

1 **NO EFFECT OF CALANUS OIL ON MAXIMAL OXYGEN UPTAKE IN HEALTHY**  
2 **PARTICIPANTS: A RANDOMIZED CONTROLLED STUDY**

3

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27

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33 **ABSTRACT**

34 We aimed to investigate the long-term effect of daily Calanus oil supplementation on  
35 maximal oxygen uptake ( $VO_{2max}$ ) in healthy 30–50-year-old participants. The study was  
36 motivated by preclinical studies reporting increased  $VO_{2max}$  and metabolic health with omega-  
37 3 rich Calanus oil. In a double-blinded study, 71 participants were randomized to receive two  
38  $g \cdot day^{-1}$  of Calanus or placebo supplementation for a total of six months. The participants  
39 underwent exercise testing and clinical investigations at baseline, three months, and six  
40 months. Main study endpoint was change in  $VO_{2max}$  from baseline to six months. Fifty-eight  
41 participants completed the 6-month test and were included in the final data analysis [Age:  
42 Calanus, 39.7 (38.0-41.4) and placebo, 38.8 (36.8-40.9) years; BMI: Calanus, 24.8 (24.0-  
43 25.6) and placebo, 24.8 (23.7-25.8)  $kg \cdot m^2$ ;  $VO_{2max}$ : Calanus, 50.4 (47.1-53.8) and placebo  
44 50.2 (47.2-53.1)  $ml \cdot kg^{-1} \cdot min^{-1}$ ]. There were no between-group differences at baseline, nor  
45 were there any between-group differences in absolute [Calanus, 3.74 (3.44-4.04) and placebo,  
46 3.79 (3.44-4.14)  $L \cdot min^{-1}$ ] or relative  $VO_{2max}$  [Calanus, 49.7 (46.2-53.2) and placebo, 49.5  
47 (46.0-53.1)  $ml \cdot kg^{-1} \cdot min^{-1}$ ] at six months (mean (95% CI)). There were no between groups  
48 change in clinical measures from baseline to three and six months. In conclusion,  $VO_{2max}$  was  
49 unaffected by six months of daily Calanus oil supplementation in healthy, physically fit,  
50 normal to overweight men and women between 30 and 50 years old.

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## 54 INTRODUCTION

55 Maximal oxygen uptake ( $VO_{2max}$ ) a robust measure of human endurance and metabolic  
56 capacity, defined as the highest oxygen uptake utilized during maximal intensity exercise with  
57 large muscle mass (Keren et al., 1980).  $VO_{2max}$  is documented to be the single best predictor  
58 of longevity and cardiovascular disease mortality, and systematic endurance exercise training  
59 increasing  $VO_{2max}$  has beneficial health effects (Blair et al., 1995; Blair et al., 1989; Gulati et  
60 al., 2003; Lee et al., 2011; Myers et al., 2015; Myers et al., 2002). The associations between  
61 dietary supplementation with omega-3 polysaturated fatty acids, exercise performance and  
62  $VO_{2max}$  have been studied, but the results are conflicting (Da Boit et al., 2017; Macaluso et al.,  
63 2013; Zebrowska et al., 2015).

64 Calanus oil, which is extracted from the marine copepod *Calanus finmarchicus* (Melle et al.,  
65 2004), has a unique chemical composition consisting of phytosterol, antioxidants, and  
66 monounsaturated and polyunsaturated fatty acids. The fatty acids are bound to aliphatic long-  
67 chain monounsaturated fatty alcohols in the form of wax esters (Gasmi et al., 2020; Hoper et  
68 al., 2013; Pedersen et al., 2014), and conversion of the fatty alcohols to their corresponding  
69 monounsaturated fatty acids could boost the uptake of these specific fatty acids. In healthy  
70 adults, plasma EPA and DHA were increased 72 hours after 4 g of Calanus ingestion (Cook et  
71 al., 2016). Twelve weeks of dietary Calanus supplementation in combination with exercise  
72 training increased the omega-3-index from 6.07% to 7.37% and the level of EPA and DHA  
73 increased by 44% and 17% respectively, with no changes detected in the non-exercising  
74 control group also on Calanus supplementation (Wasserfurth, Nebl, Bosslau, et al., 2020).

75 In a preclinical study with high fat-fed mice, dietary supplementation for 27 weeks with wax  
76 esters from Calanus oil increased both  $VO_{2max}$  and attenuated glucose intolerance compared to  
77 that of obese control mice (Hoper et al., 2014). Otherwise, the study documented similar

78 metabolic effects as with crude oil supplementation (Hoper et al., 2013), i.e., attenuated  
79 obesity, inflammation, and glucose intolerance in high fat diet-induced overweight mice  
80 (Hoper et al., 2013). The mechanism behind the improved  $VO_{2max}$  in obese mice in response  
81 to dietary supplementation with Calanus oil-derived wax ester is unknown but improved  
82 cardiac energy metabolism and increased voluntary exercise have been suggested (Hoper et  
83 al., 2013). The rationale behind the clinical follow up of the preclinical findings in (Hoper et  
84 al., 2014) and the improvement in  $VO_{2max}$  after dietary Calanus oil ingestion is hypothesized  
85 to be through the unique effect alcohol esters have on fat metabolism. Previous studies have  
86 shown that the long-chain alcohol octacosanol improve energy mobilization in rats (Kato et  
87 al., 1995), most likely through an acceleration of lipid metabolism in skeletal muscles during  
88 exercise, thereby increasing endurance capacity (Kabir et al., 1994; Kim et al., 2003). To our  
89 knowledge, no studies has to date investigated if Calanus supplementation increase EPA or  
90 DHA levels within skeletal muscles. In line with this notion, dietary Calanus oil was shown to  
91 improve glucose oxidation and reduce the reliance of fat oxidation in hearts from obese mice,  
92 thereby preventing the overreliance of fatty acid oxidation and accumulation of lipids in the  
93 myocardium of obese species, and at the same time protect the hearts from ischemic stress  
94 (Jansen et al., 2019).

95 To our knowledge, no clinical studies have investigated the effect of daily long-term Calanus  
96 oil supplementation on  $VO_{2max}$  in healthy individuals. Therefore, the primary aim of this study  
97 was to investigate the long-term effect of daily Calanus oil supplementation on  $VO_{2max}$  in  
98 healthy, normal-weight to overweight participants. Our working hypothesis was that daily  
99 Calanus supplementation would give a clinical relevant increase in  $VO_{2max}$  ( $\sim 3.5 \text{ ml} \cdot \text{kg}^{-1} \cdot$   
100  $\text{min}^{-1}$ ) (Hoper et al., 2014). A hypothetical positive effect of Calanus oil on human  $VO_{2max}$   
101 could potentially serve as a non-pharmacological lifestyle disease prevention and public  
102 health strategy.

## 103 **METHODS**

### 104 *Study design*

105 In a double-blinded randomized controlled study, supplementation of 2 g·day<sup>-1</sup> of Calanus oil  
106 or placebo vegetable oil was given for a total of six months, with exercise testing and clinical  
107 investigations at baseline, three months, and six months. The primary study outcome was a  
108 change in VO<sub>2max</sub> from baseline to six months. Secondary outcomes were changes in other  
109 measures of maximal performance, body composition, blood pressure, physical activity, and  
110 selected blood biomarkers measured from baseline to three and six months.

111

### 112 *Participants*

113 Seventy-one eligible volunteers were randomized 1:1 (no stratification) to receive either  
114 Calanus oil (n = 36) or placebo oil (n = 35) supplementation. The study flowchart is shown in  
115 Figure 1. In short, 105 volunteers responded to the public study announcements, and 81  
116 participants were pre-screened using a standardized phone call and clinical screening.

117 Inclusion criteria for participation were healthy men and women between 30 and 50 years of  
118 age with a BMI between 18.5 and 29.9 kg·m<sup>2</sup>. Exclusion criteria were any medical condition  
119 limiting VO<sub>2max</sub> (i.e., COPD or asthma), a history of cardiovascular disease, any other serious  
120 medical condition (i.e., cancer), any medication affecting VO<sub>2max</sub> (i.e., beta-blockers),  
121 pregnancy, participation in other clinical studies, shellfish allergy, contraindications of  
122 physical activity, systolic blood pressure (SBP) >170 mmHg, and diastolic blood pressure  
123 (DBP) >105 mmHg. The occurrence of adverse disease (i.e., cancer, stroke, myocardial  
124 infarction, or unstable angina) or pregnancy in participants during the study were predefined  
125 for exclusion during the intervention.

126 Ten of the potential volunteers that were pre-screened were not randomized, five due to no  
127 time for participation with the amount of time required in the study and five did not fulfill the  
128 inclusion criteria [low BMI (n = 1), young age (n = 2), heart disease (n = 1), and breastfeeding  
129 (n = 1)]. The Calanus safety study did not include breast feeding women, thereby the one  
130 screened breast feeding subject was not included in this study (Tande et al., 2016).

131 Randomization was made after initial screening by research personnel not involved in testing  
132 or follow-up of the participants through a web-database at the unit for clinical research at the  
133 Norwegian University of Science and Technology

134 Participants were encouraged to continue their normal lifestyle and to continue with their  
135 normal exercise or physical activity routines throughout the study. No other diet, lifestyle,  
136 medication, or exercise advice was given. Participants self-reporting use of omega-3- or  
137 performance-enhancing supplementation (i.e., caffeine or energy drinks) (n = 7) were  
138 instructed to end the supplementation before inclusion and for the duration of the study.

139

#### 140 ***Ethical approval***

141 The study was conducted according to the Declaration of Helsinki and were approved by the  
142 regional ethical committee for medical research (REK#2015/2303). Written informed consent  
143 was obtained from all subjects. The study is registered in the [ClinicalTrials.gov](https://clinicaltrials.gov) database  
144 (NCT02908828).

145

#### 146 ***Intervention***

147 Participants received pre-packed boxes of supplementation after completing the initial  
148 screening and clinical and physiological tests. Boxes were identically labeled (by Calanus

149 AS) and the liquid-filled vials were visually identical for Calanus and the vegetable oil (sun-  
150 flower oil with artificial red color) supplementation. The supplements were from the same  
151 production batch, and were provided by Calanus AS with a dosage guarantee from the  
152 manufacturer. The participants were instructed to ingest a daily dose of four capsules for a  
153 total of six months. This corresponded to two g·day<sup>-1</sup> of Calanus- or vegetable oil. The dose  
154 of two g·day<sup>-1</sup> of Calanus oil was chosen based on a previous safety study and preclinical  
155 studies that have shown aortic plaque regression and metabolic improvements (Eilertsen et al.,  
156 2012; Hoper et al., 2014; Tande et al., 2016).

157 Before the three and six month investigations, participants were asked to count the number of  
158 supplements in their possession. During the clinical assessments, participants were asked four  
159 standardized supplement compliance questions:

- 160 1. Do you take the supplementation as prescribed? (YES/NO);
- 161 2. Have you experienced any side effects from the supplementation? (YES/NO)
- 162 3. How many unused capsules do you have at the present time? (Number recorded)
- 163 4. Have you started with another type of dietary supplementation (YES/NO)

164 The answers were recorded in the web-CRF by the investigators.

165 The content of the Calanus oil is described in detail in Table 1 (Wasserfurth, Nebl, Bosslau, et  
166 al., 2020) and the detailed analysis of the sun flower oil can be found in (Štěpán et al., 2022).

167 Calanus-oil is extracted from the North Atlantic zooplankton *Calanus finmarchicus* and  
168 contains a high quantity of the long-chain omega-3 acids, eicosatetraenoic acid (EPA), and  
169 docosahexaenoic acid (DHA). Most (80–90%) of the *Calanus finmarchicus* oil consists of  
170 fatty acids that are esterified to long-chain fatty alcohols, with a small additional number of  
171 phytosterols, antioxidants, glycerol, and free fatty acids. The carotenoid astaxanthin gives the  
172 Calanus oil a deep red color, and the amount of lipids and wax esters in the harvested *Calanus*



173 *finmarchicus* depends on the geographic latitude with the highest amounts found in arctic  
174 species (Gasmi et al., 2020; Pedersen et al., 2014; Schots et al., 2020; Wasserfurth, Nebl,  
175 Schuchardt, et al., 2020).

176 The study staff and participants were blinded for study group affiliation during data collection  
177 and data analyses. Boxes were labeled with a numbered code for identification and  
178 distribution to the participants by the person responsible for randomization. The safety of  
179 Calanus oil supplementation has previously been documented in a clinical study (Tande et al.,  
180 2016). Compliance to the supplementation was pre-specified to 70%, based on knowledge of  
181 ~ 50% long term compliance to prescription medication (Jimmy et al., 2011) and ~70-80%  
182 compliance to fish oil oral nutritional supplementation (Hubbard et al., 2012).

183

#### 184 ***Test procedures and clinical investigation***

##### 185 ***Maximal oxygen uptake***

186 After an initial moderate-intensity warm-up of 10-15 minutes of walking or jogging at  
187 approximately 70% of maximal heart rate,  $VO_{2max}$  was measured through an incremental  
188 treadmill test to exhaustion using an indirect breath-by-breath ergospirometry system  
189 (Metalyzer 2 A, Cortex Biophysik GmbH., Germany) at the NeXt Move core facility for  
190 exercise, movement, neurophysiology and elite sport science at NTNU – The Norwegian  
191 University of Science and Technology. Calibration procedures included high precision gas  
192 calibration ( $15.00 \pm 0.04\%$   $O_2$  and  $5.00 \pm 0.1\%$   $CO_2$ , Aga AS, Trondheim, Norway) and  
193 inspiratory flowmeter calibration using a three (3) L volume syringe (Metalyzer 2 A, Cortex  
194 Biophysik GmbH., Germany). The test was performed on a treadmill (Woodway USA Inc.,  
195 Waukesha, WI, USA) as a running or walking test depending on the participants' fitness  
196 levels. The workload was increased every minute until exhaustion, and the mean of the three

197 highest consecutive 10 seconds  $\text{VO}_2$  measurements was used to determine  $\text{VO}_{2\text{max}}$ . During a  
198 running test, subjects would start walking or jogging at  $6 \text{ km} \cdot \text{hr}^{-1}$  and 4% treadmill  
199 inclination, and speed or grade would be increased approximately every 1-1:30 minutes until  
200 the subjects reached exhaustion. A plateau in oxygen uptake, despite increased workload, and  
201 a respiratory exchange ratio  $\geq 1.05$  were used as criteria for the determination of  $\text{VO}_{2\text{max}}$ .  
202 Maximal heart rate ( $\text{HR}_{\text{max}}$ ) was measured by a heart rate monitor (Polar RS400, Polar Electro  
203 Oy, Kempele, Finland), and the BORG 6-20 scale was used to assess self-perceived effort  
204 (Borg et al., 2006).  $\text{HR}_{\text{max}}$  was defined as the highest recorded value during the termination of  
205 the test. Maximal oxygen pulse ( $\text{mL} \cdot \text{beat}^{-1}$ ) was calculated as  $\text{VO}_{2\text{max}}$  ( $\text{mL} \cdot \text{min}^{-1}$ ) divided by  
206  $\text{HR}_{\text{max}}$  ( $\text{beats} \cdot \text{min}^{-1}$ ) (Aspenes et al., 2011). Heart rate recovery (HRR) was calculated by  
207 subtracting the heart rate one (1) min after completion of the test from  $\text{HR}_{\text{max}}$ . Participants  
208 were standing still at the treadmill during the first minute after completing the test.

209

### 210 ***Blood sampling and fatty acid composition of red blood cell membranes***

211 Venous serum and ethylenediaminetetraacetic acid (EDTA) samples were collected after an  
212 overnight fast ( $\geq 12$  h). Subjects were asked to avoid food, alcohol, tobacco and only drink  
213 water during the fasting period. Subjects self-reported fasting hours when reporting for blood  
214 sampling. No other control of fasting or hydration status were made. EDTA samples were  
215 kept on ice, centrifuged for 10 min at  $4^\circ\text{C}$  and 2200 G, and aliquoted in cryotubes and frozen  
216 at  $-80^\circ\text{C}$  for later analyses.

217 The fatty acid composition of red blood cell (RBC) membranes was determined after  
218 methylation of the fatty acids (Jansen et al., 2019). Firstly, aliquots of RBC were taken from  
219 the cell pellets and frozen at  $-80^\circ\text{C}$ . Upon analysis, cells were thawed in the fridge overnight  
220 and washed with cold phosphate-buffered saline (PBS). Washed RBC membranes were  
221 vortexed and pipetted into new vials and methylated with 3N methanolic hydrochloric acid.

222 The fatty acid methyl esters (FAME) were extracted with hexane, and the extracts neutralized  
223 with 3N potassium hydroxide in water. After mixing and centrifuging the hexane phase was  
224 injected into the gas chromatograph – flame ionizing detector (GC-FID). Analysis was  
225 performed on a 8890 GC with a split/splitless injector, a 7693A automatic liquid sampler, and  
226 flame ionization detector from Agilent Technologies (Palo Alto, CA, USA). Separations were  
227 performed on a TR-FAME (30 m × 0.25 mm i.d. × 0.25 μm film thickness) column from  
228 Thermo Fisher Scientific (Waltham, MA, USA). The content of the individual fatty acids in  
229 the samples was expressed in percent of total fatty acid content. Thus, omega-3 index is  
230 defined as the percentage of omega-3 fatty acids (including EPA and DHA) of total fatty  
231 acids in red blood cells.

#### 232 Clinical assessments

233 Body composition and body mass were assessed by bioelectrical impedance analysis (InBody  
234 720, Biospace Co, Ltd, Seoul, Korea). Resting systolic and diastolic blood pressure was  
235 measured using plethysmography (Casmel 740, CAS Medical Systems Inc., USA) with the  
236 cuff on the right arm adjusted according to the arm circumference, and after the participant  
237 had been sitting relaxed for five minutes. SBP and DBP were measured three times with 1-  
238 minute intervals, and the mean of the latter two was used in the analyses. Self-reported  
239 medical history, medication and lifestyle were recorded by the study investigators.

240

#### 241 *Physical activity*

242 Self-reported weekly physical activity was recorded at baseline, three months, and six months  
243 using the short form of the international questionnaire for physical activity (IPAQ 7-days)  
244 (Kurtze et al., 2007). Duration and intensity of physical activity within the last seven days  
245 were recorded, and total physical activity in metabolic equivalents (MET) minutes per week

246 was calculated according to the IPAQ scoring protocol. A cut-off at 150 minutes per week of  
247 structured physical activity was used to define participants as physically active ( $\geq 150$   
248 minutes/week) or inactive ( $\leq 150$  minutes/week). Subjects were instructed to continue their  
249 regular physical activity lifestyle during the study.

250

### 251 *Adverse effects*

252 Participants were asked if they had experienced any discomfort or adverse effects of  
253 supplementation at the 3- and 6-month clinical investigations. They were also instructed to  
254 contact the study coordinator by phone if any serious events occurred in-between visits.

### 255 *Statistical analysis*

256 Variables are presented as means or median with 95% confidence interval (CI). Normality  
257 was tested with normality curves, error bars, and Q-Q plots, and homogeneity of variances  
258 was checked with Levene's test. The between-group effect was tested using ANCOVA with  
259 baseline as covariate, and the two-sided level of significance was set to  $p < 0.05$ . Non-  
260 normally distributed variables were analyzed with non-parametric tests (Mann-Whitney-U  
261 test). A sample size of 32 participants in each group was estimated based on improvement in  
262  $VO_{2max}$  from  $32 \pm 5$  to  $35.5 \pm 5$  ml·kg<sup>-1</sup>·min<sup>-1</sup> (80% power and  $p = 0.05$ ). All statistical  
263 analyses were performed using IBM SPSS Statistics software program version 27 (SPSS Inc.  
264 Chicago, IL., USA). Data analyses includes all participants completing the six months  
265 investigation.

## 266 RESULTS

### 267 *Patient demographics*

268 The baseline patient demographics are described in Table 2. There was no change in  
269 demographic variables during the intervention period. Self-reported prescription medication  
270 in nine of the participants included: common allergies (Calanus, n = 1; placebo, n = 2),  
271 psoriasis (placebo, n = 1), insomnia (placebo, n = 1), asthma (Calanus, n = 3), and hormone  
272 replacement therapy (placebo, n = 1).

273

### 274 *Intervention*

275 The inclusion and intervention ran from October 2016 to June 2017. Fifty-eight participants  
276 completed all three test time points, with fifty-one participants above **the pre-specified** 70%  
277 supplementation compliance. Thirteen participants (placebo, n = 7; Calanus, n = 6) were lost  
278 to follow-up: no reason provided (placebo, n = 3), lack of time (Calanus, n = 2; placebo, n =  
279 1), pregnancy (Calanus, n = 1), high blood pressure (Calanus, n = 1), moved away (placebo, n  
280 = 1), unable to contact for re-testing (Calanus, n = 2; placebo, n = 2). A mean (min-max) of  
281 600 (360-711) (**~83% compliance**) and 581 (270-692) (**~81% compliance**) (capsules were  
282 consumed in the Calanus and placebo groups, respectively. **We lack information of whether**  
283 **the participants consumed less than the prescribed capsules on several days, or if they missed**  
284 **one or several days with supplementation, or when this occurred during the study timeline.**  
285 Data analyses of participants with above 70% compliance, or of all participants with six  
286 months tests gave equal results. Thus, data from all participants with six months  
287 investigations is presented. Individual self-reported supplement compliance is displayed in  
288 Figure 2.

289

### 290 ***Maximal oxygen uptake***

291 The  $\text{VO}_{2\text{max}}$  test results are presented in Figure 3 and Table 3.  $\text{VO}_{2\text{max}}$  was unchanged from  
292 baseline to six months. None of the other cardiopulmonary exercise test parameters;  $\text{VE}_{\text{max}}$ ,  
293 RER,  $\text{VE}/\text{VCO}_2$ ,  $\text{O}_2$ -puls,  $\text{HR}_{\text{max}}$ , HRR, treadmill speed and inclination or the Borg scale  
294 changed over the course of the study (Table 3). According to the prespecified criteria, all  
295 participants reached  $\text{VO}_{2\text{max}}$  at the cardiopulmonary exercise tests.

296

### 297 ***Anthropometric and clinical data***

298 Anthropometric and clinical data are shown in Table 4, and the fatty acid composition of red  
299 blood cell membranes and the omega-3 index in table 5. There were no significant changes in  
300 systolic or diastolic blood pressure, resting heart rate, BMI, weight, fat mass, or muscle mass  
301 from baseline to six months (Table 4). The omega-3 index was relatively high (around 8%) at  
302 baseline and did not change significantly over the 6-month period ( $p < 0.07$ ). Also, the fatty  
303 acid composition of RBC membranes was unchanged at six months (Table 5).

304

### 305 ***Physical activity***

306 Self-reported vigorous physical activity, moderate physical activity, and total weekly MET-  
307 minutes were unchanged from baseline to 6-month. Self-reported weekly walking time was  
308 decreased by  $97 \text{ min} \cdot \text{week}^{-1}$  in the placebo group from baseline to 6 months, a significant  
309 decrease from baseline compared to in the Calanus group at six months ( $p = 0.042$ ) (Table 6).

310

### 311 ***Safety and adverse effects***

312 Three participants self-reported adverse events, including one subject with hives and a rash in  
313 the face (placebo) and two subjects with self-perceived atrial fibrillation (AF) and persisted  
314 elevated heart rate during exercise training (placebo and Calanus). All subjects were asked to  
315 contact their primary physician for follow-up and completed the study without further events.  
316 In the two subjects with self-reported AF, ECG evaluation during the 3-months CPET  
317 provided no indication of arrhythmias during exercise testing.

318 Nine participants reported discomforts. This included an upset stomach (placebo, n = 1;  
319 Calanus, n = 3), heartburn (Calanus, n = 2), and a fishy taste (Calanus, n = 2). One participant  
320 in the Calanus oil group suffering from severe insomnia reported normalization of the  
321 sleeping behavior after only one week of supplementation.

## 322 DISCUSSION

323 The main study finding was that  $VO_{2max}$  was unaffected by six months of Calanus oil  
324 supplementation in healthy middle-aged men and women. Our study supports the few  
325 previous studies demonstrating minor effects of other forms of omega-3 long-chain fatty acid  
326 rich supplementation on physical fitness and exercise performance in healthy humans  
327 (Bortolotti et al., 2007; Buckley et al., 2009; Da Boit et al., 2017; Da Boit et al., 2015;  
328 Peoples et al., 2008). Omega-3 polysaturated fatty acids supplementation is previously  
329 demonstrated to have no effect on neither resting metabolic rate (Noreen et al., 2010),  
330 submaximal energy expenditure (Bortolotti et al., 2007), or  $VO_{2max}$  (Bortolotti et al., 2007).  
331 Our findings are also supported by a recent study showing no effect of 16 weeks of combined  
332 Calanus oil supplementation and exercise training on  $VO_{2max}$  compared to placebo  
333 supplementation and exercise training in older women (Dadova et al., 2020; Štěpán et al.,  
334 2022).

335 We were unable to replicate preclinical findings of improved  $VO_{2max}$  after Calanus oil  
336 supplementation in diet-induced obese mice (Hoper et al., 2014). This could be due to  
337 physiological differences between species, differences in baseline levels of omega-3 fatty  
338 acids (Stark et al., 2016; Superko et al., 2013), or the fact that the preclinical study included  
339 high-fat feeding to induce obesity (Hoper et al., 2014), while no dietary or other lifestyle  
340 interventions beyond Calanus oil or placebo supplementation were introduced in our study. In  
341 addition, variability between humans and rodents in absorption of omega-3-fatty acids from  
342 dietary intake and oral supplementation, (Superko et al., 2013), or seasonal variation of  
343 omega-3-fatty acids (De Vriese et al., 2004) cannot be ruled out.

344 On average, our participants were healthy, physically active above current physical activity  
345 recommendations (Perk et al., 2012), and with higher average  $VO_{2max}$  than in Norwegian



346 reference data (Aspenes et al., 2011). The moderately high fitness- and physical activity levels  
347 could make changes in physical activity lifestyle less likely and may explain the discrepancy  
348 from the preclinical study (Hoper et al., 2014). We detected no change in self-reported weekly  
349 physical activity between baseline, three months, and six months that could have affected  
350  $VO_{2max}$ . Physical activity behavior was not recorded in the preclinical study (Hoper et al.,  
351 2014); thus, it is unknown if Calanus oil supplementation could have changed the voluntary  
352 physical activity behavior in the caged mice and thereby could explain the increase in  $VO_{2max}$   
353 in this study (Hoper et al., 2014). In addition to the timing of Calanus oil supplementation  
354 (Radak et al., 2017), its antioxidant effect may have abolished any favorable effect of the  
355 supplementation on  $VO_{2max}$ , by attenuating exercise-induced increase in reactive oxygen  
356 species and reactive nitrogen species, which are believed to be vital in the exercise adaptive  
357 responses (Merry et al., 2016).

358 Calanus oil supplementation in preclinical studies has shown beneficial health effects  
359 (Eilertsen et al., 2012; Schots et al., 2020), while omega-3-supplementation studied in  
360 diabetes and cardiovascular disease prevention show conflicting results (Chowdhury et al.,  
361 2012; Da Boit et al., 2017; Rizos et al., 2012; Wu et al., 2012). In our healthy participants,  
362 none of the measured cardiovascular risk factors, such as resting blood pressure, resting heart  
363 rate, heart rate recovery, or ventilatory to respiratory quotient gradient, changed during the 6-  
364 month intervention. This could be due to selection, as we included healthy middle-aged  
365 participants with few cardiovascular risk factors besides overweight. It also supports other  
366 studies documenting minor effects of omega-3 supplementation on risk factor reduction  
367 (Chowdhury et al., 2012; Wu et al., 2012) and cardiovascular disease outcome (Rizos et al.,  
368 2012).

369 In a review of the literature, omega-3-oil supplementation has, to some degree, been shown to  
370 improve heart rate regulation, heart function, and vascular resistance in healthy young people

371 (Da Boit et al., 2017). In our study, we show no effect of Calanus supplementation on resting-  
372 or exercise heart rate, blood pressure, or oxygen-pulse, a surrogate measure for stroke volume  
373 (volume of blood ejected per cardiac cycle), giving physiological support to our finding of no  
374 change in  $VO_{2max}$ . It should be noted that both blood pressure and resting heart rate was in the  
375 normal to low range according to reference values and, therefore, are less likely to improve  
376 (Holmen et al., 2016; Nauman et al., 2012). A low resting heart rate has been found to be  
377 associated with high  $VO_{2peak}$  and lower mortality risk in prospective studies strengthening the  
378 notion that we studied healthy participants (Nauman et al., 2012; Nauman et al., 2011;  
379 Nauman et al., 2010).

380 In contradiction to the preclinical studies (Hoper et al., 2013; Hoper et al., 2014; Jansen et al.,  
381 2019), neither body weight nor body composition changed throughout the intervention. As  
382 neither muscle mass nor self-reported physical activity, with possible metabolic effects,  
383 increased throughout our study, the steady state in body composition was not unexpected. In a  
384 recent study of the combined effect of exercise training and Calanus oil supplementation fat  
385 mass decreased, and lean body mass increased (Wasserfurth, Nebl, Schuchardt, et al., 2020).  
386 As self-reported physical activity did not change, the discrepancies between studies might  
387 indicate a combined effect of supplementation with exercise (Wasserfurth, Nebl, Schuchardt,  
388 et al., 2020). Some previous omega-3 supplemental studies show increased muscular protein  
389 synthesis, volume, and strength in elderly participants, indicating that age might be a factor  
390 (Da Boit et al., 2017). Our findings are similar to a study of Calanus supplementation in older  
391 women reporting no change in body composition (Dadova et al., 2020). We detected no  
392 change in waist-to-hip ratio in our participants, and thereby our study does not support the  
393 finding of reduced body weight and abdominal visceral fat from preclinical studies (Hoper et  
394 al., 2013; Hoper et al., 2014; Jansen et al., 2019). Again, this could be due to more obesity in  
395 the preclinical studies and the use of a high-fat feeding model (Hoper et al., 2013; Hoper et

396 al., 2014; Jansen et al., 2019) as well as species differences. The high omega-3 index in our  
397 participants at baseline (Stark et al., 2016) is a complicating factor, but the somewhat lower  
398 index for the Calanus group at six months is indicative of a fair compliance to the Calanus oil  
399 supplementation. In comparison to a study of older women with lower baseline omega-3  
400 index given 2.5 g·day<sup>-1</sup> of Calanus oil supplementation (Štěpán et al., 2022), our data might  
401 indicate that more than two (2) g·day<sup>-1</sup> of Calanus oil is needed to increase EPA and DHA red  
402 cell membrane content in healthy participants with already high baseline values (Patterson et  
403 al., 2015; Stark et al., 2016).

404

#### 405 *Strengths and weaknesses*

406 Main strength was the randomized controlled double-blinded design and high self-reported  
407 adherence to the study supplementation in most participants, the six months duration of the  
408 study and directly measured VO<sub>2max</sub>. Also, our study adds new evidence to previous studies as  
409 we studied the novel omega-3 supplementation Calanus oil, and we equally study men and  
410 women. The duration of the study was appropriate to access long-term changes in VO<sub>2max</sub> and  
411 clinical parameters, and controls for any Hawthorn effect in the study. The randomized  
412 controlled blinded design was chosen to adjust for possible dietary and lifestyle group  
413 differences beyond the intervention. **The study supplement compliance was ~81-83%, similar**  
414 **to the mean overall compliance found in a systematic review of compliance to oral nutritional**  
415 **supplements (Hubbard et al., 2012).** A weakness of the study was the lack of objectively  
416 measured physical activity as well as the self-reported adherence to supplementation. Also,  
417 the relatively high fitness level and high omega-3 index of the participants at baseline might  
418 indicate that the study design was more attractive to fit and dietary conscious participants than  
419 unfit participants. A detailed dietary screening of omega-3 intake was also absent in the study.

420 Steady state submaximal exercise testing in our study would have allowed for investigation of  
421 possible changes in moderate exercise metabolic efficiency due to long-term Calanus oil  
422 supplementation. Also, we cannot confirm whole blood and muscle content of EPA and DHA  
423 due to lack of plasma and muscle biopsy samples for this analysis. The results from the study  
424 can be generalized to apply for physically fit, middle-aged men and women.

425 **CONCLUSION**

426 Six months of Calanus oil supplementation had no effect on maximal oxygen uptake in

427 healthy, normal to overweight, 30 to 50 years old men and women.

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432 Norway Regional Health Authority. Randomization was performed by a web-based  
433 randomization system developed and administered by the Unit of Applied Clinical Research,  
434 The Faculty of Medicine and Health Sciences, Norwegian University of Science and  
435 Technology, Trondheim, Norway.

436

437 **AUTHORSHIPS**

438 All authors (LT, RENR, HD, TL, TK) were involved in the writing and final approval of the  
439 manuscript and were all involved in developing the research questions, study design, data  
440 acquisition, data analyses, and interpretation of the data.

441

442 **DECLARATION OF FUNDING OR CONFLICT OF INTEREST**

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447 analyses and writing of the article. The authors declare that they have no competing interests.

448

449

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- 625

626 Table 1. *Calanus finmarchicus* oil fatty acid composition (Wasserfurth, Nebl, Bosslau, et al.,  
627 2020)

<b>Fatty Acid</b>	<b>Name</b>	<b>Mg · 2g<sup>-1</sup> Calanus oil</b>
14:0	Myristic acid	125
15:0	Pentadeclic acid	6.5
16:0	Palmitic acid	105
16:3	-	7
18:0	Stearic acid	8
18:1n9	Oleic acid	36
18:2n6	Linoleic acid	11
18:3n3	Alpha-Linolenic acid	23
18:3n6	Gamma-Linolenic acid	3
18:4n3	Stearidonic acid	124
20:1n9	Gondoic acid	43
20:4n6	Arachidonic acid	3
20:5n3	Eicosapentaenoic acid	109
22:1n11	Cetoleic acid	70
22:5n3	Docosapentaenoic acid	8
22:6n3	Docosahexaenoic acid	87
24:1n9	-	8

628 80% of the fatty acids are present as wax esters

629

630

631 Table 2. Participant baseline demographics.

	<b>Calanus oil, n=30</b>	<b>Placebo, n=28</b>
Gender (M/F)	14 / 16	14 / 14
Age (years)	39.7 (38.0-41.4)	38.8 (36.8-40.9)
Weight (kg)	75.4 (72.1-78.8)	76.7 (71.5-82.0)
BMI (kg·m <sup>2</sup> )	24.8 (24.0-25.6)	24.8 (23.7-25.8)
Muscle mass (%)	42.8 (41.4-44.3)	43.2 (41.4-45.0)
Fat mass (%)	23.8 (21.4-26.2)	22.9 (19.9-25.9)
SBP (mmHg)	120 (116-123)	117 (112-122)
DBP (mmHg)	79 (76-81)	78 (74-82)
HR <sub>rest</sub> (beats·min <sup>-1</sup> )	61 (58-65)	59 (55-63)
Current smokers (n)	0	1
Participants using any prescription medication (n)	4	5
Physical activity status (active/inactive)	26 / 4	26 / 2

632

633 Data are reported as mean and 95% Confidence Interval (CI). Abbreviations: BMI = body  
634 mass index; SBP = systolic blood pressure; DBP = diastolic blood pressure; HR<sub>rest</sub> = resting  
635 heart rate. Training status was categorized by achieving/not achieving at least 150 min of  
636 combined weekly moderate and/or vigorous physical activity (incl. time spent walking) based  
637 on the IPAQ-7.

638

639 Table 3. Results from cardiopulmonary exercise testing at baseline, 3 months, and 6 months.

	Calanus oil			Placebo		
	Baseline	three months	six months	Baseline	three months	six months
VO <sub>2max</sub> (L·min <sup>-1</sup> )	3.79 (3.47-4.11)	3.76 (3.46-4.06)	3.74 (3.44-4.04)	3.85 (3.48-4.23)	3.77 (3.41-4.12)	3.79 (3.44-4.14)
VE <sub>max</sub> (L·min <sup>-1</sup> )	129.8 (119.1-140.5)	127.7 (116.4-139.0)	129.4 (117.9-140.8)	124.6(111.9-137.4)	123.4 (111.2-135.6)	123.0 (111.7-134.4)
HR <sub>max</sub> (beats·min <sup>-1</sup> )	187 (184-190)	186 (183-188)	186 (183-188)	184 (180-188)	185 (182-188)	183 (179-186)
HRR (beats·min <sup>-1</sup> )	33 (30-37)	33 (29-37)	35 (31-39)	33 (28-38)	31 (27-35)	32 (27-36)
RER	1.10 (1.08-1.11)	1.09 (1.07-1.10)	1.08 (1.06-1.10)	1.10 (1.08-1.12)	1.10 (1.08-1.12)	1.08 (1.06-1.11)
VE/VCO <sub>2</sub>	29.6 (28.7-30.4)	29.5 (28.6-30.4)	29.8 (28.6-31.1)	27.8 (26.9-28.8)	28.2 (27.1-29.3)	28.4 (27.3-29.5)
O <sub>2</sub> -Pulse (ml·beat <sup>-1</sup> )	20.3 (18.6-22.1)	20.2 (18.7-21.8)	20.1 (18.6-21.7)	20.9 (19.0-22.8)	20.3 (18.5-22.2)	20.5 (18.7-22.4)
Speed (km·h <sup>-1</sup> )	11.4 (10.9-12.0)	11.6 (11.0-12.2)	11.7 (11.1-12.3)	11.4 (10.8-12.1)	11.5 (10.8-12.1)	11.5 (10.9-12.2)
Incline (%)	9.9 (9.7-10.1)	10.0 (9.8-10.2)	10.0 (9.8-10.2)	9.6 (9.3-10.0)	9.9 (9.6-10.1)	9.8 (9.5-10.1)
Borg scale	18 (18-19)	19 (18-19)	19 (19-19)	18 (18-19)	19 (18-19)	19 (18-19)

640

641 Data is reported as mean and 95% Confidence Interval (CI). Abbreviations:  $VO_{2max}$  = maximal oxygen uptake;  $VE_{max}$  = maximal pulmonary  
642 ventilation;  $HR_{max}$  = maximal heart rate; HRR = heart rate recovery; RER = maximal respiratory exchange ratio;  $VE/VCO_2$  = maximal minute  
643 ventilation - carbon dioxide production relationship; O<sub>2</sub>-Pulse = maximal oxygen pulse; Speed = maximal treadmill speed; Incline = maximal  
644 treadmill inclination. Borg scale = 6-20 scale of self-perceived exercise effort

645

646 Table 4. Anthropometric and clinical data at baseline, three months, and six months.

	Calanus oil			Placebo		
	Baseline	Three months	Six months	Baseline	Three months	Six months
SBP (mmHg)	120 (116-123)	118 (115-122)	117 (113-121)	116 (112-122)	115 (111-120)	116(111-121)
DBP (mmHg)	79 (76-81)	77 (74-80)	78 (75-81)	78 (74-82)	77 (74-80)	78 (74-82)
HR <sub>rest</sub> (beats·min <sup>-1</sup> )	61 (58-65)	60 (57-63)	58 (55-61)	60 (56-63)	58 (55-62)	58 (55-61)
Body weight (kg)	75.4 (72.2-78.6)	75.6 (72.4-79.1)	75.6 (72.3-78.6)	76.7 (72.0-81.8)	76.5 (71.5-81.6)	76.8 (71.9-81.6)
Body fat (%)	23.8 (21.4-26.2)	24.1 (21.4-26.7)	23.8 (21.0-26.7)	22.9 (19.9-25.9)	23.4 (20.2-26.6)	23.1 (19.8-26.4)
Visceral fat (Cm <sup>2</sup> )	76.5 (68.4-84.6)	79.7 (70.8-88.6)	76.7 (67.1-86.2)	77.4 (65.3-89.8)	79.0 (65.9-92.1)	78.3 (64.6-92.0)
Muscle mass (%)	42.8 (41.4-44.3)	42.5 (40.9-44.1)	42.9 (41.1-44.6)	43.2 (41.4-45.0)	43.0 (41.1-45.0)	43.2 (41.2-45.2)
BMI (kg·m <sup>2</sup> )	24.8 (24.0-25.6)	24.8 (24.0-25.7)	24.8 (24.0-25.6)	24.8 (23.7-25.8)	24.8 (23.6-25.9)	24.9 (23.6-26.1)
Waist to hip ratio	0.89 (0.86-0.91)	0.89 (0.87-0.92)	0.89 (0.87-0.91)	0.90 (0.87-0.92)	0.90 (0.88-0.93)	0.90 (0.87-0.93)

647

648 Data is reported as mean and 95% Confidence Interval (CI). Abbreviations: SBP = systolic blood pressure; DBP = diastolic blood pressure; HDL  
649 = high density lipoproteins; LDL = low density lipoproteins; HR<sub>rest</sub> = resting heart rate; BMI = body mass index. SBP, DBP, and HR<sub>rest</sub> display  
650 resting measures. \* = within group difference from baseline to 6 moths (p < 0.001).

651 Table 5. Fatty acid composition of red blood cell membranes at baseline and 6 months.

Fatty Acids (%)	Calanus		Placebo	
	Baseline	Six months	Baseline	Six months
16:0 (Palmitic acid, %)	25.5 (25.0-25.9)	27.1 (26.1-28.1)	25.5 (25.2-25.8)	27.4 (26.3-28.5)
18:0 (Stearic acid, %)	20.3 (19.9-20.7)	21.7 (20.8-22.6)	20.1 (19.7-20.4)	21.5 (20.8-22.2)
18:1n9 (Oleic acid, %)	14.9 (14.5-15.3)	15.8 (15.3-16.3)	15.2 (14.8-15.5)	16.0 (15.5-16.6)
18:2n6 (Linoleic acid, %)	10.7 (10.2-11.1)	11.2 (10.7-11.8)	10.9 (10.4-11.4)	11.1 (10.6-11.5)
18:3n3 (Alpha-Linolenic acid, %)	0.18 (0.16-0.20)	0.19 (0.17-0.20)	0.17 (0.16-0.19)	0.17 (0.16-0.19)
20:3,n6 (Dihomo- $\gamma$ -linolenic acid, %)	1.66 (1.51-1.81)	1.50 (1.37-1.62)	1.63 (1.50-1.76)	1.55 (1.42-1.69)
20:4n6 (Arachidonic acid, %)	15.8 (15.2-16.5)	13.6 (12.5-14.6)	15.8 (15.1-16.4)	14.5 (13.4-15.5)
20:5n3 (Eicosapentaenoic acid, %)	1.28 (1.08-1.49)	1.28 (1.09-1.47)	1.34 (1.13-1.54)	0.90 (0.75-1.05)
22:5n3 (Docosapentaenoic acid, %)	2.91 (2.78-3.05)	2.41 (2.18-2.64)	2.95 (2.80-3.09)	2.28 (2.03-2.54)
22:6n3 (Docosahexaenoic acid, %)	6.74 (6.23-7.24)	5.11 (4.49-5.74)	6.47 (6.03-6.91)	4.50 (3.90-5.10)
Omega-3 index (%)	8.0 (7.3-8.7)	6.5 (5.7-7.3)	7.8 (7.1-8.4)	5.4 (4.6-6.1)

652 Data in mean (95% CI).

653



654 Table 6. Weekly physical activity at baseline, 3 months, and 6 months.

	Calanus oil			Placebo		
	Baseline	Three months	Six months	Baseline	Three months	Six months
Vigorous PA (min·wk <sup>-1</sup> )	90 (60-135)	90 (60-120)	105 (45-180)	120 (70-158)	80 (60-120)	155 (120-180)
Moderate PA (min·wk <sup>-1</sup> )	105 (60-240)	128 (105-160)	120 (60-180)	150 (90-240)	120 (60-240)	128 (105 – 240)
Walking (min·wk <sup>-1</sup> )	85 (40-180)	75 (60-120)	95 (40-150)	210 (120-325)	125 (60-195)	113 (75-210)*
Sitting (min·day <sup>-1</sup> )	480 (421-570)	480 (420-600)	480 (420-600)	495 (375-600)	480 (360-600)	480 (375-600)
MET-minutes·week <sup>-1</sup>	1496 (1160- 2160)	1538 (1334- 1884)	1600 (1193- 2337)	2324 (1680- 3277)	1920 (1533- 2673)	2260 (1923- 2847)

655 Data in median (95% CI). PA = physical activity; walking = weekly time spent walking; sitting = daily time spent sedentary. MET = Metabolic  
656 equivalent minutes per week. Walking time was reduced in the placebo versus the Calanus group from baseline to 6 months (\*p < 0.05).

657 **Figure legends and figure captions**658 *Figure 1. Study flowchart.*

659 The figure describes the participants' flow in the study

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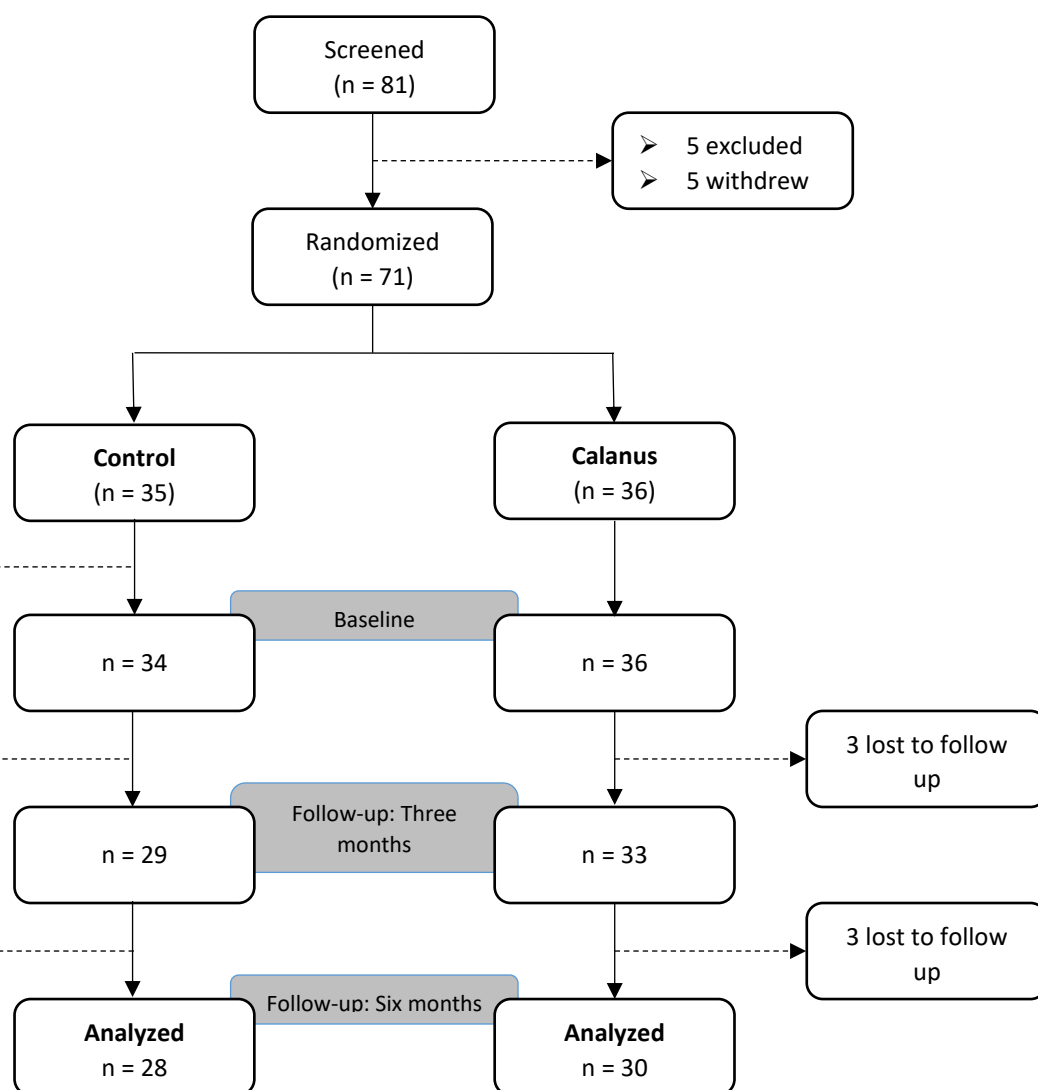
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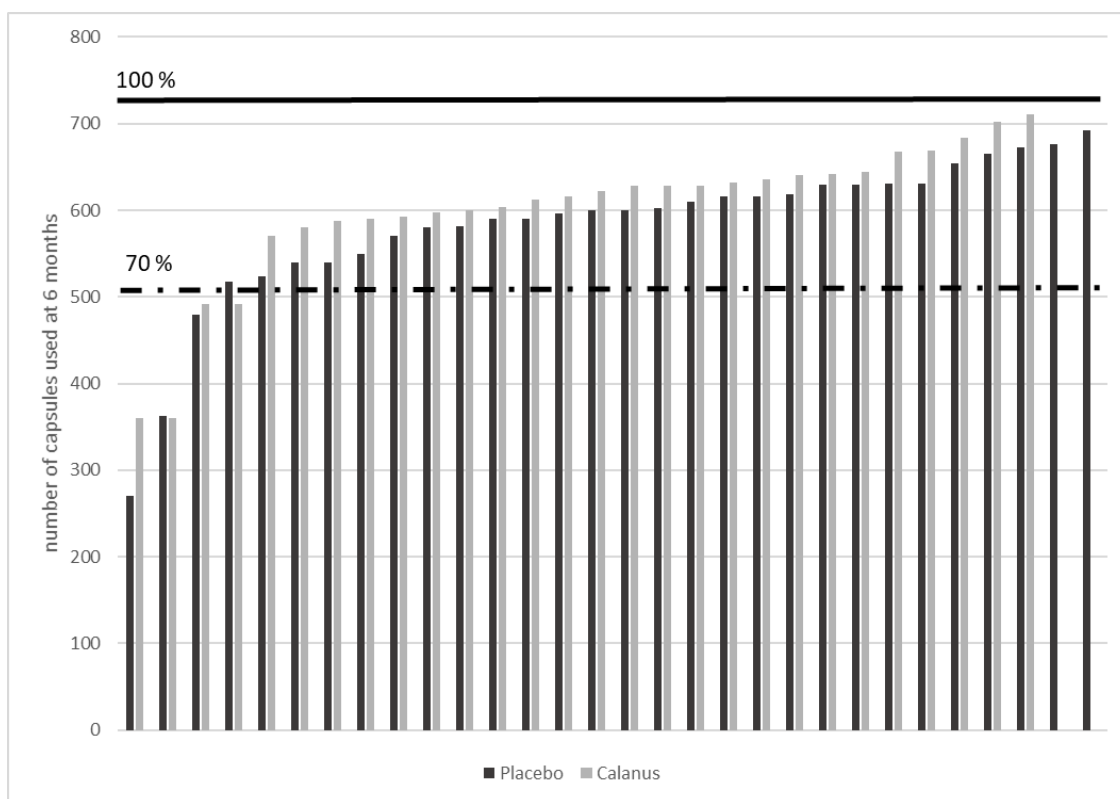
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686 *Figure 2. Individual compliance supplementation*



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689 *Individual compliance to the supplementation in the placebo (dark grey) and Calanus group*

690 *(light grey).*

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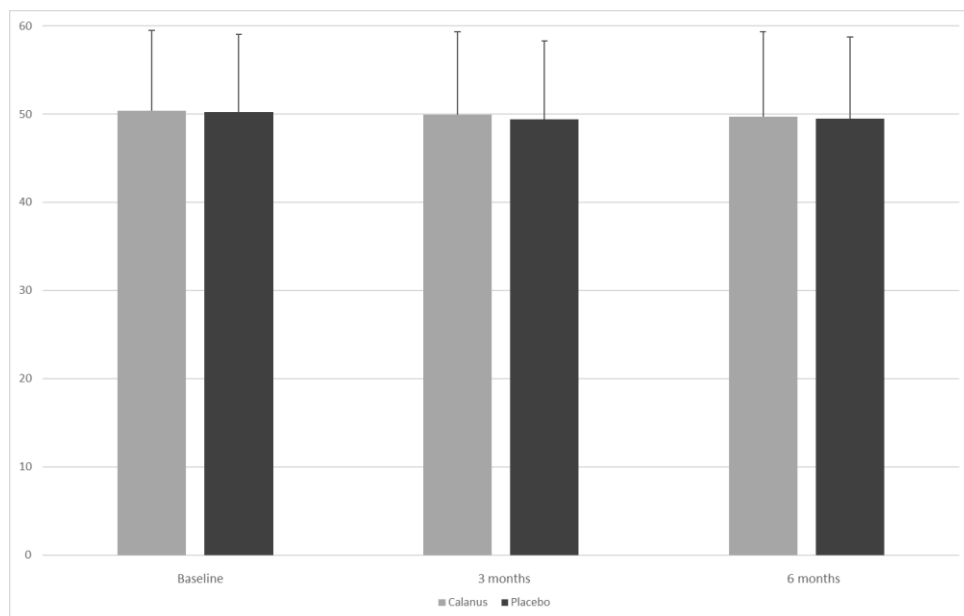
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704 *Figure 3. Maximal oxygen uptake at baseline, three months and 6 months*

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706 *Maximal oxygen uptake ( $\pm$  standard deviation) at baseline, 3 months and 6 months in the*707 *Calanus (light grey) and the placebo group (dark grey).*