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The combined effect of potassium, sodium and calcium on the formation of alkali-silica reaction products

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25 **Abstract:**

26 Both alkalis and calcium play essential roles in the formation of alkali-silica reaction (ASR)
27 products. Investigation of their combined effect helps to better understand the conditions of
28 ASR. In this study, samples with a constant Ca/Si ratio of 0.3 but different K(or Na)/Si and
29 K/Na ratios have been synthesized at 80 °C. Experimental studies and thermodynamic
30 modelling show that a sufficient amount of K or Na is essential to initiate ASR; at low alkali
31 concentrations C-S-H is stabilized instead. However, too high alkaline concentrations (≥ 900
32 mM at K(or Na)/Si ≥ 1) also favor C-S-H formation and suppress ASR product formation.
33 The results reveal a strong effect of the alkalis (K and/or Na) on calcium concentrations and
34 on the formation of ASR products; a maximum ASR product formation is observed at Na or
35 K concentrations between 200 to 500 mM and at initial Ca/Si ratio between 0.1 and 0.4.

36

37

38 **Keywords:** alkali-silica reaction; ASR-P1; Na-shlykovite; C-S-H; thermodynamic modelling

39

40 1. Introduction

41 Alkali-silica reaction (ASR) is one of the concrete durability issues causing expansion,
42 cracking, and consequently shortening of the service life of concrete. Based on the chemical
43 composition of the ASR products reported in a number of studies [1][2][3][4], it is clear that
44 the presence of reactive silica, alkalis and some calcium are essential conditions for ASR. In
45 addition to be incorporated into ASR products, both alkalis and calcium can also maintain a
46 high pH of the solution which is necessary for dissolution and structural breakdown of
47 reactive silica. However, under certain conditions, rather calcium-silicate-hydrate (C-S-H)
48 containing some alkalis instead of ASR products forms [5][6]. This underlines the need to
49 further explore more precisely the conditions of ASR or C-S-H formation. Moreover, most of
50 the ASR mitigation approaches are based on the design of starting mixtures, such as by
51 proper use of low alkali cements and/or supplementary cementitious materials (SCMs) during
52 concrete manufacturing [7][2]. Thus a better understanding the formation conditions of ASR
53 products is also significant for the development of new approaches to mitigate ASR in
54 existing concrete structures.

55 Direct evaluation of the precise conditions for formation of ASR products in concrete is
56 difficult due to the small amount and sizes of the ASR products formed in concrete
57 aggregates. ASR products have been recently successfully synthesized in the laboratory [4],
58 which makes it feasible to further investigate the formation conditions of ASR products in
59 such model systems. In a parallel study, the effect of initial Ca/Si ratio on formation of ASR
60 products has been investigated [8]. Both experimental studies and thermodynamic modelling
61 have demonstrated that three different types of ASR products (K-shlykovite:
62 $\text{KCaSi}_4\text{O}_8(\text{OH})_3 \cdot 2\text{H}_2\text{O}$, Na-shlykovite: $\text{NaCaSi}_4\text{O}_8(\text{OH})_3 \cdot 2.3\text{H}_2\text{O}$, and ASR-P1: $\text{K}_{0.52}\text{Ca}_{1.16}\text{Si}_4$
63 $\text{O}_8(\text{OH})_{2.84} \cdot 1.5\text{H}_2\text{O}$) could form depending on the initial Ca/Si ratios and type of alkalis. The
64 results showed that all types of the ASR products tend to be converted to C-S-H at Ca/Si
65 ratios over 0.5. More specifically, for the K-containing samples, conversion of the crystalline
66 K-shlykovite to the nano-crystalline ASR-P1 and further to C-S-H was observed with
67 increasing Ca/Si ratios.

68 In addition to calcium [4][9][10][7][8], also alkalis are essential to form ASR products,
69 since ASR will not form in the absence of alkalis even if calcium hydroxide could also
70 maintain the high level of pH. Small amounts of alkalis do not necessarily lead to the
71 formation of ASR products as alkalis can be incorporated into C-S-H without damaging its
72 intrinsic structure [5][6]. Only few studies determined the minimum OH⁻ ion concentrations
73 of the pore solution (0.2 – 0.25 M) required to initiate and sustain ASR in concrete [11][12].
74 Because of the slow formation of ASR products, accelerated testing methods by boosting the
75 alkali content of cements or exposing the samples to high alkaline solution were usually
76 adopted [2]. However, severe alkali boosting might be problematic as it will mask the role of
77 alkalis from the cements [2]. As a consequence, only very few studies have focused on the
78 ASR in concrete with extensively high alkali content [2][13][14]. Interestingly, these studies
79 have shown that extensively high alkali content tend to reduce the ASR expansion in concrete
80 samples [2] and in the NaOH-activated slag mortars [13][14]. These observations could be
81 related to the reduced calcium concentration at very high pH values, as calcium is essential
82 for the formation of ASR products [10]. Other studies showed that very high alkali
83 concentration and thus very high pH values (> 13) result in C-S-H with high Ca/Si ratios
84 [15][16] without causing ASR.

85 In addition to the alkali concentration, the type of alkalis may also influence the ASR
86 expansion of concrete, as higher expansion is observed for concrete with a relatively higher
87 fraction of Na than K [17]. In fact, accelerated testing methods usually use NaOH instead of
88 KOH and it was observed that the presence of K or Na resulted in formation of different ASR
89 products, even though they have similar crystal structure [4]. Most of the cements contain a
90 higher proportion of K₂SO₄ than Na₂SO₄ [7].

91 So far, it is not completely clear which calcium and alkalis concentrations lead to the
92 formation of ASR products or C-S-H. In this study, samples with a constant initial Ca/Si ratio
93 of 0.3 but different K(or Na)/Si and K/Na ratios are investigated. After synthesis of these
94 samples at 80 °C, both solid and aqueous phases were analyzed with different techniques.
95 Thermodynamic modeling using the developed thermodynamic data for three different ASR

96 products i.e., K-shlykovite, Na-shlykovite and ASR-P1 from [8], is also employed to
97 calculate the aqueous compositions and solid phase assemblages. Although the samples were
98 synthesized at high temperature, previous studies have shown strong similarity in term of
99 chemical composition and structure between the synthesized ASR products and ASR products
100 formed in concrete aggregates [4][18], in particular that the synthesized K-shlykovite was
101 almost identical to ASR products formed in concrete aggregate after concrete prism test at
102 60 °C according to Raman spectroscopy results [4], which support the use of the synthesized
103 ASR products for further understanding ASR.

104

105 **2. Materials and methods**

106 **2.1 Sample preparations**

107 Samples with a constant Ca/Si molar ratio of 0.3 but different K(or Na)/Si and K/Na
108 molar ratios were synthesized by mixing appropriate quantities of SiO₂ (hydrophilic silica,
109 surface area 200 m²/g, from EVONIK industries) with CaO (obtained by burning calcium
110 carbonate for 12 h at 1000 °C) and analytical KOH (≥ 85% KOH basis, 92 ± 3% based on IC
111 measurements) and/or NaOH (≥ 99.9% NaOH basis) pellet as shown in Table 1 and Fig. 1. For
112 the samples containing only K as alkali source, two series of experiments with high (60 – 100
113 g per mixing) and low (30 – 50 g per mixing) water contents were prepared. For each series
114 of experiments containing either K or Na as the only alkali source, the water content was
115 somewhat increased for the samples with lower alkali/Si ratios in order to better disperse the
116 solids during mixing. For the samples containing both K and Na, same amount of water was
117 applied, as they have the same (K+Na)/Si molar ratio of 0.5.

118 All the samples were mixed in 100 mL hard polyethylene (PE-HD) bottles (from
119 Semadeni AG) and equilibrated at 80 °C for 90 days. Afterwards, samples were filtrated using
120 paper filters with mesh size of 20 µm. Roughly 5 mL solution was immediately filtered with
121 0.45 µm syringe filter for pH measurements and analysis of the solution compositions. The
122 solids were rinsed first with approximately 50 mL of 1:1 water-ethanol solution and then with
123 50 mL 94% ethanol solution in the N₂ filled glove box. The obtained solids were then

124 vacuumed dried for 7 days, and stored in N₂ filled desiccators with CO₂ absorbent to minimize
125 carbonation.

126

127 **2.2 Methods**

128 **2.2.1 Experimental methods**

129 The obtained solids were analyzed by a X-ray powder diffraction (XRD, PANalytical
130 X'pert Pro) with CoK α radiation in a θ - θ configuration. The samples were scanned with a step
131 size of 0.017° 2 θ between 5 and 90° 2 θ with the X'Celerator detector during 150 min. The ²⁹Si
132 MAS NMR spectra were recorded from two laboratories on a Bruker Avance III 400 MHz
133 (9.39T) spectrometer at 79.5 MHz at Empa in Switzerland, and on a Varian Direct-Drive
134 VNMR-600 (14.09 T) spectrometer at 119.1 MHz at Aarhus University in Denmark, using a
135 home-built CP/MAS probes for 7 mm o.d. PSZ rotors. For the 400 MHz NMR spectrometer,
136 the following parameters were applied: 4500 Hz sample rotation rate, minimum of 10240
137 scans or more, 30° ¹H pulse of 2.5 μ s, 20 s relaxation delays, RF field strength of 33.3 kHz
138 during SPINAL64 proton decoupling. For the 600 MHz NMR spectrometer, a spinning speed
139 of 6.0 kHz, a 3.0 μ s excitation pulse for $\gamma B_1 / 2\pi \approx 42$ kHz, a 60 s relaxation delay, and 2048
140 scans were employed. The ²⁹Si isotropic chemical shifts are reported relative to neat
141 tetramethyl silane.

142 The pH was measured for part of the filtrated solution at room temperature around 23 °C
143 with a Knick pH meter (pH-Meter 766) equipped with a Knick SE100 electrode. The electrode
144 was calibrated with KOH or NaOH solutions of known concentrations to minimize the alkali
145 error caused by the presence of high K and Na concentrations [19]. Another part of filtrated
146 solution was diluted in ratios of 1:10, 1:100 and 1:1000 with MilliQ water immediately after
147 filtration and used for ionic chromatography (IC) analysis. The bulk chemical composition of
148 the obtained solids is calculated by mass balance based on the chemical composition of the
149 starting materials and the chemical composition of the solution at equilibrium by taking into
150 account the bound water in the solids (wt.% of sample ignited at 980°C) measured by
151 thermogravimetric analysis (TGA). For the reported chemical compositions, the impurities of

152 the KOH pellet used have been taken into account in the mass balance; and the reported
153 errors are calculated by taking into account 10% of analytical error of the measured
154 concentrations used for mass balance.

155

156 **2.2.2 Thermodynamic modelling**

157 In this study, the PSI/Nagra general thermodynamic database [20] and the Cemdata18
158 database [21] are used to calculate the ion concentrations in the equilibrium solution and solid
159 phases precipitated. The thermodynamic data for the C-N-S-H [22] and C-K-S-H [8] as
160 summarized in Table 2 are used to predict the precipitation of C-S-H. Experimentally
161 developed thermodynamic data for Na-shlykovite, K-shlykovite and ASR-P1 from another
162 study [8] (see Table 2) are also incorporated in the GEMS codes to predict the formation of
163 ASR products. It should be noted that the general thermodynamic database [20] used describes
164 the aqueous silica complexes at high silica concentration only poorly, in particular at high
165 temperatures as temperature parameters for polynuclear silica species are not available.

166

167 **3. Results**

168 **3.1 Samples containing either K or Na**

169 **3.1.1 Phase assemblages**

170 The XRD patterns for the K- or Na-containing samples with high and low water contents
171 after 90 days of reaction are shown in Fig. 2. For K-containing samples, the formation of only
172 C-S-H is observed for the SCK₀ sample without any K as expected, together with some
173 unreacted amorphous silica as reflected by the hump observed at 26° 2θ. In case addition of
174 some K, an amorphous product is observed as the main reaction product for the samples with
175 initial K/Si ratios ranging from 0.25 to 0.75. This phase was recently described by Shi et al.
176 [4] as an nano-crystalline ASR product and named as ASR-P1: $K_{0.52}Ca_{1.16}Si_4$
177 $O_8(OH)_{2.84} \cdot 1.5H_2O$. According to the previous studies [4][8], a crystalline ASR product (i.e.,
178 K-shlykovite: $KCaSi_4O_8(OH)_3 \cdot 2H_2O$) could also form in the CaO-SiO₂-K₂O system.
179 However, K-shlykovite was only observed for the samples with initial Ca/Si ratios lower than

180 0.3 [8], which explains the absence of this phase in the present study due to the high Ca/Si
181 ratio of 0.3 used for all the samples. Further increasing K/Si ratio up to 1, ASR-P1
182 co-existing with C-S-H is observed in the SCK₁ samples with both high and low water
183 contents. The results suggest that a possible destabilization of ASR products to C-S-H can
184 occur at very high alkali content. The opposite, the conversion of C-S-H to ASR products
185 could take place when K/Si ratio is increased from 0 to 0.25 as indicated by the XRD results
186 in Fig. 2.

187 In contrast to the K-containing samples, where C-S-H is replaced by ASR-P1 at initial
188 K/Si ratio of 0.25, C-S-H remains as the main reaction product when initial Na/Si ratio is up
189 to 0.25 for Na-containing samples. With further increase of Na/Si ratio from 0.5 to 0.75, a
190 crystalline ASR product, Na-shlykovite: $\text{NaCaSi}_4\text{O}_8(\text{OH})_3 \cdot 2.3\text{H}_2\text{O}$, is formed as the main
191 reaction product. This phase has been recently identified by Shi et al. [4] to form at 80 °C in
192 the presence of Na and has a similar structure as K-shlykovite. At highest Na/Si ratio of 1,
193 C-S-H is again observed as the main reaction product, indicating a nearly full conversion of
194 Na-shlykovite to C-S-H at high Na content, in contrast to the corresponding K-containing
195 samples where ASR-P1 is only partially converted to C-S-H as shown in Fig. 2. No
196 amorphous ASR product such as ASR-P1 is observed in any of the Na-containing samples.

197 The formation of ASR-P1 in K-containing samples and Na-shlykovite in Na-containing
198 samples together with formation of C-S-H is also confirmed by ²⁹Si MAS NMR spectra on
199 the selected samples as shown in Fig. 3. For the K-containing samples, the results show that
200 mainly C-S-H with a chemical shift at -85 ppm and some unreacted amorphous silica with a
201 chemical shift at -110 ppm are present in the SCK₀ sample. At higher K/Si ratio of 0.25, the
202 intensity of the Q² sites associated with C-S-H is significantly reduced, followed by the
203 increased intensity of Q³ site with a chemical shift at -91 ppm associated with ASR-P1
204 according to our previous study [4]. ASR-P1 co-existing with C-S-H is also observed from
205 ²⁹Si NMR spectrum for the SCK_{0.75} sample, although C-S-H is not yet visible from XRD due
206 to the amorphous nature and smaller amount of the C-S-H formed in this sample.

207 For the Na-containing samples, the ^{29}Si MAS NMR spectra show the presence of mainly
208 low Ca/Si C-S-H and some traces of Q^3 at around 95 ppm from surface Si-OH species of
209 unreacted silica (-110 ppm) in the SCN_0 sample. Minor fraction of Q^2 species related to C-S-H
210 and the dominating Q^3 related to pure Na-shlykovite are observed in the $\text{SCN}_{0.5}$ sample,
211 suggesting that a nearly full conversion of C-S-H to Na-shlykovite has taken place by
212 increasing Na/Si ratio up to 0.5. At highest Na/Si ratio of 1, mainly Q^2 associated with C-S-H
213 with traces of Q^3 is observed, suggesting a phase conversion from ASR product to C-S-H. By
214 comparing the ^{29}Si NMR spectra between the samples SCN_0 and SCN_1 , around 2-3 ppm
215 chemical shift to less negative values is observed for the SCN_1 sample indicating an uptake of
216 Na in the structure of C-S-H and thus less shielding of the ^{29}Si NMR spectra as reported
217 previously [6][23].

218

219 3.1.2 Solution chemistry

220 The measured concentrations of Ca, K (or Na) and Si in the supernatants together with the
221 pH values measured at 23 °C for the K- or Na-containing samples with high and low water
222 contents are shown in Table 3 and Fig. 4. The results show that the Si concentrations of the
223 equilibrium solution are higher at higher initial K/Si or Na/Si ratios, which is due to the
224 higher K or Na concentrations and thus higher pH values of the solution, as the solubility of
225 amorphous silica is known to increase with the increase of pH [24]. For the two series of
226 K-containing samples with high and with low water contents, the concentration of K and Si
227 are higher for the samples with lower water content. However, no significant differences in
228 the pH values are observed between these two series of experiments as both K and Si
229 concentrations are increased. This effect has been also observed in another study [8]. In
230 contrast to these observations, the calcium concentrations of the equilibrium solutions are one
231 order of magnitude lower for the samples with lower water contents where high Si and K
232 concentrations were present. Moreover, the calcium concentrations decrease with increasing
233 K/Si or Na/Si as a result of the common ion effect between K (or Na), Si and Ca, similar to
234 the tendencies observed for C-(A)-S-H samples in the presence of different quantities of

235 alkali hydroxide solutions [6][25][26]. This common ion effect indicates the formation of
236 solids, which contain calcium, silicon and potassium.

237

238 **3.1.3 Thermodynamic modelling**

239 The changes in measured concentrations of the equilibrium solutions and pH values,
240 together with the phase assemblages with increasing K/Si or Na/Si ratio are predicted by
241 thermodynamic modelling as shown in Fig. 5 based on the thermodynamic data for the
242 synthesized ASR products: K-shlykovite, Na-shlykovite and ASR-P1 summarized in Table 2.
243 For comparison, the experimental data from Table 3 are also plotted in the same figure.
244 Generally, thermodynamic modelling shows similar trends for the changes in equilibrium
245 concentrations and pH values with increasing K/Si or Na/Si ratio as the experimental
246 observations. At low K/Si or Na/Si ratios, where ASR-P1 or Na-shlykovite are present, both K
247 (or Na) and Si concentrations increase in parallel, while at higher K/Si or Na/Si ratio (> 0.8)
248 where only C-S-H is predicted, the K or Na concentrations and thus also pH increases while the
249 Si concentrations remains rather constant. For the K-containing samples, the modelled pH
250 values change similarly for the two series samples at high and at low water contents. Some
251 differences in the absolute values between the calculated and measured data were observed,
252 which might be related to poorly described aqueous polynuclear silica complexes at high Si
253 concentrations and at high temperature as already observed in other studies [4][8].

254 In addition to the equilibrium concentrations, the stable solid phases are also calculated as
255 shown in Fig. 5. The results show that only ASR-P1 is predicted in the K-containing samples
256 for both high and low water contents, which agrees very well with the XRD (Fig. 2) and ²⁹Si
257 NMR (Fig. 3) observations. Na-shlykovite is predicted in the SCN_{0.5} sample, which is also
258 observed from XRD (Fig. 2) and ²⁹Si NMR (Fig. 3) results. The amount of C-S-H is predicted
259 to decrease and then increase with increasing K/Si or Na/Si ratio. The predicted minimum
260 amount of C-S-H is found to be related to the formation of maximum amount of ASR-P1 or
261 Na-shlykovite.

262

263 **3.1.4 Bulk chemical compositions of the solids**

264 Using the initial compositions of the mixtures and the measured concentrations at
265 equilibrium, the bulk compositions of the solids for the K- or Na-containing samples with both
266 high and low water contents are also calculated by mass balance as summarized in [Table 3](#) and
267 shown in [Fig. 6](#). For comparison, the chemical compositions of the K-shlykovite, ASR-P1 and
268 Na-shlykovite from another study [\[8\]](#) are also plotted in the same figure. The results show that
269 the bulk Ca/Si ratio of the obtained solids increases with the increase of initial K/Si or Na/Si
270 ratio. The observation of higher Ca/Si ratio than those of K-shlykovite, ASR-P1 and
271 Na-shlykovite support the co-precipitation of C-S-H with ASR products observed from
272 experiments and predicted by thermodynamic modelling ([Fig. 5](#)). The bulk K/Si ratios for the
273 obtained solids also increase with increasing initial K/Si ratio for the K-containing samples
274 with low water contents, while the bulk K/Si ratio of the obtained solids for the samples with
275 high water contents increases and then decreases with increasing the initial K/Si ratios. The
276 Na/Si ratio of the solids increases first and then tends to be stabilized at $\text{Na/Si} = 0.25$ at very
277 high initial Na/Si ratios. This is also in agreement with the amount of solid phases predicted by
278 thermodynamic modelling in [Fig. 5](#), which is decreasing for ASR products and increasing for
279 C-S-H (similar to K-containing samples). The maximum alkali binding capacity (K or Na) of
280 low C-S-H is about 0.25 [\[6\]](#), comparable to K/Si or Na/Si ratio of 0.25 for Na(K)-shlykovite.

281 In summary, the Na-containing samples show a similar behavior as the K-containing
282 samples: in both cases ASR products (Na-shlykovite or ASR-P1) are stabilized at
283 intermediate alkali hydroxide concentrations in the range of 200 to 500 mM (see [Table 3](#)),
284 while at lower and higher concentrations C-S-H is stabilized instead. The results also show
285 that Na-shlykovite is somewhat less stable than ASR-P1.

286

287 **3.2 Samples containing both K and Na**

288 **3.2.1 Phase assemblages**

289 In addition to the pure K- or Na-containing samples, ASR products with varying
290 combinations of K and Na in different proportions are also synthesized; all with a total

291 alkali/Si ratio of 0.5; i.e., at conditions where mainly Na-shlykovite or ASR-P1 had formed as
292 discussed above. Their XRD patterns obtained after 90 days of reaction are shown in Fig. 7
293 together with two endmembers (SCK_{0.5} and SCN_{0.5}) presented in previous sections. No major
294 differences are observed for all of these samples as ASR-P1 is the only ASR product formed
295 except for the Na-endmember (SCN_{0.5}), where Na-shlykovite is present instead. Based on the
296 results in Fig. 2, pure ASR-P1 (e.g. in sample SCK₀) and C-S-H (e.g. in sample SCK_{0.25}) can
297 be distinguished by their XRD patterns based on the slight different peak positions. In
298 addition, their XRD patterns between 30 and 35° 2θ also show different line shapes. Pure
299 C-S-H phase synthesized in this study has a narrow and strong asymmetric line shape, while
300 pure ASR-P1 show a broad and nearly symmetric line shape. Thus, the characteristic of both
301 broad and asymmetric line shape for the reaction products formed in the samples containing
302 both K and Na in Fig. 7 indicate the presence of C-S-H in addition to ASR-P1, which is also
303 confirmed by ²⁹Si MAS NMR spectra on the selected samples as shown in Fig. 8. No
304 K-shlykovite is observed in any of the samples, as the relatively high Ca/Si ratio of 0.3 favors
305 the formation of ASR-P1 [4][8]. Na-shlykovite, which is able to form at Ca/Si ratio of 0.3, is
306 not observed in any of the samples containing K, which suggests that the presence of K
307 stabilizes ASR-P1. Overall, the results suggest that ASR-P1 is a quite stable phase, which is
308 able to form at a wide range of K/Na ratios at the investigated temperature of 80 °C.

309

310 3.2.2 Solution chemistry and thermodynamic modelling

311 The measured concentrations of Ca, K, Na and Si in the supernatants together with the pH
312 values for the samples containing both K and Na with different K/Na ratios and constant
313 (K+Na)/Si ratio of 0.5 are shown in Table 4 and Fig. 9. As the total alkali concentration
314 (K+Na) is nearly constant, some variation of pH is always accompanied by change of the Si
315 concentration, since the negatively charged silicate ions affect the concentration of OH⁻ in
316 solution to charge balance Na⁺ and/or K⁺ ions. Overall, in contrast to the samples containing
317 only K or Na presented in previous sections where the equilibrium concentrations and the
318 measured pH are significantly affected by the initial alkali/Si ratios, the differences in the

319 measured concentrations and pH values are less significant for all the samples with different
320 K/Na ratios. The similar chemistry environment of the equilibrium solutions supports the
321 XRD observations that mainly one type of ASR products (i.e., ASR-P1) is formed in these
322 samples containing both Na and K.

323 Thermodynamic modelling for these samples (Fig. 10) also shows that the equilibrium
324 concentrations and pH values are expected to remain more or less constant, which is in line
325 with the experimental results. The main differences between the different samples are the
326 relative concentration of K and Na, which is increasing for K and decreasing for Na with
327 increasing initial K/Na ratios. Both the measured and predicted constant concentration of Si
328 suggests that the dissolved amount of silicon is mainly controlled by the formation of
329 ASR-P1 and thus by the total alkali content and pH. Also some C-S-H is expected to be
330 present in all of the samples. The calculated changes in the K and Na concentrations in the
331 equilibrium solutions agree well with experimentally observed changes. Also the presence of
332 a comparable amount ASR-P1 is predicted for all of these samples as the only type of ASR
333 product, except for the sample with no (or very low) K content.

334

335 **3.2.3 Bulk chemical compositions of the solids**

336 Based on the initial composition and the measured concentration of the equilibrium
337 solutions, the bulk compositions of the solids for the samples containing both K and Na are
338 calculated by mass balance as summarized in Table 4 and shown in Fig. 11. Generally, the bulk
339 Ca/Si ratios are above 0.3 as shown in Table 4, which are higher than Ca/Si ratio of shlykovite
340 and ASR-P1 without Na, and support the presence of some C-S-H in the samples. The results
341 in Table 4 also show an increase in bulk K/Si ratio and a decrease in Na/Si ratio with increasing
342 initial K/Na ratio. However, the Ca/(K+Na) ratios remain more or less constant except for the
343 SCK_{0.38}N_{0.12} sample.

344

345 4. Discussion

346 The effect of alkali/Si ratio on the formation of ASR products is similar for both K- and
347 Na-containing samples. ASR products form at intermediate alkali contents, while at low and
348 high alkali contents rather C-S-H is stable. At higher initial alkali/Si ratio of 1, ASR products
349 are destabilized to C-S-H, and co-precipitation of ASR product with C-S-H for K-containing
350 sample or formation of only C-S-H in Na-containing is observed. In fact, few studies have
351 demonstrated that ASR expansion could be lowered after extensively boosting the alkalis
352 [2][13][14], in particular for the alkali-activated slag mortars which contain less calcium than
353 Portland cement [13][14]. The present study indicates that the reduced ASR expansion at
354 very high alkali content [2][13][14] is likely due to formation of C-S-H instead of ASR
355 products. The destabilization of ASR products to C-S-H has also been observed in some other
356 studies [3][4][8][27][28] and has been attributed to excess amount of calcium due to the
357 increased initial Ca/Si ratio of the model system [4][8][27], or to the ingress of calcium from
358 its environment in the case of ASR products found near the cement paste of concrete
359 [3][28][18].

360 The opposite, the conversion of C-S-H to ASR products could take place when alkali/Si
361 ratio is increased from 0 up to over 0.25 as indicated by the XRD results in Fig. 2. Several
362 studies in model systems stated that C-S-H was firstly formed and then converted to ASR
363 products after portlandite was depleted [29][30][27][31]. However, this phenomenon has
364 been so far only identified in model system, where the solutions are initially saturated with
365 portlandite, which may be different from the actual sequence of ASR in concrete. The present
366 study indicates that the formation sequence of ASR products and C-S-H in model system is
367 dependent on the relative amounts of alkalis (K or Na) and of Ca, which can be controlled
368 when mixing the materials in laboratory studies. However, in real concrete K^+ and/or Na^+
369 ions may enter easier and faster into aggregate due to its smaller radius of hydrated ions
370 compared to the hydrated Ca^{2+} ions [32]. In addition, K^+ and Na^+ concentrations in the pore
371 solution are much higher than Ca concentrations [33][34], which also eases the transport of
372 alkalis into the aggregates. Thus, it is likely that ASR products are firstly formed within the

373 aggregates in concrete, followed by gradual uptake of calcium and further conversion to
374 C-S-H as evidenced in many studies by the increased Ca/Si of the reaction products away
375 from the center of aggregates [3][28][18]. In addition, it can be expected that the presence of
376 other ions in concrete such as aluminum, lithium as well as the limited availability of water
377 and temperature history would play a further role, indicating the needs of more dedicated and
378 systematic work to reveal the mechanism of ASR.

379

380 **5. Conclusions**

381 The presence of K and/or Na together with a limited amount of Ca is essential to form
382 ASR products. Different ASR products are formed at 80 °C with different types of alkalis.
383 For the K-containing samples, a nano-crystalline ASR product, ASR-P1 ($K_{0.52}Ca_{1.16}$
384 $Si_4O_8(OH)_{2.84} \cdot 1.5H_2O$), is observed. In none of the samples is K-shlykovite ($KCaSi_4O_8$
385 $(OH)_3 \cdot 2H_2O$) observed, as the relatively high initial Ca/Si ratio of 0.3 stabilizes rather
386 ASR-P1 than K-shlykovite. In contrast, in the Na-containing samples a crystalline ASR
387 product, Na-shlykovite ($NaCaSi_4O_8(OH)_3 \cdot 2.3H_2O$), is formed as Na-shlykovite is slightly
388 more stable than K-shlykovite.

389 The formation of Na-shlykovite is observed only at $Na/Si > 0.25$, while in the
390 K-containing systems ASR-P1 is formed at lower K/Si ratios. In the presence of K, ASR-P1
391 is stabilized instead of Na-shlykovite, such that in all samples containing both K and Na,
392 ASR-P1 is dominant solid formed, indicating that ASR-P1 is more stable than shlykovite at
393 Ca/Si ratios above 0.25 in agreement with our previous observation [8]. Na-shlykovite is
394 observed experimentally only in the absence of K.

395 Both IC analysis and thermodynamic calculations show that the increase of the initial
396 K(or Na)/Si ratios leads to an increase in pH values and K(or Na) concentrations, but to a
397 reduction in Ca concentrations. As a result, the bulk Ca/Si ratios of the obtained solids
398 increase with increasing initial K(or Na)/Si ratio. In comparison, the increase of the bulk K(or
399 Na)/Si ratio in the obtained solids with increasing the initial K(or Na)/Si ratio is limited and
400 even reduced, for instance for the K-containing samples with high water contents as in

401 addition to ASR products C-S-H is formed. No obvious changes in solution chemistry and
402 solid compositions are observed for the samples containing both K and Na, as also predicted
403 by thermodynamic modelling.

404 At a fixed initial Ca/Si ratio of 0.3, ASR products form at intermediate alkali contents,
405 while at low and high alkali contents rather C-S-H and/or amorphous silica are stable. At a
406 fixed alkali/Si ratio of 0.5, ASR products are formed at intermediate Ca/Si ratios from 0.1 to
407 0.4; at lower Ca/Si ratio SiO₂ is expected to dominate while at higher Ca/Si ratio more C-S-H
408 is present. Together as indicated in [Fig. 12](#), Ca/Si, K/Si and Na/Si ratios at which maximum
409 ASR product formation can be expected.

410

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418 Guoqing Geng for helpful discussions; and to Yiru Yan for analyzing the actual KOH content
419 of the used KOH pellets.

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502
503

504 Table 1

505 Starting materials and mixing proportions for the samples.

Samples	SiO ₂	CaO	NaOH	KOH	H ₂ O ^a	water/solid	Ca/Si	(K+Na)/Si	K/Na
	g	g	g	g	g	g/g	mol/mol		
CaO-SiO ₂ -K ₂ O with high(low) water contents									
SCK ₀	4	1.12	-	0	100	19.5	0.3	0	-
SCK _{0.25}	4	1.12	-	0.94	100(50)	16.5(8.25)	0.3	0.25	-
SCK _{0.5}	4	1.12	-	1.87	60(30)	8.6(4.3)	0.3	0.5	-
SCK _{0.75}	4	1.12	-	2.8	60(30)	7.6(3.8)	0.3	0.75	-
SCK ₁	4	1.12	-	3.74	60(30)	6.8(3.4)	0.3	1	-
CaO-SiO ₂ -Na ₂ O with only high water contents									
SCN ₀	4	1.12	0	-	100	19.5	0.3	0	-
SCN _{0.25}	4	1.12	0.67	-	100	17.3	0.3	0.25	-
SCN _{0.5}	4	1.12	1.33	-	60	9.3	0.3	0.5	-
SCN _{0.75}	4	1.12	1.99	-	60	8.4	0.3	0.75	-
SCN ₁	4	1.12	2.66	-	60	7.7	0.3	1	-
CaO-SiO ₂ -K ₂ O-Na ₂ O									
SCK _{0.455} N _{0.045}	4	1.12	0.12	1.69	60	8.6	0.3	0.5	10
SCK _{0.43} N _{0.07}	4	1.12	0.19	1.6	60	8.7	0.3	0.5	6
SCK _{0.38} N _{0.12}	4	1.12	0.33	1.4	60	8.7	0.3	0.5	3
SCK _{0.30} N _{0.20}	4	1.12	0.53	1.12	60	8.8	0.3	0.5	1.5
SCK _{0.25} N _{0.25}	4	1.12	0.67	0.93	60	8.9	0.3	0.5	1
SCK _{0.17} N _{0.33}	4	1.12	0.88	0.62	60	9.1	0.3	0.5	0.5

506 ^a Two series of samples were prepared for the K-containing samples with low (30 – 50) and high (60 –
507 100) water contents.

508

509

510

511 Table 2.

512 Solubility products for the C-(N-)K-S-H solid solution and three ASR products at 1 atm.

Phases ^a	Log ₁₀ K _{S0} ^b	Ref
<i>Solubility products for the C-(N-)K-S-H solid solution at 25 °C</i>		
T2C [*] : C _{3/2} S ₁ H _{5/2}	-11.6	[22]
T5C [*] : C _{5/4} S _{5/4} H _{5/2}	-10.5	[22]
TobH [*] : C ₁ S _{3/2} H _{5/2}	-7.9	[22]
INFCN: C ₁ N _{5/16} S _{3/2} H _{19/16}	-10.7	[22]
INFCK: C ₁ K _{5/16} S _{3/2} H _{19/16}	-11.2	[8]
<i>Solubility products for the ASR products at 80 °C</i>		
K-shlykovite: KCaSi ₄ O ₈ (OH) ₃ ·2H ₂ O	-25.8 ± 2.0 ^c	[8]
ASR-P1: K _{0.52} Ca _{1.16} Si ₄ O ₈ (OH) _{2.84} ·1.5H ₂ O	-27.1 ± 1.1 ^c	[8]
Na-shlykovite: NaCaSi ₄ O ₈ (OH) ₃ ·2.3H ₂ O	-26.5 ± 2.0 ^c	[8]

513 ^a For the nomenclature of C-(N-)K-S-H, the cement chemistry term is used, i.e., C = CaO, N = Na₂O,
 514 K = K₂O, S = SiO₂ and H = H₂O. Extrapolation from 25 to 80°C is done using the tabulated entropy
 515 and heat capacity values as detailed in [22] and [8].

516 ^b The solubility products refer to the solubility with respect to the species SiO₂⁰, OH⁻, H₂O, Ca²⁺, K⁺ and
 517 Na⁺.

518 ^c The solubility product of ASR products refer to: K_{S0,K-shlykovite} = {K⁺} · {Ca²⁺} · {SiO₂⁰}⁴ ·
 519 {OH⁻}³ · {H₂O}²; K_{S0,Na-shlykovite} = {Na⁺} · {Ca²⁺} · {SiO₂⁰}⁴ · {OH⁻}³ · {H₂O}^{2.3}; K_{S0,ASR-P1} =
 520 {K⁺}^{0.52} · {Ca²⁺}^{1.16} · {SiO₂⁰}⁴ · {OH⁻}^{2.84} · {H₂O}^{1.5}.

521

522 **Table 3**

523 The measured dissolved concentrations in the equilibrium solutions and compositions of the
 524 solids for the K- or Na-containing samples with high and low water contents, together with
 525 the phases identified in these samples by XRD and ²⁹Si NMR.

Samples	Si	K	Ca	pH ^a		Ca/Si	K(or Na)/Si	Bound water	Identified phases	Log ₁₀ K _{SO} ^b
	mM	mM	mM	23 °C	80 °C	Solids (mol/mol)		wt%		ASR-P1
K containing samples with high water contents										
SCK ₀	0.9	≤ 0.002	0.98	9.5	8	0.30 ± 0.01	-	14.1	C-S-H	-
SCK _{0.25}	6.9	28.1	0.03	10.7	9.2	0.30 ± 0.01	0.19 ± 0.01	10.3	ASR-P1	-25.5
SCK _{0.5}	135	228	0.33	12.2	10.7	0.34 ± 0.01	0.31 ± 0.03	13.3	ASR-P1	-26.5
SCK _{0.75}	308	553	0.34	13.1	11.7	0.41 ± 0.02	0.32 ± 0.08	15.9	ASR-P1+C-S-H	-28.4
SCK ₁	448	983	0.09	13.5	12	0.49 ± 0.04	0.16 ± 0.16	18.9	ASR-P1+C-S-H	-29.9
K-containing samples with low water contents										
SCK _{0.25}	38.8	42.3	0.05	10.8	9.3	0.31 ± 0.01	0.21 ± 0.01	12.3	ASR-P1	-24.9
SCK _{0.5}	399	421	0.1	12.4	10.9	0.36 ± 0.01	0.36 ± 0.02	15.9	ASR-P1	-27.3
SCK _{0.75}	603	922	0.02	13.4	11.9	0.41 ± 0.01	0.44 ± 0.07	17.2	ASR-P1	-30.1
SCK ₁	858	1446	0.03	13.6	12.2	0.47 ± 0.03	0.54 ± 0.13	18.4	ASR-P1+C-S-H	-30.4
Na-containing samples with low water contents										
SCN ₀	3.7	≤ 0.01	1	9.2	7.7	0.30 ± 0.01	0	16.6	C-S-H	-
SCN _{0.25}	99	82	0.32	11.1	9.7	0.35 ± 0.01	0.15 ± 0.02	20	C-S-H	-
SCN _{0.5}	442	382	0.07	11.7	10.2	0.49 ± 0.04	0.27 ± 0.07	21.3	Na-shlykovite+C-S-H	-26.8
SCN _{0.75}	427	632	0.01	12.9	11.4	0.48 ± 0.03	0.31 ± 0.12	22.7	Na-shlykovite	-29.1
SCN ₁	659	987	0.02	13.1	11.6	0.71 ± 0.11	0.32 ± 0.29	26	C-S-H	-

526 ^a The pH values have been measured at 23°C and corrected for the effect of temperature on measured
 527 pH values by deducing 1.47 pH units to account for the strong decrease of measured pH values at
 528 higher temperature of 80 °C even at constant OH⁻ concentrations.

529 ^b At high total Si concentration, polynuclear Si-species dominate the solution; their speciation and
 530 stability at higher temperature is not well known, which associates the obtained solubility products with
 531 an increased error. The solubility products of ASR-P1 and Na-shlykovite calculated are added for
 532 comparison only.

533

534 **Table 4**

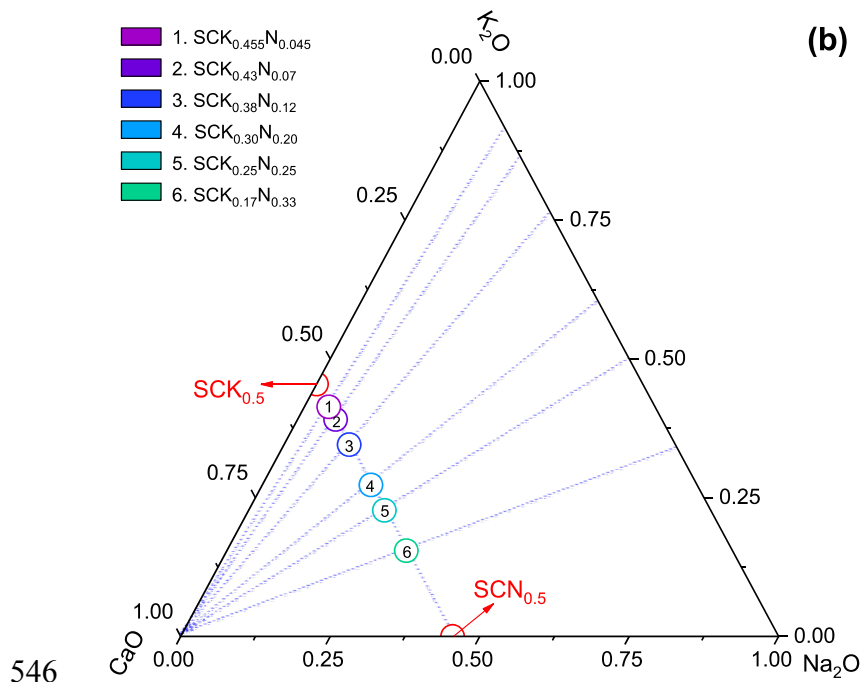
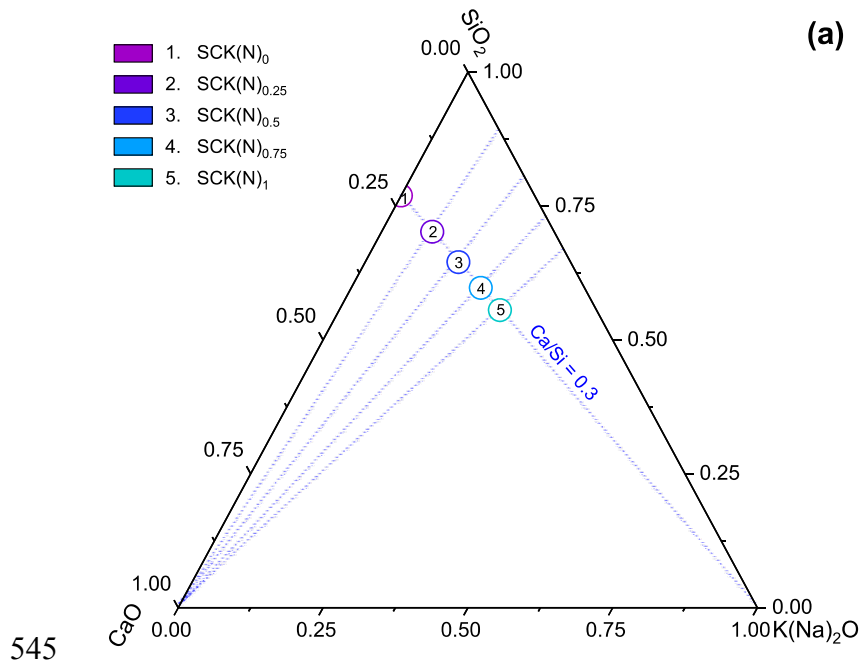
535 The measured dissolved concentrations in the equilibrium solutions and compositions of the
 536 solids for the samples containing both K and Na together with the phases identified in these
 537 samples by XRD.

Samples	Si	Na	K	Ca	pH _{cal}		Ca/Si	K/Si	Na/Si	Bound water wt%	Identified phases	Log ₁₀ K _{S0} ^b
	mM	mM	mM	mM	23 °C	80 °C ^a	Solids (mol/mol)					ASR-P1
SCK _{0.455} N _{0.045}	241	17.9	224	0.03	11.9	10.4	0.38 ± 0.01	0.28 ± 0.03	0.04 ± 0.01	16.5	ASR-P1	-27.2
SCK _{0.43} N _{0.07}	227	32.2	198	0.11	11.9	10.4	0.38 ± 0.01	0.27 ± 0.03	0.05 ± 0.01	17.1	ASR-P1	-26.6
SCK _{0.38} N _{0.12}	400	92	228	0.02	11.6	10.1	0.46 ± 0.03	0.22 ± 0.05	0.07 ± 0.01	16.0	ASR-P1	-27.4
SCK _{0.30} N _{0.20}	215	119	110	0.04	12.0	10.6	0.37 ± 0.01	0.22 ± 0.02	0.12 ± 0.01	17.3	ASR-P1	-27.5
SCK _{0.25} N _{0.25}	196	149	71.2	0.02	12.1	10.7	0.36 ± 0.01	0.20 ± 0.01	0.14 ± 0.01	16.4	ASR-P1	-28.0
SCK _{0.17} N _{0.33}	177	193	19.9	0.04	12.1	10.7	0.36 ± 0.01	0.16 ± 0.01	0.19 ± 0.01	17.6	ASR-P1	-28.0

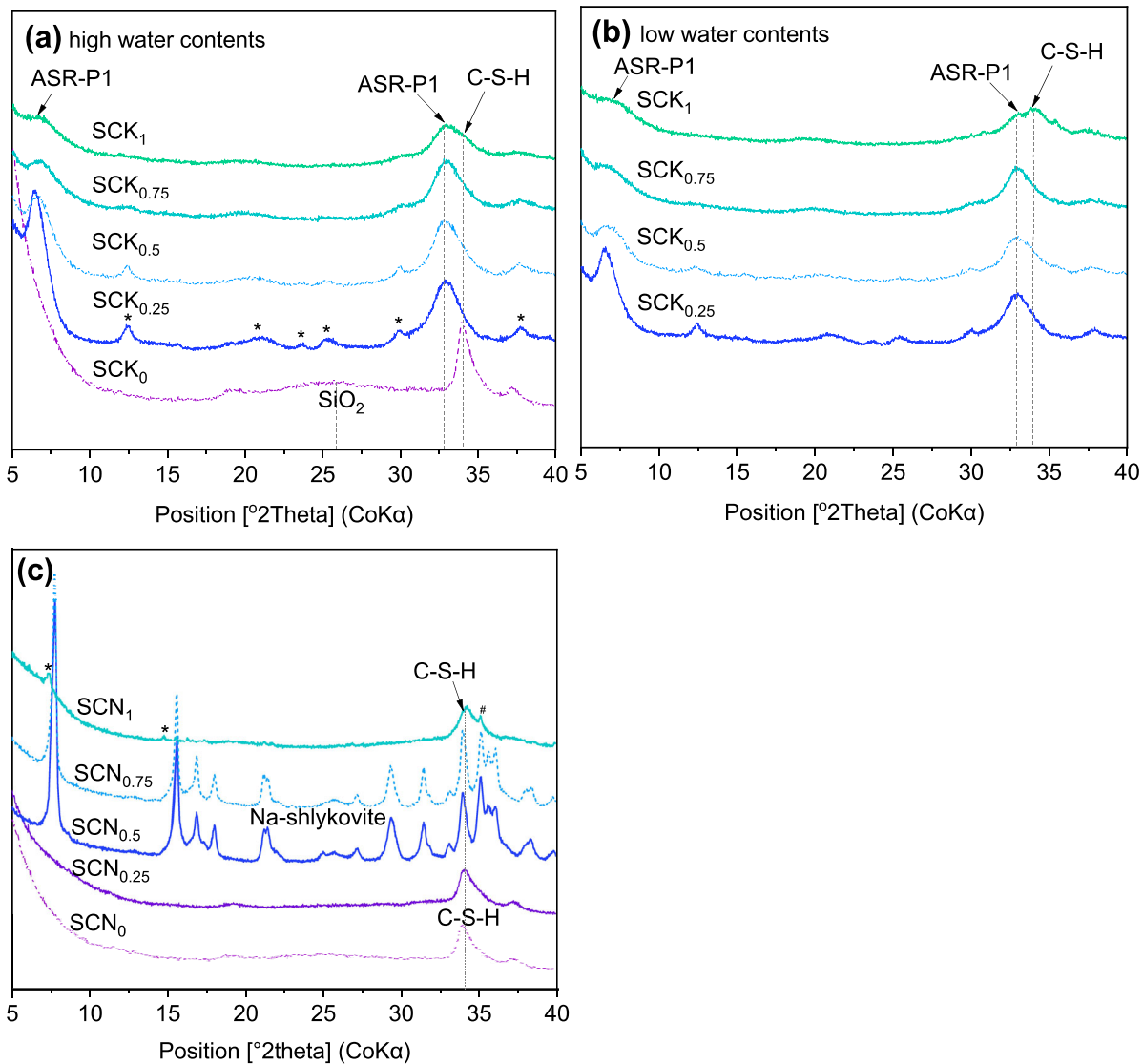
538 ^a The pH values have been measured at 23 °C and corrected for the effect of temperature on measured
 539 pH values by deducing 1.47 pH units to account for the strong decrease of measured pH values at
 540 higher temperature of 80 °C even at constant OH⁻ concentrations.

541 ^b At high total Si concentration, polynuclear Si-species dominate the solution; their speciation and
 542 stability at higher temperature is not well known, which associates the obtained solubility products with
 543 an increased error. The solubility products of ASR-P1 calculated are thus added for comparison only.

544



547 **Fig. 1.** Bulk chemical compositions (units in molar fraction) of the starting materials
 548 projected in ternary diagram for (a) the K- or Na-containing samples, and (b) the samples
 549 with different K/Na ratios including the two end-members from (a).
 550

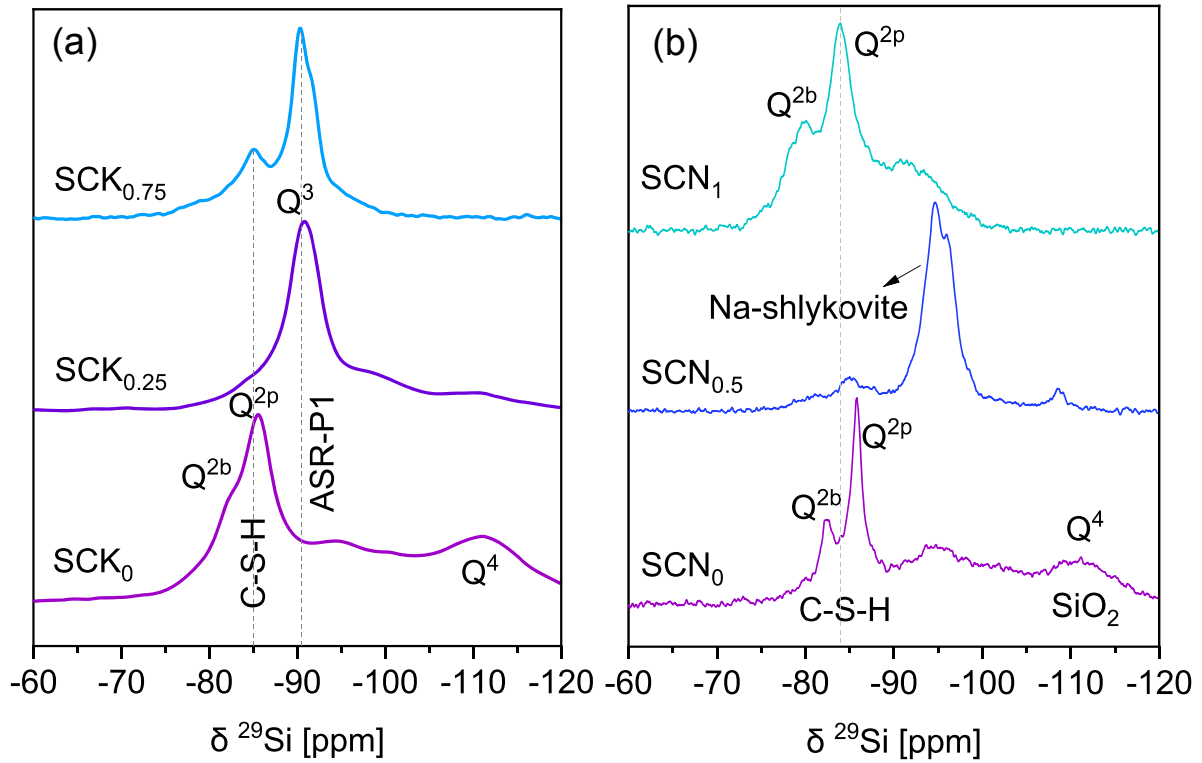


551

552

553 **Fig. 2.** XRD patterns for the solids obtained after 90 days of reaction for the K-containing
 554 samples with (a) high and (b) low water contents, and (c) for the Na-containing samples with
 555 high water contents. Note: the asterisk (*) designates the unidentified peaks; the pound sign
 556 (#) indicates the presence of natrite (Na₂CO₃, PDF# 98-006-8104) due to a slight carbonation
 557 of the alkaline solutions. C-S-H: calcium-silicate-hydrate; ASR-P1: a nano-crystalline ASR
 558 product described in [4]. Na-shlykovite is the only crystalline product formed in
 559 Na-containing samples with Na/Si ratio of 0.5 and 0.75.

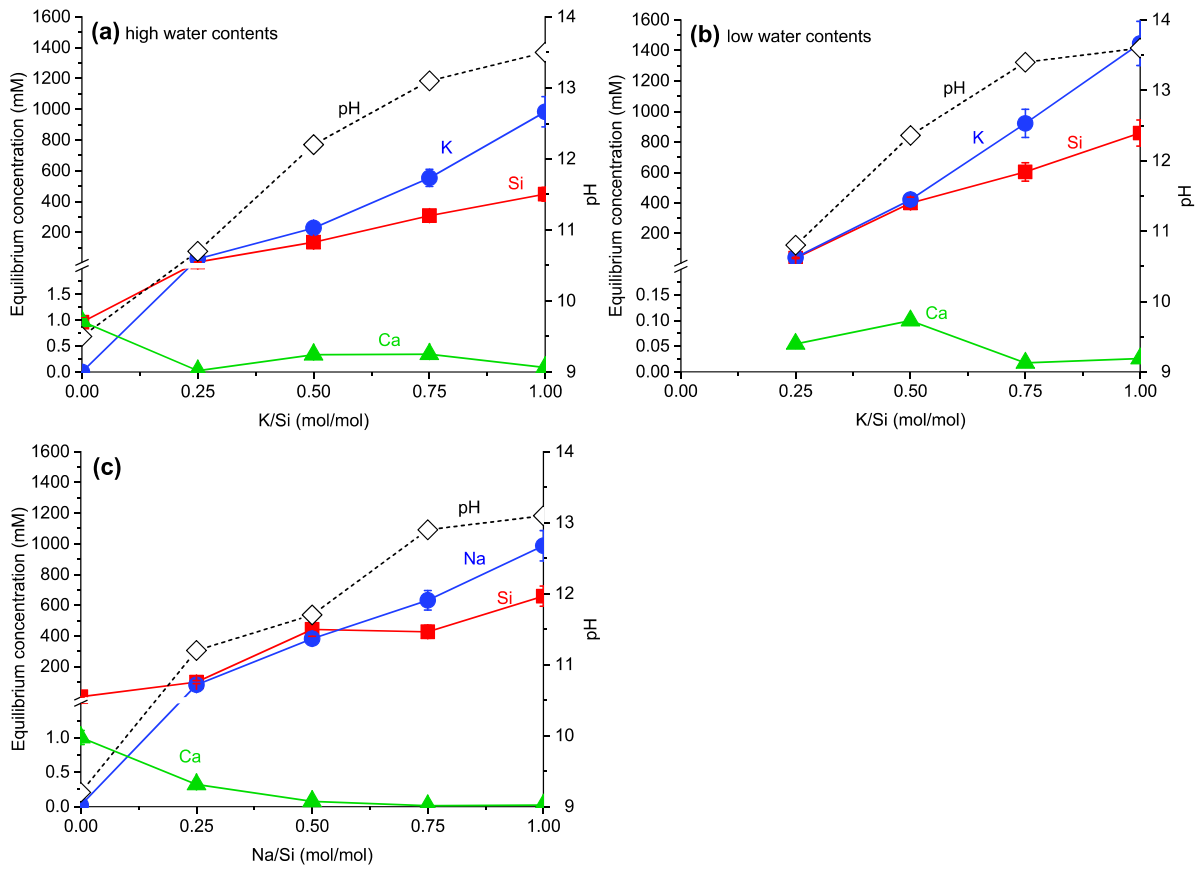
560



561

562 **Fig. 3.** ^{29}Si MAS NMR spectra acquired (a) at 79.5 MHz for the selected K-containing
 563 samples with high water contents, and (b) at 119.1 MHz for the selected Na-containing
 564 samples.

565

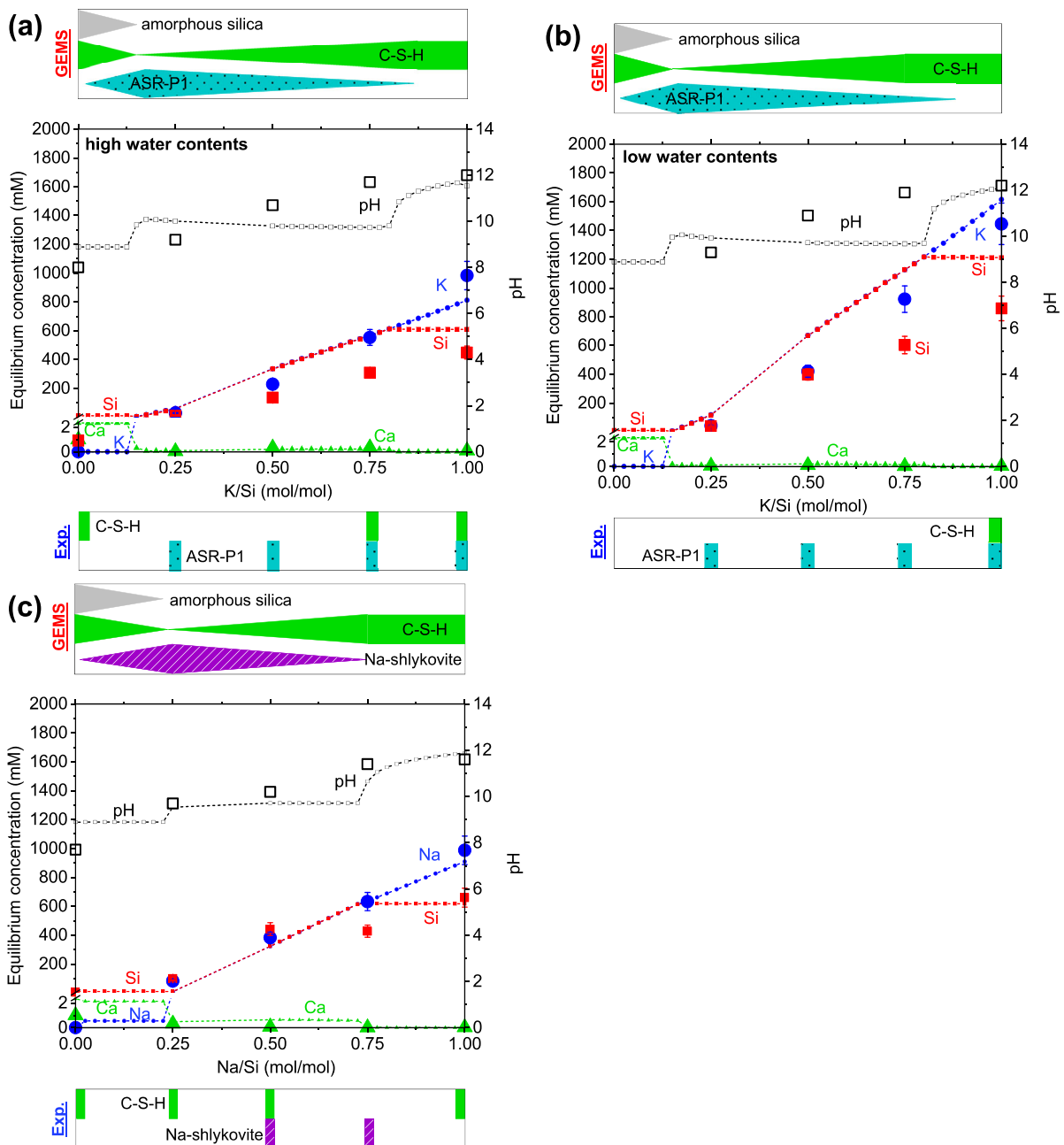


566

567

568 **Fig. 4.** Effect of initial alkali/Si ratio on the measured concentrations and pH (measured at
 569 23 °C) of the equilibrium solutions for the K-containing samples with (a) high and (b) low
 570 water contents, and (c) for the Na-containing samples.

571

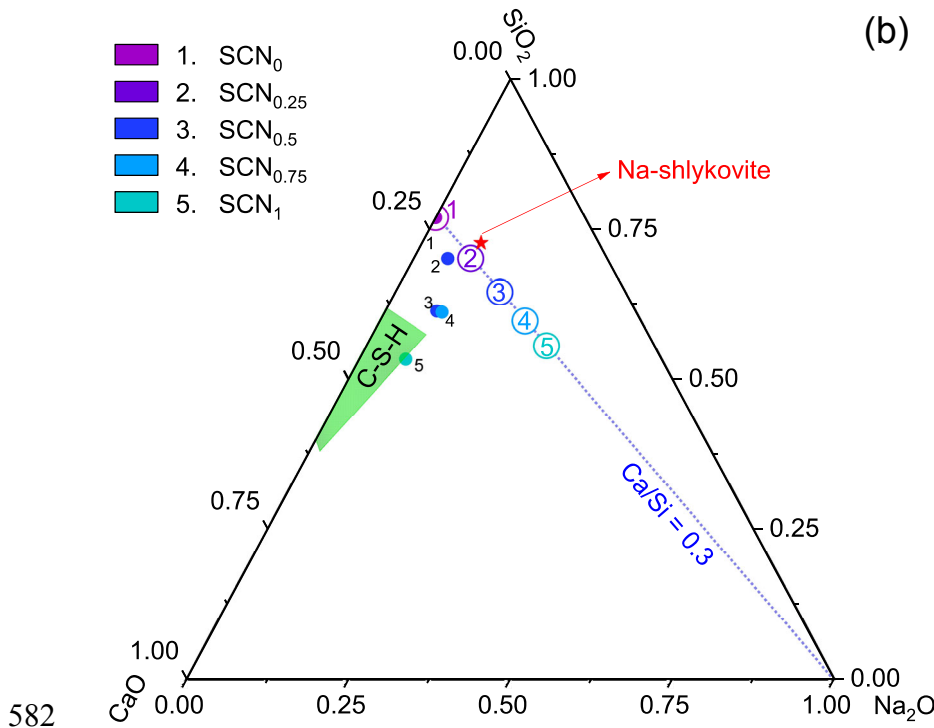
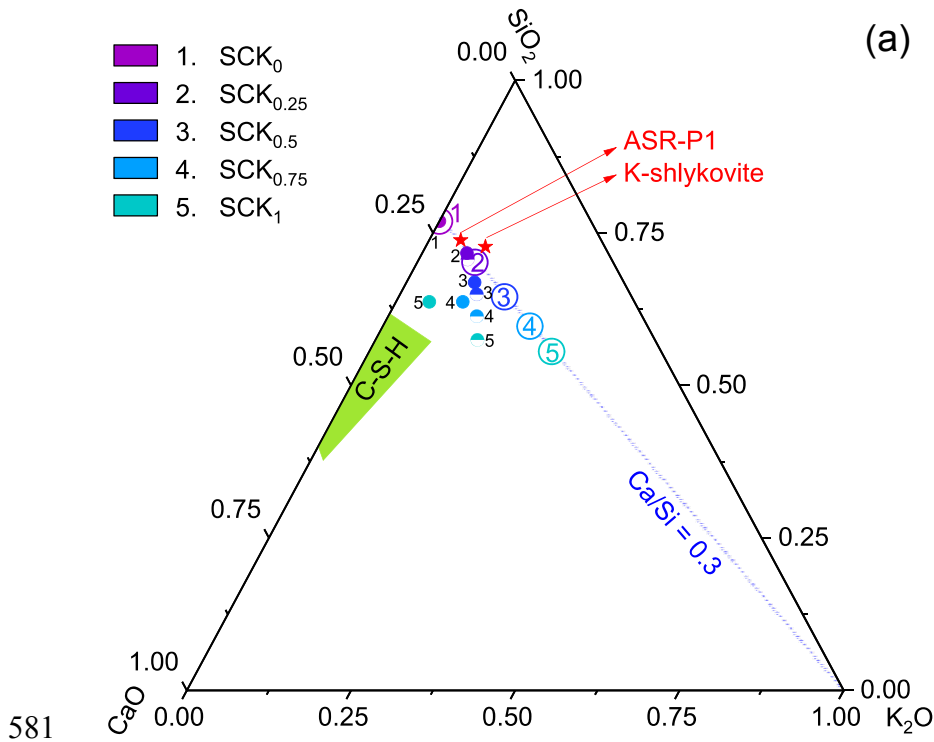


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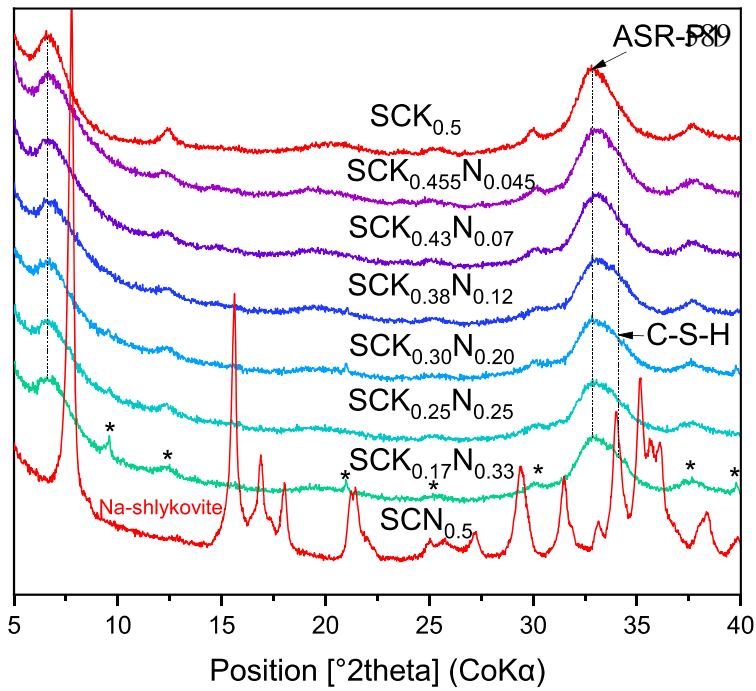
573

574 **Fig. 5.** Effect of initial K/Si or Na/Si ratio on the solution chemistry and phase assemblages
 575 for the K-containing samples with (a) high and (b) low water contents, and (c) Na-containing
 576 samples with high water contents at 80 °C. The symbols with smaller size on the dashed lines
 577 refer to the data calculated from thermodynamic modelling. No calculations are executed at
 578 initial K/Si or Na/Si ratio between 0.25 and 0.5 due to the change of water content. The larger
 579 symbols correspond to the experimental data.

580



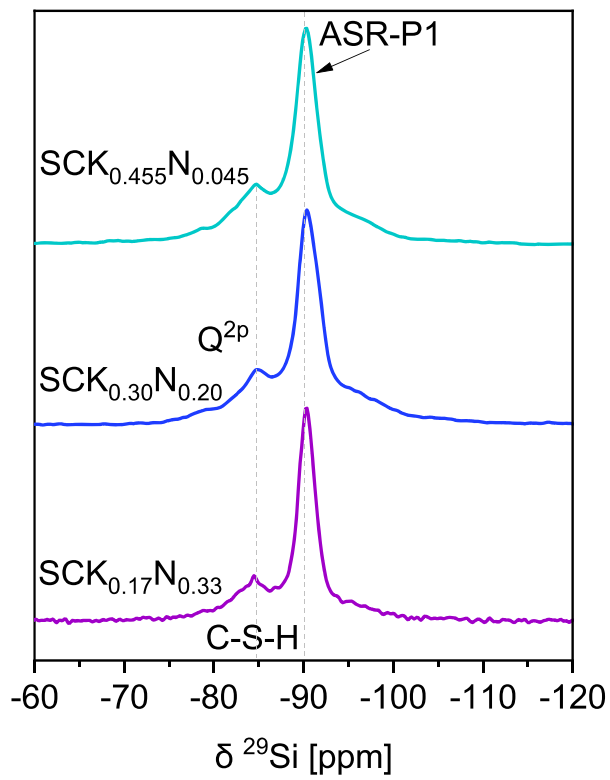
583 **Fig. 6.** Bulk chemical compositions (molar fraction) of the starting materials (empty circle)
 584 and the solids obtained for the (a) K-containing samples and (b) Na-containing samples after
 585 90 days of reaction at 80 °C (filled circle for the samples with high water contents, and
 586 half-filled circle for the samples with low water contents). The chemical compositions for the
 587 K-shlykovite, ASR-P1, Na-shlykovite from [8] and the range of C-S-H composition from [6]
 588 are also indicated in the diagram.



590

591 **Fig. 7.** XRD patterns of the solids obtained after 90 days of reaction at 80 °C for the samples
 592 containing both K and Na with different K/Na ratios indicating the presence of mainly
 593 ASR-P1 plus some C-S-H. Two endmembers containing only K (SCK_{0.5}) or Na (SCN_{0.5})
 594 from previous sections are also plotted in this figure for comparison. Note: the asterisk (*)
 595 designates the unidentified peaks.

596

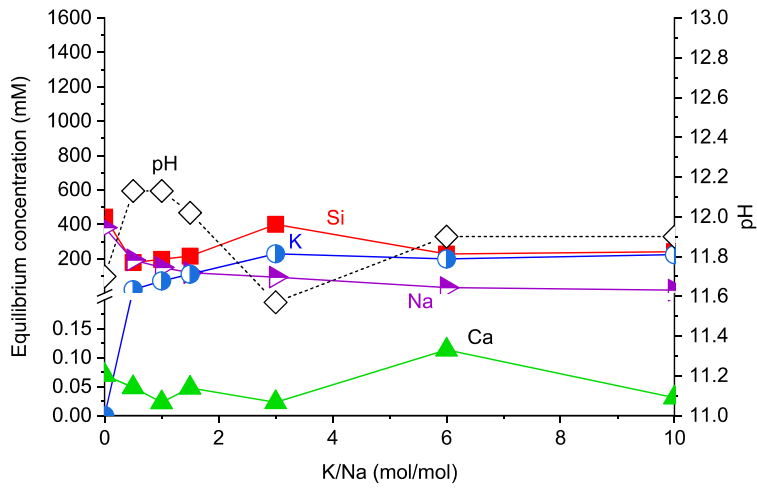


597

598 **Fig. 8.** ^{29}Si MAS NMR spectra acquired at 79.5 MHz for the selected samples containing

599 both K and Na after 90 days of reaction at 80 °C.

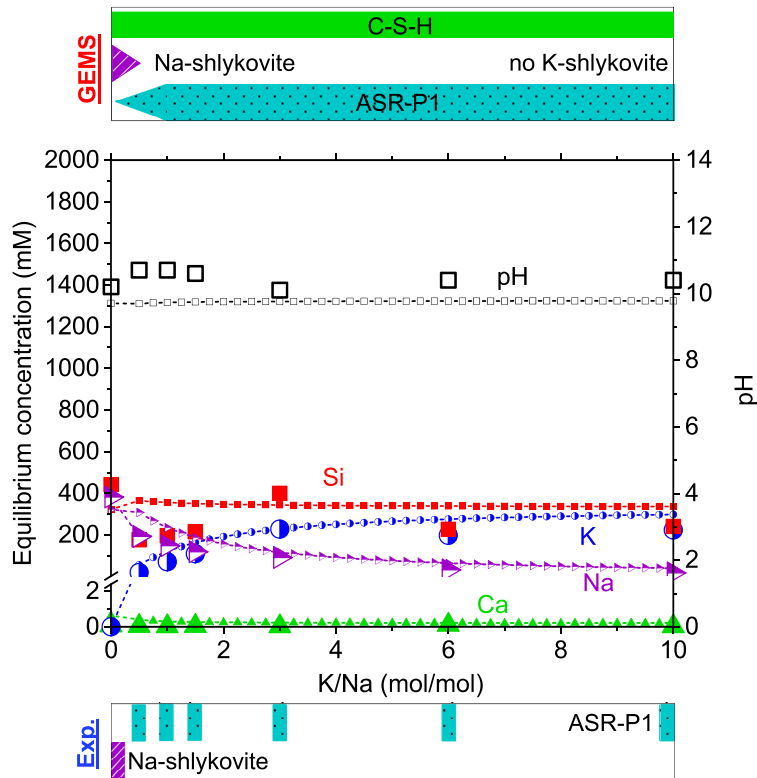
600



601

602 **Fig. 9.** Changes of the measured concentrations of the equilibrium solutions together with the
 603 measured pH values at 23 °C for the samples containing both K and Na with a constant
 604 (K+Na)/Si ratio 0.5 but different K/Na ratios. The Na-endmember (SCN_{0.5}) with K/Na ratio
 605 of 0 from previous section is also plotted in this figure for comparison.

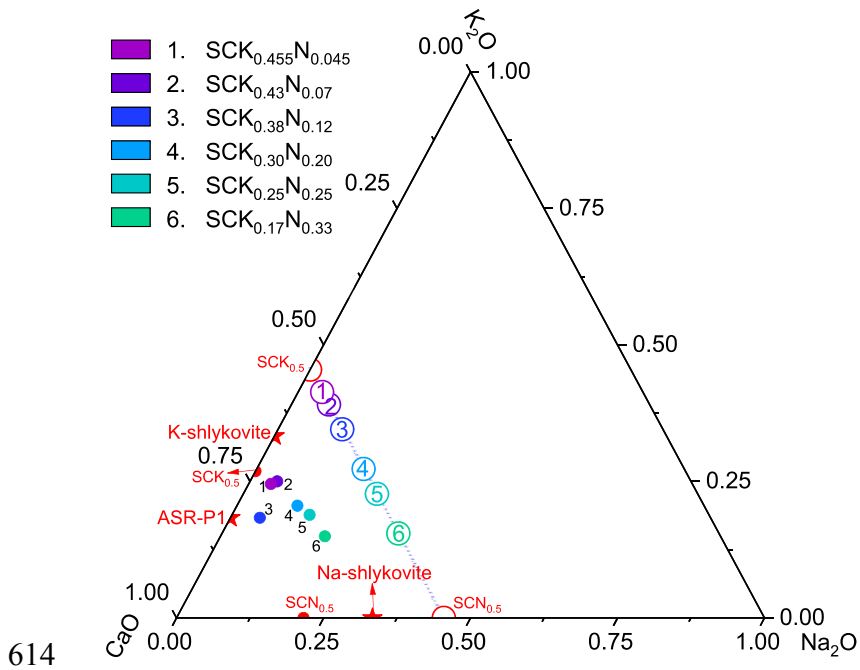
606



607

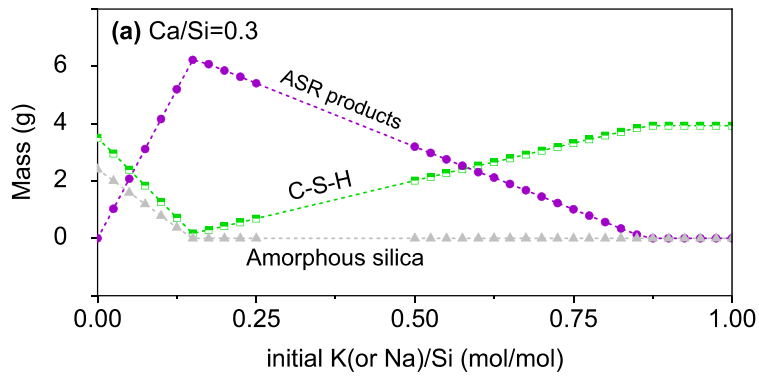
608 **Fig. 10.** Effect of K/Na ratio on the solution chemistry and phase assemblages in the samples
 609 containing both K and Na as alkali source. The symbols with smaller size on the dashed lines
 610 are data calculated from thermodynamic modelling. The larger symbols correspond to the
 611 experimental data. The Na-endmember (SCN_{0.5}) with K/Na ratio of 0 from previous section is
 612 also plotted in this figure for comparison.

613

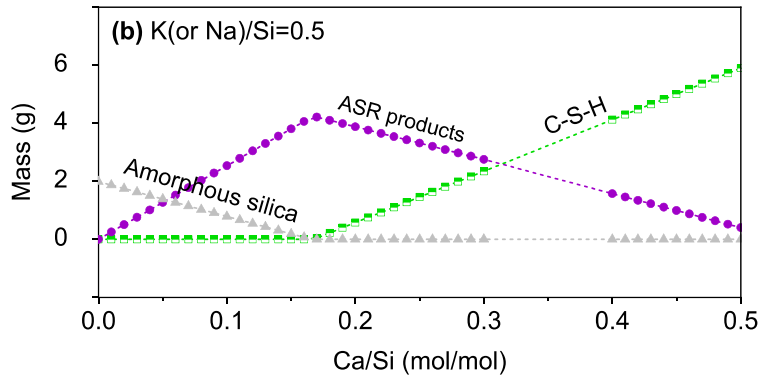


614

615 **Fig. 11.** Bulk chemical compositions (molar fraction) of the starting materials (empty circles)
 616 and the solids (filled circles) obtained after 90 days of reaction at 80 °C for the samples
 617 containing both K and Na. The chemical compositions for the K-shlykovite, Na-shlykovite
 618 and ASR-P1 from [8] are plotted in red star in the diagram. Two endmembers containing only
 619 K ($SCK_{0.5}$) or Na ($SCN_{0.5}$) from previous sections are also plotted in this figure for
 620 comparison.



621



622

623 **Fig. 12.** a) Effect of initial K/Si or Na/Si ratio on the formation of ASR products (ASR-P1 or
 624 Na-shlykovite) in the K- or Na-containing samples at a constant initial Ca/Si ratio of 0.3. b)
 625 Effect of Ca/Si ratio on formation of ASR products (K-shlykovite, ASR-P1 or Na-shlykovite)
 626 in the K- or Na-containing samples at a constant initial K(or Na)/Si ratio of 0.5; reproduced
 627 from [8]. The symbols on the dashed lines are data calculated from thermodynamic modelling.
 628 No calculations were executed at alkali/Si ratio between 0.25 and 0.5 due to the change of
 629 water content.