

An Investigation on the Current Collection Quality of Railway Pantograph-catenary Systems with Contact Wire Wear Degradations

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Abstract— In railway pantograph-catenary systems, the contact surfaces undergo wear in a long-term operation, directly affecting interaction performance and potentially deteriorating the current collection quality. The effect of contact wire wear (CWW) on the current collection quality should be evaluated to understand the system's health status in operations. This paper presents a stochastic analysis of the pantograph-catenary interaction performance with different levels of CWW based on four years of measurement data. The power spectral density (PSD) estimation is performed to the measured CWW to obtain their frequency representations. The random time histories of CWW are generated based on the PSDs. A nonlinear finite element model of catenary with a lumped-mass pantograph is built. Using the Monte Carlo method, the stochastic analysis of pantograph-catenary contact force is performed to investigate the distribution and dispersion of assessment indices with different levels of CWW. The results indicate that the CWW mainly affects the maximum and minimum contact forces instead of the contact force standard deviation. The optimal pantograph-catenary interaction performance is observed certain years after CWW is formed depending on the traffic density of the railway line, which is at the second year in the presented case study. Then, the performance declines with an increase in service time. Also, higher operating speed causes a more significant dispersion in assessment indices representing a lower current collection quality, particularly at the maximum operating speed (70% of the catenary wave propagation speed).

Index Terms—Electrified Railway, Pantograph-catenary Interaction, Contact Wire Wear, Current Collection Quality, Contact Force, Stochastic Analysis

I. INTRODUCTION

Overhead contact line, also called catenary constructed along the electrified railway, is responsible for transmitting continuous electric current to the locomotive via pantographs on the carbody roof. As shown in Fig. 1, the catenary is the source of power and the pantograph is the power collector. The sliding contact between the registration strip of the pantograph and the contact wire of the catenary transmits electric current through the contact point. The interaction

performance directly determines the current collection quality and restricts the maximum operating speed of trains. A stable contact force between the pantograph and the catenary is desired to maintain a reliable pantograph-catenary contact.

In real-life operation, the pantograph-catenary contact is subjected to internal disturbances from contact-induced vibrations and external disturbances from the environment. Ensuring a good interaction performance of pantograph-catenary under harsh operating conditions has been a critical issue for railway operators to avoid train service disruptions, as the pantograph-catenary is widely recognised as the most vulnerable link in railway traction power system without any backups.

A. Problem description

In a long-term operation, the catenary and pantograph's contact surfaces undergo wear, which is the primary source of irregularities that affect the pantograph-catenary interaction. As shown in Fig. 1, the contact wire wear (CWW) can be seen as additional small displacements on the contact point, which contributes a short-wavelength irregularity to the contact wire and directly affects the sliding contact. The evolvement of CWW is a dynamic process, and the severity of the irregularity caused by the CWW develops higher with the increase of service time, which results in an incremental impact on the

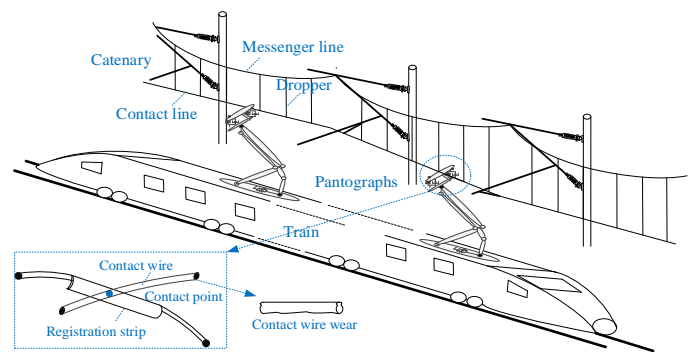


Fig. 1. Schematic of a pantograph-catenary system

Manuscript received xxxx; revised xxxx; accepted xxxx. Date of publication xxxx; date of current version xxxx. This work was supported in part by the National Natural Science Foundation of China (U1734202, 51977182). (Corresponding author: Hongrui Wang)

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pantograph-catenary interaction performance.

In the current standard for pantograph-catenary interaction [1], the contact force should be restricted to a specific range, which is normally defined by some time-domain statistical indices such as the standard deviation, maximum, minimum and mean values. Numerical simulations can be used in the design phase to check the acceptance of the contact force with specific design parameters. However, the simulations are mostly conducted in an ideal condition without real-life disturbances. The CWW, as a primary disturbance in the pantograph-catenary interaction, can definitely affect these assessment indices. Therefore, the pantograph-catenary interaction performance is desired to be re-evaluated with real-life CWW at different stages in the whole service life, which is essential to understand the system's health status after years of operation.

B. Literature review

In recent decades, the pantograph-catenary dynamics has gained ever-increasing interests from the scientific community, as it is an essential issue for enabling faster and more reliable operation of electric trains. In the majority of previous studies, numerical models of catenary have been developed based on the methods of the analytical formulation [2], the mode superposition [3], the finite difference [4] and the finite element [5]. The finite element method has been the most preferred method due to the catenary's intrinsic nonlinearity and complex geometry. The pantograph is typically assumed as two or three lumped masses, of which parameters are obtained through experimental testing [6].

Understanding the effects of external disturbances on the pantograph-catenary interaction is crucial for controlling the current collection quality, particularly with speed upgrades. The most common disturbances to the pantograph-catenary are environmental wind loads [7], [8], electromagnetic forces [9] and vehicle-track vibrations [10], which deteriorate the interaction performance and may cause the dewirement of pantographs. A significant consequence of the bad interaction performance is the contact loss between the contact wire and the registration strip, which leads to frequent electric arcing, shortening the system service life [11] and causing power quality issues [12]. This is why various optimisation approaches [13] and control strategies [14], [15] are developed to regulate the contact force and ensure stable contact between the pantograph and catenary. With the development of machine learning techniques, data-driven models for pantograph-catenary have been an alternative to evaluating dynamic performance [16].

Apart from external disturbances, the pantograph-catenary internal defects or anomalies is another main source of disturbance that challenges stable and safe operation [17]. The catenary suffers repetitive impacts in its long-term operation, together with potential mounting imprecisions and maintenance inadequacy, which will result in the irregularities of the contact wire. An entropy-based detection method also points out that contact wire irregularities have an increasingly negative impact on the contact forces with the increase of service time [18].

Generally, the contact wire irregularities have two sources. One is geometrical distortion, and the other is wearing. With the help of measurement data, the geometrical distortion can be appropriately included in the catenary model [19], and its stochastic effect on the contact forces is investigated [20]. Regarding the wear, existing researches mainly focus on the detection [21], the revelation of generation mechanism [22] and the analysis of evolvement procedure [23] using filed or laboratory tests. The deterioration of the current collection quality with the degradation of CWW has not been identified and quantified in the literature. It can be expected that the current collection quality will become worse with the evolvement of CWW. To master the pantograph-catenary system's health status, it is necessary to predict the interaction performance with CWW in different evolvement stages, which is beneficial for designers and operators to evaluate the current collection quality in a whole life cycle.

C. Contribution of this paper

According to the summarised shortcomings in previous research, this paper aims to present a methodology to analyse the current collection quality of pantograph-catenary, including the degradation of CWW. To the best of the authors' knowledge, there are two main challenges in this work. The first one is the acquisition of realistic CWW at different degradation stages. The other one is the characterisation of the stochastic nature of CWW in the assessment of current collection quality. For the first challenge, four years of measurement data of CWW collected from a section of the Dutch railway are adopted in this paper. These data are used as an input for generating synthetic CWW at different degradation stages and evaluating the pantograph-catenary performance. Regarding the second challenge, the power spectral density (PSD) estimation is performed to the measured CWW to obtain the frequency characteristics of the given CWW. Adopting the idea to tackle track irregularities [24], the random time history of CWW can be generated according to the PSDs based on an inverse transform. The simulation tool used in this paper is a developed nonlinear finite element model of catenary with a lumped-mass pantograph model [25]. This model can capture the high-frequency behaviour of pantograph-catenary and has been validated against the measurement data and a worldwide benchmark [26]. In combination with the Monte Carlo method [27], the stochastic analysis of the contact force is performed with different levels of CWW and operating speeds.

II. DESCRIPTION OF MEASUREMENT CWW

The measurement data of CWW were collected from a section of a catenary in the Dutch railway network. The measured catenary was operating with regular train traffic on a daily basis during four years of measurement. The four years of contact wire thickness, with a nominal value of 12 mm, were measured yearly by a specialized inspection train and preprocessed to have a fixed sampling interval of 0.5 m. The preprocessed data used in this study are presented in Fig. 2 (a). The CWW can be calculated by removing the nominal value from the measured thickness. The spatial positions of sampling

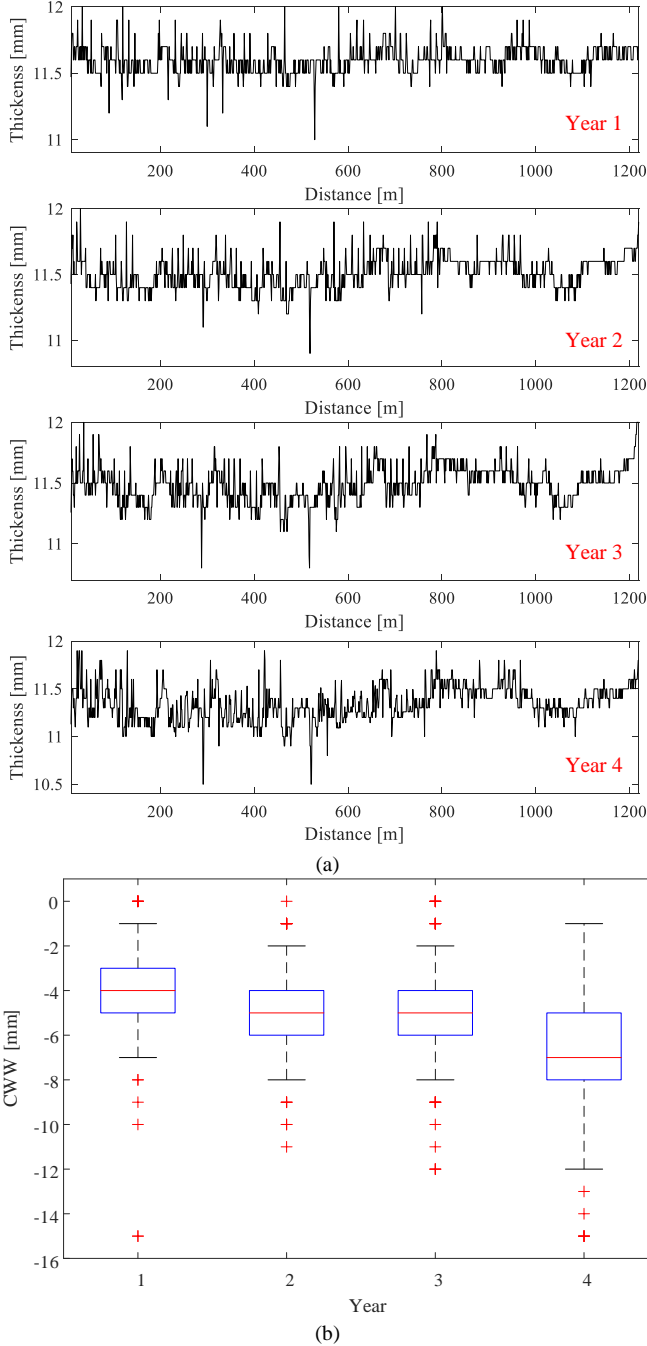


Fig. 2. Four years of measurement CWW: (a) contact wire thickness measured from year 1 to year 4 (from top to bottom); (b) Boxplot of CWW in four years.

points are recorded simultaneously using a GPS device equipped on-board the measurement vehicle. During the four years of measurement, the measured contact wires have not been replaced or maintained. Therefore, the degradation of CWW can be observed at the same spatial positions.

To observe the CWW evolution in four years of operation, the boxplot of CWW is presented in Fig. 2 (b). It is seen that the average CWW undergoes a continuous increase by about 0.09 mm per year. The dispersion of CWW shows an incremental trend with the increase of service time. The degradation of CWW has an increasing impact on the contact quality of pantograph and catenary. Thus, the interaction

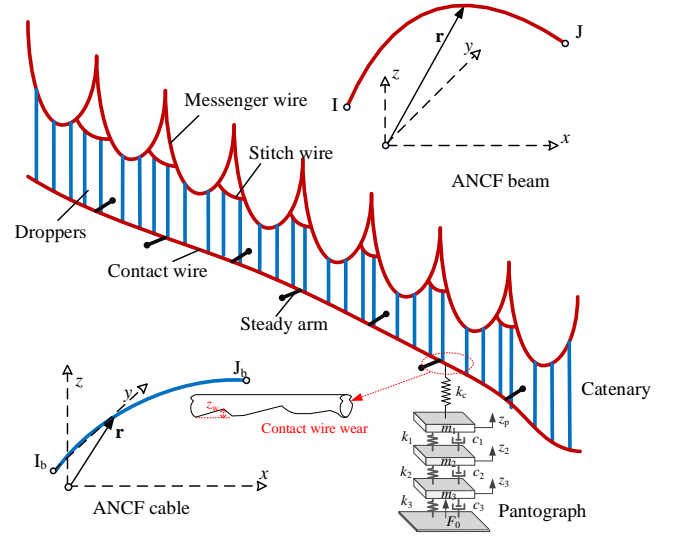


Fig. 3. Schematics of pantograph-catenary model

performance of pantograph-catenary should be evaluated by including the effect of the CWW.

III. MODELLING OF PANTOGRAPH-CATENARY

The schematics of the pantograph-catenary model with CWWs is presented in Fig. 3. To characterise the nonlinearity and complex initial configuration, the absolute nodal coordinate formulation (ANCF) is used to model the catenary. The pantograph is considered as a lumped mass model, and the effect of CWW is introduced as an additional vertical displacement of the contact wire. The details of the pantograph-catenary model are described in this section.

A. Modelling of catenary

The ANCF is a widely-used nonlinear finite element method in different engineering applications [28] to simulate large structural deformations. As shown in Fig. 3, the ANCF beam is utilised to model the contact, messenger and stitch wires. The ANCF bar is employed to model the dropper and the steady arm. The other accessories, like claws and clamps, are assumed as lumped masses. Considering an ANCF beam element, the nodal degree of freedom (DOF) vector containing the displacements and the gradients can be expressed by

$$\mathbf{e} = \left[x_i \quad y_i \quad z_i \quad \frac{\partial x_i}{\partial \chi} \quad \frac{\partial y_i}{\partial \chi} \quad \frac{\partial z_i}{\partial \chi} \quad x_j \quad y_j \quad z_j \quad \frac{\partial x_j}{\partial \chi} \quad \frac{\partial y_j}{\partial \chi} \quad \frac{\partial z_j}{\partial \chi} \right]^T \quad (1)$$

in which χ is the local longitudinal coordinate in the undeformed configuration from 0 to the element length l_0 . The position vector in the deformed configuration \mathbf{r} can be interpolated using the shape function matrix \mathbf{S} as

$$\mathbf{r} = \mathbf{S}\mathbf{e} \quad (2)$$

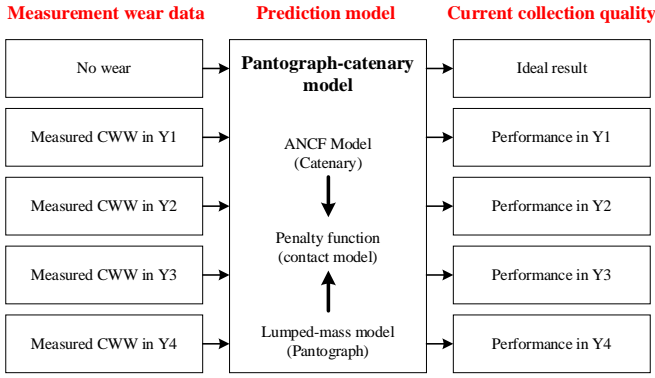


Fig. 4. Model-based predictions of current collection quality

perturbations. In this work, the frequency representation of the measured CWW is obtained using PSD estimation. Then, a reasonable number of CWW time-histories are generated from the PSD using the Inverse Fourier transform. By introducing the CWW into the physical numerical model, the distribution and dispersion of the assessment indices with different CWW levels can be evaluated based on the Monte Carlo method [29]. Generally, the concept of the prediction procedure relies on a model-based method, as shown in Fig. 4. The traditional

pantograph-catenary model only uses the design parameters to predict the ideal results, which can only be used in the design phase to check the acceptance of the design. The realistic behaviour cannot be predicted as practical disturbances are not included. This paper includes the most common perturbation, the CWW, in the pantograph-catenary model to predict the current collection quality in four years of service. The current deterministic analysis, which relies on results from a single simulation, cannot describe the dispersion of the response caused by stochastic perturbations. Based on the Monte Carlo method, a considerable amount of simulations can be performed to fully describe the stochastic behaviour. Considering the computational effort, 1000 samples are generated and adopted to describe the effect of stochastic CWW for each case. The flow chart to predict the stochastic performance of pantograph-catenary under stochastic CWW is presented in Fig. 5.

A. PSD estimation of CWW

The PSD estimation is performed to obtain the frequency representation of the measured CWW. The traditional PSD estimation is non-parametric and normally based on the results of Fourier transform using the periodogram method and the enhanced periodogram methods. To overcome the spectral

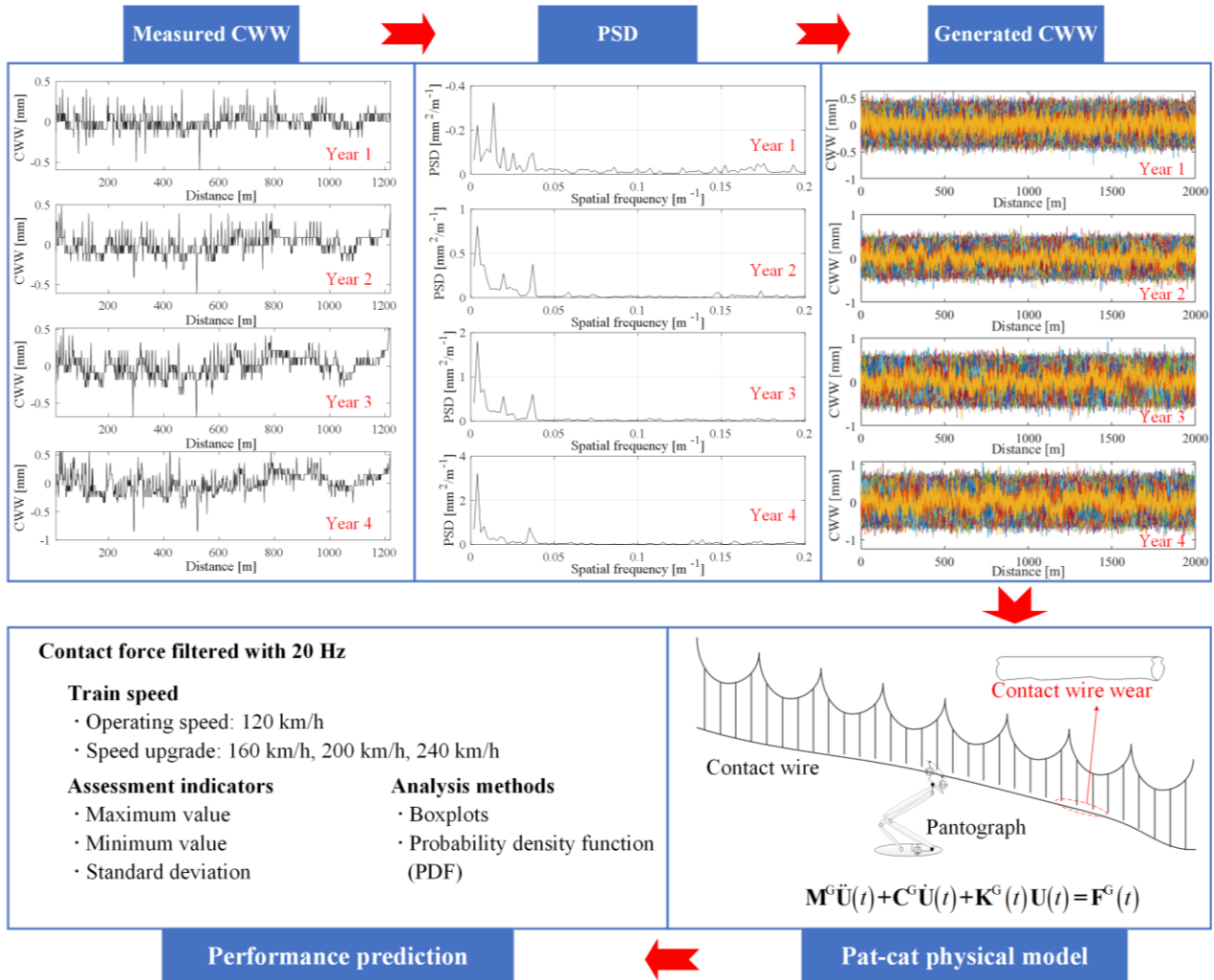


Fig. 5. Prediction procedure of current collection quality with measured CWW

leakage problem, the autoregressive (AR) model is developed. For a discrete process with N data samples $\{x(n); n = 1, 2, \dots, N\}$, the basic form of the AR model can be written as

$$x(n) = \sum_{k=1}^p a_k x(n-k) + e_n \quad (12)$$

where p is the order of the AR model, a_k is the AR coefficient and e_n is a white noise process with zero mean and variance σ_e^2 . The PSD estimated by the AR model is expressed by

$$S(\omega) = \frac{\sigma_e^2}{\left| 1 - \sum_{k=1}^p a_k e^{-i\omega k} \right|^2} \quad (13)$$

in which, σ_e^2 and a_k can be estimated by solving the Yule-Walker equations.

B. CWW time-histories from PSD

The time-histories of CWW with the same frequency characteristics of the measurement data are obtained from the PSD by the inverse Fourier transform. The frequency spectrum $P(m)$ is obtained by discrete sampling from the standard spectral density $S(f)$. The real and imaginary parts of $P(m)$ have both even and odd symmetries with respect to $N/2$. Hence, the frequency spectrum $P(m)$ is determined by:

$$P(m) = |P(m)|(\cos \phi_m + i \sin \phi_m) \quad (m=0, 1, \dots, N/2) \quad (14)$$

where ϕ_m is the phase angle and obeys the uniform distribution of $0 \sim 2\pi$. Then, the time-history is calculated using the inverse Fourier transform as follows:

$$y(n) = \frac{1}{N} \sum_{m=0}^{N-1} P(m) \exp\left[\frac{i2\pi mn}{N}\right] \quad (n=0, 1, \dots, N-1) \quad (15)$$

Taking the CWW measured in year 4 (Y4) as an example to validate the above present method, the comparison of original and 1000 generated PSDs is presented in Fig. 6. The excellent consistency of generated PSDs against the original one shows the validity of the presented method to generate time-histories of CWW, which can be used in the subsequent stochastic analysis.

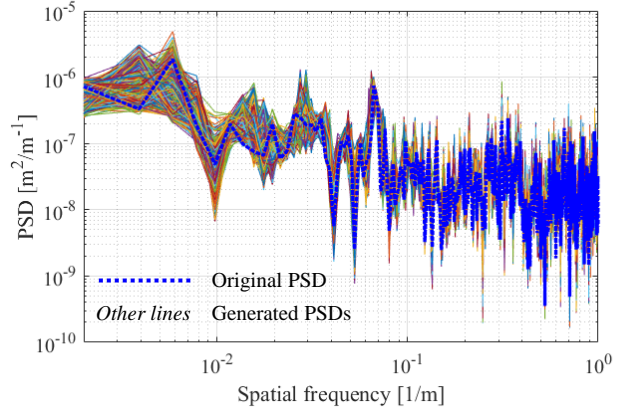


Fig. 6. Comparison of generated PSDs and original PSD

C. Assessment index

The technical criteria of the pantograph-catenary interaction [30] specifies that the contact force with 20 Hz cut-off frequency is the most critical quantity as it directly describes the current collection quality. The mean contact force F_m is tuned according to the specification of EN 50367 for each case:

$$F_m = 0.00097v^2 + 70 \quad (16)$$

where v is the train speed. Usually, the standard deviation σ is used to evaluate the fluctuation of the contact force. The maximum contact force is adopted to check safety acceptance. Remarkably, the minimum contact force should be restricted as an inadequate contact force may increase the occurrence of contact loss, leading to arcing and the interruption of electric transmission.

To facilitate the analysis of the stochastic behaviours with random CWW, the boxplot is utilised to present the assessment indices. The boxplot is a standardised way to display the distribution of data based on a summary of five numbers: the minimal value Q_{\min} , the first quartile Q_1 , the median Q_2 , the third quartile Q_3 , and the maximal value Q_{\max} . Usually, the maximal and minimal can be calculated by the following two equations, respectively.

$$Q_{\max} = Q_3 + 1.5 \times IQR \quad (17a)$$

$$Q_{\min} = Q_1 - 1.5 \times IQR \quad (17b)$$

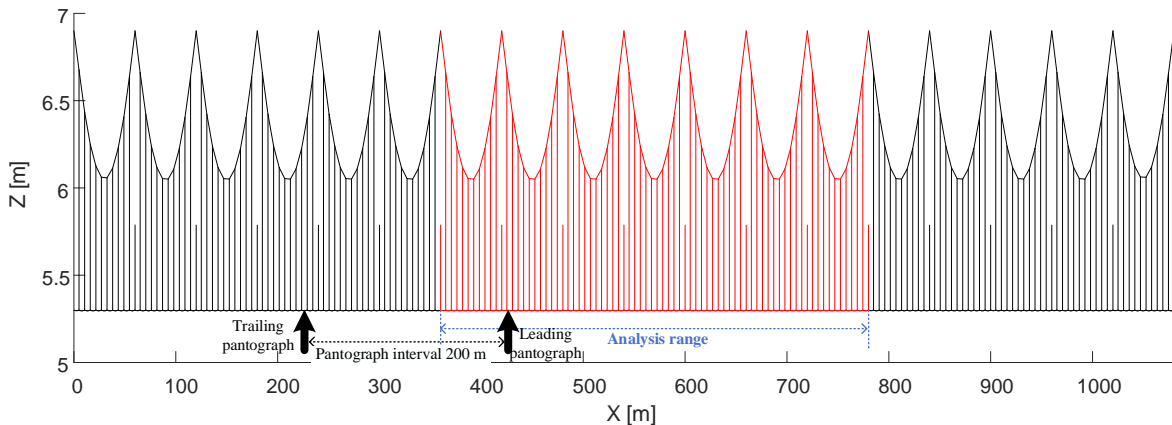


Fig. 7. Catenary geometry and analysis range

in which *IQR* is the range from the 25th to 75th percentile. With the help of a boxplot, the dispersion of each assessment index can be directly analysed.

A continuous catenary structure modelled by the parameters in [23] traversed by double pantographs is taken as the analysis object. The catenary geometry is shown in Fig. 7. To eliminate the simulation's boundary effect, the contact forces from 360 m to 780 m for both pantographs are adopted in the subsequent analysis. The pantograph is modelled based on a WBL85-type pantograph, which is widely used in Nordic countries. The interval for the double pantographs is 200 m.

V. ANALYSIS UNDER OPERATING SPEED AND SPEED UPGRADE

In this section, the operating speed (120 km/h) is used in the simulation to analyse the pantograph-catenary system's interaction performance with different levels of CWW. The overview of the stochastic analysis is presented in Fig. 8. The boxplot of contact force statistics (including the standard deviation, the maximum value and the minimum value) is adopted to analyse the dispersion of responses. Speed upgrades (160 km/h, 200 km/h and 240 km/h) are adopted in the simulations to investigate the contact force dispersions. The PDF of the minimum contact force is analysed to predict the reliability of the system in different service years.

A. CWW effects with operating speed

In this subsection, the contact force is analysed with CWW in four service years at the operating speed of 120 km/h. The boxplots of contact force standard deviation for the leading and trailing pantographs are presented in Fig. 9 (a) and (b), respectively. The result without CWW is presented using a dash-dotted purple line. It is seen that the CWW leads to a slight dispersion of the standard deviation for both pantographs. The lowest standard deviation appears in Y2. This can be explained by the theory of the bathtub curve [31]. Normally, the best performance of a mechanical system can be achieved after the initial period of operation. For the analysed case, the pantograph-catenary reaches the best performance after two years of operation. After Y2, the performance becomes worse with the increase of CWW.

Figs. 10 (a) and (b) present the boxplots of maximum contact force for the leading and trailing pantographs, respectively. Unlike the standard deviation, the dispersion of the maximum contact force caused by the CWW is much more noticeable. The dispersion becomes smaller from Y1 to Y2, while it undergoes a sharp increase from Y2 to Y4. The difference between the maximum and the minimum values in the 1000 cases are over 4 N for all cases. A similar trend can be seen in Figs. 11 (a) and (b), which present the boxplots of minimum contact force for the leading and trailing pantographs, respectively. The minimum contact force has a smaller dispersion in Y2 than Y1, which also increases from Y2 to Y4.

B. CWW effects with speed upgrades

According to EN 50119 [32], the maximum speed for a catenary can be calculated by 70% of the wave propagation speed, which is about 240 km/h for the analysis object. In this

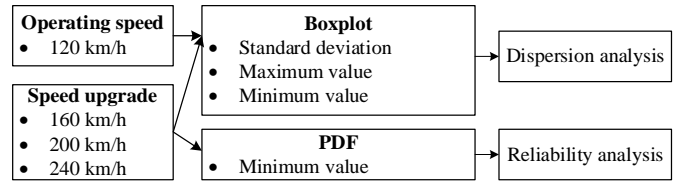


Fig. 8. Overview of the stochastic analysis

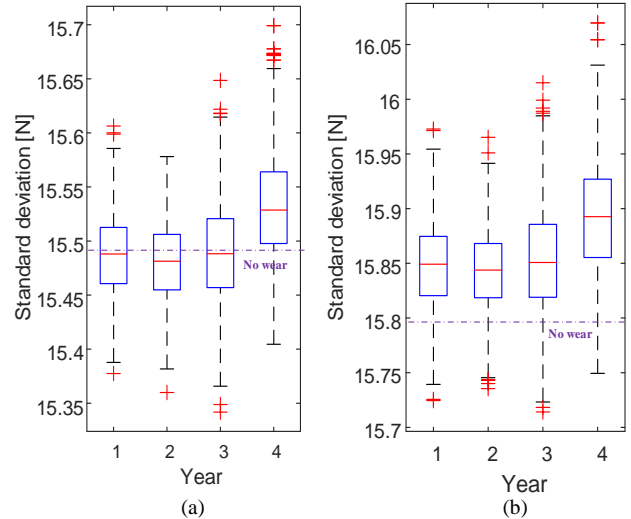


Fig. 9. Boxplots of standard deviation for the leading (a) and trailing (b) pantographs at 120 km/h

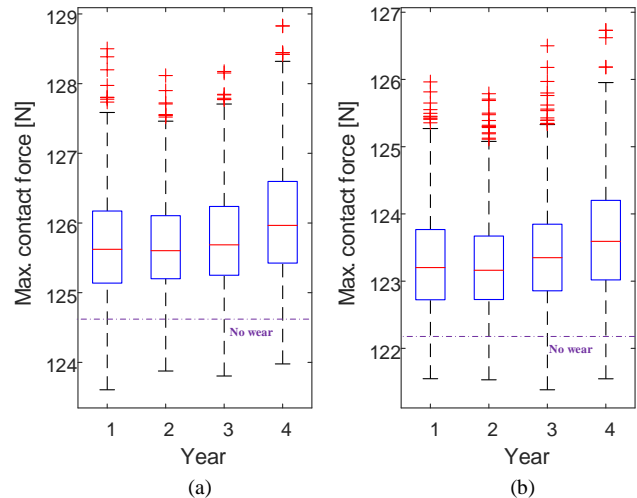


Fig. 10. Boxplots of maximum contact force for the leading (a) and trailing (b) pantographs at 120 km/h

section, the train speeds vary from 160 km/h to 240 km/h with a 40 km/h interval to perform the numerical simulation to analyse the contact forces simulated under different levels of CWW. The analysis results in the previous subsection indicate that the CWW does not have an evident effect on the contact force standard deviation. In this subsection, only the maximum and minimum contact forces are analysed.

At 160 km/h, the boxplots of maximum and minimum contact force are presented in Figs. 12 and 13, respectively. Similar to the results at 120 km/h, the performance reaches the best level after two years of operation and becomes worse from

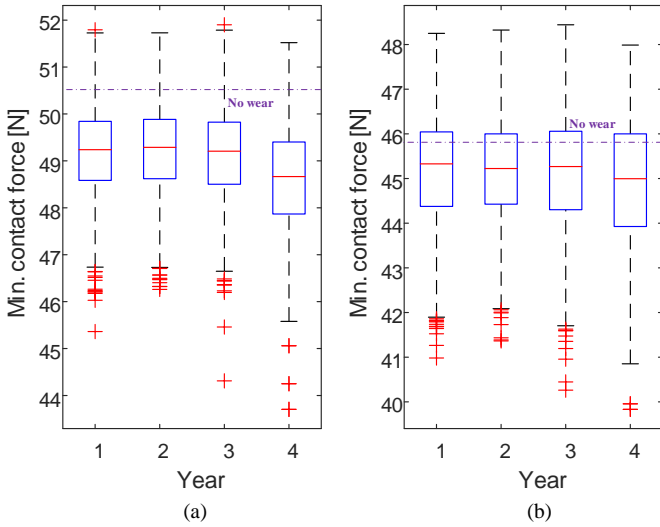


Fig. 11. Boxplots of minimum contact force for the leading (a) and trailing (b) pantographs at 120 km/h

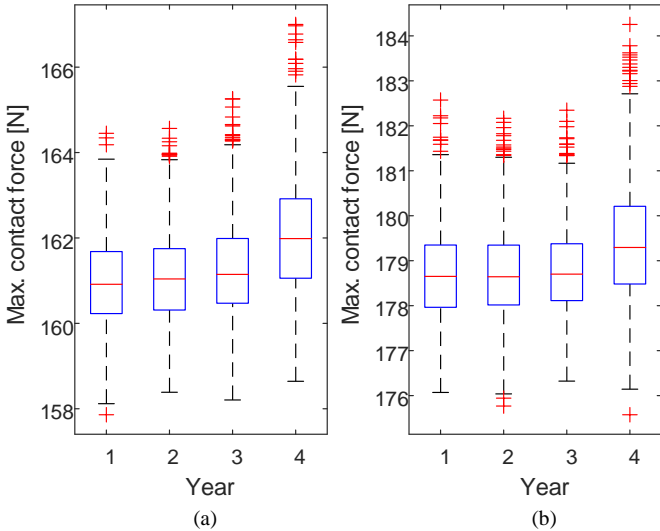


Fig. 12. Boxplots of maximum contact force for the leading (a) and trailing (b) pantographs at 160 km/h

Y2 to Y4. The dispersion caused by the CWW is more significant than the results evaluated at 120 km/h.

At 200 km/h, the maximum contact force follows a similar trend as at lower speeds, which is not presented here for conciseness. The boxplots of minimum contact force for the leading and trailing pantographs are presented in Figs. 14 (a) and (b), respectively. Besides the larger dispersion caused by the CWW, it is also seen that some minimum contact forces of the trailing pantograph in Y4 are lower than the safety threshold 10 N [1], which may induce the long-distance arcing and reduce the life expectancy of the pantograph-catenary. Based on the 1000 simulation results, the histogram of the trailing pantograph's minimum contact force with the Y4 CWW is presented in Fig. 15 (a). It is seen that three minimum contact forces are lower than the safety threshold. Based on the normal distribution assumption, the PDF of the minimum contact force of the trailing pantograph is presented in Fig. 15 (b). Considering a 99.73 % confidence level, the lower bound is 10.54 N, which is slightly higher than the safety threshold.

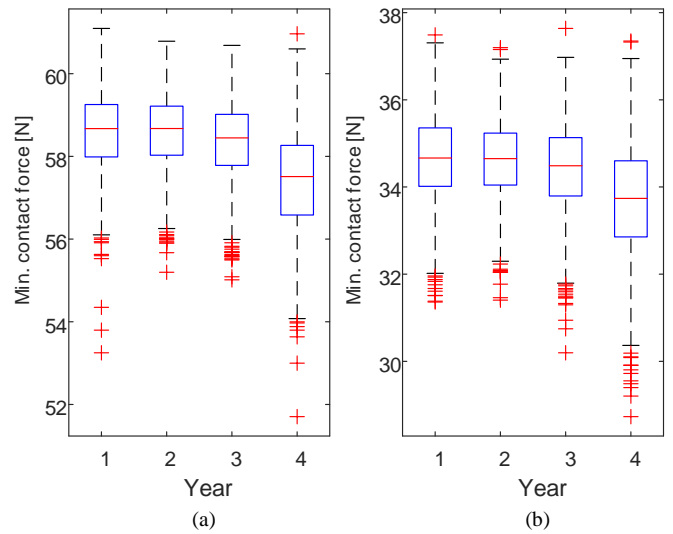


Fig. 13. Boxplots of minimum contact force for the leading (a) and trailing (b) pantographs at 160 km/h

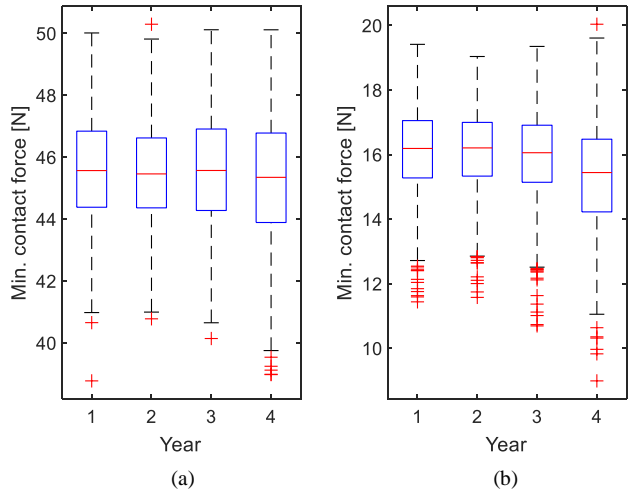


Fig. 14. Boxplots of minimum contact force for the leading (a) and trailing (b) pantographs at 200 km/h

According to the cumulative distribution function, the probability that the minimum contact force is smaller than 10 N in Y4 is 0.042%, which is smaller than the arcing rate threshold of 0.1% specified in EN 50119 [32]. Therefore, it is assumed that the system can still operate normally in Y4 at 200 km/h.

At 240 km/h, the boxplots of minimum contact force for leading and trailing pantographs are presented in Figs. 16 (a) and (b), respectively. More minimum contact forces of the trailing pantograph smaller than the safety threshold can be observed in Y3 and Y4. The corresponding histograms are presented in Fig. 17 (a). In Y3, only one outlier in 1000 simulations can be observed. However, in Y4 there are 14 outliers. The PDFs of the minimum contact force of the trailing pantograph in Y3 and Y4 are presented in Fig. 17 (b). Considering a 99.73 % confidence level, the lower bounds for Y3 and Y4 are 9.75 N and 8.77 N, respectively, which are lower than the safety threshold. The probabilities that the minimum contact force is smaller than 10 N are 0.76% and 0.2% in Y3 and Y4, respectively, which both do not satisfy the arcing rate threshold of 0.1% specified in EN 50119 [32]. Therefore,

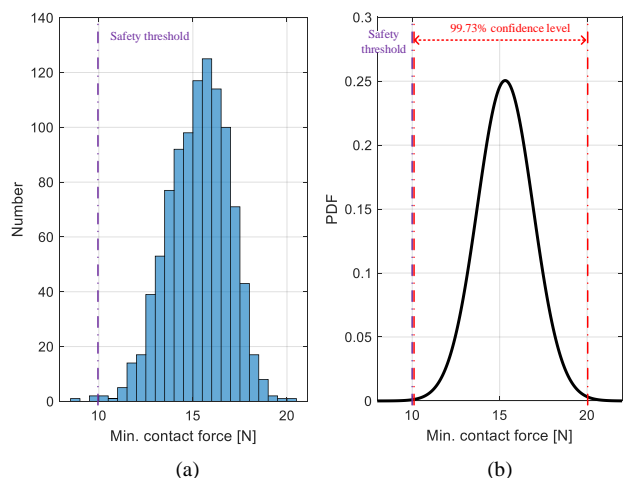


Fig. 15. Histogram (a) and PDF (b) of minimum contact force for the trailing pantograph at 200 km/h

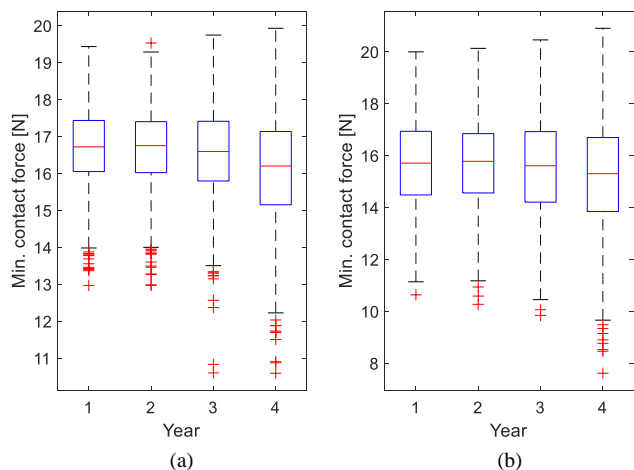


Fig. 16. Boxplots of minimum contact force for the leading (a) and trailing (b) pantographs at 240 km/h

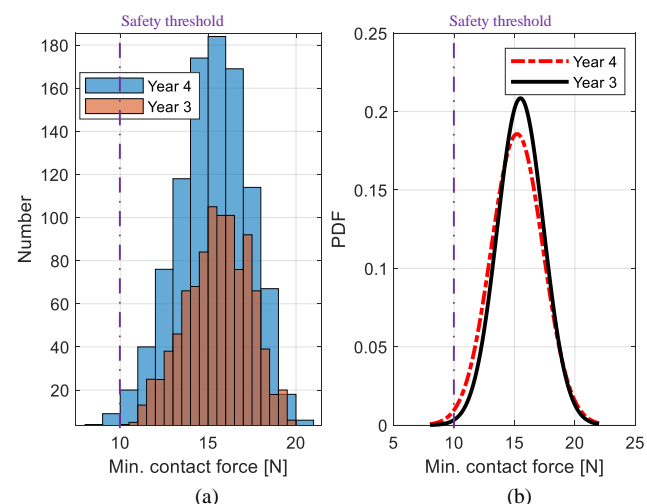


Fig. 17. Histogram (a) and PDF (b) of minimum contact force for the trailing pantograph at 240 km/h

frequent arcing and sparking are expected in Y3 and Y4 if the train operates at 240 km/h. Therefore, a speed upgrade to 240 km/h in such a case is not advised.

C. Discussions

The above analysis presents the performance prediction of

the current collection quality with the evolvement of CWW in four service years. Generally, two main phenomena can be observed from the prediction results. The first one is that the best current collection quality can be achieved after two years of operation. Afterwards, the performance declines in the coming years. The second one is that when the train operates at the maximum possible speed (70% of the catenary wave propagation speed), the minimum contact force does not satisfy the requirement of the standard after Y2.

The first phenomenon can be explained by the classic bathtub curve. The best performance of a mechanical system can be achieved after certain years of operation. The second phenomenon indicates the necessity of probabilistic analysis. Due to the stochastic nature of CWW, the system performance has some probability of exceeding the safety threshold. It is recommended that stochastic perturbations should be included in the assessment criteria to improve the current standard.

One of the significant shortfalls in the present analysis is that only four years of CWW data are acquired so that four years of current collection quality can be predicted. More measurement data are required to predict longer-term performances. Measuring the CWW more than once per year can also improve the accuracy for predicting the stochastic behaviours of a catenary in a short time span. Furthermore, this paper only presents a prediction of the contact forces by including measured CWW in the physical model. In reality, the contact forces can also deteriorate CWW as indicated in [33]. In the future, the wear model of catenary can be included to make a prediction considering the mutual effects between CWW and contact forces.

VI. CONCLUSIONS

This paper presents a stochastic analysis of the current collection quality of railway pantograph-catenary with different levels of CWW. Four years of CWW data measured from a section of the Dutch railway are adopted. A pantograph-catenary model considering the effects of CWW is constructed based on real-life parameters. The frequency representation of the measured CWW is obtained to generate 1000 samples of CWW time-histories based on the Monte Carlo method. The distribution and dispersion of the assessment indices of pantograph-catenary contact force with different levels of CWW are evaluated. The main findings of this study can be summarised as follows:

1) The CWW mainly affects the local behaviour of the pantograph-catenary interaction (namely the maximum and minimum contact forces) instead of the global behaviour (namely the contact force standard deviation).

2) The pantograph-catenary interaction reaches the best performance certain years after installation, which depends on the traffic density operating on the catenary in the railway line. For the given case of analysis, the pantograph-catenary performance is optimal under the CWW measured in the second year. Afterwards, the performance declines in the next years.

3) When the CWW is considered, the upgrade of train speed causes a larger dispersion of assessment indices. When the train operates at the maximum possible speed (70% of the catenary wave propagation speed), the minimum contact force does not

satisfy the standard after Y2. Frequent arcing and sparking are expected in practice, which advises against the speed upgrade.

In future studies, CWW data collected in a period longer than four years and measured more than once a year may enable more significant findings on the long-term and short-term behaviours of pantograph-catenary interaction. Also, the evolvement of CWW and pantograph-catenary interaction can be investigated and predicted in a longer timeframe with a higher time resolution.

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