

## Research Paper

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# The unequal distribution of household carbon footprints in Europe and its link to sustainability

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**Non-technical summary**

The distribution of household carbon footprints is largely unequal within and across countries. Here, we explore household-level consumption data to illustrate the distribution of carbon footprints and consumption within 26 European Union countries, regions and social groups. The analysis further sheds light on the relationships between carbon footprints and socially desirable outcomes such as income, equality, education, nutrition, sanitation, employment and adequate living conditions.

**Technical summary**

We need a good understanding of household carbon distributions in order to design equitable carbon policy. In this work, we analyse household-level consumer expenditure from 26 European Union (EU) countries and link it with greenhouse gas (GHG) intensities from the multiregional input–output database EXIOBASE. We show carbon footprint distributions and elasticities by country, region and socio-economic group in the context of per capita climate targets. The top 10% of the population with the highest carbon footprints per capita account for 27% of the EU carbon footprint, a higher contribution to that of the bottom 50% of the population. The top 1% of EU households have a carbon footprint of 55 tCO<sub>2</sub>eq/cap. The most significant contribution is from air and land transport, with 41% and 21% among the top 1% of EU households. Air transport has a rising elasticity coefficient across EU expenditure quintiles, making it the most elastic, unequal and carbon-intensive consumption category in this study. Only 5% of EU households live within climate targets, with carbon footprints below 2.5 tCO<sub>2</sub>eq/cap. Our analysis points to the possibility of mitigating climate change while achieving various well-being outcomes. Further attention is needed to limit trade-offs between climate change mitigation and socially desirable outcomes.

**Social media summary**

EU top 1% of households emit 22 times the per capita climate targets. Only 5% of EU households live within the targets.

**1. Introduction**

Emission pathways for global warming of between 1.5°C and 2.0°C require steep reductions in global net emissions, reaching 25–45% in 2030 (compared to 2010 levels), with net-zero emissions by 2050–2070 (Masson-Delmotte *et al.*, 2018). On a per-capita basis, global emissions therefore need to go down to 2.5–3.3 tCO<sub>2</sub> per capita in 2030 (Global Carbon Project, 2018; UN, 2017) or less (Girod *et al.*, 2014; O'Neill *et al.*, 2018; Tukker *et al.*, 2016). These reductions are necessary to halt climate change and would require rapid and far-reaching changes in all aspects of society, including production and consumption practices.

The current distribution of greenhouse gas (GHG) emissions is largely unequal within (Chancel & Piketty, 2015; Otto *et al.*, 2019; Wiedenhofer *et al.*, 2016) and across countries (Ivanova *et al.*, 2016). The top 10% of GHG emitters make up 34–45% of annual GHG emissions globally (Chancel & Piketty, 2015; Hubacek *et al.*, 2017). The carbon footprint of a typical super-rich household of two is estimated to be about 130 tCO<sub>2</sub>eq (Otto *et al.*, 2019), compared to a world average of 3.4 tCO<sub>2</sub>eq/cap in 2011 (Stadler *et al.*, 2018). About a fifth of the top 10% of world emitters are located in the European Union (EU) (Chancel & Piketty, 2015). The EU has an average carbon footprint of 8.2 tCO<sub>2</sub>eq/cap and constitutes 22% of global GHG emissions (Ivanova *et al.*, 2016).

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A large body of literature on household environmental impacts exists, applying various perspectives and methods to study the resource use and wastes associated with consumption (Di Donato *et al.*, 2015; Dubois *et al.*, 2019; Niamir, 2019; Rai & Henry, 2016). Prior studies have linked household expenditure surveys with environmental intensities to show the distribution of environmental footprints (Di Donato *et al.*, 2015; Ivanova *et al.*, 2017; Wiedenhofer *et al.*, 2018) and the effect of (prospective) environmental policies on different household groups (Cullenward *et al.*, 2016; Melnikov *et al.*, 2017; Rausch *et al.*, 2011). Recent developments address regional and urban sustainability (Di Donato *et al.*, 2015), with existing studies focusing on a single country or region (Büchs & Schnepf, 2013; Gill & Moeller, 2018; Girod & De Haan, 2010) or aggregated units of analysis such as income groups (Steen-Olsen *et al.*, 2016; Wiedenhofer *et al.*, 2016), regions and countries (Dubois *et al.*, 2019). For example, an analysis of the carbon footprints of 177 regions highlights the spatial heterogeneity across the EU regions (Ivanova *et al.*, 2017). While the contribution of the EU to global emissions is substantial, a detailed analysis of its carbon footprint distribution is largely missing.

To our knowledge, this is the first study to communicate carbon footprint distributions of the EU based on household-level consumption data (micro-data). The analysis covers 275,246 household surveys of between 51 and 63 different consumption categories from 26 EU countries, which is by far the most extensive to our knowledge. We combine the use of expenditure data with GHG emission intensities derived through multiregional input–output (MRIO) analysis building on prior regional-level analysis (Ivanova *et al.*, 2017). We capture differences in consumption and carbon trends between the highest and the lowest EU emitters and compare them to a per capita carbon target level of 2.5 tCO<sub>2</sub>eq/cap.

Climate change and mitigation policies have a varying impact on different segments of the population, with the consumption, livelihoods and well-being of the poorest often being most drastically affected (Rao *et al.*, 2017). Having a distributional perspective is essential for the design of mitigation policies prioritizing well-being, social protection and access to resources within planetary boundaries (Cullenward *et al.*, 2016; Rao *et al.*, 2017; Roberts *et al.*, 2020). At the same time, distributional perspectives remain hugely underexplored (Rao *et al.*, 2017). We discuss the policy implications of the EU consumption distribution for environmental and social outcomes.

Our study makes a contribution in three main ways. Firstly, it offers an overview of the carbon (and consumption) inequality in the EU and across countries, regions and social groups. Secondly, it explores the consumption trends of various household groups and discusses the carbon distribution by consumption category. Thirdly, it analyses the relationships between carbon footprints and social indicators within EU countries. We highlight the strong links between the consumption distribution and various social and environmental outcomes. Understanding these links is critical for achieving well-being within planetary boundaries.

## 2. Methods

### 2.1. Household budget surveys

Consumer expenditure data at the household level were collected from Eurostat and national statistics institutes in the form of household budget surveys (HBSs) for the year 2010. This is the

latest harmonized dataset that is available at the moment of publication of our analysis. Supplementary Information (SI) 1 and the EU quality report on the 2010 wave (Eurostat, 2015) provide more detail on sample sizes, response rates, recording period and expenditure detail. This analysis includes HBSs from Belgium (BE), Bulgaria (BG), Cyprus (CY), Czech Republic (CZ), Germany (DE), Denmark (DK), Estonia (EE), Spain (ES), Finland (FI), France (FR), Greece (GR), Croatia (HR), Hungary (HU), Ireland (IE), Italy (IT), Lithuania (LT), Luxembourg (LU), Latvia (LV), Malta (MT), Poland (PL), Portugal (PT), Romania (RO), Sweden (SE), Slovenia (SI), Slovakia (SK) and the United Kingdom (GB).

The HBSs have highly a harmonized consumption structure (based on Eurostat's Classification of Individual Consumption by Purpose (COICOP)) and year coverage (i.e., 2010 wave) (Eurostat, 2015), although some differences in the survey design and methodology remain.

Households were asked to maintain detailed expenditure diaries over a fixed period of time (generally two weeks). In addition to the expenditure diaries, HBSs include interviews collecting information about regular expenditure such as rents and energy bills and infrequent larger purchases for up to one year retrospectively (Eurostat, 2015). Sharing common accommodation and expenses is central to the definition of a *household* for all HBSs. We derived per capita expenditure and footprints by applying the real household count with the same weights to children and adults.

### 2.2. Harmonization of HBSs and EXIOBASE

The use of environmentally extended MRIO analysis for quantifying household environmental impacts to produce top-down carbon footprint estimates is quite common (Di Donato *et al.*, 2015; Ivanova *et al.*, 2017; Steen-Olsen *et al.*, 2016). The Input–Output Expenditure (IO-Expenditure; Di Donato *et al.*, 2015) approach (also referred to as Consumer Expenditure Surveys – Multiregional Input–Output (CES-MRIO) matching; Ivanova *et al.*, 2017; Steen-Olsen *et al.*, 2016) combines survey expenditure with carbon intensities from a MRIO model, which encompasses the whole economy providing goods and services to final demand.

The product-level carbon intensities in this work were derived from the EXIOBASE 3.6 database (Stadler *et al.*, 2018). EXIOBASE has a high sectoral detail and a wide range of environmental and social satellite accounts (Wood *et al.*, 2015), and version 3.6 provides input–output tables ranging from 1995 to 2016 for 44 countries (including 28 EU members) and 5 rest-of-the-world regions. Carbon footprints were calculated using the Global Warming Potential 100 (GWP100) metric communicating the amount of CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O (from combustion and non-combustion) and SF<sub>6</sub> in kgCO<sub>2</sub>-equivalents per year (Solomon *et al.*, 2007). SI1 and the Supplementary Spreadsheet provide more details on the harmonization procedure between HBSs and EXIOBASE. We focused our analysis solely on household expenditure, thus excluding other final demands such as gross capital formation and governmental spending. Therefore, we quantified the *household carbon footprint*, referred to as the *carbon footprint* (CF) from here onwards.

Despite the large sample sizes, it is common to find significant differences between total expenditure as reported in HBSs and as estimated in national accounts. Prior studies have discussed the importance of survey under-reporting (e.g., with hospitality and 'discretionary' expenditure often underestimated in surveys) (Isaksen & Narbel, 2017). Furthermore, HBSs generally record

more expenditure on food and non-alcoholic beverages, housing, water, electricity, gas and other fuels compared to the national accounts (Eurostat, 2015; Statistics Explained, 2019), which matches our over-reporting adjustments in these sectors. The analysis of extremes may suffer from under-reporting at the top of the distribution (Chancel & Piketty, 2015; Eurostat, 2015), thus underestimating the top and the mean expenditure. We estimated under- and over-spending on average by country and proportionally reallocated it to households.

### 2.3. Indirect and direct GHG emissions

We converted survey expenditure from purchaser's prices or the price paid by final consumers to basic prices (*bp*) in order to match with EXIOBASE. We utilized transport, trade and tax layers, relocating the trade and transport costs from final products to the respective sectors. We introduced a function for this conversion  $f$ , described elsewhere (Steen-Olsen *et al.*, 2016).

Direct GHG emissions occur during the use phase of products. We adopted direct emission intensities by products and countries measured in  $\text{kgCO}_2\text{eq}/\text{EUR}$  in *bp*, so that the amount of direct emissions was proportionate to the household spending on the product. For products with no (or very low) household spending, we adopted a fixed amount per capita.

We adopted a climate target of 2.5  $\text{tCO}_2\text{eq}/\text{cap}$  by 2030, which is consistent with emission pathways limiting global warming to 1.5°C (Masson-Delmotte *et al.*, 2018). Prior studies discuss per capita climate targets of 1.6–3.3  $\text{tCO}_2\text{eq}/\text{cap}$  (Bjorn *et al.*, 2018; Girod *et al.*, 2014; Global Carbon Project, 2018; O'Neill *et al.*, 2018; Tukker *et al.*, 2016; UN, 2017). The time horizon for such a budget is critical, as essentially global emissions need to go down to net-zero (Masson-Delmotte *et al.*, 2018).

### 2.4. Statistical analysis and linking to social outcomes

Expenditure elasticities (referred to as 'elasticities' hereafter) measure the responsiveness of expenditure on a certain product to a unit change in total expenditure. High elasticities of a product signal an increasing proportion of expenditure on the product with rising total expenditure, and these are common for non-necessities. Low elasticities are common for the consumption of necessities. A significant change in elasticities may reflect the shift from basic to discretionary spending (Rao & Baer, 2012), or even conspicuous consumption. For example, food-related expenditure elasticities naturally decrease for higher-expenditure quintiles across EU countries, reflecting the decrease in utility from allocating more expenditure towards food consumption. Similarly, low-income countries have a higher elasticity of food products than countries with higher income levels (Rao & Baer, 2012).

We also explore the link between GHG emissions and socially desirable outcomes (Table 1). We based the social outcomes on multidimensional indicators for a safe and just space (O'Neill *et al.*, 2018) and poverty measurement (Rao *et al.*, 2017).

## 3. Results

### 3.1. Carbon footprint distribution in the EU

The top 10%, middle 40% and bottom 50% of the population in terms of CF per capita contribute 27%, 47% and 26% of the EU total CF, respectively (Figure 1, left). That is, the top 10% of the EU population have a higher emission share than the bottom

50%. The top 1% of the EU population have a CF share as high as the bottom 18% combined, or about 6% of EU total emissions. These results are adjusted for the variation in household sizes by different emitting groups.

As household sizes among the groups vary substantially, the carbon distribution of the top 10%, middle 40% and bottom 50% of EU households is very different (Figure 1, right). The top 10% of households contribute 19% of the EU CF with an average household size of 1.7 members. The share of the middle 40% and bottom 50% of households amounts to 43% and 38%. Their average household sizes correspond to 2.1 and 2.6 members, respectively. As HBSs collect expenditures and weights per households, from here onwards we discuss results by households (corresponding to Figure 1, right). 'EU top 1%' and 'EU top 10%' refer to the 1% and 10% of EU households with the highest CF per capita, while 'EU bottom 50%' refers to the 50% of EU households with the lowest CF per capita. The rest of EU households fall within 'EU middle 40%'.

High emitters from all EU countries are among the EU top 10% emitters (Table 2). These households have an average CF of 23  $\text{tCO}_2\text{eq}/\text{cap}$  (Figure 1) and a minimum CF of 15  $\text{tCO}_2\text{eq}/\text{cap}$ . DE, GB and IT contribute to the highest share of EU emissions – 4%, 4% and 3%, respectively. As much as 36% of Luxembourg's households enter the EU top 10%, which is the highest relative share among EU countries.

The average CF of the EU middle 40% emitters amounts to 10  $\text{tCO}_2\text{eq}/\text{cap}$  (Figure 1), with CFs between 7 and 15  $\text{tCO}_2\text{eq}/\text{cap}$ . The average CF of the EU bottom 50% emitters amounts to 5  $\text{tCO}_2\text{eq}/\text{cap}$ . This is about 5 times lower than that of the EU top 10% average and 12 times lower than that of the EU top 1%.

The ranges in Figure 2 describe how concentrated the CF is within countries. Means are higher than medians for all countries, pointing to a right-skewed carbon distribution. Within countries, the top 1% of households have CFs between 15.0 (HR) and 48.3 (GR)  $\text{tCO}_2\text{eq}/\text{cap}$ , while the top 10% have CFs between 7.3 (HR) and 26.4 (LU)  $\text{tCO}_2\text{eq}/\text{cap}$  (Figure 3). The highest share of CF below 2.5  $\text{tCO}_2\text{eq}/\text{cap}$  is noted in Romania at about 53%. Other countries with a share of low-carbon households above 20% include Hungary, Latvia and Croatia. Conversely, countries such as Germany, Ireland, Greece and Luxembourg have less than 1% of households within climate targets.

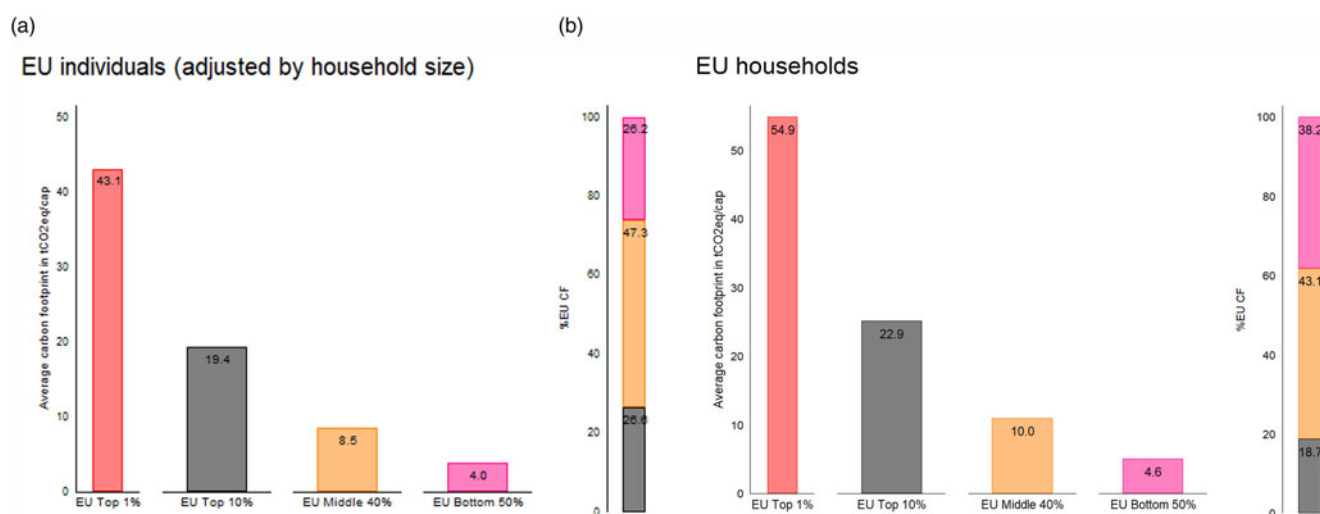
Another way of measuring carbon inequality is through CF Gini (i.e., carbon concentration indices). RO, BG and PL stand out with the highest CF Gini, at between 0.42 and 0.45, signalling their relatively unequal distribution of CFs. Even large geographical regions have more equal carbon distributions compared to countries (SI4). This is especially the case for Bulgaria and Poland, where regional Gini drop to 0.25–0.34. At the other end, Czech Republic, Slovakia and Germany have the most equal carbon distributions, with CF Gini below 0.3.

### 3.2. Carbon footprints by consumption category, expenditure and income quintiles

The EU top 10% households have a carbon intensity at 0.86  $\text{kgCO}_2\text{eq}/\text{EUR}$  on average, while that of the EU top 1% is at 0.95  $\text{kgCO}_2\text{eq}/\text{EUR}$  on average. For comparison, the average EU carbon intensity is about 0.7  $\text{kgCO}_2\text{eq}/\text{EUR}$ . The highest emitters are associated with larger shares of land and air travel GHG emissions (Figure 3). Transport use has the highest carbon intensity among the consumption categories (SI4) and shows a stable increase with expenditure and income (Figure 4). Those with the

**Table 1.** Socially desirable outcomes and definitions measured on a household level.

Socially desirable outcomes	Definitions
Income	Annual net household income in EUR per capita including non-monetary remunerations and excluding income tax
Risk of poverty and social exclusion	Below Eurostat's threshold for risk of poverty and social exclusion set at 60% of the national median disposable income (Eurostat, 2017) (dummy variable)
Fuel poverty	High share of energy expenditure, where households under fuel poverty spend more than 10% of their household income on energy costs at an income level below the national median level. Here, we aim to combine the UK's old and new fuel poverty definitions as a way to lessen some of the issues associated with a purely 'low-income, high-cost' measure of fuel poverty (for a critical analysis, see Middlemiss, 2017)
Employment	Households receiving unemployment benefits as a main source of income (dummy variable)
Education	Average educational level of the adults based on the International Standard Classification of Education (ISCED) scale (UNESCO, 2012): 0 = no formal education or below ISCED 1; 1 = primary education; 2 = lower secondary education; 3 = upper secondary education; 4 = post-secondary non-tertiary education; 5 = tertiary education first stage; 6 = tertiary education second stage
Nutrition	Consumption (annual expenditure in EUR) of fruits and vegetables. While there are more aspects to good nutrition, fruit and vegetable intake is associated with reduced risk of non-communicable diseases, improved bone health and reduced likelihood of unhealthy weight gain (European Commission, 2019)

**Fig. 1.** Carbon footprint (CF) distribution by European Union (EU) individuals (adjusted by household size) on the left and by EU households on the right. 'EU Top 10%' refers to the 10% of the EU population with the highest CFs per capita on the left plot and the 10% of households with the highest CFs per capita on the right plot. EU household weights applied.

highest CFs also have the highest expenditure and income levels, with a stronger correlation with expenditure (Figure 4).

The majority of GHG emissions of the top EU emitters are transport-related. In particular, air travel drives a CF of 22.6 tCO<sub>2</sub>e/cap among the EU top 1% households, which is about 41% of their total contribution. It is the EU top 10% of households that fly, with an average air travel CF of the rest of the population below 0.1 tCO<sub>2</sub>e/cap. The CF associated with air travel increases with rising expenditure and income (Figure 4). In fact, air travel is by far the most elastic consumption in the EU, with an expenditure elasticity of 1.5; this suggests that, as total expenditure doubles, the expenditure on air travel increases by 150% (Figure 5). The consumption category has a rising elasticity with expenditure quintile, reaching 2.0–2.7 among the highest spenders. Whilst the expenditure effect is strong, there are many households with high expenditure that avoid air travel. The lowest-expenditure quintile has an insignificant coefficient, which confirms that an increase in total spending does not result in an

increase in flying among the lowest spenders. This confirms air travel as a highly carbon-intensive luxury (Oswald *et al.*, 2020).

The trend of decreasing elasticity with rising total spending is generally present across categories, except for air travel (Figure 5). Our findings point to important expenditure thresholds for low-spending groups and decreasing elasticities for higher spenders across the consumption categories, signalling diminishing utility from further consumption of that good (Rao & Baer, 2012). In the case of air travel, we note an increasing elasticity and hence a rising shift of expenditure towards this consumption category with rising total spending.

Land travel is associated with some of the highest consumption shares among the EU top emitters (Figure 3) and spenders (Figure 4). Land travel (purchase of vehicles, transport fuels and services) drives 32% of the CF of the top 10%, making it the consumption category with the highest contribution among the biggest emitters. Nevertheless, the transport share of the lowest expenditure quintile is not negligible, with a relative importance of about 20%, only below basic needs such as food and housing (Figure 4).



**Table 2.** Share of European Union (EU) carbon footprint (CF) and households by country and emitting group.

	Share of EU CF			Share of households		
	EU top 10%	EU middle 40%	EU bottom 50%	EU top 10%	EU middle 40%	EU bottom 50%
BE	0.5%	1.4%	0.8%	11%	48%	41%
BG	0.1%	0.4%	0.7%	2%	20%	78%
CY	0.0%	0.1%	0.1%	10%	41%	49%
CZ	0.2%	1.0%	0.9%	7%	42%	51%
DE	4.2%	10.4%	5.8%	11%	46%	43%
DK	0.3%	0.8%	0.4%	11%	46%	43%
EE	0.1%	0.2%	0.1%	12%	40%	47%
ES	0.5%	2.9%	4.3%	3%	24%	73%
FI	0.5%	0.7%	0.3%	17%	45%	38%
FR	2.0%	5.3%	5.2%	7%	32%	61%
GB	4.3%	8.0%	4.3%	12%	47%	41%
GR	1.9%	1.7%	0.4%	28%	48%	24%
HR	0.0%	0.1%	0.4%	1%	10%	89%
HU	0.1%	0.2%	0.8%	2%	11%	87%
IE	0.3%	0.7%	0.2%	18%	55%	27%
IT	2.6%	5.3%	5.1%	10%	33%	57%
LT	0.1%	0.2%	0.3%	5%	25%	70%
LU	0.1%	0.1%	0.0%	36%	42%	22%
LV	0.0%	0.1%	0.2%	3%	14%	83%
MT	0.0%	0.0%	0.0%	7%	27%	65%
PL	0.2%	1.1%	4.0%	2%	15%	84%
PT	0.2%	0.7%	0.9%	5%	24%	71%
RO	0.2%	0.5%	1.4%	2%	9%	89%
SE	0.2%	0.7%	0.8%	4%	34%	62%
SI	0.1%	0.2%	0.2%	10%	32%	58%
SK	0.1%	0.3%	0.6%	3%	23%	74%
<b>EU total</b>	<b>18.7%</b>	<b>43.1%</b>	<b>38.0%</b>			

Key: 0.5% of the EU emissions are linked to the consumption of Belgian households, which are among the EU top 10%. This includes about 11% of the country's households. Shading represents the magnitude of the EU CF shares. See Section 2 for country codes.

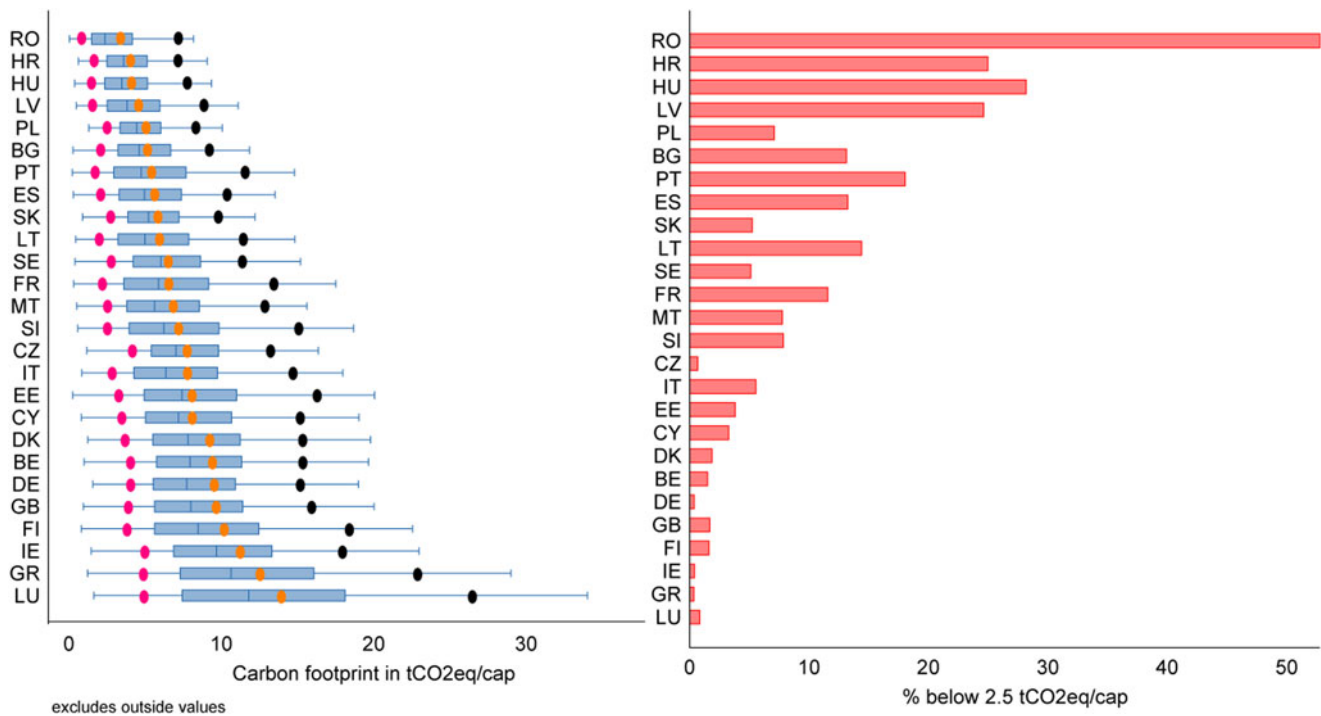
The expenditure elasticity exceeds 1 among the lowest-expenditure quintiles (Figure 5), suggesting a high inequality in the distribution of land travel, which calls attention to the importance of equity considerations, particularly in car-dependent rural areas. The elasticities drop below 1 among the biggest spenders, reflecting the more basic nature of this category at higher-expenditure levels. These results confirm transport as one of the most unequally distributed and the strongest drivers of the CF of the rich (Oswald *et al.*, 2020; Otto *et al.*, 2019).

The carbon intensity of the EU bottom 5% of households is about 0.7 kgCO<sub>2</sub>eq/EUR. This varies substantially across countries, from 0.3 kgCO<sub>2</sub>eq/EUR in Sweden to 2.0 kgCO<sub>2</sub>eq/EUR in Estonia. The EU bottom 5% show disproportionately large shares of basic consumption (Figure 3). The large differences in carbon intensity across countries give rise to substantial variation in consumption levels (SI2).

Food and housing are necessities and are thus associated with much lower expenditure elasticities than other consumption categories. The food elasticity decreases steadily across EU expenditure quintiles from 0.58 to 0.17 among the lowest and the highest quintiles, respectively (Figure 5). In the context of housing, we note a decrease in the elasticity for the middle quintiles, followed by an increase for the highest quintiles. This may reflect increases in dwelling sizes or shifts to single-family houses (Ivanova *et al.*, 2018) and in turn heating and cooling needs among the highest spenders.

### 3.3. Carbon footprints and social outcomes

Figure 6 sheds more light on the interlinkages between consumption and environmental and social outcomes. In line with previously adopted social thresholds (O'Neill *et al.*, 2018), here we



**Fig. 2.** Distribution of carbon footprints per capita (on the left) and percentage of households below 2.5 tCO<sub>2</sub>eq/cap (on the right) by country. Countries are ordered by average carbon footprint per capita (orange circles), from the lowest to the highest. The boxes describe 25th percentiles (left hinge), median and 75th percentiles (right hinge), and the whiskers describe the minimum and the maximum in the absence of outside values. The pink and grey circles describe the 10th and 90th percentiles in each country, respectively. See Section 2 for country codes.

explore desirable social outcomes such as income, access to energy, employment and nutrition. The graphs depict a wide range of socially desirable outcomes at the same level of CFs.

Income and CFs are strongly positively correlated, as highlighted by prior studies (Baiocchi *et al.*, 2010; Ivanova *et al.*, 2017; Kerkhof *et al.*, 2009; Zhang *et al.*, 2015), with a correlation coefficient of 0.65 for the whole of the EU. Figure 6 depicts several country clusters with varying slopes. The cluster with the steepest slope represent countries such as Estonia and Bulgaria, characterized by relatively low income/consumption levels and high carbon intensities per level of income. The middle cluster captures countries such as Greece and Czech Republic, with moderate carbon intensity and higher income levels. The cluster with the flattest slope consists of the countries with the highest income levels and lowest carbon intensities, such as Denmark and France. Figure 6 displays a wide variation of CFs at fixed incomes, pointing to the countries with the highest carbon efficiency per income level.

Similarly, there is a positive association between the CF and education (as previously suggested; Ivanova *et al.*, 2017), with a correlation coefficient of 0.54 for the EU. Yet, similarly to income, there is wide variation in CFs across countries at similar education levels. A positive, although weaker, association between CFs and nutrition is noted as well, with a correlation coefficient of 0.35 for the EU. We also note a negative correlation between CFs and risk of (fuel) poverty and social exclusion, as well as a weak negative correlation between CFs and living on unemployment benefits, with a coefficient of  $-0.22$ .

Figure 6 depicts associations and should not be interpreted as low emissions *causing* social challenges or vice versa. Consumption is at the root of these strong associations. Consumption of certain material goods such as food and housing is necessary for the satisfaction of material and human needs

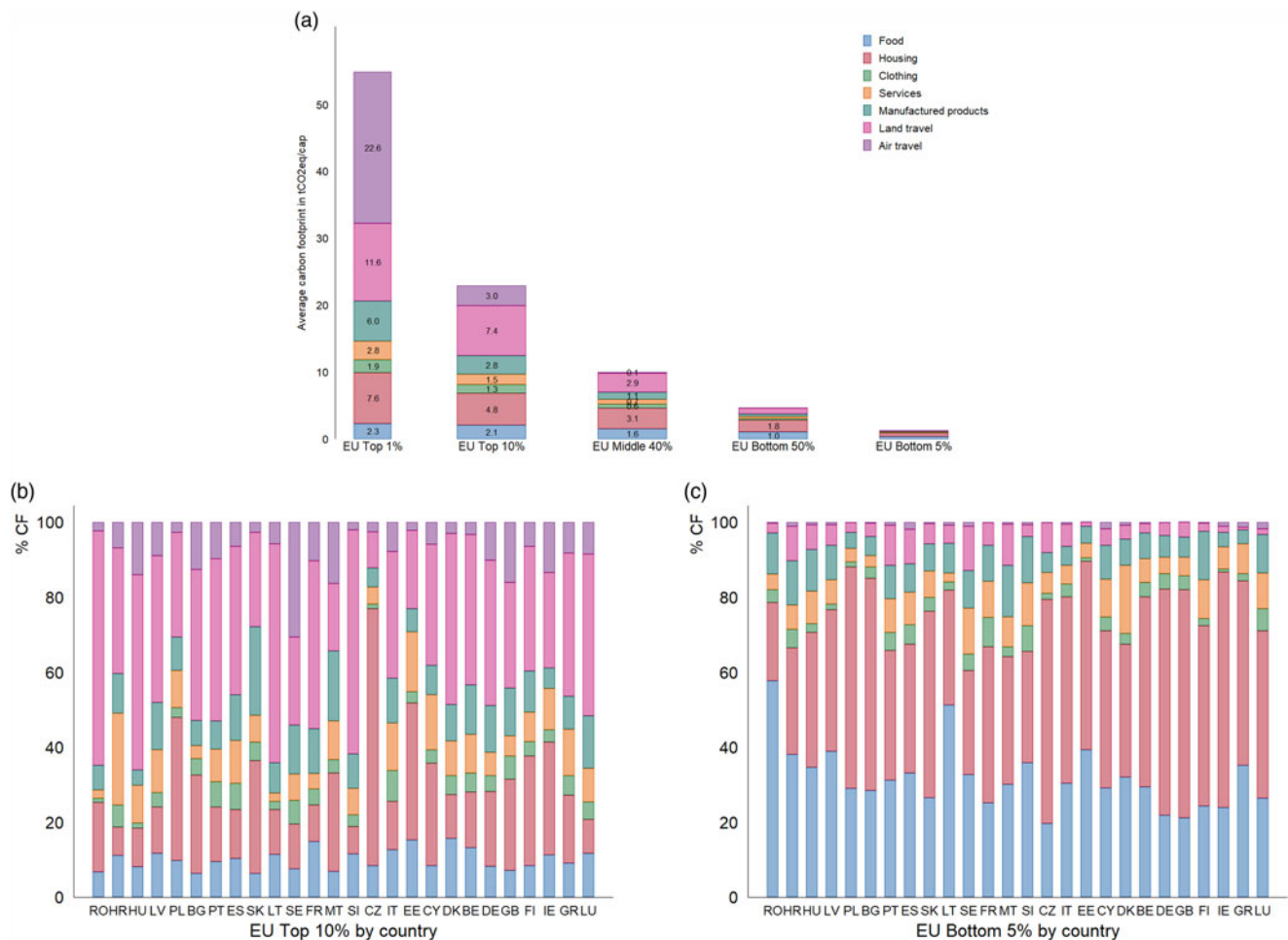
(Jackson, 2005), such as subsistence and protection and decent living standards (Rao & Min, 2018). The decarbonization of these key material goods remains a challenge for sustainability.

## 4. Discussion

### 4.1. The distribution of CFs

Here, we aim to inform EU, national and regional sustainability efforts through providing a distributional perspective on GHG emissions. Only about 5% of the EU households conform to climate targets, with CFs below 2.5 tCO<sub>2</sub>eq/cap. Our results are in agreement with prior evidence (Bjørn *et al.*, 2018; O'Neill *et al.*, 2018) that substantial decarbonization of consumption is needed to ensure a good life within planetary boundaries. With an average CF in Europe of 7.5 tCO<sub>2</sub>eq/cap (Ivanova *et al.*, 2020), there is a need to reduce the GHG intensity of consumption by a factor of 3 or more to meet climate targets (Bjørn *et al.*, 2018).

The EU top 1% emit 55 tCO<sub>2</sub>eq/cap on average, more than 22 times the 2.5-tonne target. Aviation particularly stands out, with a substantial carbon contribution and the highest expenditure elasticities for the highest emitters. The EU top 1% of households have an average CF share associated with air travel of 41%, making air travel the consumption category with the highest carbon contribution among the top emitters. Package holidays and air transport are luxury items with high energy intensity (Oswald *et al.*, 2020); at the same time, they receive extremely low policy attention, with only 1% of policies targeting aviation (Dubois *et al.*, 2019). This lack of policy focus on high-carbon polluting activities of high-income actors – who have both high responsibility and capacity for climate change mitigation – raises substantial ethical and equity concerns.



**Fig. 3.** Average carbon footprint (CF) distribution by consumption category in the European Union (EU) (top). The bottom graph depicts the average CF shares by consumption category and countries of EU top 10% emitters on the left (with CF >15 tCO<sub>2</sub>eq/cap) and EU bottom 5% of emitters on the right (with CF <2.5 tCO<sub>2</sub>eq/cap). See S14 for country averages. EU household weights applied. See Section 2 for country codes.

Land travel drives 21% and 32% of the average CF of the EU top 1% and top 10% of households, respectively. Radical emission reductions in this category require decreases in the number of vehicles and travel distance and the shift to low-carbon transport modes (Ivanova *et al.*, 2020). Research on car dependence exposes the difficulty of moving away from a car-dominated, high-carbon transport system and draws attention to the political-economic factors underpinning that dependence (Mattioli *et al.*, 2020). Moreover, the high expenditure share on land travel among the lowest EU expenditure quintile (20%) is alarming, with relative importance below only basic needs such as food and housing. In Organisation for Economic Co-operation and Development (OECD) countries, the need satisfaction and social inclusion are dependent on car use and ownership, especially in suburban and peri-urban areas built on the assumption of near-universal car access (Mattioli *et al.*, 2020). These results, as well as recent events (e.g., the yellow vest movement in France; *Le Monde*, 2018), call attention to the importance of equity considerations in transport policy.

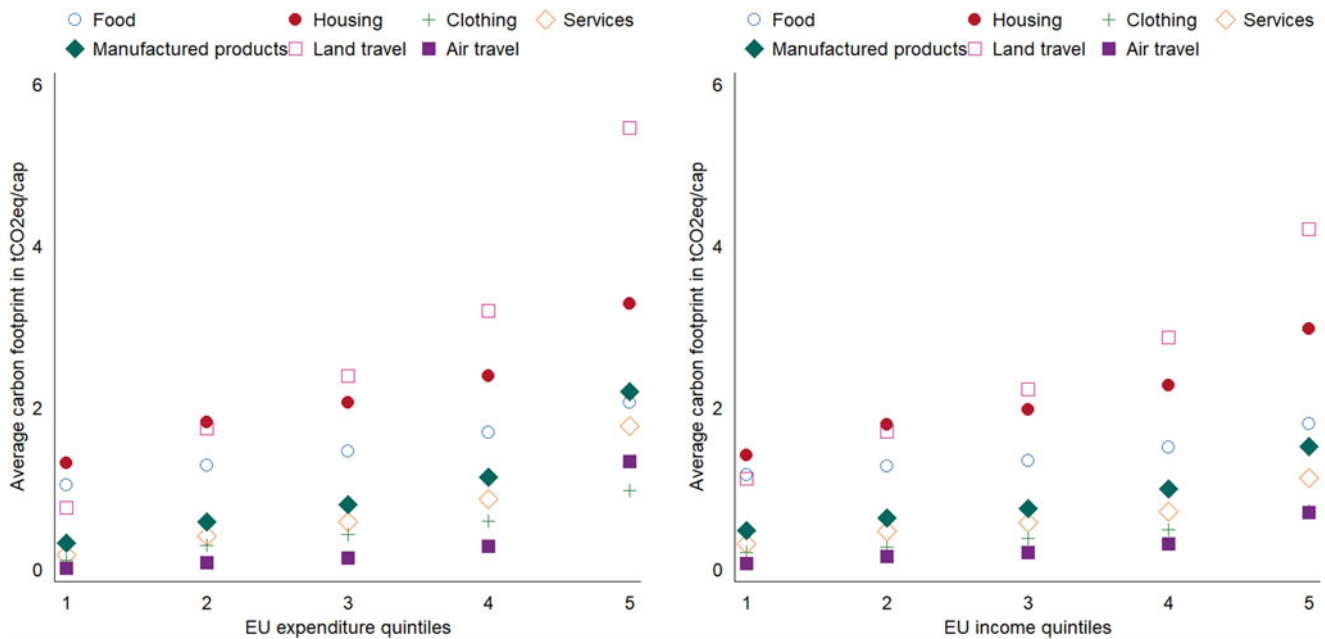
Important infrastructural, institutional and behavioural lock-ins (Seto *et al.*, 2016) and powerful forces of highly profitable (fossil fuel) industries (Fuchs *et al.*, 2016; Roberts *et al.*, 2020) constrain the transition to a low-carbon society. Giving further attention to the ways in which to overcome social and political

barriers to low-carbon consumption patterns and living is key (Ivanova *et al.*, 2020; Mattioli *et al.*, 2020; Roberts *et al.*, 2020).

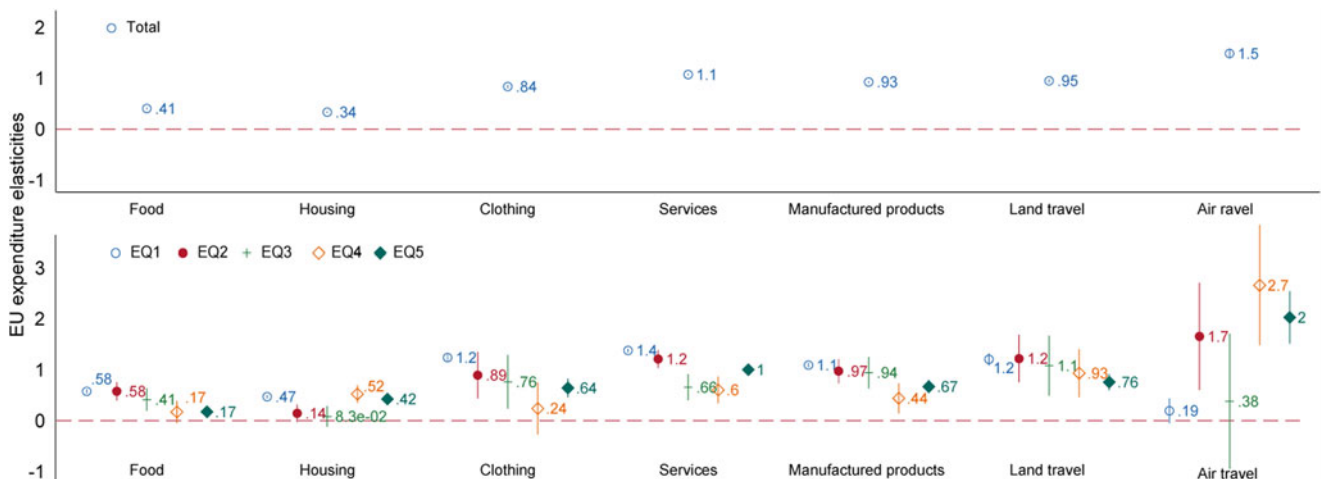
#### 4.2. Social outcomes and policy recommendations

This article complements prior cross-country analyses of biophysical boundaries and social thresholds (O'Neill *et al.*, 2018) with within-country perspectives in the EU. We explore the distribution of CFs relative to multidimensional social indicators focusing on income, education, health and living conditions, allowing for a broader measurement of poverty and equity and comparisons across the Sustainable Development Goals (Rao *et al.*, 2017). We observe wide ranges in income, education, nutrition, employment and poverty for the same levels of CFs, highlighting successful cases of low-carbon contribution and high levels of social well-being, as well as high-carbon, low-well-being cases that need further attention (Roberts *et al.*, 2020). Ensuring decent levels of physical requirements (e.g., nutrition, shelter) and social requirements (e.g., communications, mobility) (Rao & Baer, 2012; Rao & Min, 2018) for well-being should be a key consideration in the design of a fair climate policy.

More attention on equitable well-being is expected to enable gains in well-being that are compatible with the radical GHG emission reductions needed (Roberts *et al.*, 2020). A



**Fig. 4.** Average carbon footprint distribution by expenditure quintile (EQ; on the left) and by income quintile (on the right) in the European Union (EU). EQ1 contains households with annual expenditure levels below 6300 EUR in basic prices; 6300 EUR < EQ2 < 9300; 9300 EUR < EQ3 < 12,800; 12,800 EUR < EQ4 < 18,700; EQ5 > 18,700 EUR. EU household weights applied.



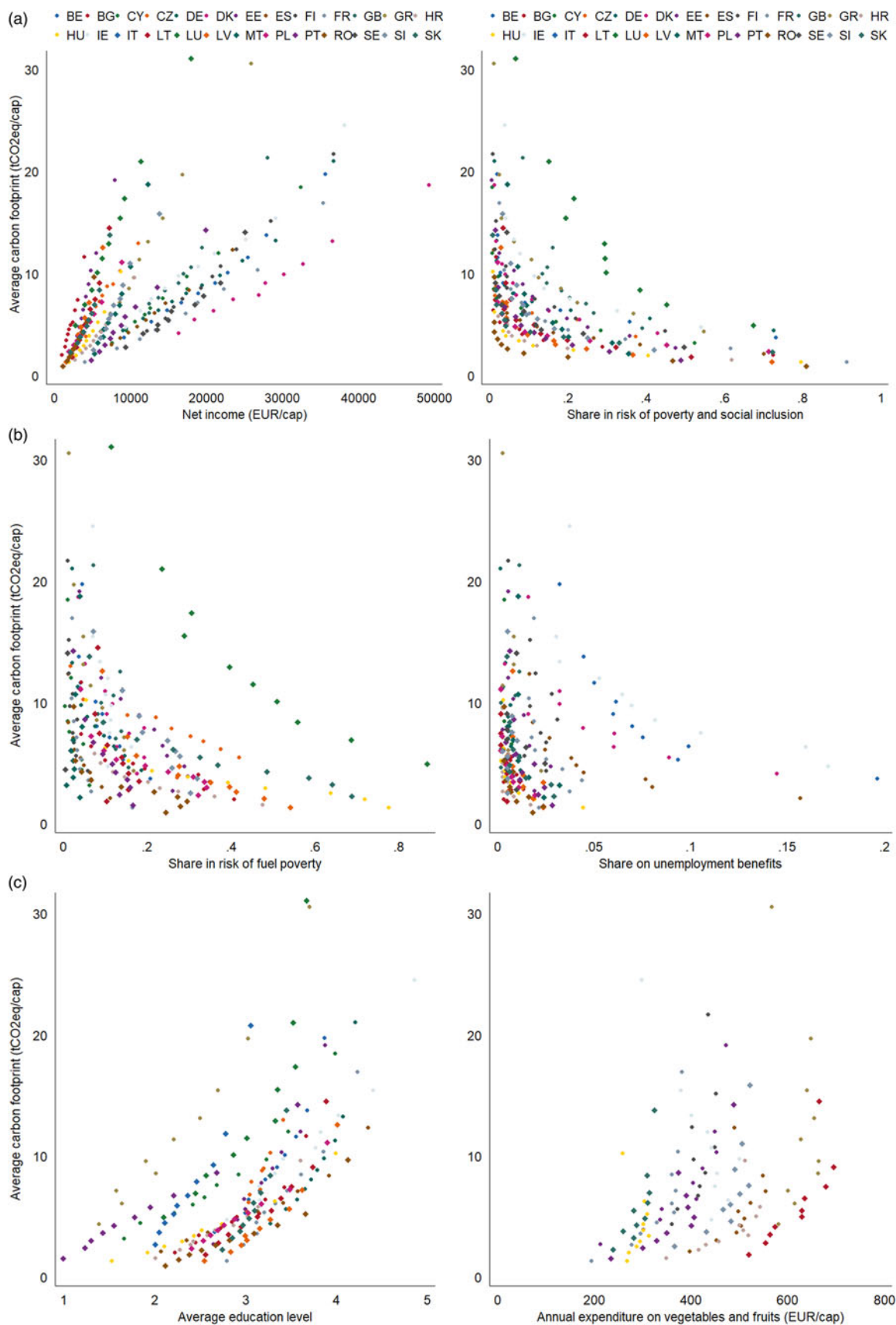
**Fig. 5.** European Union (EU) expenditure elasticities by consumption category (top) and by consumption category and expenditure quintile combined (bottom). Dependent variable = log of expenditure in a certain category; independent variable = log of total expenditure. See S13 for analysis by country. EU household weights applied. See Section 2 for country codes.

comprehensive analysis of the dynamics of the distributional impacts of climate policies and climate change impacts on various household groups is needed in order to inform mitigation and adaptation policies (Rao *et al.*, 2017), capturing household heterogeneity in consumption, income and well-being indicators. The distributional perspective within countries and regions provides an additional monitoring base, and thus a more complete picture of the beneficiaries of various actions and policies (Cullenward *et al.*, 2016; Roberts *et al.*, 2020; Steining *et al.*, 2016).

Furthermore, there is robust evidence that overconsumption and materialistic practices are not only damaging for the environment, but may also reduce psychological well-being (Brown &

Kasser, 2005; Jackson, 2005). In order to reduce trade-offs between social and environmental goals, policies should target changes in higher-order need satisfiers, such as social structures and practices, and reimagine forms of need satisfaction within environmental constraints (Mattioli, 2016). Redesigning consumption practices (Ivanova *et al.*, 2020), public spaces and social structures through voluntary simplicity (Jackson, 2005; Vita *et al.*, 2020) and sharing (Ivanova & Büchs, 2020) may reconcile lower carbon emissions and higher well-being. Collective solutions and investment in social infrastructure (see universal basic services; Coote *et al.*, 2019) hold potential to deliver the social services necessary for human well-being in coherence





**Fig. 6.** Average carbon footprints and social outcomes by expenditure decile and country. The shares on the x-axes vary from 0 to 1, corresponding to the range from 0% to 100%. See Section 2 for country codes.

with the principles of equity, efficiency, solidarity and sustainability (Coote *et al.*, 2019).

### 4.3. Limitations

The Eurostat HBSs are largely harmonized; however, differences remain in the designs, definitions and procedures. The size of the sampling error depends on the sample size (Eurostat, 2015), and the actual sample sizes are larger than the effective sample sizes for all countries except for Czech Republic and Sweden, where differences are within 10% (SI1). Certain groups of households may be difficult to access or disproportionately affected by non-response (Eurostat, 2015). In particular, the group of the super-rich may be underrepresented, with countries such as Germany excluding households with a monthly net income higher than €18,000 (Eurostat, 2015).

Consumption categories may be under- and over-reported given the mode of data collection (Eurostat, 2015). Certain products may be under-reported deliberately as more 'sensitive', or unintentionally due to recall problems (Bee *et al.*, 2012; Eurostat, 2015). Differences in expenditure may also be relatively large for infrequent purchases (e.g., private vehicles, non-work and irregular travel; Clarke *et al.*, 1981; Ivanova *et al.*, 2018; Minnen *et al.*, 2015), resulting in artificial variation among households and elevated standard deviations (Eurostat, 2015; Gill & Moeller, 2018). The tourism and transport sectors may be associated with higher uncertainty due to residents' spending abroad (Ivanova *et al.*, 2017; Usubiaga & Acosta-Fernández, 2015). Our footprints focus on household consumption and thus exclude government consumption and investment, which contribute to roughly 32% of the EU's total CF (Ivanova *et al.*, 2016).

Household expenditure is used in combination with monetary environmental intensities, which vary by product and country. This probably overestimates the environmental impact of expensive products (and wealthier individuals) and underestimates the impact of cheap products (and less wealthy individuals) (Girod & De Haan, 2010). Physical consumption data – when such data are available – may be useful in controlling for price differences across income cohorts (Girod & De Haan, 2010). Some HBSs offered physical data on food consumption (quantities in kilograms/litres), which we used to adjust the CFs across income decile groups. While indeed we calculated higher food prices for higher-income quintiles, the differences between the two approaches were not statistically significant for several of the countries. Since the physical data were only available for food and for some of the EU countries, we did not use them in the analysis.

EXIOBASE provides high product detail, depicting the global economy in 200 product classifications (Wood *et al.*, 2015). However, the product detail may still be insufficient to adequately account for the variation of environmental contributions within the same sector. For example, we could not recognize efforts towards *green consumerism* (e.g., buying a fuel-efficient car or opting for a green energy provider; Gill & Moeller, 2018). The allocation of emissions from land-use change to specific economic activities is also problematic (Hertwich & Peters, 2009).

Future work may target some of these limitations by focusing on the environmental and social implications for under-represented and under-analysed socio-demographic groups. Environmental impact assessment may benefit from higher detail of environmental intensities and physical consumption data collected through the HBSs.

## 5. Conclusions

The EU has committed to an action programme towards a good life for all within the planetary boundaries (European Commission, 2013). Such actions require mitigation efforts by all actors in society and radical reductions in CFs to meet climate targets. In our analysis of the CF of European households using household-level consumption data, we find significant inequality in the distribution of CFs. The top 10% of the EU population with the highest CFs contribute more carbon compared to the 50% of the EU population with the lowest CFs. Only 5% of the EU households live within a CF target of 2.5 tCO<sub>2</sub>eq/cap, while the top 1% of EU households have CFs of 55 tCO<sub>2</sub>eq/cap. The households with the highest CFs are by and large the households with the highest levels of income and expenditure. Even more so, we find the contributions of land and air transport to be disproportionately large among the top emitters. As land transport and, even more so, air transport are both highly carbon intensive and highly elastic, we would argue that significantly more needs to be done in these domains. Action here is likely to affect those with the highest footprints, incomes and expenditures most, but impacts on low-income groups are also key, as they have significant expenditure shares on land transport. Finally, we analyse the range in CFs in comparison to levels of social outcomes, pointing to the possibility of mitigating climate change while achieving high well-being. Further attention is needed to limit potential trade-offs between climate change mitigation and socially desirable outcomes. The distributional perspective presented in this study brings to the surface remaining key challenges to meet both environmental and social objectives. Exploring the prerequisites for living well within carbon limits is a key focus of our time.

**Supplementary information.** The supplementary material for this article can be found at <https://doi.org/10.1017/sus.2020.12>

The supplementary information includes:

- SI1: More details on the household budget survey data and harmonization procedure.
- SI2: More details on the expenditure distributions.
- SI3: Expenditure elasticities by country, consumption category and expenditure quintile.
- SI4: Carbon footprint distribution, including histograms, carbon concentration (Gini) indices, carbon intensities and footprints by consumption category and region.
- SI5: Verification of carbon footprint estimates.
- Supplementary Spreadsheet: country concordances between HBSs and EXIOBASE sectors and concordance from EXIOBASE sectors to consumption categories.

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**Author contributions.** D.I. and R.W. designed the study. D.I. assembled the data and performed the analysis. D.I. and R.W. wrote the manuscript.

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**Conflict of interest.** The authors declare no conflict of interest in the submission of this manuscript.

**Code availability.** Two codes are used in the text: one to generate carbon footprint results (MATLAB) and one to perform the statistical analysis (STATA). Code is available directly from the authors on request.

**Data availability.** The work uses the EXIOBASE database, which is released as a freely available dataset through [www.exiobase.eu](http://www.exiobase.eu). Micro-data from the HBS were acquired through Eurostat. According to the terms of use, we cannot distribute the micro-data and derived intermediate results. The data that support the findings of this study are available from the corresponding author upon reasonable request.

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