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3 lightly processed seafood.

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26 Effect of heat treatment and packaging technology on the microbial load of  
27 lightly processed seafood.

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## 39 Abstract

40 Increasing demands for lightly processed seafood stresses the need for development of non-  
41 intensive processing methods that ensures a safe product. The limitation to the shelf life of seafood  
42 is often ascribed to microbial activity. An experiment was design to investigate the influence of heat-  
43 treatments in combination with packaging technologies (vacuum (VAC), modified atmosphere (MA)  
44 packaging, or soluble gas stabilization (SGS)) on the microbial survival of inoculated species. Fish  
45 patties were inoculated with either *Brochothrix thermosphacta* or *Listeria innocua* before heat-  
46 treatment, packaging, and storage at 2°C for 16 days. Results showed increased heat-treatment  
47 lowered the bacterial load throughout the experiment. The choice of packaging technology had a  
48 bigger effect on the results, where VAC-samples had a significantly higher bacterial load than MA-  
49 and lastly SGS-packaged samples, regardless of heat-treatment (*L. innocua*: 8.7±0.1, 8.3±0.1,  
50 8.2±0.1logCFU/g, *B. thermosphacta*: 9.9±0.1, 9.2±0.1, 8.6±0.1 logCFU/g, respectively, at end of  
51 storage). Furthermore, use of CO<sub>2</sub> significantly increased the bacterial inhibition by heat (0.5-  
52 0.6logCFU/g) and extended the lag phase of *B. thermosphacta*, as well as decreasing the growth rate  
53 of both inoculum species. It is concluded that use of SGS has the opportunity to fulfill the consumers'  
54 demand of fresh, lightly processed seafood with a reasonable shelf life.

55

## 56 Keywords

57 *Brochothrix thermosphacta*, heat treatment, *Listeria spp.*, modified atmosphere, soluble gas  
58 stabilization, vacuum.

## 59 1. Introduction

60 Recent developments with increased time pressure from both work and past time activities has led  
61 to an increase in the demand for convenient and tasty ready-to-eat food options. Furthermore,  
62 contemporary trends for consuming fresh or lightly processed seafood, stresses the need to develop  
63 processing methods that allow a fulfillment of these demands, while still offering a reasonable shelf  
64 life (Speranza, Corbo, Conte, Sinigaglia, & Del Nobile, 2009). The shelf life of fresh seafood is limited

65 owing to multiple factors. However, the main restriction in shelf life of fish and seafood are often  
66 ascribed to the spoilage potential of microorganisms (Gram & Huss, 1996). Multiple technologies are  
67 being used to overcome these hindrances, and modified atmosphere (MA) packaging in combination  
68 with refrigeration has become one well-established method (Lambert, Smith, & Dodds, 1991). The  
69 effect of MA packaging is often ascribed to the bacteriostatic effect of CO<sub>2</sub> (Genigeorgis, 1985) as CO<sub>2</sub>  
70 increases the lag phase and generation time of most aerobic microorganisms (Gill, 1996).

71 The bacteria responsible for the spoilage of fish varies with species, harvesting environment, and  
72 preservation technologies (Milne & Powell, 2014). Multiple studies have looked into the bacteria  
73 responsible for spoilage in various fish species, and even though interspecies variations exist, results  
74 are in consensus. The identified dominant spoilage strains for MA packaged seafood include lactic  
75 acid bacteria (LAB) (Gram et al., 1996), *Brochothrix thermosphacta* (Macé et al., 2012; Sivertsvik,  
76 2003) as well as *Photobacterium phosphoreum* (Dalgaard, Mejlholm, Christiansen, & Huss, 1997). *P.*  
77 *phosphoreum* is of special interest in relations to MA packaged seafood, where it has been  
78 established as one of the major spoilage organism (Dalgaard et al., 1997; Sivertsvik, Rosnes, &  
79 Kleiberg, 2003). However, *P. phosphoreum* is highly heat sensitive, and thus it is seldom a problem in  
80 heat-processed ready-to-eat products, even when only low heating temperatures are used (Gram et  
81 al., 1996). *B. thermosphacta*, on the other hand, has been shown in foods heated as high as 76 °C for  
82 extended periods and it has been found to be able to grow at temperatures as low as 0 °C (Aaslyng,  
83 Vestergaard, & Koch, 2014). *B. thermosphacta* plays a major role in the spoilage of MA packaged fish  
84 (López-Gálvez, De La Hoz, Blanco, Ordóñez, & López-Gálvez, 1998; Ordóñez, López-Gálvez,  
85 Fernández, Hierro, & De La Hoz, 2000) as well as cooked and MA packaged shelf fish (Fall, Leroi,  
86 Cardinal, Chevalier, & Pilet, 2010). Thus *B. thermosphacta* is often considered as a specific spoilage  
87 organism (Mamlouk et al., 2012). *Listeria monocytogenes* can cause serious foodborne diseases and  
88 is often a reason for food recalls (Teratanavat & Hooker, 2004). This is due to *Listeria* ability to  
89 overcome many food processing hurdles and its ability to grow at refrigeration temperatures and/or  
90 in reduced oxygen atmosphere. Furthermore, *Listeria* is commonly found in seafood as well as in

91 processing plants (Vázquez-Sánchez, Galvão, & Oetterer, 2017). This makes the control of *Listeria* a  
92 challenge which has to be solved in relation to ready-to-eat seafood (Marshall, 2008).

93 Multiple studies have demonstrated that MA packaging can increase the shelf life of many foods as  
94 compared to air- or vacuum packaging, this includes various fish species (Bouletis, Arvanitoyannis, &  
95 Hadjichristodoulou, 2017). It has been shown that growth inhibition of bacteria in MA packaged  
96 foods is significantly conditioned by the concentration of dissolved CO<sub>2</sub> in the product (Devlieghere,  
97 Debevere, & Van Impe, 1998a, 1998b). However, MA packaging has some drawbacks, including the  
98 risk of imploding of the packages, known as packaging collapse, limiting the optimization of its use  
99 (Floros & Matsos, 2005). An alternative method to MA packaging, which overcomes these problems,  
100 is to dissolve the CO<sub>2</sub> into the product before retail packaging. This method of packaging is called  
101 soluble gas stabilization (SGS) (Sivertsvik, 2000).

102 Various processing- and packaging technologies for fish and seafood have been well studied in  
103 relations to microbial content and food safety. However, research regarding combinations of such  
104 technologies are limited, especially for lightly processed ready-to-eat fish products. Thus, the aim of  
105 this study is to expand the knowledge of microbial survival in lightly processed seafood, by studying  
106 the effect of combined low heat processing and gas packaging on the microbial load.

## 107 2. Materials and Methods

108 A storage experiment was conducted in order to establish the effect of heat treatment and packaging  
109 technology on microbial growth. The experiment was performed using fish mince patties inoculated  
110 with either *B. thermosphacta* or *L. innocua*. The experimental factor were heat treatment (decimal  
111 reduction of 3.5 and 4.5), packaging technology (SGS, MA packaging or VAC), and storage time (0, 4,  
112 7, 11, and 16 days) (Figure 2). Experiments were performed in three rounds, separated based on  
113 packaging technology applied (n=145 for each round).

## 114 2.1. Production of the fish mince patties

115 Frozen mince of silver smelt (*Argentina silus*, 20 kg) was purchased from Norwegian Seafood  
116 Company (Ålesund, Norway). The fish mince had a water content of  $69.8 \pm 0.5\%$  and lipid content of  
117  $1.2 \pm 0.1\%$ . The fish mince was thawed at  $4\text{ }^{\circ}\text{C}$  for 24 h prior to being mixed in a bowl chopper (Blixer  
118 6, Robot Coupe, France) at 20 000 rpm. Salt (0.5%) was added prior to the addition of 6.5% of potato  
119 starch and 38% of skimmed milk (0.1% lipid). A total mixing time of 150 sec was applied. The mince  
120 was stuffed in plastic casings ( $\varnothing=60\text{ mm}$ ,  $L=30\text{-}40\text{ cm}$ , approx. 1 kg), closed with metal clips and heat-  
121 treated in a steam cabinet (SelfCookingCenter®, Rational, UK) at  $100\text{ }^{\circ}\text{C}$  for 1 h. After the heat  
122 treatment, the mince product was cooled in the fridge at  $4.2 \pm 0.8\text{ }^{\circ}\text{C}$  for 2-4 h, prior to being frozen at  
123  $-22.8 \pm 1.0\text{ }^{\circ}\text{C}$  until use.

## 124 2.2. Bacterial strains and inoculum preparation

125 Frozen cultures ( $-80\text{ }^{\circ}\text{C}$ ) of *B. thermosphacta* (ATCC 11509) and *L. innocua* (ATCC 33090), were  
126 obtained from the culture collection at University of Gothenburg (CCGU). The cultures were grown  
127 on brain heart infusion (BHI) agar (Oxoid CM1136, Oxoid Ltd., Basingstoke, UK) for two days at  $22\text{ }^{\circ}\text{C}$   
128 and  $37\text{ }^{\circ}\text{C}$ , respectively. Single colonies were transferred to BHI broth (CM1032, Oxoid Ltd.,  
129 Basingstoke, UK) for enrichment, and incubated at  $8\text{ }^{\circ}\text{C}$  for 2 days for *B. thermosphacta* and for 5  
130 days for *L. innocua*, resulting in cold-adapted cultures in early stationary growth phase. Samples were  
131 diluted to  $\text{OD}_{600}$  of approximately 0.5 (0.504-0.507) in order to obtain a cell concentration of  
132 approximately  $10^9$  colony forming units (CFU)  $\times\text{ ml}^{-1}$  ( $2.5 \times 10^9\text{ CFU} \times\text{ ml}^{-1}$  for *L. innocua* and  $3.0 \times 10^9\text{ CFU}$   
133  $\times\text{ ml}^{-1}$  for *B. thermosphacta*).

## 134 2.3. Sample preparation and inoculation

135 The mince product was thawed for 48 h at  $4\text{ }^{\circ}\text{C}$  prior to being sliced in portions of 82.5 g ( $82.7 \pm 1.8\text{ g}$ )  
136 equivalent to slices of approximately 2.7 cm, and placed in 63 mm aluminum weigh dishes. The  
137 samples were drip inoculated, meaning that 100  $\mu\text{L}$  inoculum were dispersed on to the surface in  
138 droplets (Figure 1). Samples were inoculated with single cultures. Samples were split in three, 1/3  
139 were inoculated with *B. thermosphacta* (n=145), 1/3 were inoculated with *L. innocua* (n=145), and

140 the remaining were kept natural as control samples (n=145). The samples air-dried in a fume hood  
141 for 10 min prior to packaging. The samples were packed in batches (n=9-11) on trays (C2325-1C,  
142 Færch Plast, Holstebro, Denmark) in vacuum pouches (425x650 mm PA/PE sous vide pouch, Maske  
143 AS, Trondheim, Norge) filled with either atmospheric air (MA packaging and VAC samples) or pure  
144 CO<sub>2</sub> (SGS samples) in excess. Samples were stored at 4 °C (3.7±0.5 °C) for 16-18 h to dry completely.  
145 For all heat treatment and packaging combinations, control samples were prepared without  
146 inoculation. All samples were made as five replicates.

#### 147 2.4. Heat treatment and packaging

148 After drying, the samples were repacked in vacuum pouches (135x180 mm PA/PE sous vide pouch,  
149 Maske AS, Trondheim, Norge). Heat treatment of the samples were carried out using a sous vide  
150 water bath (Diamond M, Fusionchef by Julaba, Germany). Temperatures were 47 °C (46.4±0.2 °C)  
151 and 52 °C (51.5±0.2 °C), or 60 °C (59.6±0.1 °C) and 64 °C (63.37±0.15 °C), for *B. thermosphacta* and *L.*  
152 *innocua*, respectively. Treatment temperature-time combinations were chosen in order to obtain 3.5  
153 or 4.5 log reduction, D-value (D<sub>3.5</sub> and D<sub>4.5</sub>) for both bacterial species. D-values were calculated based  
154 on theoretical values for surface heat treatment (Batt, Patel, & Robinson, 1999; Embarek & Huss,  
155 1993). Heat treatment temperatures and times are presented in Table 1.

156 After heat treatment, all sample pouches were immediately cooled in ice water to prevent any  
157 further heating. Once cold, the samples were repacked in 230 ml semi-rigid crystalline polyethylene  
158 terephthalate (CPET) trays (C2125-1A, Færch Plast, Holstebro, Denmark) using a semi-automatic tray  
159 sealing packaging machine (TL250, Webomatic, Bochum, Germany). The trays obtained a degree of  
160 filling of approximately ⅓. The air was evacuated (final vacuum pressure of 25 mbar) and  
161 subsequently flushed with the gas mixture prior to adhering the top film of a 40 µm combination of  
162 polyethylene (PE), ethylene vinyl alcohol (EVOH), polyamide (PA), and polyethylene terephthalate  
163 (PET) (Topaz B-440 AF, Plastopil, Almere, The Netherlands). Food grade CO<sub>2</sub> and N<sub>2</sub> was mixed using a  
164 gas mixer (MAP Mix 9000, Dansensor, Ringsted, Denmark) to obtain packaging gas mixture of 60%  
165 CO<sub>2</sub>-40% N<sub>2</sub> for all MA- and SGS packaged samples. All sampling and repackaging were done

166 aseptically. Oxygen transmission rate (OTR) was  $66\text{-}78\text{ cm}^3 \times 25\text{ }\mu\text{m}^2 \times 24\text{ h}^1 \times \text{bar}^1$  at  $23\text{ }^\circ\text{C}$  for the  
167 tray and  $2.5\text{ cm}^3 \times 40\text{ }\mu\text{m}^2 \times 24\text{ h}^1 \times \text{atm}^1$  at  $23\text{ }^\circ\text{C}$  for the cover film. VAC samples were not  
168 repacked.

169 After packaging, the trays were stored at  $2\text{ }^\circ\text{C}$  ( $2.6\pm 0.5\text{ }^\circ\text{C}$ ) for 16 days. An overview of the  
170 experimental design is shown in Figure 2.

171

## 172 2.5. Headspace gas analysis

173 The headspace gas composition ( $\text{O}_2$  and  $\text{CO}_2$ ) was measured using an oxygen and carbon dioxide  
174 analyzer (Checkmate 9900 analyzer, PBI-Dansensor, Ringsted, Denmark). 20ml of the headspace gas  
175 was collected with a syringe after intrusion of the top film. Before probing the samples, a rubber  
176 septum (Nordic Supply, Skodje, Norway) was placed onto the top foil in order to avoid rupture and to  
177 avoid introduction of false atmosphere. In addition, the gas compositions was measured in empty  
178 trays immediately after packaging and in sample trays after 4, 8, 12, and 16 days storage.

## 179 2.6. Water content, lipid content, and pH

180 The water content of the fish patties ( $n=8$ ) was determined gravimetrically by drying the samples for  
181 24 h at  $105\text{ }^\circ\text{C}$  (International Organization for Standardization, 1999). Lipids were extracted and total  
182 amount calculated gravimetrically from the mince product by homogenizing the sample in a  
183 chloroform/methanol/water mixture follow by lipid-phase separation by centrifugation, as described  
184 by Bligh and Dyer (1959). Eight samples were taken and each sample was divided into two; one for  
185 analysis of water content and one for analysis of lipid content, respectively. pH was measured in all  
186 samples on sampling days. Analysis were done using a pH-probe ( ).

## 187 2.7. Microbial analysis

188 10 g of fish patty were sampled aseptically from the inoculated surface of the samples (Figure 1) and  
189 homogenized in 90ml sterile water with 0.85% NaCl (w/v) and 0.1% peptone (w/v) for 60 sec.  
190 Appropriate decimal dilution series were performed in sterile 0.85% NaCl (w/v) and 0.1% peptone



191 (w/v) in water. *B. thermosphacta* inoculated samples were plated on streptomycin-thallos acetate  
192 (STA) agar containing STA selective supplement (Oxoid CM0881 and Oxoid SR0162, Oxoid Ltd.,  
193 Basingstoke, UK) prepared as described by the manufacturer, and incubated aerobically at 22 °C  
194 (22.0±0.3 °C) for 48±2 hours. *L. innocua* inoculated samples were plated on Brilliance™ listeria agar  
195 (BLA) containing Brilliance™ listeria selective supplement (Oxoid CM1080 and Oxoid SR0227, Oxoid  
196 Ltd., Basingstoke, UK) prepared as described by the manufacturer, and incubated aerobically at 37 °C  
197 (37.1±0.2 °C) for 24±2 h. **Control** samples were tested for presences of *Brochothrix* species, *Listeria*  
198 species, and total viable organisms. **Analysis of control samples** were performed as described above  
199 on both STA and BLA media, as well as plate count agar (PCA) (Oxoid CM0325, Oxoid Ltd.,  
200 Basingstoke, UK), which was incubated at 30 °C (30.1±0.2 °C) for 4 days. **All sampling was done in**  
201 **accordance with NMKL-standards (NMKL, 2010) and manufactures recommendations.** Initial analysis  
202 also included quality control of all inoculated samples on PCA, however, these analyses were  
203 excluded due to finding background **microbiota** from the raw material, making quality control of the  
204 handling and sampling impossible.

205 Sampling was performed of the raw material, inoculated samples, and on all samples after 0, 4, 8, 12,  
206 and 16 days storage.

#### 207 2.7.1. Identification of background **microbiota**

208 The **fish patty** showed growth of unknown organisms when sampled on PCA. Single colonies from  
209 various PCA plates were re-cultivated twice to obtain pure colonies; first time using BHI agar and  
210 secondly on tryptone soya agar (TSA) (Oxoid CM0131, Oxoid Ltd., Basingstoke, UK), both rounds were  
211 incubated at 30 °C for 24 h. Colonies were identified using the Omnilog ID system (Biolog, Harvard,  
212 CA, US) in accordance with manufacture manual protocol B. In short; colonies were picked with a  
213 sterile cotton-tip swaps and diluted in inoculating fluid (0.40% sodium chloride, 0.03% Pluronic F-68,  
214 and 0.02% Gellan Gum). 100 µL were loaded into each well of a Gen III Microplate (Biolog, Harcard,  
215 CA, US). The microplates were incubated at 30 °C for 30 h. Results were compared with the Omnilog  
216 Biolog Database (biology, Harvard, CA, US).

217 Identification analyses found all of the unknown growth to be *Bacillus spp.* The fish mince patties in  
218 the present study were boiled prior to use, however, *Bacillus* spores has been shown to survive  
219 extended periods of high temperatures and being able to regain vegetative status afterward (Soni,  
220 Oey, Silcock, & Bremer, 2016), explaining why they could be found in the fish patties. The *Bacillus*  
221 showed no increase in numbers throughout the storage period but kept constant at a level of  $3.0 \pm 0.5$   
222  $\log \text{CFU} \times \text{g}^{-1}$ . The presence of *Bacillus*, however relatively low, meant that results for *L. innocua* or *B.*  
223 *thermosphacta* growth on PCA media was excluded from the rest of the study. As the *Bacillus*  
224 showed no growth during the storage period, it is believed not to constitute any competition to the  
225 inoculated bacteria, thus not influencing the results obtained from the selective media (BLA and  
226 STA).

## 227 2.8. Statistics

228 Statistical analyses, including outlier test, analysis of variance (ANOVA), and general linear modeling  
229 (GLM) were performed using Minitab 17.0 (Minitab, Coventry, UK). Outlier testing was performed  
230 using Grubbs outlier test at level  $p < 0.05$ . GLM was performed using Tukey's HSD test at level  $p < 0.05$ .  
231 To meet the requirements of equal variance and normal distribution, all statistical analyses of  
232 microbial growth were done on log-transformed data.

233 Data are given as mean  $\pm$  standard deviation (SD) unless otherwise stated

## 234 3. Results and discussion

235 GLM analyses showed all parameters (D-value, packaging technology and storage time) as well as all  
236 of the interaction effects to be of significant influence on the amount of bacterial growth ( $p < 0.001$ ).  
237 Despite showing interaction effects, all parameters were tested in combinations using ANOVA.

238 The experiment was performed in three separate runs, separated based on packaging technologies  
239 used. Neither bacterial count of the inoculum nor of the inoculated sample controls showed any  
240 significant differences between the runs ( $p > 0.05$ ), thus all data has been treated as one experiment.

### 3.1. Microbial load and packaging technology

241 A clear pattern evolved for the duration of the study when examining growth of *B. thermosphacta*  
242 under different conditions. Regardless of D-value, *B. thermosphacta* showed significantly lowest  
243 growth when stored using SGS, followed by MA packaging and lastly VAC (Figure 3), these findings  
244 are in agreement with the finding of Birkeland and Rotabakk (2014). In the case of *L. innocua*, the  
245 pattern is not as clear. Unlike that seen for *B. thermosphacta*, results between packaging  
246 technologies are not as distinct. The level of growth after 16 days of storage is  $D_{4.5} \text{ SGS}^A < D_{4.5} \text{ MA}^B <$   
247  $D_{3.5} \text{ SGS}^B < D_{3.5} \text{ MA}^C < D_{4.5} \text{ VAC}^{C,D} < D_{3.5} \text{ VAC}^D$  <sup>1</sup>(Figure 4). As mentioned, it is known that shelf life  
248 increases with increasing CO<sub>2</sub> concentrations (Hotchkiss & Langston, 1995). It has further been  
249 shown that higher degree of CO<sub>2</sub> saturation can be achieved when using SGS compared to MA  
250 packaging (Sivertsvik & Birkeland, 2006). This is in agreement with the findings for headspace gas  
251 composition in the present study, which showed significantly higher equilibrium CO<sub>2</sub> concentration in  
252 the headspace indicating a higher CO<sub>2</sub> concentration in the fish patties of the SGS- compared to MA  
253 packaged samples (Figure 5). This explains why the growth of *B. thermosphacta* and *L. innocua* is  
254 lower for SGS than for MA packaging (and VAC) when comparing within same D-values. This  
255 correlated well with the fact that use of SGS previously has shown promising results on product  
256 susceptible to infection with *B. thermosphacta* and *L. innocua*, including Atlantic salmon (Sivertsvik et  
257 al., 2003), cooked peeled shrimps (Sivertsvik et al., 2006), and chicken breast fillets (Al-Nehlawi,  
258 Saldo, Vega, & Guri, 2013; Rotabakk, Birkeland, Jeksrud, & Sivertsvik, 2006). On the other hand,  
259 Rotabakk, Birkeland, Lekang, and Sivertsvik (2008) found *B. thermosphacta* not to be influenced by  
260 the introduction of SGS. This agrees with previous studies, which have showed *B. thermosphacta*,  
261 amongst other, to benefit from the elevated CO<sub>2</sub> levels used in MA packaging or SGS. This effect is  
262 ascribed to the CO<sub>2</sub> tolerance of *B. thermosphacta* making it able to outcompete the otherwise  
263 dominant strictly aerobic microbiota (Koutsoumanis, Taoukis, Drosinos, & Nychas, 2000). In the  
264

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<sup>1</sup> Superscript refers to significant variation (p<0.05) by one-way ANOVA and Tukey's pairwise comparison test.

265 present study, the fish patties were sterile, except for presence of *Bacillus spp.* background  
266 microbiota and the introduced inoculum species; all being facultative anaerobes. This means that the  
267 use of CO<sub>2</sub> will not change the competition and thus not favor one species over the others. The  
268 potential growth-limiting effect of vacuum storage is ascribed to the removal of oxygen (Cutter,  
269 2002), however, as facultative anaerobes, *B. thermosphacta* and *L. innocua* can survive and grow  
270 under such conditions. The shelf life prolonging effect of CO<sub>2</sub> is further highlighted by the fact that  
271 when comparing within same D-value a log difference for *B. thermosphacta* density of 0.7 and 1.3  
272 were observed when comparing VAC to MA- and VAC to SGS, respectively. The log differences in the  
273 final density of *L. innocua* were 0.3 and 0.4 for VAC to MA- and VAC to SGS comparisons, respectively  
274 ( $p < 0.01$ , details not shown). This shows that the final density is directly related to the amount of CO<sub>2</sub>  
275 dissolved in the product.

276 In more details, results show that *B. thermosphacta* inoculated samples packaged using SGS had no  
277 significant difference in Log CFU regardless of D-value and storage time (Figure 3). As mentioned, the  
278 heat treatments chosen were based on obtaining a D-value of approximately 3.5 and 4.5  
279 respectively; however, these results show that when using SGS packaging, the packaging  
280 technologies is of bigger influence than the use of D<sub>4.5</sub> or D<sub>3.5</sub>. Differences between D-values showed  
281 significant influence for all MA packaged- and VAC samples at the end of the storage period. This  
282 demonstrates that in the current study the choice of packaging technology is of greater influence to  
283 the final bacterial density that the difference in heat treatment with regards to *B. thermosphacta*.  
284 With consumer demands of fresh and lightly processed seafood, high levels of thermal treatment is  
285 often unwanted. Use of MA packaging for fish products is the common industrial practice (Birkeland  
286 et al., 2014), however, the results of the present study show that at least a difference of one D-value  
287 reduction in heat treatment is possible if using SGS rather than MA packaging, thus helping to fulfill  
288 the demands of the consumers.

289 Regardless of D-values, *B. thermosphacta* SGS samples showed the first significant increase in log  
290 CFU from day 0 to day 8, whereas the MA and VAC samples show growth already between day 0 and  
291 4. This indicates that use of high levels of CO<sub>2</sub> is able to extend the lag phase for this bacterium. No  
292 such increase in lag phase were observed from *L. innocua* inoculated samples. To the best of our  
293 knowledge, no previous studies have looked at growth patterns for *L. innocua* and/or *B.*  
294 *thermosphacta* under both MA packaging and SGS, however studies have found SGS, compared to  
295 MA packaging, to be able to extend the lag phase in total aerobic- and psychrotrophic plate counts  
296 (Sivertsvik et al., 2006), thus agreeing with the present findings. Despite not showing a significant  
297 extension of the lag phase for *L. innocua*, the overall results show a significantly lower growth rate  
298 compared to those of the MA- and VAC packaged samples, which is in agreement with the findings of  
299 Provincial et al. (2013). This has the potential to increase the shelf life of the food products. It has  
300 previously been suggested that if the chosen treatment is not sufficient to kill the bacteria, *Listeria*  
301 can have the ability to recover during storage, and thus start to grow later in the storage period  
302 (Rode, Hovda, & Rotabakk, 2015). However, Rode et al. (2015) further suggested that in the presence  
303 of CO<sub>2</sub>, this recovery would be postponed even further. This could explain the lowering of the growth  
304 rate seen in the present study.

305 The use of Brilliance™ *Listeria* media has previously been found to limit growth of *Listeria* to non-  
306 injured cells, thus excluding most or all heat-injured cells (Beck Hansen & Knøchel, 2001). This could  
307 indicate that the levels of inhibition is overestimated in the present study. On the contrary, the use of  
308 Brilliance™ *Listeria* media is recognized by the Food and Drug Administration (Jinneman & Chen,  
309 2017) and is an often used method in analysis of listeria in lightly processed seafood (Mejlholm,  
310 Bøknæs, & Dalgaard, 2015; Mengden, Röhner, Sudhaus, & Klein, 2015; Young, Anang, & Tiwari,  
311 2014), amongst others. The results in the present study are therefore believed to be representative  
312 to the true values. Furthermore, at least the data allow a comparison between the different  
313 treatments in the present study.

314 CO<sub>2</sub> not only has an antimicrobial effect during the storage period. Loss and Hotchkiss (2002)  
315 demonstrated that the addition of CO<sub>2</sub> to milk prior to pasteurization significantly reduced the  
316 number of surviving microorganisms compared to samples pasteurized without the addition of CO<sub>2</sub>.  
317 In the present study, only the SGS samples had been treated with CO<sub>2</sub> prior to the heat treatment  
318 (Figure 2). The findings of Loss et al. (2002) are in agreement with the samples inoculated with *B.*  
319 *thermosphacta*, which show significantly higher inactivation in SGS-samples compared to MA- and  
320 VAC-samples (data not shown). The effect of pre-heating addition of CO<sub>2</sub> has been ascribed to the  
321 lowering of pH which in turns increases the thermal sensitivity of microorganisms (James, Loessner,  
322 & Golden, 2005). In the present study, analysis showed pH to be significantly lower in SGS samples  
323 (pH=6.09±0.05) compared to samples not treated with CO<sub>2</sub> (pH=6.51±0.03), thus potentially  
324 explaining the increased efficiency of the heating step. On the other hand, no such pattern is seen  
325 with regards to *L. innocua* (Figure 4). The reason for this is not understood.

326 As mentioned earlier *L. monocytogenes* is often considered to be the main concern regarding food  
327 safety in ready-to-eat seafood. The non-pathogenic *L. innocua* has a high phenotypic similarity to *L.*  
328 *monocytogenes* and is often used as a substitute organism when studying *L. monocytogenes*. Some  
329 strains of *L. innocua* have been found to be more heat resistant than *L. monocytogenes* (Lorentzen,  
330 Ytterstad, Olsen, & Skjerdal, 2010). However, both species share ecological niches (Hudecova,  
331 Buchtova, & Steinhauserova, 2010), show no differences in growth patterns (McLaughlin, Casey,  
332 Cotter, Gahan, & Hill, 2011), or no differences in response to the use of MA packaging (Hugas, Pagés,  
333 Garriga, & Monfort, 1998), thus making *L. innocua* a suitable, yet more conservative, surrogate for  
334 studying effect of processing on *L. monocytogenes*.

#### 335 4. Conclusion

336 To sum up, the present study has demonstrated that the use of high CO<sub>2</sub> levels in SGS significantly  
337 increase the bacterial inhibition by heat, extended the lag phase, and reduced the final microbial  
338 density by up to 95% for *B. thermosphacta*, as compared to samples packed with either regular MA  
339 packaging or in vacuum, regardless of heating protocol used. In the case of *L. innocua*, a significant

340 decrease in growth rate, as well as a reduction in final CFU of up to 60% was obtained. In conclusion,  
341 this shows that the choice of packaging technology applied is of bigger influence than the differences  
342 in the heating protocols applied in the present study.

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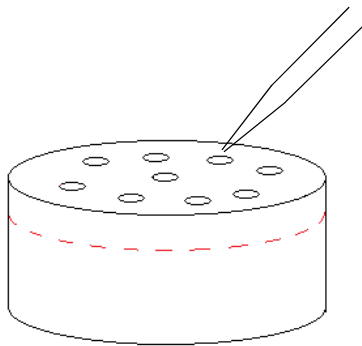
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493 No color intended for any of the figures in print.

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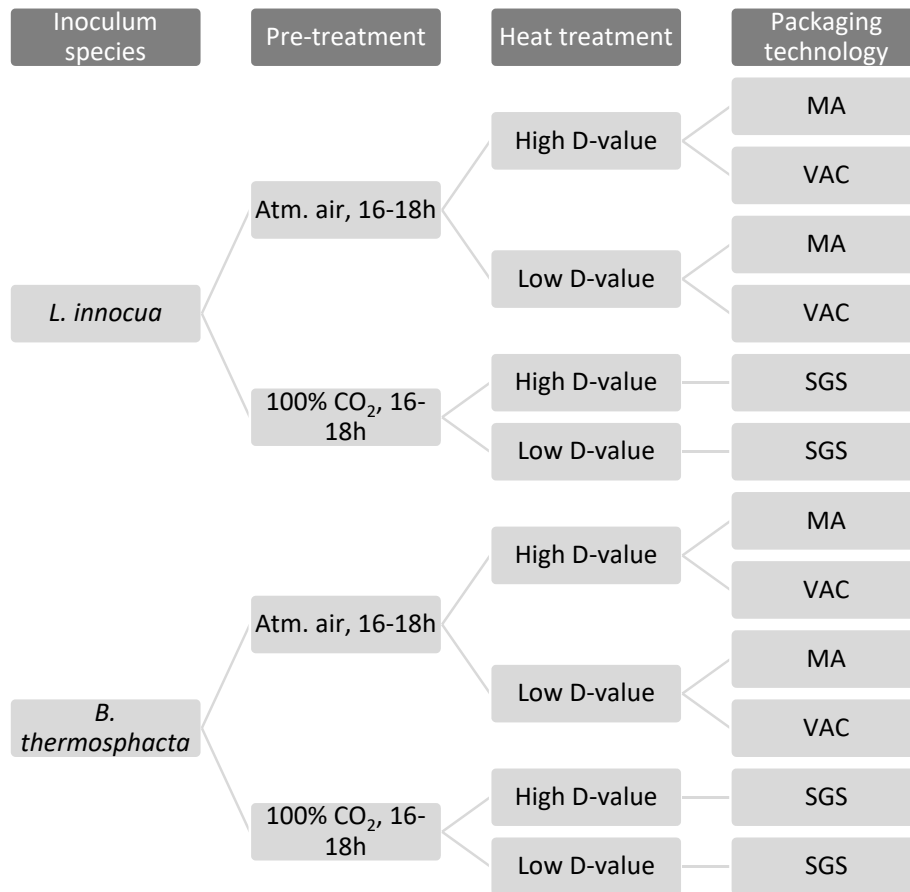
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498 *Figure 1: Schematics of fish patty slice with 100 µL inoculum dispersed on the surface by pipetting. Dashed line indicates*  
499 *approximate level of surface sampling for microbial analysis.*

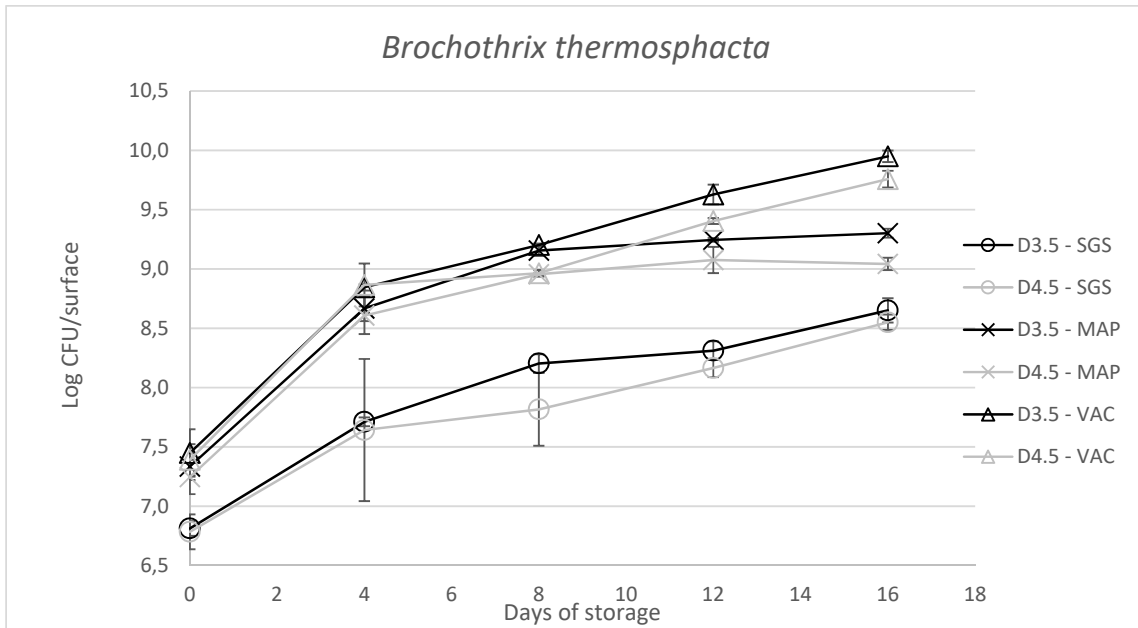
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502 *Figure 2: Summary of the experimental design, including sample characteristics separation based on every step of*  
 503 *processing. "High D-value" = samples heated to an equivalent of D=4.5 (L. innocua 64°C for 300sec, B. thermosphacta 52°C for*  
 504 *60 sec), "Low D-value" = samples heated to an equivalent of D=3.5 (L. innocua 60°C for 900sec, B. thermosphacta 47°C for*  
 505 *210 sec). "MAP" = Samples packaged in modified atmosphere, "VAC" = vacuum packed samples, "SGS" = Samples packaged*  
 506 *using soluble gas stabilization.*

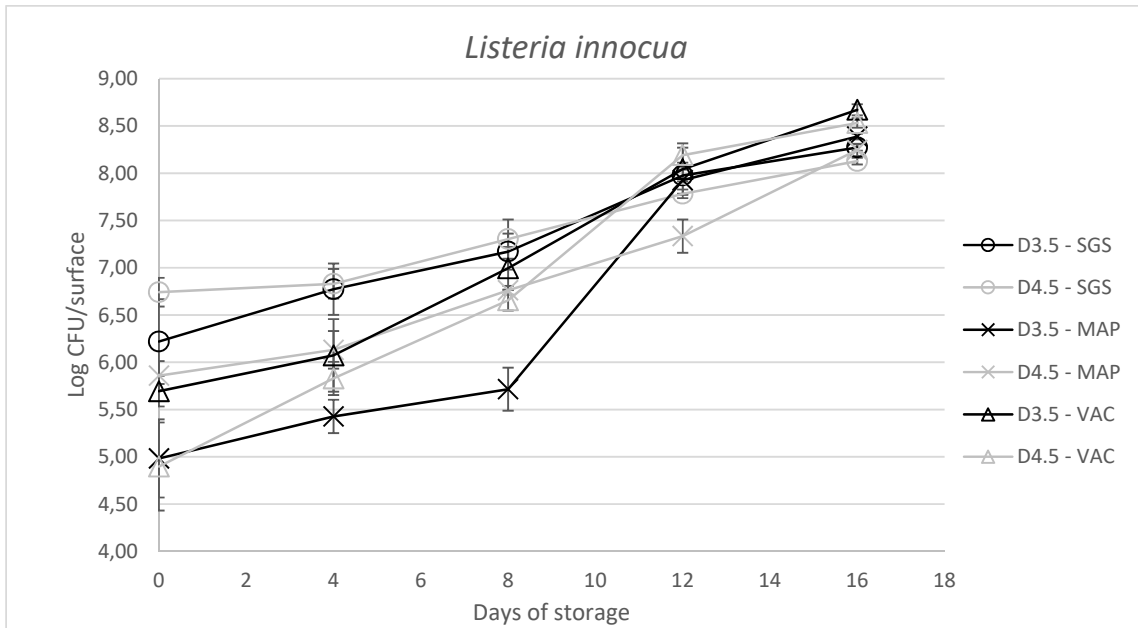
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509 *Figure 3: Growth of Brochothrix thermosphacta during 16 days of storage, separated based on heat treatment temperature-*  
 510 *time combinations and packaging technology. Line color: black = samples heated to an equivalent of D=3.5, grey = samples*  
 511 *heated to an equivalent of 4.5. Line markings: Δ = vacuum packaged samples, X = Modified atmosphere packaged samples,*  
 512 *O = Soluble gas stabilization treated samples.*

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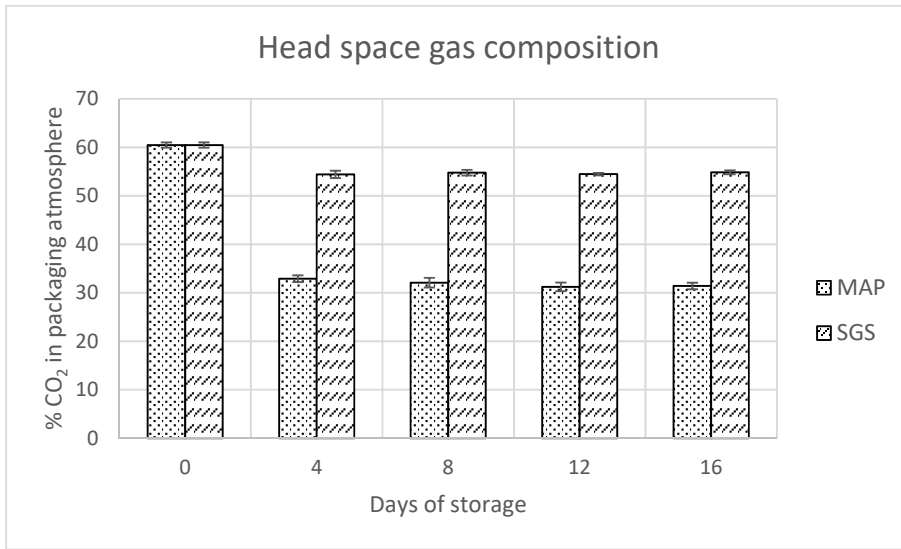


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515 *Figure 4: Growth of Listeria innocua during 16 days of storage, separated based on heat treatment temperature-time*  
 516 *combinations and packaging technology. Line color: black = samples heated to an equivalent of D=3.5, grey = samples*  
 517 *heated to an equivalent of 4.5. Line markings: Δ = vacuum packaged samples, X = Modified atmosphere packaged samples,*  
 518 *O = Soluble gas stabilization treated samples.*

519

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521

522 *Figure 5: Headspace CO<sub>2</sub> concentration of MAP- and SGS-samples during the 16 days storage period, presented as mean ±*  
 523 *standard deviations. Dotted column = Modified atmosphere packaged samples, hatched column = soluble gas stabilization*  
 524 *treated samples.*

525

526