

1 **Carbon nanotube properties influence adsorption of phenanthrene**
2 **and subsequent bioavailability and toxicity to *Pseudokirchneriella***
3 ***subcapitata***

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12 **Abstract**

13 The bioavailability of organic contaminants adsorbed to carbon nanotubes (CNTs) remains
14 unclear, especially in complex natural freshwaters containing natural organic matter (NOM).
15 Here we report on the adsorption capacity (Q^0) of five CNTs exhibiting different
16 physicochemical properties, including a single-walled CNT (SWCNTs), multi-walled CNTs
17 (MWCNT-15 and MWCNT-30) and functionalized MWCNTs (hydroxyl; -OH and carboxyl; -
18 COOH), for the model polycyclic aromatic hydrocarbon phenanthrene (3.1-800 $\mu\text{g/L}$). The
19 influence of phenanthrene adsorption by the CNTs on bioavailability and toxicity was
20 investigated using the freshwater algae *Pseudokirchneriella subcapitata*. CNTs were dispersed in
21 algal growth media containing NOM (DOC; 8.77 mg/L. Dispersed concentrations: 0.5, 1.3, 1.3,
22 3.3 and 6.1 mg/L for SWCNT, MWCNT-15, MWCNT-30, MWCNT-OH and MWCNT-COOH,
23 respectively). Adsorption isotherms of phenanthrene to the dispersed CNTs were fitted with the
24 Dubinin-Ashtakhov model. Q^0 differed among the CNTs, increasing with increasing surface
25 area and decreasing with surface functionalization. SWCNT and MWCNT-COOH exhibited the
26 highest and lowest log Q^0 (8.891 and 7.636 $\mu\text{g/kg}$, respectively). The presence of SWCNTs
27 reduced phenanthrene toxicity to algae (EC_{50} ; 528.4) compared to phenanthrene-only (EC_{50} ;
28 438.3), while the presence of MWCNTs had no significant effect on phenanthrene toxicity.
29 However, phenanthrene adsorbed to NOM-dispersed CNTs proved to be bioavailable and
30 contribute to exert toxicity to *P. subcapitata*.

31

32 **Keywords:** Carbon nanotubes, adsorption, toxicity, phenanthrene, polycyclic aromatic
33 hydrocarbons, algae.

34 INTRODUCTION

35 Adsorption of hydrophobic organic compounds (HOCs) to carbon nanotubes (CNTs) and the
36 subsequent influence on pollutant fate, bioavailability and toxicity in aquatic systems is currently
37 poorly understood. CNTs might act as a pollutant sink, reducing the bioavailability of HOCs
38 through their adsorption. Alternatively, CNTs might increase the bioavailability of adsorbed
39 pollutants through other uptake routes (e.g. ingestion) or by facilitating uptake (e.g. by affecting
40 membrane integrity). Considering the strong sorption affinity of CNTs for HOCs,¹⁻³ this
41 interaction should be taken into account when assessing the environmental risk of CNTs.

42 Polycyclic aromatic hydrocarbons (PAHs), which are main components in petrogenic oil and by-
43 products from incomplete combustion, are an environmentally ubiquitous class of priority
44 pollutants. CNTs may be released into the environment as aggregates, composite particles or
45 dispersions.⁴ Adsorption of PAHs to CNTs has been previously investigated in several studies,^{1-3,}
46 ⁵⁻⁸ and adsorption mechanisms of HOCs to CNTs have been extensively reviewed.^{9, 10}

47
48 Both the physicochemical properties of CNTs and environmental factors influence the adsorption
49 of PAHs and other HOCs to CNTs. CNT surface area appears to be positively related to
50 adsorption capacity.^{3, 10} In contrast, oxygen containing groups on the CNT surface have been
51 reported to suppress adsorption of HOCs due to an increase in polar regions unavailable for
52 adsorption.¹¹ Natural organic matter (NOM) present in natural waters will also reduce PAH
53 adsorption through competition for available adsorption sites on the CNT surface.^{6, 8, 12} In
54 addition to influencing adsorption, these factors are also likely to affect CNT fate in the
55 environment, with oxygen functionalized CNTs and the presence of NOM reported to increase
56 their aqueous dispersion concentration and stability.¹³⁻¹⁵ CNTs with different physicochemical

57 properties may therefore exhibit different potential for interaction with HOCs due to the specific
58 behavior of CNT types in aqueous environments.

59
60 The toxicity of CNTs to aquatic organisms has been investigated in several studies.¹⁶⁻¹⁸
61 However, estimated environmental concentrations of CNTs are likely to be below levels where
62 toxic effects have been observed.^{19, 20} At present, knowledge regarding the adsorption and co-
63 contaminant toxicity of CNTs and HOCs in aquatic systems remains scarce and is often
64 contradictory. CNT-bound diuron exhibited increased toxicity to the algae *Chlorella vulgaris*.²¹
65 In fish, PAHs adsorbed to CNTs have been reported to be both bioavailable²² and non-
66 bioavailable¹². Phenanthrene adsorbed to C₆₀ fullerene was bioavailable to the freshwater algae
67 *Pseudokirchneriella subcapitata* and the zooplankton *Daphnia magna*,²³ and contributed to
68 bioaccumulation but not to toxicity in the freshwater fish common carp (*Cyprinus carpio*).²⁴ The
69 presence of NOM has been suggested to impede desorption of PAHs from CNTs, and thus affect
70 bioavailability.¹² It has also been reported that CNT-adsorbed PAHs are bioavailable to both
71 bacteria and benthic invertebrates.^{25, 26} Despite the ubiquity of PAHs in the environment, the
72 effect of CNT physicochemical properties on PAH toxicity to aquatic organisms has, to our
73 knowledge, not been investigated. The use of NOM in studies regarding CNTs and co-
74 contaminant toxicity is also scarce, despite its known and possible effects on fate, adsorption and
75 toxicity.

76
77 In the present study, five CNT types, including one SWCNT, two pristine MWCNTs (MWCNT-
78 15 and MWCNT-30) and two MWCNTs with oxygen containing functional groups (MWCNT-
79 OH and MWCNT-COOH) were dispersed in algae growth media containing NOM. Their

80 adsorption of phenanthrene was studied, and adsorption isotherms were fitted to adsorption
81 models used previously to describe HOC adsorption by CNTs. Finally, the effect of
82 phenanthrene adsorption to CNTs on the subsequent bioavailability and toxicity of phenanthrene
83 was investigated using *P. subcapitata*.

84 MATERIALS AND METHODS

85 **Chemicals.** Five types of carbon nanotubes; SWCNT, MWCNT-15, MWCNT-30, MWCNT-OH
86 and MWCNT-COOH (>95%), were purchased from Timesnano (Chengdu Organic Chemicals
87 Co., Ltd, Chinese Academy of Sciences). Phenanthrene ($\geq 99.5\%$) was purchased from Sigma
88 Aldrich. Suwannee River natural organic matter (SR-NOM) was supplied by the International
89 Humic Substance Society (IHSS). All organic solvents, including methanol (Fluka Analytical)
90 ethanol (VWR BDH Prolabo), dichloromethane (Rathburn Chemicals Ltd), n-hexane (Fluka
91 Analytical) and isopropanol (Lab Scan), were of analytical grade and tested for any impurities
92 before use.

93
94 **CNT characterization.** A summary of the CNT physicochemical properties supplied by the
95 manufacturer are provided in Table S1 in the Supporting Information (SI) section. The CNTs
96 were further characterized in-house to verify their physicochemical properties (methods reported
97 in SI).

98
99 **Dispersion and exposure media preparation.** SR-NOM (20 mg/L) was dissolved in OECD
100 algal growth media (TG201)²⁷ by magnetic stirring for 24 h and subsequently filtered through a
101 0.2 μm Nalgene[®] filter unit (Thermo Fisher Scientific, Inc.) to remove any undissolved material.
102 After filtration, dissolved organic carbon (DOC) analysis (Sievers 900 TOC Analyzer, GE
103 Analytical Instruments) showed a DOC concentration of 8.77 ± 0.03 mg/L. The solution pH was
104 adjusted to 8.1 ± 0.1 using NaOH/HCl. TG201 containing SR-NOM, hereafter referred to as
105 TG201-NOM, was used for the preparation of all sample solutions and CNT dispersions
106 throughout the study.

107

108 **Preparation of CNT dispersions.** Aqueous CNT dispersions were prepared in a two-step
109 procedure where stock dispersions were prepared by bath sonication (Bandelin Sonorex Super
110 RK 510H, 640W, 35 kHz) before being gently mixed into larger volumes of TG201-NOM (1.8
111 L) to achieve the desired initial concentration (10 mg/L). Sonication was performed by adding 50
112 mL of TG201-NOM into 250 mL Pyrex[®] bottles containing the pre-weighed CNTs (20±0.2 mg),
113 followed by 15 min sonication. This was repeated three more times until a final volume of 200
114 mL and a total sonication time of 1 h was reached. Further details of the CNT dispersion
115 procedure are reported in the SI. The dispersions were left for 24 h to allow settling of any
116 undispersed CNTs after which the supernatant was carefully removed by siphoning. To avoid
117 dissimilarity in the treatment between the different CNT types, which could possibly influence
118 adsorption behavior or effect toxicity, no further modification or adjustment of the CNT
119 dispersions was implemented prior to use in the adsorption and toxicity experiments. As a result,
120 the maximum environmental dispersion concentration for each of the CNT types was used in
121 these studies.

122

123 **CNT dispersion quantification.** The final concentration of CNTs in the dispersions was
124 determined by measuring absorption at 800 nm using a Hitachi U-2000 Spectrophotometer.
125 Approximately 3 mL of each dispersion was pipetted into quartz cuvettes (3.5 mL; Hellma
126 Analytic) with a light path of 10 mm. The measurements were conducted in duplicate. Measured
127 absorbance was related to concentration through calibration curves prepared individually for
128 each CNT (described in SI). Calibration curves were in the range 0.1-8 mg/L CNT and all
129 calibration curves had a R^2 of greater than 0.997 (Figure S1). The CNT concentrations in the

130 dispersions used for adsorption and toxicity experiments were 0.5, 1.3, 1.3, 3.3 and 6.1 mg/L for
131 SWCNT, MWCNT-15, MWCNT-30, MWCNT-OH and MWCNT-COOH, respectively.

132

133 **Adsorption of phenanthrene to CNTs.** Stock solutions of phenanthrene were prepared in
134 methanol (1000 mg/L, 100 mg/L and 10 mg/L) and then spiked into the prepared CNT
135 dispersions at nominal concentrations (C_{nominal}) ranging from 3.1-800 $\mu\text{g/L}$. 20 mL aliquots of
136 the phenanthrene-CNT dispersions in TG201-NOM were transferred to 22 mL glass vials
137 (Agilent Technologies, Inc.) with PTFE lined caps. Samples were kept in the dark and left
138 shaking on an orbital shaker (165 rpm) at room temperature for 5 days as previous studies³ have
139 shown that this was sufficient for phenanthrene to equilibrate with CNTs. After 5 days the
140 samples were filtered through a hydrophilic polytetrafluoroethylene (PTFE) filter membrane (0.1
141 μm pore size, Merck Millipore) to separate CNTs from the water phase. Based on measurements
142 of absorbance, >97% of the CNTs were removed by filtration (Table S2). Deuterated
143 phenanthrene (d10) was added prior to filtration to account for any losses during filtration and
144 the following extraction. Isopropanol (5% v/v) was added to each sample before being acidified
145 (< pH 2) and stored at 4 °C until further handling. Phenanthrene was extracted using solid-phase
146 extraction (SPE; Bond Elute PPL columns, Agilent Technologies, Inc.; details on the extraction
147 method available in SI). The phenanthrene concentration in the water phase (C_{free}) was
148 determined using gas chromatography-mass spectrometry (chemical analysis details provided in
149 SI). All samples were conducted as full triplicates. C_{free} was determined in the absence of CNTs
150 in the concentration range 50-800 $\mu\text{g/L}$ to investigate for any loss of phenanthrene during the 5
151 days of shaking. As this was negligible, the concentration of phenanthrene adsorbed to the CNTs
152 (C_{CNT}) was calculated from the measured C_{free} and C_{nominal} .

153
154 Three sorption models previously used to describe the adsorption of PAHs to CNTs, the
155 Langmuir model (LM), Freundlich model (FM) and the Polanyi theory based Dubinin-
156 Ashtakhov (DAM) model,^{2, 6-8, 11} were fitted to the adsorption isotherms using GraphPad Prism
157 6.0 (GraphPad Software Inc.). The data were weighted by $1/y^2$. The goodness of fit of the models
158 was evaluated based on the coefficient of determination, (R^2) and the mean weighted square error
159 (MWSE). Model equations and parameters are described in SI (Table S3).

160
161 **CNT influence on phenanthrene toxicity.** CNT influence on phenanthrene toxicity to algae
162 was evaluated using a modified OECD freshwater algae growth inhibition test.²⁷ The CNT
163 TG201-NOM stock dispersions were prepared, spiked with phenanthrene (50, 100, 200, 400 and
164 800 $\mu\text{g/L}$) and then equilibrated for 5 days, as previously described. The phenanthrene C_{nominal}
165 range used was determined from a 72 h range finding test conducted with pure phenanthrene (no
166 CNTs). After equilibration, the phenanthrene-CNT dispersions were inoculated with algae
167 ($9.0 \pm 0.17 \times 10^3$ cells/mL) and transferred to a tilting table (Technomara Rockomat) under
168 continuous illumination of $111 \pm 3.3 \mu\text{E/m}^2/\text{s}$ (Philips TL-D 90 Graphics 18W/965) at a
169 temperature of 20 ± 2 °C. Growth was determined after 0, 24, 48 and 72 h by extracting 1 mL of
170 each sample into 4 mL of ethanol (96%) for 20 min and measuring fluorescence using a TD-700
171 fluorometer (excitation: 436 nm, emission: 680nm; Turner Designs). This method is previously
172 described²⁸ and employed to assess algae growth in the presence of HOCs and C_{60} fullerene.²³

173
174 To investigate the effect of CNTs on phenanthrene toxicity the growth inhibition of algae caused
175 by exposure to phenanthrene-only was assessed, with TG201-NOM only samples (no

176 phenanthrene) as controls. The EC₅₀ concentrations determined served as the basis for measuring
177 the changes in toxicity resulting from phenanthrene adsorption to CNTs. Algal growth in the
178 controls was tested at three different time points over the study period to account for any
179 variation. CNT-only dispersions without phenanthrene were used as controls to determine if
180 there was any toxic contribution directly from the CNTs. A solvent control containing the
181 highest amount of methanol used in the samples was included in the test. Four replicates at each
182 test concentration and 8 replicates of the controls were used. Sample pH was measured both at
183 the beginning and at the end of the experiment. An increase in pH of 2 units in the controls was
184 accepted as exponential growth was tested and observed throughout the experiment. Freely
185 dissolved concentrations of phenanthrene at the end of the experiment ($C_{\text{free-end}}$) were measured
186 in samples with and without algae. Samples without algae showed negligible loss of
187 phenanthrene during the three days of the toxicity study. Statistical difference between
188 treatments throughout the study was investigated by analysis of variance (ANOVA) with
189 Tukey's post hoc test in GraphPad Prism 6.0. The agglomeration of CNTs and algal cells was
190 studied by fluorescent light microscopy (details presented in SI).

191

192 RESULTS AND DISCUSSION

193 **CNT characterization.** The determined physicochemical properties of the five CNT types
194 employed in the study are summarized in **Table 1**. Measured diameters were generally consistent
195 with those given by the manufacturer (Table S1) but there were large variations within the
196 batches indicating heterogeneity. Specific surface areas (SSAs) determined in two different
197 laboratories were very similar, but were lower than reported by the manufacturer (Table S1).
198 SWCNT exhibited a much higher SSA (483.7 m²/g) than the MWCNTs. The surface oxygen

199 content of MWCNT-OH and MWCNT-COOH was 3.89% and 5.70%, respectively. As expected,
200 the surface oxygen content of the non-functionalized CNTs was lower (SWCNT; 1.64%,
201 MWCNT-15; 1.48%, MWCNT-30; 1.96%) than on the functionalized CNTs. Further description
202 of the CNTs based on transmission electron microscopy (TEM) is available in SI.

203
204 **CNT dispersion.** The five CNT types exhibited large differences in dispersability. The
205 percentage of the original 10 mg/L of each CNT remaining in dispersion after the 24 h settling
206 period was 61 % MWCNT-COOH, 33 % MWCNT-OH, 13 % MWCNT-15, 13 % MWCNT-30
207 and 5 % SWCNT. Final CNT dispersion concentrations and corresponding estimated SSAs in the
208 samples are presented in **Table 1**. CNTs with polar oxygen functional groups exhibit a greater
209 dispersability than non-functionalized types. Furthermore, CNT diameter and SSA also appear to
210 influence dispersability, with SWCNTs having the smallest diameter and largest SSA, yet
211 exhibiting the lowest dispersability of the CNTs studied. It should be noted that dispersion of
212 CNTs using sonication might influence CNT properties including SSA and surface chemistry.
213 Bath sonication was selected over probe sonication in the current study to reduce the risk of CNT
214 damage and also to increase the environmental relevance.^{1, 8} However, these results are
215 consistent with other studies reporting that CNT dispersability and stability increases with
216 surface oxygen content and diameter.¹⁴ Importantly, this data shows that different types of CNTs
217 behave differently once released into aquatic environments, with longer water column residence
218 times for oxygen functionalized CNTs and quicker sedimentation for non-functionalized CNTs.
219 SWCNTs appear more prone to rapid aggregation and sedimentation than the MWCNTs.
220 Oxygen functionalized CNTs have the potential to be transported over greater distances,
221 although this potential is dependent upon a number of other aquatic environmental parameters

222 such as ionic strength, pH²⁹ and the presence of other particulate materials (heteroaggregation).³⁰
223 From a toxicological perspective, this indicates that CNT properties could influence the
224 environmental compartments most likely to result in CNT exposure to organisms (e.g. aqueous
225 phase or sediments).

226

227 **Adsorption of phenanthrene to CNTs.** Adsorption of HOCs by CNTs is considered to be non-
228 linear, even though linear adsorption of PAHs has been observed in low concentration ranges
229 (pg-ng/L).² Non-linear adsorption models rather than a single adsorption coefficient (K_{CNT}) have
230 therefore commonly been used to describe HOC adsorption by CNTs.⁹ The Langmuir model
231 (LM), Freundlich model (FM) and the Polanyi theory based Dubinin-Ashtakhov model (DAM)
232 have all been previously used to describe HOC adsorption, including PAHs, by CNTs.^{2, 6-8, 11}
233 Adsorption isotherms of phenanthrene to the five CNT types and the DAM fitted curves are
234 presented in **Figure 1**, while fitted parameters are given in Table S4. Fitted curves and model
235 parameters of the LM and FM are available in Figure S2 and Table S4 in the SI. In the present
236 study, both the FM and DAM fitted the data well, while the LM did not seem suitable for
237 describing the adsorption of phenanthrene to CNTs. The criteria for evaluating the goodness of
238 fit (R^2 and MWSE) showed slightly better fit of the DAM than the FM. In addition, DAM has
239 previously proven to describe the adsorption of PAHs to CNTs well under various conditions,
240 e.g. in the presence of humic acid (HA) and different dispersion states.⁸ Further discussions will
241 therefore focus on the DAM parameters.

242

243 The DAM parameters allow evaluation of adsorption in terms of adsorption capacity (Q^0) and
244 adsorption affinity, identified by E (Table S3). Where b is a fitting parameter (although b has

245 also been found to be related to adsorption affinity¹¹). Q^0 depends on the available adsorption
246 sites on the CNTs, representing the maximum amount of a specific HOC that can be adsorbed.
247 Adsorption affinity describes the strength of the interactions between the CNTs and the HOC.¹⁰
248 A thorough discussion on the DAM and its parameters can be found in the review by Yang and
249 Xing.¹⁰ In the adsorption isotherms, the adsorbed mass of phenanthrene is normalized against
250 CNT mass. This allows the adsorption behavior of CNTs to be directly compared despite the
251 observed differences in dispersed concentrations. A recent study also showed that adsorption
252 isotherms of the PAH fluoranthene were similar at varying MWCNT concentrations.¹²
253
254 Q^0 decreased significantly in the order SWCNT > MWCNT-30 > MWCNT-15 > MWCNT-OH >
255 MWCNT-COOH ($p < 0.013$). Q^0 of SWCNT was 4.7 and 3.2 times higher than those of
256 MWCNT-15 and MWCNT-30, respectively. This is likely to be due to the higher SSA of
257 SWCNT, indicating the important role SSA has in controlling adsorption capacity. This is
258 consistent with a previous review of existing adsorption data which showed a linear relationship
259 between Q^0 for phenanthrene by CNTs and CNT SSA¹⁰. Although MWCNT-OH and MWCNT-
260 COOH have similar SSAs as MWCNT-15, they both exhibit a significantly lower Q^0 for
261 phenanthrene. Again, this is in accordance with previous studies showing that increased surface
262 oxygen content is also directly related to a decrease in Q^0 . The presence of oxygen-containing
263 functional groups reduces the number of potential adsorption sites for hydrophobic HOCs and
264 increases the overall hydrophilicity of the CNTs.¹⁰ The decrease in Q^0 has also been explained by
265 competition from water molecules for available adsorption sites at the functional groups.¹¹
266

267 In order to create an environmentally relevant test system, the CNTs dispersion contained 20
268 mg/L (nominal) of NOM prior to addition of the phenanthrene. Despite the use of a filtration step
269 (0.2 μm) to remove any particulate NOM from the aqueous phase, nano-sized NOM may still
270 have been present, possibly offering competing adsorption sites for the dissolved phenanthrene.
271 A pre-study indicated no adsorption of phenanthrene by SR-NOM itself (data not shown), which
272 is consistent with recent observations made regarding the effect of SR-NOM on fluoranthene
273 bioavailability.¹² However, the dispersion preparation settling step offers a 24 h period for NOM
274 adsorption to the CNTs prior to the addition of dissolved phenanthrene to the system. As a result,
275 phenanthrene adsorption is occurring (at least partially) to NOM-coated CNTs. Directly
276 evaluating the effects of NOM on pollutant adsorption was beyond the scope of this study, but
277 has been previously investigated.^{6, 8} In these studies, NOM has been observed to aid CNT
278 dispersion, increase CNT SSA available for pollutant adsorption through a reduction in CNT
279 aggregation, and adsorption isotherm linearity. However, the overriding influence of NOM
280 appears to be a decrease in CNT adsorption capacity for pollutants arising from competition for
281 available adsorption sites.⁸ Importantly, the phenanthrene adsorption data generated in the
282 present study for the five CNTs continues to follow established HOC-CNT adsorption patterns
283 despite the presence of NOM.

284
285 Due to differences in dispersability, each CNT type exhibits a different maximum environmental
286 dispersion concentration. As a result, the quantity of phenanthrene adsorbed and the quantity
287 remaining freely dissolved in the water phase does not reflect the individual CNT adsorption
288 capacity. The percentage of C_{nominal} phenanthrene adsorbed to each CNT type at different
289 concentrations is presented in **Figure 2**. SWCNTs adsorbed the highest quantity of phenanthrene

290 at all tested concentrations while MWCNT-15 adsorbed the lowest quantity. However, these
291 patterns were not statistically significant at all phenanthrene concentrations. No pattern was
292 observed for the other CNTs. The dispersion concentration of the non-functionalized MWCNTs
293 was approximately 2 times higher than that of SWCNT, whereas for MWCNT-COOH it was
294 more than one order of magnitude higher than that of SWCNT. Whilst SWCNTs have a low
295 dispersability, their high adsorption capacity means they appear to exhibit an adsorption effect on
296 co-contaminants which is similar to other more highly dispersible CNTs. If representatives from
297 this suite of CNTs are present in similar concentrations (e.g. below the maximum environmental
298 concentration), significantly different quantities of HOCs would be correspondingly adsorbed to
299 the different CNTs. The present study highlights the necessity of determining key
300 physicochemical properties of CNTs when evaluating their potential environmental behavior and
301 impacts. Importantly, the results show that the common approach of classifying all CNT types as
302 a single group or nanomaterial type with an assumed equal environmental behavior would be
303 incorrect.

304
305 Conducting a meaningful comparison of data generated in different studies on CNTs is often
306 challenging due to differences in experimental approaches employed (e.g. exposure solutions,
307 use or absence of NOM, dispersion preparation techniques). However, these very differences can
308 help to explain certain observations. Compared with previous studies addressing phenanthrene
309 adsorption to CNTs we typically observe a higher Q^0 (comparing non-functionalized
310 MWCNTs).^{2, 7} The present study employed sonication for CNT dispersion whereas many other
311 studies have mixed phenanthrene with CNTs without dispersing the CNTs beforehand.^{2, 3, 7}
312 Sonication has been shown to increase CNT adsorption capacity to pyrene and was attributed to

313 an increase in the exposed CNT SSA after sonication.⁸ The present study used only CNTs which
314 remained dispersed after the 24 h settling period, indicating that most CNTs in the adsorption
315 studies were well dispersed and that CNT aggregates with lower SSA were not present. The high
316 CNT adsorption capacity observed in this study, even in the presence of NOM, indicates that
317 dispersion preparation method is a key parameter to consider when evaluating CNT adsorption
318 of HOCs. The effect of sonication on adsorption was found to be irreversible even after re-
319 aggregation of the CNTs,⁸ which might be of environmental relevance as sonication can be used
320 in CNT pre-treatment for various applications.⁴

321
322 No clear relationship was observed between E and either CNT SSA or surface chemistry,
323 indicating that these parameters do not exert a significant influence on phenanthrene interaction
324 and adsorptive strength. Although it has been previously suggested that the presence of surface
325 oxygen containing groups on CNTs can increase E ,³¹ our data are in accordance with recent
326 observations showing no effect of surface oxidation on E .¹¹ A thorough discussion on the effect
327 of CNT surface chemistry on the mechanisms of interaction and their strength has previously
328 been presented.¹¹ The presence of NOM is, however, likely to decrease E ,^{8, 10} and this may be the
329 reason why lower E values were observed in the present study compared to previous studies.^{2, 7}

330
331 **Toxicity of CNTs.** CNT only samples were studied at single concentrations (maximum
332 environmental concentrations: SWCNT; 0.5, MWCNT-15; 1.3, MWCNT-30; 1.3, MWCNT-OH;
333 3.3 and MWCNT-COOH; 6.1 mg/L). Thus, no EC₅₀ value could be established. However, the
334 effect of CNTs on algal growth was determined by comparing average specific growth rates in
335 the CNT only samples with those in the control samples. When treating all control samples and

336 CNT only samples as a single group, the coefficient of variation (CV) of average specific algal
337 growth rate was 3.76%. When treating all control samples and specific CNT only samples as a
338 single group, the CV did not exceed 4.09% (MWCNT-COOH) for any CNT type. All CV values
339 were below the recommended threshold of 7%,²⁷ showing that the effect of CNT only
340 dispersions on algal growth was negligible.

341
342 **Phenanthrene toxicity in the absence and presence of CNTs.** Growth rates of *P. subcapitata*
343 exposed to C_{nominal} phenanthrene-only and phenanthrene in mixtures with CNTs (at maximum
344 environmental concentrations) are shown in **Figure 3**. To account for any differences between
345 the CNTs, growth curves were normalized against the respective CNT control. As the CV in the
346 controls without phenanthrene (total 24 replicates over 41 days) was 4.04%, variation in algal
347 growth was not considered to be significant. Solvent control samples showed no significant
348 toxicity resulting from methanol (CV: 4.42%). The phenanthrene-only concentration range
349 caused 0-80% inhibition of algal growth rate, meeting with the criteria of the test guidelines. By
350 the end of the experiment, pH had decreased (not consistently) below the accepted limit at high
351 phenanthrene concentrations in combined CNT/phenanthrene exposures, but not in controls
352 containing CNTs only or phenanthrene-only. No pattern in reduced/enhanced growth or effect on
353 the shape of the growth curves was observed.

354
355 C_{nominal} and C_{free} values at which 50% growth inhibition occurred (EC_{50}) are presented in **Figure**
356 **4** and listed in Table S5. Phenanthrene-only exposure resulted in an EC_{50} value of 438.3 $\mu\text{g/L}$.
357 Although this value is lower than that reported for a 48h growth inhibition test,²³ it is expected
358 given the use of a 72 h exposure time in the present study. Growth stimulation was observed at

359 the lowest concentration of phenanthrene (50 $\mu\text{g/L}$) both in the absence and the presence of
360 CNTs. This is a phenomena observed in several other studies with exposure of algae to low
361 concentrations of PAH.^{32, 33}

362
363 When considering EC_{50} values based on C_{nominal} , the presence of CNTs did not show a uniform
364 effect (increase or decrease) on phenanthrene toxicity to *P. subcapitata* (**Figure 4A**). The
365 adsorption study showed that at the phenanthrene concentrations used in the present toxicity
366 study (50–800 $\mu\text{g/L}$), between ~20% and ~60% of C_{nominal} phenanthrene was adsorbed to the
367 CNTs (**Figure 2**). However, a significant decrease in CNT/phenanthrene toxicity compared to
368 phenanthrene-only was just observed for SWCNT, where the EC_{50} increased to 528.4 ($p=0.016$).
369 MWCNT-30 and MWCNT-COOH exhibited a non-significant reduction in toxicity, while
370 MWCNT-15 and MWCNT-OH EC_{50} exhibited a non-significant increase in toxicity. Even
371 though a substantial proportion of C_{nominal} was adsorbed to the CNTs, no significant effect
372 (reduction or increase) on algal toxicity could be observed for four of the five CNTs. Similar
373 results have previously been reported for *C. vulgaris* where the presence of 10 mg/L pristine or
374 oxidized CNTs had no effect on diuron toxicity to the algae based on C_{nominal} .²¹

375
376 To further investigate the importance of the CNT-adsorbed vs C_{free} phenanthrene, EC_{50} values
377 were calculated based on C_{free} at the start of the exposure, $\text{EC}_{50,\text{free}}$ (**Figure 4B**, Table S5).
378 Compared to $\text{EC}_{50,\text{free}}$ for phenanthrene-only (438.0 $\mu\text{g/L}$), CNT/phenanthrene $\text{EC}_{50,\text{free}}$ values
379 were significantly reduced ($p<0.0015$) for all CNTs, ranging from 293.8 $\mu\text{g/L}$ (MWCNT-OH) to
380 351.2 $\mu\text{g/L}$ (MWCNT-COOH) (**Table S5**). This corresponds to an apparent increase in
381 phenanthrene toxicity of between 20% and 33% in the presence of the CNTs and, importantly,

382 suggests that the CNT-adsorbed phenanthrene is at least partly bioavailable and contributes to
383 the total toxicity. Although no toxicity was observed for any of the CNTs, synergistic effects
384 resulting from the interaction between phenanthrene and the CNTs could occur and contribute to
385 the apparent increase in toxicity. Phenanthrene desorption from CNTs due to the influence of
386 algae on C_{free} may also contribute to toxicity (discussed further in the following paragraph).
387 However, these data support previous findings that CNM-adsorbed HOCs are bioavailable for
388 uptake in algae.^{21, 23} C_{60} fullerene increased phenanthrene toxicity to *P. subcapitata* due to direct
389 phenanthrene transport to the cell membrane.²³ Diuron toxicity to algae increased in the presence
390 of CNTs due to increased exposure concentration in the boundary layer of algae in contact with
391 CNT aggregates.²¹ In the present study, fluorescent light microscopy images revealed significant
392 agglomeration of CNT aggregates and algal cells (**Figure 5**). It is therefore probable that the
393 attachment of algae to CNT aggregates facilitates the transport and bioavailability of CNT-
394 adsorbed phenanthrene to the algal cell membranes. Furthermore, relative exposure
395 concentrations may appear higher for CNT-adsorbed phenanthrene compared to phenanthrene
396 present only in the dissolved phase.

397

398 Phenanthrene concentration in the water phase was determined at the end of the experiment
399 ($C_{\text{free-end}}$) for phenanthrene-only samples in both the presence and absence of algae (Figure S3).
400 Differences in $C_{\text{free-end}}$ in the presence and absence of algae serve as a measure of phenanthrene
401 association with algae (e.g. uptake, sorption). At the lowest C_{nominal} (50 $\mu\text{g/L}$), $C_{\text{free-end}}$ was 61%
402 lower in the presence of algae compared to in absence of algae. At higher C_{nominal} values (100,
403 200, 400 and 800 $\mu\text{g/L}$) $C_{\text{free-end}}$ was 56%, 39%, 14% and 8% lower, respectively. This shows the
404 algae reduces C_{free} , particularly at lower C_{nominal} values, thus altering system equilibrium.

405 Phenanthrene adsorption by CNTs in water is reversible,³⁴ so a decrease in C_{free} due to
406 association with algae might promote phenanthrene desorption from CNTs as a new equilibrium
407 between C_{free} and C_{CNT} is established. In addition to altered exposure routes and concentrations
408 resulting from algae-CNT attachment, phenanthrene desorption from CNTs may therefore also
409 contribute to the increased toxicity observed in the present study. Here, CNTs could function as
410 passive dosing materials similar to those employed to control HOC exposure in aquatic toxicity
411 tests.³⁵ Further assessment of PAH desorption from CNTs would be interesting from both an
412 ecotoxicological and a technical perspective.

413
414 Two recent studies investigating CNT-adsorbed PAH bioavailability showed contradictory
415 findings considered to result from the presence/absence of NOM. SWCNT-adsorbed
416 phenanthrene was bioavailable to Japanese medaka (*Oryzias latipes*),²² while MWCNT-adsorbed
417 fluoranthene was not bioavailable to fathead minnow (*Pimephales promelas*) when NOM was
418 present.¹² It was suggested that NOM suppresses fluoranthene desorption from CNTs, reducing
419 the bioavailability of the adsorbed fraction to fish.¹² In the present study, phenanthrene toxicity
420 in the presence of CNTs without NOM was not specifically investigated. However, CNT-
421 adsorbed phenanthrene was clearly bioavailable to algae in the presence of NOM for all five
422 CNTs studied. This contradicts the findings of the previous study,¹² indicating further work is
423 needed to fully understand the complex interplay between CNT/co-contaminant interaction and
424 toxicity in the presence of NOM.

425
426 An aim of this study was to investigate the role of CNT physicochemical properties on
427 phenanthrene bioavailability toxicity. When comparing the CNTs at their maximum

428 environmental concentrations, phenanthrene toxicity was significantly higher in the presence of
429 MWCNT-15 compared to SWCNT, MWCNT-30 and MWCNT-COOH, and for MWCNT-OH
430 compared to SWCNT ($p < 0.042$). However, no direct correlation was observed between
431 phenanthrene toxicity ($EC_{50,nominal}$) and CNT SSA, surface chemistry (oxygen content) or CNT
432 concentration (Figure S4 A-C). Nevertheless, a positive correlation between adsorption capacity
433 normalized against CNT mass [Q^0 ($\mu\text{g}/\text{kg}$) \times mass CNT in samples (kg)] and $EC_{50,nominal}$ was
434 observed (Figure S5, Pearson correlation $R^2 = 0.8075$, $p = 0.038$). Whilst phenanthrene toxicity in
435 the present study cannot be directly related to individual CNT properties, the adsorption
436 capacity, which is dependent on several CNT properties, appears to be a key parameter for
437 CNT/co-contaminant toxicity.

438
439 If the observed increase in toxicity based on C_{free} is related to transport of phenanthrene to cell
440 membranes and increased exposure concentrations, then variation in $EC_{50,free}$ concentrations
441 could be expected based on determined differences in C_{CNT} . However, a significant difference in
442 $EC_{50,free}$ was only observed for MWCNT-OH compared to MWCNT-30 ($p = 0.047$) and
443 MWCNT-COOH ($p = 0.026$). Comparable $EC_{50,free}$ values indicate that the toxicity contribution
444 from adsorbed phenanthrene is similar for the CNTs tested. This similarity may be a result of the
445 differences in CNT dispersion concentrations. Whilst C_{CNT} is highest for SWCNT, the dispersion
446 concentration is low. Conversely, C_{CNT} is low for MWCNT-COOH but the dispersion
447 concentration is high, possibly leading to increased CNT-algae attachment and phenanthrene
448 exposure. Further studies are needed to fully understand the contribution of CNT-adsorbed
449 phenanthrene to algal toxicity under environmentally relevant conditions.

450

451 The present study highlights the importance of CNT physicochemical properties on their
452 environmental fate, adsorption of co-contaminants and their effect on co-contaminant toxicity.
453 The investigation of CNT/co-contaminant interaction and toxicity in the presence of NOM
454 provides an environmentally relevant test scenario few other studies have addressed previously.
455 Importantly, we have shown that CNTs cannot be considered a sink for HOCs as these remain
456 bioavailable and can contribute to toxicity, possibly through alternative uptake routes. NOM
457 clearly plays a critical role in controlling the adsorption, bioavailability and toxicity of HOCs in
458 the presence of CNTs and requires further study for a complete understanding.

459

460 ACKNOWLEDGMENT

461 The work reported here has been undertaken as part of the Research Council of Norway (RCN)
462 funded project 'NanoSorb' (Grant Agreement number 209685/E50). The authors wish to thank
463 the RCN for their financial support. We also wish to thank the External Cooperation Program of
464 Chinese Academy of Sciences (Grant number GJHZ1206) for financial support. The authors
465 acknowledge the essential technical assistance of Kristin Bonaunet, Lisbet Støen, Inger
466 Steinsvik, Marianne Rønsberg, Kjersti Almås, Calin D. Marioara, John Walmsley and Aud
467 Spjelkavik (SINTEF Materials and Chemistry). We would also like to thank Gurvinder Singh for
468 creating the SEM images used for characterizing the CNT materials.

469

470 ASSOCIATED CONTENT

471 **Supporting Information.** Details of experimental methods, CNT characterization results and
472 supporting tables and figures. This material is available free of charge via the Internet at
473 <http://pubs.acs.org>.

474

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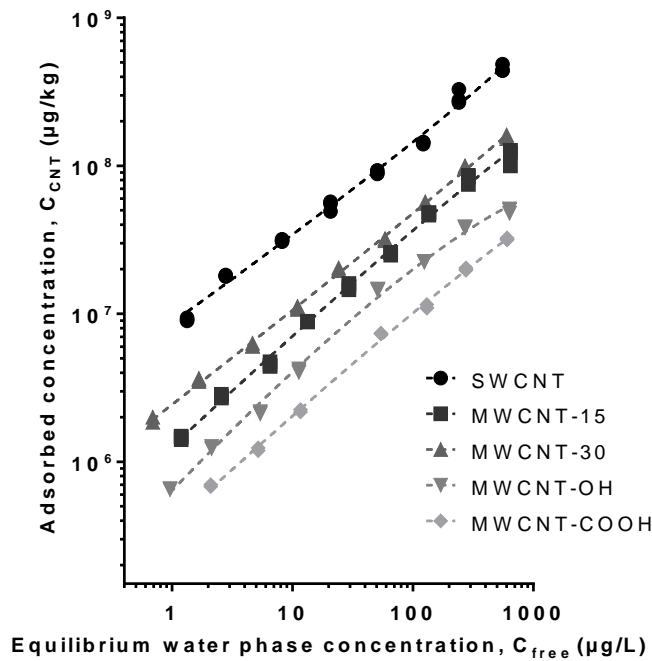
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593 **Table 1.** Summary of the physicochemical properties of each of the 5 CNT types employed in
 594 the study and their dispersion concentration and available surface area after 24 h of settling.
 595 Variations are presented as standard deviations. Differing letters in the columns indicate
 596 statistically significant differences in average diameter and specific surface area among the
 597 CNTs (ANOVA: $p > 0.05$).

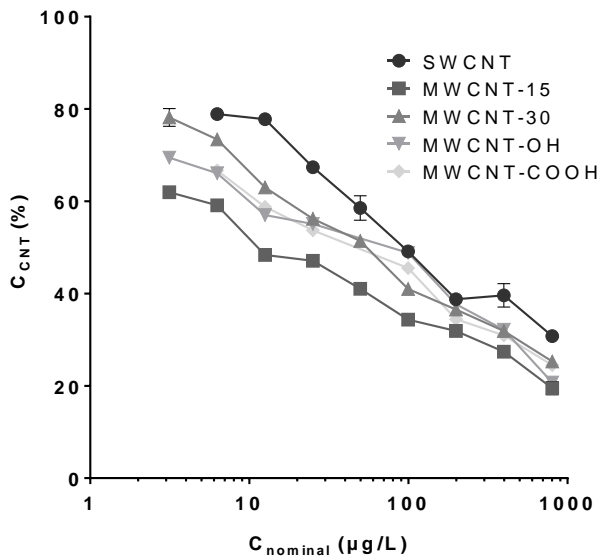
CNT	Average diameter (nm)	Specific surface area (m ² /g)	Surface oxygen content (%)	Surface carbon content (%)	Dispersion concentration (mg/L)	Available surface area (m ² /L)
SWCNT	2.4 ± 1.1 ^a	483.7 ± 23.5 ^a	1.6	98.4	0.5	0.26
MWCNT-15	14.8 ± 5.6 ^b	140.5 ± 0.4 ^b	1.5	98.5	1.3	0.19
MWCNT-30	16.6 ± 8.6 ^c	177.4 ± 3.0 ^b	2.0	98.0	1.3	0.23
MWCNT-OH	14.3 ± 5.8 ^b	140.2 ± 2.8 ^b	3.9	96.1	3.3	0.47
MWCNT-COOH	20.3 ± 7.5 ^d	139.7 ± 3.5 ^b	5.7	94.3	6.1	0.86

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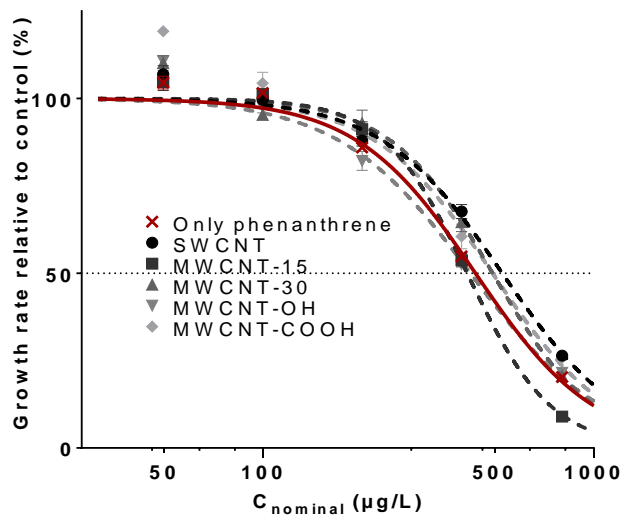
601 **Figure 1.** Adsorption isotherms of phenanthrene to five types of CNTs, plotted as individual
 602 replicates. The Dubinin-Ashtakhov model fitted the experimental data well. SWCNTs exhibited
 603 higher adsorption capacity than the MWCNTs, while a lower adsorption capacity was observed
 604 for functionalized MWCNTs compared to non-functionalized MWCNTs.



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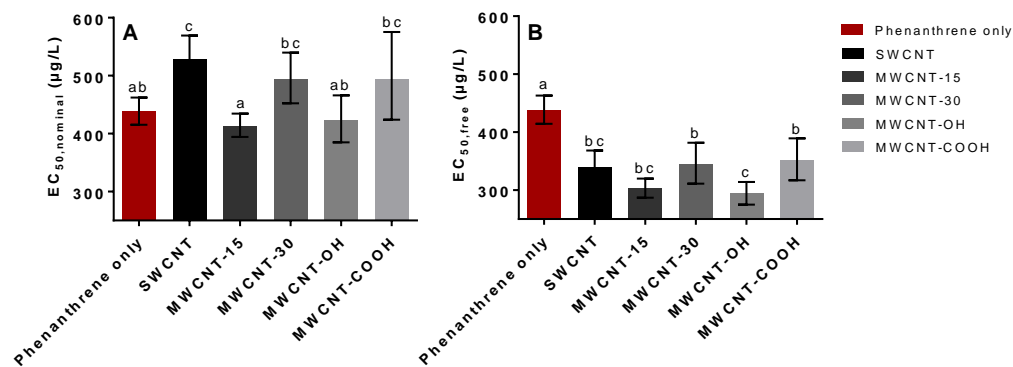
606 **Figure 2.** Percentage of phenanthrene adsorbed to CNTs at different phenanthrene
 607 concentrations. Error bars represent the standard error of mean. The pattern showed the highest
 608 percentage adsorbed to SWCNT and lowest to MWCNT-COOH, although not significant at all
 609 C_{nominal} values.

610



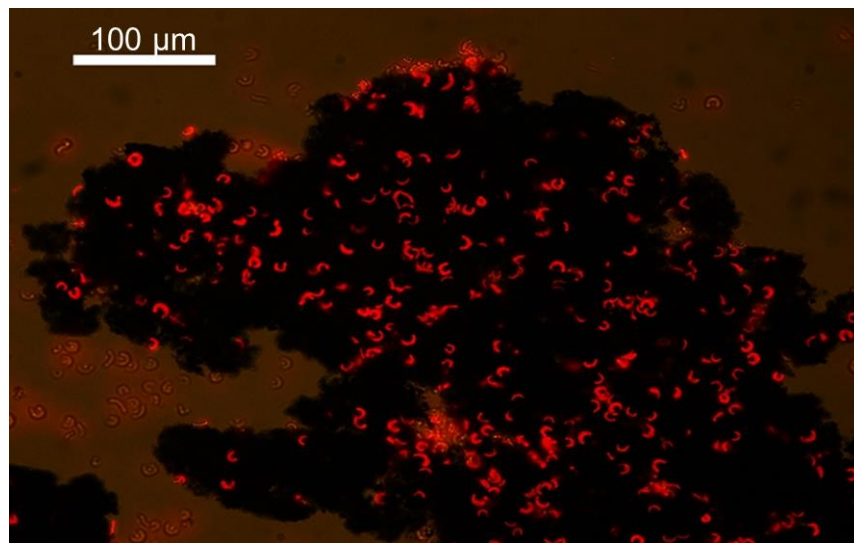
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612 **Figure 3.** Relative growth rate of *P. subcapitata* exposed to increasing nominal phenanthrene
 613 concentrations in the absence (red) and present (grey) of CNT. Error bars represent the standard
 614 error of mean. A significant effect of the presence of CNTs compared to phenanthrene-only was
 615 only observed for SWCNT.



616
 617 **Figure 4.** EC₅₀ values calculated based on A) the nominal phenanthrene concentration (C_{nominal}),
 618 and B) the phenanthrene concentrations in the water phase (C_{free}). Error bars show the 95%
 619 confidence interval. Common letters above the bars indicate no significant difference between
 620 groups (*p* > 0.05).

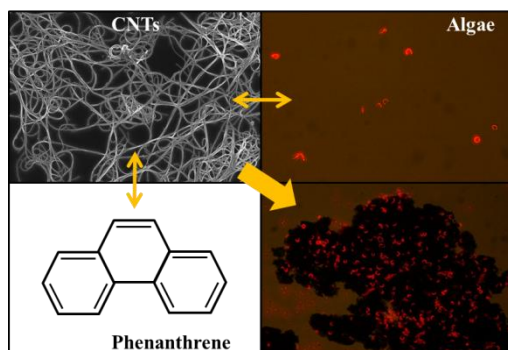
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623 **Figure 5.** Fluorescent light microscopy image of CNT aggregate with algal cells attached. The
 624 red color of the algal cells is due to fluorescence from chlorophyll. (Photo: Dag Altin, BioTrix)

625 TOC/Abstract art



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