

1     Microstructure evolution and recrystallization resistance of a 7055 alloy  
2             fabricated by spray forming technology and by conventional ingot  
3                             metallurgy

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30 **Abstract**

31 The effect of different fabricating processes (spray forming and conventional casting)  
32 and homogenization treatment on the microstructure of a 7055 alloy was investigated  
33 by optical microscopy (OM), scanning electron microscopy (SEM), electron probe X-  
34 ray micro-analyzer (EPMA) and transmission electron microscopy (TEM). It was found  
35 that the grain size of the as-deposited (spray formed) 7055 alloy had half the size  
36 as that of the as-cast 7055 alloy and there was no  $\text{Al}_2\text{CuMg}$  phase that embedded  
37 in the coarse  $\text{Mg}(\text{Zn,Cu,Al})_2$  phase distributed along the grain boundaries in the  
38 as-deposited 7055 alloy. No segregation of zirconium was observed in the as-  
39 deposited 7055 alloy. After homogenization heat treatment at  $350^\circ\text{C}/5\text{ h} +$   
40  $470^\circ\text{C}/24\text{ h}$ ,  $\text{Al}_3\text{Zr}$  dispersoids were inhomogeneously distributed within grains  
41 in the traditionally cast 7055 alloy, while more homogeneously distributed within  
42 grains in the spray formed 7055 alloy. Compared with the traditional cast 7055 alloy,  
43 the uniform distribution of  $\text{Al}_3\text{Zr}$  dispersoids in the spray formed 7055 alloy retards  
44 recrystallization more effectively. This investigation highlights the advantage of spray  
45 forming technology on improving microstructure of a 7055 alloy.

46

47 **1. Introduction**

48 7055 Al-Zn-Mg-Cu alloy is an important member of the 7xxx series alloys and is  
49 extensively used in the aerospace industry owing to its light weight and high mechanical  
50 performance.<sup>[1]</sup> Many attempts have been made to further improve the mechanical  
51 properties of the 7055 aluminum alloy. Improvement in the performance of most  
52 aluminum alloys can be attributed to modifications of chemical composition and/or  
53 employing new production methods.<sup>[2-5]</sup> The spray forming technology is an advanced  
54 fabrication technique, which is based on rapid solidification and powder metallurgy.<sup>[6]</sup>  
55 The success of spray deposition can possibly also be exploited to enhance the  
56 performance of 7055 aluminum alloys. Compared with conventional ingot metallurgy,  
57 the spray forming technology provides possibilities to avoid many unfavorable factors  
58 that generally are detrimental to the performance of aluminum alloys, such as large  
59 grains, serious compositional segregations and severe casting defects due to low  
60 solidification rate. Most important, a uniform distribution of chemical composition and  
61 microstructure can be obtained because of the rapid solidification that is an inherent  
62 feature of the spray deposition process.<sup>[7-11]</sup> Therefore, the spray forming technology  
63 possibly provides an alternative route to develop high strength aluminum alloys.

64 A homogenization heat treatment is an indispensable process for traditional casting  
65 alloys, aiming at dissolving large size eutectic phases, redistributing the solute,  
66 eliminating intragranular segregations, i.e. level out compositional variations, reducing  
67 internal stresses and removing other casting defects.<sup>[12, 13]</sup> Besides, in 7xxx aluminum  
68 alloys with small additions of zirconium, coherent Al<sub>3</sub>Zr dispersoids are precipitated  
69 during homogenization, which may have a significant effect on inhibiting  
70 recrystallization so that alloys maintain their deformed microstructure during possibly  
71 subsequent high temperature exposure.<sup>[14-16]</sup> Hence, alloys may obtain excellent  
72 mechanical properties via the combination of their stable deformed substructure and  
73 Al<sub>3</sub>Zr precipitation hardening. However, the segregation of zirconium during casting of  
74 zirconium containing aluminum alloys is well known, which may result in large  
75 variations in the Al<sub>3</sub>Zr distribution within single grains.<sup>[17-19]</sup> Generally, Al<sub>3</sub>Zr  
76 dispersoids concentrate in the center of dendrite grains while precipitate free zones  
77 (PFZ) result at the dendrite grain boundaries. At the same time, the effectiveness of  
78 preventing recrystallization is closely related to the size, number density and spatial  
79 distribution of Al<sub>3</sub>Zr.<sup>[14, 19, 20]</sup> Many studies have focused on precipitation of the MgZn<sub>2</sub>  
80 strengthening phase in 7xxx alloys and the associated aging behavior, while less studies  
81 have focused on the optimal homogenization conditions as means to control the  
82 precipitation of Al<sub>3</sub>Zr dispersoids. Even less studies have reported on the effect of  
83 homogenization on spray formed Al-Zn-Mg-Cu alloys. In particular, the precipitation  
84 and distribution of Al<sub>3</sub>Zr dispersoids during the homogenization process and the  
85 resulting effects in terms of recrystallization resistance have not been studied in spray  
86 formed Al-Zn-Mg-Cu alloys.

87 A clear difference in microstructure is expected to be found between an as-  
88 cast and an as-deposited spray formed 7055 alloy. Although many unwanted  
89 features related to conventional casting, e.g. strong micro-segregations, are  
90 expected to be nearly non-existing in a spray formed alloy, it does not mean that  
91 the homogenization is no longer needed, as a large number of Al<sub>3</sub>Zr dispersoids  
92 are formed during the homogenization process. Understanding the  
93 recrystallization resistance of 7055 alloys requires a comprehensive analysis of  
94 the precipitation behavior of Al<sub>3</sub>Zr dispersoids, in terms of their spatial distribution,  
95 size and number density and possible precipitate free zones (PFZ) formed at the grain  
96 boundaries. In this work, differences in the microstructure evolution during  
97 homogenization between a conventionally cast and a spray formed 7055 alloy are

98 investigated, including the dissolution of the primary phases and the  
99 precipitation behavior of the Al<sub>3</sub>Zr dispersoids. Subsequently, in order to analyze  
100 the influence of the dispersoids on the recrystallization resistance during post-  
101 deformation annealing, these two differently processed materials have been  
102 subjected to hot extrusion processing after the homogenization treatment.

103

## 104 **2. Experimental procedure**

### 105 2.1 Material processing

106 To meet the requirements of this work, a direct-chill cast 7055 ingot was  
107 manufactured at the research lab of University of Science and Technology Beijing,  
108 while a spray formed 7055 alloy billet was provided by Haoran Co., Ltd Jiangsu,  
109 China. The two types of fabrication processes have significant differences. The cast  
110 7055 alloy was melted in a graphite crucible using an electrical resistance furnace. The  
111 melt was poured at a temperature of 750°C into a rectangular permanent steel mold to  
112 produce a cast ingot with size of  $\Phi$ 100 mm in diameter and 70 mm in length. The  
113 spray deposition method combines the atomization and consolidation step into one  
114 operation, which results in a rapid solidification process. The spray deposition  
115 methodology are described in more detail elsewhere.<sup>[6]</sup> Samples were cut from the  
116 rod ingots with sizes of  $\Phi$ 500 mm in diameter and 1600 mm in length, produced  
117 by a SFZD-5000 type fully automatic controlled reciprocating spray forming  
118 equipment. The chemical composition of the two alloys considered is shown in  
119 Table 1. Both alloys have the same zirconium content and almost the same  
120 content also of the other alloying elements.

121

122 Table 1. Chemical composition of 7055 aluminum alloy (wt.%)

Elements	Zn	Mg	Cu	Zr	Fe	Si	Al
Nominal value	7.6-8.4	1.8-2.3	2.0-2.6	0.05-0.25	≤0.15	≤0.10	Bal
As-cast	8.22	2.12	2.41	0.12	0.04	0.004	Bal
As-deposited	8.25	2.09	2.51	0.12	0.05	0.03	Bal

123

### 124 2.2. Heat treatment and thermo-mechanical processing

125 For the experiments, 10 × 10 × 1-mm sheet specimens and  $\Phi$ 80 × 50-mm  
126 cylindrical specimens were cut from ingots of both alloys. The same heat treatment  
127 temperatures and extrusion process parameters were applied to both the as-cast and the  
128 as-deposited alloy. The homogenization treatment was carried out at 350°C/5 h +

129 470°C/24 h with an initial heating rate of 30°C/h from room temperature in an air-  
130 circulating furnace. Following the homogenization heat treatment, sheet specimens  
131 used to analyze precipitation of dispersoids by scanning electron microscope were  
132 quenched into cold water, which was to avoid the formation of additional second-phases  
133 during cooling, while the cylindrical specimens applied to extrusion experiments  
134 were cooled in air (to imitate actual industrial processing conditions). Specimens  
135 with a diameter of 80 mm were extruded at 420°C using an extrusion ratio of 25:1.  
136 The as-extruded materials were cooled in air. Specimens were cut from one quarter  
137 of the diameter of the extrusion rod, treated at 470°C for 2 h, and 72 h, respectively  
138 in an air-circulating furnace and water-quenched to room temperature.

139

### 140 2.3. Microstructural Characterization

141 The microstructure of the alloys was characterized by optical microscopy (OM),  
142 scanning electronic microscopy (SEM) (AURIGA, Zeiss), electron probe X-ray micro-  
143 analysis (EPMA) and transmission electron microscopy (TECNAI F20, ThermoFisher)  
144 operated at a nominal voltage of 200 keV. Metallographic examinations were carried  
145 out on samples both of the as-cast alloy and as-deposited alloy. Samples were etched by  
146 Keller's reagent after grinding with SiC-based emery paper and polished with diamond-  
147 polishing paste. The compositional distribution of solute elements in both alloys was  
148 investigated by an Electron Probe Micro Analyzer (EPMA). The composition of the  
149 second-phase particles of the two alloys and distribution of the dispersoids were  
150 analyzed by a SEM equipped with an energy-dispersive spectrometer detector (EDS)  
151 and back scatter electron detector (BSE). TEM specimens of the heat treated as-cast  
152 7055 alloy and the as-extruded materials of two 7055 alloys after heat treatment at  
153 470°C for 2 h were prepared by cutting discs from the selected samples and thinning  
154 the discs mechanically to 50 µm before they were twin-jet electropolished in a mixture  
155 of 30% nitric acid and 70% methanol at a temperature of -30°C with a working voltage  
156 of 15 V. The TEM specimens of the heat treated as-deposited 7055 alloy were prepared  
157 by using focused ion beam (FIB) thinning.

158 Furthermore, specimens for Electron Backscattering Diffraction (EBSD), which  
159 paralleled to the extrusion (ED) and to the transverse direction (TD), were prepared by  
160 standard mechanical grinding and electrolytic polishing, and then examined by electron  
161 back-scattered diffraction (EBSD) in the SEM. And the resulting EBSD-patterns were  
162 analyzed by HKL Channel5 software.

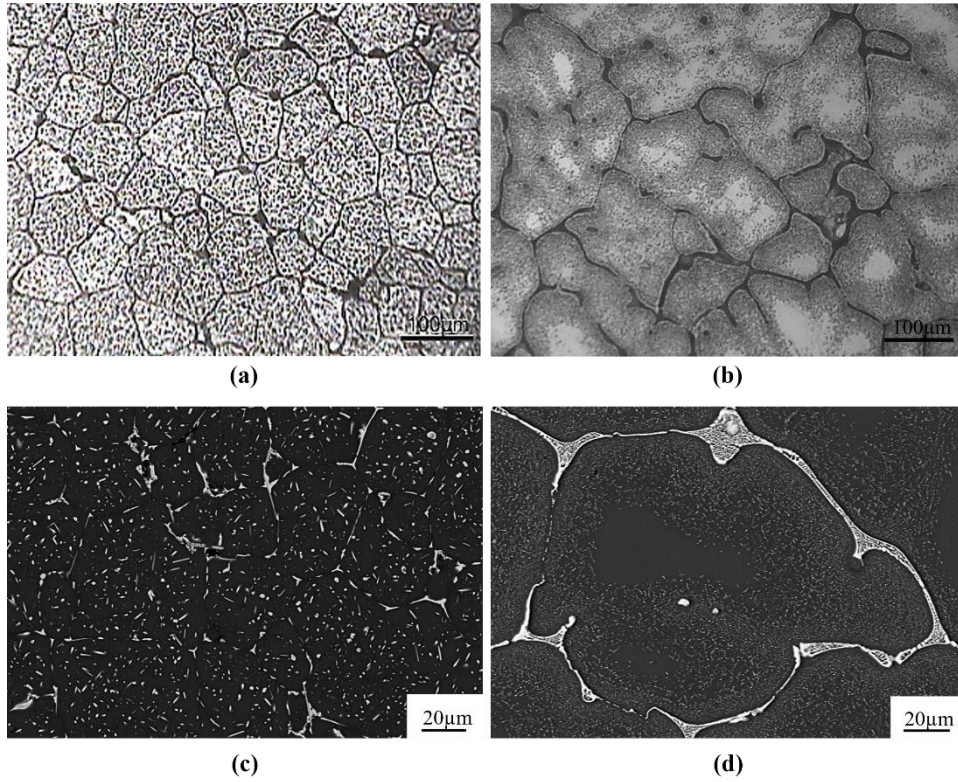
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### 164 **3. Results**

#### 165 3.1. Microstructure of as-cast and as-deposited 7055 alloy

166 Figure 1 presents typical optical micrographs and SEM BSE micrographs of  
167 the as-cast and the as-deposited 7055 alloy. The different grain structures can be  
168 clearly seen in Figure 1(a) and (b). Ten micrographs were analyzed by the linear  
169 intercept method to provide an average grain size. The results show that the grain  
170 size of the as-cast and as-deposited 7055 alloys was  $85\pm 30\mu\text{m}$  and  $42\pm 15\mu\text{m}$  in  
171 diameter, respectively. In addition, intermetallic constituent particles and typical  
172 eutectic structures were observed at the grain boundaries in Figure 1(c) and (d).  
173 While coarse and reticulated eutectic phases are observed in the as-cast 7055 alloy,  
174 no such phases are observed in as-deposited 7055 alloy. Near the grain boundaries in  
175 the as-cast 7055 alloy, many fine particles are observed; this is the  $\eta$  ( $\text{MgZn}_2$ ) phase  
176 which were precipitated out during the cooling stage after solidification following  
177 solute segregation towards the grain boundaries and their periphery, while larger  
178 second-phase particles within the grains were formed during the spray deposition  
179 process in the as-deposited 7055 alloy.

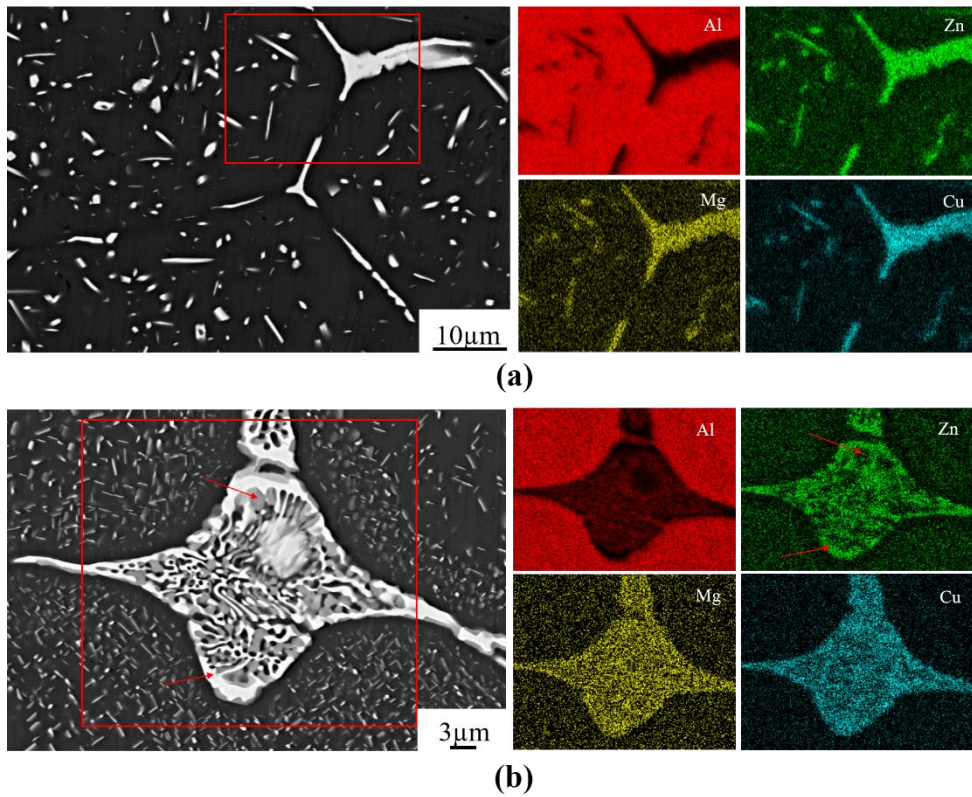
180 Figure 2 shows SEM BSE micrographs and corresponding EDS derived  
181 composition maps of the as-cast and the as-deposited 7055 alloy. In the latter, many  
182 large second-phase particles distributed along grain boundaries are observed. Most of  
183 the second phase particles show up as white phases in Figure 2(a), while some grey  
184 phases embedded in the white phases are observed in Figure 2(b). Many studies have  
185 documented that the white phase in Figure 2 are the  $\text{Mg}(\text{Zn,Cu,Al})_2$  phase, which has  
186 a similar structure as  $\text{MgZn}_2$  containing Al and Cu, while the grey phase in Figure 2(b)  
187 (as-cast) is the  $\text{Al}_2\text{CuMg}$  phase.<sup>[12, 13]</sup> However, no such  $\text{Al}_2\text{CuMg}$  phase embedded  
188 in the coarse  $\text{Mg}(\text{Zn,Cu,Al})_2$  phases, distributed along the grain boundaries, are  
189 observed in the as-deposited 7055 alloy.



190

191 Fig.1. Typical optical images and backscattered SEM BSE micrographs of 7055 alloy ingots. (a,c)

192 as-deposited, (b,d) as-cast.



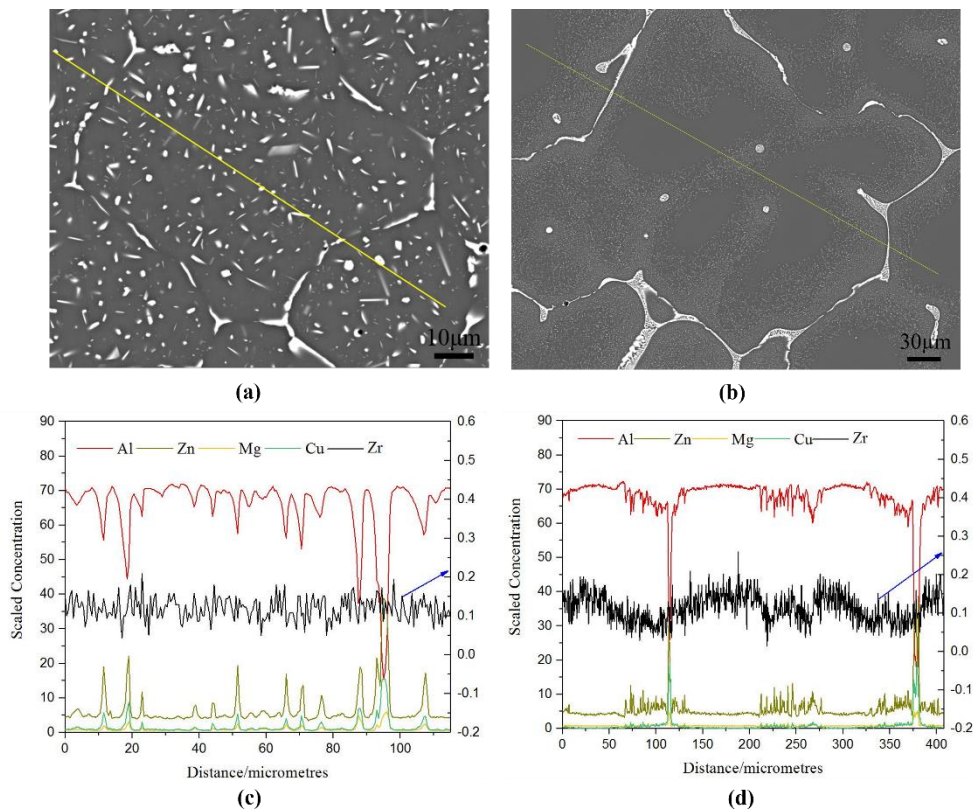
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194 Fig.2. SEM BSE micrographs and corresponding EDS derived composition maps for Al, Zn, Mg

195 and Cu of 7055 alloy ingots. (a) as-deposited, (b) as-cast.

196 Figure 3(a) and b show SEM BSE micrographs with typical grain structures, from  
 197 which EPMA analyses of composition were carried out along the marked lines indicated  
 198 in Figure 3(a) and (b), respectively. In Figure 3(c) and (d), the distinct peaks of Zn, Mg  
 199 and Cu correspond to the position of the second-phase particles. Moreover, from Figure  
 200 3(d), segregation of zirconium is observed in the as-cast 7055 alloy, which means that  
 201 in regions without segregations, zirconium levels are below the nominal value. Slow  
 202 cooling rate and a dendritic structure are important factors for zirconium segregation in  
 203 a traditional cast 7055 alloy. Figure 3(c), on the other hand, shows a uniform  
 204 distribution of zirconium in the as-deposited 7055 alloy, owing to the rapid cooling  
 205 process of spray forming.

206



207

208 Fig.3. SEM BSE micrographs (a,b) and corresponding line scanning analysis (c,d) of 7055 alloy  
 209 ingots from EPMA. (a,c) as-deposited, (b,d) as-cast.

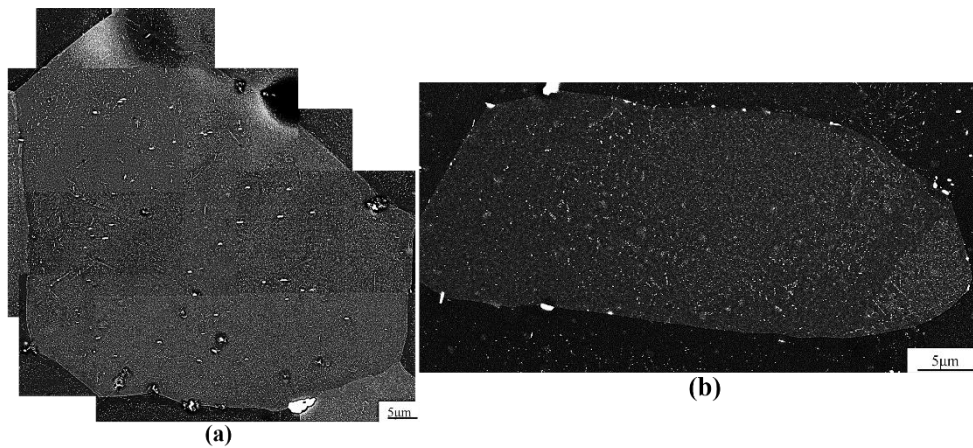
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### 211 3.2. Precipitation behavior of Al<sub>3</sub>Zr dispersoid during homogenization

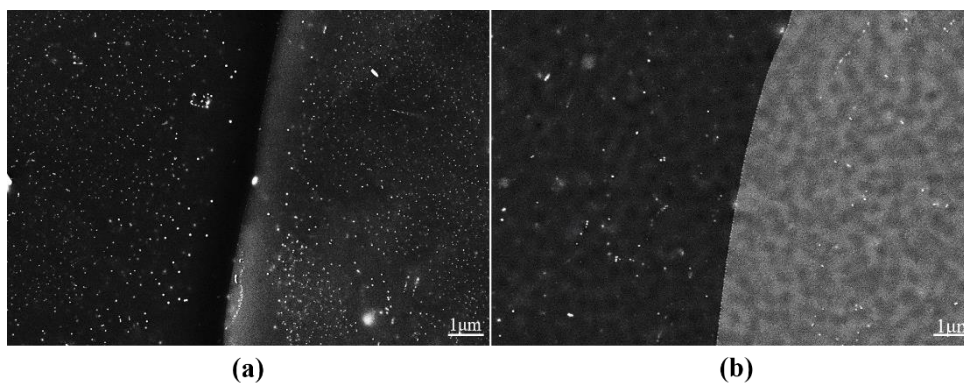
212 To make comparisons after the homogenization heat treatment of these two  
 213 alloys, the distribution of Al<sub>3</sub>Zr dispersoids across one whole grain was  
 214 investigated. Figure 4 shows the distribution of Al<sub>3</sub>Zr dispersoids in a typical  
 215 grain after homogenization heating at 350°C/5 h + 470°C/24 h observed by SEM



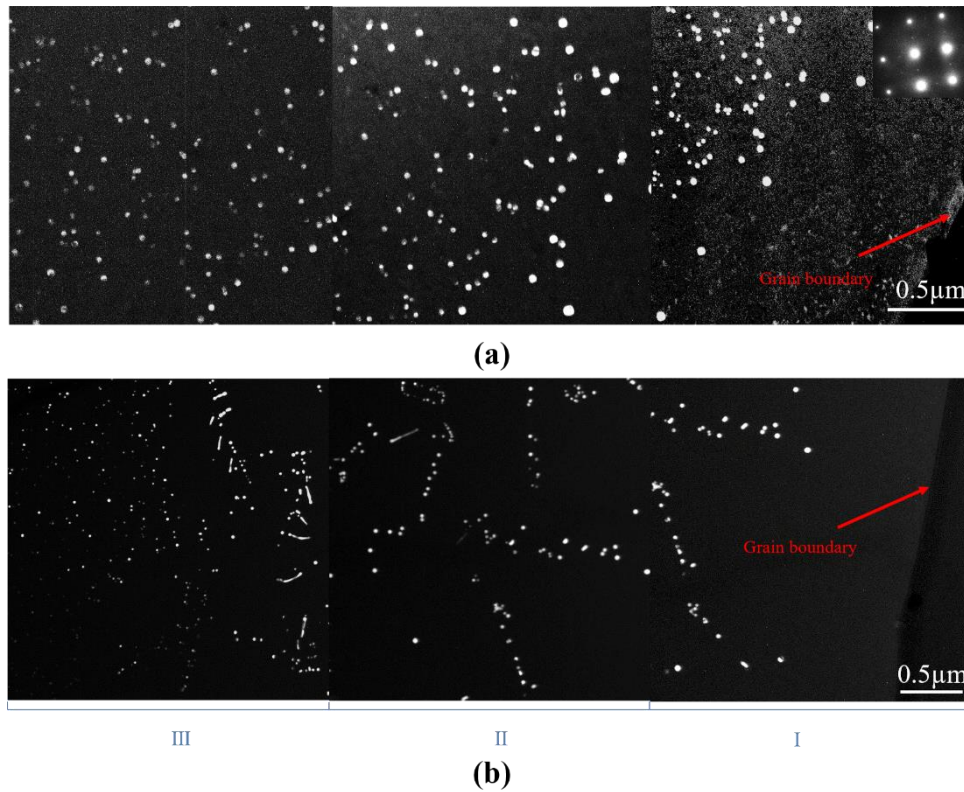
216 BSE imaging. It is observed in Figure 4(b) that the as-cast 7055 alloy results in an  
217 inhomogeneous distribution of  $\text{Al}_3\text{Zr}$  dispersoids within a grain. At the same time,  
218 it is found from Figure 4(a) that the  $\text{Al}_3\text{Zr}$  dispersoids are more homogeneously  
219 distributed within a grain in the as-deposited 7055 alloy. High magnification BSE  
220 micrographs of the dispersoids near the grain boundary are shown in Figure 5.  
221 Close to the grain boundary, precipitation free zones (PFZ) are observed both in  
222 Figure 5(a) and (b), in which the PFZ in the spray formed 7055 alloy is quite  
223 distinct, while a transition region with a small number density of  $\text{Al}_3\text{Zr}$  dispersoids is  
224 observed in the conventionally cast 7055 alloy.  
225



226  
227 Fig.4. SEM BSE micrographs of a single grain showing a typical distribution of dispersoids after  
228 homogenization at 350°C/5 h + 470°C/24 h, (a) spray formed 7055 alloy, (b) conventionally cast  
229 7055 alloy.  
230



231  
232 Fig.5. High magnification SEM BSE micrographs of the grain boundary region after  
233 homogenization. (a) spray formed 7055 alloy, (b) conventionally cast 7055 alloy.  
234



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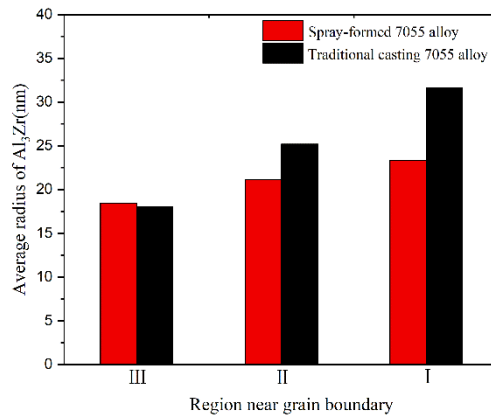
236 Fig.6. Typical TEM dark field images of  $\text{Al}_3\text{Zr}$  dispersoids distribution in grain boundary regions  
 237 after homogenization. (a) spray formed 7055 alloy, (b) conventionally cast 7055 alloy.

238

239 These differences are even more clear in typical TEM dark field images of the  
 240  $\text{Al}_3\text{Zr}$  dispersoid distribution near grain boundary regions after homogenization, as  
 241 presented in Figure 6, which were taken along the  $[001]$  zone axis of the Al matrix with  
 242 two-beam diffraction conditions. According to the selected area diffraction (SAD)  
 243 pattern shown in the inset of Figure 6(a), it can be derived that the structure of the  $\text{Al}_3\text{Zr}$   
 244 precipitates are consistent with the  $L1_2$  crystal structure. With reference to Figure 6(a)  
 245 and (b), it can be observed that the closer to the grain boundary, the larger the size of  
 246 the  $\text{Al}_3\text{Zr}$  dispersoids is. Nevertheless, compared with the conventionally cast 7055  
 247 alloy, a higher number density of  $\text{Al}_3\text{Zr}$  dispersoids adjacent to the grain boundary  
 248 are obtained for the spray formed 7055 alloy. In the as-cast variant there is a tendency  
 249 that several  $\text{Al}_3\text{Zr}$  dispersoids group in to elongated clusters as observed in Figure 6(b),  
 250 while this is not the case in as-deposited variant (Figure 6(a)).

251 In general, Figure 6 can be divided into three regions (i.e. I, II and III, with I being  
 252 closest to the grain boundary) showing different precipitation behavior in both alloys.  
 253 Figure 7 shows the average radius of the  $\text{Al}_3\text{Zr}$  dispersoids in the three regions. It can  
 254 be observed that the  $\text{Al}_3\text{Zr}$  dispersoids in region I has the largest average radius, and

255 that the average radius of  $\text{Al}_3\text{Zr}$  of the conventionally cast 7055 alloy is distinctly larger  
 256 than that of the spray formed 7055 alloy in region I and II, while the average size of the  
 257  $\text{Al}_3\text{Zr}$  dispersoids are almost the same in region III. Most importantly, compared with  
 258 the conventionally cast 7055 alloy, the average radius of the  $\text{Al}_3\text{Zr}$  dispersoids in the  
 259 spray formed 7055 alloy varies little from grain center to grain boundary. So, unevenly  
 260 distributed zirconium not only causes different distributions of  $\text{Al}_3\text{Zr}$ , but also  
 261 significantly affects particle size. The average radius of the  $\text{Al}_3\text{Zr}$  dispersoids in the  
 262 single grain of the spray formed 7055 alloy and the traditional cast 7055 alloy is 19.1  
 263 nm and 21.7 nm respectively, which is based on analyses (counting) of ten TEM  
 264 micrographs with the Image J software.



265  
 266 Figure 7. Three regions average radius of  $\text{Al}_3\text{Zr}$  dispersoids near grain boundary of 7055 alloy after  
 267 homogenization treatments.

### 269 3.3. Effect of $\text{Al}_3\text{Zr}$ dispersoids on recrystallization resistance

270 To study the recrystallization behavior of the as-cast and as-deposited 7055 alloys,  
 271 in near industrial conditions, homogenized and air-cooled materials were first extruded,  
 272 which resulted in a fibrous non-recrystallized grain structure, and then subjected to a  
 273 post-deformation heat treatment, i.e. the as-extruded samples were annealed at 470°C  
 274 for 2 h and 72 h, respectively, and subsequently quenched in water at ambient  
 275 temperature.

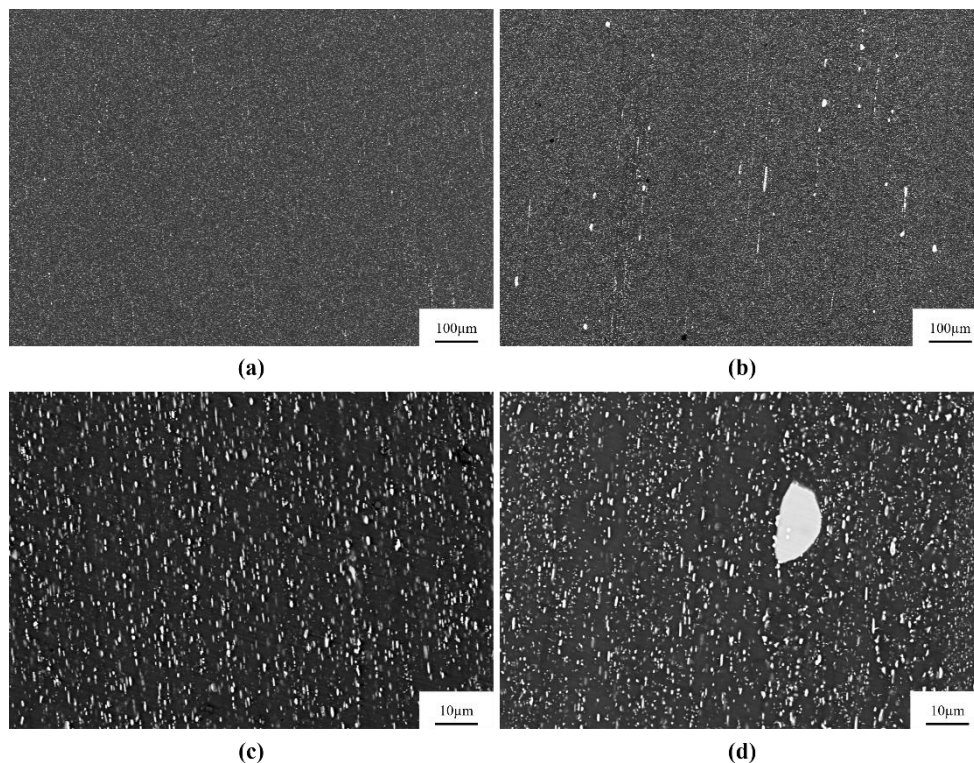
276 SEM BSE micrographs of the as-extruded 7055 alloys are shown in Figure 8.  
 277 Some large-sized residual phases and a large amount of small-sized phases precipitated  
 278 during air cooling after homogenization are observed. Moreover, it can be seen that  
 279 there are no obvious differences between the spray formed 7055 alloy and the  
 280 conventionally cast 7055 alloy with respect to the second-phase particles in size and

281 number density.

282 Meanwhile, when the degree of deformation is large, obvious differences in the  
283 original grain size before deformation becomes negligible after deformation. So, the  
284 effect of the different original grain size and second- phases on the recrystallization  
285 behavior can be ruled out for this study.

286 It should be emphasized that the second-phase particles shown in Figure 8 come in  
287 addition to the  $\text{Al}_3\text{Zr}$  dispersoids formed during homogenization, resulting from the  
288 slow cooling after homogenization of the extruded variants. This is presumedly mainly  
289  $\text{MgZn}_2$  containing Al and Cu particles, which are generally much larger in size than the  
290  $\text{Al}_3\text{Zr}$  dispersoids. At the same time, it is reasonable to assume that the  $\text{Al}_3\text{Zr}$  dispersoids  
291 are not affected by the differences in cooling after homogenization (except for a  
292 possible slight coarsening) and that they are stable during the subsequent extrusion  
293 process. Thus, their presence in terms of number densities, size and distributions is  
294 therefore expected to be similar in the as-extruded conditions and that the distinct  
295 differences between the as-cast alloy and the as-deposited, as discussed in the previous  
296 section are retained.

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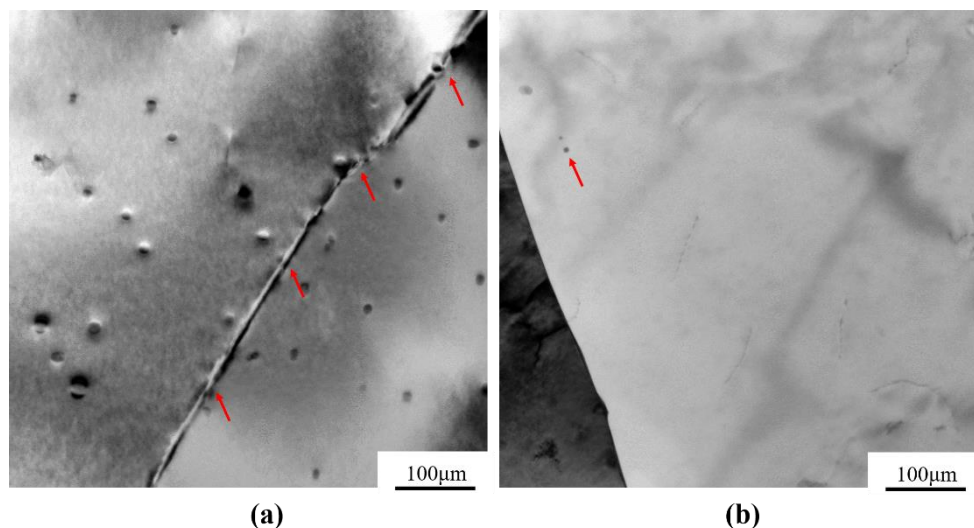
299 Figure 8. BSE micrographs and the corresponding enlarged map of as-extruded 7055 alloys. (a,c)  
300 spray formed 7055 alloy, (b,d) conventionally cast 7055 alloy.

301

302 This is supported by detailed TEM investigations of the near grain boundary  
303 regions of the as-extruded materials. Figure 9 shows typical TEM bright field images  
304 of the as-extruded 7055 alloy in grain boundary regions after annealing at 470°C for 2  
305 h. It is clearly observed that the Al<sub>3</sub>Zr dispersoids on or near the grain boundary are  
306 more numerous in the spray formed 7055 alloy in Figure 9(a) than in the  
307 conventionally cast 7055 alloy in Figure 9(b). It should be noted that the same behavior  
308 was observed from several different grain boundaries in two TEM samples of the two  
309 alloys. Thus, from these observations it is expected that the overall recrystallization  
310 resistance of the spray formed 7055 alloy is better than that for the conventionally  
311 cast 7055 alloy due to the Al<sub>3</sub>Zr dispersoids exerting a retarding force or pressure on  
312 grain boundary movement.

313 Figure 10, in the form of SEM EBSD orientation imaging maps, shows the  
314 influence of the presence and distribution of Al<sub>3</sub>Zr on the recrystallization behavior of  
315 the two 7055 alloys after long time annealing at 470°C for 72 h. Figure 10(a), referring  
316 to the spray formed 7055 alloy, shows a recovered, but still mainly deformed, fibrous  
317 microstructure with limited recrystallized grains, while a mainly recrystallized  
318 microstructure can be easily found in some areas of the conventionally cast 7055 alloy  
319 in Figure 10(b), although also large regions in this sample still presents mainly a  
320 deformed microstructure. This difference is definitely attributed to the variation of the  
321 Al<sub>3</sub>Zr dispersoids in the two alloys.

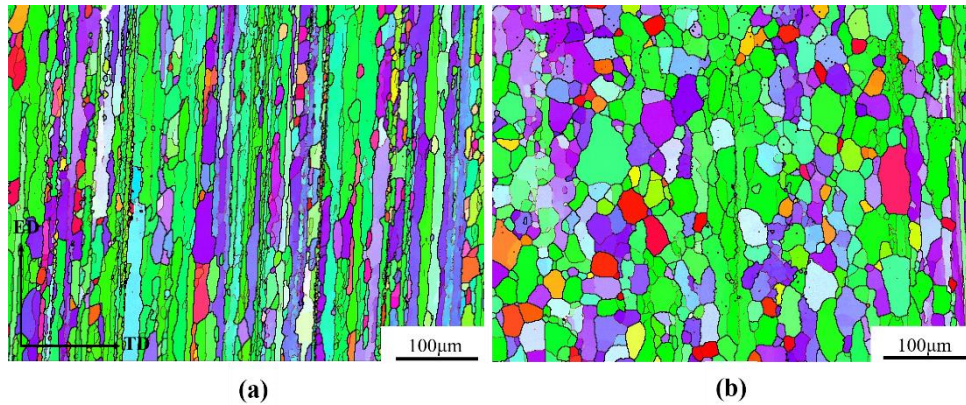
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323

324 Figure 9. TEM bright field images of the as-extruded 7055 alloy in grain boundary regions after  
325 annealing at 470°C for 2 h. (a) spray formed 7055 alloy, (b) conventionally cast 7055 alloy.

326



327  
 328 Fig.10. SEM EBSD orientation imaging maps of 7055 alloy after annealing at 470°C/72 h. (a) spray  
 329 formed 7055 alloy, (b) conventionally cast 7055 alloy

330

#### 331 4. Discussion

332 Compared with the conventionally cast 7055 alloy, the microstructure of the spray  
 333 formed 7055 alloy has been largely changed due to the rapid solidification in the process  
 334 of spray deposition. Figure 1 shows that the as-deposited 7055 alloy is composed of  
 335 smaller equiaxed grains, some fine second-phase particles distributed in grain and  
 336 smaller eutectic phases distributed on grain boundaries as compared to the as-cast 7055  
 337 alloy. The as-cast 7055 alloy on the other hand comprises coarse dendrites and larger  
 338 networked eutectic phases. Besides, the type of phases is also different. Figure 2  
 339 illustrates that there is no  $Al_2CuMg$  phase that are embedded in the coarse  
 340  $Mg(Zn,Cu,Al)_2$  phase of the grain boundary distribution in the as-deposited 7055  
 341 alloy. The change in microstructure of the as-deposited 7055 alloy is mainly due to the  
 342 unique spray deposition process. During spray deposition, the majority of atomized  
 343 droplets maintain partially solidified at the flight process, then gradually accumulate  
 344 and form a semi-liquid layer on top of the billet and finally obtain a large amount of  
 345 broken dendrite fragments. Afterwards, these dendrite fragments act as solidification  
 346 nuclei. As the temperature decreases, the nuclei grow and merge with each other at the  
 347 interface, developing to the morphology of the equiaxed grains.<sup>[11]</sup>

348 In addition, the composition distribution has also been changed in as-deposited  
 349 7055 alloy, compared to the as-cast 7055 alloy. Figure 3 clearly shows the segregation  
 350 of zirconium in the as-cast 7055 alloy, but which is not taking place in the as-deposited  
 351 7055 alloy. It is well known that the solidification of conventionally cast 7055 alloy is  
 352 accompanied by varying degrees of micro-segregation of alloying elements due to their  
 353 partitioning between liquid and solid phases during solidification, and due to the non-  
 354 equilibrium dendritic solidification.<sup>[12]</sup> The spray forming technology can effectively

355 avoid this phenomenon due to the rapid solidification and without a characteristic  
356 dendritic structure. In general, because of this special forming process, the type, size  
357 and distribution of primary phases, the composition and the grain structure are changed  
358 in the spray formed 7055 alloy. Thus, the effect of heat treatment, such as  
359 homogenization, on evolution of microstructure and precipitation of dispersoids is  
360 also significantly different for the spray formed 7055 alloy as compared to the  
361 conventionally cast alloy.

362 It is well known that one of the main functions of a homogenization heat treatment  
363 is to eliminate micro-segregations and internal stresses in the as-cast alloys. In Zr  
364 containing alloys, like 7055, a significant amount of thermally stable, coherent  $\text{Al}_3\text{Zr}$   
365 dispersoids can also be precipitated during homogenization, which potentially may  
366 have a significant effect on suppressing recrystallization.<sup>[14]</sup> Although there are no  
367 micro-segregations in the as-deposited 7055 alloy, homogenization is also  
368 indispensable for the spray formed 7055 alloy, as the  $\text{Al}_3\text{Zr}$  dispersoids formed during  
369 homogenization are crucial for optimizing the mechanical properties of the alloy. It is  
370 evident that the distribution of zirconium in the alloy has an essential influence on the  
371 precipitation of  $\text{Al}_3\text{Zr}$ . There are a lot of studies showing that zirconium segregations  
372 are commonly observed in conventionally cast Zr-containing Al alloys, and the  
373 compositional variations of zirconium are closely related to the dendritic structure. It  
374 can be seen from Figure 3 that zirconium segregations are also found in the as-cast 7055  
375 alloy investigated in this work, while zirconium is more uniformly distributed in the as-  
376 deposited 7055 alloy. In general, the zirconium concentration often exceeds its nominal  
377 value close to the center of the dendrite arms since these regions solidified first during  
378 casting. Thus, zirconium levels near the grain boundaries and interdendritic regions are  
379 inevitably lower than average. However, the spray forming process prevents the  
380 appearance of a dendritic structure and the rapid solidification process makes the  
381 alloying elements distribute more uniformly through the whole grain in the as-deposited  
382 7055 alloy. Thus, the degree of supersaturation of zirconium across grains is also quite  
383 different between these two alloys. Since the  $\text{Al}_3\text{Zr}$  dispersoids are precipitating directly  
384 from the as-cast state and as-deposited state and driving force for precipitation of  $\text{Al}_3\text{Zr}$   
385 is strongly linked with the supersaturation of zirconium, obviously different  
386 precipitation behavior will be found between these two alloys during homogenization.

387 Experimental observations from Figure 4(b) clearly demonstrate that the  
388 segregation of zirconium in the as-cast 7055 alloy leads to a varying distribution of

389 Al<sub>3</sub>Zr dispersoids within each grain. The density variation of the Al<sub>3</sub>Zr dispersoids is  
390 owed to the local in-grain zirconium segregations during solidification. With an  
391 increasing zirconium content, the supersaturation increases so that the driving force for  
392 nucleation also increases, leading to the formation of a larger number of dispersoid  
393 particles. However, the process of forming new Al<sub>3</sub>Zr particles is a competition for the  
394 available solute between nucleation and growth of already existing dispersoids. Figure  
395 6(b) and Figure 7 shows the variation in dispersoid size and number density from the  
396 grain boundary to the center of the grain in the conventionally cast 7055 alloy. It can be  
397 seen that the Al<sub>3</sub>Zr number density increases and mean radius decreases in the  
398 zirconium segregation zone (grain center). As the nucleation rate is large and many  
399 particles form, Al<sub>3</sub>Zr dispersoids can only grow to a limited degree before all the  
400 available supersaturated zirconium in solid solution is consumed. On the contrary, the  
401 nucleation rate is presumably lower than the growth rate in areas with low zirconium  
402 concentration, resulting in that fewer Al<sub>3</sub>Zr dispersoids are formed, while each of them  
403 can grow to a larger size before depleting the Al-matrix of supersaturated zirconium.  
404 However, compared with the conventionally cast 7055 alloy, the precipitation behavior  
405 of the Al<sub>3</sub>Zr dispersoids in spray formed 7055 alloy is distinctively different during the  
406 homogenization. Figure 4(a) shows that an important consequence of the uniform  
407 distribution of zirconium in the as-deposited 7055 alloy is that Al<sub>3</sub>Zr dispersoids also  
408 distribute more evenly in each grain. Due to the uniform distribution of zirconium  
409 concentration, i.e. a similar supersaturation at each position in the grain, it can be  
410 assumed that the nucleation rate and growth rate of the dispersoids are also the same  
411 throughout the whole grain, and as demonstrated from Figure 6(a) and Figure 7, there  
412 are no significant differences in dispersoid size and number density from the grain  
413 center to the boundary in the spray formed 7055 alloy.

414 Dispersoid free regions, or regions of low number density, are likely to  
415 recrystallize most easily during heat treatment. It can be clearly observed from Figure  
416 5 and Figure 6 that dispersoid free regions are present in both these 7055 alloys.  
417 However, while more distinct narrow in the spray-formed alloy, a wider transition  
418 region with a small number of Al<sub>3</sub>Zr dispersoids with a relatively large mean radius is  
419 observed in the conventionally cast 7055 alloy. In general, it is important for cast alloys  
420 to minimize the width of these regions as it may have a detrimental effect on the  
421 recrystallization resistance and thus lead to a larger fraction of recrystallization. These  
422 regions usually appear at the dendrite edges. As the zirconium concentration falls, the



423 number density of Al<sub>3</sub>Zr dispersoids decreases and the mean radius increases, leading  
424 to a narrow band of large Al<sub>3</sub>Zr dispersoids. When zirconium concentration is further  
425 reduced towards the grain boundary, the number density of Al<sub>3</sub>Zr dispersoids may fall  
426 almost to zero, corresponding to a dispersoid free region. Similarly, there are also  
427 dispersoid free regions in the spray formed 7055 alloy, but there is no transition region  
428 with a narrow band of large Al<sub>3</sub>Zr dispersoids because of the more evenly distribution  
429 of zirconium resulting from the absence of a dendritic structure. Accordingly, there is a  
430 significant difference in size and distribution of Al<sub>3</sub>Zr dispersoids between these two  
431 7055 alloys, and the grain structure is influenced during the subsequent heat treatment,  
432 during their effect on the recrystallization behavior.

433 The two 7055 alloys contain several types second-phases (particle populations) in  
434 the as-extruded state, which may have different influences on the recrystallization  
435 behavior. For example, it can be seen from Figure 8 that a large number of near  
436 micrometer sized MgZn<sub>2</sub> particles are precipitated, which on the one hand potentially  
437 may act as nucleation sites for recrystallization through the accumulated stored energy  
438 in their immediate vicinity, and hence being potent nucleation sites for recrystallization  
439 (i.e. particle stimulated nucleation (PSN) of recrystallization).<sup>[21-24]</sup> However, the effect  
440 of these second-phase particles for possible differences in the recrystallization behavior  
441 can be ignored in this study because there is no obvious difference between these two  
442 alloys with respect to the size and number density of these second-phase particles.  
443 Moreover, the particles size of almost all of the second-phase particles in Figure 8 is  
444 less than 1 μm. Thus the effect of particle stimulated nucleation of recrystallization  
445 (PSN) on the alloy during annealing is presumably weak, as the condition for PSN is  
446 typical that the particle diameter should be greater than ~1 μm.<sup>[21,22]</sup> Therefore, to study  
447 the effect of Al<sub>3</sub>Zr on the recrystallization behavior of the two 7055 alloys in this work,  
448 long annealing time up to 72 hours at 470 °C is required during post-deformation heat  
449 treatments.

450 Both alloys in Figure 10 are partially recrystallized after annealing, but it is  
451 obvious that the recrystallized fraction of the spray formed 7055 alloy is lower than that  
452 of the conventionally cast 7055 alloy. It is well accepted that a uniform fine distribution  
453 of dispersoids (in our case Al<sub>3</sub>Zr) precipitated during homogenization can strongly  
454 suppress/retard and even prevent recrystallization during heat treatment of deformed  
455 alloys.<sup>[22, 25, 26]</sup> The reason is that a fine dispersion of particles will exert a retarding  
456 force or pressure on grain boundary movement, and this may have a profound effect on

457 the processes of recovery, recrystallization and grain growth, known as the Zener  
458 pinning effect. The Zener pinning pressure ( $P_Z$ ), is related to the volume fraction of the  
459 particles ( $F_V$ ), their radius, and their boundary energy ( $\gamma$ ) through the following well  
460 known equation (1).<sup>[27, 28]</sup>

461

$$462 \quad P_Z = \frac{3F_V\gamma}{2r} \quad (1)$$

463

464 Equation (1) shows that a uniform fine distribution of  $Al_3Zr$  dispersoids can  
465 maximize the resistance to recrystallization because smaller  $r$  and larger  $F_V$  provides a  
466 higher Zener pinning pressure to prevent the migration of grain boundaries. Given the  
467 more uniform distribution of  $Al_3Zr$  dispersoids in the spray formed 7055 alloy, the  
468 recrystallization resistance is expected to be more efficient in this alloy than the  
469 conventionally cast alloy. In the latter the volume fraction of  $Al_3Zr$  particles ( $F_V$ ) is very  
470 low in most areas outside the  $Al_3Zr$  segregation zone as shown in Figure 9(b) resulting  
471 in a Zener pinning pressure ( $P_Z$ ), expected to be too small in these regions to effectively  
472 suppress recrystallization.

473

## 474 **Conclusions**

475 The effect of different fabricating processes and homogenization on  
476 microstructure of 7055 alloy was investigated together with the recrystallization  
477 resistance of the two alloys upon post-extrusion annealing. The conclusions are  
478 summarized as follows:

479 (1) The average grain size of as-deposited 7055 alloy is about half the size of  
480 that of the as-cast 7055 alloy, and there is no  $Al_2CuMg$  phase embedded in  
481 the coarse  $Mg(Zn,Cu,Al)_2$  phase distributed along the grain boundaries in as-  
482 deposited 7055 alloy. Significant micro-segregations of zirconium (with-in grains)  
483 are observed in the as-cast 7055 alloy, while any segregation of zirconium mainly  
484 absent in the as-deposited 7055 alloy.

485 (2) After homogenization heating at  $350^\circ C/5\text{ h}+470^\circ C/24\text{ h}$ , an inhomogeneous  
486 distribution of  $Al_3Zr$  dispersoids within grains are observed in the  
487 conventionally cast 7055 alloy, while the dispersoids are more homogeneously  
488 distributed in the spray formed 7055 alloy.

489 (3) Compared with the conventionally cast 7055 alloy, the uniform distribution of  $Al_3Zr$   
490 dispersoids in the spray formed 7055 alloy more effectively (and uniformly)

491 suppress recrystallization in this variant.

492

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501

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