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## Striking the Balance between Renewable Energy Generation and Water Status Protection: Hydropower in the Context of the European Renewable Energy Directive and Water Framework Directive

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Keywords: hydropower; Water Framework Directive; renewable energy; environmental policy integration; energy policy; sustainability.

## Abstract

This article addresses the theoretical and practical challenge faced by the European policy community and member states of trying to simultaneously pursue renewable energy and environmental goals, as incorporated in the European Union Renewable Energy Directive and the Water Framework Directive. Through the case of hydropower which is today at a crossroad between being a renewable electricity source - answering to climate change and energy security concerns - as well as a local environmental challenge in the light of degradation of rivers ecosystem and local biodiversity, the article explores the way renewable energy and water protection objectives are integrated inside the Common Implementation Strategy at the EU level. Based on document analysis and interviews, the mapping of the different frames shows that old conflicts and controversies related to the hydropower technology have been reopened and reframed in order to accommodate both the energy security issue and the EU sustainability and climate change discourse. Conclusions reveal that despite the creation of multi-stakeholder platform for negotiation and collaboration, the Common Implementation Strategy fails in several occasions to explain how to achieve the right balance and leaves unclear what specifically has to be integrated and to what degree. Hence, in front of the plurality and diversity of values, interests and concerns in relation to hydropower and the Water Framework Directive goals, a prioritization between the water, climate and energy policy goals might be needed, with the possibility of having real winners and losers of the integration process.

### Abbreviations

Abbreviation	IS
CIS	Common Implementation Strategy
DG	Directorate General
EEB	European Environmental Bureau
EPI	Environmental policy integration
EU	European Union
HMWB	Heavily modifies water bodies
NGO	Non Governmental Organization
RES	Renewable energy source
WFD	Water Framework Directive
WWF	World Wide Fund For Nature

## INTRODUCTION

Policy issues are often characterized by contested objectives, conflicting values and trade-offs. For this reason, concepts of policy integration, balancing and win-win strategies are central in policy making and implementation. Their relevance has became even more striking in front of the growing and incoherent system of European directives which risks hindering the implementation process and the achievement of the different goals (Eurelectric, 2005; Jordan and Lenschow, 2008; Jackson, 2011). A large share of recent literature has thus focused on how to manage conflicts and foster synergies between legitimate yet conflicting social, economic and environmental goals, towards the achievement of a more sustainable development (Beunen *et al.*, 2009; Lafferty, 2004; Nilsson *et al.* 2012; Soderberg and Eckerberg, 2013; Rietig, 2013).

The purpose of this article is to contribute to the broader debate by addressing the theoretical and practical challenge faced by the European policy community and member states of trying to simultaneously pursue renewable energy and environmental goals, as incorporated in the European Renewable Energy Directive (RES) and the Water Framework Directive (WFD). We present here the case of hydropower which is today at a crossroad between being a renewable electricity source - answering to climate change and energy security concerns - as well as a local environmental challenge in the light of degradation of rivers ecosystem and local biodiversity (Rosenberg *et al.*, 1995; McCully, 1996; Bunn and Arthington, 2002).

Hydropower is a mature and well-established renewable technology which supplies over a tenth of the European Union (EU) electricity generation and about 60% of its renewable electricity generation in 2012. It has played an important role in the European economic development in the last 50 years and it is expected to be significantly important in the EU energy scenarios of the coming decades, especially in relation to the increasing penetration of intermittent renewable energy and need of storage capacity (Eurelectric, 2011; interview DG energy). Hydropower represents indeed one of the storage solutions (Gimeno-Gutiérrez and Lacal-Arántegui, 2013).

In the light of the RES and the EU climate and energy strategy, hydropower is defined and promoted as a renewable energy source (RES, art.2) which contributes to the abatement of greenhouse gas emissions, increased security of supply and achievement of mandatory renewable EU and national targets by 2020. On the other hand, hydropower is one of those climate change mitigation measures which do not necessarily contribute to the sustainability goal because of the hydro-morphological pressures it causes to water bodies (Rietig, 2013; Fletcher, 2010). Although not directly regulated by the WFD, hydropower is affected by this framework which responds to trends of deterioration of EU water status and aims to protect and ensure the good ecological and good chemical status of all water bodies by 2015 (WFD, art. 2.9). In the light of the WFD hydropower has been identified as the third most common water use for designating Heavily Modified Water Bodies (HMWB) (WFD, art. 4.3), which are bodies of water that have been subject to *physical alteration as* well as *substantial changes in character* as a result of human activity which cannot be removed because of the high economic and social cost (Ecologic, 2009; EC, 2012b). For this category of water bodies, the quality target to pursue by 2015 is a less stringent objective that substantiate in the good ecological potential, with possibility of extending the deadline for reaching the targets until 2027 (WFD, art. 4a).

Looking at the goals of the two directives per se, there seems to be no direct conflict as they both answer to sustainability imperatives, by stimulating positive environmental development and more efficient energy and water policies (Nilsson *et al.*, 2012). Nevertheless, in the case of electricity generation through hydropower, the conflict materializes when pursuing WFD goals hinders those of the RES directive and vice-versa. This is the case of requirements for modification of existing hydropower facilities that, in order to reach the good ecological status of water bodies impose economic costs and potential losses of technical capacity and economic profitability for the hydropower sector. The conflict is likely to become stronger as climate change and energy policies adopted in its name might require new hydropower schemes that might be hindered in order to comply with the "no deterioration" requirement of the WFD.

While the interaction of the WFD with other policy goals has been already explored as in the case of the Birds and Habitats Directives (Beunen *et al.*, 2009), forestry (Keskitalo and Pettersson, 2012), or in relation to national and regional implementation challenges (Liefferink *et al.*, 2011) as well as public participation issues (Newig *et al.*, 2005; van der Heijden and ten Heuvelhof, 2012), we find that very little attention has been paid to the interaction of this framework with the renewable energy policy and the RES directive, especially in relation to hydropower (Arcadis, 2011; Nilsson *et al.*, 2012). Little is said also about the origin of the complexity, uncertainty and conflict which spreads from the EU level affecting national and regional government where the integration of different concerns has its last instance. We believe the hydropower case, by providing a good illustration to the energy-environment nexus, addresses this research gap and contributes to the theoretical and practical understanding of policy integration challenges and conflicts at the EU level.

We look specifically at the activity taking place inside the Common Implementation Strategy for the WFD (CIS), a multi-stakeholder platform which answers to needs of the EU Commission, EU member states, hydropower industry and environmental NGOs to manage the complexity of the WFD, as well as to achieve a major integration and balance of the water and energy policy goals. The objectives of the paper are to: (a) analyse the conflicting interests and goals between hydropower production and the European WFD; (b) analyse how the process of policy integration between energy and environmental goals is being pursued at the CIS level; and (c) discuss to which extent this process is successful and useful.

This article first elaborates on the theoretical importance of environmental policy integration and frame analysis, underlying frames relevance and reframing for the elaboration of collaborative solutions, conflict management and the integration of different policy goals. Following the methodological considerations, section 4 maps out the arguments for promoting and opposing hydropower in the light of the different frames of the stakeholders involved in the CIS. We believe their mapping represents an important starting point for the understanding of the conflicts and discussion of the current situation of the European hydropower sector, as well as, the need to balance energy and water concerns. Section 5 discusses the CIS efforts for conflict management and reframing of the policy goals, highlighting the difficult and unclear definition of policy integration inside this multi-stakeholder platform. Finally, the concluding session reports on the main findings and discussion points, highlighting the difficulty to reduce complexity and ambiguity in the absence of a clear relation between the water, climate and energy policy goals.

## FRAMEWORK FOR ANALYSIS

The issue of reconciling conflicting policy concerns and ends can be connected to two relatively extensive literatures which will be briefly presented hereby: the literature on environmental policy integration (Collier, 1994; Lenschow, 2002a, 2002b; Lafferty, 2004; Lafferty and Knudsen, 2007; Jordan and Lenschow, 2010) and the literature on framing and conflict management strategies (Putnam and Holmer, 1992; Schön and Rein, 1994; Gray, 2004; Shmueli, 2008; Dewulf *et al.*, 2005). We have chosen to draw upon these two as they provide excellent references for developing a suitable analytical design enabling answers to the research objectives.

## **ENVIRONMENTAL POLICY INTEGRATION**

The literature on EPI has developed as a response to the need clearly expressed in the Brundtland Report (WCED, 1987) to connect and reconcile seemingly incompatible goals such as economic competitiveness, social development and environmental protection. The premises is that increased policy integration should ensure that environmental concerns are fully considered in the development of sectoral polices, leading to better conditions for improving the environmental outcomes of a sector and recognizing the de-coupling of economic drivers from environmental degradation as a crucial concept for the achievement of 'sustainable development' (Art. 6 of the European Treaty; Lafferty and Ruud, 2008).

EPI has been promoted both from a normative and a rational basis. From the normative basis EPI is seen as a "first order operational principle" Lenschow (2002b: 6) and an "overarching societal objective" (Lafferty, 2004:202) where the "principled priority" of environmental goals should be ensured (Lafferty and Hovden, 2003; Liberatore, 1997). From a rational point of view, EPI is seen as contributing to greater efficiency and coherence of policymaking (Jordan, 2002; Peters, 1998; Underdal, 1980). In this case, EPI would seem to rely on the balancing of environmental and nonenvironmental objectives in order to resolve the conflicts between policy objectives and satisfy all affected interests (Collier, 1994). With this regards, Lafferty (2004:200) highlights the risk associated to the emphasis on "mutual benefits" in the definition of Collier (1994) that is to draw the attention away from the fact that interests may be affected in a negative manner by the application of environmental policy, underestimating the conflicts inherent in the decoupling objective. With direct relevance to the debate on trade-offs and the challenge of making EPI credible and effective, Lenschow (2002a) argues that rather than portraying only win-win scenarios, it is crucial to consider the real numerous conflicts of interests with respect to many environmental issues, as well as prioritize the environment on sectoral issues. It is obvious from the different definitions that the environment is not always necessarily prioritised, and that the degree of integration is the result of political negotiation processes where priorities are determined by varying economic and political conditions, as well as the pressures of interest groups.

For the purpose of this paper we look at the policy outcome of the process occurring inside the CIS. In the present context, EPI provides a reference for analyzing how trade-offs between environmental and energy policy concerns in the case of hydropower are managed inside the CIS.

As EPI is "about reconceiving the key policy objectives of sectors in a way that makes the

environment an intrinsic rationale of sector policy" (Nilsson and Eckerberg, 2007, p.45), the process of EPI can also be considered a reframing process of perspectives, problem perception and fundamental ideas in sector policies and strategies. In this process the different economic, environmental and social interests are brought together and the issues have the chance to be reframed towards the achievement of sustainable development (Nilsson & Eckerberg, 2007; Nilsson, 2005).

### FRAMES, FRAMING AND REFRAMING

Frame analysis has been used in previous studies of EPI analyzing if and when actors tend to reframe their policies in order to incorporate environmental concerns (Soderberg, 2011; Nilsson, 2005).

As defined by Rein and Schön (1993, page 146) policy frames are "ways of selecting, organizing, interpreting, and making sense of a complex reality to provide guideposts for knowing, analyzing, persuading and acting". Hence, policy frames are lenses from which a complex situation can be made sense of, and they contain objectives, assumptions about problems, and prescription about the suitable way to deal with the issue (Gray, 2004; Dewulf *et al.*, 2005).

In a complex and conflicting situation as the management of water resources in the presence of hydropower, managing the interdependent uses of water means to deal with the stakeholders' different values, interests in the natural resource, specific interpretations of the problem at stake and preferrd outcomes. The source of the conflict lies therefore in the dissimilar interests, perception of the issues at stake and preferred outcomes of stakeholders (Shmueli and Ben-Gal, 2003; Shmueli, 2008). This said, the intensity of the conflict and its resolution rely on the degree of difference and strength of stakeholders' values, and the way the dispute is labeled (i.e. win-lose or win-win situation) (Gray, 2004). In this context, the activity of framing is seen as a deliberate process of constructing a frame in order to understand a complex situation or for a counterpart in negotiations in order to persuade to accept a certain point on contested issues (Zito,

In order to manage and resolve frame conflicts, several studies have underlined the role of communication in conflict management (Schön and Rein, 1994; Putnam and Holmen, 2002; Dewulf *et al.*, 2005; Shmueli, 2008). Bringing together and connecting the multiplicity of contending parties' should enable confrontation, exploration and reflection on the different frames towards reframing and accommodation of controversies that had at first seemed hopelessly intractable (Schön and Rein, 1994, page 57). Hence, frame connection and reflection should enable a process of learning and negotiation, which increases the possibility for more collaborative and integrative solutions where goals fit into a coherent whole (win-win solutions) (Winship, 2006; Nilsson, 2005; Putnam and Holmen, 2002). Thus, reframing occurs during negotiations and consists of a deliberate attempt to alter others frame, usually to facilitate communication and shape the course of a joint decision-making. Nevertheless, as Schön and Rein (1994) note, reflections on frames does not always lead to reframing, and reframing does not always necessary lead to resolution.

In this study, by reframing we consider the adjustment of policy goals, problem definition and strategies when the frames of sustainability and ecological protection are integrated into the sector frames.

## METHODOLOGY

For this study a qualitative approach has been adopted based on the documentary analysis and semistructured interviews. The analysis is made in two steps.

First, a thematic content analysis has been used in order to provide a picture of how hydropower is framed by the actors involved in the CIS activity on hydropower, based on the way promoted values, goals, underlying problems and preferred outcomes are articulated in policy documents, hydropower associations and NGO's official reports, as well as CIS related documents. To complement this information, we conducted twelve semi-structured telephone and face-to-face interviews between April 2014 and February 2015 with a range of stakeholders including personnel of DG environment and energy, environmental groups, hydropower industry and member states representatives.

The questions and themes used in order to trace the dominant policy frames while analyzing both documents and interview transcripts are related to:

- Promoted Values: what values are promoted and what is the role of hydropower in promoting those values?
- Policy objectives: what overarching policy objectives are stated for the hydropower from the different actors (what are their main interests?)
- Underlying problems: What are the main problems in relation to hydropower?
- Policy strategies: what solutions are presented to the perceived problems and how are the policy objectives to be achieved (what should be done about the problems)?

Based on the typology of frames developed by Shmueli and Ben-gal (2003) - values and identity, phrasing, substance and process frames - we map the different stakeholders' frames and use them as a tool for detecting frame conflicts and for understanding the implementation challenges (see Table 1).

Values and identity frames deal with the value-based elements that dominate the decision-making processes of interested parties and how these parties view fundamental values. Phrasing frames are related to how the parties focus on the conflict and formulate issues (e.g. win-lose or win-win). Substance frames consist of three subframes: i) interests of stakeholders (why stakeholders have specific positions or views); ii) issues or what is perceived as a problem to address; and iii) outcomes (how to achieve the solutions) expressed by each stakeholder. Process frames illustrate how the conflict is perceived to have been handled (e.g. fair and inclusive, how and by whom decisions have been made etc.)

Second, through a documentary study using qualitative content analysis (Kohlbacher, 2006) - focus on the statements related to EPI, from 2001 to 2012 - we trace the development of the idea and goal of EPI inside the CIS in order to assess how the process of policy integration between energy and environmental goals is being pursued at the CIS level. The CIS guidance documents and outcomes of the CIS workshops in relation to "Hydro-morphology" and "Hydropower" have been particularly important for the understanding of the current debates. The documentary study has been complemented by an analysis of the transcripts from twelve semi-structured interviews with key actors involved in drafting and negotiating the CIS guidance documents and the implementation of the WFD at the national level. Hence, we have analysed the documents and interview transcriptions, seen if the theme of environmental policy integration has emerged and if in time there has been any development or shift in the understanding and meaning of EPI. Table 2 offers a timeline of key events/documents analysed.

## ANALYZING HYDROPOWER POLICY FRAMES AND CONFLICTING POLICIES OBJECTIVES INSIDE THE CIS

The political context in which hydropower is situated is characterized by a multiplicity of stakeholders with competing frames and interests, which battle to get their policy views represented in the decisions made at the EU level and inside the Common Implementation Strategy for the WFD.

Two clear coalitions have created around the interpretation of the WFD in relation to hydromorphological changes and the elaboration of the guidance documents. On one side, there is an international lobby coalition of environmental NGOs composed by the European Environmental Bureau, the World Wild Fund and others (the EEB/WWF coalition), which highlights the ecological disruption that derives from the use of hydropower (EEB, 2001; WWF and EEB, 2004; 2010). Principally driven by ecological values, these actors frame hydropower as a risk for the environment and pursue a strict implementation of the WFD legal provisions. On the other, hydropower companies, Eurelecric (the European-level sector association representing the electricity industry), central governments of member states and DG Energy bring forward a common discourse stressing the role of hydropower as a renewable energy source in fighting climate change (hydropower for *climate*) and increasing security of electricity supply (*hydropower for energy security*) (DG Energy, 2013; Eurelectric, 2011; EREC, 2010, interview with stakeholders, 2014). The same RES Directive defines hydropower as a renewable source utilizable for the achievement of renewable energy targets (Art. 2a). The Climate change and security "lenses" are further strengthened by the economic orientation of the industry representatives which highlight the social benefits and economic profitability of hydropower, meanwhile expressing strongly their concerns for the economic consequences of the WFD implementation such as: additional expenditures and investments for restoration and mitigation measures; loss of the technical production capacity of hydropower plants (i.e. due to requirements for undisturbed flow regime or minim flow release); the instability of the financial environment for existing operative hydropower plants, as well as the development of new facilities (Euroelectric, 2005; 2011; VGB, 2005; ESHA, 2007, interview with hydropower stakeholder, 2014). The economic burden on the hydropower industry for investments strengthens the requests for flexibility in implementation of the WFD goals (e.g. a broader interpretation of the designation of HMWB, extension of deadlines), making cost- acceptance and implementation certainly more challenging.

Table 1 represents in a schematic way the value and substantive frames of the different stakeholders involved in the CIS activity related to hydropower and hydromorphology, reporting on their values, main interests, concerns and preferred outcomes - each of them emphasizing reasons for advancing or restricting hydropower.

Interviews and the analysis show that member states and the European Commission (EC) are both unable to speak with one voice due to diverse and coexisting economic, social and environmental

orientations and pressures. Looking at the EU it is possible to notice both the strong emphasis on energy policy, energy security and climate change, as expressed in the 2020 Climate and Energy Package, the 2050 roadmap (EC, 2011), and the green paper (EC, 2013), as well as a strong signal in relation to the ecological protection of water bodies and integration of sectoral policy goals through the WFD and the latest Blueprint to Safeguard Europe's Water Resources (EC, 2012). Meanwhile the different Directorate-Generals (DGs) for energy, environment and climate action carry on their agendas, in the light of different interests and concern the Commission at large is focused on assisting and ensuring a prompt implementation of the EU directives, as well as avoiding costly litigation among the different parties involved in the negotiations and implementation processes. Looking at national governments of member states, their involvement inside the CIS platform and implementation of the WFD varies greatly due to differences in existing water management practices, hydropower generation capacity and renewable targets, as well as the status of their waters and number of designated HMWB. The mixed quality of the first River Basin Management Plans (RBMPs) and program of measures, as well as the respect of the deadline for their adoption and reporting represent an example of these differences. At the beginning of 2012, four member states -Belgium, Greece, Portugal and Spain - had not vet adopted RBMPs, leading the Commission to take legal action against them (ECJ, C-297/11; C-366/11; C-403/11; C-223/11).

# *Table 1.* Overview of stakeholders values and identity frames, substance and phrasing frames in relation to the WFD implementation and hydropower. *Source:* Compiled by the authors.

The mapping in Table 1 shows that most of the conflicts within the substance frames arise from the differences among stakeholders' interest and outcome subframes. The environmental groups aspire to have protection and restoration of the good ecological status of all waters as well as biodiversity, which conflicts with the hydropower industry and authorities' goals to continue operating and increase the number and production of hydropower plants (water quality and biodiversity vs. electricity generation). At the same time, another conflict is highlighted between environmental concerns – water quality and biodiversity vs. climate change mitigation. The current revival of hydropower is advocated by the hydropower industry, member states and DG Energy and DG Climate as an instrument for the fulfillment of energy needs in ways that mitigate the effects of climate change, considered one of the major environmental issues on the political agenda of EU political leaders nowadays. Nevertheless, NGOs continuously report severe environmental impacts of hydropower, meanwhile highlighting the lack of explicit acknowledgement to climate risks in national governments plans (interview with NGOs, 2014). Looking at the outcome sub-frame, the mapping reveals a consensus between the European commission, member states' authorities and industry in terms of the willingness to achieve an agreement through a give-and-take process (implementation of mitigation measures in change of a more flexible implementation of the WFD) (interview with stakeholder, DG ENER, DG ENV, 2014), while for the NGO coalition the outcome frame calls for a stronger enforcement (interview with NGO, 2014).

### ANALYSING POLICY INTEGRATION AT THE CIS LEVEL

In the light of the complexity deriving from the legal text of the WFD and the plurality of interests and concerns in relation to hydropower, the CIS for the WFD has represented a multi-stakeholder platform for the management of the conflicts towards the achievement of "coherence between the implementation of the WFD and other sectoral and structural policies" (CIS, 2001). This dynamic and informal process was initiated by the European Commission, members states and Norway, and later extended to environmental NGOs and other directly involved actors such as HP companies in order to guide the implementation by developing specific guidelines. With direct relevance for the hydropower sector, a CIS working group on hydromorphology was established to develop guidance on the process of Heavily Modified Water Bodies designation, report findings and share best practices form elaborated case studies.

The analysis of the documents elaborated under the CIS activity show that the strategy is based on a collaborative approach where different frames are connected in order to allow for their exploration, common understanding of the technical and scientific implications of the WFD, negotiation and the achievement of win-win situations. Hence, in line with the research of integrative solutions (Schön and Rein, 2004; Winship, 2006), stakeholders involved in and affected by the WFD implementation have had the chance to meet, uncover and share their underlying values, interests and concerns, as well as reflect on how to reconcile the different goals. As shown in Table 2, in the last decade the integration goal already expressed in the WFD's (WFD: 2000: preamble 16) has had its own excursus inside the CIS in relation to hydromorphology and hydropower. With the assistance of a facilitator (Ecologic, a transdisciplinary research organization), in the course of the different workshops and documents, discussions have addressed the opportunity for synergies between renewable electricity through hydropower and water status protection focusing on the concept of "balance", the importance of "flexibility" that member states have in setting the different objectives for water bodies, and the relevance of the hydropower sector as well as proper integration of energy and water policy for the successful implementation of the Directives (Ecologic, 2005; CIS, 2007; Ecologic, 2009; Water Directors, 2010; Arcadis, 2011; CIS, 2012).

Examples of integrative and win-win solutions suggested inside the CIS have consisted in the combination of hydropower refurbishment and modernization with ecological mitigation measures in a way that hydropower producers could increase the efficiency and installed capacity of existing hydropower plants, thus the electricity production, meanwhile contributing to ecological improvements by increasing the minimum flow and installing new turbines (CIS, 2006; Ecologic, 2011).

Table 2. Timeline of key events/documents in relation to the integration goal.

*Source:* Compiled by the authors.

### THE DUAL ENVIRONMENTAL CONFLICT

The integration issue between energy and water protection, involves thinking and action in order to reconcile not only two different sectoral goals, but also different environmental concerns such as climate change and water quality. Although climate change is not integrated inside the WFD, the European Commission has since 2007 started addressing this dual environmental challenge inside an ad hoc CIS activity on Climate Change and Water (CIS, 2008: 15). Some more steps have been done with the EC White Paper (COM/2009/147) and the elaboration of CIS Guidance Document No.24 (CIS, 2009: 69), which aim to find a "well-balanced approach" and integrate climate change adaptation into the implementation of the EU water policy by building it into the elaboration and implementation of the River Basin Management Plans. As the second cycle of River Basin Management Plans will be available only in 2015, it remains to see how climate change issues will be integrated into the plans.

Parallel to the weak consideration of climate change issues into water policies, previous studies have highlighted the lack of water-management goals in EU climate change policies, which reinforces the need to have a better understanding among policy makers and industry of the challenging nexus (Henriksen *et al.*, 2011; Opperman *et al.*, 2011; Pitock, 2011). A reason for such a poor cross-sectoral policy integration could be represented by the fact that energy, climate and environmental policies are promoted and enhanced by different Directorates General (Environment, Energy, and Climate Action), which are influenced by different lobby groups' pressures. While the creation in 2010 of a new DG for Climate Action beside the DG Environment indicates a will by the EU to reinforce the policies within these areas, it might risk weakening the coherence and integration among them, allowing for different agendas and priorities. Hence, successful policy integration seems to be hindered by the traditional and parallel operating actors around the different DGs as developed over time (Jordan and Schout, 2006).

### HOW SUCCESSFUL HAS THE CIS BEEN SO FAR?

The final reports and policy papers tend to hide traces of the conflicts and give a "tidy" picture of the relations among stakeholders. NGOs involved in the discussions have highlighted how the CIS has tended to overemphasize win-win situations; meanwhile the conflict dynamics that mirror sectoral interests at the national and European level are reproduced and perpetuated (WWF and EEB, 2005; EEB, 2010; interviews with NGOs, 2015). Actively involved both at political and technical levels in the CIS, NGOs have covered a "watchdog role" supported by DG Environment, in order to ensure a clear and inclusive interpretation of the environmental issues, and that outcomes of this process reflect as much as possible the WFD legal obligations. During the last decade they have reported on several conflicts that emerged inside the CIS among which, the interpretation of the WFD and the elaboration of guidelines, the respect of the designating criteria of water bodies, as well as the use of HMWB.

NGOs claim that the quality of the guidance documents has been mixed and characterized by lack of clarity in the interpretation of legal provisions, as well as not having very ambitious

recommendations. The cause of these low ambitions is attributed to the consensus-based type of decision making process which takes place inside the Strategic Coordination Group and at the Water Directors' level. As the elaboration of the guidance documents needs the approval of the CIS stakeholders involved, this has signified that compromises made in order to succeed have reflected the lowest common denominator instead of the most ambitious goal, especially in relation to the designation of HMWB and the use of exemptions (WWF and EEB, 2004; 2005; EEB, 2010). Touching briefly upon the nexus between hydropower generation and the designation of HMWB, NGOs have criticized the little respect of member states for the designation criteria of water bodies decided already in a concerted action inside CIS guidance documents. In addition, they have underline the excessive and not transparent use of exemptions, defining it as still "the most common way to avoid moving on from old approaches" (Reinvang *et al.* 2004; EEB, 2010).

In front of this perspective, notwithstanding the efforts to smooth tensions and find the "right balance", the CIS multitude of interests and stakeholders suggests that this platform should be seen not only as a place of encounter but, especially as a place of struggle among the different values and powers (interviews with stakeholders, 2014-2015). Value conflicts have thus raised questions about the relative importance of values that have not been resolved yet. The goal of increasing energy security and mitigating climate change through hydropower does constrain the WFD goals and vice versa and a proof of the persistent struggle and difficulty to achieve integration is represented by the weak elaboration of the first River Basin Management Plans and the associated programs of measures, where less stringent objectives on the ecological status of water have been justified by the need to give priority to energy security and climate change mitigation measures. The EC has highlighted that for reasons related to inadequate setting of objectives at the river basin level and extension of deadlines, the objective of the WFD will not be fully achieved by 2015 (EC, 2012b). In line with the frame theory, the CIS stakeholders' have historically been driven by different values and interests and the conflict between them lies deep down in the strength of the identity frames and interests which they carry along with the hope of clearer direction and prioritization from the EU level (Gray, 2004). While on the one hand the Commission tries to label the issue as a potential winwin by seeking reconciliation among the different interests and needs, from the perspective of NGOs and the hydropower industry it would appear to be more of a win-lose situation.

Despite the collaborative approach promoted within the CIS, the mapping of the different stakeholders' frames shows that the conflict between the two main coalitions has not been resolved yet. Controversies related to water management and hydropower have long existed and the CIS is a practical example of their perpetuation. In fact, the groups of actors which have so far shaped the discussion related to hydropower inside the CIS meetings are the same - despite the more specific composition in relation to the HMWB and the Hydropower activity – that lead the negotiation process and battled for the WFD elaboration between 1998 and 2000 (Kaila and Page, 2003). After more than ten years from its adoption, these actors are still very active in trying to secure that the CIS interpretation of the directive and the common guidance documents are as close as possible to their own interests. For this reason, their positions in the negotiation process for the interpretation, elaboration of guidelines and WFD implementation today are as different as their first reactions in relation to the WFD final draft in December 2000.

Hence, in spite of ambitious integration wording in both the WFD and the CIS guidance and policy documents, interviews and the document analysis show that energy policy in combination with sustainable economic growth interests remain dominant and act as a barrier on the integration and coherence of water and energy policies. The failure to integrate has been openly recognized by the Commission as the main cause for the failure of achieving the WFD goals (EC, 2012a: 4). Additionally, it emerges that inside the CIS there is no clear and uniform conceptualization of environmental policy integration. The principled priority of EPI emphasized by Lenschow (2002b) and Lafferty (2004) seems to be set aside by the idea that "Integration is about getting the right balance" (Ecologic, 2005; 2011; CIS, 2009; interview with stakeholders, 2015), although it remains undefined what this implies. Under this perspective, the CIS activity seems to represent so far a "weak" case of EPI where economy and security arguments are prioritized over environmental concerns.

## **CONCLUSION AND IMPLICATIONS**

The unfolding of the discussions inside the CIS and the mapping of the different frames have shown that old conflicts and controversies related to the hydropower technology have been reopened and reframed in order to accommodate both the energy security issue and the EU sustainability and climate change discourse (Fletcher, 2010). Powerful economic players such as the hydropower industry and member states use these common frames to protect their status quo and economic interests. From the analysis in this article the following conclusions can be draw.

First, despite the CIS efforts to bridge the different policy goals, the WFD and the RES directive clearly involve trade-offs that struggle to be reconciled among concerns for secure energy supply, climate change mitigation and improved water quality. Indeed, by looking at the environmental benefits of the European water policy, these are spread across the society and may become apparent only in the longer run. On the contrary, the costs of the required environmental improvements are immediate and weight on the specific group of hydropower producers. In the same way, the environmental benefits of global climate change mitigation through hydropower are likely to be felt in a very long run, if not at all in some regions, while its environmental costs on water ecosystems and services are immediate and specifically localized.

Second, in front of this conflict, the CIS has provided a relevant platform for dialogue, understanding, and reframing of these issues among various actors and coalitions which have hardly spoken the same language, nor worked together towards the creation of a win-win situation. Under this perspective, this multi-stakeholder platform represents an important step forward in trying to strike a "balance" between the different goals. Nevertheless, the CIS fails in several occasions to explain how to achieve the right balance and leaves unclear what specifically has to be integrated and to what degree. Meanwhile, the plurality and diversity of values, interests and concerns that exists and co-evolves in this policy arena has demonstrated to be far too strong, influencing not only the interpretation of the directive but also the strength of the concept of environmental policy integration. This article highlights in line with previous research that despite the auspicated compatibility of environmental, social and economic goals at the global and European level, their

simultaneous achievement might be impossible at the national or sectoral level. Hence, in order to reduce ambiguity and complexity levels, a prioritization between the water, climate and energy policy goals might be needed, with the possibility of having real winners and losers of the integration process (Lenschow, 2002a).

Third, while representing a hard case for the EU renewable energy sector and the definition of sustainability, the integration failure has direct implications for policy decision makers and the hydropower industry. This article shows in line with previous research (Jordan and Schout, 2006:83; Jans, 2011:1538) that the great difference in values between stakeholders and the parallel DGs operating in combination with a weak position of DG Environment and the environmental coalition have created a blurred status and a weak meaning of the environmental policy integration. Under this perspective, the implementation of the integration principle strongly depends on the willingness and efforts by other DGs and member states. As a consequence, the lack of clear guidance in integration and prioritization of goals from the European level implies that the challenge of striking the right balance weights on the national and regional governments, where problems and conflicts are expected to be even more intense.

Finally, by highlighting the complex and fundamental links between the water, energy and climate policy, as well as their contradictions, the article facilitates their understanding for policy makers and water managers, which in turn improves their cooperation with the hydropower industry, towards the development of effective policies and solutions to current issues, as well as investment strategies for a sustainable future (Hussey and Pittock, 2012). On the other hand, academic research has the important opportunity to unfold what "striking the right balance" signifies on a case-by-case basis, exploring how authorities of member states and river basins pave the way in relation to the energy-water conflict, as well as to the dual environmental challenge. For this reason, further research should focus on the analysis of the WFD implementation in member states with hydropower activity in order to assess how EPI is pursued and what is the hydropower sector outcome.

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Stakeholders	Values and Identity		Phrasing frames		
Summers	frames	Interests	Concerns	Desired Outcome	- masing manes
Hydropower Industry	Economic orientation	<ul> <li>Economic viability</li> <li>Maintenance and increase of the hydropower generation</li> <li>Stable investment environment</li> <li>Clear and consistent messages</li> </ul>	<ul> <li>High costs for restoration/mitigation</li> <li>Loss of profitability</li> <li>Loss of generation capacity</li> <li>Uncertain terrain for investments</li> </ul>	<ul> <li>Agreement with the authorities on standards and programs</li> <li>Flexibility in implementation</li> </ul>	Win –lose towards win-win
Authorities of Member States	Economic orientation Energy Security orientation	<ul> <li>Achievement of renewable energy policy goals</li> <li>Climate change mitigation</li> <li>Achievement of Environmental policy goals</li> <li>Increased electricity generation</li> <li>Reasonable consumer prices (cheap energy)</li> <li>Continued economic viability of HP</li> </ul>	<ul> <li>Oil dependency</li> <li>Struggle to comply with RES Directive</li> <li>Decrease security of supply</li> <li>High economic costs</li> <li>High administrative costs</li> <li>Institutional challenges</li> </ul>	<ul> <li>Agreement with the hydropower industry on standards and programs</li> <li>Flexibility in implementation</li> <li>Guidance or indication on how to set priorities and strike the balance</li> </ul>	Win-lose towards win-win
European commission (DG Energy – DG Climate – DG Environment)	Energy Security orientation Climate Change and Sustainability orientation Ecological and environmental orientation	<ul> <li>Successful Implementation of the WFD and RES directive</li> <li>Climate change mitigation and adaptation Avoid litigations and delays</li> <li>Integration of policy goals</li> </ul>	<ul> <li>Risk of wakened policy coherence and integration</li> <li>Delay in the implementation of the directives</li> <li>Avoid costly litigations among the different parties involved</li> </ul>	<ul> <li>Agreement with the industry and member states on standards and programs</li> <li>Use of guidance documents</li> </ul>	Win – win
NGOs	Ecological and environmental orientation Justice and rights	<ul> <li>Protection/preservation and full restoration of the water courses</li> <li>Good Ecological Status of all waters</li> <li>Effective implementation</li> <li>Participation and transparency</li> </ul>	<ul> <li>Poor Water protection</li> <li>Abuse in the designation of HMWB</li> <li>Watering down of WFD ambitions</li> <li>No proper implementation of the WFD goals</li> </ul>	- Strong enforcement of the WFD	Win- lose

*Table 1.* Overview of stakeholders values and identity frames, substance and phrasing frames in relation to the WFD implementation and hydropower. Compiled by the authors.

Date	Event / Document	Integration/Balance		
2000	Water Framework Directive	Preamble 16: "Further integration of protection and sustainable management of water into other Community policy areas such as energy () is necessary".		
2001	Strategic document as agreed by the Water Directors under Swedish presidency (CIS)	<ul> <li>Integration of water policy into other Community policies for ensuring sustainability.</li> <li>Energy as one of the priority areas of action.</li> </ul>		
2005	(Ecologic) European Workshop on WFD and Hydromorphology. Workshop summary report.	<ul> <li>Successful implementation means achieving an appropriate balance between protection and use. (p.6)</li> <li>Integration as a two way process. (p.7)</li> </ul>		
2006	(CIS) WFD and Hydro-morphological pressures: Policy Paper.	<ul> <li>Recognition of the need and the legitimacy of each policy is the pre-requisite for integration.</li> <li>Enhancement of the dialogue and the co-operation processes between the different competent authorities, stakeholders and NGOs is a priority task in order to take into account all the interests and to achieve a good balance between water uses and protection. (p.29)</li> <li>The WFD provides Member States with the flexibility to set different objectives for particular water bodies that reflect environmental social and economic needs and priorities.</li> </ul>		
2000	2006 Focus on hydropower, navigation and flood defence activities. Recommendations for better policy integration.	<ul> <li>Flexibility means that " the needs and priorities of other policy areas can be taken into account in water management decisions, through the appropriate use of exemption mechanisms, subject to the application of the exemption tests. At the same time, other policies must also take into account environmental objectives in order to increase synergies and reduce antagonisms." (p.19)</li> </ul>		
2007	(Ecologic) "WFD & Hydropower", Common Implementation Strategy Workshop. Summary report.	<ul> <li>The benefits of hydropower as a highly reliable CO2-free and renewable source of electricity production but also the need to maintain the ecological functions of hydropower-affected water stretches have to be taken both into account to achieve a proper and well-balanced approach to meet climate, water &amp; nature protection objectives. (p.16)</li> <li>Hydropower is a very important source of renewable energy whose importance may increase in the future, in particular considering current discussions on climate change. (p.3)</li> </ul>		
2009	(CIS) Workshop on Water Framework Directive and Heavily Modified Water Bodies	Designation of HMWB, identifying GEP and setting objectives is about striking the right balance. (p.3)		
2011	(Ecologic) Water management, WaterFramework Directive & Hydropower	<ul> <li>Successful implementation of all Directives requires properly integrating energy and water policy. (p.56)</li> <li>The river basin management planning process provides an opportunity to integrate strategic planning for hydropower development with water environment objectives. (p.58)</li> <li>Designation of HMWB, identifying GEP and setting objectives is about striking the right balance. (p.73)</li> <li>Recognition of the "issue of balancing the requirements of the WFD and the Renewable Energy Directive</li> </ul>		
2011	(Arcadis) Hydropower generation in the context of the WFD	- No judgment is available on the right balance between the benefits of the hydropower facility and the benefits of protecting the aquatic environment		
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		-	There is a need for better implementation and increased integration of water policy objectives into other policy areas, such as ()
			the policies on renewable energy, transport etc.
2012	(EC) EU Water Blueprint	-	Member States should make full use of RBMPs that require an integrated approach to managing water resources across policy areas
			such as agriculture, aquaculture, energy, transport and integrated disaster management. (p. 6)

Table 2. Timeline of key events/documents in relation to the integration goal. Compiled by the authors.

## Striking the Balance between Renewable Energy Generation and Water Status Protection:

Hydropower in the Context of the European Renewable Energy Directive and Water Framework Directive

**Keywords:** hydropower; Water Framework Directive; environmental policy; environmental policy; integration; energy policy; sustainability

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### ABSTRACT

This article addresses the theoretical and practical challenge faced by the European policy community and member states of trying to simultaneously pursue renewable energy and environmental goals, as incorporated in the European Union (EU) Renewable Energy Directive and the Water Framework Directive (WFD). Through the case of hydropower which is today at a crossroad between being a renewable electricity source - answering to climate change and energy security concerns - as well as a local environmental challenge in the light of degradation of rivers ecosystem and local biodiversity, the article explores the way renewable energy and water protection objectives are integrated inside the Common Implementation Strategy at the EU level. The illustration of the complex energy-water nexus in the hydropower case, as well as of the challenging negotiation process for policy integration contributes to increasing policymakers' understanding of the issues at stake and the importance of cooperation for the development of sustainable energy generation and economic growth strategies. Conclusions reveal that despite the creation of multistakeholder platform for negotiation and collaboration, the plurality and diversity of values and powers in relation to hydropower is still far too strong to be overcome, hindering the integration of water and energy policy concerns and the achievement of the WFD goals. The path towards wider integration of water into the energy policy seems to be still long, unclear and tortuous, requiring the elaboration of additional guidelines, improved planning and target setting tools for the national and local implementation of the different policy goals.

## Introduction

Policy issues are often characterized by contested objectives, conflicting values and trade-offs. For this reason, concepts of policy integration, balancing and win-win strategies are central in policy making and implementation. Their relevance has became even more striking in front of the growing and incoherent system of European directives which risks hindering the implementation process and the achievement of the different goals (Eurelectric, 2005; Jordan and Lenschow, 2008; Jackson, 2011). A large share of recent literature has thus focused on how to manage conflicts and foster synergies between legitimate yet conflicting social, economic and environmental goals, towards the achievement of a more sustainable development (Burgess *et al.*, 2006; Beunen *et al.*, 2009; Lafferty, 2004; Nilsson *et al.* 2012; Soderberg and Eckerberg, 2013; Rietig, 2013).

The purpose of this article is to contribute to the broader debate by addressing the theoretical and practical challenge faced by the European policy community and member states of trying to simultaneously pursue renewable energy and environmental goals, as incorporated in the European Renewable Energy Directive (RES) and the Water Framework Directive (WFD). We present here the case of hydropower which is today at a crossroad between being a renewable electricity source - answering to climate change and energy security concerns - as well as a local environmental challenge in the light of degradation of rivers ecosystem and local biodiversity (Rosenberg *et al.*, 1995; McCully, 1996; Bunn and Arthington, 2002).

Hydropower is a mature and well established renewable technology which supplies nowadays over a tenth of the European Union (EU) electricity generation and about 60% of its renewable electricity generation in 2010. It has played an important role in the European economic development in the last 50 years and it is expected to be significantly important in the EU energy scenarios of the coming decades, especially in relation to the increasing penetration of intermittent renewable energy and need of storage capacity (Eurelectric, 2011).

In the light of the RES and the EU climate and energy strategy, hydropower is defined and promoted as a renewable energy source (Art.2) which contributes to the abatement of greenhouse gas emissions, increased security of supply and achievement of mandatory renewable EU and national targets by 2020. On the other hand, hydropower is one of those climate change mitigation measures which do not necessarily contribute to the sustainability goal because of the hydromorphological pressures it causes to water bodies (Rietig, 2013; Fletcher, 2010). Although not directly regulated by the WFD, hydropower is affected by this framework which responds to trends of deterioration of EU water status and aims to protect and ensure the good ecological and good chemical status of all water bodies by 2015 (art. 2.9). Indeed, in the light of the WFD, hydropower has been identified as the third most common water use for designating Heavily Modified Water Bodies (HMWB) (WFD, art. 4.3), which are bodies of water that have been subject to *physical alteration as* well as *substantial changes in character* as a result of human activity which cannot be removed because of the high economic and social cost (Ecologic, 2009; EC, 2012b). For this category of water bodies, the quality target to pursue by 2015 is a less stringent objective which substantiate in the good ecological potential, with possibility of extending the deadline for reaching the targets until 2027 (art. 4a).

Looking at the goals of the two directives per se, there would seem to be no obvious and direct conflict as they both answer to sustainability imperatives, by stimulating positive environmental development and more efficient energy and water policies (Nilsson *et al.*, 2012). Nevertheless, in the case of electricity generation through hydropower, the conflict materializes when pursuing WFD

goals hinders those of the RES directive and vice-versa. This is the case of requirements for modification of existing hydropower facilities that, in order to reach the good ecological status of water bodies impose economic costs and potential losses of technical capacity and economic profitability for the hydropower sector. The conflict is likely to become stronger as climate change and energy policies adopted in its name might require new hydropower schemes that might be hindered in order to comply with the "no deterioration" requirement of the WFD.

While the interaction of the WFD with other policy goals has been already explored as in the case of the Birds and Habitats Directives (Beunen et al., 2009), forestry (Keskitalo and Pettersson, 2012), or in relation to national and regional implementation challenges (Liefferink et al., 2011) as well as public participation issues (Newig et al., 2005; van der Heijden and ten Heuvelhof, 2012), we find that very little attention has been paid to the interaction of this framework with the renewable energy policy and the RES directive, especially in relation to hydropower (Arcadis, 2011; Nilsson et al., 2012). Little is said also about the origin of the complexity, uncertainty and conflict which spreads from the EU level affecting national and regional government where the integration of different concerns has its last instance. We believe the hydropower case, by providing a good illustration to the energy-environment nexus, addresses this research gap and contributes to the theoretical and practical understanding of policy integration challenges and conflicts at the EU level. In addition, it aims to support and reinforce the research need expressed by Rietig (2013) and the argument that climate mitigation measures as hydropower cannot avoid meeting sustainability criteria. The understanding of these challenges is of relevance for policymakers and regulators and assists their work by highlighting the constraints and challenges they face in relation to collaboration and negotiations for policy integration, as well as the opportunities for change.

The objective of the article is to highlight the conflicting interests and goals between hydropower and the WFD in Europe, as well as, explore and discuss how the different energy and environmental goals are being integrated at the EU level, and which nuance does the integration goal acquire in this case. Instrumental in addressing this issue is the mapping of frames of different stakeholders involved in the implementation of the EU directives in relation to hydropower. We look specifically at the activity taking place inside the Common Implementation Strategy for the WFD (CIS), a multistakeholder platform which answers to needs of the EU Commission, EU member states, hydropower industry and environmental NGOs, to manage the complexity of the WFD, as well as to achieve a major integration and balance of the water and energy policy goals.

Therefore, this article first elaborates on the theoretical importance of frame analysis of environmental issues and the environmental policy integration goal, underlying their relevance for the elaboration of collaborative solutions, conflict management and the integration of different policy goals. Section 3 maps out the arguments for promoting and opposing hydropower in the light of the different values, concerns and aspirations of the stakeholders involved in the CIS. We believe their mapping represents an important starting point for the understanding and discussion of the current situation of the European hydropower sector, as well as, the need to balance energy and water concerns. Section 4 discusses the CIS efforts of conflict management and balancing of the different policy goals, highlighting the difficult and unclear definition of policy integration inside this multi-stakeholder platform. Finally, the concluding session reports on the main findings and discussion points.

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## Framework and method

The issue of reconciling conflicting policy concerns and ends is strictly connected to two relatively extensive literatures which will be briefly presented hereby: the literature on framing of environmental issues and conflict management strategies (Putnam and Holmer, 1992; Schön and Rein, 1994; Gray, 2004; Lewicki *et al.*, 2002; Shmueli and Elliot, 2006; Shmueli, 2008; Dewulf *et al.*, 2005; Burgess *et al.* 2006); and the literature on environmental policy integration (Collier, 1994; Lenschow, 2002; Lafferty, 2004; Lafferty and Knudsen, 2007; Jordan and Lenschow, 2010).

### Framing of environmental issues

Frame analysis steams from the objective to explore the ways people see the world, how they make sense of different situations and how future is perceived. Policy frames are defined as

"ways of selecting, organizing, interpreting, and making sense of a complex reality to provide

guideposts for knowing, analysing, persuading and acting" (Rein and Schön, 1993, page 146) Hence, policy frames are lenses form which a complex situation can be made sense of, and they contain objectives, assumptions about problems, and prescription about the suitable way to deal with the issue (Gray, 2004; Dewulf *et al.*, 2005; Shmueli and Elliot, 2006).

In a complex and conflicting situation as the management of water resources in the presence of hydropower production, managing the interdependent uses of water means to deal with the actors' different values, interests in the natural resource, specific interpretations of the problem at stake and aspirations. The source of the conflict lies therefore in the dissimilar substance frames of stakeholders, given by differences in aspirations, perceived core of the issue, and preferred outcomes (Shmueli and Ben-Gal, 2003; Shmueli, 2008). This said, the intensity of the conflict and its resolution rely on the degree of difference and strength of stakeholders' values, the way the dispute is labeled (i.e. win-lose or win-win situation), and the terms in which they approach the dispute (i.e. interests, needs, rights or power) which gives an indication of how they want to handle the conflict (Gray, 2004).

In order to manage and resolve the conflict, process of frame reflection, frame alignment and bridging, as well as reframing have been crucial. These processes rely on the role of communication in conflict management (Putnam and Holmen, 2002; Dewulf *et al.*, 2005; Shmueli, 2008). In fact, bringing together and connecting the multiplicity of contending parties' frames enables confrontation, exploration and negotiation, which increases the possibility for more collaborative and integrative solutions (win-win solutions) (Putnam and Holmen, 2002). In the pioneering work on *Frame Reflection*, Schön and Rein (1994) discuss about the 'resolution of intractable policy controversies' as relying on the connection of different frames, where

"positions have been reframed in such a way as to open up to accommodating controversies that had at first seemed hopelessly intractable" (Scho«n and Rein, 1994, page 57).

On the same line, Winship (2006) elaborates on the concept of integrative solutions defining it as the process of redefinition of the different goals that allows for the creation of win-win scenarios where goals fit into a coherent whole. Recurring to the example of the puzzle solving, dealing with multiple ends is equated somehow to dealing with the solution of a puzzle where the goal is not to choose a single puzzle but to assemble them (puzzling) in a coherent pattern.

### **Environmental Policy Integration**

 Environmental Policy Integration (EPI) can be considered a peculiar case of reframing process in which the different economic, environmental and social interests are brought together and the issues are reframed towards the achievement of sustainability (Nilsson, 2005). The integration of environmental goal into the elaboration of other sectoral policies has become a key concept guiding both European and national policy making (Lenschow 2002; Lafferty, 2004). The premise is that increased policy integration leads to better conditions for improving the environmental outcomes of a sector, recognizing the de-coupling of economic drivers from environmental degradation as a crucial concept for the achievement of 'sustainable development' (Lafferty and Ruud, 2008).

Although addressed in a growing body of literature on environmental policy, the concept of EPI has remained "fuzzy" (Lafferty and Hovden, 2003:5). In the early work on EPI, Collier (1994) has identified three objectives of EPI: first, the achievement of sustainable development and the avoidance of environmental damage; second, the elimination of contradictions between and within policies; and third, making policies mutually supportive and reaching win-win solutions (Collier, 1994:36). While the EPI concept for Collier would seem to rely on the balancing of environmental and non-environmental objectives in order to resolve the conflicts between policy objectives and satisfy all affected interests, EPI represents for Lenschow (2002b:6) a "first-order operational principle to implement and institutionalize the idea of sustainable development", and for Lafferty (2004:202) an "overarching societal objectives" thanks to which environmental goals do not become subsidiary.

Additionally, Lafferty (2004:200) highlights the risk associated to the emphasis on "mutual benefits" in the definition of Collier (1994) that is to draw the attention away from the fact that interests may be affected in a negative manner by the application of environmental policy, underestimating the conflicts inherent in the decoupling objective. With direct relevance to the debate on trade-offs and the challenge of making EPI credible and effective, Lenschow (2002a) argues that rather than portraying only win-win scenarios, it is crucial to consider the real numerous conflicts of interests with respect to many environmental issues, as well as prioritize the environment on sectoral issues. Environmental policy integration results from political negotiation processes where priorities are determined by varying economic and political conditions, as well as the pressures of interest groups. In the present context, EPI provides a reference for analyzing how trade-offs between environmental and energy policy concerns in the case of hydropower are managed inside the CIS.

### Method

For this study a qualitative approach has been adopted based on the documentary analysis of different types of documents: the EU WFD and RES directive, the CIS related documents, industry associations and NGOs' reports, as well as a scholar articles related to the topic. Based on the different documents we have categorized data by actor over time in order gain an understanding of their identity and substance frames (interest/concerns/outcomes). The scope of this work has been to understand the different perspectives on hydropower and the way stakeholders frame the issue, as this explains the origin of the conflicts and implementation challenges. Table 1 provides an overview of the different identity and substance frames (interests, concerns, outcomes) of the stakeholders involved in the CIS.

 Once traced the different frames, we have analyzed how the complexity deriving from the legal text and the different frames is managed in order to reconcile the main goals behind the RES directive and the WFD. The "CIS guidance documents" and outcomes of the CIS workshops in relation to "Hydro-morphology" and "Hydropower" have represented the sources for the understanding of the current debates and processes going on in relation to the environmental policy integration over time inside the CIS. Due to the impossibility to access directly the CIS floor and meetings, the analysis has focused on documentary data which cover the time period from 2001 through to 2012, by focusing on the statements related to the EPI. Table 2 offers a timeline of key events/documents analyzed in relation to the environmental policy integration goal inside the CIS.

## Mapping the CIS hydropower frames

The political context in which hydropower is situated is characterized by a multiplicity of stakeholders with competing frames and interests, which battle to get their policy views represented in the decisions taken at the EU level and inside the Common Implementation Strategy for the WFD. Table 1 represents in a schematic way the frames of the different stakeholders involved in the CIS activity related to hydropower and hydromorphology, reporting on their values, main interests, concerns and outcomes.

Two clear coalitions have created around the interpretation of the directive in relation to hydromorphological changes and the elaboration of the guidance documents. At one side, there is an international lobby coalition of environmental NGOs composed by the European Environmental Bureau, the World Wild Fund and others (the EEB/WWF coalition), principally driven by ecological interests pursuing a strict implementation and justice for local communities. At the other side, we find a number of member states and EURELECRIC – the European-level sector association representing the electricity industry - primarily concerns about socio-economic interest and advocating a broader interpretation of the designation of HMWB which includes hydrological changes as irreversible too in the case of hydropower.

As evidenced in Table 1, we can trace 4 different frames, each of them emphasizing reasons for advancing or restricting hydropower. While hydropower producers, central governments and DG Energy bring forward the common discourse stressing the role of hydropower as a renewable energy source in fighting *climate change and energy security* (Euroelectric, 2011; EREC, 2010), NGOs highlight the *ecological disruption* (hydropower as a risk) that derives from the use of this technology and call for a strong enforcement of the WFD provisions (EEB, 2001; WWF and EEB, 2004; 2010). The Climate change and security frame is further strengthened by the *economic frame* of the industry representatives which highlight the economic consequences of the WFD implementation. These last ones are related to: additional expenditures and investments for restoration and mitigation measures; loss of the technical production capacity of hydropower plants (i.e. due to requirements for undisturbed flow regime or minim flow release); and the instability of the financial environment for existing operative hydropower plants, as well as the development of new facilities (Euroelectric, 2005; 2011; VGB, 2005; ESHA, 2007). The burden on investments for the hydropower industry makes cost- acceptance and implementation certainly more challenging and difficult.

On the other hand, the analysis shows that national governments and the European Commission (EC) are both unable to speak with one voice due to diverse and coexisting economic, social and

environmental orientation and pressures. Looking at the EU it is possible to notice both, the strong emphasis on energy policy, energy security and climate change, as expressed in the climate and energy package, the 2050 roadmap (EC, 2011), and the green paper (EC, 2013), as well as a strong signal in relation to the ecological protection of water bodies and integration of goals behind sectoral policies through the WFD and the latest Blueprint to Safeguard Europe's Water Resources (EC, 2012). Hence, in the light of different interests and concern, the Commission at large is focused on assisting and ensuring a prompt implementation of the EU directives, as well as avoiding costly litigation among the different parties involved in the negotiations and implementation processes. On the other hand, national governments have always been differently involved and committed towards EU water and environmental policy, as this is strictly related to their existing water management practices, hydropower generation capacity and renewable targets, as well as the status of their waters and number of designated HMWB. An example of the differences is confirmed by the mixed quality of the River basin Management Plans and program of measures, as well as, the respect of the deadline for their adoption and reporting. In relation to this, at the beginning of 2012, four Member States - Belgium, Greece, Portugal and Spain - had not yet adopted RBMPs, leading the Commission to take legal action against them (ECJ, C-297/11; C-366/11; C-403/11; C-223/11). In addition, the WFD has already involved consistent administrative demands and costs in terms of organization and monitoring, which will continue to test severely the capacities of the less developed counties through the interventions for the achievement of the "good" status of waters.

*Table 1.* Overview of stakeholders values, aspirations and concerns in relation to the WFD implementation and hydropower. *Source:* Compiled by the authors.

### Discussion

This session discusses how different values, interests and concerns are bought together inside the CIS, the way the debate about energy and water policy integration is handled, and which nuances the integration goal acquires inside this multi-stakeholder platform.

### Conflict management and policy integration inside the CIS

In the light of the complexity deriving from the legal text of the WFD, as well as, the plurality of values and concerns in relation to hydropower, the CIS for the WFD has represented a process for the management of the conflicts towards the achievement of "coherence between the implementation of the WFD and other sectoral and structural policies" (CIS, 2001). The stakeholders involved in the WFD implementation have had the chance to meet, uncover and share their underlying values, aspirations and concerns, as well as reflect on how to balance the different interests. In line with the research of integrative solutions (Schön and Rein, 2004; Winship, 2006), the content of the documents elaborated during the CIS activity indicates that the strategy is based on a collaborative approach where different frames are connected in order to allow for their exploration, common understanding of the technical and scientific implications of the WFD, negotiation and the achievement of win-win situations. Although cross-sectoral policy issues were not largely discussed or highlighted in the first years after the adoption of the WFD, in the light of the mismatch between the socio-economic consequences and the high environmental ambitions and the EC recognition of the

 impossibility of full compliance by 2015, in the last decade steps have been moved forward within the CIS in order to address the challenge. Table 2 provides an overview of the relevant CIS event and documents related to the policy integration and balance concepts.

*Table 2*. Timeline of key events/documents and the integration goal. *Source:* Compiled by the authors.

The importance and the need for "further integration of protection and sustainable management of water into other Community policy areas such as energy (...)" already expressed in the WFD's preamble (WFD: 2000:2, preamble 16) has been highlighted in the last decade in several occasions. Particularly relevant in this regard has been the ad hoc CIS activity related to the WFD and hydromorphology where, with the assistance of a facilitator (Ecologic), discussions have addressed the opportunity for synergies between renewable electricity through hydropower and water status protection (Ecologic, 2005; CIS, 2007; Ecologic, 2009; Water Directors, 2010; Arcadis, 2011; CIS, 2012). In this forum, integration is defined as a "two way process" where striking the "appropriate balance between protection and use" is seen as a condition for the successful implementation of the directive and (Ecologic, 2005:6-7). Examples of integrative and win-win solutions suggested inside the CIS have consisted in the combination of hydropower refurbishment and modernization with ecological mitigation measures in a way that hydropower producers could increase the efficiency and installed capacity of hydropower plants, thus the electricity production, meanwhile contributing to ecological improvements by increasing the minimum flow and installing new turbines (CIS, 2006; Ecologic, 2011).

### Recurring themes, divergent views and power struggle

Despite the collaborative approach promoted within the CIS, the mapping of the different stakeholders' frames shows that the conflict between the two main coalitions has not been resolved yet. Controversies related to water management and hydropower have long existed and the CIS is a practical example of their perpetuation. In fact, the groups of actors which have so far shaped the discussion related to hydropower inside the CIS meetings are the same - despite the more specific composition in relation to the HMWB and the Hydropower activity – that leaded the negotiation process and battled for the WFD elaboration between 1998 and 2000 (Kaila and Page, 2003). After more than ten years from its adoption, these actors are still very active in trying to secure that the CIS interpretation of the directive and the common guidance documents are as close as possible to their own interests. For this reason, their positions in the negotiation process for the interpretation, elaboration of guidelines and WFD implementation today are as different as their first reactions in relation to the WFD final draft in December 2000.

The documents analysis indicates that, whereas the final reports and policy papers tend to hide traces of the conflicts and give a "tidy" picture of the relations among stakeholders, NGOs involved in the discussions have highlighted how the CIS has tended to overemphasize win-win situations, meanwhile the conflict dynamics that mirror sectoral interests at the national and European level are reproduced and perpetuated (WWF and EEB, 2005; EEB, 2010). Actively involved both at political and technical levels in the CIS, NGOs have covered a "watchdog role" supported by DG Environment, in order to ensure a clear and inclusive interpretation of the environmental issues, and

 that outcomes of this process reflect as much as possible the WFD legal obligations. During the last decade they have reported on several conflicts which have emerged inside the CIS among which, the interpretation of the WFD and the elaboration of guidelines, the respect of the designating criteria of water bodies, as well as, the use of HMWB. These examples follow briefly. NGOs claim that the quality of the guidance documents has been mixed and characterized both by lack of clarity in the interpretation of legal provisions, as well as, not very ambitious recommendations. The cause of these low ambitions is attributed to the consensus-based type of decision making process which takes place inside the Strategic Coordination Group and at the Water Directors' level. As the elaboration of the guidance documents needs the approval of the CIS stakeholders involved, this has signified that compromises made in order to succeed have reflected the lowest common denominator instead of the most ambitious goal, especially in relation to the designation of HMWB and the use of exemptions (WWF and EEB, 2004; 2005; EEB, 2010). Touching briefly upon the nexus between hydropower generation and the designation of HMWB, NGOs have criticized the little respect of member states for the designation criteria of water bodies decided already in a concerted action inside CIS guidance documents. In addition, they have underline the excessive and not transparent use of exemptions defining it as still "the most common way to avoid moving on from old approaches" (Reinvang et al. 2004; EEB, 2010).

In front of this perspective, notwithstanding the efforts to smooth tensions and find the "right balance", the CIS multitude of interests and stakeholders evidences that this platform should be seen not only as a place of encounter but, especially as a place of struggle among the different values and powers. Value conflicts have thus raised questions about the relative importance of values that have not been resolved yet. The goal of increasing energy security and mitigating climate change through hydropower does constrain the WFD goals and vice versa and a proof of the persistent struggle and difficulty to achieve integration is represented by the weak elaboration of the first River Basin Management Plans and the associated programs of measures, where less stringent objectives on the ecological status of water have been justified by the need to give priority to energy security and climate change mitigation measures. The EC has highlighted that for reasons related to inadequate setting of objectives at the river basin level and extension of deadlines, the objective of the WFD will not be fully achieved by 2015 (EC, 2012b). In line with the frame theory, the CIS stakeholders' have historically been driven by different values and interests and the conflict between them lies deep down in the strength of the identity frames and interests which they carry along with the hope of clearer direction and prioritization from the EU level (Gray, 2004). While on the one hand the Commission tries to label the issue as a potential win-win by seeking reconciliation among the different interests and needs, from the perspective of NGOs and the hydropower industry it would appear to be more of a win-lose situation with direct influence of their rights and powers.

To conclude, in spite of ambitious integration wording in both the WFD and the CIS guidance and policy documents, the document analysis shows that energy policy in combination with sustainable economic growth interests remain dominant and act as a barrier on the integration and coherence of water and energy policies. The failure to integrate has been openly recognized by the Commission which has attributed to it the main cause for the failure of achieving the WFD goals (EC, 2012a:4). Additionally, it emerges that inside the CIS there is no clear and uniform conceptualization of the environmental policy integration concept. The principled priority of EPI emphasized by Lenschow (2002) and Lafferty (2004) seems to be set aside by the idea that "Integration is about getting the

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 right balance" (Ecologic, 2005; 2011; CIS, 2009), although it remains undefined what it is implied by "right balance". Under this perspective, the CIS activity seems to represent so far a "weak" case of EPI where economy and security arguments are prioritized over the environmental concerns.

### The dual environmental conflict

The integration issue between energy and water protection, involves thinking and action in order to reconcile beside two different sectoral goals, also different environmental concerns. Fighting climate change is definitely one of the major environmental issues on the political agenda of EU political leaders nowadays. Nevertheless, fighting it by using all the available means and technologies has proven to create severe environmental impacts, as in the case of hydropower (Rietig, 2013). Despite climate change is not integrated inside the WFD, the EC has started since 2007 addressing this dual environmental challenge inside an ad hoc CIS activity on Climate Change and Water (CIS, 2008: 15). Some more steps have been done with the EC White Paper (COM/2009/147) and the elaboration of CIS Guidance Document No.24 (CIS, 2009: 69), which aim to find a "well-balanced approach" and integrate climate change adaptation into implementation of the EU water policy by building it into the elaboration and implementation of the River Basin Management Plans. As the second cycle of River Basin Management Plans will be available only in 2015, it remains to see how climate change issues will be integrated into the plans.

Parallel to the weak consideration of climate change issues into water policies, previous studies have highlighted the lack of water-management goals in EU climate change policies, which reinforces the need to have a better understanding among policy makers and industry of the challenging nexus (Henriksen *et al.*, 2011; Opperman *et al.*, 2011; Pitock, 2011). A reason for such a poor cross-sectoral policy integration could be represented by the fact that energy, climate and environmental policies are promoted and enhanced by different Directorates General (Environment, Energy, and Climate Action), which are influenced by different lobby groups' pressures. Under this light, while the creation in 2010 of a new DG for Climate Action beside the DG Environment indicates a will of the EU to reinforce the policies within these areas, on the other hand it might risk weakening the coherence and integration seems to be hindered by the traditional and parallel operating of actors around the different DGs as developed over time (Jordan and Schout, 2006).

## **Conclusion and implications**

The unfolding of the dispute inside the CIS and the mapping of the different frames has shown that old conflicts and controversies related to the hydropower technology have been reopened and reframed in order to accommodate both, the energy security issue and the EU sustainability and climate change discourse (Fletcher, 2010). Powerful economic players as the hydropower industry and some member states use these common frames to protect their status quo and economic interests. From the analysis in this article the following conclusions can be draw.

First, despite the CIS efforts of bridging the different policy goals, the WFD and the RES directive clearly involve trade-offs among concerns for security of energy supply, climate change mitigation and improved water quality which struggle to be reconciled. Indeed, by looking at the environmental benefits of the European water policy, these are spread across the society and may become apparent

only in the longer run. On the contrary, the costs of the required environmental improvements are immediate and weight on the specific group of hydropower producers. In the same way, the environmental benefits of global climate change mitigation through hydropower are likely to be felt in a very long run, if not at all in some regions, whether its environmental costs on water ecosystems and services are immediate and specifically localized.

In front of this conflict, the CIS has provided a relevant platform for dialogue, understanding, and reframing of these issues among various stakeholders and coalitions which have hardly spoken the same language before, nor worked together towards the creation of a win-win situation. Under this perspective, this multi-stakeholder platform represents an important step forward in trying to strike a "balance" between the different goals. Nevertheless, as we come to see, the plurality and diversity of interests, concerns and powers which exists and co-evolves in this policy arena has demonstrated to be far too strong, influencing not only the interpretation of the directive but also the intrinsic concept of policy integration. After more than ten years of aspirations for greater policy coherence and integration among energy and water policies, their legitimate goals fail to properly integrate, and more importantly, the EU fails to have a clear standing of what environmental policy integration means.

Meanwhile representing a hard case for the EU renewable energy sector and the definition of sustainability, the integration failure has direct implications for policy decision makers and the hydropower industry. This article shows in line with previous research (Jordan and Schout, 2006:83; Jans, 2011:1538) that the great difference in values between stakeholders and the parallel DGs operating, in combination with a weak position of DG Environment and the environmental coalition have created a blurred status and a weak meaning of the environmental policy integration. Instead of affirming the principled priority of environmental goals, the CIS leaves unclear what specifically has to be integrated and in which strength. Under this perspective, the implementation of the integration principle strongly depends on the willingness and efforts by other DGs and member states. As a consequence, the lack of clear guidance in integration and prioritization of goals from the European level implies that the challenge of striking the right balance weights on the national and regional governments, where problems and conflicts are expected to be even more intense.

By highlighting the complex and fundamental links between the water, energy and climate policy, as well as their contradictions, the article facilitates their understanding for policy makers and water managers, which in turn improves their cooperation with the hydropower industry, towards the development of effective policies and solutions to current issues, as well as investment strategies for a sustainable future (Hussey and Pittock, 2012). On the other hand, academic research has the important opportunity to unfold what "striking the right balance" signifies on a case by case basis, exploring how authorities of member states and river basins pave the way in relation to the energy-water conflict, as well as to the dual environmental challenge.

Finally, in relation to the EU sustainability aspiration and the need of an effective response and implementation of EU policies, the multiple goals and challenges clearly highlight the need for an integrated approach. Nevertheless, it is important to recognize that despite the auspicated compatibility of environmental, social and economic goals at the global and European level, their simultaneous achievement might be impossible at the national or sectoral level. As highlighted in this article and in previous research, there is no clear indication on how to strike the right balance and, in order to reduce ambiguity and complexity levels, a hierarchy between the water, climate

 change and energy policy might be needed, with the possibility of having real winners and losers of the integration process (Persson 2004; Lenschow, 2002a). For this reason, further research should focus on the elaboration of additional guidelines, improved planning and target setting tools for the national and local implementation of the different policy goals.

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Stakeholders	Values/ Identity	Aspiration	Concerns/issues	Outcome
Hydropower Industry	Economic frame	<ul> <li>Economic viability</li> <li>Maintenance and increase of the hydropower generation</li> <li>Stable investment environment</li> </ul>	<ul> <li>High costs for restoration/mitigation</li> <li>Loss of profitability</li> <li>Loss of generation capacity</li> <li>Uncertain terrain for investments</li> </ul>	<ul> <li>Stable financial environment</li> <li>Clear and consistent messages</li> </ul>
Authorities of Member States	Economic frame Security frame	<ul> <li>Achievement of renewable energy policy goals</li> <li>Achievement of Environmental policy goals</li> <li>Increased electricity generation</li> <li>Continued economic viability of HP</li> <li>Climate change mitigation</li> </ul>	<ul> <li>Struggle to comply with RES Directive</li> <li>Decrease security of supply</li> <li>High economic costs</li> <li>High administrative costs</li> <li>Institutional challenges</li> </ul>	<ul> <li>Guidance or indication on how to set priorities and strike the balance</li> <li>Flexibility in implementation</li> </ul>
European commission	Energy Security frame Climate Change and Sustainability frame Ecological and environmental frame	<ul> <li>Implementation of the WFD and RES directive</li> <li>Climate change mitigation and adaptation</li> <li>Integration of policy goals</li> </ul>	<ul> <li>Risk of wakened policy coherence and integration</li> <li>Delay in the implementation of the directives</li> <li>Avoid costly litigations among the different parties involved</li> </ul>	<ul> <li>Successful implementation of all EU directives</li> <li>Resolution of conflicts</li> <li>Avoid litigations and delays</li> </ul>
NGOs	Ecological Frame Justice and rights Frame	<ul> <li>Protection/preservation and full restoration of the water courses</li> <li>Good Ecological Status</li> <li>Effective implementation</li> <li>Participation and transparency</li> </ul>	<ul> <li>Poor Water protection</li> <li>Abuse in the designation of HMWB</li> <li>Watering down of WFD ambitions</li> <li>No proper implementation of the WFD goals</li> </ul>	<ul> <li>Good Ecological Status of all waters</li> <li>Strong enforcement of the WFD</li> </ul>

*Table 1.* Overview of stakeholders values, aspirations and concerns in relation to the WFD implementation and hydropower. Compiled by the authors.

Date	<b>Event / Document</b>	Integration/Balance
2000	Water Framework Directive	Preamble 16: "Further integration of protection and sustainable management of water into other Community policy areas such as ener () is necessary".
2001	Strategic document as agreed by the water directors under Swedish presidency (CIS)	<ul> <li>Integration of water policy into other Community policies for ensuring sustainability.</li> <li>Energy as one of the priority areas of action.</li> </ul>
2005	(Ecologic) European Workshop on WFD and Hydromorphology. Workshop summary report.	<ul> <li>Successful implementation means achieving an appropriate balance between protection and use. (p.6)</li> <li>Integration as a two way process. (p.7)</li> </ul>
2006	(CIS) WFD and Hydro-morphological pressures: Policy Paper. Focus on hydropower, navigation and flood defence activities. Recommendations for better policy integration.	<ul> <li>Recognition of the need and the legitimacy of each policy is the pre-requisite for integration.</li> <li>Enhancement of the dialogue and the co-operation processes between the different competent authorities, stakeholders and NGOs a priority task in order to take into account all the interests and to achieve a good balance between water uses and protection. (p.2 The WFD provides Member States with the flexibility to set different objectives for particular water bodies that reflect environmental, social and economic needs and priorities.</li> <li>Flexibility means that " the needs and priorities of other policy areas can be taken into account in water management decisions, through the appropriate use of exemption mechanisms, subject to the application of the exemption tests. At the same time, other policies must also take into account environmental objectives in order to increase synergies and reduce antagonisms." (p.19)</li> </ul>
2007	(Ecologic) "WFD & Hydropower", Common Implementation Strategy Workshop. Summary report.	<ul> <li>The benefits of hydropower as a highly reliable CO2-free and renewable source of electricity production but also the need to maintain the ecological functions of hydropower-affected water stretches have to be taken both into account to achieve a proper a well-balanced approach to meet climate, water &amp; nature protection objectives. (p.16)</li> <li>Hydropower is a very important source of renewable energy whose importance may increase in the future, in particular considerin current discussions on climate change. (p.3)</li> </ul>
<ul><li>(CIS) Workshop on Water Framework</li><li>2009 Directive and Heavily Modified Water Bodies</li></ul>		Designation of HMWB, identifying GEP and setting objectives is about striking the right balance. (p.3)
2011	(Ecologic) Water management, Water Framework Directive & Hydropower	<ul> <li>Successful implementation of all Directives requires properly integrating energy and water policy. (p.56)</li> <li>The river basin management planning process provides an opportunity to integrate strategic planning for hydropower development with water environment objectives. (p.58)</li> <li>Designation of HMWB, identifying GEP and setting objectives is about striking the right balance. (p.73)</li> <li>Recognition of the "issue of balancing the requirements of the WFD and the Renewable Energy Directive</li> </ul>
2011	(Arcadis) Hydropower generation in the context of the WFD	- No judgment is available on the right balance between the benefits of the hydropower facility and the benefits of protecting the aquatic environment
2012	(EC) EU Water Blueprint	<ul> <li>There is a need for better implementation and increased integration of water policy objectives into other policy areas, such as () the policies on renewable energy, transport etc.</li> <li>Member States should make full use of RBMPs that require an integrated approach to managing water resources across policy are such as agriculture, aquaculture, energy, transport and integrated disaster management. (p. 6)</li> </ul>