

1 **Multivariate curve resolution applied to *in situ* X-ray absorption spectroscopy**
2 **data: An efficient tool for data processing and analysis**

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12 **Abstract**

13 Large datasets containing many spectra commonly associated with *in situ* or *operando*
14 experiments call for new data treatment strategies as conventional scan by scan data
15 analysis methods have become a time-consuming bottleneck. Several convenient
16 automated data processing procedures like least square fitting of reference spectra
17 exist but are based on assumptions. Here we present the application of multivariate
18 curve resolution (MCR) as a blind-source separation method to efficiently process a
19 large data set of an *in situ* X-ray absorption spectroscopy experiment where the sample
20 undergoes a periodic concentration perturbation. MCR was applied to data from a
21 reversible reduction-oxidation reaction of a rhenium promoted cobalt Fischer-Tropsch
22 synthesis catalyst. The MCR algorithm was capable of extracting in a highly
23 automated manner the component spectra with a different kinetic evolution together
24 with their respective concentration profiles without the use of reference spectra. The
25 modulative nature of our experiments allows for averaging of a number of identical

26 periods and hence an increase in the signal to noise ratio (S/N) which is efficiently
27 exploited by MCR. The practical and added value of the approach in extracting
28 information from large and complex datasets, typical for *in situ* and *operando* studies,
29 is highlighted.

30

31 **Keywords:** X-ray Absorption Spectroscopy, Multivariate Curve Resolution,
32 modulation, *in situ*, *operando*.

33

34 **1. Introduction**

35 During the last two decades many synchrotron beamlines specialized in X-ray
36 absorption spectroscopy (XAS) have increased their instrumental performance
37 enormously. While recording a single spectrum used to take several tens of minutes,
38 now it can be recorded in less than 10 seconds or even in the order of milliseconds ¹.
39 This has opened up the way to perform *in situ* and *operando* XAS experiments with
40 the ambitious aim to shed light on transient structures. In such experiments XAS data
41 are collected as a function of time while changing a variable of the experimental
42 condition such as temperature, concentration, or pressure. It has become routine to
43 collect hundreds of spectra on a single sample. These large datasets have to be treated
44 and the conventional scan by scan data analysis methods have become a time
45 consuming bottleneck. Automated data processing procedures like least square fitting
46 of data with a linear combination (LC) of reference spectra and Principle Component
47 Analysis (PCA) are powerful tools yet have limitations. More precisely LC requires
48 standards and PCA often provides mixed components that are hard to interpret. Here
49 we present the application of multivariate curve resolution (MCR) as a blind-source
50 separation method ^{2,3} to process a large data set of an *in situ* XAS experiment where a

51 sample is under periodic concentration perturbation with simultaneous effluent gas
52 analysis. The advantage of MCR extracted components is that they can be treated as
53 conventional XAS spectra.

54 In a XAS experiment the X-ray energy is scanned over and beyond the absorption
55 edge and the intensity before and after the sample is recorded. The photo-electrons are
56 ejected from the absorbing atom and interfere with the direct surroundings following
57 many different scattering paths. These paths give rise to an oscillatory, wiggling
58 behavior of the extended X-ray absorption fine structure (EXAFS) signal. By the very
59 nature, the EXAFS spectral response is hence the sum of many overlapping
60 contributions that have to be untangled. When performing *in situ* experiments under
61 chemical and/or structural transformations, the EXAFS signal often consists of
62 contributions from at least two or more species. Due to the aforementioned wiggling
63 nature of EXAFS region in the XAS data, the challenge is to untangle overlapping
64 peaks unequivocally. The difficulty in the separation of heavily overlapping peaks can
65 be mitigated by increasing measurement resolution such as ultrahigh resolution
66 fluorescence analyzer systems ⁴ which are available only on highly specialized
67 beamlines. Modulation excitation spectroscopy (MES) is a method for transient
68 studies and monitors sensitively and selectively the species or the physical states of
69 interests ⁵. MES has been combined with EXAFS to boost the detection sensitivity ^{1, 6,}
70 ⁷. By using the mathematical engine of MES, commonly referred to as phase sensitive
71 detection (PSD), great sensitivity enhancement has indeed been demonstrated.
72 However, the wiggling and overlapping nature of EXAFS spectra is not well suited for
73 conventional MES analysis using PSD. The PSD method has difficulty in
74 differentiating heavily overlapping peaks with distinct kinetics. More recently, the
75 spectra after PSD were analyzed by a fitting procedure using reference spectra to

76 quantitatively understand small spectral changes observed⁸. This is one way of solving
77 the overlap problem of the different components; unfortunately it requires a priori
78 knowledge or assumptions on the changes in the samples and uses reference spectra.
79 Multivariate curve resolution (MCR) analysis on X-ray absorption near edge
80 spectroscopy (XANES) data is slowly getting established and has been applied for
81 battery research⁹, for studying substitution reactions in layered hydroxides¹⁰ and the
82 determination of an activation pathway in a copper alumina catalyst¹¹. In this paper
83 we present the application of MCR to an *in situ* catalytic EXAFS study with a periodic
84 gas concentration modulation. In the present work MCR - alternating least squares
85 (ALS) was used for the blind separation of the spectral components. With blind we
86 mean without any standards or any other input information except the data (i.e.
87 spectra) matrix itself. The blind source is a great advantage of the MCR because only
88 experimental data are needed and no prior assumptions have to be made for the
89 analysis.

90

91 **2. Material and methods**

92 **2.1. MCR applied to XAS data**

93 In this work the MCR methodology has been directly applied to the time-resolved
94 XAS data: \mathbf{D} is the data matrix of $m \times n$ in size, where m is the number of recorded
95 spectra and n is the number of the data points in energy scale in XAS. The information
96 about the spectra of individual components and their concentrations or populations can
97 be extracted by solving the component resolution problem¹². In order to do so the
98 matrix of raw spectra \mathbf{D} has to be decomposed into bilinear contributions of the pure
99 components, i.e. concentration profiles, and spectra¹³. Equation (1) shows that in
100 MCR data matrix \mathbf{D} is expressed by three matrices \mathbf{C} , \mathbf{S} , and \mathbf{E} . $\mathbf{C}(m \times k)$ is the matrix

101 where its columns contain the concentration variations or kinetic profiles of k pure
102 components during the transformation. $\mathbf{S}(n \times k)$ contains the columns of the respective
103 spectra of the pure components. The main goal of MCR is to determine the \mathbf{C} and \mathbf{S}
104 matrices only by analyzing the data matrix¹⁴. It is also important to note that the term
105 ‘pure components’ denotes a group of features that varies with a unique pattern,
106 compared to other responses coming from the sample. It does not necessarily have to
107 be a chemically pure component but could e.g. represent a mixture of chemically pure
108 components as long as they behave together (kinetically) in the same manner. The
109 third term $\mathbf{E}(m \times n)$ is a matrix with leftover unexplained signal after the multiplication
110 of the concentration \mathbf{C} and component \mathbf{S}^T matrices. Ideally the \mathbf{E} matrix would contain
111 the experimental uncertainties.

$$\mathbf{D} = \mathbf{C}\mathbf{S}^T + \mathbf{E} \quad (1)$$

112 Equation 1 is solved iteratively by finding the optimal combination of the \mathbf{C} and \mathbf{S}
113 matrices using least squares optimization on the \mathbf{E} variance matrix. This technique has
114 been successfully applied in a great deal of analytical problems¹⁵⁻¹⁸. Typical MCR-
115 ALS steps have been reported elsewhere¹³. In order to increase the MCR performance,
116 physically logical constraints can be employed in the decomposition procedure^{14, 19}.
117 For instance positive values for concentrations as well as spectral profiles are used as
118 constraints for common spectral analyses. This is one of the advantages of MCR over
119 PCA because the latter uses statistical criteria during component extraction resulting in
120 components more difficult to interpret.

121 It should be underlined that we employed the MCR-ALS on XAS data as a blind
122 source separation tool to extract pure component spectra and their respective
123 concentration profiles. However, other blind source separation algorithms do exist
124 with imposed component independence criteria, and the origin of the criteria differs in

125 MCR-ALS. MCR-ALS should hence not be taken as a general or sole solution for
 126 blind source separation, although it did perform well in this application compared to
 127 independent component analysis (ICA), such as SNICA ², in this particular case.
 128 The MCR algorithm has been developed, described and discussed in detail by Tauler
 129 et al. ¹⁹⁻²³. Multivariate resolution methods also assume that the experimental data
 130 follows Lambert-Beer's law. This assumed linearity between recorded spectral data
 131 and component concentrations is widely accepted for XAS data ^{24, 25}. Hence our aim is
 132 to extract the concentration **C** and spectra **S** matrices from only the experimental data
 133 matrix **D** without using any reference spectra. Before extracting the **C** and **S** matrices
 134 the number of pure components present in the system needs to be defined. Then initial
 135 estimations of matrices **C** and **S** have to be obtained from the techniques based on the
 136 evolving factor analysis ^{14, 19, 20, 22, 23, 26, 27}. Later, the iteration mechanism comes into
 137 play. A new estimation of the matrices **C** and **S** is obtained after each iteration
 138 (equations 2 and 3).

$$\mathbf{C}^+\mathbf{D}^*=\mathbf{C}^+\mathbf{C}\mathbf{S}^T=\mathbf{S}^T \quad (2)$$

$$\mathbf{D}^*(\mathbf{S}^T)^+=\mathbf{C}(\mathbf{S}^T)(\mathbf{S}^T)^+=\mathbf{C} \quad (3)$$

139 Matrix **D*** is the data matrix produced by principal component analysis (PCA) ²⁷ for
 140 the selected number of components. Matrix **C⁺** is the pseudo-inverse (as matrix **C** is
 141 not necessarily square) of matrix **C** and **(S^T)⁺** is the pseudo-inverse of the matrix **S^T**.
 142 Iterative optimization is performed until convergence is achieved or a specified
 143 number of iterations is reached.

144 **2.2. Sample preparation**

145 A Fischer-Tropsch synthesis catalyst, cobalt-rhenium supported on high surface area
 146 γ -Al₂O₃, was chosen as our model system ²⁸. The catalysts were prepared by a co-
 147 impregnation technique. Cobalt nitrate hexahydrate and perrhenic acid were dissolved

148 in de-ionized water and the solution was impregnated on γ -Al₂O₃. The catalysts were
149 dried in air for 3 hours at 393 K followed by calcination in air for 16 hours at 573 K.
150 The average cobalt particle size was ca. 8-10 nm with 20 wt% of cobalt. Sieved
151 catalyst fractions of 53-90 μ m were used for the experiments.

152 **2.3. XAS measurements**

153 XAS experiments were performed on BM01B at the Swiss-Norwegian Beamlines
154 (SNBL) of the European Synchrotron Radiation Facility (ESRF), France. XAS spectra
155 were recorded at the cobalt K-edge (7709 eV) with a double crystal Si(111)
156 monochromator. A cobalt foil was used for energy calibration. The first inflection
157 point was calibrated to the Co K-edge energy. All measurements were performed in
158 transmission mode. Gas filled ion chambers before and after the sample were used to
159 measure the XAS signal.

160 XAS spectra were recorded near the Co K-edge (7709 eV) with 1 eV energy step in
161 continuous scanning mode. One spectrum was recorded in about 31 seconds. 6
162 oxidation-reduction cycles were taken in total. Each cycle consists of 30 spectra (15
163 spectra under H₂ flow and subsequent 15 spectra in the flow of the O₂ in He).

164 **2.4. Reaction and setup description**

165 A reversible oxidation-reduction reaction of the Co component of the Fischer-Tropsch
166 synthesis catalyst was used to test the MCR methodology. The experimental setup is
167 shown in Fig. 1.

168 Gas flows were controlled by mass flow controllers. One of the key elements of the
169 setup is the switching valve. The switching valve allowed rapid switching (< 200 ms)
170 between two gas lines containing either 2.5 mL/min of H₂ (99.999 %) or O₂ in He
171 (2.2mL/min He + 0.3 mL/min O₂). The pressure in both lines (sample and blank) was
172 adjusted to ambient pressure by back pressure controllers. Equalizing the pressure in

173 both lines is very important as it enables a sharp and clean switching front between the
174 gases. A quartz capillary (OD: 1 mm, wall thickness 20 μm)²⁸ was used as the *in situ*
175 reactor cell. The temperature was set to 488 K. The concentrations of gaseous
176 components after the reactor cell were monitored by a mass spectrometer (Pfeiffer
177 Vacuum, OmniStar) in order to verify whether the envisaged reaction actually took
178 place throughout the whole experiment.

179 **2.5. Data analysis**

180 **2.5.1. Pre-treatment**

181 In a time resolved experiment one always needs to make a trade-off between time
182 resolution and S/N. Increasing time resolution leads to a decrease in S/N and vice
183 versa. As the oxidation-reduction reaction induced by switching between oxygen and
184 hydrogen atmospheres is a reversible process, one can repeatedly scan the changes
185 upon gas switching while recording the spectra with a required time resolution to
186 capture the spectral evolution of interest in spite of possibly insufficient S/N of each
187 scan for proper spectral analysis. The obtained multi-cycle data can be averaged into
188 one cycle to improve S/N and the degree of the improvement, according to Gaussian
189 statistics, is proportional to the number of cycles which is an experimental parameter.
190 This post-averaging scheme can be very practical in reducing the data size for further
191 analysis like MCR-ALS in the light of availability of modern detectors with high
192 spectral resolution and fast scan capability. A detailed analysis of the S/N
193 improvement is given in the Supplementary Material.

194 Prior to the averaging procedure the studied system should be allowed to reach a quasi
195 steady-state⁵. In Fig. 2a, the first three cycles of the experimental data during O₂-H₂
196 switching are shown. It becomes immediately clear that the spectral response in the
197 first cycle is different from that of the second and third cycles. Before the initial cycle

198 which starts from the oxygen atmosphere, the sample was freshly reduced. The state of
199 the catalyst and/or the degree of Co reduction is different after exposing to O₂ flow.
200 From the second cycle onwards the temporal response of the spectra at each cycle was
201 identical (Fig. 2b), thus a quasi steady-state was reached after the first cycle. This is
202 also confirmed by the MS response (see Supplementary Material). Hence the spectra
203 of the 5 cycles, from the 2nd until the 6th cycle, were averaged into one cycle. All data
204 pre-treatment procedures were performed using MATLAB software. As a result, one
205 averaged cycle is produced with significantly improved S/N (Fig. 2c). The averaged
206 EXAFS data were used for data processing by MCR.

207 **2.5.2. Conventional versus MCR data processing**

208 Conventional EXAFS data processing includes several initial steps such as
209 normalization, background subtraction and edge position correction. Fig. 3 shows the
210 Fourier transforms of the averaged EXAFS spectra (i.e. one cycle) after the pre-
211 processing steps (details given in Supplementary Material).

212 All the transformed spectra are apparently different from each other, meaning that all
213 30 spectra must be processed and analyzed separately. This is a time-consuming
214 procedure and becomes impractical for large datasets. Note that this is still a relatively
215 small dataset compared to the experimental capabilities of state of the art XAS stations.
216 MCR works differently; here the entire system is represented by the various
217 components present in the system and their concentrations. Fig. 4 graphically
218 represents the result of the MCR method applied to our XAS data. The commercially
219 available Unscrambler X 10.2 software was used for the MCR data analysis. We have
220 constrained the MCR analysis to non-negativity for spectra and concentration profiles.
221 Here the data matrix **D** is expressed by the matrices of “MCR pure component”
222 spectra **S** and their concentrations **C** varying with time and the associated residual

223 error **E** matrix (shown in Supplementary Material). In this particular example only two
224 components and their concentration profiles were, at the first glance, sufficient to
225 represent the data with very little residual error. Therefore compared to conventional
226 EXAFS data treatment, only 2 spectra need to be interpreted instead of 30. In
227 conventional EXAFS data analysis each of the 30 datasets would be compared or
228 fitted with parameters from a proposed structural model. Alternatively, the spectra can
229 be fitted by a linear combination of chemically pure standard spectra (typical for
230 XANES analysis). By doing so a model is forced into the interpretation such that
231 variations have to occur by going directly from one to another chemically pure
232 component. With the MCR-ALS methodology the varying components are extracted
233 based on kinetic correlations in the data *without* any assumptions on chemical
234 purity. If a system would respond from one chemically pure bulk component to
235 another, both traditional and MCR methods would give the same result. MCR analysis
236 would still come with the speed of data-analysis advantage. However, if bulk
237 references do not describe the transient structures in the data properly MCR would be
238 able to show this effectively where reference based methods would fail. In other terms,
239 MCR-ALS would be able to identify species and components in samples for which
240 references are not available.

241 Quantification with MCR is possible but care has to be taken because the
242 multiplication of a component spectrum and its concentration yields the actual
243 intensity of the decomposed spectra. Therefore the concentrations obtained by MCR
244 should be scaled by the intensity of the component spectrum of a reference with
245 known concentration.

246 Furthermore, it has to be mentioned that the averaged dataset, absorption coefficient
247 (μ) as a function of X-ray energy and time, is processed by the MCR-ALS algorithm.

248 The physically more meaningful interpretation is done only after standard EXAFS
249 analysis, in brief Fourier transform, on the MCR-ALS extracted component spectra.

250 **2.5.3 Number of pure components**

251 The MCR implementation in Unscrambler performs PCA before MCR processing to
252 define the number of components. For this particular example Unscrambler yielded
253 two data sets: one with 2 and the other with 3 pure components, with a suggestion for
254 the former based on a statistical criterion (see Supplementary Material). The question
255 is: Can the 2 components be regarded as the solution? Indeed, the explained variance
256 with 2 components is already above 99.9 % and the two component model can
257 reasonably explain the spectral shape and evolution as one can see in the results and
258 discussion section. However, it should be noted that a variety of statistical stopping
259 rules for defining the number of components exist ²⁹. Looking in great detail at the
260 individual PCA loadings (Supplementary Material), one can clearly observe the high
261 S/N spectral structure in the 1st, 2nd, and also 3rd component, whereas the 4th and above
262 components are dominated by noise. The statistical analysis in the Supplementary
263 Material reports the quality of fit in terms of total residues for the 2- and 3-component
264 analysis. It is worth mentioning that the identical conclusions can be drawn without
265 averaging the data and processing either the last 5 cycles or all 6 cycles
266 (Supplementary Material). The number of components selected for the determination
267 of the pure component spectra is of primary importance for the final interpretation of
268 the data and here we find it valuable and useful to examine the S/N and spectral
269 structure using PCA to determine the number of pure components to be included in the
270 MCR analysis. From the statistical analysis and the data interpretation it is evident that
271 all low-noise components need to be examined for meaningful chemical interpretation.

272 In this work, we present both cases as an illustration of both 2- and 3-component cases
273 and potential pitfalls in using MCR for blind source spectral separation.

274

275 **3. Results and discussion**

276 The MCR procedure delivers individual component spectra that can be processed as
277 conventional EXAFS spectra. To illustrate this, we first perform the EXAFS analysis
278 on a simple example, namely the 2 component MCR decomposition, suggested as the
279 likely solution based on the statistical criteria. By showing the incomplete 2
280 component example (Section 2.5.3) we also intend to sensitize potential users of the
281 method about the extreme importance of careful number component selection.

282 In Fig. 4 typical profiles of X-ray absorption spectra after MCR decomposition are
283 shown. The part of the components spectra which can be processed for detailed
284 EXAFS analysis (ca. 50 eV above the edge) has the typical oscillating nature. Fig. 5
285 shows raw and normalized Fourier transformed spectra of the 2 components without
286 phase-correction obtained after the MCR treatment.

287 Component 1 has a magnitude approximately 15 times higher than component 2. The
288 large difference between FT magnitudes of the two components is due to the
289 difference in the intensities of the oscillating parts of the components spectra S (Fig.
290 4). It should be reminded that the actual signal intensity of the component spectra can
291 be retrieved by its multiplication with the corresponding concentration. This means
292 that the actual difference in their intensities is not as large as shown in Fig. 5a because
293 component 2 has lower intensity with higher concentration throughout the O₂-H₂
294 cycle. It is interesting to note that the concentration of component 2 changes but
295 remains high, while that of component 1 diminishes completely at around the 10th
296 spectrum (C in Fig. 4). For the sake of clear comparison between the two components,

297 FT curves were normalized to the highest peak. Fig. 5b shows that there is a
298 significant difference between the components, implying that the two components
299 belong to the substances with different chemical and structural nature. For a better
300 understanding of the chemical meaning of the components, the FT curves of the two
301 components and of the cobalt containing standards are compared (Fig. 6).

302 The most intense peak of component 1 clearly resembles the main peak of cobalt
303 metal. Hence we can conclude that component 1 has mainly metallic nature. On the
304 other hand, the assignment of component 2 is more ambiguous and it apparently
305 consists of a mixture of Co_3O_4 and CoO . No peak of metallic cobalt was detected
306 comparing the spectra of the component 2 and of metallic cobalt. Therefore MCR can
307 clearly separate the metallic component of the studied sample from the component
308 containing oxidized cobalt. This suggests that the components represent features with
309 a clear chemical meaning. In Fig. 4 the concentration matrix \mathbf{C} is presented as a
310 concentration plot. As mentioned earlier, the concentration of component 1 drops
311 close to zero after the 10th scan in O_2 flow but it increases again after a while when
312 switching to H_2 flow. The concentration profile of component 2 behaves in an opposite
313 manner to that of component 1 albeit at a higher concentration level as mentioned
314 earlier. The concentration profiles are also chemically reasonable because components
315 1 and 2 correspond to metallic Co and Co oxides, respectively, according to Fig. 6. By
316 visual inspection of the XAS edge profiles in Fig. 3 the close to zero concentration of
317 metallic Co and the rather high concentration variations indicates that the majority of
318 the cobalt in the catalyst alternates between metallic cobalt (in H_2) and cobalt oxides
319 (in O_2) throughout the cycle.

320 As explained in Section 2.5.3 and in the Supplementary Material, the presence of 3
321 components can be concluded based on the structure of scores and S/N of loadings in

322 PCA. Also when we increase the number of components to three, the sum of all
323 differences in matrix **E** is reduced by a factor of 40 (Supplementary Material), but
324 does the increase in the number of components make better chemical sense and does
325 MCR hence provide more detailed information?

326 The next example uses the same XAS data set but separated into 3 components by
327 MCR. In Fig. 7 the component spectra and the corresponding concentration profiles
328 after decomposition into 3 components are presented.

329 The component concentration profiles look similar to those obtained after
330 decomposition into 2 components (Fig. 4) except for the presence of the additional
331 profile of component 2.

332 Note that the number of components used in the extraction is an important parameter
333 to be precisely determined, as it could also have a large effect on the shape of
334 extracted components. Again, for better understanding of chemical transitions,
335 analysis of the Fourier transforms of the extracted components was performed first.
336 Conventional data processing including the background subtraction, edge shift and
337 normalization was done prior to Fourier transformation. A comparison is shown in
338 Fig. 8. Component 1 mainly represents the metallic part of the system (a) while
339 components 2 and 3 show the presence of cobalt oxides. Component 3 resembles pure
340 Co_3O_4 (b) whereas component 2 represents the structure of an intermediate between
341 CoO and Co_3O_4 when comparing the positions and intensities. According to the
342 component concentration profiles, the oxidation-reduction process for the cobalt can
343 be explained in the following way: After introduction of oxygen, the surface cobalt
344 atoms are oxidized with the initial formation of CoO . Co_3O_4 is formed at the expense
345 of CoO (Fig. 7b, 8-10th scan number). After switching to hydrogen flow Co_3O_4 is
346 partially reduced to CoO (Fig. 7b, 17-20th scan number). The concentration of the

347 metallic component is increased gradually after the introduction of hydrogen together
348 with a simultaneous decrease in the concentrations of both CoO and Co₃O₄.

349 The MCR analysis shows that oxidation of cobalt is a two-step process with the Co₃O₄
350 as the resulting oxide and CoO as an intermediate component. This shows that the
351 oxidation of cobalt follows the same mechanism as observed for the reduction of
352 Co₃O₄ crystallites in traditional XANES analysis in previous studies³⁰. An additional
353 validation of the blind source separation method was performed by comparing results
354 of EXAFS and XANES MCR analysis with and without an initial guess on the pure
355 components using references spectra of bulk Co, CoO and Co₃O₄. The results of these
356 extractions are given in the Supplementary Material. It can be seen that all
357 concentration profiles show the same trends, confirming the validity of MCR as the
358 blind source separation method.

359 Does the information extracted by MCR-ALS go beyond the traditional methods? It is
360 clear from Fig. 8 that the extracted components do not fully match with the EXAFS
361 reference spectra. This shows that the MCR-extracted components do not necessarily
362 represent bulk chemical phases, which could be explained as follows: The changes in
363 the cobalt particles during oxidation-reduction cycles are of transient character and the
364 system is highly dynamic. The mixed character of CoO and Co₃O₄ observed for
365 component 2 may be caused by the transient nature of the oxide phases and that
366 nanoparticles were used in this study (ca. 8-10 nm in size). The surface fraction of Co
367 atoms gives a significant contribution to the overall signal. Hence it becomes clear that
368 the cobalt species in our study are not well described by the bulk reference
369 compounds. This also demonstrates the obvious limitations of traditional LC analysis
370 of XANES spectra using such reference compounds. Better time resolution, providing
371 finer sampling, might increase the accuracy of the MCR analysis. Further work is

372 needed to study the influences of sampling rates on the quality of MCR-ALS
373 extractions and to provide clear experimental guidelines to optimize such experiments.
374 It is also worth noting that, thanks to the modulative nature of our experiments, the
375 detection limits as well as the data size can be pushed down by averaging many cycles.
376 The latter data size reduction advantage can be very practical considering the
377 increasing availability of modern high resolution, fast detectors. Especially in catalysis
378 research, where the active species often represents only a minute (surface) fraction of
379 the overall catalytic body, the presented modulation -> averaging -> MCR-ALS
380 approach might be able to provide new experimental insights. The represented data
381 already shows the power of the methodology in efficient and insightful EXAFS data
382 analysis for catalysis research.

383 **4. Conclusions**

384 The application of MCR to X-ray absorption spectroscopy data has been demonstrated
385 on a modulated oxidation-reduction treatment of cobalt-based Fischer-Tropsch
386 synthesis catalysts. The complex hardware needed for such experiments has been
387 described in detail. **MCR was capable of extracting in a highly automated manner**
388 **component spectra with distinct kinetic evolution together with their respective**
389 **concentration profiles without the use of reference spectra.** Great care has to be
390 taken in the selection of the number of pure components. Comparisons between blind
391 source component extractions and extractions including an initial guess of the
392 components show very similar results providing confidence in the method. The blind
393 extracted components can also be treated with standard software for EXAFS spectral
394 analysis. When comparing the MCR extracted components with bulk references they
395 are somewhat different. This is likely due to the dynamic nature of the system and the
396 nanoparticles used illustrating that bulk references are not representative for the

397 changes occurring in highly dispersed systems. Furthermore it is demonstrated that the
398 components extracted with MCR have a clear chemical meaning and, together with
399 their concentration profile, explain the transformation mechanism of the redox
400 reaction in detail. Hence MCR is capable of automatically extracting unbiased
401 chemically meaningful component spectra together with their concentration profiles
402 from large *in situ* XAS datasets.

403

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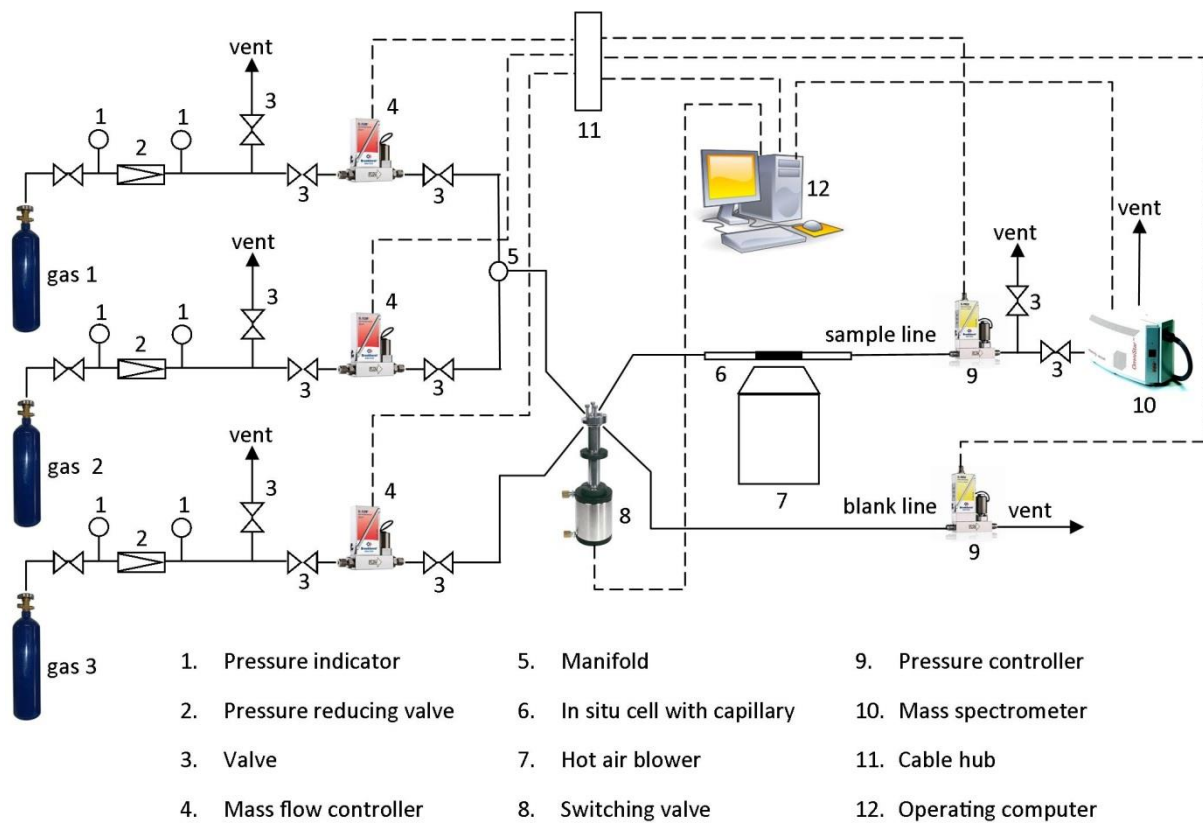
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460

462 **Figures**

463

464

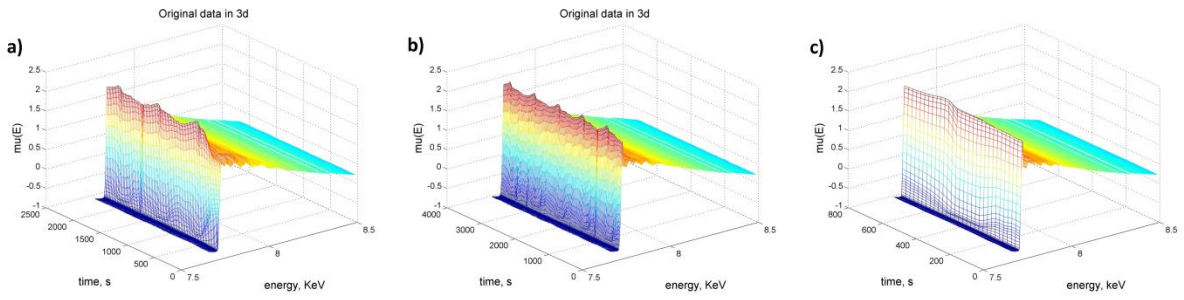


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466 Figure 1. Scheme of the experimental setup used in the experiments.

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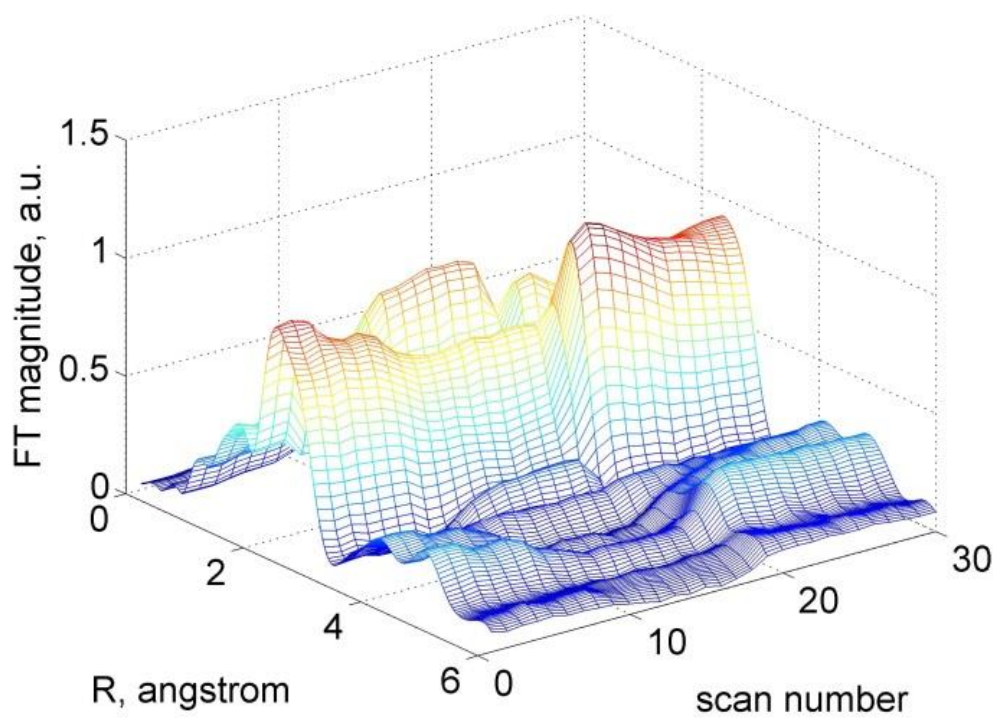
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470 Figure 2. Data pre-treatment steps: a) detection of the quasi steady-state; b) chosen

471 cycles for averaging; c) averaged cycle. The first half of the cycle is under O₂-flow

472 whereas the second half is under H₂-flow.

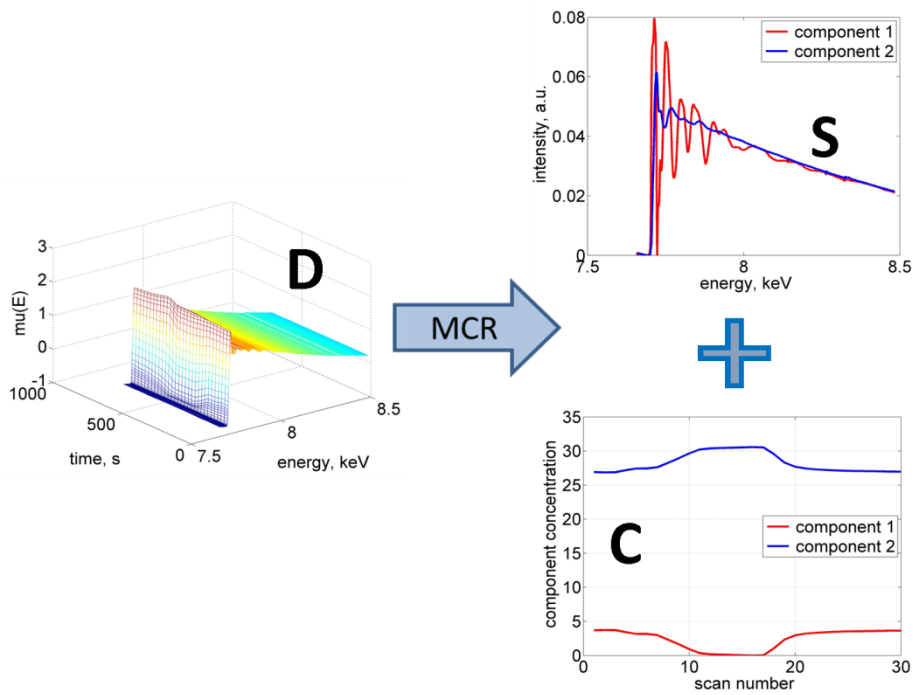
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475 Figure 3. Fourier transforms of the averaged EXAFS spectra.

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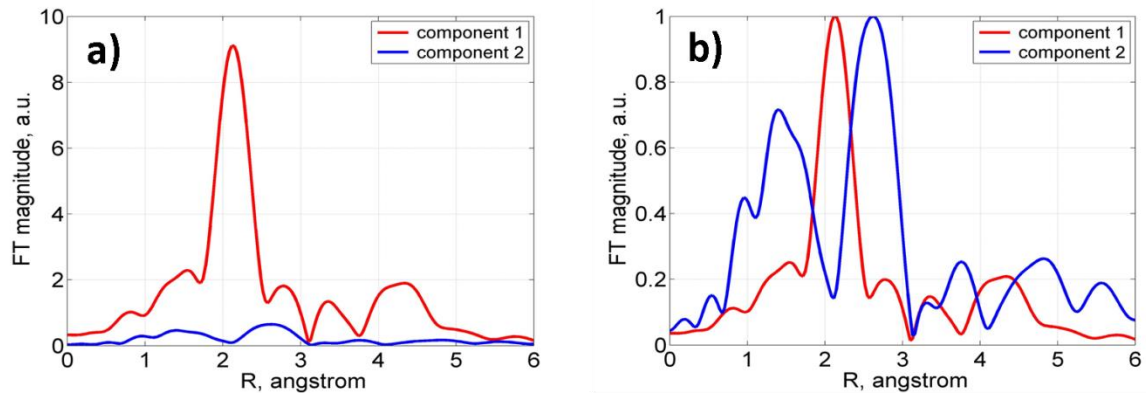


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478 Figure 4. Graphical representation of eq. (1) using the collected and averaged XAS

479 data.

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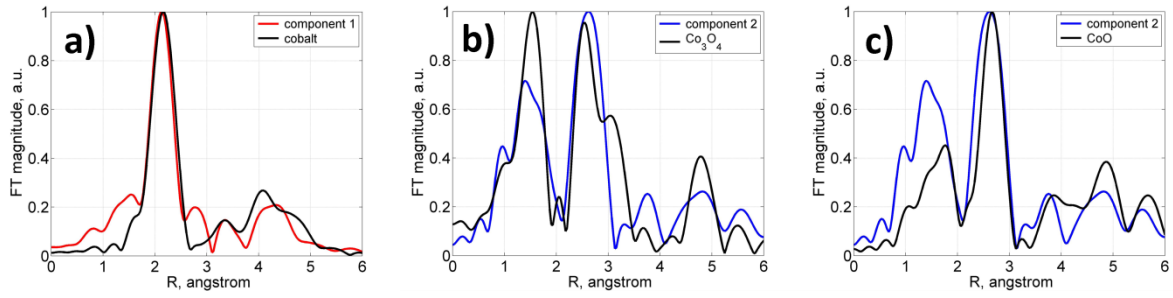


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482 Figure 5. a) Raw and b) normalized Fourier transformed component spectra obtained

483 after MCR.

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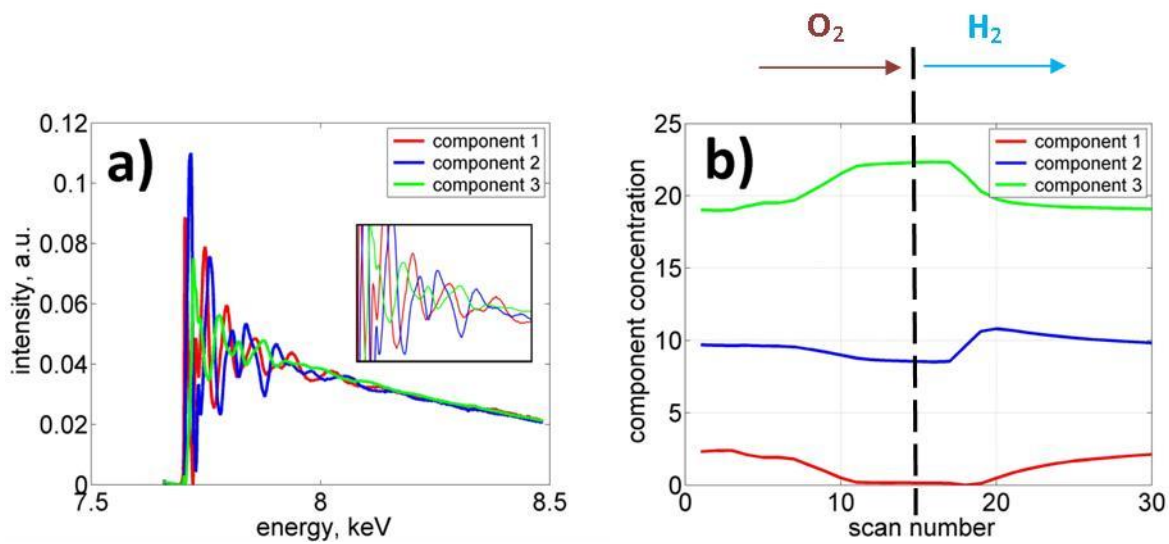


485

486 Figure 6. Comparison between components and standards: a) component 1 and

487 metallic cobalt; b) component 2 and Co₃O₄; c) component 2 and CoO.

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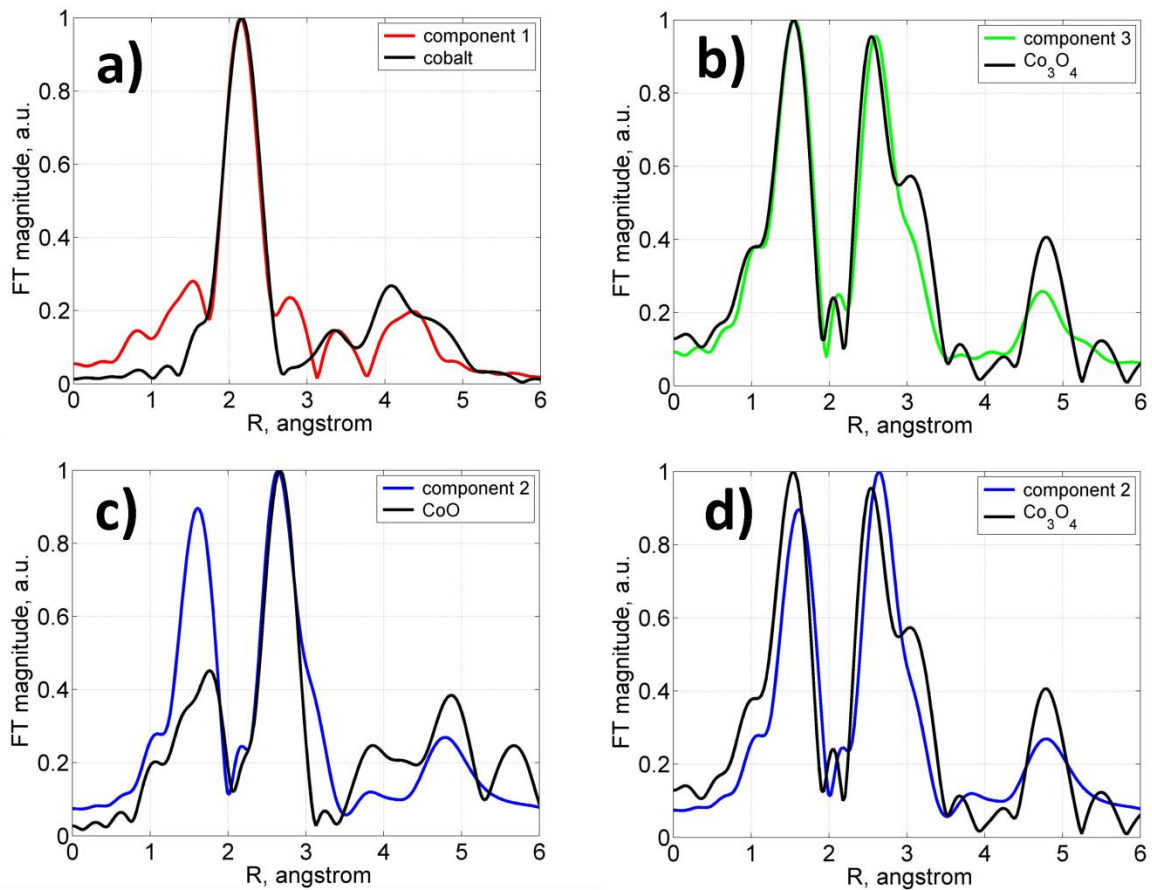


489

490 Figure 7. XAS spectra (a) and concentration profiles (b) obtained by MCR where the

491 averaged data (Fig. 2c) was decomposed into 3 components.

492



493

494 Figure 8. Comparison between components and standards: a) component 1 and

495 metallic cobalt; b) component 3 and Co_3O_4 ; c) component 2 and CoO ; d) component 2

496 and Co_3O_4 .

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