at the absolute result, but to illustrate a reliability issue experienced by GE Oil & Gas in the calculation of reliability based on generic reliability data versus field experience data. The OREDA-2009 Handbook is deliberately used as a sole source for raw data to illustrate this issue, as the handbook is known to give conservative results when calculations is performed purely based on it. However, it can well be seen as desirable that calculations are more cautious than a real situation, but a natural question here is to what extend.

It is shown a significant gap between the bottom-up approach and the field experience data presented. Based on the field experience collected it is indicated a MTTF for XT retrieval on the top-down approach between 100 to 200 years. This implies a factor of 5 to 10 between the bottom-up and the top-down approach. It should be noted that the estimates for retrieval rate is meant for a population of trees in operation. A tree will obviously not be able to operate for 200 years.

Further, it is indicated by GE Oil & Gas that a Pareto-rule seem to apply when deciding if failures require heavy workover such as XT retrieval or light intervention means such as ROV remedial actions upon repair. Applied to the sensitivity case to the bottom-up approach, assuming that in fact 80% of XT critical failures can be restored by light intervention means, the total MTBF of 15 years predicted for XT critical failures then results in an XT retrieval rate of 75 years. This is closer to the expectations indicated by the top-down approach, but still not close to the levels indicated by recent field experience.

This indicate that the bottom-up model should be calibrated with input data that is based on experience data rather than solely based on generic to alleviate some of the distance between the two approaches. This can be performed in shape of additional model parameters, modification factors or other refinements. The solution to this is however not presented in this thesis. The Pareto-rule can be utilized in order to calibrate this gap, if shown applicable based on comprehensive historic data. This has to be studied further thoroughly.

## SAMMENDRAG

Det første juletreet på havbunnen ble installert i Mexicogolfen i 1961. Siden da har treet vært en essensiell del av havbunnsfelt. I dag eksisterer det en rekke varianter av trær med teknologi modifisert til å passe hvert unike felt. Kompleksitet og funksjonalitet til trærne har økt de siste tiårene.

Fokuset i denne masteroppgaven er rettet mot juletresystemet på havbunnen. Treet er anerkjent av industrien som en pålitelig konfigurasjon, men som i alle utviklingsprosjekter er det en konstant kamp å optimalisere designet i et livsløpsperspektiv hvor det blir tatt hensyn til aspekter som sikkerhet, tilgjengelighet, vedlikehold og pålitelighet. Omgivelsene på havbunnen og de stadig økende kostandene for intervensjon krever en høyere standard på utstyr, og fortsetter å drive teknologiutviklingen. .

Det er to hovedtilnærminger for å forutsi påliteligheten til et tre:

- "Bottom-up": Pålitelighetsmodellering av systemet, komponent for komponent, basert på pålitelighetsdata på komponentnivå tilgjengelige kilder anerkjent av bransjen, slik som OREDA-2009 håndboken.
- "Top-down": Pålitelighetsdata for systemer på et overordnet nivå, tatt fra felterfaring/drift. Disse dataene reflekterer vanligvis pålitelighetskrav sett fra operatørens synspunkt.

Hovedmålet med oppgaven er å studere et bestemt tre og estimere trekkerate for dette treet på grunn av feil i treet. Trekkerate vil si hvor ofte en skal påregne å erstatte et tre; ta det opp og sette inn et nytt tre. Beregningene er basert på kommersielt tilgjengelige pålitelighetsdata. Videre skal dette sammenlignes med erfaringsdata fra oljefelt presentert i oppgaven. Dette blir gjort fordi GE Oil & Gas har erfart en signifikant avstand mellom beregnet trekkerate og den reelle trekkeraten i felt. Hensikten med denne masteroppgaven er å sette i gang prosessen med å minske gapet mellom generiske beregninger og presentert felterfaring. Den konfigurasjonen som ble valgt som case er et dypvannsvært tre. En generell case av det vertikale dypvannstreet ble konstruert ved hjelp av Endre Willmann, veileder i GE Oil & Gas.

Omfanget av oppgaven er begrenset i denne sammenheng til det vertikale dypvannstresystemet og systemer som påvirker treet i form av trekkerate og nedetid på grunn av svikt i selve treet. Derfor er kontrollsystemet på havbunnen med tilhørende overvåkningsutstyr for trykk og temperatur ekskludert fra analysen.

En pålitelighetsanalyse er utført for å vurdere treet. Analysen er utført i følgende trinn:

1. Feilanalyse (med feil, modus, effekt og kritikalitetsanalyse (FMECA))

## 2. Pålitelighetsanalyse (med pålitelighetsblokkdiagram)

Feilanalysen er utført med en feil, modus, effekt og kritikalitetsanalyse på komponentnivå. Denne er gjennomført for å utvikle en forståelse av hovedkomponenter med essensielle funksjonelle krav og kritikalitet, og videre virkning som følge av funksjonssvikt. Pålitelighetsanalysen er gjennomført med rådata fra den kommersielt tilgjengelige OREDA håndboken. Resultatene fra denne analysen predikterer en trekkerate på treet til å være lik 22 år.

Gjennom de utførte pålitelighetsanalysene har treet bekreftet sitt omdømme som en pålitelig konfigurasjon med høy driftssikkerhet og en tilhørende lav risiko. Fokuset på denne oppgaven er ikke rettet mot et absolutt resultat på pålitelighet av treet, men er ment å illustrere det GE Oil & Gas oppfatter som et pålitelighetsnøyaktighetsproblem ved beregning av pålitelighet basert på generiske pålitelighetstall kontra erfaringsdata. OREDA-2009 håndboken er bevisst brukt som eneste kilde til rådata for å illustrere dette problemet, siden håndboken er kjent for å gi konservative resultater når beregninger er utført utelukkende basert på den. Det er naturlig og kan godt sees som ønskelig at beregninger er mer forbeholdne enn real situasjonen, men i denne konteksten er det et naturlig spørsmål å stille seg i hvilken grad.

Det er påvist et betydelig gap mellom den beregnede og den erfaringsbaserte trekkeraten på treet. Basert på samlet felterfaring presentert, er det indikert et estimat på tid til feil for trekkerate mellom 100 til 200 år. Dette innebærer en faktor på 5 til 10 mellom den beregnede og den erfaringsbaserte tilnærmingen. Det bør bemerkes at anslagene for trekkerate er ment for en populasjon av trær i drift. Et tre vil selvsagt ikke kunne operere i 200 år.

Videre er det gitt av GE Oil & Gas at en Pareto-regel tilsynelatende gjelder når en bestemmer om en potensiell feil krever tung brønnoverhaling i form av å trekke treet eller en lettere intervensjon med fjernstyrt maskineri (ROV) på stedet. Hvis Pareto-regelen gjelder, vil den beregnede totale tid til feil på det beregnede treet lik 15 år resultere i at 80 % av kritiske feil på treet kan repareres ved lettere intervensjon, mens de resterende 20 % vil kreve trekking av treet. Dette vil da reflektere en trekkerate på treet lik 75 år. Dette er nærmere forventningene fra de erfaringsbaserte tallene presentert, men fortsatt ikke i nærheten av nivåene angitt av nyere felterfaring.

Dette tyder på at konvensjonelle data bør kalibreres med erfaringsdata for å minske avstanden mellom de to tilnærmingene. Dette kan utføres i form av modellparametere, modifieringsfaktorer eller andre forbedringer. Resultatene i denne oppgaven gir ingen klare svare på hva slike modellparametere bør være. Pareto-regelen kan muligens benyttes for å oppnå mer realistiske beregninger, dersom en slik omregning finner støtte i omfattende historiske data. Dette kreves det i så fall grundig videre forskning på.

## LIST OF ABBREVIATIONS

<b>XT</b>	Xmas Tree
<b>DVXT</b>	Deepwater Vertical Xmas Tree
<b>FMECA</b>	Failure Mode, Effect and Criticality Analysis
<b>DHSV</b>	Downhole Safety Valve
<b>MTTF</b>	Mean Time To Failure
<b>RBD</b>	Reliability Block Diagram
<b>FMEA</b>	Failure Mode and Effect Analysis
<b>FTA</b>	Fault Tree Analysis
<b>MV</b>	Master Valve
<b>TH</b>	Tubing Hanger
<b>SCSSV</b>	Surface-Controlled Subsurface Valve
<b>ROV</b>	Remotely Operated Vehicle
<b>SCM</b>	Subsea Control Module
<b>RAM</b>	Reliability, Availability and Maintainability
<b>fpmh</b>	Failure per million hours
<b>PWV</b>	Production Wing Valve
<b>HXT</b>	Horizontal Xmas Tree
<b>VXT</b>	Vertical Xmas Tree
<b>ISO</b>	International Organization for Standardization
<b>BOP</b>	Blow Out Preventer
<b>LRP</b>	Lower Riser Package
<b>EDP</b>	Emergency Disconnect Package
<b>THS</b>	Tubing Head Spool
<b>FCM</b>	Flow Control Module
<b>WGFM</b>	Wet Gas Flow Meter
<b>MPFM</b>	Multiphase Flow Meter
<b>SCMMB</b>	Subsea Control Module Mounted Base
<b>IWOCS</b>	Installation and Workover Control System
<b>PGB</b>	Production Guide Base
<b>THRT</b>	Tubing Hanger Running Tool
<b>MEG</b>	Mono Ethylene Glycol
<b>API</b>	American Petroleum Institute
<b>FAT</b>	Factory Acceptance Test
<b>EFAT</b>	Extended Factory Acceptance Test
<b>SRT</b>	Site Receipt Test
<b>PTT</b>	Pressure Temperature Transmitter
<b>PCV</b>	Production Choke Valve
<b>PMV</b>	Production Master Valve
<b>AMV</b>	Annulus Master Valve
<b>AWV</b>	Annulus Wing Valve
<b>CCV</b>	Chemical Control Valve
<b>AIV</b>	Annulus Isolation Valve
<b>AVV</b>	Annulus Vent Valve
<b>XOV</b>	Crossover Valve

<b>MIV</b>	Mono Ethylene Glycol Isolation Valve
<b>CIV</b>	Chemical Isolation Valve
<b>WV</b>	Wing Valve
<b>PSV</b>	Production Swab Valve
<b>ASV</b>	Annulus Swab Valve
<b>CV</b>	Check Valve
<b>IV</b>	Isolation Valve
<b>WOCS</b>	Workover Control System
<b>MODU</b>	Mobile Drilling Unit
<b>LIV</b>	Light Intervention Vessel
<b>ROVSV</b>	Remotely Operated Vehicle Service Vessel
<b>MSV</b>	Multipurpose Service Vessel
<b>O</b>	Productivity impact
<b>E</b>	Environment impact
<b>S</b>	Safety for human life and health
<b>WH</b>	Wellhead
<b>LDHI</b>	Low Dosage Hydrate Inhibitor
<b>SI</b>	Scale Inhibitor
<b>HPMEG</b>	High Pressure Mono Ethylene Glycol

# TABLE OF CONTEXT

<b>PREFACE</b> .....	<b>I</b>
<b>EXECUTIVE SUMMARY</b> .....	<b>III</b>
<b>SAMMENDRAG</b> .....	<b>V</b>
<b>LIST OF ABBREVIATIONS</b> .....	<b>VII</b>
<b>TABLE OF CONTEXT</b> .....	<b>IX</b>
<b>LIST OF FIGURES</b> .....	<b>XII</b>
<b>LIST OF TABLES</b> .....	<b>XIII</b>
<b>1 INTRODUCTION</b> .....	<b>1</b>
1.1 BACKGROUND .....	1
1.2 OBJECTIVES .....	2
1.3 SCOPE AND LIMITATIONS .....	3
1.4 RESEARCH APPROACH .....	3
<b>2 THEORY, METHOD AND LITERATURE REVIEW</b> .....	<b>5</b>
2.1 RELIABILITY METHOD .....	5
2.1.1 <i>Failure Mode and Effect Criticality Analysis</i> .....	5
2.1.2 <i>Reliability Block Diagram</i> .....	6
2.2 RELIABILITY DATA .....	6
2.2.1 <i>Qualification and Application of Reliability Data</i> .....	6
2.2.2 <i>Failure Rate</i> .....	7
2.2.3 <i>OREDA Offshore Reliability Data Handbook</i> .....	8
<b>3 OVERVIEW OF SUBSEA XMAS TREE SYSTEMS AND STATISTICAL REVIEW</b>	
3.1 INDUSTRY REQUIREMENTS .....	11
3.2 ACCIDENT AND STATISTICAL REVIEW .....	12
3.2.1 <i>Accident Review</i> .....	12
3.2.2 <i>Statistical Review</i> .....	14
3.2.3 <i>Review of XT Field Data Performed in 1999</i> .....	15
3.2.4 <i>Review of XT Field Data performed in 2014</i> .....	17
3.3 XMAS TREE FUNCTIONAL REQUIREMENTS .....	19
3.4 TYPES AND CONFIGURATIONS OF XMAS TREES .....	19
3.4.1 <i>Vertical Xmas Tree</i> .....	19
3.4.2 <i>Horizontal Xmas Tree</i> .....	20
3.4.3 <i>Comparison of Horizontal and Vertical Xmas Trees</i> .....	22
3.4.4 <i>Selection Criteria</i> .....	23
3.5 MAIN COMPONENTS OF A XMAS TREE SYSTEM .....	24
3.5.1 <i>General Components of a XT System</i> .....	24
3.5.2 <i>Tubing Hanger</i> .....	24
3.5.3 <i>Wellhead Connectors</i> .....	26

3.5.4	<i>Valves</i> .....	27
3.5.5	<i>Flow Control Module</i> .....	28
3.5.6	<i>Main Components That Vary Between HXTs and VXTs</i> .....	28
3.5.7	<i>Xmas Tree-Mounted Controls</i> .....	29
3.6	XMAS TREE INSTALLATION AND SERVICE CONDITIONS.....	29
3.6.1	<i>Xmas Tree Installation</i> .....	29
3.6.2	<i>Service Conditions</i> .....	31
3.7	XMAS TREE DESIGN AND ANALYSIS .....	31
3.8	TEST PROGRAM FOR XMAS TREES .....	32
<b>4</b>	<b>CASE STUDY: DEEPWATER VERTICAL XMAS TREE</b> .....	<b>33</b>
4.1	DESCRIPTION OF A DEEPWATER VERTICAL XMAS TREE .....	33
4.2	BASE CASE DEFINITION .....	34
4.3	BOUNDARY DEFINITION.....	35
4.4	KEY SYSTEM ELEMENTS AND CHARACTERISTICS.....	36
4.4.1	<i>General</i> .....	36
4.4.2	<i>Tubing Head Spool</i> .....	37
4.4.3	<i>Tubing Hanger</i> .....	38
4.4.4	<i>Production Xmas Tree</i> .....	39
4.4.5	<i>Flow Control Module</i> .....	41
4.4.6	<i>Xmas Tree Installation and Workover Control System</i> .....	42
4.5	MAIN ASSUMPTIONS AND LIMITATIONS.....	42
4.5.1	<i>Analysis Level</i> .....	42
4.5.2	<i>Operational Phases</i> .....	42
4.5.3	<i>Analysis Assumptions</i> .....	42
<b>5</b>	<b>RELIABILITY ANALYSIS APPROACH APPLIED TO CASE</b> .....	<b>44</b>
5.1	XT FAILURES .....	44
5.2	WELL INTERVENTION MEANS .....	45
5.2.1	<i>Heavy workover</i> .....	45
5.2.2	<i>Light Intervention</i> .....	46
5.3	FAILURE CRITICALITY CLASSIFICATION.....	46
5.4	FAILURE MODE EFFECT AND CRITICALITY ANALYSIS .....	47
5.4.1	<i>Risk Evaluation of the Components</i> .....	49
5.4.2	<i>Identification of Component Failures</i> .....	51
5.5	RELIABILITY BLOCK DIAGRAM.....	54
<b>6</b>	<b>RESULTS</b> .....	<b>57</b>
6.1	FMECA/FAILURE ANALYSIS.....	57
6.1.1	<i>Failure Criticality Assessment of Components and Sub-Systems</i> .....	57
6.1.2	<i>FMECA</i> .....	59
6.2	RBD/RELIABILITY ANALYSIS.....	64
6.3	COMPARISON OF BOTTOM-UP AND TOP-DOWN RESULTS .....	66
<b>7</b>	<b>DISCUSSION</b> .....	<b>69</b>



7.1	DISCUSSION OF RESULTS.....	69
7.2	DISCUSSION OF THE FRAMEWORK USED TO OBTAIN THE RESULTS.....	70
7.2.1	<i>Simplification of the System</i> .....	72
7.2.2	<i>Simplifications and Weaknesses of the FMECA</i> .....	73
7.2.3	<i>Simplifications and Weaknesses of the RBD</i> .....	73
<b>8</b>	<b>CONCLUSION AND FURTHER WORK</b> .....	<b>75</b>
8.1	SUMMARY AND CONCLUSION.....	75
8.2	FURTHER WORK .....	76
	<b>BIBLIOGRAPHY</b> .....	<b>78</b>
	<b>APPENDICES</b> .....	<b>79</b>
<b>A.</b>	<b>RELIABILITY DATA</b> .....	<b>81</b>
<b>B.</b>	<b>MOBILIZATION AND REPAIR TIME</b> .....	<b>85</b>
<b>C.</b>	<b>FAILURE MODE AND EFFECT CRITICALITY ANALYSIS</b> .....	<b>87</b>
<b>D.</b>	<b>RELIABILITY BLOCK DIAGRAMS</b> .....	<b>113</b>
D.1	PRODUCTION XMAS TREE .....	113
D.2	FLOW CONTROL MODULE .....	116

# LIST OF FIGURES

FIGURE 1 THE BATHTUB (LIFE) CURVE (RAUSAND & HØYLAND, 2004).....	7
FIGURE 2 GENERAL VXT CONFIGURATION ((ENI), ET AL., 2012).....	20
FIGURE 3 GENERAL HXT CONFIGURATION ((ENI), ET AL., 2012).....	21
FIGURE 4 MONOBORE AND DUAL BORE TUBING HANGER (BAI & BAI, 2012).....	25
FIGURE 6 H4-CONNECTOR (BAI & BAI, 2012).....	27
FIGURE 7: DIFFERENCE BETWEEN HXT AND VXT (RED DOTS ILLUSTRATE THE LOCATION OF VALVES) (BAI & BAI, 2012).....	28
FIGURE 8 VXT COMPLETED ON A THS (COURTESY OF GE OIL & GAS).....	33
FIGURE 9: SCHEMATIC DESCRIPTION OF A VXT COMPLETED ON A THS (DUALBORE CONFIGURATION TO THE LEFT AND MONOBORE TO THE RIGHT) (ISO 13628-4, 2010).....	34
FIGURE 10 DUAL BORE CONFIGURATION TH INSTALLED WITHIN THE THS ((ENI), ET AL., 2012).....	39
FIGURE 11 ILLUSTRATION OF INTERVENTION MEANS FOR THE PRODUCTION XT.....	64
FIGURE 12 FAILURE DISTRIBUTION IN THE PRODUCTION XT.....	65
FIGURE 13 FAILURE DISTRIBUTION IN THE FCM.....	66

## LIST OF TABLES

TABLE 1 BLOWOUT DURING PRODUCTION (1980 - 2007) (MOLNES, 2012) .....	13
TABLE 2: KEY TECHNICAL DESIGN DATA.....	36
TABLE 3 FAILURE CRITICALITY CLASSIFICATION (PROVIDED BY GE OIL & GAS).....	47
TABLE 4 SEVERITY MATRIX (PROVIDED BY GE OIL & GAS).....	49
TABLE 5 PROBABILITY MATRIX (PROVIDED BY GE OIL & GAS) .....	50
TABLE 6 DEFINITION OF RISK CRITICALITY LEVEL (PROVIDED BY GE OIL & GAS) .....	50
TABLE 7 FAILURE MODES IN THE COMPONENT-LEVEL FMECA .....	51
TABLE 8 FUNCTIONAL CRITICALITY ASSESSMENT – GENERAL FUNCTIONS .....	57
TABLE 9 CRITICALITY ASSUMPTIONS FOR MAIN COMPONENTS .....	58
TABLE 10 CRITICALITY ASSUMPTIONS FOR MAIN VALVES .....	58
TABLE 11 TOTAL RISK .....	59
TABLE 12 EFFECTS ON OPERATIONAL RISK .....	60
TABLE 13 ENVIRONMENTAL RISK .....	61
TABLE 14 EFFECTS ON HUMAN RISK.....	62
TABLE 15 EXPOSED COMPONENTS FOR FURTHER EVALUATION.....	63
TABLE 16 RELIABILITY OF THE PRODUCTION XT .....	64
TABLE 17 RELIABILITY OF THE FCM.....	65
TABLE 18 FAILURE DATA FOR THE WELLHEAD SYSTEM.....	81
TABLE 19 FAILURE DATA FOR THE CONNECTORS .....	81
TABLE 20 FAILURE DATA THE TUBING HEAD SPOOL FRAME AND FLOWLOOPS.....	81
TABLE 21 FAILURE DATA FOR THE TUBING HANGER .....	82
TABLE 22 FAILURE DATA FOR TREE CAP.....	82
TABLE 23 FAILURE DATA FOR THE PRODUCTION XT.....	83
TABLE 24 FAILURE DATA FOR THE MAIN VALVES .....	83
TABLE 25 FAILURE DATA FOR THE CHOKE VALVES .....	84
TABLE 26 INTERVENTION VESSELS WITH MOBILIZATION DATA .....	85
TABLE 27 REPAIR TIME .....	86



# 1 INTRODUCTION

## 1.1 BACKGROUND

As offshore technologies have advanced, more and more of the operations previously performed on the surface are moved down to the seabed. Today's subsea technology encircles a wide range of subsea components, i.e. XTs, manifolds, risers, templates, flowlines, ROVs, hydraulic and electric power systems, control systems, fluid pumping, reinjection and separation.

The first subsea XT was installed in the Gulf of Mexico in 1961. Since then, the XTs are an essential part of the subsea fields. Now there are a number of XT variations with technology modified to fit each unique well. Tree complexity and functionality has increased over the last few decades.

The XT is recognized by the industry as an overall reliable configuration. With the demand for production of hydrocarbons from deeper water with higher pressures and temperatures, the XTs meet additional design constraints. These keep pushing the technology to evolve to meet the challenges coming both now and in the future. The ambient seabed conditions and continuously increasing intervention cost require a higher standard on the equipment and keep pushing the technology development.

As in all development projects, it is a constant battle to optimize the system design in a life cycle perspective taking account of various aspects such as safety, availability, maintainability and reliability. In subsea development projects, reliability and availability performance targets are normally part of the contractual requirements.

Generally, unplanned stoppage of equipment result in high equipment downtime, high cost of repair, extensive repair time and high penalty associated with loss of production.

In order to optimize the tree system design with respect to safety, availability, maintainability and reliability performance, there is a need for proper reliability models that are able to differentiate between different options and variants.

There are two main approaches for predicting reliability of XTs:

- Bottom-up: Reliability modeling of system, component by component, using component reliability data available in industry recognized sources, such as the OREDA handbook.

## Chapter 1

- Top-down: High-level reliability data for systems, captured from actual field experience/operations, typically reflecting the reliability performance as seen from the operators point of view.

The two approaches results in totally different results. For instance, an attempt to model a conventional tree using component data from OREDA may typically predict a retrieval rate of XTs in the order of once per 15-20 years, as opposed to once per 150-200 years estimated MTBF based on experience implied by the operators/customers.

The idea is that the bottom-up model should be calibrated with input data that is experiential rather than generic to alleviate some of the distance between the two approaches. Although GE Oil & Gas have a good understanding of the overall reliability and availability performance as seen from the customers, there is an unrealized potential regarding a structured data-collecting and organizing tool of actual field experience for their Xmas trees (number of trees installed, years in operation, number of failures, corresponding repair activity etc.). In its absence, the generic and therefore misleading retrieval rate are emphasized to a higher degree than one might wish.

### 1.2 OBJECTIVES

In this master thesis, the main objective is to study a specific Xmas Tree (XT) system and to estimate the retrieval rate due to tree failures based on commercially available reliability data. Further this shall be compared to high level experience data presented. This is to initiate the process to alleviate the gap seen between generic calculations of the tree retrieval rate compared to known field experience. The elected tree system to study is the Deepwater Vertical Xmas Tree (DVXT).

More specifically, the objectives are too:

- 1) Perform a literature survey and, on the basis of this survey, describe:
  - a) Main types of Xmas trees, and main Xmas tree sub-systems and components
  - b) Describe the most important differentiating factors with respect to reliability and availability performance of Xmas Tree Systems (including installation/intervention issued and downhole operations).
  - c) Essential terms, definitions and industry standards for performing probabilistic analysis of subsea tree systems, and describe main methodologies
  - d) Relevant reliability data sources, with emphasize on limitations and applicability in relation to the current topic.
- 2) Conduct a component-level FMECA of a DVXT configuration (excluding control module, see Chapter 1.3).

- a) Develop an understanding of main components with essential functional requirements and criticality and effect resulting from functional failures
- 3) Conduct a Probabilistic Reliability Analysis of the Deepwater Vertical Xmas Tree System
  - a) Bottom-up approach:
    - i) Based on reliability data sources, develop an Xmas Tree specific database containing component reliability data for Xmas Tree components/items.
    - ii) Use this to develop a first pass reliability model for the Xmas Tree System
  - b) Top-down approach:
    - i) View statistics on the high level reliability and availability performance of subsea trees.
    - ii) Seek literature for relevant comparative information.
- 4) Comparative assessment: Derived from a comparative assessment of results, recommend a baseline set of component reliability data, additional model parameters, modifications factors and other refinements as required for calibration of the bottom-up model

### 1.3 SCOPE AND LIMITATIONS

The scope is limited in this context to the XT system and systems that influence the XT system in terms of XT retrieval rate and downtime due to XT failures. Therefore, the control systems with associated monitoring equipment are excluded from the analysis conducted.

### 1.4 RESEARCH APPROACH

The work has mainly consisted of two activities;

1. Acquiring through relevant theory with regard to reliability data (commercially available data and field experience data), applicable standards, reliability theory and XT accidents.
2. Gain detailed knowledge about the XT system and variations within to be able to perform a proper reliability assessment followed by analysis of the system through proven methods from the reliability engineering discipline.

A component-level FMECA have been conducted to evaluate failure modes relevant for the Deepwater Vertical Xmas Tree (DVXT) in order to estimate the Mean Time To Failure (MTTF) through Reliability Block Diagram's (RBD's). The focus is directed to the retrieval rate of trees, found through the RBD's. This retrieval rate is compared to field experience to initiate the process to find a sound strategy to alleviate the distance between the bottom-up and top-down approach.





## 2 THEORY, METHOD AND LITERATURE REVIEW

In this chapter relevant theory, method and literature review are presented. The literature review executed on tree variations and its components are presented in Chapter 3.

### 2.1 RELIABILITY METHOD

#### 2.1.1 FAILURE MODE AND EFFECT CRITICALITY ANALYSIS

A Failure Mode and Effect Analysis (FMEA) is normally the starting point of a systems reliability study and is used as a systematic technique to identify and evaluate potential failure modes in a system and the further effects these failures may have on the system. An FMEA becomes a Failure Mode and Effect Criticality Analysis (FMECA) if the failure modes are ranked in terms of criticality. A FMECA is usually carried out during the design phase to identify possible weaknesses so that corrections and potential extra barriers might be added at a relatively early stage in a project. FMECA is also used for maintenance planning and as a basis for more detailed reliability analysis.

The main objective of a FMECA is to identify areas where improvements are needed to meet safety and reliability requirements. This is achieved through systematic assessment of the likelihood that faults might occur and the severity of the potential consequences. Main elements of the system and their relationship, such as series, redundancy and the like, shall be identified as part of the analysis. Based on the output from the FMECA, effects on system availability and maintenance planning may be established. A FMECA can provide a basis for a more detailed, tailored reliability analysis.

Largely, a FMECA can be divided into several levels of accuracy: The following breakdown structure is used by GE Oil & Gas for subsea application:

- i. Level 1: System-level FMECA with project/application specific conditions and requirements applied.
- ii. Level 2: Subsystem-level FMECA, also with project/application specific conditions and requirements.
- iii. Level 3: Component-level FMECA; with generic component conditions and functional requirements applied.

A FMECA can be conducted both using bottom-up and top-down approach. In a bottom-up approach one evaluates the system by identifying all potential failure modes on a component level and precede upwards in the hierarchy. This is distinct from the top-down approach, where the analysis is carried out by splitting the system into a number of subsystems and then identifying possible

## Chapter 2

failure modes and failure effects of each subsystem based on knowledge of the subsystem's required functions, or from experience from similar equipment.

The top-down approach tends to be more accurate than bottom-up, but also demands input of higher quality, and thus demands more resources. This might be one of the reasons to choose a bottom-up approach.

### 2.1.2 RELIABILITY BLOCK DIAGRAM

A Reliability Block Diagram (RBD) illustrates the functioning of various functional blocks that may secure success, or failure, of a complex system. The structure in the RBD is described mathematically by structure functions, considering redundancy and the like in the system.

RBD's can be utilized to calculate risk values and to identify where the most effective modifications should be included for mitigation of risk.

Credible reliability data may not be obtainable at a system level. In that case the systems or modules may consist of general components such as connectors and frame, where reliability data is more comprehensive.

The RBD method is comparable in certain respects to a fault tree analysis (FTA). The main difference is that the RBD starts out from the system functionality instead of a potential system failure.

## 2.2 RELIABILITY DATA

### 2.2.1 QUALIFICATION AND APPLICATION OF RELIABILITY DATA

The principles from NORSOK Z-016 shall be applied for qualification and application of reliability data. The standard underlines the following principles:

*"The establishment of correct and relevant reliability data (i.e. failure and associated repair/downtime data) requires a data qualification process which involves conscious attention to original source of data, interpretation of any available statistics and estimation method for analysis usage. Selection of data shall be based on the following principles:*

- *Data should originate from the same type of equipment.*
- *Data should originate from equipment using similar technology.*
- *Data should if possible originate from identical equipment models.*
- *Data should originate from periods of stable operation, although 1st year start-up problems should be given due consideration.*
- *Data should if possible originate from equipment, which has been exposed, to comparable operating and maintenance conditions.*
- *The basis for the data used should be sufficiently extensive.*

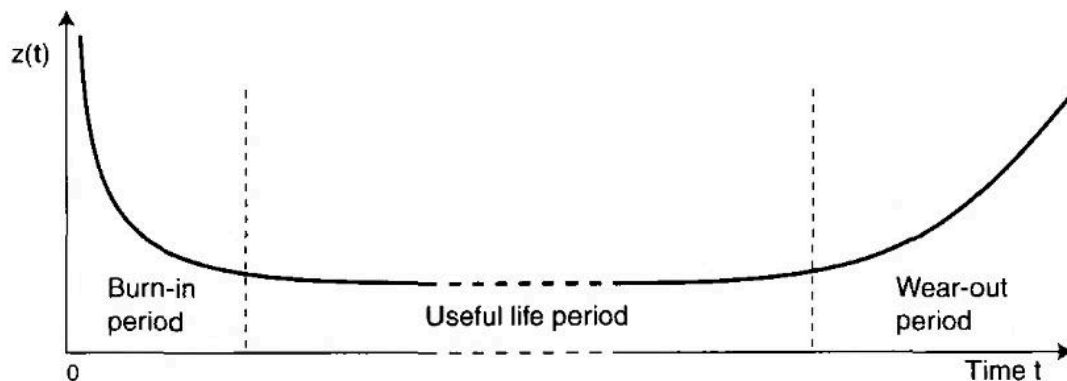
- *The amount of inventories and failure events used to estimate or predict reliability parameters should be sufficiently large to avoid bias resulting from 'outliers'.*
- *The repair and downtime data should reflect site-specific conditions.*
- *The equipment boundary for originating data source and analysis element should match as far as possible. Study assumptions should otherwise be given.*
- *Population data (e.g. operating time, observation period) should be indicated to reflect statistical significance (uncertainty related to estimate/predictions) and "technology window".*
- *Data sources shall be quoted.*

*Data from event databases, e.g. OREDA database, provide relevant basis for meeting the requirements above. In case of scarce data, proper engineering judgement is needed and sensitivity analysis of input data shall be done." (NORSOK Z-016)*

### 2.2.2 FAILURE RATE

According to NORSOK Z-016 a failure is "termination of an ability an item have to perform a required function". The failure rate function express the likelihood that an item that has survived up to time  $t$ , will fail during the next period of time. If the item is deteriorating, this likelihood will increase with age  $t$ .

The failure rate function, expressed by  $z(t)$ , has different shapes during the lifetime of an item. The failure rate is often high in the initial phase. This can be explained by the fact that an item may have undiscovered defects not detected before the item is activated (called burn-in phase or infant mortality period). When an item has survived the burn-in phase, the failure rate often stabilizes at a level where it remains until it starts to increase as the item start to wear-out (Rausand & Høyland, 2004). This is expressed by the well-known bathtub curve, illustrated in figure 1 below.



**FIGURE 1 THE BATHTUB (LIFE) CURVE (RAUSAND & HØYLAND, 2004)**

## Chapter 2

For a technical item for subsea purposes, it is generally recognized that the comprehensive quality testing before installation eliminates most of the infant mortalities. Also, strict maintenance or replacement policies ensure the components to not reach the wear-out period. From these assumptions, it is reasonable to assume the failure rate function is constant and independent of time, in which case the  $z(t) = \lambda$  for subsea technical items (SINTEF, 2009). The failure rate  $\lambda$  is exponentially distributed.

An important implication of the constant failure rate is that an item is considered “as good as new” as long as it is functioning.

Based on the assumption of constant failure rate, the Mean Time To Failure (MTTF), may be calculated as:

$$MTTF = \frac{1}{\lambda}$$

With failure data from identical items that have been operating under the same operational and environmental conditions, the failure rate  $\lambda$  is calculated by dividing the total number of failures by the total time in service:

$$\lambda = \frac{\text{Number of failures}}{\text{Aggregated time in service}} = \frac{n}{\tau}$$

For further details, see (Rausand & Høyland, 2004).

### 2.2.3 OREDA OFFSHORE RELIABILITY DATA HANDBOOK

The main data source for this thesis is the OREDA database, which is the most comprehensive database commercially available. It is a project sponsored by several companies in the oil and gas industry operating multinational. The main purpose of the project is to exchange and collect reliability data from the participants and act as a forum to co-ordinate the reliability data collection within the oil and gas industry.

The database is a generic component reliability database where the participating parties can see the manufacturers and makes of the components represented, otherwise the data available are components classified under groups such as connectors, valves, chokes and the like. The equipment is primarily divided into topside and subsea equipment, but some onshore equipment is also included.

Each equipment class, such as XT or manifold, is defined with a boundary drawing that encompasses all subunits and components belonging to that equipment class. Each failure is linked to the component that failed, reflecting failure modes for the equipment. The failure modes identified are further classified, standard equipment level, sub-unit and component level, as critical, degraded, or incipient:

- *“Critical failure: A failure which causes immediate and complete loss of an equipment/sub-item or components unit’s capability of providing its output.*
- *Degraded failure: A failure which is not critical, but it prevents the equipment unit/sub-item or component from providing its output within specifications. Such a failure would usually, but not necessarily, be gradual or partial, and may develop into a critical failure in time.*
- *Incipient failure: A failure which does not immediately cause loss of an equipment unit/sub-item or components capability of providing its output, but which, if not attended to, could result in a critical or degraded failure in the near future.” (SINTEF, 2009)*

Failure modes of the components are not registered before 3 months of operation in the purpose to eliminate infant mortalities, since the data is exponentially distributed.

With the failure data mainly collected from maintenance records, both component specific failures and common cause failures are included. This also implies that failures such as spurious trips are not included, because such false alarms should not require any maintenance.



## 3 OVERVIEW OF SUBSEA XMAS TREE SYSTEMS AND STATISTICAL REVIEW

### 3.1 INDUSTRY REQUIREMENTS

When a manufacturer is involved in a new project, the first step is to define the requirements and specifications relevant for that particular project. The requirements are specified in the laws and regulations of the countries involved, the standards are stipulated in the customer requirements and in internal standards and requirements within the organization involved.

Subsea production system poses a hazard. It is therefore vital to have standards that give guidance to maintain secure operations and prevent major accidents.

Applicable standards for the XT requirements include:

1. API 6A: *Specification for Wellhead and Christmas Tree Equipment*;
2. API 17D: *Design and Operation of Subsea Production Systems-Subsea Wellhead and Tree Equipment*;
3. ISO 13628-4: *Petroleum and natural gas industries – Design and operation of subsea production systems. Part 4: Subsea wellhead and tree equipment*;
4. ISO 10423:2009: *Petroleum and natural gas industries - Drilling and production equipment - Wellhead and christmas tree equipment*.

Additionally, three standards have been considered for the use of reliability data and for well integrity:

5. ISO 14224: *Petroleum, petrochemical and natural gas industries - Collection and exchange of reliability and maintenance data for equipment*;
6. NORSOK Z-016: *Regularity management & reliability technology*;
7. NORSOK D-010: *Well integrity in drilling and well operations*.

The standards stippled has been reviewed and actively used throughout the thesis to understand and to get knowledge about the XTs and the associated functions and requirements.

### 3.2 ACCIDENT AND STATISTICAL REVIEW

#### 3.2.1 ACCIDENT REVIEW

History shows that uncontrolled release of hydrocarbons has caused several major accidents. Experience from major accidents is an important source of information to prevent similar accidents in the future. Incidents that potentially could have led to a major accident are also important in the preventive work. Unfortunately, incidents with the potential of a major accident are often unreported and well hidden by operators.

A damaged WH or XT is a serious incident, which potentially can evolve into an uncontrolled release of hydrocarbons. An XT may be damaged by external impact, such as dropped objects, trawling activities and anchors or by wear over time or immediately, such as corrosion, internal overpressure, erosion and so on. With subsea WH and XTs being located without immediate well access from a host topside facility, a leakage may cause environmental and commercial impact, but usually no safety impact.

In-field experiences contain information regarding failures that have occurred and the potential consequences. There is a big amount of learning potential in accidents or other unwanted events to improve safety and reliability of a system. As an example, this was demonstrated for the major Macondo blowout in 2010 for the BOP system. Worldwide, the XT alone have not had any failures during production that have led to major accidents. This does not mean that major accidents cannot happen due to XT failures – even more so, with no major accident to learn from or even remember, operators may become inattentive and incidents may occur leading to a major accident due to lack of awareness.



Einar Molnes, in ExproSoft AS, listed these XT and downhole failures that led to accidents in-between 1980 and 2007:

**TABLE 1 BLOWOUT DURING PRODUCTION (1980 - 2007) (MOLNES, 2012)**

Blowout year	Country	Flow medium	Remark
1980	US/ GOM OCS	Oil, gas (deep)	DHSV and two MV's could not be closed and gas was leaking through a needle valve
1980	US/ GOM OCS	Oil, gas (deep)	DHSV and bonnet of the bottom master valve failed
1987	US/ GOM OCS	Shallow gas, water	Poor cement, shallow gas blowout between 13 3/8" csg and the 20" conductor
1987	US/ GOM OCS	Oil, gas (deep)	Tubing to annulus communication for some time, One casing failed, then underground blowout, crater
1989	UK	Gas (deep)	Tubing to annulus communication for some time. Leakage through the 3/3 test port for TH
1989	US/ GOM OCS	Gas (deep)	Experienced an uncontrolled flow from a 3/8" sample fitting in the horizontal run from the wellhead, DHSV failed, used 36 h to close the MV.
1992	US/ GOM OCS	Oil, gas (deep)	Tubing to annulus communication, then casing leak, underground flow only
1998	US/ GOM OCS	Condensate, gas (deep)	Erosion in SCSSV body, then erosion in casing
2007	US/ GOM OCS	Gas (deep)	Ignored annulus pressure for seven months, inner casing failed, fracture at casing shoe

\*Downhole Safety Valve (DHSV) \*\* Master Valve (MV) \*\*\*Tubing Hanger (TH) \*\*\*\* Surface-Controlled Subsurface Safety Valve (SCSSV)

The main causes for the blowouts were disregard for pressure build-up in the annulus and failure of the Downhole Safety Valve (DHSV) and check valve. It shall be noted that none of the events had severe consequences. The releases of gas/oil/condensate were small and there were no ignition of the releases.

## Chapter 3

### 3.2.2 STATISTICAL REVIEW

The suppliers of equipment reflect upon the accuracy of the databases established, such as OREDA.

There is a gap in-between contractors and third party verification when calculating reliability on XT equipment and the like. If reliability is calculated purely based on the OREDA handbook, one typically finds a MTTF for XT retrieval between 15 to 25 years. If one calculates the reliability by meeting the databases with field experience and professional engineering judgement, one applies a more detailed information set and unsurprisingly get another result, tending to give a more realistic reliability picture. Out of a population of XTs, the MTTF may be in-between 100-150 years when looking at a population of XTs spanning more or less 15 years in operation. Of the failure occurring on XTs, GE Oil & Gas, which has initiated this theme for a thesis, has indicated that a Pareto-type rule seems to apply to partition. This mean that the majority (80 %) of the failures can be restored by light intervention means such as Remotely Operated Vehicle (ROV) override, while only the minority (20 %) of the failures would require XT retrieval and thus represents the more costly failures.

There is an understanding among engineers with massive experience on XTs that maximum 1/3 of the XTs that returns to yard/factory for refurbishment are actually caused by XT equipment failures. It is believed that downhole workover operations, sidetrack drilling and the like would cause the majority of XT retrievals. The source for this information is a written, but informal, mail correspondence within chief engineers in GE Oil & Gas based on their experience on trees globally. Although this is not information new calculations can be based directly on, but it is natural to raise the question whether some equipment failures that is included in reliability data collecting tools such as OREDA is a result of failures not yet occurred?

Once the XT is retrieved to the surface due to downhole workover operations, the operators install a spare XT instead of re-installing the originally operating XT. Further, the XT that was operating and functioning is sent to the yard/factory for refurbishment and repaired upon failures not yet occurred. These incidents may have been recorded as XT equipment critical failures in reliability databases such as OREDA, but is not likely to be a significant error source. However, that is misleading information, originating in a lack of interference between contractor, operator and service centers. This is more likely related to inaccurate information on criticality/effect of failure and the resulting activities required to resolve the problem.

There are few (none) public available reports found on this subject. This is not a surprising finding due to confidentiality practices on such issues. A review of Xmas Tree experience provided by GE Oil & Gas is elaborated on in the two

followed sections. These experience data is used for top-down calculations for XT retrieval for comparison of the results on the bottom-up approach conducted on the case study.

### 3.2.3 REVIEW OF XT FIELD DATA PERFORMED IN 1999

A study conducted by Endre Willmann in ABB (now GE Oil & Gas) in 1999 reviewed three different studies evaluating in-field XT experiences, which is elaborated underneath:

#### **UKCS Well Intervention Experience – BP study**

BP conducted an analysis of the subsea operational experiences of UKCS Operators. The survey that was carried out included experiences from 22 subsea fields from 1975 to 1990, from eight operators in the UK Sector of the North Sea. The study was initiated due to doubtful accuracy regarding operating cost estimates for new subsea fields. The survey aimed at providing source operating data in order to improve accuracy of operating cost forecasts for subsea fields.

The study concluded that the average intervention frequency per well year is 0.2, corresponding to a MTBF of 5 years per well.

Out of the subsea fields investigated, the main reasons for the well interventions are:

1. 55% Voluntary interventions for reservoir reasons such as logging, re-perforation, gas lift repositioning and water shutoff.
2. 23% Downhole failure, generally SCSSV replacement (85%), including replacement by wireline set valve.
3. 15% Seafloor failure, normally Xmas tree (50%), Subsea Control Module (SCM) (30%) and pipelines and umbilicals (20%).
4. 7% Consequential failure where workover must be repeated.

By relating the numbers above to the average intervention frequency per well (0.2), indicative MTBF estimates are:

- Downhole failure: MTBF = 22 years
- SCSSV failure: MTBF = 26 years
- Xmas tree replacement: MTBF = 67 years

The tree replacement is calculated based on that all of the tree failures resulted in tree retrieval, because the information was not obtained otherwise. It is a conservative approach to assume that all tree failures resulted in tree retrieval.

Over a period of 646 well years, spanning 15 years of operation, the average frequency of subsea interventions per well year stayed surprisingly constant. No distinct wear-out was identified.

In general, the interventions were carried out in order to improve/restore production of a failing well. Hence, for the subsea equipment, intervention was only registered if the failure that occurred affected production.

### **Xmas Tree Experience – Tordis and Vigdis**

As a part of a Technology Agreement between Sage Petroleum and ABB, a study on Reliability, Availability and Maintainability (RAM) optimization of subsea production systems has been established. The objective was to analyze the collected reliability data from Tordis and Vigdis (now operated by Statoil) and recommend improvements with respect to design, testing, organization and supplier/customer interaction.

For the subsea equipment at Tordis and Vigdis, a total of 31 well years are reviewed with 38 failures recorded, whereof none critical (i.e. no XT retrieval recorded). The data is collected for failures both prior to and during production.

When the study was conducted, Tordis and Vigdis were rather new installations, meaning that the bulk of data collected relates to early-life history.

The study concludes that for the steady-state operation period for the XTs, an MTBF of 46 years can be recommended. The estimate is a 50/50 estimate based on no critical failures experienced from the 31 well years registered.

### **Xmas Tree Experience – Snorre Evaluation**

The XT experience on Snorre was evaluated by looking at data registered for the field in the OREDA IV and III database.

According to the OREDA IV database, the total failure rate for the XT system is 31.3 failure per million hours (fpmh), where 10% (3 out of 31 failures) of the failures are classified as critical.

For the OREDA III database, the corresponding number is 14.26 fpmh, where 13% (2 out of 16 failures) failures were classified as critical.

By combining the OREDA III and IV data, weighting the experienced data to both sets with 50%, yields a critical failure rate of 2.43 fpmh for the XT system. This corresponds to a MTBF of 47 years.

The estimate corresponds to five critical XT failures for the total of 241 well years registered. However, none of these failures required XT retrieval in order to maintain production. The least significant downtime related to these five failures was on an incident related to spurious operation of the Production Wing Valve (PWV), resulting in a one-hour downtime.

ABB summarize the OREDA data registered in the database by:

- *“Failure rate contribution classified by sub system: 52 % valves, 3 % subsea wellhead and 45 % other XT components*
- *Failure rate contribution classified by criticality at equipment unit level: 10 % critical, 45 % degraded and 45 % incipient.*
- *Spurious closure of a WV due to an actuator failure has been registered, yielding a MTBF of 156 years. However, due to scarce experience, this value may well be under/over estimated.*
- *Typical actual repair time for XT failures is in the order of 80-100 hours.*
- *The total problem rate for a XT (incl. uncritical failures) is 31 fpmh (3.6 years MTBF).”*

### **Xmas Tree Experience – Snorre and BP Study**

By combining the OREDA III/IV data and the BP-study data (conservatively assuming all nine critical XT failures did result in XT retrieval) weighting the experienced data to both sets equally with 50 %, yields nine XT retrievals within the total of 887 well years. This corresponds to 1.16 fpmh and a MTBF of 98.6 years.

#### *3.2.4 REVIEW OF XT FIELD DATA PERFORMED IN 2014*

The source for this information is a written, but informal, mail correspondence within chief engineers in GE Oil & Gas, the XT statistics were treated for GE Oil & Gas projects offshore in Angola and four reference fields in the North Sea. This is not information that can be based directly on, but it is natural to raise the question of the amount of XT failures that result in XT retrieval when reviewing the estimated MTTF's.

### **Xmas Tree Experience – Offshore in Angola**

The three reference fields offshore in Angola are posting strong figures for the XT retrieval rate.

For the CVXTs in Angola these are the XTs accounted for:

- |                  |          |                       |                   |
|------------------|----------|-----------------------|-------------------|
| • Ref. Project 1 | ≈ 30 XTs | Average start-up 2002 | ≈ 360 years total |
| • Ref. Project 2 | ≈ 20 XTs | Average start-up 2006 | ≈ 160 years total |
| • Ref. Project 3 | ≈ 10 XTs | Average start-up 2008 | ≈ 60 years total  |
| • Total          | ≈ 60 XTs |                       | ≈ 580 years total |

## Chapter 3

For the last 12 years, approximately 10 XT's has been recovered from these fields in Angola. Whereas;

- Five recovered due to side-track drilling
- One recovered due to leak between the master valve block and the production wing block
- One recovered after installation as the ROV sheared the S.I.V extension rod
- Three recovered as well was being re-spudded

This is groundbreaking good numbers that indicate a MTBF of 580 years. Only 10 % (one out of ten retrievals) of the failures that occurred were due to critical XT failures. 10 % of the other failures were due to downhole failure, whilst 80 % of the retrievals were due to voluntary interventions for reservoir reasons.

### **Xmas Tree Experience – North Sea**

For the four North Sea reference projects, all operated by Statoil, it is installed approximately 75 XT's. During the last four years, 23 XT's have been overhauled for these fields.

More specifically, the overhauls for these three fields the last 4 years are:

- 2010: 5 XT's
- 2011: 5 XT's
- 2012: 5 XT's
- 2013: 8 XT's

Also included in these numbers are unutilized XT's that have been overhauled due to they have been stored since 2000 to 2002.

### 3.3 XMAS TREE FUNCTIONAL REQUIREMENTS

The subsea Xmas Tree (XT) is located on the top of the wellhead, providing an interface between the completion string and the piping towards the process system. At its simplest, an XT can be defined as an assembly of valves and fittings used for production or injection to control the flow of product, chemicals, water or gas from a well. The injection system, production control system, downhole control system and monitoring and flow control system are all systems controlled through the XT assembly.

Typical functional requirements include:

- Control of flow by directing hydrocarbons from the well to the flowline (called production tree) or by canalizing water or gas into the reservoir to maintain reservoir pressure (called injection tree);
- Regulate the fluid flow through a choke;
- Monitor well parameters, such as temperature, annulus pressure, well pressure and flow composition;
- Act as a barrier between the reservoir and the environment;
- Safely open and shut down the fluid flow through the assembly of valves;
- Inject protection fluids, such as inhibitors for corrosion and hydrate prevention, to protect the subsea equipment and to assist the flow;

Each XT is designed for the individual reservoir conditions and for the possible facility solutions available, which means that the configuration, size, weight and cost for a XT will differ from one offshore field to another due to the specific design requirements. The optimum XT will be driven by reservoir requirements and therefore never completely standardized. However, there is a strong trend towards smaller, more compact XTs in the industry.

### 3.4 TYPES AND CONFIGURATIONS OF XMAS TREES

XTs may be segmented into two main types: Vertical Xmas Tree (VXT) and Horizontal Xmas Tree (HXT). The Subsea Engineering Handbook, written by Yong Bai and Qjang Bai in 2012, is the main source for the background information about the trees in the following sections.

#### 3.4.1 VERTICAL XMAS TREE

The conventional XT, which is the VXT, is the earliest and most extensively used XT. A VXT are installed either on a wellhead or on a tubing head, after the subsea tubing-hanger has been installed through the drilling BOP stack and landed and locked into the wellhead or in the tubing head. The production flow path is through the valves mounted in the vertical bore(s) and out of the top of the tree during workover and testing or during production (injection) via the production outlet that branches off the vertical bore (ISO 13628-4, 2010).

The VXT is identified by the location of the production and annulus bore, that is placed vertically through the tree body with the primary valves placed in a vertical configuration. The tree can have a concentric bore or multiple bores. Annulus access may be through the bore or a side outlet in the tubing head, depending on the XT design. Since the tubing hanger system lands in the wellhead or the tubing head, the VXT can be retrieved without having to recover the downhole completion. A typical tree of this type is illustrated in figure 2 and 3.

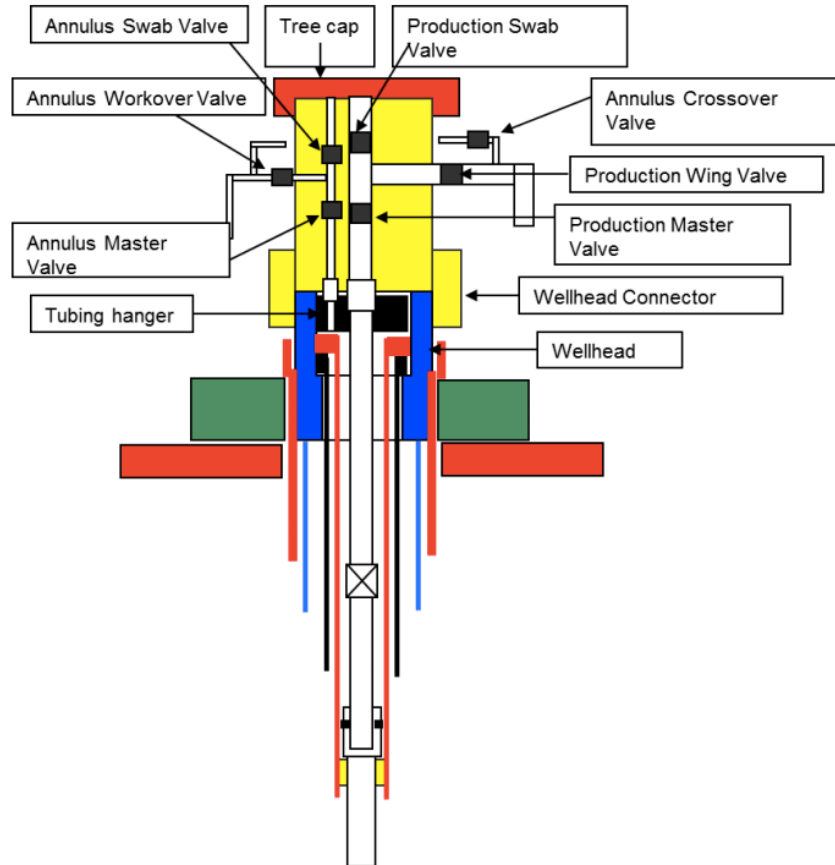


FIGURE 2 GENERAL VXT CONFIGURATION ((ENI), ET AL., 2012)

### 3.4.2 HORIZONTAL XMAS TREE

The other main type of XT is the HXT design, also commonly known as the spool tree. The HXTs are distinguished from the conventional design by the production and annulus valves being routed around the tubing hanger in a horizontal configuration.

One of the key functional features is that the HXT may be installed after drilling and installation of the complete wellhead system, but prior to installation of the tubing completion and tubing hanger. This is due to the tubing completion being performed through the HXT. This feature opens for easier access for well intervention and tubing recovery since the XT does not have to be retrieved to allow removal of the tubing hanger for well intervention and well work-over operations. Hence, the HXTs are especially beneficial for wells that are expected



to have a higher probability of failure in the completion than a failure in the XT itself, or high frequency of well workovers for reservoir management reasons.

Since the XT is installed prior to the tubing completion, the Blow Out Preventer (BOP) stack is landed on top of the HXT and the tubing hanger and tubing completion is run through the BOP and landed off on a landing shoulder in the bore of the HXT. The production flow path exits horizontally through a branch bore in the tubing hanger between seals and connect to the aligned production outlet.

An alternative arrangement is that the tubing hanger and internal tree cap are combined into a single extended tubing hanger system suspended in the HXT. This doubles up on the number of isolation plugs and annular seals for barrier protection and features a debris cap that can also serve as a back-up locking mechanism for the tubing hanger (ISO 13628-4, 2010).

A third configuration, the drill-thru configuration, allow installation of the tree immediately after the wellhead housing is landed, meaning that drilling and installation of the casing strings is performed through the tree, minimizing the number of times it is necessary to run and retrieve the BOP stack.

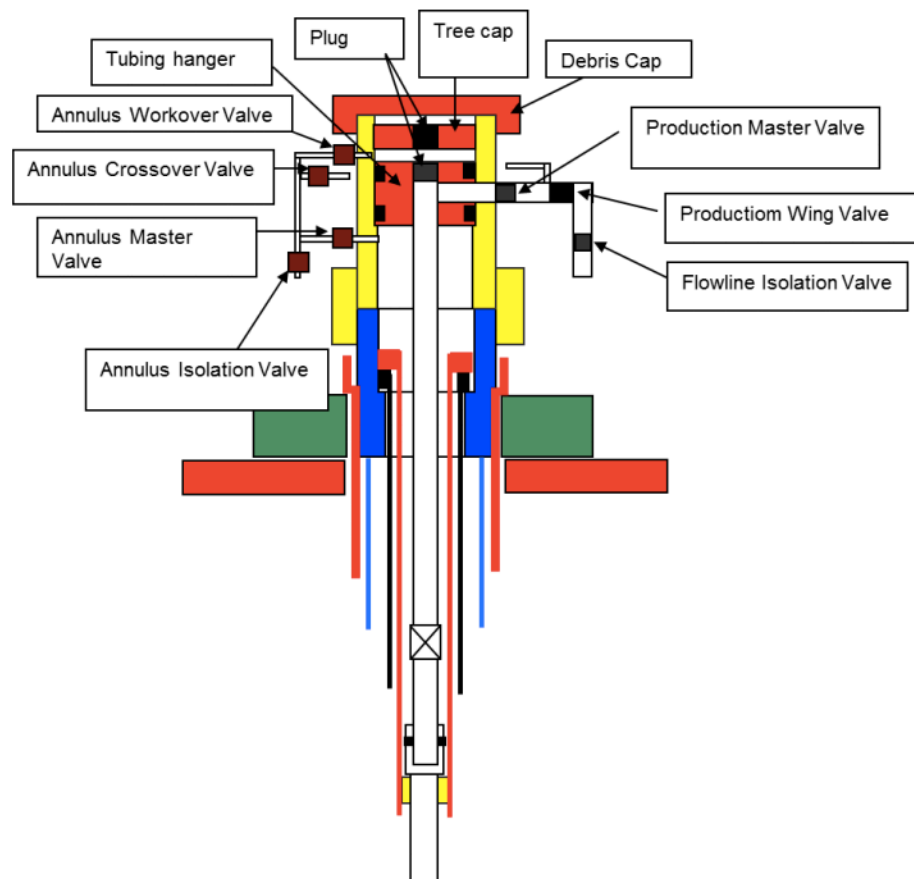


FIGURE 3 GENERAL HXT CONFIGURATION ((ENI), ET AL., 2012)

### 3.4.3 COMPARISON OF HORIZONTAL AND VERTICAL XMAS TREES

An ongoing debate within the XT industry is comparing the relative merits of VXT and HXT systems. For the last 20 years the HXT has been the preferred design for deepwater fields, while in recent years the focus in the industry is returning to the conventional VXT system.

A key requirement when designing a XT is that access to the annulus is enabled between the production bore and the casing. This is an important feature for a number of reasons, such as pressure monitoring and gas lift means. As an example, any pressure build-up in the annulus may be bled into the production bore via a crossover loop.

The original design of the VXT and the Tubing Hanger (TH) were a dual-bore configuration. Prior to removal of the BOP it was then necessary to set plugs in both the production bore and the annulus bore. Access to the bores is handled with a dual-bore riser or a landing string. The handling and operation with dual-bore systems compared to monobore systems are more complex and time-consuming, and then again more costly.

In an HXT configuration access to the annulus is incorporated in the tree design and controlled by valves rather than plugs. This enables operation with monobore systems, which means less complex riser or landing string. Easier access to the annulus enables operations that can deliver significant advantages, particularly in deepwater (White, 2013).

Regarding installation and intervention, both VXT and HXT systems use a landing string to run the completion through the BOP. In the HXT configuration, the tree is normally run on a subsea test tree within the marine riser to carry out a number of critical functions. Once the hanger is landed inside the landing shoulders in the tree, it is critical to ensure communication of electric and hydraulic downhole functions. The TH is landed passively inside the tree without relying on external input using an orientation sleeve.

Before production, after a well is completed, it is common practice to flow the well fluid to the drilling rig to clean up the well or to carry out a well test. For the HXT systems this is carried out through the subsea test tree and a marine riser. The primary function of the test tree ensures that, if necessary to disconnect the rig from the BOP during testing or cleanup, the test tree will close the valves and an emergency disconnect will be performed safely.

In the case of the VXT system, the completion is run on a landing string incorporating a tool that run, lock and orientate the TH. This orientation requires a tool to interface with a pin installed inside the BOP. Once the TH is oriented and installed inside the wellhead, with the understanding that when the tree is oriented and landed on the wellhead, the communication of all electric and

hydraulic downhole functions will function. Well cleanup and testing is then carried out after a dedicated test package and an open-water riser replace the BOP. This test package comprises a Lower Riser Package (LRP) and an Emergency Disconnect Package (EDP), enabling the rig or vessel to disconnect safely in the case of an emergency in the same way as a test tree.

It is worth mentioning that such test packages and open-water riser systems represent considerable capital investments, typically in order tens of million dollars (White, 2013). Test trees can be rented on the open market on a per-day or per-well basis, resulting in a much lower capital investment.

Currently, most tree systems are being installed on cable (tree on wire) and do not require either open water riser or marine riser and subsea BOP. This is dependent on the completion method (Statoil ASA, 2013)

These logics led to the introduction of Tubing Head Spools (THS) for use with a conventional tree, thereby giving many of the advantages earlier only available with the HXTs.

A special configuration is called a Deepwater Vertical Xmas Tree (DVXT) and is the XT used in further analysis in this thesis. The DVXT is elaborated on in chapter 4: Case Study.

#### *3.4.4 SELECTION CRITERIA*

When selecting between a HXT or a VXT for a given project, several considerations have to be made.

If the well is completed before the XT configuration is selected, the design need to be VXT, since the TH already will be installed in the wellhead. If a HXT is preferred, the well cannot be completed before installation of the XT.

Complex wells that will require frequent workovers that require retrieval of the TH, the HXT configuration is beneficial. This means that the HXT is preferred if the well will have a lower reliability than the XT. Conversely, the VXT is preferred for simple reservoirs where the risk of tubing retrieval is low over the life span of the well. Gas reservoirs are an example where well interventions are rarely needed and the VXT should be the preferred design.

It should also be noted that the VXT is larger and heavier than the HXT, whilst the HXT is more expensive. The size and weight of the XT is an important factor since the vessel used for installation and intervention might have a limited moonpool and/or crane.

## Chapter 3

### 3.5 MAIN COMPONENTS OF A XMAS TREE SYSTEM

#### 3.5.1 *GENERAL COMPONENTS OF A XT SYSTEM*

Typical main components in an XT assembly required to perform its functions include:

- Tubing Hanger
- Tubing Head Spool (Not mandatory unless the configuration is a DVXT)
- Tree piping
- Flowline connector
- Wellhead connector
- Valves and fittings
- Choke
- Tree cap
- Tree frame

The components are further described in chapter 4: Case Study: Deepwater Vertical Xmas T.

The tubing hanger, wellhead connector and the valves are described underneath for an introduction to different variations of these components that is not a part of the case study.

#### 3.5.2 *TUBING HANGER*

The Tubing Hanger (TH) system is designed to suspend and seal the downhole tubing. The TH shall be possible to be installed through a BOP stack and locked into the internal landing profile of either the casing hanger in the wellhead, the tree bore or in the THS.

The TH shall provide the means of communication between the XT and the downhole hydraulic and electric functionalities. Wet mate couplers/connectors are located on the top and bottom of the hanger and engage with the XT and the downhole equipment.

### Tubing Hanger Configurations

The TH can be segmented into two types of configurations: monobore and dualbore TH.

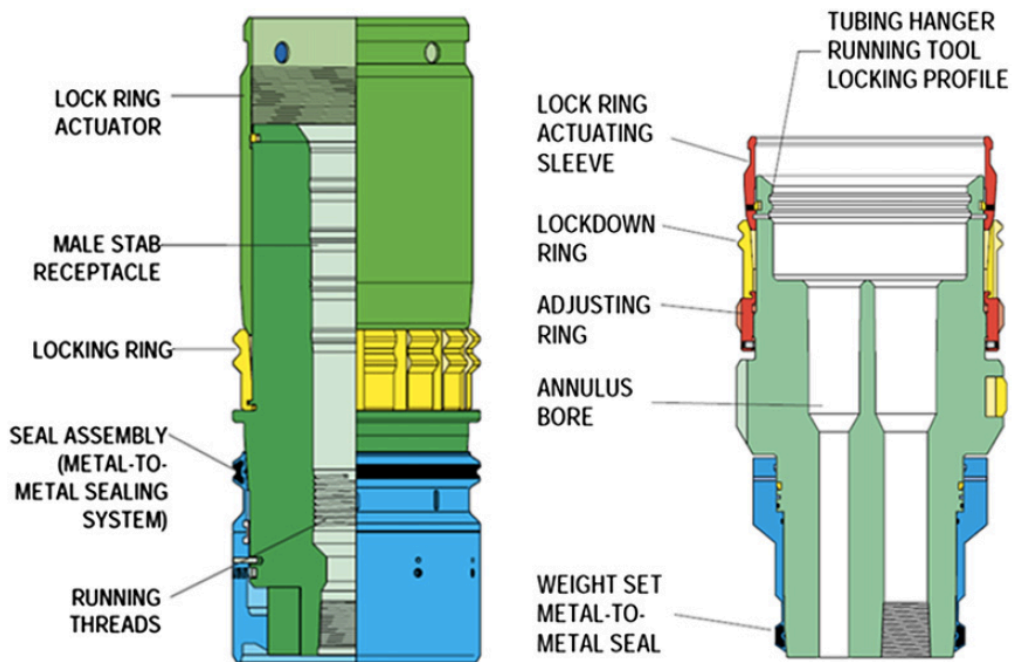


FIGURE 4 MONOBORE AND DUAL BORE TUBING HANGER (BAI & BAI, 2012)

The monobore TH only have a production bore, with the annulus routed around the bore. The dual bore TH is designed with a main production bore and an annulus bore.



FIGURE 5 MONOBORE TUBING HANGER SECTION VIEW (COURTESY OF GE OIL & GAS)

The TH assembly consists of the hanger body, lockdown sleeves, locking dogs, gallery seals, pump down seal, electrical penetrator receptacle, dry and wet mate connector and pup joint. These components ensure that the TH is locked down and communicate with the systems around.

A conventional VXT require a conventional dualbore TH, where the TH is hung off in the wellhead. A dualbore configuration includes a main production bore and an annulus bore.

However, in an HXT the TH is a monobore TH integrated into the XT body. The monobore TH has a side outlet through which the production flow will pass into the PWV. With the TH located inside the HXT it is necessary with crown plugs over the tubing hanger to fulfill the requirements for double barriers. The alternative, with one crown plug requires an additionally internal tree cap.

In the case of the DVXT system, the TH may be either a monobore or a dualbore TH, hung off in the internal profile in the dedicated THS.

### 3.5.3 WELLHEAD CONNECTORS

The wellhead connectors are the mechanism to lock and seal a XT to the WH, XT to the THS and the THS to the WH. The connectors may be both mechanical and pressure connections. If remote operated, it may be hydraulically actuated. Where possible, divers can actuate the screws in the mechanical connections.

It exists two types of tree connectors:

- H4 connector
- Collet connector

The H4 connector is the most commonly used connector. It is a hydraulically actuated connector applicable for H4 type of wellhead profiles.

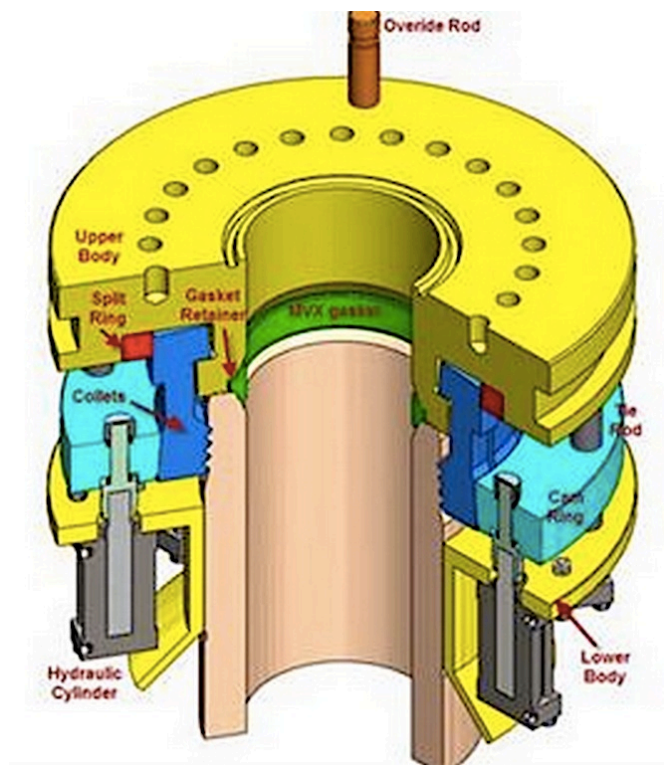


FIGURE 6 H4-CONNECTOR (BAI & BAI, 2012)

The connector is used to land and lock a XT to a subsea wellhead. The tree connectors can be both mechanical and pressure connections together with orientation between the XT assembly and the wellhead.

### 3.5.4 VALVES

Tree valves are designed in the XT assembly to control and safely stop the fluid flow. The various valves are used for servicing, testing and regulating oil, gas, water or chemicals.

The most common type of valves in a XT is a gate valve. Gate valves are operated either hydraulically, mechanically and/or by Remotely Operated Vehicles (ROVs). XT valves should be designed, fabricated and tested in accordance with API 17D, API 6A and API 6D.

All main valves are power-operated fail-safe closed valves, which means that the valves will automatically close if either the signal or the hydraulic control pressure is lost. Swab and control valves are fail “as is” due to production regulations.

All XTs are configured to provide ROV access to the principal main XT valves and isolation needle valves from the ROV panel. ROV interfaces shall be configured per ISO 13628-8.

Typical valve sizes include:

## Chapter 3

- Production and Injection valves (typ. 5-7" gate valves) for controlling the process medium
- Annulus or Injection Valves (typ. 2" gate valves) for annulus access
- Service valves (typ. 3/8" to 1") for chemical injection
- Isolation valves (typ. 3/8 "to 1") for pressure test and downhole lines
- Check valves (typ. ½-1") for preventing back-flow of well fluid to service lines.

### 3.5.5 FLOW CONTROL MODULE

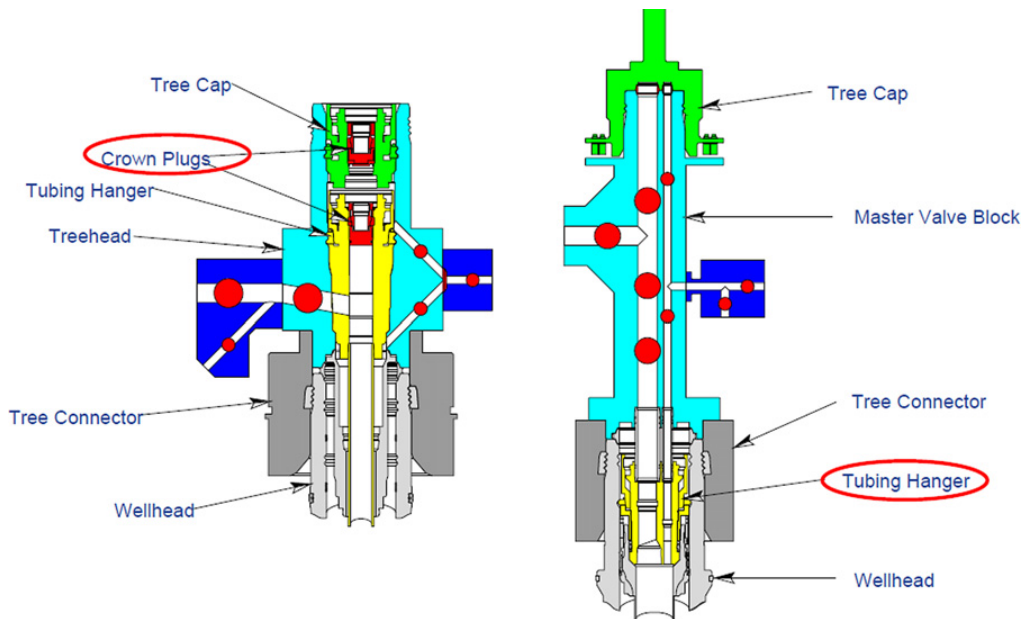
A Flow Control Module (FCM) is often included in an XT assembly. A FCM on the XT enables partly standardization by integrating the custom and field specific components into the retrievable module. This gives the advantage of packing less reliable components into the FCM for easy retrieval, such as the choke, sensors and the Wet Gas Flow Meter (WGFM) (alternatively the Multiphase Flow Meter (MPFM)).

By integrating the flow meter upstream of the choke in the FCM rather than the alternative, which is on a jumper, is an essential feature due to the complex operation required to retrieve the WGFM from the jumper in deepwater.

With the field specific components into the retrievable FCM, the XT can easily be converted from a production XT into an injection XT by switching out the FCM.

### 3.5.6 MAIN COMPONENTS THAT VARY BETWEEN HXTS AND VXTS

The main difference between a VXT and a HXT are the positions of the valves, the tubing hanger system, the tree body, the tree cap and the crown plugs (only utilized in HXTs). This is shown in the figure below.



**FIGURE 7: DIFFERENCE BETWEEN HXT AND VXT (RED DOTS ILLUSTRATE THE LOCATION OF VALVES) (BAI & BAI, 2012)**



### *3.5.7 XMAS TREE-MOUNTED CONTROLS*

The Subsea Control Module (SCM) is a part of the production control system and controls all hydraulically actuated valves and monitoring equipment located on the XT and downhole in the well. In addition, the SCM collects signals from manifold and topside/onshore (FMC Technologies, 2013).

The SCM contains all control valves, hydraulic pressure monitoring transducers and electronics. The SCM is located on XT and/or manifold, depending the field design. The SCM is landed and locked onto the SCM Mounted Base (SCMMB), located on the XT or the manifold body.

ISO 14224:2006 stipulates that the SCM and other control system parts can be considered outside the scope of the XT system or as subunits or maintainable units of the XT system.

## **3.6 XMAS TREE INSTALLATION AND SERVICE CONDITIONS**

### *3.6.1 XMAS TREE INSTALLATION*

An XT can be installed either by a drill-pipe or by a crane through a moon pool at a rig or a vessel, depending on the size of the XT. The vessel may be a jack-up, semisubmersible or a drillship. Both VXT and HXT systems use a landing string through the BOP stack to run the completion.

Typical procedures for installing the VXT and the HXT system are as follows (Bai & Bai, 2012):

## Chapter 3

### VXT:

- Perform pre-installation tree tests.
- Skid tree to moon pool.
- Push guide wired into tree guide arms.
- Install lower riser package and emergency disconnect package (EDP) on tree at moon pool area.
- Connect the installation and workover control system (IWOCS)
- Lower the tree to the guide base with tubing risers
- Lock the tree onto the guide base. Test the seal gasket.
- Perform tree valve functions with the Installation and Workover Control System (IWOCS).
- Retrieve the tree running tool.
- Run the tree cap on the drill pipe with the utility running tool system.
- Lower the tree cap to the subsea tree.
- Land and lock the tree cap onto the tree mandrel.
- Lower the corrosion cap onto the tree cap with a drill pipe (or lifting wires). Some suppliers have developed ROV-installed corrosion caps.

### HXT:

- Complete drilling
- Retrieve the drilling riser and BOP stack; move the rig off
- Retrieve drilling guide base
- Run the Production Guide Base (PGB) and latch onto the wellhead
- Run the subsea HXT
- Land tree, lock connector, test seal function valves with an ROV, release tree running tool.
- Run the BOP stack onto the HXT; lock the connector
- Run the tubing hanger; perform subsea well completion; unlatch the Tubing Hanger Running Tool (THRT).
- Run the internal tree cap by wireline through the riser and BOP; retrieve THRT.
- Retrieve BOP stack.
- Install debris cap.
- Prepare to start the well.

### 3.6.2 SERVICE CONDITIONS

The pressure ratings for XTs are standardized to 5000 psi, 10000 psi and 15000 psi. Recently there are also XTs constructed to apply for 20000 psi (ISO 13628-4, 2010).

Equipment shall be designed according to the material classes and temperature ratings required. These ratings are specified in API SPEC 6A and 17D. For further information, see these standards.

### 3.7 XMAS TREE DESIGN AND ANALYSIS

Each XT design is driven by reservoir requirements, such as type of chemical injection needed. As an example, a gas reservoir is in the need of a constant stream of Mono Ethylene Glycol (MEG) to avoid formation of hydrates, while an oil reservoir require artificial lift methods to be able to recover the full potential of the well as the pressure decrease along with the extraction of hydrocarbons.

For each reservoir, it is necessary to conduct analyses for protection of the equipment. The analyses shall include the means of:

- Chemical injection
- Cathodic protection
- Insulation and coating
- Structural loads
- Thermal analysis

The kind of chemical injection chosen for a well depend upon the reservoir type and the fluid characteristics. The final objective is to be certain that the equipment produces economically from the reservoir to the production facilities throughout the whole lifecycle of the field development.

With the XT assembly constantly being exposed to the ambient sea conditions, it is crucial with sufficient anodes for cathodic protection. Detailed design of cathodic protection shall be carried out in accordance with the recommended practice DNV RP B401.

Thermal insulation is needed to ensure sufficient cooldown time in the event of a production stoppage. The main objective of thermal insulation is to have sufficient time to solve a shutdown problem and avoid the burden of the launching preservation sequence with associated production losses and to avoid dramatic consequences of hydrate formation with associated production losses.

Included in the insulation is a layer of corrosion coating suitable for working pressure, specified by project requirements.

The structures have to be designed so that they withstand internal and external structural loads imposed during installation and operation.

## Chapter 3

According to the standard API RP 17D special XT load considerations should be analyzed for:

- *“Dropped objects;*
- *Marine riser and BOP loads;*
- *Flowline connection loads;*
- *Lifting loads;*
- *Snagged tree frame, umbilicals or flowline;*
- *Pressure induced loads.” (American Petroleum Institute (API), 2011)*

### 3.8 TEST PROGRAM FOR XMAS TREES

Factory Acceptance Test (FAT) shall be executed of all units pre-installation to ensure that the components of a unit and the unit itself satisfy all specified requirements to strength and functional performance (ISO 13628-4, 2010).

All assemblies are required to pass FAT before they are passed to stock, prepared for Extended Factory Acceptance Test (EFAT) or delivered directly to site for installation. Whenever equipment is moved from one site to another it will be subjected to a Site Receipt Test (SRT). The aim is to verify equipment received at site is in the same as before transportation state, with no deterioration occurred during transportation.

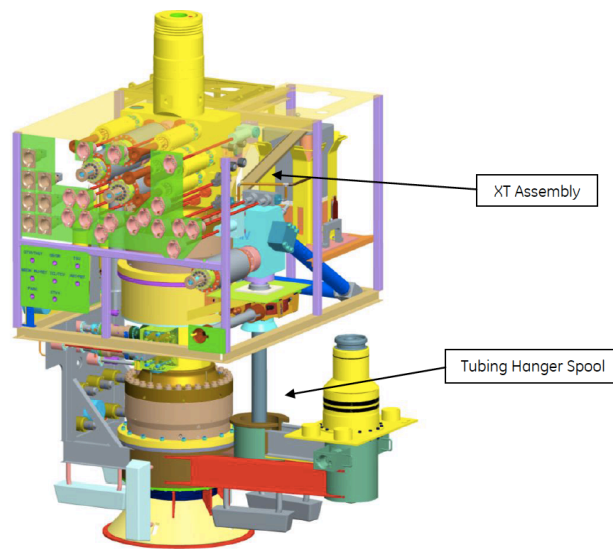
The comprehensive testing prior to installation largely eliminate early-life failure of equipment if executed thoroughly.

## 4 CASE STUDY: DEEPWATER VERTICAL XMAS TREE

This chapter presents the base case study that is the foundation for the analysis conducted in this thesis.

### 4.1 DESCRIPTION OF A DEEPWATER VERTICAL XMAS TREE

The Deepwater Vertical Xmas Tree (DVXT) consists of a VXT completed on a dedicated THS (also known as a tubing hanger spool). The THS represents the intermediate connection between the wellhead and the XT assembly, with the TH landed off in landing shoulders inside the THS.

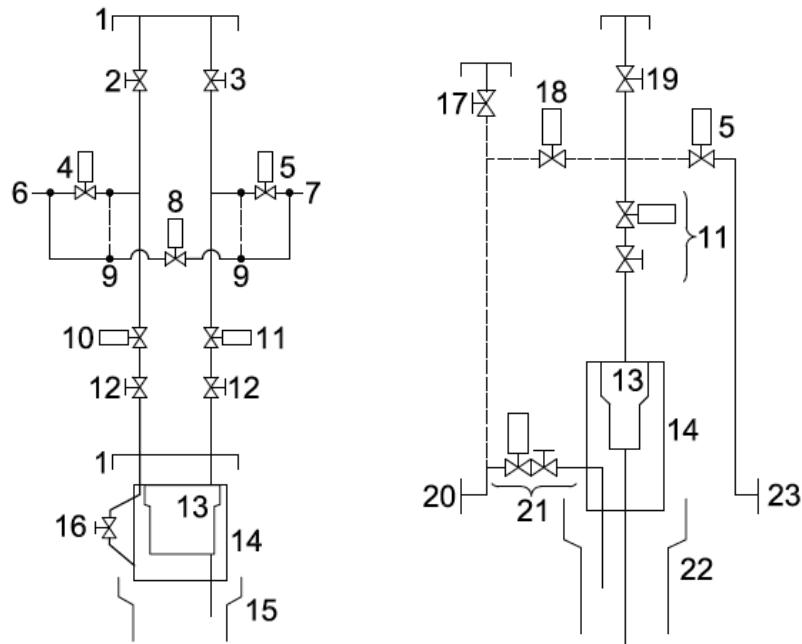


**FIGURE 8 VXT COMPLETED ON A THS (COURTESY OF GE OIL & GAS)**

The evolution by this concept lies in the THS. The THS is similar to the body of a horizontal tree, without the production outlet. Broadly, it carries out functionalities before only available in the HXT configuration, including passive TH orientation, positioning of the tree prior to landing, annulus isolation and the use of a subsea test tree in relation to a vertical tree.

Annulus isolation valves are mounted on the THS, instead of in the XT, enabling the annulus isolation. This opens for retrieval of the XT independently from the THS, eliminating the need of plug installation during retrieval.

The THS allow for concentric mono-bore design, allowing subsea BOP and marine riser to be landed on the XT. This means that the BOP and marine riser can be used along with a landing string (THRT) for installation and/or interventions similar to the HXTs for intervention efficiency. Dependent on the completion method, the XT is installed on cable (XT on wire), open water riser or marine riser and subsea BOP. Additionally, the THS opens for flexibility during completion in such a way that well jumpers/flowlines can be installed prior to the completion of the well. This enables retrieval of the XT without having to disconnect the flowlines.



**Key**

- |  |   |
|--|---|
| 1 CAP  | 13 tubing hanger                              |
| 2 ASV (manual or failed closed or optional plug) | 14 tubing head                                |
| 3 PSV (manual or failed closed or optional plug) | 15 wellhead                                   |
| 4 AWW  | 16 annulus isolation                          |
| 5 PWV  | 17 optional ASV (WOV or AAV) (manual or hyd.) |
| 6 annulus  | 18 optional XOV                               |
| 7 production                                     | 19 PSV  |
| 8 XOV  | 20 to umbilical line or service line          |
| 9 option   | 21 annulus valves                             |
| 10 AMV   | 22 wellhead                                   |
| 11 PMV   | 23 production line                            |
| 12 optional master (manual or hyd.)              |   |

**FIGURE 9: SCHEMATIC DESCRIPTION OF A VXT COMPLETED ON A THS (DUALBORE CONFIGURATION TO THE LEFT AND MONOBORE TO THE RIGHT) (ISO 13628-4, 2010)**

The main arguments to choose this alternative are reduction in rig time, reduction in weight and envelope size, it allows for a less expensive choke, ease of instrument maintenance and better weight balance for the tree module resulting in less vibrations on the equipment.

#### 4.2 BASE CASE DEFINITION

The DVXT configuration in the base case is a concentric dualbore XT design (7" x 2") completed on a dedicated THS with a standard valve configuration to perform basic operability functions for a gas reservoir. Sequentially, the production equipment requires constant injection with MEG to avoid the formation of hydrates.

All specific field components are located in the separately retrievable FCM.

Monitoring equipment is located in the XT and the FCM to notice alterations in the system.

### 4.3 BOUNDARY DEFINITION

A reliability analysis benefits from a thorough study of all parts of the XT system. One must still set priorities to perform an expedient analysis also with regards to use of resources.

The system boundaries for the analytical part are defined as:

- The valves and equipment of the production XT
- The valves and equipment of the FCM
- The valves and equipment of the THS
- TH
- Wellhead (WH)

The SCM has been ruled out for the scope of this assessment. That is to achieve predictability in the results. The SCM cause noise in the data set so that the focus is removed from the XT itself and may complicate the fault isolation. Thus, the SCM and the associated monitoring equipment are beyond the scope of this work.

The WGFМ can both be permanently installed or separately retrievable in the XT. In this case the WGFМ is assumed permanently installed in the FCM and it is therefor included in further analysis.

Also, the Subsea Control Module Mounted Base (SCMMB) that is located at the XT body is included due to the possibility of XT retrieval if it fails.

## 4.4 KEY SYSTEM ELEMENTS AND CHARACTERISTICS

### 4.4.1 GENERAL

#### General Field Specification

The proposed tree equipment is selected to comply with the specific field specifications as following:

Design Life	30 y
Production Fluid	Gas/Condensate
Water depth (min)	130 m
Water Depth (max)	700 m
Sea Temperature	6 °C
Max Flowing Well Temperature	155 °C
Min Flowing Well Temperature	-18 °C
Tree rated Max Wellhead Pressure	69 MPa (10000 psi)

**TABLE 2: KEY TECHNICAL DESIGN DATA**

#### Production Control System

The production control system with associated monitoring equipment.

##### *Subsea Control Module*

The production control system provides the means of controlling all monitoring equipment and valves. The Subsea Control Module (SCM) performs control functions and gathers data from internal and external sensors located in the XT and in the well.

The SCM is landed and locked to the Subsea Control Module Mounted Base (SCMMB), located on the XT body.

In the event of loss of hydraulic power with the XT production system, all main valves are designed to Fail-Safe Close (FSC). Upon loss of electrical power, the SWV fail-safe close. These functions allow complete shut-in of the system until repaired. By loss of communication, the valves are closed with a less automated process, demanding a bleeding of pressure prior to shut-in of the well controlled from topside.



### *Monitoring Equipment*

Instrumentation will consist of dual Pressure Temperature Transmitters (PTTs) in the following locations:

1. Production bore, upstream for the Production Choke Valve (PCV):  
Between Production Master Valve (PMV) and Production Wing Valve (PWV).
2. Production bore, downstream for the PCV in the FCM.
3. Annulus bore: Between Annulus Master Valve (AMV) and Annulus Wing Valve (AWV).
4. On the MEG Chemical Control Valve (CCV) in the FCM.

Alterations such as not maintained pressure indicates a leak in the system and should be investigated immediately.

A WGFM, located upstream for the PCV in the FCM, shall provide measurement of the flow composition of water, gas and condensate that is vital information for flow assurance and reservoir management. The WGFM can be either permanent on the XT assembly or a separately retrievable component, depending on the field design.

Failures of sensors and selected monitoring equipment do not necessarily result in an active intervention, since the measuring and monitoring often can be achieved through alternative means. Therefore, the sensors are beyond the scope of this assessment. When not assessed within the scope of the tree system, they should be included in analysis for the production control system.

### **System interfaces**

Within the analysis of the system failure modes, the production XT system has been configured into four main subassemblies for the failure mode identification process. To provide the full reliability picture of the XT system, the TH, THS system and the flowline jumper connection is added in the analysis, which means that the four main subassemblies are the THS, the TH, the production XT and the FCM. Each subassembly is elaborated in the following sections.

#### *4.4.2 TUBING HEAD SPOOL*

The THS connects the XT by means of a 18- $\frac{3}{4}$ " H4 mandrel to connect up with the XT H4 connector and a H4 connector down to interface with the wellhead 18- $\frac{3}{4}$ " H4 mandrel.

The THS housing carries the production spool connecting the XT to the production jumper. Installation of an isolation sleeve into the bore of the THS allows drilling operations to be performed while it is installed on the wellhead.

## Chapter 4

The THS mandrel body has a concentric bore to facilitate the TH installation. Housed in the THS are two Annulus Isolation Valves (AIVs) set in series to isolate the annulus when the XT is not connected to the top of the spool.

The THS is run subsea on wire using the TRT with the assistance of a ROV. A funnel down is designed into the frame to allow guidelineless installation onto the wellhead.

### *4.4.3 TUBING HANGER*

The Tubing Hanger (TH) system is designed to suspend and seal the downhole tubing. The TH shall provide the means of communication between the XT and the downhole hydraulic and electric functionalities. Wet mate couplers/connectors are located on the top and bottom of the hanger and engage with the XT and the downhole equipment.

The tubing hanger system is a conventional dual bore configuration (7" x 2") rated for 69 MPa (10000 psi) and is installed and tested via marine riser and subsea BOP stack along with a landing string (the THRT). The tubing hanger is landed off in the THS in a lockdown profile, as illustrated in Figure 10. Once the metal-to-metal contact seal is set, the THRT drives the locking dogs into mating grooves in the THS. The TH cannot be unlocked without the assistance of a THRT.

Downhole hydraulic lines, chemical injection mandrel and Surface-Controlled Subsurface Safety Valve (SCSSV) lines will penetrate through the TH system. The THRT provides the means to run, retrieve, orientate, lock and unlock the TH in the THS. It also provides downhole communication through hydraulic and electrical connections to downhole sensors and valves.

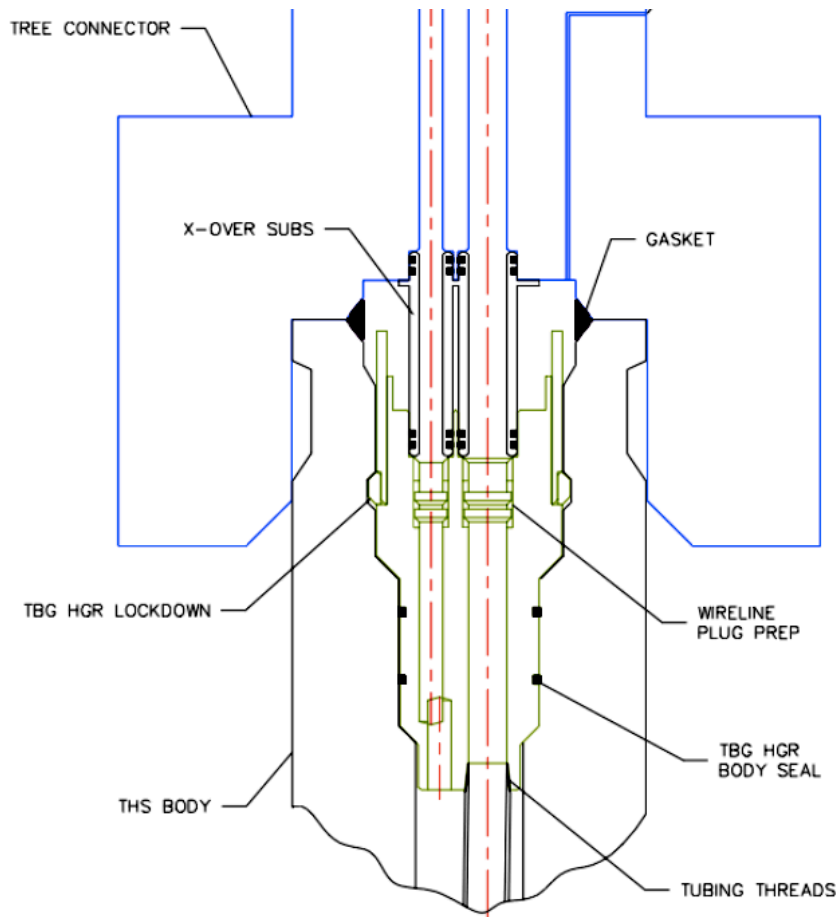


FIGURE 10 DUAL BORE CONFIGURATION TH INSTALLED WITHIN THE THS ((ENI), ET AL., 2012)

#### 4.4.4 PRODUCTION XMAS TREE

The XT design is configured using a 7" nominal concentric production bore and a parallel 2" nominal annulus with a 69 MPa (10000 Psi) working pressure. The valve arrangement is per traditional conventional tree configuration with Master and Swab Valves located on the main production and annulus bore.

The base case of the production valves located in the production XT body is:

- Production Master Valve (PMV)
- Production Wing Valve (PWV)
- Annulus Master Valve (AMV)
- Annulus Wing Valve (AWV)
- Annulus Vent Valve (AVV)
- Crossover Valve (XOV)
- HP MEG Isolation Valve (MIV1)
- Chemical Isolation Valve (CIV) x2

The XT body is designed to interface with an H4 connector (18- $\frac{3}{4}$ ""). A VX gasket located at the bottom of the treehead interfaces with the TH mounted in the THS. The dedicated THS allows the XT system to be compatible with guidelineless operations. All XT connectors are tested by the VX gasket test to ensure operability.

A wing block, bolted on the master valve block, contains the PWV and a High-pressure MEG Injection Valve (MIV1). High-pressure MEG with low dosage is injected into the production tree, while low-pressure and high dosage is injected into the FCM.

The tree frame provides protection to the tree components from external impact. The frame funnel down provides the means of initial guidance and alignment of the tree to the THS. The tree is orientated by using fins located on the THS for accurate alignment of the tree coupling.

### **Production XT Valves**

All XT valves and stab will be designed using metal-to-metal seals. Testing of all seals and valves are required prior to installation. The main valves will have ROV override. If leakage occurs between seals, the seals might be repairable by ROV action. Such ROV actions include cleaning and filling to prevent leakage.

The main valves explained in a brief:

- Master valve (MV): Used to completely shut down the production tubing and/or the annulus. The Production Master Valve (PMV) is situated between the production bore and the wellhead, while the Annulus Master Valve (AMV) is situated at the bore into the annulus. The AMV is used to shut down any injection or production in annulus, i.e. gas lift and pressure monitoring.
- Wing Valve (WV): Controls the production of hydrocarbons (PWV), the injection of fluids or gas for reservoir control or the annulus bore (AWV). If necessary to shut down the fluid stream through the well, the wing valves are the first valves to close. The WVs is located downstream for the PMV and the AMV respectively.
- Crossover Valve (XOV): Allows communication between the annulus and the production bore via a crossover service line, which is normally isolated. An XOV may be used for fluid passage for well kill operations or to overcome obstructions caused by hydrate formation and pressure build-up.
- Annulus Access Valve (AAV): Used together with the AMV to equalize the pressure between the upper and the lower space of the tubing hanger during normal production.

Additionally, these valves are utilized in the XT case:

- Swab Valves: The Production Swab Valve (PSV) and Annulus Swab Valve (ASV) are used to control access to the wellbore when interventions in the well are necessary, to perform safe re-entries into the tree and into the well. They are located on the vertical bore above the wing valves and isolates the production flow from the tree cap. The SV's are fail "as is".
- Check Valves (CV): Prevent back-flow of well to service lines.
- Isolation Valves (IV): utilized for pressure test and downhole lines.

### **Tree Cap**

An ROV operable tree cap provides the secondary barrier during operations. It is designed to prevent fluid from leaking into the environment and to protect the equipment against dropped objects that may cause injury to the equipment.

The tree cap is landed and locked into the tree head via dog interface in the production bore.

#### *4.4.5 FLOW CONTROL MODULE*

A retrievable FCM will be designed with given specific field components. The module is landed on the XT frame and connected by a multibore hub connector. All required hydraulic and production lines are connected in the same joining. The production flowspool is routed from the XT to the FCM, returns back to XT again in the common multibore connector, and continues through the XT to the THS connector. To complete the production flowloop the spool is routed from the THS connection to the THS mounted flowline jumper connection.

The valves mounted in the FCM include:

- Production Choke Valve (PCV): Flow control device located downstream for the PMV and the PWV. It is used to minimize choking across the valves during start-up and shutdown of the well. It is an exposed component to failures such as sand erosion, debris and degradations. A hydraulic stepping actuator controls the choke valve, mounted on the choke body.
- MEG Isolation Valve (MIV2): Safely stops and opens for MEG injection.
- MEG Control Valve (MEGCV): Flow control device for MEG injection located upstream for the MIV. It inhabits the same functions as choke valves.
- Sacrificial Wing Valve (SWV): Safely stops and opens for the production flow. It is a sacrificial valve for the PWV and the PMV. If the flow in the production bore is being stopped, the SWV will close first to relieve the PWV of wear.

The monitoring equipment located in the FCM is described in section 4.4.1. The monitoring equipment is considered outside the scope of the assessment, but is presented in the case in order to present the full picture of the tree equipment.

Failures of sensors and selected monitoring equipment do not necessarily result in an active intervention, since the measuring and monitoring often can be achieved through alternative means. Also, if the monitoring equipment is considered critical for a tree, the monitoring equipment is made redundant to the point that it can be considered close-to negligible. Therefore, the sensors are beyond the scope of this assessment. The WGFM is included in further analysis, because it is a complex component that contributes significant to failures.

### *4.4.6 XMAS TREE INSTALLATION AND WORKOVER CONTROL SYSTEM*

The tree has a concentric dual-bore design, allowing subsea BOP and marine riser to be landed on the XT. This means that the BOP and marine riser can be used along with a Tubing Hanger Running tool (THRT) for installation and intervention. After landing the XT onto the THS, the XT connector is locked via ROV hot stab.

A Workover Control System (WOCS) is assumed to be configured so that it may operate all workover control functions for intervention means.

## 4.5 MAIN ASSUMPTIONS AND LIMITATIONS

### *4.5.1 ANALYSIS LEVEL*

The overall level of the analysis performed is a specific reliability analysis for components in the tree system, including systems such as TH and THS, to provide the full reliability picture for the XT production system.

The analysis level considers the steady-state operational mode, i.e. a constant failure rate. This means that the infant mortality is assumed eliminated and that the equipment will not reach the wear-out phase (ref. ch. 2.2.2).

### *4.5.2 OPERATIONAL PHASES*

The focus of the analysis is on the operational phase, with limited focus on the installation and intervention phase of the XT system. Even so, it is essential to identify installation/ intervention means when conducting the FMECA.

### *4.5.3 ANALYSIS ASSUMPTIONS*

#### **Operator errors**

Any failure caused by inappropriate actions by the operator, such as failures caused by inadequate operation and handling of the system, are not included in the analysis.

#### **Reliability data**

The reliability data for the bottom-up approach are from OREDA-2009. See Reliability Data. It should be noted that use of OREDA-2009 handbook is deliberately selected as single source for reliability in the current study. The

reliability data used is listed in Attachment A. Mobilization and repair data are listed in Attachment B.

**Failure Criticality assessment**

For the failure criticality assessment, assumptions have been made to evaluate proper criticalities of the components in the XT assembly.

The assumptions for the failure criticalities, for the system as a whole and for components are listed in Chapter 6.1.1.

## 5 RELIABILITY ANALYSIS APPROACH APPLIED TO CASE

### 5.1 XT FAILURES

A component has failed at the instant it stops to perform its required function. Due to the operating environment of a XT, failures may occur either sudden or gradually. As an example, complete loss of flow control capability in a valve may occur due to actuation system failure due to spring breakage. Degradation can be leakage through a valve in closed position due to erosion/debris of the valve stem. This occurs gradually over time before it escalates to complete failure unless sufficient maintenance/replacement is conducted. Sudden failures usually occur without warning and can cause complete loss of function with significant financial, environmental and operational consequences.

The most crucial failure of the XT assembly is external leakage. A leakage would cause contamination of environment and commercial losses. It may also result in seawater entering the system. Seawater contains salt that increase corrosion, which is crucial failure in itself and may lead to a series of new problems.

Four identified events leading to damage of WH and XTs, and which may develop into external leakage/entering of seawater, are further described below:

- Leakage in valves: Valves are mechanical instruments that are weak points. Leakage may occur due to heavy structural loads or mechanisms acting over time, such as corrosion and erosion.
- Leakage from endpoints in the system: The endpoints are considered as structural weak spots where leakage could possibly occur.
- Leakage from annulus: If the pressure builds up in annulus, hydrocarbons may enter annulus and create wellhead leakages.
- Internal overpressure: If the DHSV is closed during injection of chemicals, it may result in increased pressures in the annulus that exceed the XT design pressure (10,000 psi).

Failures of XT components are unlikely to cause a large quantity of leakage (ref: Chapter 3.2.1: Accident Review). Potential leakages are limited by quick response through the valve and sensors on the XT, so the main consequence of a potential failure is on production availability and thereby economic losses.

The failure effects of potential component failures must be significant if they are to be included in a risk analysis, in terms of safety, environmental consequences, production loss, or maintenance costs. Reliability data or operating experience from the actual part, or similar parts, must be obtainable.

An important step of identifying failures for the components in the XT is to specify consequences or effects of equipment failures. Due to general level of



uncertainty and complexity, it is necessary to state several assumptions. The failure modes for the equipment applied to the case study of the DVXT in this study is elaborated in ch. 5.4.2.

The effect of component failures on the total system varies, from shutdown of the well to negligible or no effect (as a result of redundancy or low equipment criticality). Some failures cause immediate shutdown of the affected well, some failures lead to production hold due to shutdown during repair; while some failures have no observable impact on production.

Concerning the component failures that require shutdown of the well, the intervention means of different failures may result in extensively different downtimes, as elaborated on further underneath.

### 5.2 WELL INTERVENTION MEANS

Well intervention can be segmented into light and heavy intervention. Both require the services of a vessel or a rig. The subsea well interventions are costly, especially in deepwater. The vessel has to be rented and mobilized to the site together with equipment for repair. Outside planned interventions this may take months. Generally, unplanned stoppage of equipment result in high equipment downtime, high cost of repair, extensive repair time and high penalty associated with loss of production.

The mobilization and repair data is given detailed in appendix B, provided by GE Oil & Gas. The data will depend on how contracts/field are organized particularly related to intervention and service. Consequently, the maintenance characteristics assumed is based on approved information from previous relevant projects and studies conducted by GE Oil & Gas.

Equipment failures in the XT assembly can be segmented into two types of repairs reflecting extensively different downtimes:

- Failures that demand XT retrieval;
- Failures that is repairable by light intervention means such as ROV remedial actions and replacement of light modules such as choke insert, SCM, CCV or FCM.

#### 5.2.1 HEAVY WORKOVER

Heavy workovers can be defined as extensive operations that require the services of a Mobile Drilling Unit (MODU). Such operations include retrieval and replacement of the XT, TH and THS. The mobilization time of a MODU typically vary between 120 to 240 days, reflecting in-between 4 to 8 months of downtime before it is possible to initiate retrieval of the XT. When the MODU is mobilized, the XT replacement requires between 5 to 10 days.

## Chapter 5

### 5.2.2 LIGHT INTERVENTION

Light interventions for the XT assembly include failures that are repairable by ROV operations. ROV actions are a less extensive maintenance operation, mainly due to the absence of a MODU. ROV repairable failures require the services of a Light Intervention Vessel (LIV) or a ROV Service Vessel (ROVSV) depending on the repair.

As a simplification for the reliability analysis, all failures that do not require tree retrieval is assumed to require the services of a Multipurpose Service Vessel (MSV) to cover ROV operations in addition to retrieval of light modules.

The mobilization time of a MSV is typically mobilized within 20 to 30 days. The repair time for simple ROV action would typically take half a day. Retrieval of light modules normally takes between a half and one day.

### 5.3 FAILURE CRITICALITY CLASSIFICATION

The importance of a given function or component depends on the systems ability to function without it if it fails. Failures that occur have different effect on the systems ability to function and its consequences may vary from staying unnoticed in the system, weaken the system or cause downtime for the whole system. A measure can help rank the components and the subsystem based on their effect on the system if they fail. This is an important step before initiating a FMECA to understand the system effects that loss of a function or component will have on the overall system.

Both the system functions and the components have been classified according to the table underneath, provided by GE Oil & Gas.

**TABLE 3 FAILURE CRITICALITY CLASSIFICATION (PROVIDED BY GE OIL & GAS)**

Failure type	Failure type definition	Implementation in analysis
Type AE	Critical failures resulting in immediate shutdown of affected equipment for operational, environmental or safety reasons. Critical for the environment.	Immediate loss of production and immediate mobilization of required intervention resources. Delayed production re-start if detected during installation/intervention mode.
Type A	Failure with immediate impact on production. Support mobilization and repair are to be initiated immediately.	Immediate loss of production and immediate mobilization of required intervention resources.
Type B	Failure has no (or temporary) impact on the production so production continues at full potential. Support mobilization and repair are initiated immediately. If type A failure is detected during workover, no mobilization time results in type B and not type A failure.	Immediate mobilization of required intervention resources. None or partial loss of production while waiting for mobilization. Include all failure modes detected during testing/installation/intervention.
Type C	Typically failure of redundant item. Production continues at full potential. Repair is initiated when resources are available on site for any other reason.	Intervention not necessary until opportunity maintenance, no additional downtime assumed.
Type NC	Failure has no impact on production and operability is not jeopardized. Production continues at full potential. Repair is initiated when resources are available on site for any other reason.	No impact.

**5.4 FAILURE MODE EFFECT AND CRITICALITY ANALYSIS**

Equipment-level FMECA was conducted to identify the impact of component failures on the XT performance. This was done through evaluating equipment failure modes, identifying causes, safeguards and ranking these in terms of the criticality. The work sheet used for the FMECA is shown in Table 4 and a description of the columns in Table 5.

Each failure mode has been evaluated in terms of worst potential consequences and hence a severity classification has been assigned. Failure events have been classified according to three main consequence categories, that is to impact:

- Productivity (O)
- Environment (E)
- Safety for human life & health (S)

**TABLE 4 FMECA WORKSHEET**

Description of component				Description of potential failure				Potential Failure Effect		Corrective action (IMR)	Existing safeguards	Occurrence	Severity		Criticality	Comments
FM ID	FM Code	Component	Device Function	Operational Mode	Potential Failure Mode	Potential Failure Cause	Failure Detection Method	On the subsystem	On the overall system				O	E		

**TABLE 5 FMECA COLUMNS EXPLANATION**

<b>FM ID</b>	Reference to the system to the component that is to be analyzed
<b>FM Code</b>	Systematic reference to the critical events being analyzed
<b>Components</b>	The name of the component
<b>Device Function</b>	Overall function, or subfunction, of the component being analyzed
<b>Operational Mode</b>	The mode the component can be in for a specific failure to occur
<b>Potential Failure Mode</b>	The effect by which a failure is observed on the failed item
<b>Potential Failure Cause</b>	The potential failure cause, such as external impact or environmental wear
<b>Failure Detection Method</b>	Hidden or evident, or more exact by pressure test, visual inspection
<b>Effects on the Subsystem</b>	The effect of the potential failure mode on the equipment
<b>Effects on the Overall System</b>	The effect of the potential failure on the overall system
<b>Corrective Action (IMR)</b>	How to fix the failure
<b>Existing Safeguards</b>	Risk reducing measures already in place to avoid the failure mode
<b>Occurrence</b>	Failure rating for the potential failure mode for the specific component, see table X
<b>Severity Ranking</b>	Severity ranking to operational, environmental and human risk, see table X
<b>Criticality</b>	Occurrence x Severity
<b>Comments</b>	Possible comments

5.4.1 RISK EVALUATION OF THE COMPONENTS

If subsea oil spill occur, the environmental consequences may be severe. The consequences of such a spill are depending on factors as release duration, weather conditions and mitigation actions. The spill and its effects may impact personnel, equipment, population in nearby residences and the environment.

The consequence matrix used for the FMECA analysis is shown below:

TABLE 4 SEVERITY MATRIX (PROVIDED BY GE OIL & GAS)

		Consequence Matrix (Severity)		
		Human Safety	Productivity Impact	Safety/Environment
			Single Well	
Consequence	Major	Multiple Fatalities		Permanent damage communicated by national/ international media
	C5			
	Significant	Single Fatality		Major extended duration full scale response. Communicated by national/ international media
	C4			
	Minor	Lost Time Injury	> 2 months restoration time	Serious significant resource commitment. Oil spill response. Communicated by media.
C3				
Minor	Medically Treated	< 2 months restoration time	Moderate limited response of short duration. Oil spill response, communicated by media.	
C2				
Minor	First Aid Injury	< 2 weeks restoration time	Minor/ little or no response required.	
C1				

Chapter 5

Each failure mode is further classified into five quantitative classes (F1 to F5) as defined in:

**TABLE 5 PROBABILITY MATRIX (PROVIDED BY GE OIL & GAS)**

Probability Matrix (Occurrence)					
		Level	Descriptor	Typical MTBF	Typical $\lambda$ (fpmh)
Occurrence	F5	Highly Probable - Very Likely to Occur	The event is expected to occur as there is a history of regular occurrence within industry	1 yr	$\approx 114,155$
	F4	Probably - Likely to Occur	There is a possibility the event will occur as there is a history of occurrence within industry	10 yrs	$\approx 11,416$
	F3	Possible	The event may occur at some time	100 yrs	$\approx 1,142$
	F2	Rare - Unlikely to Occur	Not expected, but a slight possibility it may occur at some time	1000 yrs	$\approx 0,114$
	F1	Remote - Very Unlikely to Occur	There is an extremely remote chance that the event might occur but it probably never will	10000 yrs	$\approx 0,011$

Where available data are not applicable on the failures described, a subjective assessment of the potential of occurrence based on the category definitions has been conducted.

The criticality of each failure mode is plotted in a criticality risk matrix for display of the associated risks. The criticality matrix contains the failure consequences (C1-C5) along the Y-axis and the failure frequencies (F1-F5) along the other.

**TABLE 6 DEFINITION OF RISK CRITICALITY LEVEL (PROVIDED BY GE OIL & GAS)**

Risk					
F5					
F4					
F3					
F2					
F1					
	C1	C2	C3	C4	C5

By plotting the failure modes frequencies and consequences into the criticality matrixes, the position indicates whether actions are required or if the component is acceptable 'as is'. The risk matrixes are segmented into three parts, that is effects on:

- Operational risk
- Environmental risk
- Human risk

**5.4.2 IDENTIFICATION OF COMPONENT FAILURES**

The failure modes that are included in OREDA set the baseline for the failure modes in the FMECA. Also, other failure modes have been added that has been shown relevant in earlier projects in GE Oil & Gas. Each failure mode for the equipment is given a unique code for the analysis. All components and their respective failure modes included in the FMECA are:

**TABLE 7 FAILURE MODES IN THE COMPONENT-LEVEL FMECA**

FM ID	FM CODE	Component	Failure Mode
WH	1.1	Housing	External Leakage/ Fail to Seal
	1.2		Blocked
	1.3	Annulus Seal Assemblies	External Leakage/ Fail to Seal
TH	2.1	Tubing Hanger	Fail to Lock
	2.2		External Leakage
	2.3		Fail to connect to downhole functions (Hydraulic and Chemical)
	2.4		Fail to connect to downhole functions (Electrical)
	2.5		Fail to Seal
	2.6		Fail to Unlock
THS	3.1	Housing	External Leakage
	3.2		Fail to Seal
	3.3		Blocked
	4.1	Flowspools	External Leakage (Production Line)
	4.2		External Leakage (MEG injection line)
	4.3		Blocked
	5.1	Connector (THS to production jumper)	Fail to Lock/Unlock
	5.2		Fail to Seal
	5.3		

	5.4		Fail to Unlock
	6.1	AIV	External Leakage
	6.2		Fail to Close on Demand
	6.3		Internal Leakage
	6.4		Fail to Open/Unlock
	7.1	Wellhead Connector (from THS to WH)	Fail to Lock
	7.2		Fail to Seal
	7.3		
	7.4		Fail to Unlock
XT	8.1	High Pressure Tree Cap	Fail to Seal
	8.2		
	8.3		
	9.1	Wellhead Connector (from XT to THS)	Fail to Lock
	9.2		Fail to Seal
	9.3		
	9.4		Fail to Unlock
	10.1	Tree Blocks, Flowlines (Production, annulus and crossover loops) and hubs	Blocked Crossover Line
	10.2		External Leakage (flowlines)
	10.3		External Leakage (hubs)
	11.1	PMW, PWV	External Leakage
	11.2		Fail to Close
	11.3		
	11.4		Internal Leakage
	11.5		Fail to Open
	11.6		
	11.7		Uncommanded Closing
	12.1	AMV, AWV	External Leakage
	12.2		Fail to Close
	12.3		
	12.4		Internal Leakage
	12.5		Fail to Open
	12.6		
	12.7		Uncommanded Closing
	13.1	XOV	External Leakage
	13.2		Fail to Close
	13.3		
	13.4		Internal Leakage
13.5	Fail to Open		
13.6			
13.7	Uncommanded Closing		
14.1	PSV, ASV	External Leakage	
14.2		Fail as is	
14.3			
14.4		Internal Leakage	
15.1	Check Valves	Fail to Open	
15.2		Fail to Close	
16.1	MIV1 (HP MEG Injection Valve)	Blockage/fracture in injection line	
16.2		Fail to Open/ Spurious Closure of MIV1	



## Reliability Analysis Approach Applied to Case

	16.3		Fail to Close/ Leakage across MIV1	
	17.1	MIV2 (LDHI Injection Valve)	Blockage/fracture in injection line	
	17.2		Fail to Open/ Spurious Closure of MIV2	
	17.3		Fail to Close/ Leakage across MIV2	
	18.1	CIV (Scale Inhibitor Injection Valve)	Blockage/fracture in injection line	
	18.2		Fail to Open/ Spurious Closure of CIV	
	18.3		Fail to Close/ Leakage across CIV	
	19.1	AVV	External Leakage	
	19.2		Seal Failure	
	19.3		Fail to Close	
	19.4		Fail to Open	
	19.5		Uncommanded Closing	
	20.1	SCMMB	Fail to Connect/ Lock	
	20.2		Fail to Lock	
	20.3		Fail to Seal	
	20.4		External Leakage of Control Fluid	
FCM	21.1	PCV	Fail to Function	
	21.2		Blocked	
	21.3		Unable to Monitor Valve Position	
	21.4		External Leakage	
	21.5		Failure to Release/ Re-install Choke Insert	
		22.1	MIV3 (MEG Injection Valve)	Blockage/fracture in injection line
		22.2		Fail to Open/ Spurious Closure of MIV3
		22.3		Fail to Close/ Leakage across MIV3
		23.1	MEG CCV	Fail to Function
		23.2		Blocked
		23.3		Unable to Monitor Valve Position
		23.4		External Leakage
		23.5		Fail to release/ Re-install Choke Insert
		24.1	SWV	External Leakage
		24.2		Fail to Close
		24.3		
		24.4		Internal Leakage
		24.5		Fail to Open
		24.6		
		24.7		Uncommanded Closing
	25.1	Piping and connections	Blockage	
	25.2		External Leakage	
	26.1	WGFM	Fail to monitor gas and liquid phases	

## 5.5 RELIABILITY BLOCK DIAGRAM

Reliability Block Diagram's (RBD's) have been applied to estimate the reliability for the XT items, using a consistent set of component reliability data (Bottom-up approach).

In the RBD's the components are described for how they interact to fulfill the functions of the XT system. The components in the WH, TH, THS and the XT all need to function for the XT system to satisfy the requirements as a safety barrier and to control and monitor the flow from and into the well. The RBD's is enclosed in Attachment D.

The pertinence of the RBD's is to calculate the MTBF for XT retrieval. Therefore, two RBD's have been conducted:

1. Production XT (Include WH, TH and THS)
2. FCM

The FCM is in a separate RBD due to the module being separately retrieved, without the requirement of services from a MODU. The TH, THS and WH are included in the RBD for the production XT, as severe failures in these elements require XT retrieval before the ability to retrieve other modules. Failures that can be fixed by ROV actions are indicated in the RBD's.

The RBDs is based on the FMECA, but with some data only available at higher level/component level without possibility for breakdown into failure mode level, not all failure modes from the FMECA are carried out in the RBD's.

The components have been defined in failure categories to provide a criticality that is wide enough to give proper evaluation of the components by the author's evaluation.

In the RBD's, it may seem as though redundancy is not reflected in the system, but the tree system has tolerance for errors built into the design (see below for a list of examples). In particular, this is reflected in back-up solutions for problems that might occur, which primarily reduce the need for retrieval of the tree if an error first occurs.

Fault tolerance/redundancy that is built into the tree design may include:

- Contingency modes of operation, which means that components can adopt a function if another component loose its function. Examples of this include:

- i. If the annulus cannot be vented through the annulus vent line, it can be performed through the crossover (XOV) production line (and vice versa).
  - ii. If the Wet Gas Flowmeter (WGFM) fail to monitor the gas flow rate of the production fluid, the flow rate can be calculated by fluid dynamics through the position of the choke valve combined with the pressure measurements upstream and downstream of the production choke. If unable to measure the position of the choke valve, one can find this through the pressure gauges in the SCM. However, it should be noted, that there is limited fault tolerance with respect to measurement of the water rate in the WGFM. Consequently, the WGFM is assumed Type A critical in this study.
  - iii. If the pressure monitoring downstream of the choke valve in the tree system fail, the pressure can be measured through the manifold, or other trees nearby if these trees produce to the same production pipeline.
  - iv. Often, there are two-three different chemical injection lines in a XT with different chemicals or compositions thereof, with separate CIV/MIV and dedicated injection points at separate locations in the system. However, if one of them fails, it is often possible to inject a chemical cocktail via the injection lines that do function. If the MEG cannot be injected in an area of the system, one can often inject via a detour by for example opening the XOV production line. This is an argument for including the CIV/MEG injection lines as redundant in the RBD.
- For failure critical components fallback systems are included, such as:
    - i. ROV Override on all valves. If a valve fails to close or open, ROV Remedial Actions such as repeated opening and closing of valve possibly combined with flushing with chemicals can often solve a problem if for example the valve is partly/fully blocked. With failures upon non-critical actuated valves the operator would typically continue operation with the valve as a “ROV valve”.
    - ii. As for the wellhead connector, the greatest concern is to not be able to disconnect the tree/spool from the wellhead when retrieving the tree. The workover system/wellhead connector is therefore equipped with one or two primary systems for disconnection, as well as cutting-loops where a ROV can cut the hydraulic lines and thereby release the tree/spool from the wellhead.
    - iii. It is necessary to retrieve the tree if the SCM do not unlock from the SCMMB. Thus, the SCM has primary and secondary release from the SCMMB.

## Chapter 5

Most of the components in the XT system have individual failure modes that are critical and therefore they are put in series in the reliability analysis, even though some of the failure modes in the component are failure tolerant with solutions that prevent the error to have an impact.

## 6 RESULTS

### 6.1 FMECA/FAILURE ANALYSIS

#### *6.1.1 FAILURE CRITICALITY ASSESSMENT OF COMPONENTS AND SUB-SYSTEMS*

The system functions and the components in the XT system have been classified according to

## Chapter 6

Table 3 Failure Criticality Classification (Provided by GE Oil & Gas).

An important step of a reliability analysis is to assess the possible consequences and effects of a given failure. Due to the general levels of uncertainty and complexity involved, it is necessary to state several assumptions. This section outlines the assumptions made for the system and the components when assessing failure criticality. The assumptions made on the system-level effect on loss of functions in the DVXT is listed in the table below:

**TABLE 8 FUNCTIONAL CRITICALITY ASSESSMENT – GENERAL FUNCTIONS**

No	Functional loss	Criticality	System effect	Comment
1	Failure of barrier elements	Type AE	Immediate shutdown of affected well, or delayed production re-start, until repaired.	If a failure is detected on a barrier element, immediate shutdown of affected well is required.
2	Loss of containment (connections, bores, valves, flanges)	Type AE	Immediate shutdown of affected well, or delayed production re-start, until repaired.	Loss of containment in flanges, valves and seals that are exposed to produced fluids can potentially leak into the environment.
3	Loss of communication	Type A	Immediate loss of production until	System will fail-safe close.
4	Loss of electrical power distribution	Type A	Immediate loss of production until repaired.	System will fail-safe close.
5	Loss of hydraulic supply	Type A	Immediate loss of production until repaired.	System will fail-safe close.
7	Loss of MEG injection	Type A	Immediate loss of production until repaired.	Immediate loss of production. If not repaired within max 12h it is necessary to inject diesel into the tubing to avoid freezing which will result in long downtime for the well.
8	Loss of pressure containment	Type AE	Immediate shutdown of affected well.	Loss of metal-to-metal sealing.
9	Loss of Scale Inhibitor	Type B	Immediate mobilization. Continue production.	Rely on LDHI until mobilized repair activities.
10	Loss of Low Dosage Hydrate Inhibitor	Type B	Immediate mobilization. Continue production.	Rely on SI until mobilized repair activities.

Further, the possible consequences of failures in the components within the XT system is assessed:

**TABLE 9 CRITICALITY ASSUMPTIONS FOR MAIN COMPONENTS**

Criticality	Component Function	Abbreviation
Type A	Housing	-
Type A	Annulus Seal Assemblies	-
Type A	Bores w/ Sealing Surfaces	-

Type A	Flowspools	-
Type A	Piping (hard pipe)	-
Type A	Hub/mandrel	-
Type A	Tubing Hanger	-
Type A	Tree Cap	-
Type A	Tree Guide Frame	-
Type A	Connector	-
Type B	Subsea Control Module Mounted Base	SCMMB
Dependent on valve type	Valves	-

**TABLE 10 CRITICALITY ASSUMPTIONS FOR MAIN VALVES**

Main Valves		
Criticality	Valve Function	Abbreviation
Type A	Production Master Valve	PMV
Type A	Production Wing Valve	PWV
Type B	Crossover Valve	XOV
Type B	Annulus Vent Valve	AVV
Type A	Annulus Master Valve	AMV
Type A	Annulus Wing Valve	AWV
Type A	HP MEG Injection Valve	MIV1
Type B	Chemical Injection Valve (LDHI)	CIV1
Type B	Chemical Injection Valve (SI)	CIV2
Type B	Production Swab Valve	PSV
Type B	Annulus Swab Valve	ASV
Main Valves located on the FCM		
Type A	Sacrificial Wing Valve	SWV
Type A	Production Choke Valve	PCV
Type A	Chemical Control Valve	CCV
Type A	MEG Injection Valve	MIV2

As seen in the two tables above, most of the components are regarded as type A failures. This is due to the components requires, in most of the failure modes within the component, immediate shut-in of the well if a failure occur. For the components with type B failures, it is possible to continue production until intervention means are mobilized.

### 6.1.2 FMECA

The FMECA conducted is enclosed in Attachment C.

## Chapter 6

Through the component-level FMECA, critical component failures have been identified through the risk matrixes, combining frequency and consequence. The results illustrate an overall reliable and safe configuration. The major part of the components with their associated failure modes reflects low failure frequencies and severity. In view of the exposed components, 25 out of the 317 failure modes require further evaluation, as shown in Table 11. Of these failures, there are 5 failures in the low region and 20 failures in the to be evaluated region of the risk matrix.

**TABLE 11 TOTAL RISK**

Total Risk	
Action Required - High	
Action Required - Medium	
Action Required - Low	5
Action Required - To be evaluated	20
Action required - No actions	292

The frequencies of the failure modes are decided through the failure rates in the OREDA-2009 Handbook. For some of the failure modes, one frequency includes several. This is reflected in the FMECA, as the frequency span over several failure modes.

The consequences of the failure modes are assessed based on the possible outcome of the failure. If a failure is failure tolerant, this is taken into account, but – through the assessment, it has been the intention to look at the worst possible outcome of consequences if a potential failure occurs.



25 failure modes of the components require further evaluation, whereof:

1. 11 failures to be evaluated on operational risk.

**TABLE 12 EFFECTS ON OPERATIONAL RISK**

Operational Risk				
F5				
F4		21.1, 23.1		
F3	6.4, 26.1	6.3, 21.2, 23.2		
F2	2.4, 5.2, 7.2, 9.2, 14.2, 14.3, 16.3, 17.2, 17.3, 18.2, 18.3, 20.1, 20.3, 20.4, 21.3, 22.3, 23.3	1.2, 1.3, 3.1, 3.2, 3.3, 2.5, 4.3, 6.1, 6.2, 8.1, 8.2, 8.3, 16.2, 20.2, 21.4, 23.4, 25.1, 25.2	1.1, 4.1, 4.2, 5.3, 7.3, 9.3	
F1	2.1, 2.6, 5.1, 5.4, 7.1, 7.4, 9.1, 9.4, 10.1, 11.2, 11.3, 11.4, 11.5, 11.6, 11.7, 12.4, 12.7, 13.2, 13.3, 13.5, 13.6, 13.7, 14.1, 14.4, 15.1, 15.2, 17.1, 18.1, 16.9, 19.4, 21.5, 23.5, 24.2, 24.3, 24.4, 24.5, 24.6, 24.7	2.2, 2.3, 12.1, 12.2, 12.3, 12.5, 12.6, 13.1, 13.4, 16.1, 19.1, 19.3, 19.5, 22.1, 22.2, 24.1	10.2, 10.3, 11.1	
	C1	C2	C3	C4 C5

The PCV (21.1) and the CCV (21.3) is found in the low region of the risk matrix. These are components with a high frequency of failures, thus located in the separately retrievable FCM. The consequences on operation are considered as less than two months (C2) as the worst possible outcome. If a failure occurs in the choke valves, repair should be initiated immediately. Normally the system can produce until the intervention vessel is mobilized due to fault tolerance. The main function of the choke valve is to measure and control the flow; if unable to measure through the choke valve, one can find this through the pressure gauges in the SCM.

Also, the housing of the WH (1.1), the connectors between the modules (5.3, 7.3, 9.3), the flowlines in the THS (4.1, 4.2) and the AIV's (6.3) are located in the to be evaluated region of the risk matrix.

The connectors between the modules (that is the wellhead connectors) are known as critical components. If a main connector fail during production, immediate shutdown of the well is initiated. This result in long downtime, since a main connector at require retrieval of the tree module, the services of a MODU are necessary (which take between four to nine months to mobilize to the field).

## Chapter 6

2. 12 failures to be evaluated on environmental risk, whereof three failures especially require further attention.

**TABLE 13 ENVIRONMENTAL RISK**

Environmental Risk					
F5					
F4	21.1, 23.1				
F3	6.3, 6.4, 21.2, 23.2, 26.1				
F2	1.2, 3.3, 2.4, 4.3, 7.2, 8.1, 8.2, 8.3, 9.2, 14.2, 14.3, 16.2, 16.3, 17.2, 17.3, 18.2, 18.3, 20.1, 20.4, 21.3, 22.3, 23.3, 25.1	1.1, 1.3, 3.1, 3.2, 5.2, 6.1, 6.2, 20.3, 21.4, 23.4	2.5, 4.1, 4.2, 20.2, 25.2	5.3, 7.3, 9.3	
F1	2.1, 2.3, 2.6, 5.1, 5.4, 7.1, 7.4, 9.1, 9.4, 10.1, 11.2, 11.3, 11.4, 11.5, 11.6, 11.7, 12.2, 12.3, 12.4, 12.5, 12.6, 12.7, 13.2, 12.3, 12.4, 12.5, 13.6, 13.7, 15.1, 15.2, 19.4, 19.5, 21.5, 23.5, 24.2, 24.3, 24.4, 24.5, 24.6, 24.7	2.2, 11.1, 12.1, 13.1, 14.1, 14.4, 16.1, 17.1, 18.1, 19.1, 19.2, 19.3, 22.1, 22.2, 24.1		10.2, 10.3	
	C1	C2	C3	C4	C5

As indicated in the table above, the main connectors are located in the low region of the risk matrix. If the main connectors unlock or fail to seal during production, this will lead to a full blowout with severe consequences. It should be noted that such an event has not been registered, as it has not been any severe accidents due to such failure.

Other components that are identified to impose a risk to the environment due to loss of containment (external leakage) are flowspools (4.1, 4.2), piping and connections (25.2), tree blocks (10.2, 10.3), the SCMMB (20.2) and the TH (2.5).

## 3. Two failures to be evaluated on human risk.

TABLE 14 EFFECTS ON HUMAN RISK

Human Risk					
F5					
F4	21.1, 23.1				
F3	6.3, 6.4, 21.2, 23.2, 26.1				
F2	1.1, 1.2, 1.3, 3.1, 3.2, 3.3, 2.4, 2.5, 4.1, 4.2, 4.3, 5.2, 5.3, 6.1, 6.2, 7.2, 7.3, 8.1, 8.2, 8.3, 9.2, 9.3, 14.2, 14.3, 16.2, 16.3, 17.2, 17.3, 18.2, 18.3, 20.1, 20.2, 20.3, 20.4, 21.3, 21.4, 22.3, 23.3, 25.1, 25.2				
F1	2.1, 2.2, 2.3, 2.6, 5.1, 5.4, 7.1, 7.4, 9.1, 9.4, 10.1, 10.2, 10.3, 11.1, 11.2, 11.3, 11.4, 11.5, 11.6, 11.7, 12.1, 12.2, 12.3, 12.4, 12.5, 12.6, 12.7, 13.1, 13.2, 13.3, 13.4, 13.5, 13.6, 13.7, 14.1, 14.4, 15.1, 15.2, 16.1, 17.1, 18.1, 19.1, 19.2, 19.3, 19.4, 19.5, 21.5, 22.1, 22.2, 23.4, 23.5, 24.1, 24.2, 24.3, 24.4, 24.5, 24.6, 24.7				
	C1	C2	C3	C4	C5

For the human risk category, which include risk on humans and danger of fatalities (See Table 14) there are two failures located in the to be evaluated region of the risk matrix. The two components are the PCV and the CCV for the due to the high frequency of failures. When looking at one subsea tree, the human risk is extremely low/close to none due to the absence of a permanent rig with associated personnel on the subsea equipment. It is considered highly unlikely that any people can be hurt by failures in the subsea equipment.

Chapter 6

In the following table the failure modes are summarized together with the effects on operational risk, environmental risk and human risk.

**TABLE 15 EXPOSED COMPONENTS FOR FURTHER EVALUATION**

FM ID	FM CODE	Component	Failure Mode	F-rate	Occurrence			Risk		
					O	E	S	O	E	S
WH	1.1	Housing	External Leakage/ Fail to Seal	F2	C3	C2	C1	Light Green	Dark Green	Dark Green
TH	2.5	Tubing Hanger	Fail to Seal	F2	C2	C3	C1	Dark Green	Light Green	Dark Green
THS	4.1	Flowspools	External Leakage (Production line)	F2	C3	C3	C1	Light Green	Light Green	Dark Green
	4.2	Flowspools	External Leakage (MEG Injection line)	F2	C3	C3	C1	Light Green	Light Green	Dark Green
	5.3	Connector (THS to production jumper)	Fail to Seal	F2	C3	C4	C1	Light Green	Yellow	Dark Green
	6.3	AIV	Internal Leakage	F3	C2	C1	C1	Light Green	Dark Green	Dark Green
	7.3	Connector (From THS to WH)	Fail to Seal	F2	C3	C4	C1	Light Green	Yellow	Dark Green
XT	9.3	Connector (From XT to THS)	Fail to Seal	F2	C3	C4	C1	Light Green	Yellow	Dark Green
	10.2	Tree blocks, flowlines and hubs	External Leakage (Loops)	F1	C3	C4	C1	Dark Green	Light Green	Dark Green
	10.3	Tree blocks, flowlines and hubs	External Leakage (Sealings)	F1	C3	C4	C1	Dark Green	Light Green	Dark Green
	20.2	SCMMB	Fail to Lock	F2	C2	C3	C1	Dark Green	Light Green	Dark Green
FCM	21.1	PCV	Fail to Function	F4	C2	C1	C1	Yellow	Light Green	Light Green
	21.2	PCV	Blockage	F3	C2	C1	C1	Light Green	Dark Green	Dark Green
	23.1	CCV	Fail to Function	F4	C2	C1	C1	Yellow	Light Green	Light Green
	23.2	CCV	Blockage	F3	C2	C1	C1	Light Green	Dark Green	Dark Green
	25.2	Piping and connections	External Leakage	F2	C2	C3	C1	Dark Green	Light Green	Dark Green

## 6.2 RBD/RELIABILITY ANALYSIS

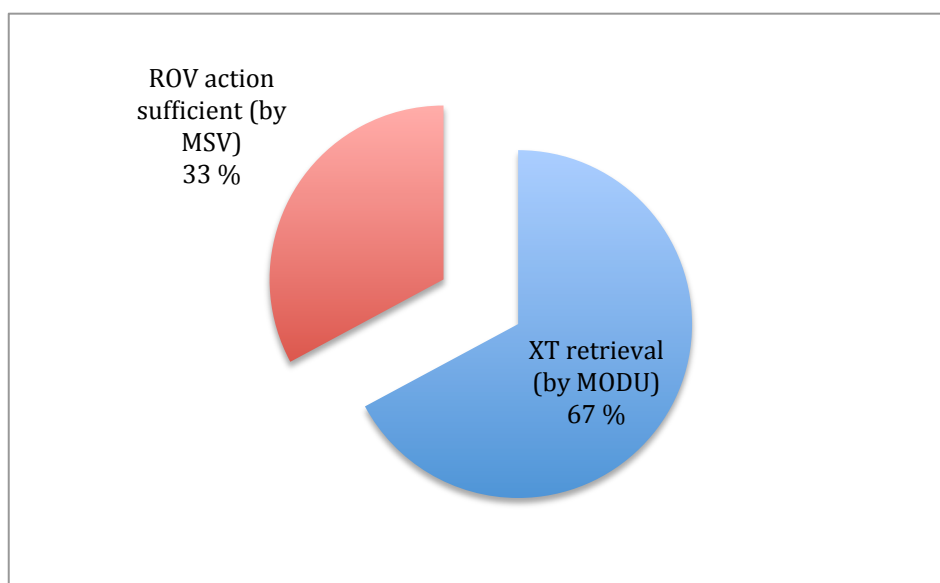
Two RBDs were constructed to illustrate the MTBF for the XT and the FCM respectively, as shown in the full in Attachment D.

**TABLE 16 RELIABILITY OF THE PRODUCTION XT**

Total for XT (excluding FCM)	Criticality	$\lambda$ (fpmh)	MTBF (years)
XT retrieval	Type A	2,65	43
	Type B	2,44	47
ROV action sufficient	Type A	0,95	120
	Type B	0,91	125
	Type C	0,63	181
ROV action sufficient		2,49	46
XT retrieval total		5,09	22
Total		7,58	15

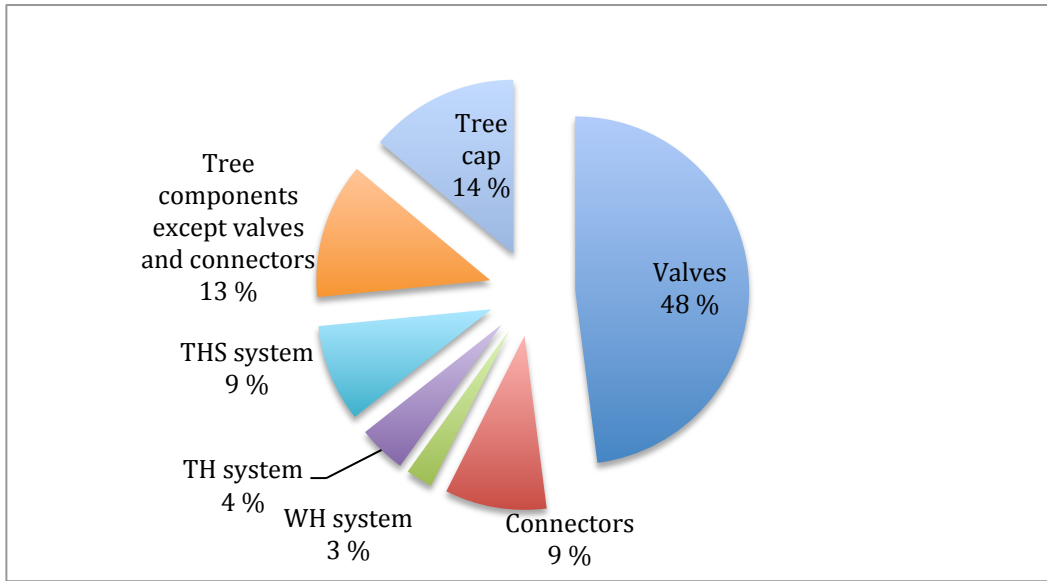
Table 16 illustrate that the MTTF for the production XT, before any failures, is equal to 15 years. The retrieval rate of the tree is equal to 22 years, yielding a failure rate  $\lambda$  to 0,05 failures per year.

Out of the failures that may occur, 33 % is expected to be repairable by ROV, whilst 67 % would require XT retrieval, as illustrated in Figure 11 below. The MTTF for XT retrieval is equal to 22 years while MTTF for light interventions that could be repaired by ROV is 46 years.



**FIGURE 11 ILLUSTRATION OF INTERVENTION MEANS FOR THE PRODUCTION XT**

Out of the failures figured, some of the components points out in the failure distribution, as shown in Figure 12 below.



**FIGURE 12 FAILURE DISTRIBUTION IN THE PRODUCTION XT**

48 % of the failures are due to the valves. This is not a surprising finding with respect to the system mainly being compounded by valves. Aside from valves, the tree cap, connectors and other components point out. Other components include the flowspools in the production tree. Pressure containing units such as the connectors absorb a lot of stress and are therefore exposed components.

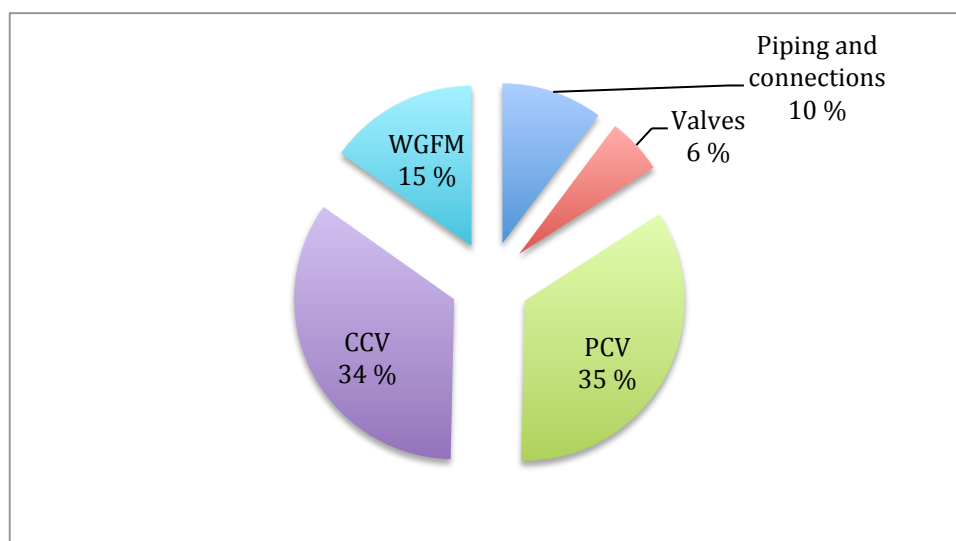
**TABLE 17 RELIABILITY OF THE FCM**

Total for the FCM	Criticality	$\lambda$ (fpmh)	MTTF (years)
Retrieve FCM	Type A	10,32	11
	Type B	2,57	44
Retrieve FCM (by MSV)		12,89	9

The FCM is found to have a MTTF of 9 years. This means that the FCM is expected to require to be retrieved by a MSV after 9 years.

The main contributors to the failures in the FCM are related to the PCV and the CCV, as shown in Figure 14 underneath. Also, the WGFM points out.

It could be noted that these three modules (CCV, PCV and WGFM) can be made separately retrievable by ROV. This is normal practice when not configured in a FCM design, but can also be done when assembled in a FCM (most likely for CCV).



**FIGURE 13 FAILURE DISTRIBUTION IN THE FCM**

### 6.3 COMPARISON OF BOTTOM-UP AND TOP-DOWN RESULTS

The result from the MTTF of the production tree is found to be 15 years, whereof possible failures that require retrieval of the tree indicate a MTTF of 22 years, whilst the MTTF of possible failures that require the services of a ROV is 46 years (as seen in Table 16).

In order to give validity to the result from the analysis, top-down experience data for retrieval rates have been presented in Chapter 0. This is to indicate the MTBF for tree failures that have resulted in production tree retrieval. A significant difference is shown between the generic calculations of the tree retrieval rate (MTTF) compared to the field experience data.

The four field experiences reviewed from 1999 suggest a MTTF of respectively 67, 46, 47 and 98,6 years. At the minimum, this indicates a double of the calculated tree retrieval rate of 22 years. Furthermore, recent Angola experience, reviewed in 2014, suggests a groundbreaking MTTF of 580 years. This is 26 times larger than the calculated tree retrieval rate. These numbers shows a substantial gap between the bottom-up model and the top-down data.

Based on the field experience from 1999 and 2014 it is possible to indicate a MTTF for XT retrieval on the top-down approach between 100 to 200 years. As the experiences from 1999 are conservative, this should be an acceptable assumption. With the prediction of 20 years found through the bottom-up approach, this indicates a factor of 5 to 10 between the bottom-up and the top-down approach.

## Chapter 6

It should be noted, for clarity, that the MTTF estimates is not meant for one XT in operation – the tree would obviously reach wear-out long before this number of years. It is meant for a population of trees in operation before they reach wear out. By this means, with a large field of for an example 30 trees, if a MTTF estimate of 300 years is expected – a tree would be expected to be retrieved due to tree failures every 10 years.

Figure 12 (Chapter 6.2) illustrate the intervention means for the production tree, whereof the majority (67%) of the failures would require retrieval of the tree, while the minority (33 %) of the failures can be restored by light interventions. This contrasts with the GE Oil & Gas experience, as described earlier in Chapter 0., that the Pareto-rule is applicable.

The Pareto-rule would state that the majority (80 %) of the failures can be restored by light intervention means such as ROV override, while only the minority (20 %) of the failures would require XT retrieval, thus the highest intervention cost.

If in fact 80% of the XT critical failures can be restored by light intervention means, the total MTTF of 15 years predicted for XT critical failures by the bottom-up approach then result in an XT retrieval rate of 75 years. This is closer to the expectations indicated by the top-down approach, but still not similar to the levels indicated by recent field experience.



## 7 DISCUSSION

This chapter consists of two parts. Firstly there will be a discussion of findings from the performed study. The second part will be a discussion of the framework used to obtain/produce these results.

### 7.1 DISCUSSION OF RESULTS

The substantial gap between the bottom-up model and the top-down data presented in Chapter 6.3 raise the question in what amount XT failures will result in retrieval of the XT. Such a gap between experienced figures and calculations indicate that the input data is either too conservative or the assumptions made are too inaccurate, or a combination of the two.

Through the RBD conducted, it seems as though redundancy is not reflected in the system, but the truth is that the XT has tolerance for errors built into the design. In particular, this is reflected in back-up solutions for problems that might occur, which primarily reduce the need for retrieval of the tree if an error first occurs. This is one of the main reasons that the actual retrieval rate of trees is much lower than the single component reliability would suggest. This is discussed further under Simplifications and Weaknesses of the RBD.

The sole source for reliability data applied to the bottom-up approach is the OREDA-2009 handbook. The handbook is deliberately selected as single source for reliability in the current study due to the recognized conservative output. This is in order to illustrate the expected differential factor to field experience data/other inputs.

The data presented in OREDA are based on a set of individual components with different histories, properties, characteristics and functions. When assessing the reliability data from the handbook, not including the database, the failure rates are only given based on the equipment unit name, without the history of the item. Therefore, the frequencies of the failures might have benefitted further modification, based on specific conditions and properties of the equipment and input from an engineer with massive experience on the subject to fit with the case at hand. As an example, for a component with material of high-strength steel, material failure can be neglected. Hence, a more in-depth use of data from the OREDA database, looking at failure data in more detail, could have affected the output of this research. The data set is used directly in this study to highlight this issue.

Out of the failures featured in the production tree, seen in Figure 12, 48 % are due to the valves. Besides from valve failures, the tree cap and connectors points out. The figure reflects a typical distribution of failures regarding the production tree as expected by experienced engineers (as found in internal GE Oil & Gas documents).

The MTTF to retrieval of the FCM by a light intervention vessel such as MSV is found to be 9 years. This is an interesting finding as this is not far from realistic, particularly for the complex FCM with several sophisticated instruments, which is the one, considered here. This raises a question why the MTTF of the FCM seems to be more representative compared to the production tree module, when based on the same data source. The FCM is used for packing less reliable components since the module only requires light intervention to be retrieved. The reason that this module has more concurrent results for calculated and experienced failure rates, could be that the FCM is dominated by two or three individual components where it is not that difficult to keep track of failure modes and effects, and that these components actually fail a portion so that it exists enough data to predict failure rates with some confidence.

Collating and storing operating data is crucial to failure prevention and elimination strategy. Improving the reliability and availability of XTs will depend on the availability of useful historic failure data. It is therefore imperative to have a comprehensive inventory of all components within a XT in an integrated asset register and data management system. This will keep a track record of each valve and the like within the XT in a meaningful format that can be used for optimization processes and for an informed decision making processes.

### 7.2 DISCUSSION OF THE FRAMEWORK USED TO OBTAIN THE RESULTS

Reliability requirements are normally part of contractual requirements based on experiences of failure. The reliability target is typically set as a final absolute result in terms of MTTF or as an overall availability figure. This is an understandable tactic, but does not necessarily evolve into a sound strategy for achieving reliability. The suppliers may consider the reliability measures met if the listed issues have been dealt with. Conversely, without a set reliability target, the underlying signal to the supplier is “supply whatever reliability at the lowest possible cost”. Suppliers need to impose strict requirements within the organization to ensure that reliability goals are met in right fashion with the correct purpose.

A reliability model should represent a system and its usage in such a way that it mirrors the reality as close as possible. To produce useful models in a timely fashion, practical simplifications and assumptions are usually made to balance the effort of reflecting a close-to-reality. This implies that models used for reliability calculations should contain approximations based on reasoned arguments and engineering judgments to reduce the complexity of the model.

Uncertainties for the analysis conducted include:

- To what extent data are applicable to the current system and inadequate data gathering.

- Equipment novelty issues – Lack of relevant reliability data.
- Erroneous classification of failures criticality (Critical, degraded or incipient at component level, leading to type A, type B or type C at XT system level).
- Assumptions on operational modes and repair strategies.
- Erroneous interpretation of the system component failure modes and approximations following the reliability modeling approaches.

Errors in input failure data are critical. The one source of reliability data applied is the OREDA Handbook, which for example yet has not included THS in the scope of subsystems for the XT system. Therefore, assumptions have been made based on compatible components from other parts of the XT system. Consequently, the principles from NORSOK Z-016 (See Chapter 2.2.1) have been followed for the application of the reliability data to ensure rightful use.

It shall also be noted that the failure modes that are listed in OREDA are not the most practical as the registered failures are failures that have occurred on the components underlying the project and do not provide a full model of potential failures, ranked by importance, frequency, etc., from the beginning. Thus, one may overlook very important issues to be addressed. The registered failures are divided into *critical*, *degraded* and *incipient*. However, this set of classification is not optimal as the third category is too vague. Therefore, the incipient category has been excluded from the analysis.

Furthermore, the data from OREDA covers only in-service failures. Failures recorded prior to start-up are excluded. It is also vital to be aware that the correct reliability pictures depend on a number of additional parameters not included in the analysis. If performed appropriately, these parameters will improve the reliability and vice versa if performed less appropriately.

Notably, the following parameters will affect the observed reliability of the XT system:

- Operation attitude/approach
- Training of personnel for operation, installation and repair
- Maintenance strategies, such as making repair parts readily available, ensuring proper handling of products during repair and coordination of installation and intervention procedures.
- Functional requirements
- System configuration and complexity

These parameters do not improve the reliability of the components, but they do result in better reliability and availability of the XT system if performed correctly. This could be a theme for further research.

### *7.2.1 SIMPLIFICATION OF THE SYSTEM*

The scope is limited in this study to the XT system and systems that influence the XT system in terms of XT retrieval rate and downtime due to XT failures. Therefore, the control systems with associated monitoring equipment are excluded from the analysis conducted. ISO 14224:2006 stipulates that the SCM and other control system parts can be considered outside the scope of the XT system or as subunits or maintainable units of the XT system.

In the context of this study, the SCM is beyond this scope to achieve predictability in the results. The SCM would have caused noise in the data set so that the focus is removed from the XT itself. SCM and control instrument failures will not result in XT retrieval, which is the main objective of this thesis. It is therefore reasonable to include the SCM with the rest of the subsea control system when performing a RAM analysis on an entire or a part of a field.

Nonetheless, the main functions of a XT include functioning as a barrier between the reservoir and the environment and to control and monitor the well. All monitoring devices are connected and controlled by the SCM. In view of this, by eliminating the SCM one also eliminates the equipment installed on the tree for monitoring means such as the pressure and temperature transmitters. If the monitoring instrumentation were considered critical on a field, the instrumentation would be designed redundant to the degree that it would be close-to negligible. By this, instrumentation can be modeled quadruple to the degree where the instrumentation is considered negligible.

### 7.2.2 SIMPLIFICATIONS AND WEAKNESSES OF THE FMECA

The FMECA is performed on a component-level for the DVXT with main components and failure modes evaluated on frequency and consequence.

The consequence matrix is scaled from C1 to C5 (Ref. Table 4 Severity Matrix), but in accordance with GE Oil & Gas FMECA procedures, the consequences have only been evaluated from C1 to C3. The XT usually have impact only on (the volume of) production from one well. C3 correspond to long-term loss of one well, while C4 is linked towards long-term loss of production from entire drill centers (4 to 6 wells), while C5 applies to long-term loss of an entire field (several drill centers). In hindsight, it could have been appropriate to use a larger scale of the consequence matrix with smaller intervals on the production impact when only assessing one tree. For example, C1 could be less than two days impact, C2 less than two weeks, C3 less than two months and C4 less than one year. This would have been beneficial in allocating the consequences, as most of the failure modes assessed has been placed in C1 with the consequence matrix used, even though some of the failures would reflect 1-2 days downtime of the well and other up to 14 days. Also, it would be beneficial when allocating the consequence and frequency in the risk matrix, as more of the failure modes would be assigned at a greater span in the risk matrix.

It also may be criticized to use risk matrixes on component level. If misused, undesirable risks can be tampered to fit the wanted result. By evaluating the subsystems in the tree as a whole and how they act together – one might find a higher risk for the system. The risk matrixes are put in a more meaningful matter if used on system-level rather than at a component-level.

### 7.2.3 SIMPLIFICATIONS AND WEAKNESSES OF THE RBD

When the XT retrieval rate was calculated with the RBD, the failure modes were assigned to one out of two repair scenarios: either it required XT retrieval or it was repairable by ROV. This does not mirror a realistic reliability picture, as it usually is not predetermined whether a failure mode is repairable by light interventions or requires XT retrieval. The generalization was still made for this research, as having this as an open possibility for all failures, would severely obscure dataset, results and hence usability of the study.

Through the RBD conducted, it seems as though redundancy is not reflected in the system, but the truth is that the XT has tolerance for errors built into the design (see below for a list of examples). In particular, this is reflected in back-up solutions for problems that might occur, which primarily reduce the need for retrieval of the tree if an error first occurs. This is one of the main reasons that the actual retrieval rate of trees is much lower than the single component reliability would suggest.

## Chapter 7

Fault tolerance/redundancy that is built into the XT design may include contingency modes of operation, which means that components can adopt a function if another component loses its function, or fallback systems for failure critical components. Such systems are elaborated on in Chapter 5.5.

The bottom line is that most of the components in the XT system have individual failure modes that are critical and therefore they are put in series in the reliability analysis, even though some of the failure modes in the component are failure tolerant with solutions that prevent the error to have an impact. The failure tolerant failure modes may be compensated by comments in the RBD so that in a further RAM-analysis, it will be modeled more realistically. Ideally, the RBD should be made with separate failure modes for components that have potential failure modes that are failure tolerant and vice versa.

## 8 CONCLUSION AND FURTHER WORK

### 8.1 SUMMARY AND CONCLUSION

In this master thesis, the main objective is to study a specific XT system and to estimate the retrieval rate due to tree failures based on commercially available reliability data. Further this has been compared to high-level experience data presented. This is to initiate the process to alleviate the gap seen between generic calculations of the tree retrieval rate compared to known field experience.

To assess the DVXT system, a reliability analysis is performed. The reliability analysis is achieved in the following steps with proven methods from the reliability engineering discipline:

1. FMECA/Failure analysis
2. RBD/Reliability analysis

A component-level FMECA is conducted to develop an understanding of main components with essential functional requirements, criticality and effect resulting from functional failure. The results from the bottom-up reliability analysis indicate a retrieval rate of the production tree near 22 years.

Through the performed reliability analysis, the DVXT system has confirmed its reputation as a reliable configuration with high operating reliability and associated low risk. Nonetheless, several assumptions have been made. The focus of this thesis is not at the absolute result, but is meant to illustrate a reliability issue experienced by GE Oil & Gas in the calculation of reliability based on generic reliability data versus field experience data. The OREDA-2009 Handbook is deliberately used as a sole source for raw data to illustrate this issue, as the handbook is known to give conservative results when calculations is performed purely based on it. However, it can well be seen as desirable that calculations are more cautious than a real situation, but a natural question here is to what extend.

It is shown a significant gap between the bottom-up approach and the experience data presented. Based on the field experience collected it is indicated a MTTF for XT retrieval on the top-down approach between 100 to 200 years. This implies a factor of 5 to 10 between the bottom-up and the top-down approach.

Further, it is indicated by GE Oil & Gas that a Pareto-rule seem to apply when deciding if failures require heavy workover such as XT retrieval or light intervention means such as ROV remedial actions upon repair. Applied to the sensitivity case to the bottom-up approach, assuming that in fact 80% of XT

critical failures can be restored by light intervention means, the total MTBF of 15 years predicted for XT critical failures then results in an XT retrieval rate of 75 years. This is nearer to the expectations indicated by the top-down approach, but still not close to the levels indicated by recent field experience.

This indicates that the bottom-up model should be calibrated with input data that is based on experience data rather than solely generic data to alleviate some of the distance between the outputs for the two approaches. This can be performed in shape of additional model parameters, modification factors or other refinements. The solution to this is however not presented in this thesis. The Pareto-rule may be used to calibrate this gap, if shown applicable based on comprehensive historic data.

### 8.2 FURTHER WORK

Improving the reliability and availability of XT mean retrieval time depend on the availability of useful historic failure data. There is an unrealized potential for a structured data-collecting and organizing tool aimed at field experience that include number of trees installed, years in operation, number of failures, corresponding repair activity, etc. In lack of such a tool, the generic and apparent misleading retrieval rate is emphasized to a higher degree than one might wish.

Comprehensive work has to be executed to introduce shape or model parameters for the reliability data, such as the Pareto-rule. A possible first phase approach is to go deep into the Subsea OREDA database and investigate the failures that have occurred, the reason for the failure and the corresponding repair activity. This could expose a pattern. The problem here is that the different participants in the project only have in-depth knowledge about their own components in addition to a common release-area among the participants. None of the participants in the OREDA project have the inventory of all the components included. To gain insight in the entire database, research could be executed in a collaborative work, perhaps through a concrete delivery project. This could enable the sought after a model parameter. Further, this parameter should be rechecked for a best estimate on empirical data. This would require appropriate empiric data. In the third phase, this should be related to mechanical theory, whereof probability, confidence intervals and light intervention means.

Several assumptions were made through the study. The assumptions and methods used should be evaluated before continuing any process based on the results. Attention should be given to the consequence matrix in the FMECA, which could have included more of the classes (at least C1 to C4). Further, the RBD could be evaluated, as it could reflect the tolerance of errors that is built into the design. This may be compensated by comments in the RBD so that it can be modeled accordingly in a further RAM-analysis. Ideally, the RBD should be made with failure modes separately for failures that are failure tolerant and vice



versa. If put into a further RAM analysis, the monitoring equipment and the SCM should be assessed in relation to the DVXT system to mirror the reality that risk analysis strive to depict.

## BIBLIOGRAPHY

American Petroleum Institute (API). (2011). *API SPEC 17D: Design and Operation of Subsea Production Systems — Subsea Wellhead and Tree Equipment*.

American Petroleum Institute (API). (2010). *API SPEC 6A: Specification for Wellhead and Christmas Tree Equipment*.

(ENI), H.-E. B., (Statoil), H. B., (NTNU), S. S., (UiS), B. S., (Shell), J. S., (Total), S. J., et al. (2012). *An Introduction to Well Integrity*.

Bai, Y., & Bai, Q. (2012). *Subsea Engineering Handbook*. Gulf Professional Publishing.

DNV GL. (2010). *DNV RP B401: Cathodic Protection Design*.

FMC Technologies. (2013). *Global Supplier Handbook*.

ISO 10423. (2009). Petroleum and natural gas industries; Drilling and production equipment - Wellhead and christmas tree equipment.

ISO 13628-4. (2010). *Petroleum and natural gas industries - Design and operation of subsea production systems*.

ISO 14224. (2006). *Petroleum, petrochemical and natural gas industries -- Collection and exchange of reliability and maintenance data for equipment*.

Molnes, E. (2012). Risikoanalyser for brønner i operasjon i situasjoner med barrieresvikt.

NORSOK D-010. (n.d.). Well integrity in drilling and well operations.

NORSOK Z-016. (n.d.). Regularity mangement & reliability technology.

Rausand, M., & Høyland, A. (2004). *System Reliability Theory: Models, Statistical Methods, and Applications*.

SINTEF. (2009). *OREDA: Offshore Reliability Data*.

Statoil ASA. (2013). Deep Water XT - Subsea Forum.

White, P. W. (2013). *Drivers influencing the evolution of horizontal and vertical trees*.

## APPENDICES



## A. RELIABILITY DATA

This attachment presents the reliability data used at the component level. All data is obtained from the OREDA Handbook, phase V. The failure rates and the MTBF estimates apply for the steady-state production phase. Components that

**TABLE 18 FAILURE DATA FOR THE WELLHEAD SYSTEM**

Component description	Failure mode	Failure rate $\lambda$ (fpmh)	MTBF (years)	Criticality	Basis for estimation
Housing	-	0,06	1901	A	Mean failure rate (no failures occurred out of 247 items)
Annulus seal assemblies	CRT: External leakage - Process medium	0,13	878	A	2 out of 413 items failed.

**TABLE 19 FAILURE DATA FOR THE CONNECTORS**

Component description	Failure mode	Failure rate $\lambda$ (fpmh)	MTBF years	Criticality	Basis for estimation
Wellhead connector	CRT: External leakage - Process medium	0,16	713	A	4 out of 708 items failed
	CRT: Fail to open/Unlock	0,04	2852	B	1 out of 708 items failed
	DGRD: External leakage - Process medium	0,04	2852	A	1 out of 708 items failed
	INC: External leakage - Process medium	0,20	570	A	5 out of 708 items failed

**TABLE 20 FAILURE DATA THE TUBING HEAD SPOOL FRAME AND FLOWLOOPS**

Component description	Failure mode	Failure rate $\lambda$ (fpmh)	MTBF years	Criticality	Basis for estimation
Housing	-	0,06	1901,3	A	Assumed compatible with wellhead housing
THS bores w/ sealing surfaces for TH and XT	CRT: Plugged/choked	0,22	518,55	A	Assumed compatible with XT piping. 2 out 361 items failed.
Flowspools	DGRD: Plugged/choked	0,09	1267,6	A	Assumed compatible with XT flowspools. 1 out of 303 items
	INC: External leakage - Process medium	0,09	1267,6	A	1 out of 303 items

## Attachment A

**TABLE 21 FAILURE DATA FOR THE TUBING HANGER**

Component description	Failure mode	Failure rate $\lambda$ (fpmh)	MTBF years	Criticality	Basis for estimation
Tubing Hanger body	CRT: External leakage - Process medium	0,11	1037	A	1 out of 260 items
	CRT: Internal leakage - Process medium	0,11	1037	A	1 out of 260 items
	CRT: External leakage - utility medium	0,11	1037	A	1 out of 260 items
Chemical Injection Coupling	-	0,45	254	A	Mean failure rate (no failures occurred out of 36 items)
Hydraulic Coupling	CRT: External leakage - utility medium	0,07	1630	A	1 out of 429 items
Power/signa l coupler	-	0,11	1037	A	Mean failure rate (no failures occurred out of 128 items)
Total Tubing Hanger	CRT	0,34	336	A	3 out of 262 items

**TABLE 22 FAILURE DATA FOR TREE CAP**

Component description	Failure mode	Failure rate $\lambda$ (fpmh)	MTBF years	Criticality	Basis for estimation
Tree Cap	CRT: Structural deficiency	0,11	1037	A	1 out of 247 items
	DGRD: External leakage - Utility medium	0,63	181	A	6 out of 247 items
	DGRD: Other failure mode(s)	0,32	357	A	3 out of 247 items

TABLE 23 FAILURE DATA FOR THE PRODUCTION XT

Component description	Failure mode	Failure rate $\lambda$ (fpmh)	MTBF years	Criticality	Basis for estimation
Flowspools	DGRD: Plugged/choked	0,09	1268	B	1 out of 303 items failed
	INC: External leakage - Process medium	0,09	1268	A	1 out of 303 items failed
Piping (hard pipe)	CRT: Plugged/Choked	0,22	519	A	2 out of 361 items failed
Tree guide frame	CRT: Structural deficiency	0,14	815	A	1 out of 219 items failed
	INC: Structural deficiency	0,27	423	B	2 out of 219 items failed
Hub/mandrel	-	0,09	1268	A	None failed out of 154 items
SCMMB	-	0,51	224	B	None failed out of 52 items

TABLE 24 FAILURE DATA FOR THE MAIN VALVES

Component description	Failure mode	Failure rate $\lambda$ (fpmh)	MTBF years	Criticality	Basis for estimation
Process Isolation Valves	CRT: Fail to Close on Demand	0,11	1037	Dependent valve type	8 out of 2267 items
	CRT: Fail to Open/Unlock	0,06	1901	Dependent valve type	4 out of 2267 items
	CRT: Leakage in closed position (Internal leakage)	0,08	1426	Dependent valve type	6 out of 2267 items
	CRT: Other failure mode(s)	0,01	11408	Dependent valve type	1 out of 2267 items
	DGRD: External leakage - Utility medium	0,01	11408	Dependent valve type	1 out of 2267 items
	DGRD: Other failure mode(s)	0,04	2852	Dependent valve type	3 out of 2267 items
	INC: External leakage - Process medium	0,04	2852	Dependent valve type	3 out of 2267 items
Utility Isolation Valves	CRT: Fail to Close on Demand	0,04	2852	Dependent valve type	1 out of 928 items
	CRT: Fail to Open/Unlock	0,04	2852	Dependent valve type	1 out of 928 items
	CRT: Leakage in closed position (Internal leakage)	0,04	2852	Dependent valve type	1 out of 928 items
	CRT: Other failure mode(s)	0,04	2852	Dependent valve type	1 out of 928 items

## Attachment A

**TABLE 25 FAILURE DATA FOR THE CHOKE VALVES**

Component description	Failure mode	Failure rate $\lambda$ (fpmh)	MTBF years	Criticality	Basis for estimation
Choke valve	CRT: Abnormal wear	0,14	815	A	1 out of 250 items
	CRT: External leakage - Process medium	0,14	815	A	1 out of 250 items
	CRT: Fail to Close on Demand	0,57	200	A	4 out of 250 items
	CRT: Fail to Function on Demand	1,28	89	A	9 out of 250 items
	CRT: Plugged/ choked	0,28	407	A	2 out of 250 items
	CRT: Other failure mode(s)	0,14	815	A	1 out of 250 items
	DGRD: Abnormal wear	0,43	265	B	3 out of 250 items
	DGRD: Fail to Close on Demand	0,14	815	B	1 out of 250 items
	DGRD: Fail to Function on Demand	1,56	73	B	11 out of 250 items
	DGRD: Plugged/ choked	0,28	407	B	2 out of 250 items
	INC: Combined/ Common Cause	0,14	815	B	1 out of 250 items
	INC: External leakage - Process medium	0,28	407	A	2 out of 250 items



## B. MOBILIZATION AND REPAIR TIME

The type of vessels for different intervention means and the associated mobilization time has been provided by GE Oil & Gas.

**TABLE 26 INTERVENTION VESSELS WITH MOBILIZATION DATA**

Abbreviations	Description	Capabilities	Typical Activities	Mobilization Time (days)
LIV	Light Intervention Vessel	Vessel of opportunity mobilized locally and capable of conducting light intervention tasks	Valve overrides/ Valve leak isolation and remediation	6-14 days
ROVSV	ROV Service Vessel	Intervention vessel with work class ROV spread. Lifting capacity up to 50 tonnes.	SCM and PDCM change out/ Seal replacements/ ROV remedial actions	7-21 days
MSV	Multipurpose Service Vessel	Larger version of ROVSV, sufficient deck space and crane capability beyond 50 tonnes. Carry out major repairs including umbilicals, UTA's or SDU retrieval.	Replacement of Well Spools and Tie-in Spools/ Flow Control Module replacements/ Umbilical and UTA repairs/ Operation of pig launchers	20-30 days
MSV2	Larger Service Vessel	Purpose vessel capable for installation and repair of flowline system	Flowline repairs and replacements.	90-150 days
LCV	Large Construction Vessel	Anchored derrick/ lay barge	Major repair work on PLET, SSIV and Manifold structures/ Riser repairs and replacements	120-240 days
MODU	Mobile Drilling Unit	Field capable DP rig.	Required for XT replacements and well intervention work.	120-240 days
OPE	Operator	Offshore operator. Performing simple corrective task such as initial diagnosis and resetting of system after		1-3 hours
MAIN	Maintenance Crew	Offshore or Onshore Based Maintenance Crew	Specialized tasks such as MCS and HPU repairs (replacements of components etc.)	8-24 hours

## Attachment B

**TABLE 27 REPAIR TIME**

Means of Repair	MTTR (days)			Vessel	Comments
	Min	Mode	Max		
Well/XT System					
Retrieve/ Replace XT	5	7	10	MODU	
Light Workover	15	18	25	MODU	Replacement of TH and SCSSV
Tree Cap Replacement	0,5	1	1,5	ROVSV	Replaced in connection with other repairs
Heavy Workover	20	23	30	MODU	Replacement of TH Spool, Packer, GPP.
Remedial Workover	21	28	40	MODU	Sand screen failures, annulus packoffs and WH failures (casing hangers)
Light Interventions					
Operate ROV Valves	0,25	0,5	0,75	LIV	Operate valves at FLET's and In-Line Tee's are required to isolate leakage from system
Replace SCM	0,5	1	2	ROVSV	Replaced using RCR or ROV. Replace FCM with spare module.
Replace FCM	2	3	5	MSV	
Replace CCV	0,25	0,5	1	ROVSV	
ROV Remedial Actions	1	2	3	ROVSV	Related to cycling of valves, cleaning, etc.

### C. FAILURE MODE AND EFFECT CRITICALITY ANALYSIS

Description of component		Description of potential failure			Potential Failure Effect		Corrective action (IMR)	Occurrence	Severity			Criticality	Comments		
FM ID	FM Code	Component	Device Function	Operational Mode	Potential Failure Mode	Potential Failure Cause			Failure Detection Method	On the subsystem	On the overall system			Existing safeguards	O
WH	1.1	Housing	Pressure containment	Operation	External leakage/Fail to seal	1) External impact 2) Seal structural damage 3) Debris	Continuous monitoring	Loss of containment	Immediate shutdown of affected well	System pressure monitoring	F2	C3	C2	C1	
					Blocked	Clogging, debris, contaminants, hydrate formation		Unable to produce through WH	Production hold		1) Flushing procedure, if not suff. 2) Retrieve XT, TH, THS	C2	C1		
TH	2.1	Tubing Hanger TH	Lock in THS	Installation	Fail to seal/ External Leakage	1) Seal contamination 2) Debris 3) Structural damage	Continuous monitoring	Loss of containment	Immediate shutdown of affected well		F2	C2	C2	C1	
					Fail to lock	1) Lockdown dogs and actuation sleeve failure 2) Isolation sleeve failure 3) Deposits	Pressure test	Unable to complete well	Delayed completion/ operation	Running tool pull test	1) Retrieve tubing hanger 2) Redress tubing hanger or/and clean out THS	F1	C1	C1	C1

Attachment C

2.2																			
2.3	Hydraulic coupling	Operation	External leakage	Seal failure Corrosion Bolting failure	Continuous monitoring	Loss of containment	Immediate shutdown of affected well.	Continuous monitoring	1) Retrieve tree tubing hanger for item replacement 2) Retrieve tubing hanger 3) Redress tubing hanger or/clean THS connection 4) Check spheri-seal tolerances	F1	C2	C2	C1						
2.4	Provide electrical downhole connections	Installation	Fail to connect to downhole functions	1) Lockdown dogs and actuation sleeve failure 2) Isolation sleeve failure 3) Deposits 4) Spheri-seal failure	1) Hydraulic function test 2) Spheri-seal test	Unable to operate downhole functions	Delayed operation	URT Overpull test	1) Remake wet connection 2) Retrieve tubing hanger	F2	C1	C1	C1						Reinstall TH
2.5	Provide primary and secondary barrier to production fluid	Operation	Fail to seal	1) Seal deterioration 2) Seal contamination	Continuous monitoring and/or visual inspection	Loss of containment	Immediate shutdown of affected well.	Tree system pressure test perform on FAT	1) Possibly repairable by ROV (clean and contingency seal) 2) Retrieve tubing hanger 3) Repair seal	F2	C2	C3	C1						
2.6	Unlock in THS	Intervention	Fail to unlock	1) Lockdown dogs and actuation sleeve failure 2) Deposits	Visual inspection	Unable to unlock TH from THS	Delayed intervention	Proven design	Develop special intervention plan	F1	C1	C1	C1						

## Failure Mode and Effect Criticality Analysis

THS	3.1	Housing	Pressure containment	Operation	External leakage	External impact	Continuous monitoring	Loss of containment	Immediate shutdown of affected well.	System pressure monitoring	F2	C2	C1					
	3.2				Fail to seal	1) Seal structural damage on sealing ring gaskets 2) Debris	Visual inspection		Immediate shutdown of affected well.			C2	C1					
	3.3		Allow flow		Blocked	1) Clogging, debris contaminants 2) Hydrate formation 3) External impact	Continuous monitoring	Unable to produce through THS.	Production hold.			C2	C1					
THS	4.1	Flowspools (production and annulus spools including HUB/mandrel)	Pressure containment	Operation	External leakage (production line)	1) Seal deterioration 2) Clogging, debris 3) Hydrate formation 4) External impact	Continuous monitoring	External leakage of hydrocarbons	Operational restrictions	System pressure test (DHPTT)	F2	C2	C1					
	4.2										External leakage (MEG injection line)		External leakage of MEG					
	4.3										Blocked	1) Clogging, debris contaminants 2) Hydrate formation 3) External impact	Visual inspection	Unable to produce through THS.	Production hold.			

THS	5.1	Connector (THS to production jumper)	Lock in jumper	Installation	Fail to lock	1) Lockdown dogs and actuation sleeve failure 2) Isolation sleeve failure 3) Deposits	Pressure test	Unable to lock in jumper to THS	Delayed completion/operation	Tested topside before installation	1) Clean surfaces with ROV 2) Retrieve jumper	F1	C1	C1	
	5.2		Pressure containment	Installation	Seal failure	1) Seal structural damage due to installation 2) Debris	1) Seal surface preparation 2) Gasket test	Loss of containment	Delayed completion/operation	1) Seal profile cleaning and inspection before installation 2) Gasket test topside	1) Clean surfaces with ROV 2) Contingency seal (ROV) 3) Retrieve jumper	F2	C1	C2	C1
	5.3			Operation		1) Seal structural damage 2) Debris	Visual inspection		Immediate shutdown of affected well.	Tested topside before installation	1) Retrieve jumper tree 2) Retrieve THS	C3	C4		
	5.4		Unlock in jumper	Intervention	Fail to unlock	1) Connector locking failure 2) Annular ring failure 3) Hydraulic formation 4) Lock segment spring fatigue 5) Blockage of locking/unlocking line	Connector indicator rod	Unable to lock jumper in THS	Delayed intervention	1) Redundant unlock system 2) Cutting loop	1) Operate secondary unlock piston 2) Cutting loop	F1	C1	C1	

## Failure Mode and Effect Criticality Analysis

THS	6.1	AIV	Pressure containment	Operation	External leakage	1) Seal failure 2) Corrosion 3) Bolting failure	Continuous monitoring	Loss of containment	Immediate shutdown of affected well.	1) VX Test Isolation Valve 2) Proven valve design 3) Two Isolation Valves in series	Retrieve annulus wing block	F2	C2	C1		
	6.2			Intervention	Fail to close on demand	Failure prevent valve stem of moving due to debris	Continuous monitoring and/or visual inspection	Loss of containment	Immediate shutdown of affected well.		1) Repeat cycle valve to see if valve closes 2) Retrieve annulus wing block	F2	C2	C1	The annulus wing block is housed with two AIV set in series to isolate the Annulus line when	
	6.3			Operation	Internal leakage	1) Damage to sealing surface by debris 2) Damage to sealing surfaces from operating valve in partially open position	Continuous monitoring	Potential leakage of hydrocarbons from the TH to the THS	Minor operations restrictions			Retrieve annulus wing block	F3	C1	C1	
	6.4			Intervention	Fail to open/unlock	Failure prevent valve stem of moving due to debris	1) Control system instruments 2) Visual inspection	Operational restrictions	Delayed intervention			1) Attempt to open the valve manually if required to ventilate 2) Retrieve annulus wing block	F3	C1	C1	

Attachment C

THS	7.1	Wellhead connector from THS to WH (typically HT-H4 connector or equivalent)	Lock to wellhead	Installation	Fail to lock	1) Connector locking failure 2) Annular ring failure 3) Seal ring failure 4) Hydraulic failure	1) Internal pressure test 2) Connector indicator rod 3) Tree overpull test	Unable to connect to wellhead	Delayed completion/operation	1) Actuated and tested by using ROV stabs 2) Connector performed test at FAT	1) Inspect and clean sealing 2) Retrieve THS	F1	C1	C1	
	7.2		Pressure containment	Installation	Wellhead seal failure	1) Seal structural damage due to installation 2) Debris	1) Seal surface preparation 2) Gasket test	Unable to install THS	Delayed completion/operation	1) Wellhead seal profile cleaning and inspection before installation 2) Gasket test 3) Funnel down method of installation	1) Retrieve THS 2) Preparation of the seal surface	F2	C1	C1	
	7.3			Operation		1) Seal structural damage 2) Debris	Visual inspection	Loss of containment	Immediate shutdown of affected well.	1) Gasket test 2) Funnel down method of installation 3) Chemical compatibility test	1) Retrieve tree 2) Retrieve THS 3) Preparation of seal surface 4) Inspect wellhead seal surface	C3	C4		



## Failure Mode and Effect Criticality Analysis

7.4	XT	High pressure tree cap	Unlock from wellhead	Intervention	Fail to unlock from wellhead	1) Connector locking dogs failure 2) Annular piston ring failure 3) Hydraulic formation 4) Lock segment spring fatigue 5) Blockage of locking/unlocking line of locking/unlocking line	Connector indicator rod	Unable to unlock THS from WH	Delayed intervention	1) Redundant unlock connector system 2) Cutting loop	1) Operate secondary unlock piston 2) Cutting loop	F1	C1	C1	C1	C1	C1	Secondary barrier to the environment above PSV and ASV											
																			Fail to seal	1) Seal deterioration 2) Seal contamination External impact	Continuous monitoring and/or visual inspection	Sea-water ingress	Immediate shutdown of affected well.	Proven design	Pull and replace tree cap with TRT	F2	C2	C1	C1

Attachment C

XT	9.1	Wellhead connector from XT to THS (typically HT-H4 connector or equivalent)	Lock to THS	Installation	Fail to lock	<ol style="list-style-type: none"> <li>1) Fail to orientate XT on THS</li> <li>2) Connector locking failure</li> <li>3) Annular piston ring failure</li> <li>4) Seal ring failure</li> <li>5) Hydraulic failure</li> </ol>	<ol style="list-style-type: none"> <li>1) Internal pressure test</li> <li>2) Connector indicator rod</li> <li>3) Tree overpull test</li> </ol>	Unable to lock tree to the THS	Delayed completion/operation	<ol style="list-style-type: none"> <li>1) Tree guide frame</li> <li>2) Performed connector test at FAT</li> <li>3) Functioned and tested by IWOCs</li> </ol>	<ol style="list-style-type: none"> <li>1) Inspect and clean sealing (ROV), if still not functioning</li> <li>2) Retrieve tree</li> </ol>	F1	C1	C1	C1	
	9.2		Pressure containment	Installation	THS seal failure	<ol style="list-style-type: none"> <li>1) Seal structural damage due to installation</li> <li>2) Debris</li> </ol>	<ol style="list-style-type: none"> <li>1) Seal surface preparation</li> <li>2) Gasket test</li> </ol>	Unable to install XT	Delayed completion/operation	<ol style="list-style-type: none"> <li>1) Wellhead seal profile cleaning and inspection before installation</li> <li>2) Gasket test topside</li> <li>3) Funnel down method of installation</li> </ol>	<ol style="list-style-type: none"> <li>1) Prep seal surface</li> <li>2) Contingency seal (ROV)</li> <li>3) Retrieve tree</li> </ol>	F2	C1	C1	C1	
	9.3			Operation	<ol style="list-style-type: none"> <li>1) Seal structural damage</li> <li>2) Debris</li> </ol>	Visual inspection	Loss of containment	Immediate shutdown of affected well.	<ol style="list-style-type: none"> <li>1) Gasket test topside</li> <li>2) Funnel down method of installation</li> <li>3) Chemical compatibility test</li> </ol>		C3	C4	C1			

## Failure Mode and Effect Criticality Analysis

9.4																					
XT	10.1	Tree blocks, flowlines (production, annulus and crossover loops) and hubs	Allow for HC, MEG injection, venting, fluid flow	Operation	Blocked crossover line	1) Clogging, debris contaminants 2) Hydrate formation 3) External impact failure)	Topside monitoring (difficult to detect failure)	Annulus bleed through line or crossover line	Operational restrictions	1) Tree running procedures 2) Tree insulation 3) Chemical injection	1) Hydrate remediation procedure or worst case: 2) Retrieve tree	F1	C1	C1	C1						
					External leakage	External impact	Loss of containment Potentially long term minor leak rates since difficult to detect.	Immediate shutdown of affected well when detected	1) Tree impact protection 2) Robust design	Retrieve tree	F1	C3	C4	C1							
	10.3		Seal for FCM and THS		External leakage	1) Seal failure 2) Corrosion 3) Bolting failure	Continuous monitoring	Loss of containment	Immediate shutdown of affected well.	Proven design	1) Possibly sufficient with ROV action, if not: 2) Retrieve tree	F1	C3	C4	C1						

XT	PMV, PWV	Pressure containment	Operation	External leakage	1) Seal failure 2) Corrosion 3) Bolting failure	Continuous monitoring (DPTT fluctuation)	Loss of containment	Immediate shutdown of affected well.	Proven valve design	Retrieve tree	F1	C3	C2	C1
11.1				External leakage	1) Seal failure 2) Corrosion 3) Bolting failure	Continuous monitoring (DPTT fluctuation)	Loss of containment		Proven valve design		F1	C1	C1	C1
11.2				Fail to close	Failure prevent valve stem of moving due to debris	Continuous monitoring	Loss of one containment barrier	Operational restrictions	Proven valve design	1) Rely on SWV 2) Retrieve tree when possible	F1	C1	C1	C1
11.3					1) Damage to sealing surface due to debris 2) Damage to sealing surfaces from operating valve in partially open position	Continuous monitoring (DPTT fluctuation)	1) Failure to achieve shut-in pressure or declining pressure 2) Loss of barrier integrity		1) Proven valve design 2) One out of three barriers	1) Rely on SWV 2) Retrieve tree when possible				
11.4				Internal leakage	1) Damage to sealing surface due to debris 2) Damage to sealing surfaces from operating valve in partially open position	Continuous monitoring (DPTT fluctuation)	Failure to achieve shut-in pressure or declining pressure	Operational restrictions	1) Proven valve design 2) One out of three barriers	1) Rely on SWV 2) Retrieve tree when possible	F1	C1	C1	C1



Attachment C

12.4																					
12.5	Allow for annulus and chemical injection flow	Operation	Internal leakage	1) Damage to sealing surface due to debris 2) Damage to sealing surfaces from operating valve in partially open position	Continuous monitoring	Leakage in annulus line	Minor operational restrictions	Proven valve design	If necessary: Retrieve tree	F1	C1	C1									
12.6		Fail to open	Internal leakage	Failure of valve actuator due to failure of piping or hydraulic mechanism of actuation	Continuous monitoring and/or visual inspection	Chemical injection failure	Operational restrictions or worst case: shutdown of affected well	1) Proven hydraulic components and design 2) Operated both hydraulically and mechanically Proven valve design	1) Manually operate valve with ROV, if not possible: 3) Retrieve tree	F1	C1	C1									
12.7		Uncommanded closing	Internal leakage	Loss of supply pressure to the actuator	Continuous monitoring			1) Adequate design and analysis of hydraulic systems 2) Interlocks 3) Redundancy in SCM	Treat as static failure.	F1	C1	C1									

## Failure Mode and Effect Criticality Analysis

XT	13.1	XOV	Pressure containment	Operation	External leakage	1) Seal failure 2) Corrosion 3) Bolting failure	Continuous monitoring	Loss of one containment barrier	Immediate shutdown of affected well.	Proven valve design	Retrieve tree	F1	C2	C2	C1	XOV/AWV: interlocks so that they both cannot be open simultaneously			
	13.2	Fail to close				Failure prevent valve stem of moving due to debris							1) Loss of one containment barrier 2) Chemical injection failure	Operational restrictions	Proven valve design		1) Manually operate valve by ROV, if not possible: 3) Retrieve tree	C1	C1
	13.3					1) Damage to sealing surface by debris 2) Damage to sealing surfaces from operating valve in partially open position							1) Loss of one containment barrier 2) Chemical injection leakage to production line				Retrieve tree		
	13.4	Internal leakage				1) Damage to sealing surface by debris 2) Damage to sealing surfaces from operating valve in partially open position							Loss of one containment barrier	Chemical injection leakage to production line	Proven valve design		Retrieve tree	F1	C2

Attachment C

13.5	Allow for ventilation of pressure from annulus to production bore	Operation	Fail to open	Failure of valve actuator due to failure of piping or hydraulic mechanism of actuation	Continuous monitoring and/or visual inspection	Cannot circulate flow through tree system	Operational restrictions	1) Proven hydraulic components and design 2) Operated both hydraulically and mechanically Proven valve design	1) Manually operate valve with ROV, if not possible: 3) Retrieve tree	F1	C1	C1	
13.6				Failure prevent valve stem of moving due to debris									
13.7			Uncommanded closing	Loss of supply pressure to the actuator	Continuous monitoring		Minor operations restrictions	1) Adequate design and analysis of hydraulic systems 2) Interlocks 3) Redundancy in SCM	Treat as static failure.	F1	C1	C1	



## Failure Mode and Effect Criticality Analysis

XT	14.1	PSV, ASV	Allow for interventions in the well	Intervention/ installation	External leakage	1) Seal failure 2) Corrosion 3) Bolting failure	Visual inspection	Loss of last containment barrier	Spillage of hydrocarbons to the sea. Shut-off well	Proven valve design	Retrieve tree	F1	C1	C2	C1
	14.2				Fail as is	Failure of valve actuator due to failure of piping or hydraulic mechanism of actuation	Continuous monitoring and/or visual inspection	Valve unable to open to be able to perform downhole activities	Delayed intervention	1) Proven hydraulic components and design 2) Manually ROV operated	1) Operate valve manually with ROV, if not possible: 2) Retrieve tree	F2	C1	C1	C1
	14.3					Failure prevent valve stem of moving due to debris				1) Proven valve design 2) Manually ROV operated					
	14.4				Internal leakage	1) Damage to sealing surface by debris 2) Damage to sealing surfaces from operating valve in partially open position while performing interventions	Continuous monitoring	Loss of containment barrier	Leakage of hydrocarbons to the sea. Shut-off well	Proven valve design	Retrieve tree	F1	C1	C2	C1

15.1	Check valves	Prevent backflow	Operation	Fail to open Fail to close	1) Sticking of check mechanism 2) Corrosion	Continuous monitoring	Not possible to inject chemicals through check valve Backflow possible	Operational restrictions	Proven valve design	Retrieve tree	F1	C1	C1	C1	Fail to close allows flow return
15.2										1) Rely on CIV or overpressure line 2) Retrieve tree					
16.1	MIV1 (HP MEG Injection Valve)	Chemical injection of HP MEG	Start-up/ Shutdown	Blockage or fracture in injection line	1) Coupler failure 2) Contamination of chemical line 3) Corrosion of chemical line	Continuous monitoring	Not able/reduced function to inject HP MEG during start-up/ shutdown. Possibly external leakage	Immediate shutdown of affected well.	1) Running procedure for flushing chemical injection lines 2) Tree insulation	1) Alternate injection line 2) Flushing procedure 3) Retrieve tree	F1	C2	C1		
16.2				Fail to open/ Spurious closure of MIV	1) Sticking gate due to deposits 2) Corrosion of gate 3) Loss of hydraulic supply 4) Actuator failure	1) Continuous monitoring 2) Position indicator readings by ROV	Not able to inject HP MEG during start-up/ shutdown			1) Open valve manually 2) Retrieve tree 3) Alternate injection line	F2	C2	C1	C1	

XT



18.1	CIV2 (Scale Inhibitor Injection Valve)	Chemical injection of scale inhibitor	Start-up/Shutdown	Blockage/fracture in injection line	1) Coupler failure 2) Contamination of chemical line 3) Corrosion of chemical line	Continuous monitoring	Not able to inject scale inhibitor during start-up/ shutdown	No impact on production if possible to rely on alternative (LDHI)	1) Running procedure for flushing chemical injection lines 2) Tree insulation	1) Alternate injection line 2) Flushing procedure 3) Rely on LDHI 4) Retrieve tree	F1	C1	C2	C1
18.2				Fail to open/ Spurious closure of CIV	1) Sticking gate due to deposits 2) Corrosion of gate 3) Loss of hydraulic supply 4) Actuator failure	1) Continuous monitoring 2) Position indicator readings by ROV				1) Open valve manually 2) Alternate injection line 3) Rely on LDHI 4) Retrieve	F2	C1	C1	C1
18.3				Fail to close/ Leakage across CIV	1) Sticking gate due to deposits 2) Corrosion of gate 3) Loss of hydraulic supply 4) Actuator failure 5) Seal deterioration		Not able to completely stop the injection of scale inhibitor	No impact on production	Proven valve design	1) Alternate injection line 2) Close valve manually 3) Retrieve tree	F2	C1	C1	C1

## Failure Mode and Effect Criticality Analysis

XT	19.1	AVV	Pressure containment	Operation	External leakage/ Seal failure	Continuous monitoring	Loss of containment barrier	Immediat shutdown of affected well.	Proven valve design	Retrieve tree	F1	C2	C2	C1	
	19.2				Fail to close	1) Failure prevent valve stem of moving due to debris 2) Damage to sealing surfaces		Immediat shutdown of affected well.		1) Manually operate ROV, if not possible; 2) Retrieve tree	F1	C1	C2	C1	
	19.3				Internal leakage	Damage to sealing surfaces		Immediat shutdown of affected well.		Retrieve tree	F1	C2	C2	C1	
	19.4		Allow for vent of pressure from annulus		Fail to open	1) Failure of valve actuator due to failure of piping or hydraulic mechanism of actuation 2) Debris	Continuous monitoring and/or visual inspection	Unable to vent pressure through vent line	Proven valve design	1) Manually operate valve by ROV, if not possible; 2) Retrieve tree	F1	C1	C1	C1	
	19.5				Uncommanded closing	Loss of supply pressure to the actuator	Continuous monitoring			Treat as static failure.	F1	C2	C1	C1	

XT	20.1	SCMMB (piping and connection)	Support and lock SCM to XT	Intervention/ installation	Fail to connect/lock	1) External impact to SCMMB 2) Connector damage 3) Installation tool error	ROV inspection	Cannot lock SCM to SCMMB	Extended intervention/ installation time	Testing before installation	Retrieve tree	F2	C1	C1	C1	
	20.2						Topside pressure monitoring	Loss of all monitoring	Immediate shutdown of affected well.	Proven design	Retrieve tree	C2	C3	C1		
	20.3						1) Topside pressure monitoring 2) ROV	External leakage of control fluid	Immediate shutdown of affected well.	Testing before installation	Retrieve tree	C1	C2	C1		
	20.4						Topside pressure monitoring	Loss of valve functions	Immediate shutdown of affected well.	Testing before installation	Retrieve tree	F2	C1	C1	C1	Legges inn type A/B/C kritiske feil!
FCM	21.1	PCV (Production Choke Valve) with retrievable insert	Control fluid flow and pressure	Operation	Fail to function	1) Failure of valve actuator due to failure of piping or hydraulic mechanism of actuation 2) Failure prevent valve stem of moving due to debris	1) Continuous monitoring 2) Visual inspection	1) Unable to increase/decrease well production rate 2) Unable to balance pressure to drill centre	No effect on production	Proven valve design	1) Manually operate valve with ROV 2) Retrieve choke insert independently	F4	C2	C1	C1	Frequency based on Abnormal Wear, Fail to Function on Demand and Fail to Close

## Failure Mode and Effect Criticality Analysis

21.2																			
21.3		Monitor valve position	Blockage	Contamination, hydrate formation	Unable to produce through FCM	Immediate shutdown of affected well.	Unable to monitor valve position	No monitoring of valve position	Redundancy	1) Flushing, if not sufficient; 2) Retrieve FCM	F3	C2	C1	C1					
21.4		Pressure containment	External leakage	Control/signal failure	Loss of containment	Immediate shutdown of affected well.	Continuous monitoring	1) Continuous monitoring 2) Functional testing	1) Proven valve design 2) PTT to detect decrease in pressure	Retrieve choke insert	F2	C2	C1	C1					Redundancy on hydraulic PT's in SCM
21.5		Retrievability of choke insert	Failure to release/re-install choke insert	1) Seal failure 2) Sand erosion 3) Degradation	Delayed repair of choke	Minor operations restrictions	ROV	1) Clamp failure 2) Impact during handling 3) Debris	1) Proven choke design 2) Emergency procedure for cutting clamp in the event of failure	1) Retrieve choke insert, if not possible; 2) Retrieve FCM	F1	C1	C1	C1					Retrieval of tree very unlikely

FCM	22.1	MIV 2 (MEG injection valve)	Inject MEG	Operation	Blockage/fracture in injection line	Coupler failure 2) Contamination of chemical line 3) Corrosion of chemical line	Topside pressure monitoring	Not able to inject MEG	1) Hydrate formation 2) Delayed operation	Alternate injection line	1) Flushing procedure 2) Alternate injection line 3) Retrieve FCM	F1	C2	C2	C1	Constant injection of MEG is needed to avoid hydrate formation. If failure detected in
	22.2				Fail to open/ Spurious closure of MIV	1) Sticking gate due to deposits 2) Corrosion of gate 3) Loss of hydraulic supply 4) Actuator failure	1) Topside pressure monitoring 2) Position indicator readings by ROV				1) Open valve manually 2) Alternate injection line 3) Retrieve FCM	F1	C2	C2	C1	
	22.3		Pressure containment	Intervention	Fail to close/ Leakage across MIV	1) Sticking gate due to deposits 2) Corrosion of gate 3) Loss of hydraulic supply 4) Actuator failure 5) Seal deterioration		Not able to completely stop the injection of MEG	Operational restrictions		1) Rely on alternate injection line 2) Close valve manually 3) Retrieve tree	F2	C1	C1	C1	



## Failure Mode and Effect Criticality Analysis

FCM	23.1	MEG CCV (Chemical Control Valve)	Control MEG injection flow and pressure	Operation	Fail to function	1) Failure of valve actuator due to failure of piping or hydraulic mechanism of actuation 2) Failure prevent valve stem of moving due to debris	Continuous monitoring and/or visual inspection	Unable to regulate choke in "Closed/ Open" position	1) Unable to increase/ decrease well production rate 2) Unable to balance drill centre	Proven valve design	1) Repeat cycle valve to see if valve closes 2) Retrieve choke insert	F4	C2	C1	C1	Frequency based on Abnormal Wear, Fail to Function on Demand and Fail to Close
						Contamination, hydrate formation		Blocked MEG injection line	Immediate shutdown of affected well		1) Flushing, if not sufficient: 2) Retrieve FCM	F3	C2	C1	C1	
	23.2				Blockage											
	23.3		Monitor valve position		Unable to monitor valve position	Sensor failure	Continuous monitoring	Inability to monitor valve position	Normal production.	1) SCM function line pressure sensor as back up 2) Software position indicator	1) Rely on hydraulic PT's in SCM choke insert	F2	C1	C1	C1	
	23.4		Pressure containment		External leakage	1) Seal failure 2) Sand erosion 3) Degradation	Continuous monitoring	Loss of containment	Leakage of hydrocarbon s to the sea. Shut-off affected well	Proven valve design	Retrieve FCM	F2	C2	C2	C1	

Attachment C

23.5		Retrievability of choke insert	Intervention	Fail to release/ re install choke insert	Clamp failure	ROV	Unable to perform planned intervention	Delayed intervention	1) Proven choke design 2) Emergency procedure for cutting clamp in the event of failure	1) RCR tool 2) ROV 3) FCM retrieval	F1	C1	C1	C1	
FCM	24.1	SWW (Sacrificial Wing Valve)	Operation	External leakage	1) Seal failure 2) Corrosion 3) Bolting failure	Continuous monitoring	Loss of containment	Immediate shutdown of affected well.	Proven valve design	Retrieve FCM	F1	C2	C1		
	24.2			Fail to close	Failure prevent valve stem of moving due to debris	Continuous monitoring	SWV out of function	Minor operational restrictions	Proven valve design	1) Try to manually close valve with ROV 2) Rely on PWV/PCV 3) Retrieve FCM	F1	C1	C1		
	24.3				1) Damage to sealing surface due to debris 2) Damage to sealing surfaces from operating valve in partially open position	Continuous monitoring				1) Rely on PWV/PCV 2) Retrieve FCM					



Attachment C

24.7																					
FCM	25.1	Piping and connections	Allow flow	Operation	Blockage	<p>1) Clogging, debris contaminants</p> <p>2) Hydrate formation</p> <p>3) External impact failure)</p>	Topside monitoring (difficult to detect failure)	Blocked piping	Immediate shutdown of affected well if not possible to produce. If possible, continue production.	<p>1) Tree running procedures</p> <p>2) Insulation</p> <p>3) Chemical injection</p>	<p>1) Hydrate remediation procedure or worst case: 2) Retrieve FCM</p>	F2	C2	C1	C1						
	25.2				External leakage	External impact. Injured frame/piping/connector		Loss of containment. Potentially long term minor leak rates since difficult to detect.	Immediate shutdown of affected well when detected	<p>1) Tree impact protection</p> <p>2) Robust design</p>	Retrieve FCM	F2	C2	C3	C1						
FCM	26.1	Wet Gas Flowmeter (WGFM)	Measure wet gas flow rate	Operation	Fail to monitor gas and liquid phases	<p>1) Electric failure</p> <p>2) Mechanical failure</p>	Control system/ CANBus controller feedback	Unknown wet gas liquid phase	No impact on production	Redundancy - Rely on other devices,	Evaluate wet gas flow rate manually or retrieve FCM.	F3	C1	C1	C1						Inject more MEG to avoid hydrate formation until MOB time

## D. RELIABILITY BLOCK DIAGRAMS

### D.1 PRODUCTION XMAS TREE

Wellhead System			MTBF
<b>Wellhead housing</b>	Type B	0,06	1901 Assumed failure detected during workover.
<b>Annulus seal assemblies</b>			1901 THS/XT retrieval required + DH workover
CRT: External leakage - Process medium	Type A	0,13	878 THS/XT retrieval required + DH workover
Total	Type A	0,13	878
	Type B	0,06	1901
H4 Wellhead connector (THS to WH connection)			
CRT: External leakage - Process medium	Type A	0,16	713
CRT: Fail to open/unlock	Type B	0,04	2852 Detected during heavy workover
DGRD: External leakage - Process medium	Type A	0,04	2852
Total	Type A	0,20	570 THS/TH/XT retrieval required (Heavy workover)
	Type B	0,04	2852 THS/TH/XT retrieval required (Heavy workover)
Tubing Head Spool			
<b>THS housing</b>	Type A	0,06	1901
<b>THS bores w/ seal surfaces for XT and WH connector</b>			
CRT: Plugged/ choked	Type A	0,22	519
<b>Flowspools</b>			
DGRD: Plugged/ choked	Type A	0,09	1268
<b>Annulus Isolation Valve (2 in series)</b>			
CRT: Fail to close on demand	Type B	0,08	1426
CRT: Fail to open/unlock	Type B	0,08	1426
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426
CRT: Other failure mode(s)	Type B	0,08	1426
Total	Type A	0,37	308 THS/XT retrieval required (Heavy workover)
	Type B	0,32	357
Connector (THS to production jumper)			
CRT: External leakage - Process medium	Type A	0,16	713
CRT: Fail to open/unlock	Type B	0,04	2852
DGRD: External leakage - Process medium	Type A	0,04	2852
Total	Type A	0,20	570
	Type B	0,04	2852 THS/XT retrieval required (Heavy workover)
Tubing hanger system			
Critical	Type A	0,34	336
		0,34	336 XT/TH retrieval required
Tree cap			
CRT: Structural deficiency	Type B	0,11	1037 Failure detected during workover.
DGRD: External leakage - Utility medium	Type A	0,63	181
DGRD: Fail to seal	Type A	0,32	357
Total	Type A	0,95	120 ROV action sufficient
	Type B	0,11	1037
H4 Wellhead connector (XT to THS connection)			
CRT: External leakage - Process medium	Type A	0,16	713
CRT: Fail to open/unlock	Type A	0,04	2852
DGRD: External leakage - Process medium	Type A	0,04	2852
Total	Type A	0,20	570
	Type B	0,04	2852
Tree blocks/flowloops/hubs			
<b>Production, annulus and crossover loops</b>			
DGRD: Plugged/Choked	Type A	0,09	1268
<b>Tree hub and seal for FCM</b>	Type A	0,09	1268
<b>Tree hub and seal for THS</b>	Type A	0,09	1268
<b>Tree guide frame</b>	Type B	0,12	951
<b>Treehead/housing</b>	Type A	0,06	1901
<b>SCMMB</b>	Type B	0,51	224 Detected during installation/workover
Total	Type A	0,33	346
	Type B	0,63	181

Assumed data is compatible to WH housing  
Assumed data is compatible to XT piping

Assumed data is compatible to XT flowloops

Assumed same reliability as the H4 connector

The failure data for the tubing hanger system includes failure data for tubing hanger, tubing hanger body, power/signal coupler, hydraulic coupling and chemical injection coupling

Attachment D

Process and Utility valves with actuators + Control valve			
<b>Production Master Valve</b>			
CRT: Fail to close on demand	Type A	0,11	1037
CRT: Fail to open/unlock	Type A	0,06	1901
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426
CRT: Other failure mode(s)	Type B	0,01	11408
DGRD: External leakage - Utility medium	Type A	0,01	11408
DGRD: Other failure mode(s)	Type B	0,04	2852
<b>Annulus Master Valve</b>			
CRT: Fail to close on demand	Type A	0,11	1037
CRT: Fail to open/unlock	Type B	0,06	1901
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426
CRT: Other failure mode(s)	Type B	0,01	11408
DGRD: External leakage - Utility medium	Type A	0,01	11408
DGRD: Other failure mode(s)	Type B	0,04	2852
<b>Annulus Vent Valve</b>			
CRT: Fail to close on demand	Type A	0,11	1037
CRT: Fail to open/unlock	Type C	0,06	1901 Rely on crossover loop, ROV action sufficient
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426 ROV action sufficient
CRT: Other failure mode(s)	Type B	0,01	11408
DGRD: External leakage - Utility medium	Type A	0,01	11408
DGRD: Other failure mode(s)	Type B	0,04	2852
<b>Productin Wing Valve</b>			
CRT: Fail to close on demand	Type A	0,11	1037
CRT: Fail to open/unlock	Type A	0,06	1901
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426
CRT: Other failure mode(s)	Type B	0,01	11408
DGRD: External leakage - Utility medium	Type A	0,01	11408
DGRD: Other failure mode(s)	Type B	0,04	2852
<b>Annulus Wing Valve</b>			
CRT: Fail to close on demand	Type B	0,11	1037 ROV action sufficient
CRT: Fail to open/unlock	Type B	0,06	1901 ROV action sufficient (Cannot vent annulus via
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426
CRT: Other failure mode(s)	Type B	0,01	11408
DGRD: External leakage - Utility medium	Type A	0,01	11408
DGRD: Other failure mode(s)	Type B	0,04	2852
<b>Production Swab Valve</b>			
CRT: Fail to close on demand	Type B	0,11	1037 ROV action sufficient
CRT: Fail to open/unlock	Type B	0,06	1901
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426
CRT: Other failure mode(s)	Type B	0,01	11408
DGRD: External leakage - Utility medium	Type A	0,01	11408
DGRD: Other failure mode(s)	Type B	0,04	2852
<b>Annulus Swab Valve</b>			
CRT: Fail to close on demand	Type B	0,11	1037 ROV action sufficient
CRT: Fail to open/unlock	Type B	0,06	1901
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426
CRT: Other failure mode(s)	Type B	0,01	11408
DGRD: External leakage - Utility medium	Type A	0,01	11408
DGRD: Other failure mode(s)	Type B	0,04	2852
<b>Crossover Valve</b>			
CRT: Fail to close on demand	Type B	0,11	1037
CRT: Fail to open/unlock	Type C	0,06	1901 ROV action sufficient
CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426
CRT: Other failure mode(s)	Type B	0,01	11408
DGRD: External leakage - Utility medium	Type A	0,01	11408
DGRD: Other failure mode(s)	Type B	0,04	2852
<b>MIV1 (HP MEG Injection Valve)</b>			
CRT: Fail to close on demand	Type C	0,11	1037 ROV action sufficient
CRT: Fail to open/unlock	Type B	0,06	1901 ROV action sufficient
CRT: Leakage in closed position/Internal leakage	Type C	0,08	1426 Continue operation (need constant MEG)
CRT: Other failure mode(s)	Type B	0,01	11408 Assume ROV action sufficient
DGRD: External leakage - Utility medium	Type A	0,01	11408 ROV action sufficient
DGRD: Other failure mode(s)	Type B	0,04	2852 Assume ROV action sufficient
<b>HP MEG Control Valve</b>			
CRT: External leakage - Utility medium	Type A	0,06	1901
CRT: Fail to function on demand	Type A	0,06	1901
CRT: Fail to open	Type B	0,06	1901
CRT: Plugged/choked	Type A	0,12	951
<b>Chemical Injection Valve (SI)</b>			
CRT: Fail to close on demand	Type C	0,11	1037 ROV action sufficient/rely on LDHI
CRT: Fail to open/unlock	Type B	0,06	1901 ROV action sufficient/rely on LDHI
CRT: Leakage in closed position/Internal leakage	Type C	0,08	1426 ROV action sufficient/rely on LDHI
CRT: Other failure mode(s)	Type B	0,01	11408 ROV action sufficient/rely on LDHI
DGRD: External leakage - Utility medium	Type A	0,01	11408 ROV action sufficient/rely on LDHI
DGRD: Other failure mode(s)	Type B	0,04	2852 ROV action sufficient/rely on LDHI
<b>MIV2 (Chemical Injection Valve (LDHI))</b>			
CRT: Fail to close on demand	Type C	0,11	1037 ROV action sufficient/rely on SI
CRT: Fail to open/unlock	Type B	0,06	1901 ROV action sufficient/rely on SI
CRT: Leakage in closed position/Internal leakage	Type C	0,08	1426 ROV action sufficient/rely on SI
CRT: Other failure mode(s)	Type B	0,01	11408 ROV action sufficient/rely on SI
DGRD: External leakage - Utility medium	Type A	0,01	11408 ROV action sufficient/rely on SI
DGRD: Other failure mode(s)	Type B	0,04	2852 ROV action sufficient/rely on SI

## Reliability Block Diagrams

<b>Valve summary</b>			
Total - ROV action sufficient			
	Type A	0,03	3803
	Type B	0,8	143
	Type C	0,63	181
Total - XT retrieval required			
	Type A	0,88	130
	Type B	1,31	87
	Total	3,65	31

Total for XT (excluding FCM)			
	Criticality	$\lambda$ (fpmh)	MTBF (years)
XT retrieval	Type A	2,65	43
	Type B	2,44	47
ROV action sufficient	Type A	0,95	120
	Type B	0,91	125
	Type C	0,63	181
ROV action sufficient		2,49	46
XT retrieval total		5,09	22
Total		7,58	15

Includes retrieval of XT caused by THS or TH  
Includes retrieval of XT caused by THS or TH

# Attachment D

## D.2 FLOW CONTROL MODULE

		FCM - Piping and connections		MTBF	
Assumed data is compatible to XT flowloops Flowbase Flowbase	Flowspools	Type A	0,21	543	
	Hub/mandrel (with seals)	Type A	0,09	1268	
	Frame	Type A	1,02	112	
	Total - Replace FCM				
		Type A	1,32	86	
<b>FCM - Process and Utility Isolation Valves with Actuators</b>					
Process Isolation Valve	<b>MEG Injection Valve (MIV3)</b>				
	CRT: Fail to close on demand	Type A	0,11	1037	
	CRT: Fail to open/unlock	Type A	0,06	1901	
	CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426	
	CRT: Other failure mode(s)	Type B	0,01	11408	
	DGRD: External leakage - Utility medium	Type A	0,01	11408	
	DGRD: Other failure mode(s)	Type B	0,04	2852	
	<b>Sacrificial Wing Valve</b>				
	CRT: Fail to close on demand	Type B	0,11	1037	
	CRT: Fail to open/unlock	Type A	0,06	1901	
Process Isolation valve	CRT: Leakage in closed position/Internal leakage	Type B	0,08	1426	
	CRT: Other failure mode(s)	Type B	0,01	11408	
	DGRD: External leakage - Utility medium	Type A	0,01	11408	
	DGRD: Other failure mode(s)	Type B	0,04	2852	
	Total - Replace FCM				
		Type A	0,36	317	
		Type B	0,37	308	
	<b>FCM - Production choke valve</b>				
		CRT: External leakage - Process medium	Type A	0,13	878
		CRT: Abnormal wear	Type B	0,14	815
CRT: Fail to close on demand		Type A	0,46	248	
CRT: Fail to function on demand		Type A	1,06	108	
CRT: Plugged/choked		Type B	0,25	456	
DGRD: Abnormal wear		Type B	0,43	265	
DGRD: Fail to close on demand		Type A	0,13	878	
DGRD: Fail to function on demand		Type A	1,56	73	
DGRD: Plugged/choked		Type B	0,28	407	
Total - Replace FCM					
		Type A	3,34	34	
		Type B	1,1	104	
<b>FCM - Chemical Control Valve</b>					
	CRT: External leakage - Process medium	Type A	0,13	878	
	CRT: Abnormal wear	Type B	0,14	815	
	CRT: Fail to close on demand	Type A	0,46	248	
	CRT: Fail to function on demand	Type A	1,06	108	
	CRT: Plugged/choked	Type B	0,25	456	
	DGRD: Abnormal wear	Type B	0,43	265	
	DGRD: Fail to close on demand	Type A	0,13	878	
	DGRD: Fail to function on demand	Type A	1,56	73	
	DGRD: Plugged/choked	Type B	0,28	407	
	Total - Replace CCV insert				
		Type A	3,34	34	
		Type B	1,10	104	
	<b>FCM - Wet Gas flowmeter</b>				
Assumed compatible with flow sensor	Critical	Type A	1,96	58 FCM retrieval required	

Total for the FCM	Criticality	$\lambda$ (fpmh)	MTTF (years)
Retrieve FCM	Type A	10,32	11
	Type B	2,57	44
Retrieve FCM (by MSV)		12,89	9