

1 **Phenanthrene bioavailability and toxicity to *Daphnia magna* in the**
2 **presence of carbon nanotubes with different physicochemical**
3 **properties**

4 Florian Zindler^{1§}, Berit Glomstad¹, Dag Altin², Jingfu Liu³, Bjørn M. Jenssen¹, Andy M. Booth^{4,*}

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6 ¹ Department of Biology, Norwegian University of Science and Technology, Trondheim NO-
7 7491, Norway

8 ² BioTrix, Trondheim NO-7022, Norway

9 ³ State Key Laboratory of Environmental Chemistry and Ecotoxicology, Research Center for
10 Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing, 100085, China

11 ⁴ SINTEF Materials and Chemistry, Trondheim NO-7465, Norway

12 **Abstract**

13 Studies investigating the effect of carbon nanotubes (CNTs) on the bioavailability and toxicity of
14 hydrophobic organic compounds in aquatic environments have generated contradictory results,
15 and the influence of different CNT properties remains unknown. Here, the adsorption of the
16 polycyclic aromatic hydrocarbon phenanthrene (70-735 µg/L) to five types of CNTs exhibiting
17 different physical and chemical properties was studied. The CNTs were dispersed in the presence
18 of natural organic matter (nominally 20 mg/L) in order to increase the environmental relevance
19 of the study. Furthermore, the bioavailability and toxicity of phenanthrene to *Daphnia magna* in
20 the absence and presence of dispersed CNTs was investigated. Both CNT dispersion and
21 adsorption of phenanthrene appeared to be influenced by CNT physical properties (diameter and
22 specific surface area). However, dispersion and phenanthrene adsorption was not influenced by
23 CNT surface chemical properties (surface oxygen content), under the conditions tested. Based on
24 nominal phenanthrene concentrations, a reduction in toxicity to *D. magna* was observed during
25 co-exposure to phenanthrene and two types of CNTs, while for the others, no influence on
26 phenanthrene toxicity was observed. Based on freely dissolved concentrations, however, an
27 increased toxicity was observed in the presence of all CNTs, indicating bioavailability of CNT-
28 adsorbed phenanthrene to *D. magna*.

29

30 **Keywords:** Nanomaterials, polycyclic aromatic hydrocarbons, adsorption, dispersion, aquatic
31 organisms, aquatic ecotoxicity.

32 INTRODUCTION

33 The production of carbon nanotubes (CNTs) is increasing due to their incorporation into a
34 growing number of applications, including in composite materials and environmental
35 technologies.^{1, 2} Due to their hydrophobicity and tendency to aggregate and settle, a significant
36 proportion of CNTs released into the environment are assumed to accumulate in sediments.³
37 However, the adsorption of colloidal and dissolved natural organic matter (NOM) to CNT
38 surfaces has been found to increase the dispersion stability and water column residence time of
39 CNTs in aqueous media, significantly influencing their environmental fate and behavior.⁴⁻⁸
40 CNTs are potent adsorbents of hydrophobic organic compounds (HOCs), making them
41 interesting e.g. in remediation of contaminated sites or in wastewater treatment.^{2, 9, 10} This raises
42 questions with respect to their effect on the fate, bioavailability and toxicity of HOCs, including
43 polycyclic aromatic hydrocarbons (PAHs) which are common pollutants in natural
44 environments. CNT adsorption of HOCs is influenced by several factors, including the CNT
45 physicochemical properties, CNT dispersion state, the presence of NOM and media properties.¹¹⁻

46 ¹⁵

47
48 Several studies have observed ingestion of CNTs by aquatic organisms, including daphnids and
49 fish.¹⁶⁻¹⁹ Moreover, adverse effects as a direct result of CNT exposure have been observed in
50 algae, daphnids and fish.^{4, 17, 18, 20-23} Further studies have investigated the adsorption of HOCs by
51 CNTs or other carbon-based nanomaterials (CNMs), such as fullerene C₆₀, and the subsequent
52 bioavailability and toxicity of these contaminants to aquatic organisms. Only freely dissolved
53 HOCs are considered bioavailable to aquatic organisms, and the adsorption to CNMs has been
54 suggested to reduce HOC bioavailability. However, studies have shown contradictory findings.

55 Some studies found that CNM adsorption of HOCs led to a reduction in their bioavailability and
56 toxicity to aquatic organisms.²⁴⁻²⁶ In contrast, other studies have reported that HOCs adsorbed by
57 CNMs remain bioavailable to both pelagic and benthic organisms.^{11, 19, 25, 27, 28} For example, in
58 Japanese Medaka (*Oryzias latipes*) it was demonstrated that a CNT-adsorbed PAH
59 (phenanthrene) was released after ingestion of the particles, resulting in increased body
60 concentrations in the fish.¹⁹ Our previous study revealed that a range of CNTs with different
61 physicochemical properties readily adsorbed phenanthrene in the presence of environmentally
62 relevant concentrations of NOM and that it was bioavailable to freshwater algae
63 (*Pseudokirchneriella subcapitata*).¹¹ These results show that CNMs can facilitate the transport of
64 co-adsorbed contaminants into organisms through alternative uptake routes, indicating that they
65 remain bioavailable. The bioavailability of CNT-adsorbed HOCs is thought to be influenced by
66 factors such as CNT aggregation behavior and the presence of NOM. Aggregation behavior is
67 believed to affect CNT retention/elimination rates in organisms, whilst the presence of NOM has
68 been suggested to impede PAH desorption from CNTs.^{19, 24} The contradictory results from
69 previous studies highlight the complexity of combined studies with CNTs and HOCs, and how
70 the results may be influenced by CNT properties or environmental factors (e.g. NOM). Despite
71 the fact that CNT properties can influence CNT aggregation behavior⁶ and their adsorption of
72 organic pollutants,¹¹⁻¹³ few studies have addressed the effect of CNT properties on co-
73 contaminant bioavailability and toxicity to aquatic organisms. More knowledge regarding CNT
74 effects on bioavailability and toxicity of HOCs in the presence of NOM is of importance for the
75 evaluation of their environmental effects.

76

77 In this study, we used phenanthrene as a model PAH to evaluate the bioavailability and toxicity
78 to *D. magna* in the presence of CNTs and environmentally relevant concentrations of NOM. To
79 investigate the influence of the physicochemical properties of CNTs on the bioavailability and
80 toxicity of phenanthrene, five types of CNTs were used in the study, including one single-walled
81 CNT (SWCNTs), two non-functionalized multi-walled CNTs (MWCNT-15 and MWCNT-30)
82 with different diameter and lengths and two functionalized MWCNTs (hydroxyl: MWCNT-OH
83 and carboxyl: MWCNT-COOH). Adsorption of phenanthrene to the different types of CNTs in
84 the presence of NOM was determined, and the subsequent influence on bioavailability and
85 toxicity to *D. magna* assessed through acute toxicity tests.

86

87 MATERIALS AND METHODS

88 **Chemicals.** The carbon nanotubes (SWCNT, MWCNT-15, MWCNT-30, MWCNT-OH and
89 MWCNT-COOH; >95%) were obtained from Timesnano (Chengdu Organic Chemicals Co., Ltd,
90 Chinese Academy of Sciences). Characterization of the CNTs was performed to determine outer
91 diameter, purity, specific surface area (SSA) and surface oxygen content. Details on the
92 characterization techniques has been previously published.¹¹ Phenanthrene ($\geq 99.5\%$, Sigma
93 Aldrich) was dissolved in methanol (analytical grade, Fluka Analytical) for the preparation of
94 stock solutions (100 mg/L). Suwannee River natural organic matter (SR-NOM) was purchased
95 from the International Humic Substance Society (IHSS).

96

97 **Preparation of CNT dispersions.** Elendt M7 medium²⁹ containing 20 mg/L SR-NOM (M7-
98 NOM) was used as medium for the preparation of CNT dispersions throughout the study. SR-
99 NOM was dissolved in M7 medium by magnetic stirring for 24 h. Undissolved material was

100 subsequently removed by filtration through a 0.2 μm Nalgene filter unit (Thermo Fisher
101 Scientific, Inc.). The pH of the M7-NOM was 7.74 ± 0.08 and needed no adjustment after
102 preparation. CNT stock dispersions in M7-NOM (100 mg/L) were prepared using bath
103 sonication according to a previously published method.¹¹ After sonication, the stock dispersions
104 were mixed into larger volumes of the same media to achieve an initial CNT concentration of 10
105 mg/L. The prepared dispersions were left for 24 h to allow any non-dispersed CNT aggregates to
106 settle out of the water column before the supernatant containing the remaining dispersed CNTs
107 was withdrawn and used in the further experiments. The CNT concentration in the supernatant
108 was determined by UV-vis absorbance measurements at 800 nm (Hitachi U-2000
109 Spectrophotometer), as no interference from NOM, the media or phenanthrene was observed at
110 this wavelength. Quantification was performed using calibration curves prepared for individual
111 CNTs as previously described.¹¹ The calibration curves are presented in Figure S1 in the
112 Supplementary Information (SI). For MWCNT-15, MWCNT-OH and MWCNT-COOH the
113 concentration was determined in single replicates which were subsequently used throughout the
114 adsorption and toxicity studies. Due to an oversight, the concentration of MWCNT-30 and
115 SWCNT was not measured directly in the dispersions used in the adsorption and toxicity studies.
116 The concentration of SWCNT and MWCNT-30 was therefore determined independently in
117 seven and six replicates, respectively. The average concentrations of these were used to
118 confidently estimate the CNT concentration in dispersions used in adsorption and toxicity
119 studies. The coefficients of variation (CV) of the replicates were 15% and 27% for SWCNT and
120 MWCNT-30, respectively.

121

122 **Phenanthrene toxicity to daphnids.** *D. magna* were cultivated in Elendt M7 medium at 20 ± 2
123 °C. Prior to testing, they were kept under a 16-hour light and 8-hour dark cycle and fed with the
124 freshwater algae *P. subcapitata*. A modified version of the OECD *Daphnia* sp., Acute
125 Immobilisation Test²⁹ was used to assess phenanthrene toxicity to daphnids, using 3-4 days old
126 daphnids. Pre-tests showed that for newborn organisms (< 24 h, as recommended in the OECD
127 test guidelines) attachment of CNT aggregates to the animals could cause mechanical
128 immobilization. Although mechanical immobilization could be considered as a physical
129 mechanism of toxicity, it is suggested this would not be of significant relevance in natural fresh
130 waters where CNT concentrations are expected to be low. To minimize the risk of mechanical
131 influence of the CNTs, larger 3-4 day old animals were used.

132
133 The daphnids were exposed to phenanthrene spiked into M7-NOM at five different
134 concentrations (70 µg/L, 126 µg/L, 227 µg/L, 408 µg/L and 735 µg/L) in order to determine the
135 effect concentrations. Five animals were carefully pipetted into 20 mL exposure solution
136 contained in 22 mL glass vials with PTFE lined screw caps (Agilent Technologies, Inc.),
137 maintaining a headspace of 1-2 cm. Four replicates were included at each phenanthrene
138 concentration. The animals were exposed for 48 hours in darkness at a temperature of 19.6 ± 0.3
139 °C, after which the number of immobilized animals was counted. Abnormalities, including
140 mortality and disoriented animals, were also recorded. Negative controls for M7 (n=8), M7-
141 NOM (n=32) and methanol dissolved in M7-NOM (which was used as a solvent for
142 phenanthrene, 7.35 µg/L; n=8) were included in the study. Dissolved oxygen (dO₂) and pH was
143 determined in the sample solutions at the start and end of the exposure.

144

145 A total of six tests with phenanthrene-only (i.e. without the presence of CNTs) were performed
146 in order to investigate the variability of the test. Four of the tests were performed using the same
147 batch of daphnids, while two tests were performed with different batches of the daphnids, giving
148 very good control of test variability both within one batch and between different batches of
149 daphnids. Based on these data, effect concentrations causing 50% immobilization of *D. magna*
150 (EC₅₀) resulting from exposure to phenanthrene-only were calculated. Furthermore, the EC₃₀ and
151 EC₇₀ values were calculated and used as a control for biological variability throughout the rest of
152 the study (i.e. in the tests subsequently performed with CNTs). This was done by exposing
153 daphnids to phenanthrene-only at the concentrations corresponding to the calculated EC₃₀ and
154 EC₇₀ values, and verifying that the responses were similar in all tests. The calculations and
155 statistical analysis were performed using GraphPad Prism 6.0. EC values were calculated from
156 nonlinear fit/concentration-response stimulation (log(agonist) vs. response), using an ordinary fit
157 (least squares) and variable slope, with bottom and top constrain set as 0% and 100%,
158 respectively. Statistical differences between groups were tested using analysis of variance
159 (ANOVA) with Tukey's post hoc test.

160

161 **Phenanthrene toxicity in the presence of CNTs.** The CNT dispersions were prepared as
162 described, spiked with five nominal concentrations (C_{nominal}) of phenanthrene (70-735 µg/L) and
163 divided into aliquots of 20 mL contained in 22 mL glass vials. The phenanthrene C_{nominal} range
164 used was identical to that of the test with pure phenanthrene (no CNTs). The samples were left
165 on an orbital shaker (165 rpm) in darkness for five days to ensure adsorption equilibrium.^{11, 30}
166 Once equilibrium had been achieved, five daphnids were placed in each sample vial and exposed
167 for 48 h under identical conditions as those used in the phenanthrene-only toxicity test described
168 above. Four replicates at each C_{nominal} were used. Immobilization and abnormalities (CNT

169 attachment to the animals, mortality and disoriented animals) were recorded after the 48 hours.
170 Controls containing only CNTs (CNT dispersions without phenanthrene) were included to
171 account for any toxicity due to the CNTs themselves. In addition, animals were exposed to
172 phenanthrene-only at the calculated EC₃₀ and EC₇₀ from the phenanthrene-only tests to control
173 for any biological variability (as previously described).

174
175 **Microscopy analysis.** Visual inspections of the exposed daphnids, in the absence and presence
176 of CNTs, were performed using light microscopy (Leica MZ-APO microscope; Leica
177 Microsystems, with a DS-5M-U1 camera; Nikon).

178
179 **Chemical analysis.** The concentration of freely dissolved phenanthrene (C_{free}) was determined at
180 the start of the exposure for all phenanthrene concentrations, both in the absence and presence of
181 CNTs. Three replicates were analysed in the absence of CNTs, while single replicates were
182 analysed in the presence of CNTs. Duplicate measurements of each replicate were applied in all
183 cases. In studies where CNTs were present, filtration using a hydrophilic polytetrafluoroethylene
184 (PTFE) filter membrane (0.1 μm pore size, Merck Millipore) was used to isolate and remove the
185 dispersed CNTs from the water phase prior to determination of C_{free} . Details on the filtration
186 technique have been previously published.^{11, 31} Briefly, a highly reproducible sorption of
187 phenanthrene to the filter membrane of approximately 18% was observed at all phenanthrene
188 concentrations.³¹ This allowed for the calculation of phenanthrene loss from the dissolved phase
189 during the filtration process. C_{free} in the presence of CNTs was then used to calculate the
190 phenanthrene adsorption to CNTs. C_{free} was determined by direct injection using high-performance
191 liquid chromatography with photodiode-array UV detection (HPLC-UV; Agilent Technologies, Inc.)
192 as previously described.³¹ The Dubinin-Ashtakhov model (DAM), which was successfully used for

193 describing phenanthrene adsorption to CNTs in our previous study, was fitted to the adsorption
194 data.¹¹

195

196 RESULTS AND DISCUSSION

197 **CNT properties and dispersion behavior.** A detailed summary of the CNT physicochemical
198 properties determined have been previously published¹¹ and is available in Table S1. Briefly,
199 transmission electron microscopy analysis indicated that the SWCNT had an average diameter of
200 ~2 nm whilst the four MWCNTs had average diameters that ranged between 14-20 nm. The
201 SWCNT exhibited a SSA of 483.7 m²/g, significantly higher than the MWCNTs with SSA
202 values <177.4 m²/g. Surface oxygen content was 5.7% and 3.9% for MWCNT-COOH and
203 MWCNT-OH, respectively, while the non-functionalized CNTs all had similar surface oxygen
204 contents of <2%.

205

206 The concentration of the five different CNTs remaining dispersed in the supernatants after the 24
207 h settling period was determined and these values were used to calculate the available surface
208 area in each dispersion (**Table 1**). The available surface area in each dispersion is calculated
209 based on the individual SSA values determined for the dry CNTs rather than dispersed CNTs,
210 and can therefore only be considered as estimates. However, as surface area is likely to be an
211 important factor in controlling adsorption and to have a potentially decisive effect on co-
212 contaminant bioavailability and toxicity,^{11, 13, 32} these estimates offer a useful measure for
213 interpreting the results generated in the subsequent toxicity studies.

214

215 The SWCNT and MWCNTs exhibited large differences in dispersibility, with the percentage of
216 the original 10 mg/L of each CNT remaining in dispersion being 5 % SWCNT, 25 % MWCNT-

217 15, 26 % MWCNT-30, 23 % MWCNT-OH, and 24 % MWCNT-COOH. The SWCNT exhibited
218 a lower dispersed concentration than the MWCNTs, consistent with the observations made in our
219 previous study¹¹ and in accordance with studies reporting a positive relation between CNT
220 diameter and dispersibility.⁶ The dispersion concentrations for the four functionalized and non-
221 functionalized MWCNTs were all very similar, being in the range 2.29-2.62 mg/L (23-26%).
222 Interestingly, this is in contrast to our previous study using the same CNTs in algae medium with
223 NOM, where higher dispersed concentrations were observed for the functionalized MWCNTs
224 than for the non-functionalized MWCNTs.¹¹ Previous studies have shown a positive relationship
225 between surface oxygen content and dispersibility.⁶ However, artificial freshwater containing
226 high concentrations of divalent cations and NOM has been shown to reduce the effect of CNT
227 surface oxygen on dispersibility.³³ The Elendt M7 medium used in the current study is more
228 complex and has a higher ionic strength and concentration of divalent cations than the algae
229 medium used in our previous study.¹¹ The specific conductivity (measure of ionic strength) for
230 Elendt M7 and the algae medium is 658 and 161 $\mu\text{S}/\text{cm}$, respectively, while the Ca^{2+}
231 concentration (calculated from the media composition^{29, 34}) is 2.0 and 0.1 mM, respectively. As
232 the same CNTs, dispersion method and NOM concentration was employed in both studies, it is
233 suggested that the Elendt M7 medium composition is causing the comparable dispersion
234 concentrations for functionalized and non-functionalized MWCNTs. It is therefore important to
235 consider the role of aquatic ecotoxicity media recommended for different species in standard
236 tests. This also highlights the importance of such parameters in natural waters and the role they
237 will have on CNT behavior in the aquatic environment.

238

239 **Phenanthrene adsorption to CNTs.** The determination of phenanthrene C_{free} showed
240 significant phenanthrene adsorption to all five types of CNTs. When present at their individual
241 maximum dispersion concentrations shown in **Table 1**, the five CNTs adsorbed 25-50% of the
242 C_{nominal} phenanthrene over the concentration range tested (70-735 $\mu\text{g/L}$; Figure S2). The
243 adsorption isotherms are presented in **Figure 1**, and adsorption capacity and adsorption affinity
244 for each of the CNTs, described by the DAM parameters $\log Q^0$ and E , respectively, are
245 presented in Table S2. Furthermore, C_{free} as a function of nominal phenanthrene concentrations
246 (C_{nominal}) is presented in Figure S3. As the adsorption data used for model fitting was based on
247 single data points, no statistical tests of the data were performed. The use of single data points
248 was based upon (i) high reproducibility was observed between the replicates from adsorption
249 studies in our previous study employing the same method¹¹, (ii) the DAM provided a strong fit
250 for the single data points generated in the current study, and (iii) the calculated maximum
251 adsorption capacities were highly comparable between the current and previous study for the
252 non-functionalized MWCNTs. The generated adsorption isotherms and the fitted parameters
253 contribute valuable information for comparison of adsorption behavior between the different
254 CNT types in the M7 Elendt media.

255
256 The data showed a higher Q^0 of SWCNT compared to the MWCNTs. This is in accordance with
257 our previous study using the same CNTs with algae medium and NOM,¹¹ and with other reports
258 demonstrating a positive correlations between CNT SSA and Q^0 .³² Interestingly, no relationship
259 between CNT surface oxygen content and Q^0 was observed in the current study. This was further
260 supported by the adsorption isotherms, which were similar for both functionalized and non-
261 functionalized MWCNTs (**Figure 1A**). These data are in contrast to previous observations using

262 the same CNTs in algae medium and NOM¹¹ and to other studies where a decrease in Q^0 with
263 increasing surface oxygen content has been observed.^{12, 32} The Q^0 of the non-functionalized
264 MWCNTs was very similar in both our current (8.28 $\mu\text{g}/\text{kg}$ and 8.37 $\mu\text{g}/\text{kg}$ for MWCNT-15 and
265 MWCNT-30, respectively) and previous studies (8.22 $\mu\text{g}/\text{kg}$ and 8.39 $\mu\text{g}/\text{kg}$ for MWCNT-15
266 and MWCNT-30, respectively). However, a higher Q^0 was observed for the functionalized
267 MWCNTs in the current study, with values being similar to those of the non-functionalized
268 MWCNTs. For example, the Q^0 of MWCNT-COOH in the current study was 8.20 $\mu\text{g}/\text{kg}$,
269 compared to 7.64 $\mu\text{g}/\text{kg}$ in the previous study. Normalizing the data against the estimated
270 available surface area allows for further investigation of the effect of surface chemistry. Using
271 data from our previous study, surface area normalized adsorption isotherms of the functionalized
272 MWCNTs, in particular for MWCNT-COOH, differed from those of the non-functionalized
273 MWCNTs (Figure S4). This indicated a suppression of phenanthrene adsorption due to the
274 presence of oxygen containing surface functional groups. In the current study, normalizing the
275 adsorption isotherms against the estimated available surface area resulted in very similar
276 adsorption isotherms for all MWCNTs irrespective of surface chemistry (**Figure 1B**). In the M7-
277 NOM medium, the presence of functional groups does not appear to influence phenanthrene
278 adsorption to CNTs significantly. We have already demonstrated that media properties (ionic
279 strength, concentration of divalent cations) can influence the role of CNT surface chemistry on
280 CNT dispersibility. The current study also indicates that media properties are of importance with
281 respect to the role of CNT surface chemistry on adsorption behavior. Media properties have been
282 reported to affect adsorption of NOM to CNTs,³³ but there is limited knowledge regarding the
283 effect of media properties on the adsorption of HOCs, such as phenanthrene, to CNTs. Further
284 studies are required to assess the role of aquatic media properties on adsorption behavior, as it

285 would also have implications for the further effect of CNTs on co-contaminant bioavailability
286 and the process of HOC adsorption in different aquatic environments.

287
288 In the current study, no clear relationship was observed between CNT properties and *E*. Similar
289 observations were made in our previous study with the same CNTs in algae medium.¹¹ It has
290 been previously reported that *E* of PAHs (pyrene) to CNTs varied with the type functionalization
291 when dispersed by shaking. However, treatment with sonication removed differences in *E*
292 between different CNT types, but also resulted in an overall increase in *E* for all CNT types.¹³
293 The presence of NOM has been shown to reduce *E*.¹⁴ Despite the identical sonication treatment
294 and SR-NOM concentration used in both our current and previous studies¹¹, slightly lower *E*
295 values were observed for all CNTs in the current study, in particular for the MWCNTs. Again,
296 this suggests an influence of media properties on CNT adsorption behavior, which should receive
297 attention in future studies.

298
299 **Phenanthrene toxicity to daphnids.** In all of the control exposures (pure Elendt M7, M7-NOM
300 and M7-NOM with methanol) <10% of the animals were immobilized, meeting with the OECD
301 test criteria. This confirmed there was no toxicity that could be attributed to the M7 media, the
302 presence of NOM or from the methanol used for dissolving phenanthrene. There were no
303 variations in pH outside the recommended range in any of the tests, and the dO₂ concentration at
304 the end of the experiment was well above the validation criteria (>3 mg/L) of the test guideline.

305
306 The percentage immobilization of daphnids as a function of phenanthrene concentration for the
307 six individual tests performed is presented, together with the calculated EC₅₀ values, in Figure

308 S5. Although the C_{free} (i.e. the measured phenanthrene concentration in the water phase) varied
309 by <10% from C_{nominal} at all phenanthrene concentrations tested in the absence of CNTs, dose-
310 response curves were calculated both for C_{nominal} and C_{free} . This was done as the response of *D.*
311 *magna* to phenanthrene exposure was subsequently compared in the absence and presence of
312 CNTs, both for C_{nominal} and C_{free} . The CV of the calculated EC_{50} values for the six tests was <6%.
313 As the variability between the tests was low, the data from the six tests were pooled in order to
314 create an average dose-response curve and determine EC_{50} values for phenanthrene. The average
315 dose-response curves for phenanthrene-only are presented in **Figure 2** (together with dose-
316 response curves for phenanthrene in the presence of CNTs), while the average 48 h EC_{50} value is
317 shown in **Table 2**. Based on C_{nominal} and C_{free} , the EC_{50} values were 342.1 $\mu\text{g/L}$ ($EC_{50,\text{nominal}}$) and
318 310.9 $\mu\text{g/L}$ ($EC_{50,\text{free}}$), respectively. The 48 h EC_{50} for 3-4 day old daphnids exposed to
319 phenanthrene was comparable to the 48 h EC_{50} values for <24 h old daphnids previously
320 reported (range from 230 $\mu\text{g/L}$ to 550 $\mu\text{g/L}$).^{25, 35-37} Although we observed comparability with
321 literature values for <24 h old daphnids, a detailed assessment of the implications of using 3-4
322 day old daphnids was not tested in the current study.

323
324 **Phenanthrene toxicity in the presence of CNTs.** In control exposures containing CNTs-only
325 (no phenanthrene), the immobilization of daphnids was <10% in all cases, indicating there was
326 no acute toxicity from any of the five CNT types at the concentrations tested (**Table 1**). Previous
327 studies have reported a 50% mortality (LC_{50}) of *D. magna* (<24 h) exposed to MWCNTs
328 dispersed in NOM at concentrations of 2-2.5 mg/L.^{18, 38} These LC_{50} concentrations are
329 comparable to the CNT concentrations used in the current study. However, they were achieved
330 after a 96 h exposure time rather than the recommended 48 h exposure time in the test guideline.

331 One of these studies also investigated *D. magna* (<24 h) mortality after 48 h, observing no
332 mortality even at 20 mg/L MWCNT exposure concentrations.³⁸ The absence of an acute toxic
333 response after 48 h and at the CNT concentrations employed in the current study therefore
334 appears comparable to previous studies despite the difference in age of the *D. magna* used. The
335 positive control samples contained daphnids exposed to phenanthrene-only (no CNTs) at
336 concentrations representing the calculated EC₃₀ and EC₇₀ from the phenanthrene-only tests.
337 These exhibited similar responses as those obtained in the phenanthrene-only tests, indicating no
338 biological variability between the tests.

339
340 *D. magna* immobilization as a function of phenanthrene concentration in the presence of CNTs is
341 presented in **Figure 2**, and the calculated EC₅₀ values are presented in **Table 2**. When using the
342 EC_{50,nominal} values, a significant reduction in phenanthrene toxicity ($p<0.003$) was observed in the
343 presence of SWCNT and MWCNT-30 compared to phenanthrene-only (**Figure 2A**, **Table 2**).
344 For the three other CNTs (MWCNT-15, MWCNT-OH and MWCNT-COOH), no significant
345 difference in phenanthrene toxicity was observed in exposures with and without the presence of
346 CNTs. Owing to the slightly higher dispersion concentration (2.62 mg/L) and available surface
347 area (0.46 m²/L) of MWCNT-30 compared to the other MWCNTs (2.29-2.45 mg/L and 0.32-
348 0.34 m²/L, respectively; **Table 1**), MWCNT-30 adsorbed a higher quantity of the added
349 phenanthrene. As a result, C_{free} was lower in the presence of MWCNT-30 than for the other
350 MWCNTs (Figure S3). In the SWCNTs dispersions, the C_{free} was also lower than the C_{free} values
351 determined for MWCNT-15, MWCNT-OH and MWCNT-COOH for most of the C_{nominal}
352 phenanthrene values used in the study. As it was only the SWCNT and MWCNT-30 that reduced
353 phenanthrene toxicity through adsorption, this could indicate that increased adsorption of

354 phenanthrene by CNTs (C_{CNT}) causes a reduction in phenanthrene bioavailability to *D. magna*.
355 Importantly, similar EC_{50} values were observed for both the phenanthrene-only exposures and
356 the combined phenanthrene-CNT exposures for MWCNT-15, MWCNT-OH and MWCNT-
357 COOH despite a reduction in C_{free} of 25-40% in these dispersions. These results indicate that
358 phenanthrene adsorbed to CNTs remains bioavailable to *D. magna*. This bioavailability was
359 further investigated by calculating dose-response curves for each CNT type based on their
360 corresponding C_{free} values (**Figure 2B, Table 2**). The data show a significant increase in
361 phenanthrene toxicity in the presence of all CNT types when compared to phenanthrene-only.
362 Therefore, the study strongly indicates that the phenanthrene adsorbed to all five different CNT
363 types remained at least partially bioavailable and contributed directly to the observed toxicity.
364 Similarly, it has been observed that phenanthrene adsorbed to the fullerene C_{60} and to suspended
365 sediment remain bioavailable and contribute to toxicity when exposed to *D. magna*.^{25, 37}
366 Moreover, phenanthrene adsorbed to the same CNTs as used in the current study remained
367 bioavailable to the algae *P. subcapitata*.¹¹
368
369 No significant difference in toxicity was observed between any of the CNT types based on C_{free}
370 values. Thus, at similar C_{free} values, the contribution to toxicity from CNT-adsorbed
371 phenanthrene was comparable for all types of CNT. This indicates that CNT physicochemical
372 properties did not significantly influence the mechanisms by which CNT-adsorbed phenanthrene
373 was bioavailable or toxic to *D. magna*. It has been previously suggested that aggregation,
374 expected to be higher for SWCNTs than MWCNTs due to the smaller diameter of SWCNTs,
375 influences CNT elimination rates from the organisms and therefore affects PAH bioavailability
376 to fish (*Oryzias latipes* and *Pimephales promelas*).^{19, 24} Although the similar $EC_{50, \text{free}}$ values

377 determined for each CNT type in the current study does not support this hypothesis, the
378 importance of CNT aggregation behavior could vary for different organisms depending on their
379 exposure and uptake routes. The data for *D. magna* are comparable to our previous study with
380 freshwater algae (*P. subcapitata*), where no clear relationship was observed between any of the
381 CNT physicochemical properties evaluated and the $EC_{50,free}$ values determined.¹¹ It is interesting
382 to note that in both studies the hill slope of the dose-response curves were somewhat steeper for
383 MWCNT-15 compared to the other CNTs and phenanthrene-only.¹¹ This indicates there may be
384 some variation in the bioavailability of phenanthrene in the presence of the different CNTs,
385 despite the similar $EC_{50,free}$ observed for all CNTs. However, this would need further
386 investigation. The same observation regarding hill slope was made both for algae and for
387 daphnids, suggesting this possible variation in phenanthrene bioavailability is independent of the
388 organism exposed to the CNT/phenanthrene mixture, and more likely related to one or more of
389 the physicochemical differences between the test CNTs.

390
391 Optical microscopy images showed that CNTs were present in the digestive tracts of the
392 daphnids (**Figure 3**). In some cases, CNT aggregates were observed attached to the surface of
393 the daphnids; however, these were easily removed or dislodged by slight movement of the
394 organisms with a pipette. As these surface-attached CNT aggregates were only occasionally
395 observed, their influence on phenanthrene bioavailability and toxicity to *D. magna* is considered
396 negligible when compared to the large quantity of ingested CNTs (observed in the gut). Uptake
397 of PAHs through biological membranes and by pelagic invertebrates by passive diffusion has
398 previously been reported.^{39, 40} In the absence of CNTs, passive diffusion is considered the main
399 uptake route of dissolved phenanthrene by *D. magna* in the current study. In the presence of

400 CNTs, uptake by passive diffusion would be lower due to the lower C_{free} . Thus, data from the
401 toxicity study indicate that another uptake route contributed to the toxicity. Ingestion of CNTs by
402 daphnids appears to represent an alternative uptake route for phenanthrene, and the data suggest
403 that CNT-adsorbed phenanthrene must be desorbing from the CNTs when passing through the
404 digestive tract. Dissolved PAHs in aqueous systems will undergo adsorption or desorption to
405 CNTs in order to establish equilibrium.⁴¹ When CNTs with adsorbed phenanthrene are ingested
406 by *D. magna*, a lower (or most likely zero) phenanthrene concentration in the digestive tract will
407 therefore promote desorption of phenanthrene from the CNTs into the dissolved phase where it is
408 known to be bioavailable. Furthermore, the altered chemical environment in the digestive tract of
409 *D. magna* might also promote desorption of phenanthrene from CNTs (e.g. pH which is 6.0-7.2
410 in daphnids⁴²). The high Q^0 of CNTs implies that their presence could significantly alter the
411 exposure routes of phenanthrene or other HOCs, increasing the likelihood of dietary exposure. A
412 increased negative impact on endpoints such as reproduction and egg production in daphnids has
413 been observed following dietary exposure and uptake to silver nanoparticles when compared to
414 uptake of dissolved silver through passive diffusion from the water.⁴³ However, the importance
415 of dietary uptake with respect to uptake by passive diffusion for PAHs in *D. magna* is not
416 known.

417

418 In the current study, no acute toxicity (immobilization) was observed due to the presence of the
419 CNTs at any of the dispersion concentrations employed. However, sublethal effects caused by
420 CNTs, and which may be compounded in the presence of phenanthrene, cannot be excluded.
421 Furthermore, the presence of CNTs in the exposure system may facilitate an increased uptake or
422 toxicological response to the dissolved phenanthrene fraction. Synergistic effects arising from

423 the mixture of CNTs and phenanthrene could therefore represent another mechanism responsible
424 for the increased toxicity based on C_{free} observed in the current study. An insight into the role of
425 mixture toxicity could be gained by investigating other, more sensitive, endpoints for CNT
426 toxicity, or by employing different CNT concentrations in a study where phenanthrene
427 concentration remains constant. In the current study, no difference in toxicity was observed
428 between the different types of CNTs when evaluating C_{free} . This implies that the contribution
429 from the CNTs to any mixture toxicity effect should be similar for all CNT types, irrespective of
430 their different properties and different dispersion concentrations (e.g. SWCNTs compared to
431 MWCNTs). This would be in contrast to previous studies where a higher toxicity for non-
432 functionalized MWCNTs compared to functionalized MWCNTs has been reported for daphnids
433 (*Ceriodaphnia dubia*).⁴⁴ Furthermore, comparable observations were made in our previous study
434 where no difference in toxicity (based on C_{free}) was observed between CNT types. Although the
435 combined effects of CNTs and phenanthrene could be a mechanism of toxicity, the data of our
436 current and previous study suggest that this alone cannot explain the increased toxicity observed
437 based on C_{free} .

438

439 There are several similarities between the toxicity data in our current study and our previous
440 study with freshwater algae (*P. subcapitata*).¹¹ Most importantly, in the previous study a
441 significant reduction in algal toxicity was only observed when using C_{nominal} values in the
442 presence of SWCNT. However, when considering C_{free} , an increase in algal toxicity compared to
443 phenanthrene-only control samples was observed in the presence of all CNTs, similar to the
444 observations made in the current study.¹¹ These similarities were observed despite the fact that
445 the exposure routes of CNT-adsorbed phenanthrene are significantly different for algae (e.g.

446 dietary exposure is not relevant). Attachment of algal cells to CNT aggregates with adsorbed
447 phenanthrene was believed to be of importance. In addition, a measurable reduction in C_{free} due
448 to the presence of algae was observed, implying that desorption of phenanthrene from CNTs
449 could contribute to maintaining a higher C_{free} .¹¹ In contrast, the current study found there was no
450 significant difference in C_{free} in the presence and absence of *D. magna* in solutions with
451 phenanthrene-only (Figure S6). Thus, desorption of phenanthrene from CNTs in the water phase
452 is not believed to significantly influence C_{free} . It has been previously suggested that the presence
453 of NOM impedes desorption of PAHs from CNTs, thus reduces the PAH bioavailability to fish.²⁴
454 However, our current and previous studies¹¹ suggest that the presence of NOM does not in
455 general prevent bioavailability of PAHs adsorbed to CNTs, as the CNT-adsorbed phenanthrene
456 was bioavailable to both algae and daphnids despite the presence of NOM in these studies.
457 However, the mechanisms of uptake and toxicity of CNT-adsorbed PAHs are different in
458 different species and trophic levels (e.g. algae, daphnids and fish). Both the mechanisms by
459 which CNT-adsorbed phenanthrene contributes to toxicity for different organisms and the role of
460 NOM in affecting the bioavailability of HOCs adsorbed by CNTs is of high environmental
461 relevance and should be further investigated.

462

463

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472 creating SEM images of the CNT materials.

473

474 ASSOCIATED CONTENT

475 **Supporting Information.** Tables showing physicochemical properties of the CNTs and fitted
476 parameters of the Dubinin-Ashtakhov model. Figures showing CNT calibration curves, C_{CNT} (%)
477 and C_{free} as a function of $C_{nominal}$, adsorption isotherms from a previous study for comparison,
478 dose-response curves from six tests addressing phenanthrene toxicity to *D. magna* and measured
479 phenanthrene concentration after 48 hours exposure. This material is available free of charge via
480 the Internet at <http://pubs.acs.org>.

481

482 AUTHOR INFORMATION

483 * Corresponding author

484 E-mail address: andy.booth@sintef.no.

485 Tel.:+47 93089510

486 Address: SINTEF Materials and Chemistry, Trondheim NO-7465, Norway

487

488 **Current address**

489 § Aquatic Ecology and Toxicology Section, Centre for Organismal Studies (COS), University of
490 Heidelberg, Im Neuenheimer Feld 504, D-69120 Heidelberg, Germany.

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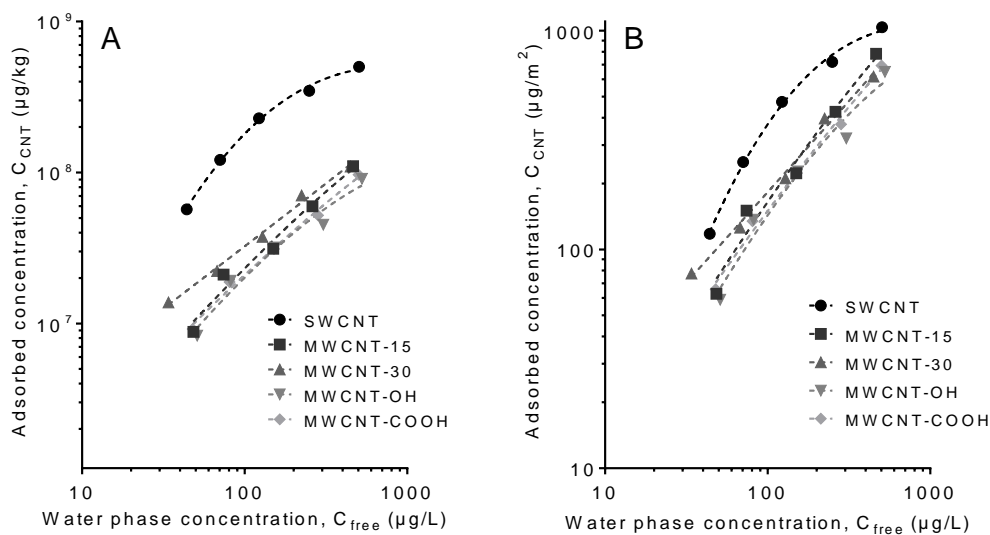
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626 **Table 1.** CNT dispersed concentration in M7-NOM and calculated available surface area.

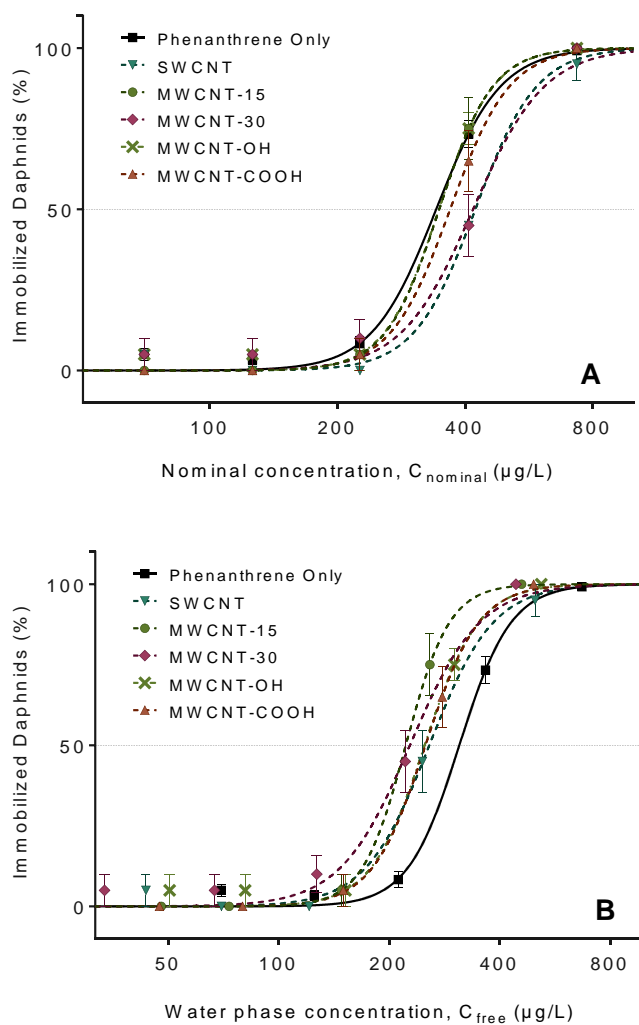
	Dispersed concentration (mg/L)	Percentage dispersed (%)	Available surface area (m ² /L)
SWCNT	0.46	4.6	0.22
MWCNT-15	2.45	24.5	0.34
MWCNT-30	2.62	26.2	0.46
MWCNT-OH	2.29	22.6	0.32
MWCNT-COOH	2.41	24.1	0.34

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632 **Figure 1.** Adsorption isotherms of phenanthrene adsorbed to five types of CNTs calculated
633 based on A) CNT mass and B) CNT available surface area.
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638

639 **Figure 2.** Immobilization of *D. magna* after exposure to phenanthrene in the absence and
 640 presence of CNTs. Phenanthrene concentrations are presented as A) nominal concentrations
 641 (C_{nominal}), and B) freely dissolved concentrations (C_{free}). Error bars represent the standard error of
 642 mean.

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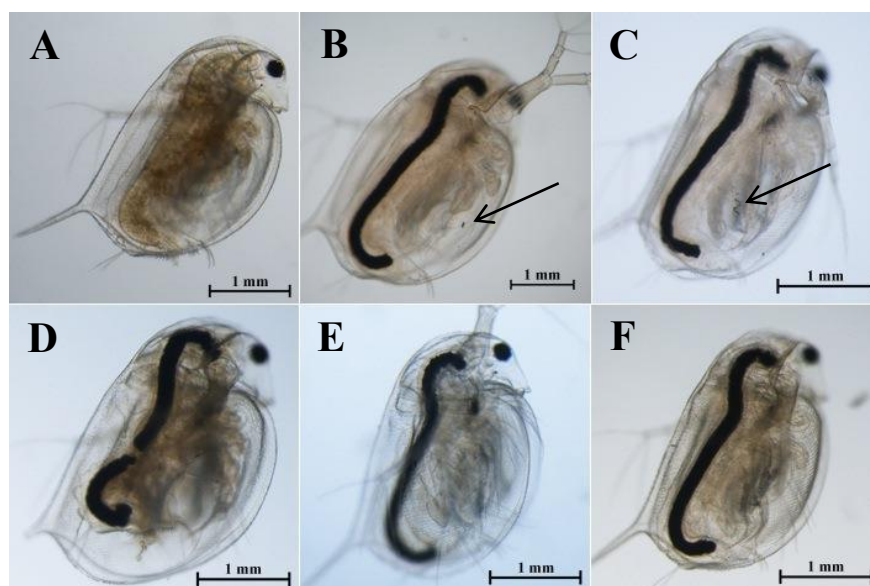
644

645 **Table 2.** Calculated EC_{50} values for both $C_{nominal}$ ($EC_{50, nominal}$) and C_{free} ($EC_{50, free}$) following
 646 exposure to phenanthrene-only and phenanthrene in the presence of CNTs. Variations are
 647 presented as the 95% confidence interval (CI) and the goodness of fit described by the
 648 coefficient of determination (R^2). The EC_{50} values for phenanthrene-only are based on pooled
 649 data from six individual toxicity tests. Differing letters indicate statistical significant difference
 650 ($p < 0.05$) within columns.

CNT	$EC_{50, nominal}$ ($\mu\text{g/L}$)	95% CI	R^2	$EC_{50, free}$ ($\mu\text{g/L}$)	95% CI	R^2
Phenanthrene-only	342.1 ^a	327.3-357.5	0.9207	310.9 ^a	298.5-323.6	0.9207
SWCNT	423.2 ^b	393.1-455.7	0.9373	257.5 ^b	235.6-281.4	0.9373
MWCNT-15	347.8 ^{ab}	316.6-382.0	0.9632	222.1 ^b	203.4-242.6	0.9632
MWCNT-30	417.9 ^b	379.9-459.6	0.9119	227.9 ^b	205.1-253.3	0.9163
MWCNT-OH	347.2 ^{ab}	316.4-381.0	0.9602	250.0 ^b	224.4-278.5	0.9601
MWCNT-COOH	369.0 ^{ab}	340.8-399.5	0.9601	251.3 ^b	231.1-273.3	0.9599

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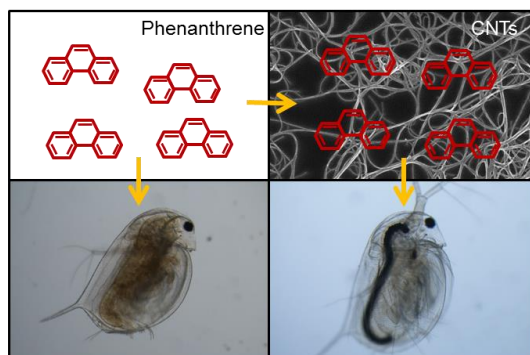


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654 **Figure 3.** Light microscopy images (x40) of *D. magna* after 48 hours with A) no exposure or B-
 655 F) exposed to CNTs. (SWCNT, MWCNT-15, MWCNT-30, MWCNT-OH and MWCNT-COOH
 656 for B, C, D, E and F, respectively.) The presence of CNTs in the digestive tract was clearly
 657 visible in exposed animals. Black arrows show CNT aggregates attached to the surface of the
 658 organisms.

659 TOC/Abstract art

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